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## Microthrombotic renal involvement in an SLE patient with concomitant catastrophic antiphospholipid syndrome - The beneficial effect of Rituximab treatment

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Keyword:	SLE, thromboses, catastrophic antiphospholipid syndrome, rituximab
Abstract:	<p>Antiphospholipid syndrome (APS) is characterized by multiple arterial and/or venous thrombotic events, recurrent fetal losses in the presence of antiphospholipid antibodies. Catastrophic antiphospholipid syndrome (CAPS) is a life-threatening, rare subset of APS when the thrombotic events affect at least 3 organs, and clinical manifestations develop simultaneously or within a week. Diagnostically, small vessel occlusions can be detected by histopathology in the presence of antiphospholipid antibodies. Our case report describes a 18 years old man who has been treated with secondary APS (the underlying disease was Systemic Lupus Erythematosus) since 2011. The clinical findings were dominated by recurrent deep vein thrombosis, and severe proteinuria caused by lupus</p>

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	nephritis, accompanied by mild serological and laboratory findings. The patient was hospitalized in March 2014 because of severe thrombocytopenia and infective diarrhea. At this time the renal functions rapidly deteriorated. Simultaneously left upper extremity paresis was observed; CT showed ischemic lesions in the territory of the middle cerebral artery. Abdominal discomfort and pain occurred. On CT scan ischemic lesions could be seen in the spleen, in the right kidney and in the celiac trunk. Laboratory and serological findings verified the presence of antiphospholipid antibodies and anti-DNA antibodies, anemia and thrombocytopenia. Based on the above mentioned clinical and laboratory findings, the diagnosis of CAPS was established. Anticoagulation, corticosteroid, and plasmapheresis treatment, as well as hemodiafiltration were initiated. Although the thrombotic cascade decelerated following these interventions, we could not see improvement in the renal function. Rituximab treatment was started, leading to significant improvement in the renal function. After 5 weeks of treatment the patient was discharged from the hospital.

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4 **antiphospholipid syndrome - The beneficial effect of Rituximab treatment**  
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## Abstract

Antiphospholipid syndrome (APS) is characterized by multiple arterial and/or venous thrombotic events, recurrent fetal losses in the presence of antiphospholipid antibodies. Catastrophic antiphospholipid syndrome (CAPS) is a life-threatening, rare subset of APS when the thrombotic events affect at least 3 organs, and clinical manifestations develop simultaneously or within a week. Diagnostically, small vessel occlusions can be detected by histopathology in the presence of antiphospholipid antibodies. Our case report describes a 18 years old man who has been treated with secondary APS (the underlying disease was Systemic Lupus Erythematosus) since 2011. The clinical findings were dominated by recurrent deep vein thrombosis, and severe proteinuria caused by lupus nephritis, accompanied by mild serological and laboratory findings. The patient was hospitalized in March 2014 because of severe thrombocytopenia and infective diarrhea. At this time the renal functions rapidly deteriorated. Simultaneously left upper extremity paresis was observed; CT showed ischemic lesions in the territory of the middle cerebral artery. Abdominal discomfort and pain occurred. On CT scan ischemic lesions could be seen in the spleen, in the right kidney and in the celiac trunk. Laboratory and serological findings verified the presence of antiphospholipid antibodies and anti-DNA antibodies, anemia and thrombocytopenia. Based on the above mentioned clinical and laboratory findings, the diagnosis of CAPS was established. Anticoagulation, corticosteroid, and plasmapheresis treatment, as well as hemodiafiltration were initiated. Although the thrombotic cascade decelerated following these interventions, we could not see improvement in the renal function. Rituximab treatment was started, leading to significant improvement in the renal function. After 5 weeks of treatment the patient was discharged from the hospital.

Key words: SLE, thromboses, catastrophic antiphospholipid syndrome, rituximab

## Introduction

Antiphospholipid syndrome (APS) is characterized by multiple arterial and/or venous thrombotic events, recurrent fetal losses in the presence of antiphospholipid antibodies. The group of antiphospholipid antibodies consists of various members, such as lupus anticoagulant (LA), anti-cardiolipin antibodies (aCL) and anti- $\beta$ 2-glycoprotein-I (a $\beta$ 2GPI) antibodies (1,2).

Catastrophic antiphospholipid syndrome (CAPS) is a rare, severe, life-threatening subset of APS, which makes up less than 1% of all APS cases (3). According to the CAPS Registry,

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3 59% of the patients with CAPS had APS, while 26.9% suffered from SLE. In most of the  
4 cases, various pathogenic factors were verified, mainly infections. Most common infective  
5 agents are Salmonella, Escheria coli, Streptococcus, Staphylococcus (4). According to  
6 Asherson's study the most frequent infections are respiratory, cutaneous, urinary tract,  
7 gastrointestinal infections and sepsis (5).  
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10 Others triggering factors can be the discontinuation of anticoagulation therapy, oral  
11 contraceptives, or neoplasmas. Recently it was published that besides infection  
12 thrombocytopenic state, low level of vitamin D and high level of ferritin also can induce  
13 CAPS (4). The pathomechanism of CAPS is not fully understood, while a series of  
14 pathogenic effects has been implicated. Anti-phospholipid antibodies trigger a prothrombotic  
15 state, while inflammation ultimately leads to clot formation. Uncontrolled activation of the  
16 complement system also can initiate and amplify of pathological features of CAPS. Another  
17 mechanism is denoted as 'cytokine storm' which is caused by the release of proinflammatory  
18 cytokines (IL-1, IL-5, IL-6, IFN- $\alpha$ , TNF- $\alpha$ ) from affected tissues during thrombotic events  
19 mainly affecting the microvessels (4). The cytokines activate the innate immune system via  
20 TLR-4 production which can be induced by lipopolysaccharides derived from bacteria (6).  
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30 On the other hand, fibrinolysis is decreased, because of the high levels of plasminogen  
31 activator inhibitor-1 (PAI-1). Asherson published the first diagnostic criteria for CAPS (7),  
32 later which was revised and is in use since then (8). According to the CAPS Registry the most  
33 frequently affected organs are the kidneys, lungs, brain, heart and the skin, while less  
34 frequently affected organs are the peripheral vessels, intestine, spleen, pancreas, retina and the  
35 bone marrow. CAPS has a high mortality; before 2000 the mortality rate was 50% despite  
36 treatment (9). The therapy has three main aims. If there is an identifiable triggering factor, the  
37 treatment of that condition improves CAPS symptoms. In case of infection, antibiotics or  
38 antiviral therapy should be initiated. The other crucial point is to decelerate the thrombotic  
39 cascade and to suppress the cytokine storm, which leads to Systemic Inflammatory Response  
40 Syndrome (SIRS). The first line therapy consists of anticoagulation and corticosteroid  
41 treatment. Plasma exchange and/or intravenous immunoglobulin can have favorable effects on  
42 the disease course. If CAPS is associated with autoimmune disease, mainly SLE  
43 immunosuppression could be considered. Cyclophosphamid is most frequently used in these  
44 cases. In therapy refractory or relapsing cases new therapeutic options need to be considered.  
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54 Rituximab is a monoclonal antibody against CD20, which is expressed on B cells. Rituximab  
55 downregulates B-cell receptors and induce B-cell apoptosis. B-cell depletion may also reduce  
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3 the production of proinflammatory cytokines which leads to the modulation of SIRS.  
4 Rituximab has been approved in non- Hodgkin lymphoma, rheumatoid arthritis, SLE. There  
5 are ongoing clinical trials with rituximab in therapy resistant non-criteria manifestations, such  
6 as thrombocytopenia, ulcers, hemolytic anemia of APS. According to the RITAPS trial  
7 rituximab was safe in APS patients, however rituximab has not induced changes in the  
8 antiphospholipid antibody profile, but it was effective in non-criteria manifestations of APS.  
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15 According to data from the CAPS registry, rituximab reduced mortality to 20%. Kidney  
16 involvement was the most frequent, followed by cardiac and cerebral involvement. Rituximab  
17 was second line therapy in 60% of the cases because of poor response to first line therapy,  
18 recurrent CAPS, therapy resistant thrombocytopenia or new thrombotic event (11,12).  
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22 Over the last 15 years 4 patients have been treated with CAPS in our Department. The first  
23 cancer associated CAPS was published in 2000 (13). The 45 years old woman was admitted  
24 to the ICU with neurological symptoms. The patient did not have APS or systemic  
25 autoimmune disease in her medical history. The clinical situation was dominated by rapid  
26 onset of multiple thrombotic events which affected the brain, spleen, kidneys, lungs and the  
27 mitral valve with the presence of anti-cardiolipin and anti-beta2GPI antibodies, which  
28 fulfilled the diagnostic criteria for CAPS. The patient died in multi-organ failure after 2 weeks  
29 despite anticoagulation and corticosteroid treatment. The predisposing factor was  
30 adenocarcinoma of the stomach, but it was revealed during autopsy. Our second case of  
31 CAPS was also associated with malignancy. The 62 years old woman was diagnosed with  
32 cytokeratin-7 positive endometrial cancer 1 year before CAPS was diagnosed. She was  
33 admitted to the hospital with pulmonary embolism. Anticoagulation therapy started.  
34 Pericardial and pleural effusion were revealed on echocardiography. Vascular ultrasound  
35 demonstrated the presence of thromboses in the internal jugular vein and inferior cava vein.  
36 Anticardiolipin IgG antibody positivity was tested. Her condition despite therapy deteriorated,  
37 the neurological status progressed to coma, intubation and mechanical ventilation was  
38 necessitated. 4 days after admission she died. Autopsy revealed multiple thromboses of the  
39 pelvic vein, portal and hepatic veins. Microtrombi was observed in the spleen.  
40 Immunochemical staining of the lungs showed cytokeratin-7 positivity, which indicated  
41 the metastasis of the previous endometrial cancer. The clinical picture supported the diagnose  
42 of CAPS which was verified by autopsy and histopathology (14).  
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3 In our third case CAPS was associated with SLE. SLE showed moderate and mild clinical  
4 and serological activity including antiphospholipid antibody positivity in low concentration  
5 (anti- $\beta$ 2-glycoprotein IgG and anti-prothrombin), but no thrombotic clinical symptoms have  
6 been observed. In December 2012 she was hospitalized due to pulmonary embolism preceded  
7 by a lower respiratory tract infection. Anticoagulation with therapeutic dose low molecular  
8 weight heparin (LMWH) have been started. Despite of anticoagulation, neurological  
9 symptoms appeared. Head CT showed cerebrovascular thromboses. Her condition rapidly  
10 deteriorated, multi-organ failure developed. Multiple infarctions in the spleen and kidneys  
11 could be detected in abdominal CT. Renal biopsy showed occlusive vasculopathy in the small  
12 vessels. Biopsy also proved chronic active mesangioproliferative glomerulonephritis with  
13 mesangial immune deposits. Lab tests indicated APA positivity in high titer. Overall, the  
14 clinical picture fulfilled the criteria of catastrophic antiphospholipid syndrome. In this case,  
15 CAPS was triggered by systemic autoimmune disease and infection. The anticoagulation and  
16 corticosteroid treatment was supplemented by high volume plasma exchange which was  
17 synchronized by intravenous immunoglobuline. Due to the immunomodulatory treatment the  
18 patient recovered. Since then no thrombotic events have been occurred, and clinically, the  
19 SLE symptoms are in remission.  
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### 33 **Methods**

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35 The 19 years old, Caucasian, male patient was treated with severe thrombocytopenia, indirect  
36 Coombs positivity and suffusions. Intravenous immunoglobulin was administered,  
37 thrombocyte level was normalized Immunological tests were performed which showed anti-  
38 beta2GPI, anti-cardiolipin, and anti-phosphatidylserine antibody positivity, but there was no  
39 thrombotic event at that time. In September 2010 he was diagnosed with deep vein  
40 thrombosis of the right femoral vein, as well as the communal and external iliacal vein.  
41 Thrombophilic tests (FII20210A, AT-III, PS and PC activity, FV Leiden, APS screening)  
42 were performed at the time; LA, aCL and  $\alpha$ 2GPI antibodies were identified again. Oral  
43 anticoagulant therapy with vitamin K antagonist was started In 2011 he was diagnosed with  
44 SLE based on photosensitivity, lupus erythema and the presence of anti-nuclear, anti-SSA-  
45 anti-SSB antibody, anti-CL antibodies and hypocomplementaemia, as well as positive LA.  
46 The diagnosis of secondary APS was also established based on the clinical picture and  
47 laboratory findings. The maintenance therapy was corticosteroid, hydroxychloroquine and  
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3 anticoagulation. During the next 2 years he has been in remission. He had mild symptoms of  
4 arthralgia, skin involvement and mild upper airway tract infections.  
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7 During the autumn of 2013 he was hospitalized several times because of high sedimentation  
8 rate, massive proteinuria (10 g/day), massive edema on the legs, increasing levels of  
9 carbamide, creatinine, worsening anemia and thrombocytopenia. After pulse corticosteroid  
10 treatment (3x1000mg), the platelet counts increased. Due to deteriorating renal function and  
11 massive proteinuria, as the sign of the activation of lupus, mycophenolate mofetil treatment  
12 was initiated. In January 2013 he was hospitalized because of recurrent deep vein thrombosis  
13 in his right superficial femoral vein. Low molecular weight heparin therapy was administered.  
14 The therapy was modified due to recurrent thromboses and activation of SLE:  
15 Cyclophosphamid was initiated with good therapeutic response; the proteinuria diminished  
16 and the renal functions slightly improved.  
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20 In March 2014 he was hospitalized for the next cycle of cyclophosphamide therapy. However,  
21 he could not get the next cycle of cyclophosphamide because the platelet count was under 150  
22 G/L. In the physical status, swollen lilac lips, epigastric pain, edema of the lower right  
23 extremity and diarrhea could be outlined. The lab test showed high CRP levels (90.51 mg/mL;  
24 normal range: <4.6 mg/L) leukocytosis (12.72 G/L; normal range:4.5-10.8 G/L),  
25 thrombocytopenia (31 G/L; normal range: 150-400 G/L) and anemia (Hgb: 123 g/L; normal  
26 range:130-165 g/L), increased carbamide (23.3 mmol/L; normal range: 3.6-6 mmol/L) and  
27 creatinine (464 µmol/L; normal range: 44-97 µmol/L) levels, hypoproteinemia (52 g/L;  
28 normal range: >60 g/L) and high fibrinogen levels (9.29 g/L; normal range:1,5-4 g/L). The  
29 clinical picture and the lab tests confirmed the diagnosis of gastrointestinal infection.  
30 Metronidazole was initiated. In the next few days the gastrointestinal symptoms diminished,  
31 however the renal failure, anemia, thrombocytopenia progressed and in the quantitative blood  
32 smear fragmentocytes were detected. Subsequently paresis of the left upper extremity  
33 appeared. Skull CT showed ischemic lesion at the territory of the right medial cerebral artery  
34 (Figure 1A). Due to exceeding gastrointestinal pain, abdominal CT was performed, which  
35 detected thrombus in the lumen of the celiac trunk (Figure 1B), infarction in the spleen  
36 (Figure 1C) and in the right kidney (Figure 1D). Lab tests revealed anti-double stranded  
37 DNA, anti-beta-GPI positivity. As a differential diagnosis thrombotic thrombocytopenic  
38 purpura/hemolytic uremic syndrome (TTP/HUS) was established, however it was ruled out by  
39 negative results on ADAMTS 13 and anti-Factor H antibody assessment. Renal biopsy was  
40 performed which revealed thrombotic nephropathy. Immunohistochemistry showed  
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3 microthrombi which occluded the glomerular capillaries. The biopsy specimen revealed SLE-  
4 associated chronic glomerular damage exhibiting scattered thrombotic occlusions of the  
5 capillary tufts reflecting CAPS (Figure 2.). Immunofluorescent staining for fibrin confirmed  
6 that the glomerular capillary tufts' occlusions (long arrows) and the interstitial vascular  
7 obstructions (thick arrows) correspond to fibrin-rich thrombi (green fluorescence), suggestive  
8 of renal manifestation of CAPS (original magnification: 40x; nuclear counterstain is with the  
9 blue fluorescent DAPI). Both confirm the multiple intraglomerular fibrin- thrombi (arrows)  
10 which in part are found along the intimal-subendothelial region of the capillary tuft (image C,  
11 arrows). Electron microscopy (EM) exhibited glomeruli with basement membrane (BM)  
12 thickening and endothelial-subendothelial damages associated with CAPS (Figure 3).  
13 Moreover, higher magnification (10000x) identifies splitting and dissection of the endothelial  
14 cells from the BM in association with electron-lucent subendothelial (SE) amorphous material  
15 deposition admixed with tissue debris. Finally, double immunofluorescent labeling of the  
16 biopsy specimens identified the presence of the membrane-attack complex of the complement  
17 cascade that showed unambiguous evidence of endothelial and subendothelial deposition and  
18 insudation of C9 that is predominantly manifested along the glomerular capillary tufts and in  
19 part within the vasculature of the interstitial spaces (Figure 4). Further stainings for T- and B-  
20 cells showed the immune-inflammatory cellular composition of the SLE-associated CAPS in  
21 this case, demonstrating that both the T-cells and the B-lymphocytes could be considered to  
22 have roles in the pathomechanism of the vascular damage resulting in thrombotic occlusions  
23 (Figure 4).  
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## 40 **Results**

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42 Based on the clinical features histology and laboratorial findings, the diagnosis of  
43 gastrointestinal infection induced CAPS on the grounds of SLE, perpetuated by the  
44 complement cascade and T-/B-lymphocytes was established.  
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48 The primary goal of the therapeutic plan was to decelerate the thrombotic cascade and to  
49 suppress inflammatory cytokines. LMWH treatment was continued but in lower dosage  
50 (2x0.2 ml) in order to avoid bleeding in the ischemic lesion of the territory at the right medial  
51 cerebral artery. The dose of corticosteroid was increased to 80 mg per day. Plasmapheresis  
52 treatment (40 ml/ kg) was performed 6 times. Hemodiafiltration treatment was performed 3  
53 times because of the acute renal failure and increasing levels of carbamide and creatinine.  
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3 After 6 plasmapheresis cycles, along with the corticosteroid and LMWH treatment, the  
4 paresis slightly resolved, and the hematological parameters began to normalize. However, no  
5 significant improvement could be achieved in the renal function. The therapeutic regime was  
6 therefore supplemented with Rituximab. 1000 mg Rituximab was given two times and the  
7 treatment led to the significant improvement of the acute renal failure. Depicts the efficacy of  
8 therapy on renal failure. After 5 weeks the patient was discharged from the hospital with  
9 normal laboratory parameters and good general health condition. After 1 year the patient had  
10 a grand mal seizure at home. He was admitted to the local hospital where skull CT was  
11 performed. The CT scan could not verify new ischemic lesion or bleeding. He was transferred  
12 to our intensive care unit. Upon arrival he was cardio-respiratory compensated. Lab tests  
13 revealed normal Hgb, platelet, leucocytes levels. The CRP, PCT was in normal range, which  
14 ruled out any underlying infective cause. Antiphospholipid antibodies were negative, as well.  
15 On the first day grand mal seizure appeared again, which was followed by Todd paresis. To  
16 ease the seizure 4mg midazolam and 10 mg diazepam was given intravenously. Possibly the  
17 seizures were caused by the central nervous system involvement of SLE. To rule out cerebral  
18 vasculitis skull MR was performed, which only showed the signs of previous ischemic  
19 lesions. Vasculitis, bleeding or new thrombotic event could not be detected on MR. EEG was  
20 also performed which detected epileptic activity. The therapy was supplemented by  
21 carbamazepine. During hospitalization epileptic seizures were not repeated. Neither  
22 thrombotic events, nor kidney failure appeared. The kidney function was compensated. The  
23 carbamide level was 10.4 mmol/l, while creatinine was 158 umol/l. During urine analysis  
24 proteinuria could not be detected. He was discharged from the hospital after 1 week in a good  
25 overall condition. Since then he is free of seizures, he takes 400 mg carbamazepine daily. SLE  
26 is in remission with the maintenance therapy of corticosteroid, hydroxychloroquine and  
27 anticoagulation.  
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#### 44 **Discussion**

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46 This complex case shows multi-organ thrombotic escalation. We believe that in this patient  
47 the concomitant infection initiated pathological processes, such as binding of  
48 antiphospholipid antibodies to the endothelium, followed by complement activation. The  
49 accelerated complement activation, therefore, as a second hit led to the development of  
50 catastrophic thrombotic manifestations. We also showed the intrarenal accumulation of  
51 lymphocytes, both T- and B-cells, assuming that these cells also play a role in the  
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3 development of renal pathology. These findings may explain the favorable effect of  
4 Rituximab treatment on renal function, which is beneficial for decelerating lupus-associated  
5 humoral autoimmune processes, as well as the CAPS-associated pro-thrombotic  
6 antiphospholipid antibody production. In our case immunohistochemistry reinforced the  
7 double-hit theory in CAPS development, identifying the presence of complements, as well as  
8 B-cells intrarenally, giving rise to the beneficial effects of using plasmapheresis and  
9 Rituximab in the disease management.  
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15 In the standard management of CAPS anticoagulation, corticosteroid, plasmapheresis and  
16 immunomodulatory treatment is utilized, as well as the targeted therapy of other etiological  
17 factors (e.g. infections) is pivotal. However, in certain cases, the modulation or depletion of B  
18 cells can be necessary, as the source of autoantibodies and pro-inflammatory cytokines (7).  
19 According to the CAPS Registry only 20 cases treated with Rituximab have been reported so  
20 far (8). In most cases it was a second line treatment, based on poor therapeutic response to  
21 first line therapy. In 4 cases Rituximab was the first line therapy because of associated  
22 lymphoma or very severe, other life-threatening medical conditions. Among patients treated  
23 with Rituximab, the mortality decreased to 20%; among the treated patients 4 out of 20 died  
24 (8).  
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### 31 32 **Conclusion**

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34 We believe that in our patient, the identification of intrarenal complement activation  
35 and T/B lymphocytic infiltration along with diffuse microthrombus formation aid in  
36 understanding the pathomechanism of lupus-associated CAPS triggered by infection. Based  
37 on histological evidence a combination therapy, including Rituximab treatment was used  
38 successfully, due to non-responding acute renal failure. After rituximab treatment the  
39 antiphospholipid antibody profile has been changed. According to data from the CAPS  
40 registry the effect of rituximab on antiphospholipid antibodies was controversial. In most  
41 cases the antibody profile did not change after rituximab treatment. Overall we suggest that  
42 in these patients B-cell depletion or plasma cell modulation can be considered and can be  
43 beneficial.  
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56 Conflict of interests: There is no conflict of interests.  
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3 **Legend to figures**  
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5 **Figure 1.** CT image of ischaemic laesion (A), thrombus in the coliac trunk (B), spleen  
6 infarction (C) and kidney infarction (D)  
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11 **Figure 2.**  
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13 Hematoxylin-eosin (HE) stained glomerular biopsy specimen (A), Immunofluorescent  
14 staining for fibrin (B), Trichrome special stainings of multiple intraglomerular fibrin- thrombi  
15 (C-D)  
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22 **Figure 3.**  
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24 Electron microscopy (EM) specimens of glomeruli. Screening magnification (*3000x*) (A),  
25 Higher magnification (*10000x*) (B)  
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31 **Figure 4.**  
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33 Double immunofluorescent labeling of the membrane-attack complex (A) and the pan-T-cell  
34 combined with the pan-B-cell marker to show the immune-inflammatory cellular composition  
35 (B)  
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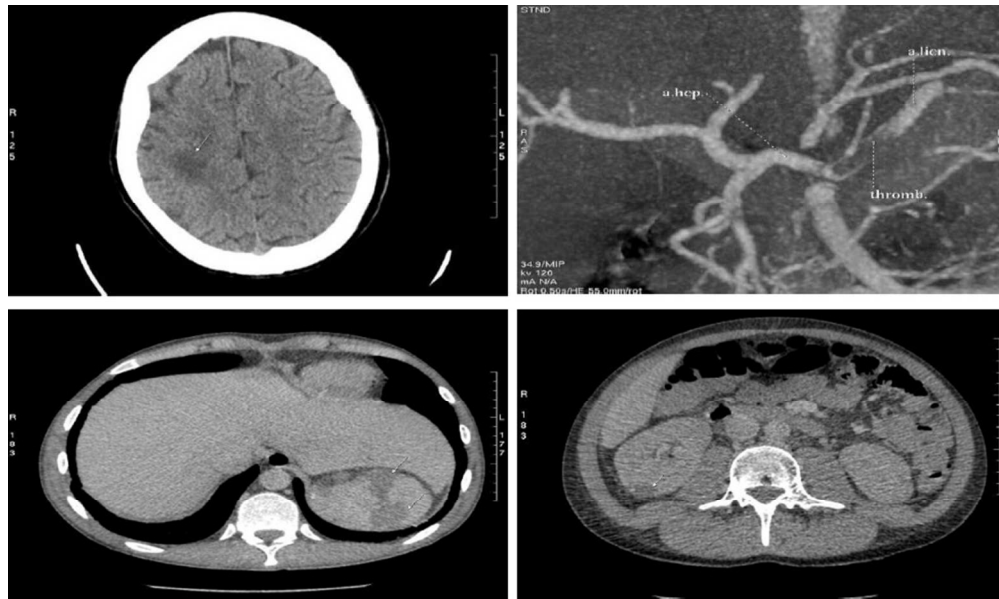


Figure 1. CT image of ischaemic laesion (A), thrombus in the coliac trunk (B), spleen infarction (C) and kidney infarction (D)

172x102mm (144 x 144 DPI)

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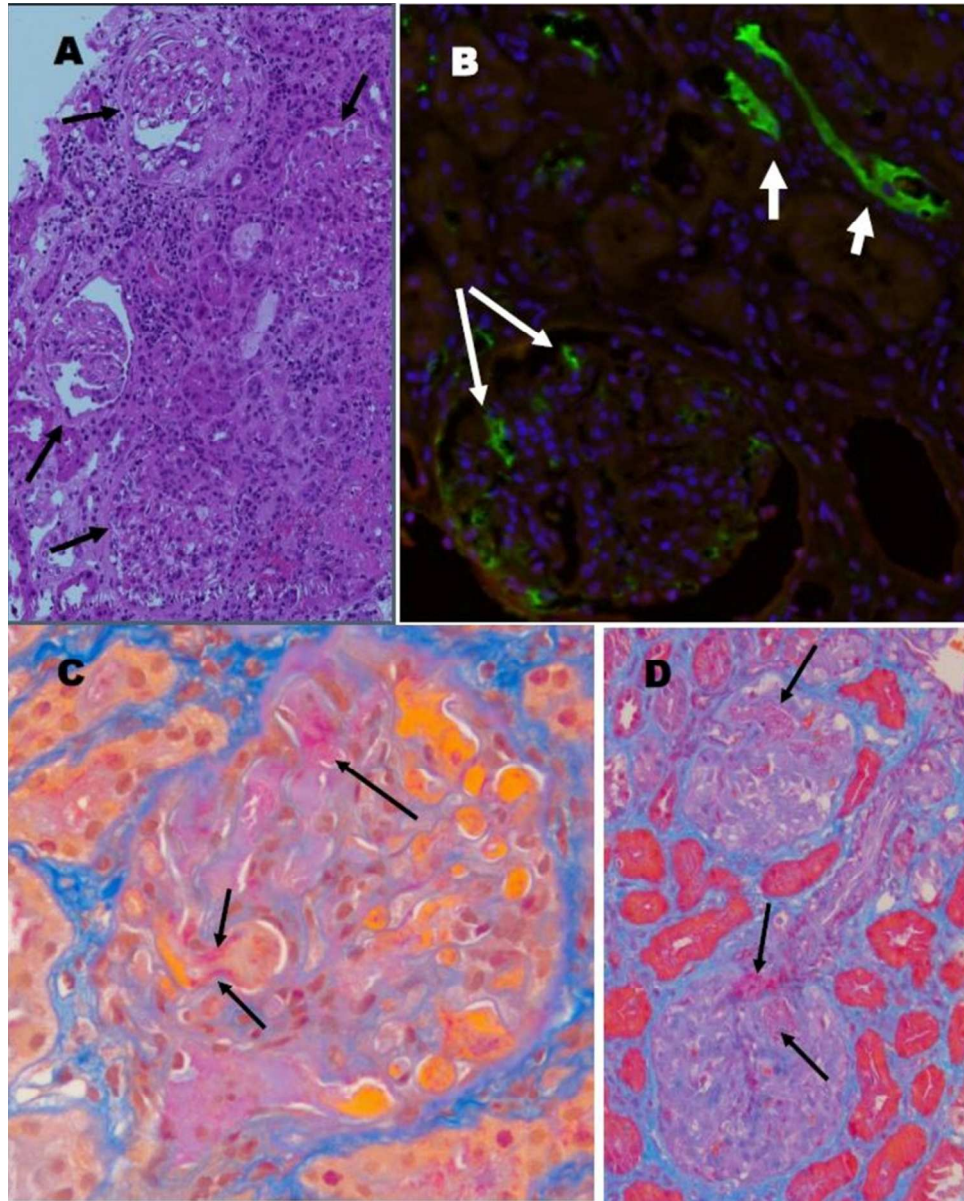


Figure 2.  
Hematoxylin-eosin (HE) stained glomerular biopsy specimen (A), Immunofluorescent staining for fibrin (B),  
Trichrome special stainings of multiple intraglomerular fibrin- thrombi (C-D)

158x197mm (144 x 144 DPI)



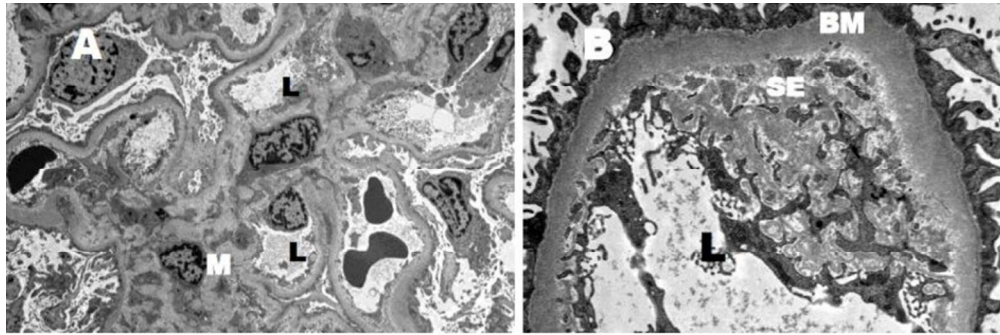


Figure 3.  
Electron microscopy (EM) specimens of glomeruli. Screening magnification (3000x) (A), Higher magnification (10000x) (B)

159x52mm (144 x 144 DPI)

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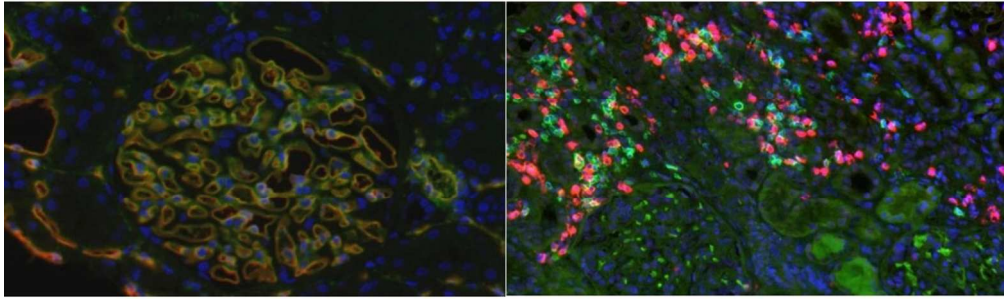


Figure 4.  
Double immunofluorescent labeling of the membrane-attack complex (A) and the pan-T-cell combined with the pan-B-cell marker to show the immune-inflammatory cellular composition (B)

192x57mm (144 x 144 DPI)

Peer Review