





1 SYSTEMATIC REVIEW

2 **Efficacy and Safety Outcomes for Originator TNF Inhibitors**
3 **and Biosimilars in Rheumatoid Arthritis and Psoriasis Trials:**
4 **A Systematic Literature Review**5 **Robert J. Moots**^{1,2}  · **Cinzia Curiale**³ · **Danielle Petersel**⁴ · **Catherine Rolland**⁵ ·
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8 © Springer International Publishing AG, part of Springer Nature 20189 **Abstract**10 *Objective* Regulatory approval of biosimilar versions of originator
11 biotherapeutics requires that new biological products be
12 highly similar to originator products, with no clinically meaningful
13 differences in safety, purity, and potency. In some trials
14 of biosimilars of tumor necrosis factor inhibitors for the treatment
15 of rheumatoid arthritis (RA) and plaque psoriasis (PsO),
16 pre-specified margins for efficacy and safety have been met, but
17 differences in treatment responses between pivotal originator
18 trials and biosimilar trials have been noted. The objective of this
19 systematic review was to examine these differences.20 *Methods* Searches were conducted to identify comparative
21 randomized clinical trials of approved or proposed
22 biosimilars of adalimumab, etanercept, and infliximab.23 *Results* Of 83 publications identified, 16 publications were
24 included for analysis (RA: originators, $n = 5$; biosimilars, $n = 6$;
25 PsO: originators, $n = 2$; biosimilars, $n = 3$). American College
26 of Rheumatology 20% response rates were higher amongpatients with RA receiving originator biologics and biosimilars 27
in biosimilar trials than among patients receiving the originator 28
biologics in pivotal trials. In etanercept studies in PsO, a difference 29
was observed in Psoriasis Area and Severity Index 75% 30
response rates between biosimilar and pivotal trials. Insufficient 31
efficacy data were available from adalimumab and infliximab 32
biosimilar studies in PsO to determine any differences in treatment 33
responses between pivotal and biosimilar studies. 34*Conclusions* Observed differences in treatment response rates 35
between pivotal originator trials and trials of originator biologics 36
and their respective biosimilars may be attributable to 37
fundamental differences in study design and/or baseline patient 38
characteristics, which require further analysis. 39
4041 **Key Points** 42
4344 Biosimilarity between originator and biosimilar 47
tumor necrosis factor inhibitors for the treatment of 48
rheumatoid arthritis and plaque psoriasis has been 49
demonstrated, but differences in treatment responses 50
and safety outcomes between pivotal originator trials 51
and recent biosimilar trials have been noted. 5253 This systematic literature review comparing pivotal 54
originator biologic trials with head-to-head trials of 55
originator biologics and biosimilars indicates an 56
overall similarity in baseline characteristics between 57
the two types of studies, yet identifies some 58
differences in responses to treatment.59 The reasons for the noted differences in both efficacy 60
and safety between the pivotal trials of originators 61
and their respective biosimilars are currently only 62
speculative.A1 **Electronic supplementary material** The online version of this
A2 article (<https://doi.org/10.1007/s40259-018-0283-4>) contains supplementary
A3 material, which is available to authorized users.A4  Robert J. Moots
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65	1 Introduction		
64	Regulatory approval of biosimilar versions of originator		
66	biotherapeutics requires that new biological products be		
67	highly similar to originator products, with no clinically		
68	meaningful differences in safety, purity, and potency [1, 2].		
69	Head-to-head comparison with the originator product is		
70	required at all stages of the biosimilar development pathway.		
71	Analytical studies establish high similarity, followed by pre-		
72	clinical and clinical studies to demonstrate the same level of		
73	efficacy and safety already established for the originator		
74	product. A phase I and a phase III clinical study can be		
75	sufficient to achieve regulatory approval for biosimilars [3].		
76	Pre-specified margins for equivalence in efficacy supporting		
77	biosimilarity have been met in comparative trials of		
78	biosimilars of tumor necrosis factor inhibitors (TNFis) in		
79	rheumatoid arthritis (RA) [4–9] and plaque psoriasis (PsO)		
80	[10–12], but differences in treatment responses and safety		
81	outcomes between pivotal originator trials [13–19] and		
82	recent biosimilar trials [4–12] have also been noted. The		
83	objective of this systematic review was to examine differ-		
84	ences in efficacy and safety between pivotal originator bio-		
85	logical trials and biosimilar trials in RA and PsO.		
86			
87	2 Methods		
88	A systematic literature review (SLR) was conducted to		
89	obtain comprehensive, up-to-date data on the efficacy and		
90	safety of biosimilars of adalimumab, etanercept, and		
91	infliximab in the treatment of adults with RA and PsO. This		
92	SLR included randomized clinical trials where patients		
93	were treated with the originator biologics adalimumab,		
94	etanercept, and infliximab, and their biosimilars ABP 501		
95	(Amjevita), SB5, M923, MSB 11022, GP2017, CHS-1420,		
96	CT-P17, SB4 (Benepali), GP2015 (Erelzi), CHS-0214, CT-		
97	P05, CT-P13 (Remsima or Inflectra), SB2 (Flixabi), and		
98	GP1111. Pivotal studies were head-to-head comparisons		
99	between originator and placebo. Study outcomes were		
100	efficacy (American College of Rheumatology [ACR] 20/50/		
101	70% response rates, Disease Activity Score in 28 joints		
102	[DAS28], Psoriasis Area Severity Index [PASI] 50/75/90%		
103	response rates) and safety (adverse events [AEs], serious		
104	AEs [SAEs], and anti-drug antibodies [ADAbs]).		
105	This SLR was conducted using a standardized, thor-		
106	ough, and transparent approach following Cochrane dual-		
107	reviewer methodology [20]. The SLR protocol followed		
108	the Preferred Reporting Items for Systematic Reviews and		
109	Meta-Analyses protocol (PRISMA-P) guidelines [21]. All		
110	processes and methodologies used to conduct this SLR are		
111	described fully in the Electronic Supplementary Material		
112	(ESM).		
	3 Results		113
	3.1 Search and Screening		114
	The initial list of 351 publications was screened on		115
	December 7, 2016. After removing duplicates, 265 titles		116
	and abstracts were screened for relevance; 146 references		117
	underwent full-text screening and 83 references were		118
	quality assessed and retained for full data extraction		119
	(Fig. 1). Most references were of excellent or good quality		120
	(Supplementary Tables 1 and 2, see ESM). Of the 83		121
	publications, 34 and 16 reported on originator trials in RA		122
	and PsO, respectively, and 29 and 6 on biosimilar trials in		123
	those conditions. Two publications contained data for both		124
	RA and PsO. Biologic pivotal trials were identified through		125
	screening of systematic reviews.		126
	Of the 83 selected publications, only those that		127
	reported on studies in disease-modifying anti-rheumatic		128
	drug (DMARD)-experienced patients who were treated		129
	with the same biologic dosages and assessed at the same		130
	time points were selected for final analysis ($N = 16$: RA		131
	originators, $n = 5$; RA biosimilars, $n = 6$; PsO originators,		132
	$n = 2$; PsO biosimilars, $n = 3$). Studies of adalimumab		133
	and infliximab biosimilars in PsO did not report sufficient		134
	efficacy data and were not included. For RA, two pivotal		135
	originator studies were identified for adalimumab		136
	[13, 14], and one each for etanercept [15] and infliximab		137
	[16, 17]. One pivotal originator PsO study was identified		138
	for etanercept [18] and one for adalimumab [19]. All		139
	pivotal studies demonstrated efficacy of active treatment		140
	versus placebo (statistically significantly higher ACR and		141
	PASI response rates for RA and PsO studies, respectively		142
	[13–19]).		143
	3.2 Baseline Characteristics		144
	Compared with the pivotal originator studies [13–19],		145
	biosimilar studies had larger sample sizes, included		146
	patients with a shorter disease duration, and were con-		147
	ducted in a wider range of countries [4–12] (Supplemen-		148
	tary Table 3, see ESM). Beyond that, baseline patient		149
	characteristics were similar across the studies, with the		150
	following exceptions: in the RA study of SB4 (etanercept		151
	biosimilar) [6], mean patient age was higher and mean		152
	disease duration was shorter compared with the pivotal		153
	etanercept study [15]; in the RA study of SB2 (infliximab		154
	biosimilar) [8], mean disease duration was shorter and		155
	mean values for tender joint count (TJC) and swollen joint		156
	count (SJC) were lower than in the pivotal infliximab		157
	originator study [16, 17]. In most studies, there was not		158
	enough information to assess baseline differences in		159
	DAS28, TJC, or SJC.		160

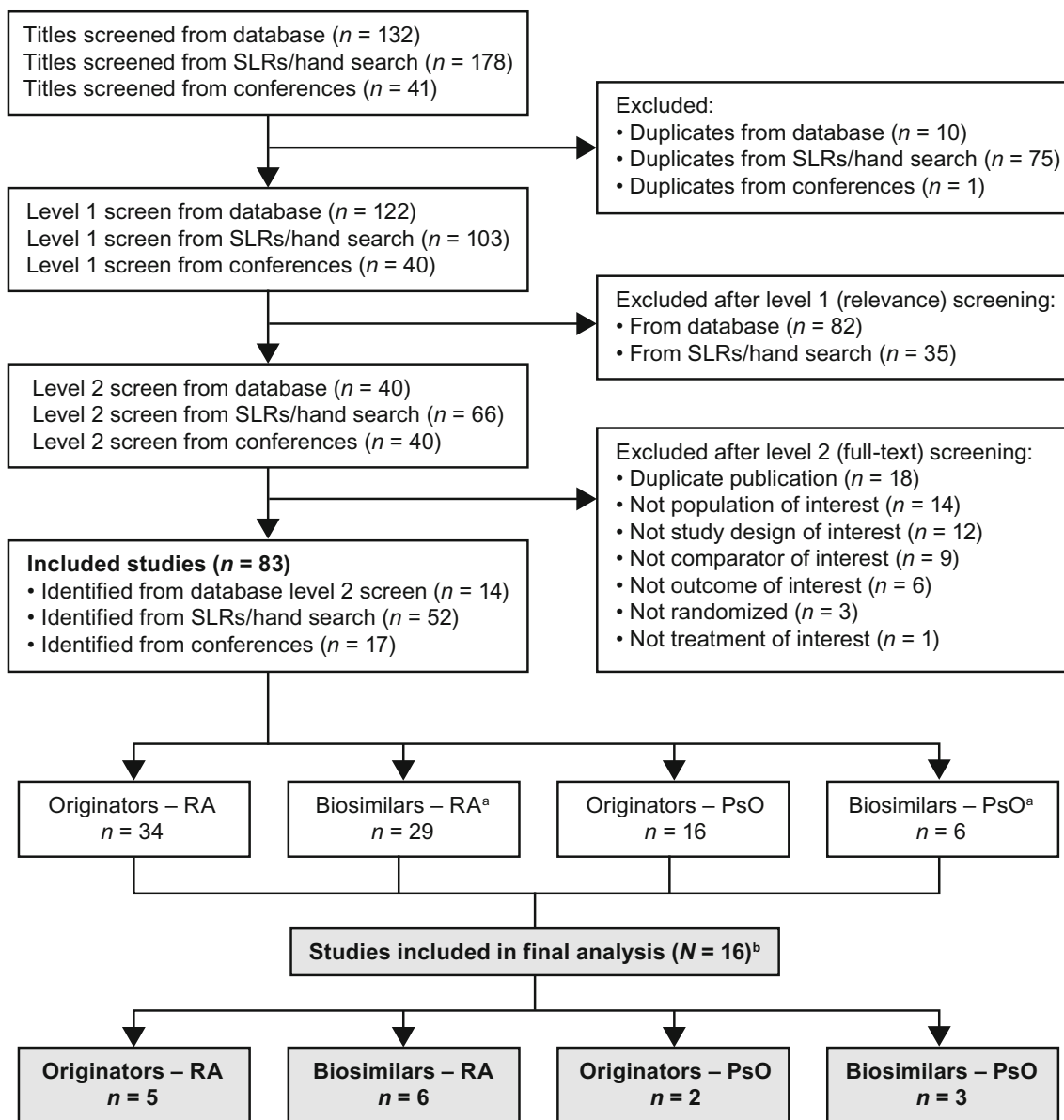


Fig. 1 Flow of papers screened and retained in the SLR. *DMARD* disease-modifying anti-rheumatic drug, *PsO* plaque psoriasis, *RA* rheumatoid arthritis, *SLR* systematic literature review. ^aTwo abstracts contained data for both RA and PsO. ^bOnly those publications that

reported on studies in DMARD-experienced patients who were treated with the same biologic dosages and assessed at the same time points were selected for final analysis

161 **3.3 Efficacy Outcomes**

162 In the biosimilar studies, ACR20 response rates for both
 163 the originator and the biosimilar were numerically higher
 164 than those in the pivotal originator studies for all treat-
 165 ments. The same trend was observed for ACR50 and
 166 ACR70 in the etanercept biosimilar studies, and for ACR70
 167 in the infliximab studies (Table 1), but there were excep-
 168 tions. The two pivotal studies of adalimumab had very
 169 different ACR50 response rates (39% [13] and 55% [14]).
 170 The ABP 501 biosimilar study [4] had adalimumab/
 171 biosimilar ACR50 response rates similar to the Weinblatt

pivotal study [14], but the SB5 biosimilar study [5] had 172
 173 adalimumab/biosimilar ACR50 response rates that more
 174 closely resembled the Keystone pivotal study [13]
 175 (Table 1). The pivotal study ACR70 response rates were
 176 more similar to each other than was seen for ACR50
 177 [13, 14], and the ACR70 response rates for the adali-
 178 mumab/biosimilar studies closely resembled these (ranging
 179 from 19 to 26%, Table 1). The ACR50 response rate for
 180 infliximab in the SB2 [8] and CT-P13 [9] biosimilar studies
 181 was lower than that seen in the pivotal originator study
 182 [16, 17], but the response rates for the biosimilars were
 183 higher (Table 1).

Table 1 Comparison of ACR response rates in pivotal versus biosimilar studies in patients with RA

	ACR20	ACR50	ACR70
Response at 24 weeks: ADA vs biosimilars			
ADA from pivotal study 1 [13]	63	39	21
ADA from pivotal study 2 [14]	67	55	27
ADA from ABP 501 study [4]	72	52	23
ABP 501 [4]	75	49	26
ADA from SB5 study [5]	72	40	20
SB5 [5]	73	38	19
Response at 24 weeks: ETN vs biosimilars			
ETN from pivotal study [15]	71	39	15
ETN from SB4 study [6]	80	42	23
SB4 [6]	78	47	26
ETN from CHS-0214 study [7]	91	64	38
CHS-0214 [7]	91	68	38
Response at 30 weeks: INF vs biosimilars			
INF from pivotal study [16, 17]	50	27	8
INF from SB2 study [8]	59	16	17
SB2 [8]	56	31	16
INF from CT-P13 study [9]	59	17	16
CT-P13 [9]	61	35	34

ACR American College of Rheumatology, ADA adalimumab, ETN etanercept, INF infliximab, RA rheumatoid arthritis

184 In the only PsO study assessed, the PASI75 response
185 rates at 12 weeks for etanercept (72%) and GP2015 (70%)
186 in the biosimilar study [10] were greater than the corre-
187 sponding rate for etanercept in the pivotal originator study
188 (49%) [18].

189 3.4 Safety Outcomes

190 There were no comparable safety outcomes for pivotal
191 originator and biosimilar studies of adalimumab in RA. In
192 the two head-to-head studies of etanercept versus the
193 biosimilars SB4 [6] and CHS-0214 [7], the occurrence of
194 ADABs following treatment with etanercept was higher
195 than the occurrence of ADABs in the pivotal etanercept
196 study [15]; the opposite was the case with ADAB occur-
197 rence for either biosimilar (Supplementary Table 4a, see
198 ESM). The occurrence of injection site reactions (ISRs)
199 was lower for etanercept and SB4 in the biosimilar study
200 [6] than for etanercept in the pivotal study [15], which was
201 also observed for etanercept and CHS-0214 [7, 15]. In the
202 head-to-head study of infliximab versus SB2 [8], the
203 occurrence of SAEs was similar between both the inflix-
204 imab and SB2 arms in the biosimilar study [8] and the
205 infliximab arm in the pivotal originator infliximab study
206 [16, 17]. The percentage of patients with a skin rash was

207 lower in the SB2 [8] and CT-P13 [9] biosimilar studies
208 than in the pivotal originator infliximab study [16, 17]
209 (Supplementary Table 4b, see ESM).

210 There were no comparable safety outcomes for pivotal
211 originator and biosimilar studies of infliximab in PsO. In
212 the only PsO study of adalimumab versus the biosimilar
213 ABP 501 [12], the percentage of patients with SAEs was
214 higher for both adalimumab (5.1%) and ABP 501 (4.6%)
215 than for adalimumab (1.8%) in the pivotal originator study
216 [19]. The occurrence of ISRs with adalimumab in the
217 biosimilar study was higher than that observed in the piv-
218 otal originator study of adalimumab (5.2 versus 3.2%) but
219 lower with ABP 501 (1.7%) (Supplementary Table 4c, see
220 ESM). In the only PsO study of etanercept versus the
221 biosimilar GP2015, ISRs were reported in fewer etaner-
222 cept-treated (14.2%) and GP2015-treated patients (4.9%) in
223 the biosimilar study [10] compared with etanercept-treated
224 patients (18.0%) in the pivotal originator study [18] (Sup-
225 plementary Table 4d, see ESM).

226 4 Discussion

227 This SLR of pivotal originator biologic trials versus head-
228 to-head trials of originator biologics and biosimilars indi-
229 cates, as expected, an overall similarity in baseline char-
230 acteristics between the two types of studies, yet identifies
231 some differences in responses to treatment.

232 This SLR did not establish any major differences in the
233 baseline characteristics of the patients in the pivotal origi-
234 nator versus biosimilar trials other than disease duration,
235 which was lower for the RA biosimilar trials than the
236 pivotal trials (where reported). However, it should be noted
237 that this analysis was based on publicly available infor-
238 mation only (additional clinical information is available in
239 the European public assessment reports and FDA reports)
240 and that there may have been between-trial differences that
241 could not be identified. For example, biosimilar trials ten-
242 ded to recruit patients from a wider range of countries than
243 pivotal originator trials [22], which may have resulted in
244 study population differences that were not captured using
245 standard baseline parameters (such as genetic variations
246 affecting drug metabolism or cultural attitudes to medica-
247 tion) but might affect study results. Additionally, patient
248 status in the two trial groups was arguably different
249 because of the decades of additional research on both
250 treatments and treatment strategies that patients in the
251 biosimilar studies benefited from. Patients in the pivotal
252 originator studies had access to lower-quality treatment and
253 fewer treatment options before commencing biological
254 therapy.

255 This systematic review showed that ACR20 and PASI75
256 response rates were higher in biosimilar studies compared

257 with pivotal originator studies. This was also observed in a
 258 recently published study of the etanercept biosimilar
 259 GP2015, where ACR20 response at week 24 was 88.8% for
 260 GP2015 and 93.6% for etanercept [23] compared with 71%
 261 in the pivotal study [15]. Higher response rates in the
 262 biosimilar trials could be due, at least in part, to a longer
 263 disease duration in the pivotal originator trials. It is also
 264 possible that the absence of a placebo arm in the biosimilar
 265 studies resulted in higher expectations among patients and
 266 investigators as all participants knew they were receiving
 267 active treatment; it has been previously reported that using
 268 active comparators only is associated with increased effect
 269 sizes compared with placebo-controlled studies [24–28].
 270 Indeed, ACR20/50/70 responses from open-label trials of
 271 originator etanercept [29–31] more closely resemble the
 272 results from the biosimilar trials reviewed here than the
 273 pivotal originator etanercept trial, suggesting that the open-
 274 label design can impact treatment efficacy. However, there
 275 are many variations in trial design, patient population, and
 276 study type between these etanercept studies and the
 277 biosimilar studies that must be taken into consideration
 278 when assessing the impact of open-label treatment on
 279 efficacy outcomes. Other differences in trial design could
 280 also contribute, each in part, to the differences seen
 281 between efficacy results in different trials. Finally, bio-
 282 logical differences between products in the pivotal origi-
 283 nator and biosimilar trials would also contribute to the
 284 differences in efficacy results seen in these studies.

285 Comparison of safety data was limited, as the available
 286 data were too scarce to allow a useful comparison between
 287 pivotal and biosimilar studies. Where safety outcomes
 288 could be compared, the rates of ADABs, ISRs, and skin
 289 rashes were generally lower for both the originator and
 290 biosimilar treatments in the biosimilar trials of RA than in
 291 the pivotal originator trials (Supplementary Tables 4a, b,
 292 see ESM). These discrepancies are likely to be the result of
 293 many interplaying factors. For instance, the pivotal and
 294 biosimilar studies often used different laboratory testing
 295 methods; the pivotal studies used enzyme-linked
 296 immunosorbent assays to assess ADABs, whereas the
 297 biosimilar studies used electrochemiluminescence
 298 immunoassays. Since the pivotal studies were conducted,
 299 improvements have been made in clinical techniques (such
 300 as detection methods for etanercept ADABs) and updates
 301 made to MedDRA coding. ADAB monitoring has become
 302 more rigorous; in the biosimilar trials, monitoring was
 303 carried out throughout the trial, whereas in the pivotal trials
 304 it was carried out on Day 1 and at study end only. Patients
 305 may be more comfortable with products after 10–20 years
 306 of commercial use, meaning that they might be less likely
 307 to report AEs.

308 The major limitation of this SLR was the small number
 309 of studies available for comparison. The numbers of

patients in the pivotal originator studies were also small 310
 compared with the biosimilar studies. 311

5 Conclusion 312

313 Although the biosimilars of biologics for inflammatory
 314 diseases were shown to be comparable with the originator
 315 products throughout the regulatory approval process, there
 316 are numerical differences in both efficacy and safety out-
 317 comes between the pivotal trials of originators and con-
 318 firmatory clinical trials of their respective biosimilars. The
 319 reasons for these differences are currently only speculative. 320

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 322 the systematic literature review that forms the basis of this manu-
 323 script, were involved in drafting the manuscript and revising it criti-
 324 cally for important intellectual content, and approved the final
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Compliance with Ethical Standards 327

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