

1 **CD28 and 41BB costimulation enhance the effector function of**
2 **CD19-specific engager T cells**

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38
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48 **ABSTRACT**

49 T cells expressing CD19-specific chimeric antigen receptors (CARs) with
50 endodomains that encode a signaling domain derived from CD3 ζ and CD28 or
51 41BB have potent antitumor activity in early phase clinical studies for B-cell
52 malignancies. Besides CD19-specific CARs, other approaches are actively being
53 pursued to redirect T cells to CD19, including recombinant bispecific T-cell
54 engager (BiTE) proteins or T cells genetically modified to express BiTEs
55 (engager [ENG] T cells). Since BiTEs provide no costimulation, we investigated
56 here if provision of costimulation through CD28 and 41BB enhances the effector
57 function of CD19-ENG T cells. CD19-ENG T cells expressing CD80 and 41BBL
58 on their cell surface (CD19-ENG.41BBL/CD80 T cells) were generated by
59 retroviral transduction. CD19-ENG.41BBL/CD80 T cells retained their antigen
60 specificity and had superior effector function compared to both unmodified T cells
61 and CD19-ENG T cells expressing either CD80, 41BBL or no costimulatory
62 molecule, as judged by cytokine (IFN γ and IL2) production, T-cell proliferation,
63 and their ability to sequentially kill target cells. *In vivo*, CD19-ENG.41BBL/CD80
64 T cells had superior antileukemia activity in the BV173 xenograft model resulting
65 in a survival advantage in comparison to CD19-ENG T cells. Thus, provision of
66 costimulation is critical for the effector function of ENG T cells.

67

68 **INTRODUCTION**

69 Hematological malignancies of B-cell origin are an important cause of cancer-
70 related mortality since the prognosis of relapsed or refractory disease remains
71 poor (1-4). In recent years, immunotherapeutic approaches have shown promise
72 in the treatment of CD19⁺ hematological malignancies, including the adoptive
73 transfer of T cells expressing CD19-specific chimeric antigen receptors (CARs)
74 or the infusion of bispecific antibodies (BiTEs) to redirect T cells to CD19⁺ tumor
75 cells (5-16).

76

77 Although CD19-targeting CAR T cells and BiTEs have been successful in clinical
78 studies, however, both have been associated with toxicities including cytokine
79 release syndrome (CRS) and neurotoxicity (7,10,11,14,17,18). Thus, exploration
80 of alternative strategies to redirect the immune system towards CD19⁺
81 malignancies are needed. For example, T cells, genetically modified to secrete
82 CD19-specific BiTEs (CD19-ENG T cells), kill not only CD19⁺ cells, but also
83 recruit bystander T cells to tumor cells in an antigen specific manner (19,20).

84 Although CD19-ENG T cells had antitumor activity in preclinical animal models,
85 consistent IL2 production and T-cell expansion *in vivo* was dependent on the
86 presence of costimulatory molecules on the cell surface of tumor cells (19).

87

88 Because most CD19⁺ malignancies do not express costimulatory molecules on
89 their cell surface (19), we explored here if expressing the costimulatory

90 molecules CD80 and/or 41BBL on the cell surface of CD19-ENG T cells
91 enhanced their effector function. Our results indicate that costimulation with
92 CD80 and 41BBL is required for optimal antigen-dependent CD19-ENG T-cell
93 activation.

94 **MATERIALS AND METHODS**

95 **Cell lines and culture conditions**

96 The Ph-positive chronic B lymphoblastic leukemia (ALL) cell line BV173 (German
97 Collection of Microorganisms and Cell Cultures (DSMZ), Braunschweig,
98 Germany), and the ALL cell line Nalm 6 (DSMZ) were used as CD19⁺ targets.
99 The generation of firefly luciferase (ffLuc)-expressing BV173 (BV173.ffLuc) were
100 described previously (21,22). K562 (chronic myelogenous leukemia; ATCC,
101 Manassas, VA), and KG1a (acute myelogenous leukemia; ATCC) were used as
102 negative controls. All cell lines were grown in RPMI 1640 (Thermo Scientific,
103 Waltham, MA) except for KG1a (IMDM; Thermo Scientific). 293T cells (ATCC)
104 were used for packaging retroviral vectors and grown in DMEM. All media was
105 supplemented with 10-20% FBS (Thermo Scientific) and GlutaMAX-I (2 mmol/L;
106 Invitrogen, Carlsbad, CA), and all cell lines were grown in standard (37°C, 5%
107 CO₂) tissue culture incubators.

108 Cell lines were purchased between 2012 and 2016. The Characterized Cell Line
109 Core Facility at MD Anderson Cancer Center, Houston, Texas, performed cell
110 line validation. Once thawed, cell lines were kept in culture for a maximum of
111 three months before a new reference vial was thawed. All cell lines were tested
112 on a regular basis for mycoplasma and were negative.

113

114 **Generation of retroviral vectors**

115 The generation of SFG retroviral vectors encoding the CD19- or EphA2-ENG
116 molecule and mOrange were previously described (19,23). MSCV retroviral
117 vectors encoding CD80, 41BBL, or 41BBL and CD80 were generated by
118 subcloning CD80 from pORF.CD80 (Invivogen, San Diego, CA, USA), and/or
119 41BBL from pORF.41BBL (Invivogen) into MSCV-I-GFP(M) (provided by the late
120 Elio Vanin, Northwestern University Feinberg School of Medicine, Chicago, IL).
121 RD114-pseudotyped retroviral particles were generated as previously described
122 (24).

123

124 **Generation of engager T cells**

125 All methods involving human subjects were carried out in accordance to the
126 Declaration of Helsinki. Human peripheral blood mononuclear cells (PBMCs)
127 from healthy donors were obtained under a Baylor College of Medicine IRB
128 approved protocol, after acquiring informed consent. Retroviral transduction was
129 done as previously described (25,26). PBMCs were stimulated on OKT3 (1 μ g/mL;
130 CRL-8001, ATCC) and CD28 (1 μ g/mL; BD Bioscience) antibody-coated, non-
131 tissue culture treated 24-well plates. Human interleukin 7 (IL7; 10ng/mL;
132 Peprotech, Rocky Hill, NJ) and human interleukin 15 (IL15; 5ng/mL; Peprotech,
133 Rocky Hill, NJ) were added to cultures on day 2. On day 3, T cells were
134 transduced with retroviral particles on RetroNectin-coated plates (Takara Bio
135 USA, Mountainview CA) in the presence IL7 (10ng/mL) and IL15 (5 ng/mL). T
136 cells were subsequently expanded with IL7 and IL15. Non-transduced (NT) T
137 cells were activated with OKT3/CD28 and expanded in parallel with IL7 and IL15.

138 Cells were cultured for 7-10 days prior to being used for *in vitro* or *in vivo*
139 experiments.

140

141 **Flow cytometric analysis**

142 Monoclonal antibodies (mAb) for the following markers were used for
143 fluorescence activated cell sorting (FACS) analysis as described elsewhere (26):
144 41BBL (Clone C65-485; BD Biosciences, San Jose, CA) conjugated with GAM-
145 APC antibody (BD Biosciences; cat. 550826), CD80-PerCP (eBioscience, San
146 Diego, CA; cat. 46080942); CD3-APC (clone HIT3a; cat. 555342), CD4-PECy7
147 (clone SK3; cat. 560909), CD8-APCH7 (clone SK1; cat. 560179), CCR7-FITC
148 (clone 150503; cat. 561271), CD62L-APC (clone DREG-56; cat. 559772), CD95-
149 Pacific Blue (clone DX2; cat. 562616), and CD45RO-PerCP (clone UCHL1; cat.
150 560607) (all BD Biosciences, Mountain View, CA). Isotype controls used were
151 IgG1-FITC, IgG1 APC, IgG1Pe.Cy7, IgG1APC H7, IgG1 Pac Blue and IgG1
152 PercP.Cy7 (all from BD Biosciences). 10,000 cells (non-transduced [NT] or
153 genetically modified) per sample were analyzed by a FACSCalibur instrument
154 (BD Biosciences) using Cell Quest Software (BD Biosciences) and a BD Canto II
155 instrument (BD Biosciences) using FACSDiva software (BD Biosciences) and
156 analyzed using Kaluza Analysis 1.3 (Beckman Coulter) and FlowJo v10 (FlowJo
157 LLC).

158

159 **Coculture assays and ELISA**

160 NT or genetically modified effector T cells were plated at a 2:1 effector to target
161 (E:T) ratio with CD19⁺ (BV173) or CD19⁻ (K562) target cells. Coculture
162 supernatants were collected after 48 hours, snap frozen, and stored for cytokine
163 analysis at a later time. IFN γ and IL2 concentrations were determined using
164 ELISA kits (R&D Systems, Minneapolis, MN) according to the manufacturer's
165 instructions.

166

167 **Cytotoxicity assay**

168 Cytotoxic activity of ENG T cells against targets was determined by standard
169 ⁵¹Chromium (Cr) release assay. 1×10^6 target cells were labeled with $50 \mu\text{Ci}$ ⁵¹Cr
170 and incubated for 1 hour. Targets were then washed and 5×10^3 cells were
171 cocultured with effector T cells at different effector to target (E:T) ratios.
172 Supernatants were analyzed for radioactivity using a Wizard gamma counter
173 Model 2470 (Perkin Elmer, Shelton CT) reader after 4 hour incubation. Percent
174 lysis was calculated as previously described (21).

175

176 **Sequential killing assay**

177 To determine the cytolytic activity of T cells after repeat stimulations, we
178 performed a sequential killing assay with GFP-positive tumor cell lines (BV173
179 and Nalm 6) as outlined in **Supplementary Fig. S4**. 1.5×10^5 GFP-positive tumor
180 cells were plated at different E:T ratios (1:4 and 1:8) with T cells in a 48-well
181 plate. Every 3 to 4 days, cells were mixed in the well, and a small aliquot of cells
182 was removed for analysis. The remaining cells were washed once, resuspended

183 in fresh, cytokine-free RPMI and 1.5×10^5 fresh tumor cells were added. Cells
184 were stained with 7-AAD (BD Biosciences; cat. 559925) and anti-CD3-APC (BD
185 Biosciences) before enumerating viable GFP⁺ (tumor) and CD3⁺ T cells by FACS
186 analysis using Countbright Absolute Counting Beads (Life technologies, Eugene,
187 OR). Coculture assays were also performed in a 24-well plate format in which
188 tumor cells and T cells were plated at a 1:1 E:T ratio (5×10^5 cells each per well).
189 After 5 days, cells were mixed in the well, and a small aliquot of cells was
190 removed for analysis. The remaining cells were washed once, resuspended in
191 fresh, cytokine-free RPMI and 5×10^5 fresh tumor cells were added.

192

193

194 ***In vivo* experiments**

195 Animal experiments were performed on a protocol approved by the Baylor
196 College of Medicine Institutional Animal Care and Use Committee in accordance
197 to the American Association for Laboratory Animal Science. Eight to 10-week old
198 NSG mice (NOD.Cg-Prkdcscid/Il2rgtm1Wjl/SzJ; JAX Mice, Bar Harbor, ME) were
199 sublethally irradiated with 120 cGy 24 hours before the intravenous (i.v.) injection
200 of 3×10^6 BV173.ffLuc cells. Mice were treated with 1×10^6 CD19-ENG, CD19-
201 ENG.CD80.41BBL or EphA2-ENG.CD80/41BBL T cells given i.v. on day 7 after
202 tumor cell injection. The same model was used to track T cells *in vivo*, but in this
203 case, unmodified BV173 cells and T cells genetically modified to express an
204 enhanced green fluorescent protein ffLuc fusion gene (eGFP.ffLuc) were
205 injected. Mice were imaged using the IVIS® system (IVIS, Xenogen Corp.,

206 Alameda, CA) as previously described (21), and euthanized at predefined
207 endpoints (antitumor activity: day 80; T-cell persistence: day 100) or when they
208 met euthanasia criteria in accordance with the Center for Comparative Medicine
209 at Baylor College of Medicine.

210

211 **Statistical analysis**

212 Data were summarized using descriptive statistics. Comparisons of continuous
213 variables among three or more groups were made by one-way ANOVA, while
214 comparisons between two groups were made by t-test or Wilcoxon rank-sum test
215 when appropriate. Multiple comparisons were adjusted by the Holm's method.

216 Survival times from tumor cell injection in the mouse experiments were analyzed
217 by the Kaplan–Meier method and the Gehan-Wilcoxon test. GraphPad Prism 5
218 software (GraphPad software, Inc., La Jolla, CA), SAS 9.4, and R 3.3.2 were
219 used for statistical analysis. *P* values < 0.05 were considered statistically
220 significant.

221

222 RESULTS

223 Generation and characterization of CD19-ENG T cells

224 We generated T cells expressing CD19-ENG, CD19-ENG and CD80 (CD19-
225 ENG.CD80), CD19-ENG and 41BBL (CD19-ENG.41BBL), and CD19-ENG with
226 both 41BBL and CD80 (CD19-ENG.41BBL/CD80) by transducing CD3/CD28-
227 activated T cells with one or two retroviral vectors encoding the respective
228 transgenes (**Fig. 1A**). Five to seven days post transduction, genetically modified
229 T cells were enumerated by FACS analysis for mOrange (CD19-ENG), CD80,
230 and 41BBL expression (**Fig. 1B,C**). Mean mOrange expression ranged from
231 53.9% ($\pm 6.2\%$) to 79% ($\pm 3\%$) with no significant differences between transduced
232 T-cell populations. CD19-ENG.CD80 (mean 55.9% $\pm 4.4\%$) and CD19-
233 ENG.41BBL/CD80 (mean 53.6% $\pm 13\%$) T cells showed significantly higher
234 expression of CD80 molecules when compared to CD19-ENG ($p=0.0002$ and
235 $p=0.004$) and CD19-ENG.41BBL T cells ($p=0.0002$ and $p=0.003$). CD19-
236 ENG.41BBL (mean 62.8% $\pm 14\%$) and CD19-ENG.41BBL/ CD80 T cells (mean
237 86.7% $\pm 8\%$) expressed significantly more 41BBL than CD19-ENG and CD19-
238 ENG.CD80 T cells ($p=0.004$ and $p=0.0002$, respectively). These results indicate
239 the successful generation of CD19-ENG T cells coexpressing CD80 and/or
240 41BBL.

241

242 Ten to 14 days post transduction, CD19-ENG T-cell populations were stained for
243 CD3, CD4, CD8, CD45RO, CCR7, TIM3, LAG3, and PD-1 to determine if
244 expression of costimulatory molecules changes their phenotype. The ratio of
245 CD8⁺ to CD4⁺ T cells was 3 to 1 for T cells expressing CD19-ENG, and T cells

246 had predominantly an effector memory RA (EMRA; CD45RO⁻ CCR7⁻) phenotype
247 (**Fig. 2A,B; gating strategy in Supplementary Fig. S1B**). Expressing CD80
248 and/or 41BBL on CD19-ENG T cells changed neither CD8:CD4 T-cell ratio nor
249 phenotype. Non-transduced (NT) T cells had a similar CD8:CD4 ratio, but had a
250 predominately naïve (N; CD45RO⁻ CCR7⁺) and central memory (CM; CD45RO⁺
251 CCR7⁺) phenotype (**Fig. 2A,B**). PD-1 and LAG3 expression in T cells transduced
252 with CD19-ENG, CD19-ENG.CD80, CD19-ENG.41BBL, or CD19-
253 ENG.41BBL.CD80 was not significantly different from NT T cells, and no T-cell
254 population expressed TIM3 (**Fig. 2C,D; gating strategy in Supplementary Fig.**
255 **S1C**). Thus, expression of CD80 and/or 41BBL on the cell surface of CD19-ENG
256 T cells does not change their phenotype. To further investigate the naïve cell
257 population of NT T cells, we performed staining for CD62L and CD95,
258 demonstrating a high percentage of T cells with a stem cell memory-like
259 phenotype (CD45RO⁻ CCR7⁺ CD62L⁺ CD95⁺ cells; **Supplementary Fig. S2**),
260 which is consistent with previous findings by others (27-29).

261

262

263 **CD80 and 41BBL enhance antigen-dependent IFN γ and IL2 production**

264 To evaluate if expressing CD80 and/or 41BBL on the cell surface of CD19-ENG
265 T cells enhanced their effector function, we performed cytotoxicity assays and
266 determined the production of IFN γ and IL2 after exposure to CD19⁺ target cells.
267 CD19-ENG T cells killed CD19⁺ (BV173) but not CD19⁻ (KG1a) tumor cells, and
268 expression of CD80 and/or 41BBL did not change their specificity or cytolytic

269 activity (**Fig. 3A**). This was confirmed for a second CD19⁺ target cell (Nalm 6;
270 **Supplementary Fig. S3**). To confirm that expression of CD80 and 41BBL did not
271 result in nonspecific target cell killing, we expressed both molecules in T cells
272 transduced with an ENG molecule specific for an irrelevant antigen (EphA2-
273 ENG). EphA2-ENG.41BBL/CD80 T cells did not kill BV173 or KG1a (**Fig. 3A**).

274

275 To determine IFN γ and IL2 production after antigen exposure, CD19-ENG,
276 CD19-ENG.CD80, CD19-ENG.41BBL, CD19-ENG.41BBL/CD80, or EphA2-
277 ENG.41BBL/CD80 T cells were cultured with CD19⁺ (BV173) or CD19⁻ (K562)
278 cell lines, two cell lines that do not express the costimulatory molecules CD80,
279 CD86, or 41BBL (19). After 24 hours, the concentration of IFN γ and IL2 in
280 culture media was determined by ELISA. CD19-ENG.41BBL/CD80 T cells
281 secreted significantly more IFN γ ($p=0.037$) and IL2 ($p=0.011$) in comparison to
282 CD19-ENG T cells (**Fig. 3B**). Although CD19-ENG.CD80 and CD19-
283 ENG.41BBL T cells also secreted more IFN γ and IL2 than CD19-ENG T cells,
284 this difference did not reach significance. EphA2-ENG.41BBL/CD80 T cells did
285 not produce significant levels of IFN γ and IL2 in the presence of CD19⁺ or CD19⁻
286 targets, confirming antigen specificity (**Fig. 3B**). Thus, expression of CD80 and
287 41BBL on the cell surface of CD19-ENG T cells is required to significantly
288 enhance their ability to secrete IFN γ and IL2 after antigen-specific T-cell
289 activation.

290

291

292 **CD80 and 41BBL enhance sequential antitumor activity**

293 To evaluate if provision of costimulation enhanced the sequential killing capability
294 of CD19-ENG T cells, we performed a sequential killing assay (outlined in
295 **Supplementary Fig. S4**). BV173 or Nalm 6 cells were cocultured with different
296 CD19-ENG T cell populations at an initial E:T ratio of 1:4 or 1:8. Every 3 to 4
297 days, T cells and tumor cells were enumerated before washing cells,
298 resuspending in cytokine-free media, and adding fresh tumor cells. For BV173 at
299 both E:T ratios and for Nalm 6 at an E:T ratio of 1:4, CD19-ENG.41BBL/CD80 T
300 cells killed target cells up to the 7th time fresh tumor cells were added. CD19-
301 ENG.CD80 T cells killed target cells up to the 5th, CD19-ENG.41BBL T cells up to
302 the 4th, and CD19-ENG T cells up to the 2nd time, respectively (**Fig. 4A-C**;
303 **Supplementary Fig. S5A**). For Nalm 6, at an initial E:T ratio of 1:8, CD19-
304 ENG.41BBL.CD80 T cells could kill targets for up to the 4th time fresh tumor cells
305 were added, with other CD19-ENG T cell populations being able to kill up to the
306 3rd time (**Supplementary Fig. S5B**). CD19-ENG.41BBL/CD80 T cells had the
307 most consistent antitumor activity against both targets at both E:T ratios in
308 comparison to CD19-ENG T cells (**Fig. 5B**). CD19-ENG.41BBL/CD80 T cells
309 were also the only population that expanded significantly more than CD19-ENG
310 in the presence of both targets and E:T ratios (**Fig. 5A,B**). Thus, our analysis
311 demonstrated the following T-cell effector rank order: CD19-ENG.41BBL/CD80 >
312 CD19-ENG.CD80 = CD19-ENG.41BBL > CD19-ENG T cells (**Fig. 5**). Due to the
313 superior effector function of CD19-ENG.41BBL.CD80 T cells in all performed *in*
314 *vitro* assays, we selected these cells for our *in vivo* experiments. Improved

315 cytolytic activity of CD19-ENG.41BBL/CD80 and CD19-ENG.CD80 T cells was
316 also confirmed in a coculture assay in which T cells were re-exposed to tumor
317 cells every 5 days (**Supplementary Fig. S6**). Although CD19-ENG.41BBL/CD80
318 T cells were effective against both targets, T-cell expansion was limited in the
319 presence of Nalm 6 cells. We, therefore, investigated whether a difference in PD-
320 L1 expression existed between BV173 and Nalm 6 cells. At baseline, neither cell
321 line expressed PD-L1, whereas IFN γ exposure induced PD-L1 expression in
322 Nalm 6 cells but not in BV173 cells (**Supplementary Fig. S7**).

323

324 **CD19-ENG.41BBL/CD80 T cells have superior antitumor activity *in vivo***

325 To evaluate the antitumor activity of CD19-ENG.41BBL/CD80 T cells, NSG mice
326 were injected with 3×10^6 BV173.ffLuc cells, and on day 7 received a single i.v.
327 dose of 1×10^6 CD19-ENG, CD19-ENG.41BBL/CD80, or EphA2-
328 ENG.41BBL/CD80 T cells. Whereas CD19-ENG T cells had no antitumor activity
329 at a cell dose of 1×10^6 as previously reported (19), 1×10^6 CD19-
330 ENG.41BBL/CD80 T cells had potent antitumor activity in 9/10 mice as judged by
331 bioluminescence imaging (**Fig. 6A,B**). Long-term follow up to 80 days revealed
332 no weight loss or leukemia recurrence, resulting in a significant survival
333 advantage of CD19-ENG.41BBL/CD80 T cell treated mice ($p < 0.0001$; **Fig. 6C,D**).
334 EphA2-ENG.41BBL/CD80 T cells had no antitumor activity.

335

336 CD19-ENG T cells do not expand in the BV173 leukemia model *in vivo*.(19) To
337 evaluate if CD19-ENG.41BBL/CD80 T cells expand *in vivo* in an antigen-

338 dependent manner, NSG mice were injected with 1×10^6 BV173 cells, and on day
339 7 received a single i.v. dose of 1×10^6 CD19-ENG.41BBL/CD80 or EphA2-
340 ENG.41BBL/CD80 T cells, that were also genetically modified to express
341 eGFP.ffLuc. Control mice that did not receive any tumor were injected with
342 eGFP.ffLuc-expressing CD19-ENG.41BBL/CD80 T cells. Within the first 5 days
343 post T-cell injection, CD19-ENG.41BBL/CD80 T cells expanded significantly in
344 the femurs of tumor-bearing mice, as judged by bioluminescence imaging, in
345 contrast to mice without tumors (**Fig. 7A, B**). EphA2-ENG.41BBL/CD80 T cells
346 expanded much more slowly than CD19-ENG.41BBL/CD80 in tumor-bearing
347 mice, demonstrating that the early expansion of CD19-ENG.41BBL/CD80 T cells
348 post injection is antigen-specific. Starting 7 days post injection, no statistical
349 significant difference in the bioluminescence signal among all three groups was
350 seen. Long-term follow-up revealed that all three T-cell populations expanded
351 until day 13. Afterwards, T cells in the CD19-ENG.41BBL/CD80 T cells +/- tumor
352 groups contracted and persisted in low numbers until the end of the end of the
353 experiment (day 93 post T-cell injection) with no evident weight loss
354 (**Supplementary Fig. S8A-C**). Area under the curve analysis revealed a
355 significantly greater ($p < 0.01$) expansion and persistence of CD19-
356 ENG.41BBL/CD80 T cells in the presence of tumor cells (**Supplementary Fig.**
357 **S8B**). Tumor-bearing mice that had received EphA2-ENG.41BBL/CD80 T cells
358 needed to be euthanized on day 13, which is consistent with tumor progression
359 (**Fig. 6**).
360

361

362 **DISCUSSION**

363 In this manuscript, we demonstrate that the expression of CD80 and 41BBL on
364 the cell surface of CD19-ENG T cells enhances their effector function.

365 Expression of CD80 and 41BBL had no impact on antigen specificity, but
366 improved antigen-dependent cytokine secretion (IFN γ and IL2), T-cell expansion,
367 and antitumor activity of CD19-ENG T cells.

368

369 Optimal T-cell activation requires antigen-specific CD3 ζ stimulation (signal 1) and
370 costimulation (signal 2). Upon proper costimulation, T cells produce cytokines or
371 induce cytokine production by neighboring cells (signal 3), which is critical for
372 their expansion (30-33). Comparisons of the effector function of T cells that
373 express 1st generation CARs, containing only a CD3 ζ endodomain, to 2nd
374 generation CARs, whose endodomains contain the CD3 ζ signaling domain plus
375 those from costimulatory molecules such as CD28, 41BB, OX40, or CD27, has
376 highlighted the need of costimulation for proper T cell activation (34-36). Whether
377 T cells expressing a CAR that encodes two costimulatory endodomains (3rd
378 generation CAR) have superior effector function than 2nd generation CAR T cells
379 remains controversial and depends on the used tumor model (37-39).

380

381 Besides incorporating costimulatory signaling domains into CARs, investigators
382 have also explored the expression of costimulatory molecules on the cell surface
383 of CAR T cells (40). A study by Zhao and colleagues suggests that T cells
384 expressing CAR.CD28.ζ and 41BBL have superior effector function in
385 comparison to T cells expressing CAR.ζ, CD80 and 41BBL, or
386 CAR.41BB.CD28.ζ on their cell surface (41). Here, we explored if provision of
387 costimulatory molecules improved the effector function of CD19-ENG T cells,
388 which secrete BiTEs that only activate CD3ζ. We successfully generated CD19-
389 ENG T cells expressing CD80 and/or 41BBL. Expression of both molecules did
390 not change the phenotype of CD19-ENG T cells and did not result in upregulation
391 of exhaustion markers (PD-1, LAG3, TIM3), which has been observed in T cells
392 that express CARs that are constitutively active (tonic signaling) (42). However,
393 expressing CD19-ENG in T cells led to a decrease of naïve (CD45RO⁻ CCR7⁺) T
394 cells in comparison to NT T cells, which might reflect the presence of residual B-
395 cells in our culture system at the time of transduction, resulting in T-cell activation
396 through CD19-ENGs. Although CD19-ENG T cells did not produce significant
397 amounts of IFNγ in the absence of CD19⁺ target cells, baseline tonic signaling by
398 CD19-ENG bound to the T-cell surface cannot be excluded as another
399 mechanism for a decrease of naïve T cells. Further studies are needed to
400 investigate the mechanism of these phenotypic changes including molecular and
401 epigenetic studies (43,44).

402

403 Expression of both CD80 and 41BBL was necessary for a significant increase in
404 antigen-dependent IL2 production in comparison to CD19-ENG T cells. This
405 differs from CARs in which a single costimulatory endodomain is sufficient
406 (45,46). However, it is consistent with findings by others that expression of CD80
407 and 41BBL is required on the cell surface of CAR.ζ T cells for significant antigen-
408 dependent IL2 production (40,41). Expression of both CD80 and 41BBL was also
409 required for optimal cytolytic activity and expansion of CD19-ENG T cells in the
410 sequential killing assays we performed. In these assays, CD19-ENG T cells
411 expressing either CD80, 41BBL or no costimulatory molecule were re-exposed to
412 tumor cells every 3 to 4 days to mimic the *in vivo* situation in which tumor cells
413 are exposed to tumor cells constantly when they first arrive at tumor sites. All
414 CD19-ENG T-cell populations expanded better in the presence of BV173 than
415 Nalm 6, most likely due to the upregulation of PD-L1 on Nalm 6 cells in the
416 presence of IFNγ. Here we explored only one combination of costimulatory
417 molecules. In the setting of CAR.ζ T cells, investigators have compared the
418 benefits of expressing CD80 with several members of the tumor necrosis factor
419 ligand family, including 41BBL, CD70, OX40L, and CD30L (40). Their results
420 indicate that combining CD80 and 41BBL costimulation is most effective in
421 enhancing the effector function of CAR.ζ T cells (40).

422

423 *In vivo*, expression of CD80 and 41BBL on the cell surface of CD19-ENG T cells
424 resulted in a significant increase in their antitumor activity, confirming the *in vitro*
425 sequential killing assay result. This result was not due to nonspecific tumor killing

426 because control EphA2-ENG.41BBL/CD80 T cells had no antitumor activity.
427 CD19-ENG.41BBL/CD80 T cells did not induce significant xenogenic graft versus
428 host disease (GvHD), as judged by weight and/or fur loss, during the 80-day
429 observation period post T-cell injection nor was it observed in the T-cell
430 persistence experiment with a follow-up of 93 days post T-cell injection. Future
431 studies are planned to confirm our findings by performing a detailed histological
432 analysis of organs of euthanized mice.

433

434 Besides expressing costimulatory molecules on the cell surface of ENG T cells,
435 other strategies could be explored to provide costimulation. For example, others
436 have generated fusion proteins that consist of a tumor-associated antigen (TAA)-
437 specific scFv and the ectodomain (ECD) of CD80 or 41BBL (32,33). T cells
438 incubated with BiTEs, TAA.scFv-CD80.ECD, and TAA.scFv-41BBL.ECD
439 recombinant proteins result in improved IFN γ production and T-cell proliferation
440 in comparison to T cells that were incubated with BiTEs and TAA.scFv-
441 CD80.ECD (33,47). These results mirror our findings that provision of two
442 costimulatory signals results in enhanced effector function after BiTE-mediated
443 T-cell activation.

444

445 In summary, our study demonstrates that provision of CD80 and 41BBL
446 enhances the effector function of CD19-ENG T cells. Our results are informative
447 not only for the future clinical development of ENG T cells for hematological and

448 solid malignancies, but also for immunotherapeutic approaches that rely on the
449 infusion of recombinant BiTE proteins or oncolytic viruses that are genetically
450 engineered to produce BiTEs.

451

452 **ACKNOWLEDGEMENTS**

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- 620
621

622 **Figure Legends**

623

624 **Figure 1: Generation of CD19-ENG T cells expressing CD80 and/or 41BB.**

625 **(A)** Scheme of retroviral constructs. **(B)** Representative dot plots for CD19-ENG
626 (mOrange), CD80 (PercP) and 41BBL (APC) 5 to 7 days post transduction of
627 CD3/CD28-activated T cells with retroviral vectors. **(C)** Mean and SD of
628 mOrange, CD80, and 41BBL expression (n=3; * $p < 0.05$ compared to CD19-ENG
629 T cells, two-tailed t-test).

630

631 **Figure 2: Immunophenotype and exhaustion marker expression in CD19**

632 **ENG T cells.** 10 to 14 days post transduction, flow cytometric analysis was used
633 to determine **(A)** Frequency of CD8⁺ and CD4⁺ T cells subsets (n=3, non-
634 significant (NS), one-way ANOVA); **(B)** Frequency of naïve (CD45RO⁻ CCR7⁺),
635 effector memory (CD45RO⁺ CCR7⁻), central memory (CD45RO⁺ CCR7⁺), and
636 EMRA (CD45RO⁻ CCR7⁻) T cells (n=6; naïve and EMRA T cells: NT vs CD19-
637 ENG +/- costimulatory molecules: $p \leq 0.001$, one-way ANOVA); Frequency of
638 Tim3, PD-1, and LAG3 expression on **(C)** CD4⁺ cells and **(D)** CD8⁺ cells (n=3,
639 NS, one-way ANOVA).

640

641 **Figure 3: Antigen specificity and cytokine secretion by CD19-ENG T cells.**

642 **(A)** Cytotoxicity assays were performed using CD19-ENG, CD19-ENG.CD80,
643 CD19-ENG.41BBL, CD19-ENG.41BBL/CD80 and EphA2-ENG, 41BBL/CD80 T
644 cells as effectors and CD19⁺ (BV173) and CD19⁻ (KG1a) tumor cells as targets

645 at a E:T ratio of 10:1. Percent lysis is plotted using Tukey box and whisker plots.
646 (n=4; assay performed in triplicates). **(B)** Effector T cells were cocultured with
647 CD19⁺ (BV173), CD19⁻ (KG1a) tumor cells, or media only at a ratio of 2:1. After
648 48 hours, IFN γ and IL2 production was determined by ELISA and plotted using
649 Tukey box and whisker plots (n=5; assay performed in duplicates, significance at
650 $p<0.05$; one-way ANOVA).

651

652 **Figure 4: CD19-ENG.41BBL/CD80 T cells have improved ability to**
653 **sequentially kill CD19⁺ target cells.** Sequential killing assays were performed
654 as outlined in the material and methods section and **Supplementary Fig S2.**

655 Absolute cell count of live tumor cells was obtained by flow cytometry using
656 Countbright counting beads. **(A,B)** Live tumor cell number was plotted relative to
657 tumor cell number at the start of each stimulation; **(A)** BV173 (n=3), **(B)** Nalm6
658 (n=4). **(C)** Summary data presented as a heatmap denoting no antitumor activity
659 for all donors (yellow), donor-dependent antitumor activity (blue/yellow), or
660 antitumor activity in all donors (blue). Numbers 1-7 under cell line names denote
661 stimulation number. White boxes represent values not determined. The
662 Wilcoxon rank sum test was used to determine significance ($p<0.05$).

663

664 **Figure 5: CD19-ENG.41BBL/CD80 T cells have improved antigen-dependent**
665 **proliferative capacity.** **(A)** Sequential killing assays were performed as outlined
666 in the material and methods section and **Supplementary Fig S2.** Absolute cell
667 count of live T cells was obtained by flow cytometry using Countbright counting

668 beads. Fold T-cell expansion at each sequential stimulation is plotted. **(B)**
669 Proliferation comparison table. Statistical significance was determined using the
670 Wilcoxon rank sum test. Orange boxes denote comparison of T-cell numbers
671 (dark orange: $p < 0.05$, light orange $p = \text{NS}$). Blue boxes denote comparison of
672 tumor cell numbers between different conditions (dark blue: $p < 0.05$, light blue
673 $p = \text{NS}$).

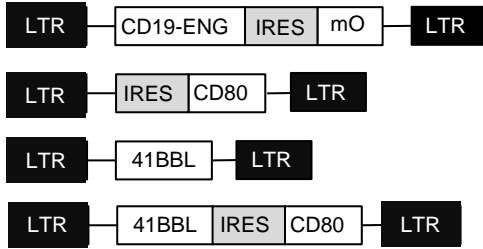
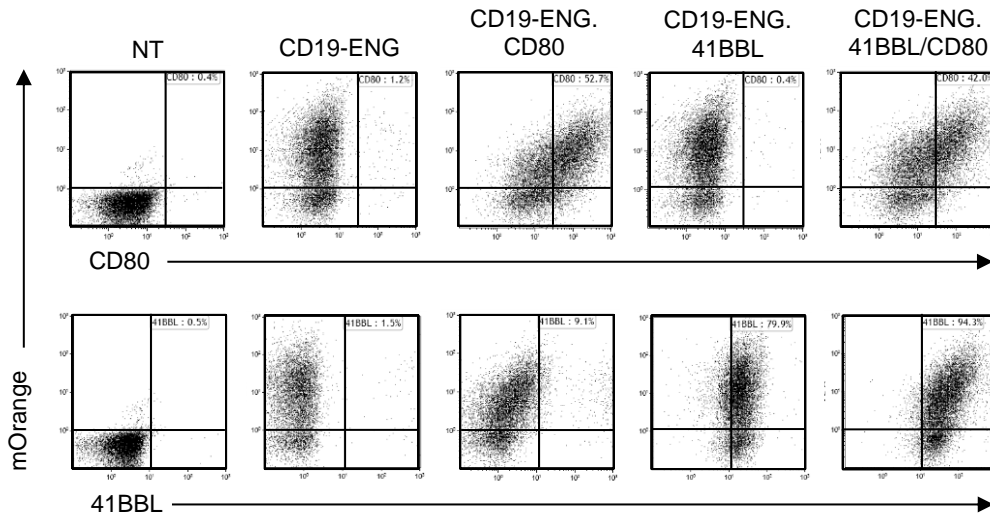
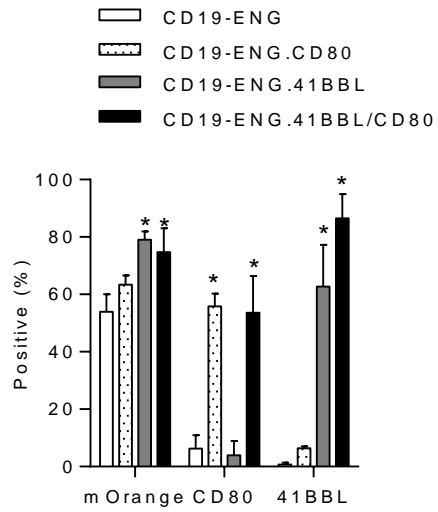
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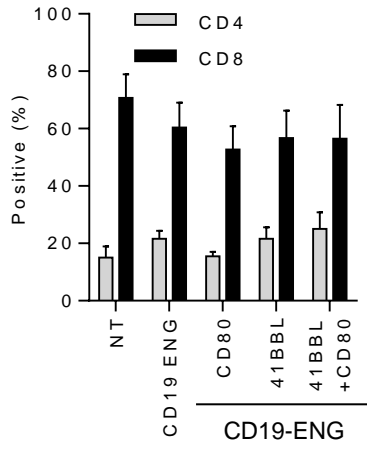
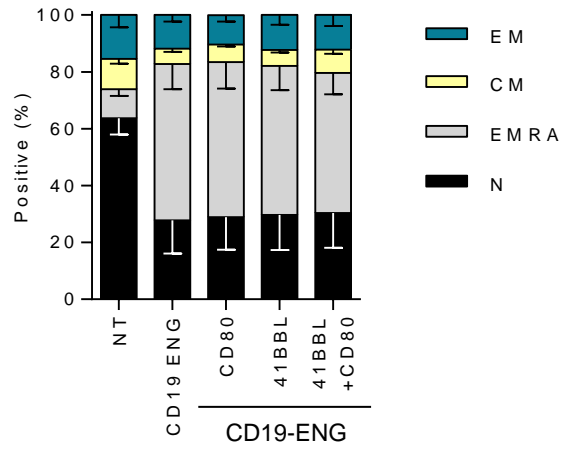
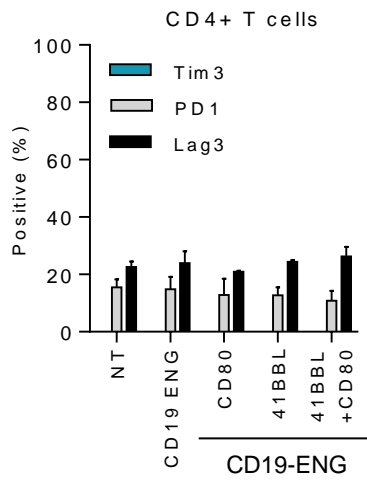
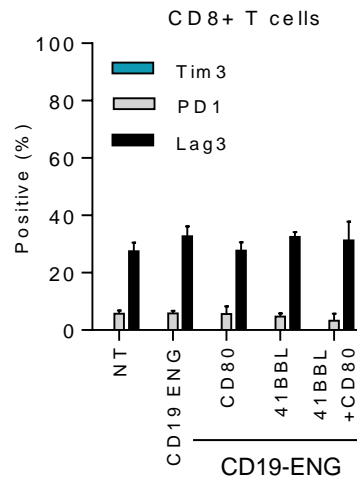
675 **Figure 6: CD19-ENG.41BBL/CD80 T cells have improved antitumor activity**
676 **when compared to CD19 ENG T cells *in vivo*.** NSG mice were sublethally
677 irradiated and injected i.v. with BV173.ffLuc. On day 7, mice received one i.v.
678 dose of 1×10^6 CD19-ENG (n=5), CD19-ENG.41BBL/CD80 (n=9), or EphA2-
679 ENG.41BBL/CD80 (n=5) T cells. Tumor growth was monitored by
680 bioluminescence imaging. **(A)** Images of representative animals. **(B)**
681 Bioluminescence signal (radiance = photons/sec/cm²/sr) over time is plotted. **(C)**
682 Shown are weights of animals over the course of the experiment. **(D)** Kaplan-
683 Meier survival curves for injected mice. Statistical significance was determined
684 using the Wilcoxon rank sum test ($p < 0.0001$).

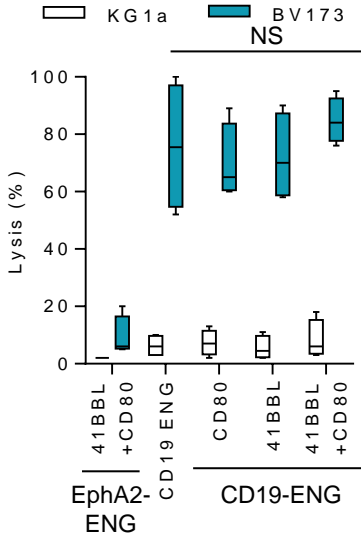
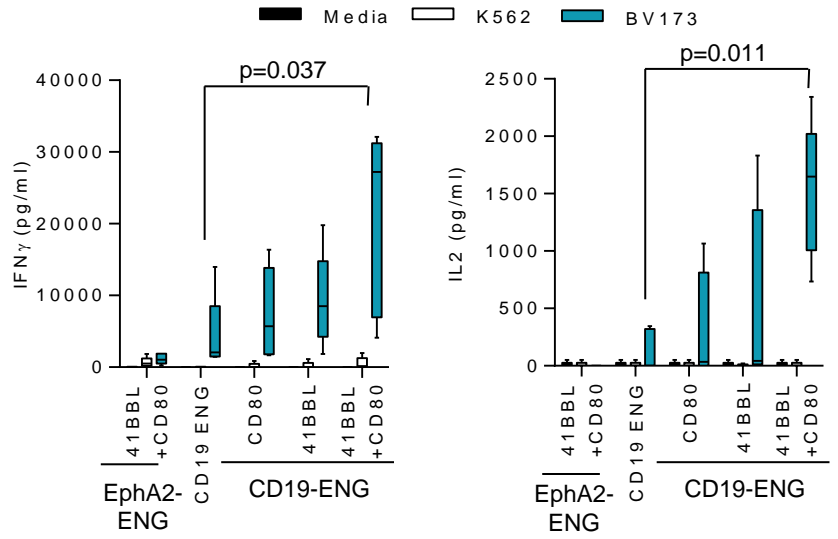
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686 **Figure 7: CD19 ENG.41BBL/CD80 T cells expand *in vivo*.** NSG mice were
687 sublethally irradiated and injected i.v. with BV173. On day 7, mice received one
688 i.v. dose of 1×10^6 CD19-ENG.41BBL/CD80 (n=5) or EphA2-ENG.41BBL/CD80
689 (n=4) T cells that were genetically modified with eGFP.ffLuc. Mice without tumors
690 received one i.v. dose of 1×10^6 CD19-ENG.41BBL/CD80 (n=5). **(A)** Images of

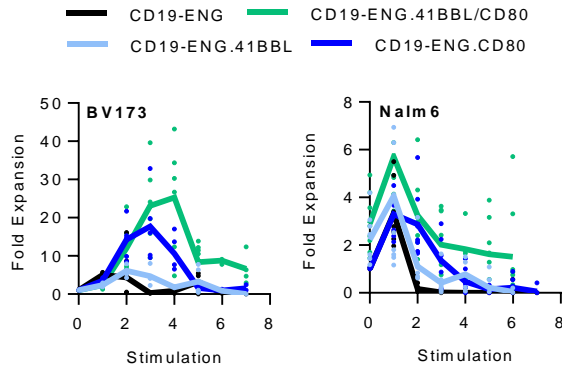
691 representative animals over time are shown. **(B)** Plots of bioluminescence signal
692 (radiance = photons/sec/cm²/sr) of femurs (* $p < 0.05$ for day 1, *** $p < 0.001$ for
693 days 3 and 5, $p = \text{ns}$ for day 7, by Gehan-Wilcoxon test).

A**B****C**

A**B****C****D**

A**B**

A

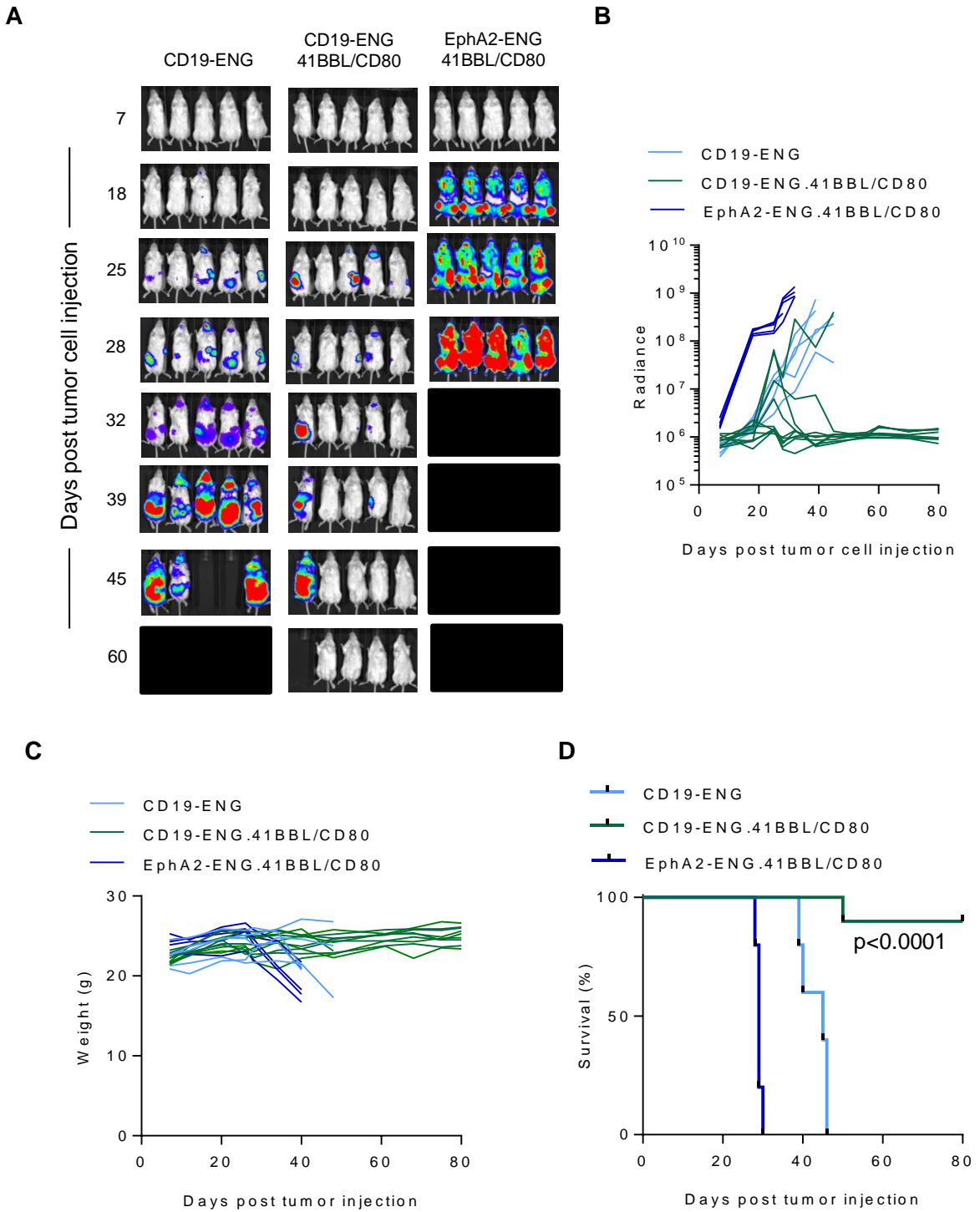


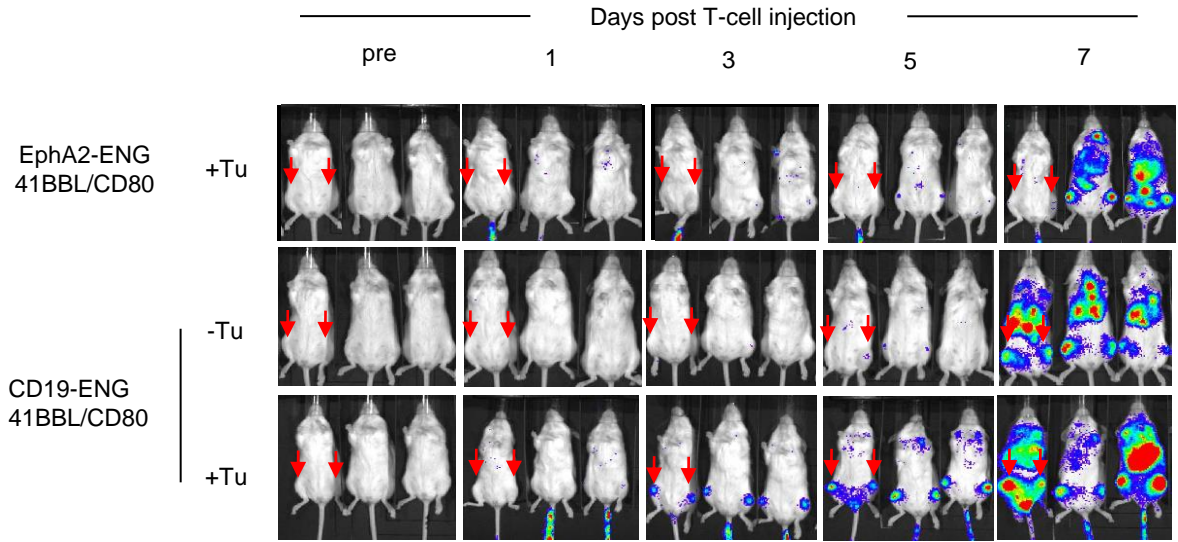
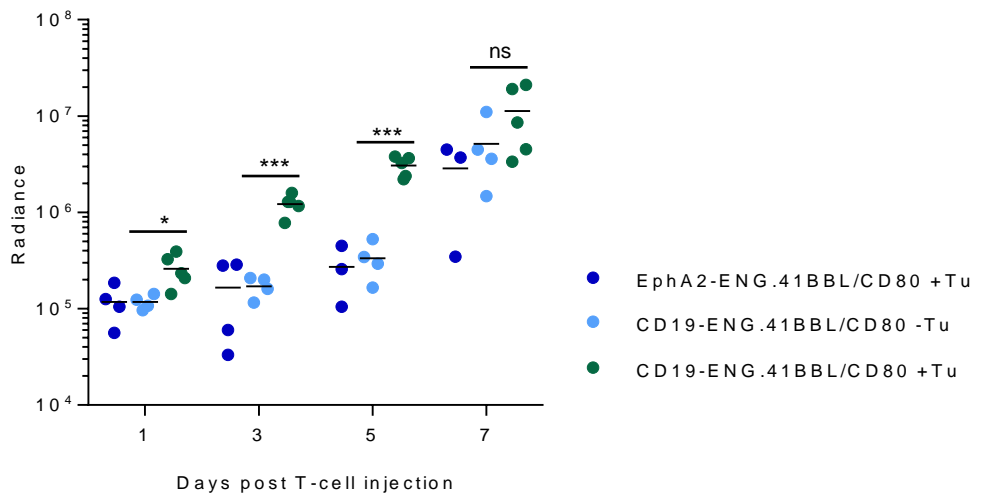
B

		CD19-ENG							
		-	CD80	41BBL	41BBL CD80	-	CD80	41BBL	41BBL CD80
E:T ratio		1:4				1:8			
Target		BV173							
	-		0.007	0.031	0.001		<0.001	0.054	<0.001
	CD80	0.360		0.299	0.899	<0.001		0.004	0.669
	41BBL	0.571	0.157		0.140	0.014	0.058		0.002
	41BBL.CD80	0.021	0.056	0.002		<0.001	0.209	0.002	
Target		Nalm6							
	-		0.027	0.137	<0.001		0.094	0.554	0.003
	CD80	<0.001		0.528	0.029	0.015		0.341	0.173
	41BBL	0.001	0.547		0.004	0.001	0.564		0.024
	41BBL.CD80	<0.001	0.005	0.001		<0.001	0.001	0.002	

T-cell comparison: p<0.05; NS

Tumor cell comparison: p<0.05; NS



A**B**

Cancer Immunology Research

CD28 and 41BB costimulation enhances the effector function of CD19-specific engager T cells

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