

# Perrin and Förster unified: Dual-laser triple-polarization FRET (3polFRET) for interactions at the Förster-distance and beyond

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**Abbreviations:** FRET, fluorescence resonance energy transfer; MHCI/MHCII, Class I/Class II Major Histocompatibility Complex protein;  $\beta_2m$ , beta-2 microglobulin, the light chain (l.c.) component of MHCI; mAb, monoclonal antibody.

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## Abstract

Dual laser flow cytometric energy transfer (FCET) – elaborated by Trón et al in 1984 – is an efficient and rapid way of measuring FRET on large cell populations. FRET efficiency and the donor and acceptor concentrations are determined from one donor and two acceptor signals. In this communication this method is extended towards the domain of receptor dynamics by the detection of polarized components of the three intensities. By enabling a complete description of the proximity and dynamics of FRET-systems, the new measuring scheme allows a more refined description of both the structure and dynamics of cell surface receptor clusters at the nano-scale and beyond. Associated donor fraction, limiting anisotropy and rotational correlation time of the donor, and cell-by-cell estimation of the orientation factor for FRET ( $\kappa^2$ ) are available in the steady state on a single FRET sample in a very rapid and statistically efficient way offered by flow cytometry. For a more sensitive detection of conformational changes the “polarized FRET indices” – quantities composed from FRET efficiency and anisotropies – are proposed. The method is illustrated by measurements on a FRET system with changing FRET-fraction and on a two donor-one acceptor-system, when the existence of receptor trimers are proven by the detection of “hetero-FRET induced homo-FRET relief”, i.e. the diminishing of homo-FRET between the two donors in the presence of a donor quencher. The method also offers higher sensitivity for assessing conformational changes at the nano-scale, due to its capability for the simultaneous detection of changes of proximity and relative orientations of the FRET donor and acceptor. Although the method has been introduced in the context of FRET, it is more general: It can be used for monitoring triple-anisotropy correlations also in those cases when FRET actually does not occur, e.g. for interactions occurring beyond the Förster-distance  $R_0$ . Interpretation of  $\kappa^2$  has been extended.

## Introduction

Even in the era of super-resolution microscopy, like stimulated emission depletion (STED) microscopy, FRET remains amongst the leading methodologies for revealing conformations, dynamics and clustering of biological macromolecules on the 1-10 nm distance scale [1-5]. During FRET a portion of the excitation energy of the donor is tunneled to a nearby acceptor having an absorption adequately overlapping with the donor's emission on the wavelength scale, and dipole orientations favorable for FRET [6-9]. FRET is measured by detecting its characteristic effects on the fluorescence properties of the donor and acceptor: decreased fluorescence lifetime leading to decrease of fluorescence intensity (quenching) and decreased photobleaching, and increased fluorescence anisotropy on the donor side, increased emission (sensitized emission) and photobleaching, decreased anisotropy on the acceptor side [1-5].

In the scheme of the conventional "flow cytometric FRET" (FCET) method FRET efficiency and the donor and acceptor concentrations are determined from the simultaneous detection of donor quenching and the sensitized emission of acceptor [9-12]. The latter quantities are determined from one donor intensity ( $I_1$ ) and the two acceptor intensities ( $I_2$ ,  $I_3$ ). Although the FCET method, as it stands, has been applied in the past in many cases successfully for revealing conformational changes and cell surface receptor patterns [13-16], taking into account polarization in its all three detected signals offers new opportunities for detecting fine details of dynamics and structure of receptor clusters. By detecting the polarized components of the three signals three new quantities, the donor anisotropy, the anisotropy of the sensitized emission of acceptor and the anisotropy of the directly excited acceptor are available, all in the presence of FRET. The pertinent polarization characteristics of FRET behind the observations are the following (Fig. 1): On the donor side, reduction of lifetime by FRET may increase anisotropy, due to the shortage of time available for rotation of the fluorophore [17-21]. For donors completely associated with acceptors – unity FRET-fraction – the analysis of the reciprocal anisotropy-“complement FRET” (1-E) “Perrin-plots” makes possible the determination of the limiting anisotropy and the rotational correlation time [19-21]. These quantities can further be used for the computation of FRET fraction in those cases when the donors are not completely associated with acceptors, i.e. the FRET-fraction is smaller than unity. On the acceptor side, in addition to the directly excited portion of fluorescence, the sensitized emission appears with an anisotropy generally much smaller than that of the directly excited component [21-30]. The reduced anisotropy of sensitized emission is a consequence of the depolarized way of excitation by the curvy field lines of the donor dipole and as such it depends on the orientation and position of the acceptor dipole in the donor dipole field [4, 8, 9]. In contrast, the anisotropy of the directly excited acceptor fluorescence may depend on steric constraints on acceptor rotation imposed by the donor bearing tags.

In this communication, by the correlated analysis of these anisotropies with FRET in the steady-state, in the spirit of the work of Dale *et al.* [6] we attempt to give a more refined “global” description of structure and dynamics of donor-acceptor systems via the deduction of quantities such as FRET-fraction, limiting anisotropy, rotational correlation time and orientation factor for FRET ( $\kappa^2$ ), belonging also to the realm of the different time-resolved (FLIM) techniques [26, 29, 31, 32]. Based on the FRET efficiency and the donor anisotropies as primarily measured quantities, new quantities termed polarized FRET-indices have also been defined aiming at a sensitive detection of conformational changes [33]. A flow chart summarizing the main ideas behind the method is presented in Fig. 2.

FRET between identical fluorophores (“homo-FRET”) can also lead to an anisotropy reduction, mimicking Brownian-rotation [23, 24, 30]. An implication is that, in the presence of acceptor, the anisotropy of a homo-FRET-coupled donor system may increase not only via the reduced time available for Brownian-rotation but also by the reduced time available for homo-FRET. In effect homo-FRET may be “quenched” by nearby acceptors in close proximity (“homo-FRET relief”). The working principles of the 3polFRET method are illustrated by a two donors-one acceptor system aiming at the detection of receptor trimers. The physical proximity of the light ( $\beta_2m$ ) and heavy chain (h.c.) components of the MHCI molecule and the MHCII molecule – a three component-system – have been proven in the past with different methods. We show with 3polFRET that homo-FRET between labels bound on any two of these elements may efficiently be cut by an acceptor bound to the third element. Also with this system illustrated is the usefulness of the polarized FRET indices introduced for sensitively monitoring conformational changes.

Another field of application of 3polFRET rests on the possibility for the determination of the limiting anisotropies ( $r_0$ ) of the donor and acceptor and the anisotropy of sensitized emission. The lower and upper limits of the  $\kappa^2$  orientation factor for FRET and the corresponding lower and upper distance limits are determined on a cell-by-cell basis in the framework of a “Dale-Esinger style” analysis [6-9, 34, 35] detailed in the *Supporting information*.

## Materials and methods

### *Cell line*

The JY B cell line was originally described in [36]. Cells were cultured in RPMI-1640 medium supplemented with 10% fetal calf serum, penicillin and streptomycin [36].

### *Monoclonal antibodies*

The production and specificity of monoclonal antibodies (mAbs) applied in the experimental procedures have been described earlier [13, 37]. MAbs W6/32 (IgG<sub>2ak</sub>) and L368 (IgG<sub>1k</sub>) developed against a monomorphic epitope on the  $\alpha_2$ ,  $\alpha_3$  domains of the heavy chain and the  $\beta_2$ -microglobulin of MHCI, respectively [13, 37, 38]; mAb L243 (IgG<sub>2ak</sub>) against MHCII, DR $\alpha$  were kindly provided by Dr. Frances Brodsky (UCSF, CA). These mAbs were prepared from supernatants of hybridomas and were purified by affinity chromatography on protein A-Sepharose.

### *Fluorescent staining of antibodies*

Aliquots of the proteins for fluorescence conjugation were labeled with 6-(fluorescein-5-carboxamido)hexanoic acid, succinimidyl ester (xFITC) (Molecular Probes, Eugene, OR) or Alexa-Fluor 488 (A488) as the donor dyes, and Alexa-Fluor 546 (A546) as the acceptor dye (Invitrogen). xFITC has a large amplitude tethered motion (segmental mobility) because it contains a 7-atom aminohexanoyl spacer ("x") between the fluorophore and succinimidyl ester moieties. Kits provided with the dyes were used for the conjugation. Detailed labeling procedure of the mAb was described earlier [13, 39, 40]. Dye-per-protein labeling ratios for

the A488- (A546-)conjugated L243, L368, and W6/32 mAbs were 2.4 (2.14), 3.16 (2.8), and 1.8 (1.8), respectively. Labeling ratios for xFITC-conjugated L368 and W6/32 mAbs were 3.9 and 3.7, respectively. These values were separately determined for each labeled aliquot in a spectrophotometer (Hitachi U-2900, NanoDrop ND-1000) [13]. The labeled proteins retained their affinity as proven by competition experiments with identical, unlabeled ligands.

#### *Labeling of cells with mAbs*

Freshly harvested cells were washed twice in ice cold PBS (pH 7.4), the cell pellet was suspended in 100  $\mu$ l of PBS ( $10^6$  cells/ml) and labeled by incubation with  $\sim 10$   $\mu$ g of dye-conjugated mAbs for 40 min on ice in the dark. The excess of mAbs was at least 30-fold above the  $K_d$  during incubation. To avoid possible aggregation of the dye-conjugated mAbs, they were air-fuged (at 110,000 g, for 30 min) before labeling. Special care was taken to keep the cells at ice cold temperature before FRET measurements in order to avoid unwanted aggregations of cell surface receptors or receptor internalization. Labeled cells were washed twice with ice cold PBS and then fixed with 1% paraformaldehyde. The single acceptor-labeled and the double-labeled (with both donor and acceptor) samples were titrated according to the surface concentration of the acceptor carrying mAb. In these samples the cells were treated identically, except for the amount of acceptor-stained antibodies used for labeling: it has been gradually increased until the final saturating concentration was achieved. The final concentrations in the titration series in  $\mu$ M for mAbs L368 and W6/32 were 0.734 and 0.686, respectively.

#### *Flow cytometric triple-anisotropy measurements*

Cell-by-cell basis correlated measurements of the polarized intensity components – from which the total intensities and anisotropies are calculated – of the donor and acceptor were carried out in a „triple T-format” arrangement [19, 24, 41-43] (Fig. 3). It was realized in a Becton-Dickinson flow cytometer (FACSVantage SE with a FACSDiVa extension) equipped with dual-laser excitation, with the lasers operating in the single line mode at 488 nm (Coherent Enterprise Ar<sup>+</sup>-ion gas laser, Innova Technology) and at 532 nm (a diode-pumped solid-state laser), by placing three broadband polarization beam splitter cubes (10FC16PB.3, Newport) in the donor and two acceptor fluorescence channels. The fluorescence intensities of the green (xFITC, Alexa-Fluor 488) donor dyes and the red acceptor dyes (xTRITC, Alexa-Fluor 546) were excited at the 488-nm and the 532-nm laser lines and were detected orthogonally to the direction of the exciting laser light beams by green and red sensitive photomultiplier tubes (side on, Hamamatsu). Signals  $I_1$  and  $I_2$  both activated by the blue laser line at 488 nm were separated by a dichroic mirror (580 nm), then transmitted through a  $535 \pm 15$  nm- and a  $640 \pm 60$  nm-band pass filter (HQ535/30, HQ 640/120, AF Analysentechnik, Tübingen) before reaching the polarization crystals which split them into their vertical and horizontal components. Signals  $I_{1h}$ ,  $I_{1v}$  are detected at the flow cytometer photomultiplier ports FL<sub>1</sub> and FL<sub>2</sub>, and  $I_{2h}$ ,  $I_{2v}$  at FL<sub>3</sub> and FL<sub>7</sub>. Signal  $I_3$  activated by the green laser line at 532 nm is projected by a silvered metal mirror through a  $640 \pm 60$  nm-band pass filter (HQ 640/120) on the 3<sup>rd</sup> beam splitter cube which splits it into the  $I_{3h}$  and  $I_{3v}$  components detected at the FL<sub>4</sub> and FL<sub>5</sub> photomultiplier ports. For the determination of the G-factor of each fluorescence channel, the originally vertical polarization direction of laser light is rotated by 90° with zero order quartz wave plates (half-wave retarders HWR<sub>1</sub>: 10RP02-12 for 488 nm, and HWR<sub>2</sub>: 10RP02-16 for 532 nm, Newport) positioned between the

lasers and the cytometer via micro rotary stages (M-481-A, Newport). The orientations of the half-wave retarders have been calibrated in advance by recording the dependence of the polarized fluorescence intensities of fluorescent microbeads on the angle of the retarders.

### *Calculation of total intensities and anisotropies*

Four polarized intensities have been detected for each signal channel [21, 24, 30]:  $I_{i,vv}$ ,  $I_{i,vh}$ ,  $I_{i,hv}$ , and  $I_{i,hh}$ , with the first index  $i$  designating the signal channel, the second and third ones referring to the polarization direction of the exciting laser light and that of the fluorescence, respectively. The signals with the horizontal excitation are detected after the vertical excitation by rotating the polarization direction with  $90^\circ$ . After subtracting the corresponding background intensities measured on the unlabeled cells from the polarized intensities, the correction factors  $G_i$  ( $i=1-3$ ) balancing the sensitivities of vertical and horizontal fluorescence channels, the total fluorescence intensities  $I_i$ , and the fluorescence anisotropies  $r_i$  were calculated as follows:

$$G_i = I_{i,hv} / I_{i,hh} , \quad (1)$$

$$I_i = I_{i,vv} + \hat{a}(\psi) \cdot G_i \cdot I_{i,vh} , \quad (2)$$

$$r_i = (I_{i,vv} - G_i \cdot I_{i,vh}) / I_i . \quad (3)$$

In the above expression for the total intensities  $I_i$  ( $i=1-3$ ) a numerical correction for the high aperture fluorescence collection was carried out according to T. M. Jovin [24, 43] by using the term  $\hat{a}(\psi) = 1 + \cos\psi \cdot (1 + \cos\psi) / 2$ , where  $\hat{a}(\psi)$  assumes a value of 1.72 for our numerical aperture of  $NA=0.6$ , and  $\psi$  stands for the half angle of the detected light cone. The anisotropy and total intensity values were computed on a cell-by-cell basis from the correlated  $I_{i,vv}$  and  $I_{i,vh}$  intensities with predetermined values of the  $G_i$  factors as input parameters. Based on Eq. 2 the  $r_{corr}$  aperture-corrected anisotropy can be written as the function of the  $r$  uncorrected one as follows:

$$r_{corr} = 3 \cdot r / \{1 + \hat{a}(\psi) + r \cdot [2 - \hat{a}(\psi)]\} . \quad (4)$$

The significance of this formula is that it can be used also in the reverse direction: the unknown  $\hat{a}(\psi)$  aperture term can be computed from the measured value of  $r$  in the knowledge of the anisotropy  $r_{corr}$  of a calibrated standard.

The mean values of fluorescence anisotropy and total intensity histograms measured on the single donor- or acceptor-labeled cells (for  $\sim 10^4$  cells) were further used for the calculation of the necessary input constants  $\alpha$ ,  $S_1$ ,  $S_2$ , and  $S_3$  for constructing the histograms of the most important resulting quantities of the 3polFRET method:  $E$ ,  $I_d$ ,  $I_a$ ,  $r_1$ ,  $r_{et}$  and  $r_a$ . The average values of the means of anisotropy histograms obtained in different measurements with their standard errors were also determined and tabulated. The generation and subsequent analysis of flow cytometric histograms (like the ones on Fig. 4) and 2-D correlation plots (dot-plots) of total fluorescence intensities, fluorescence anisotropy, and FRET efficiency were performed by a home-made software specialized for flow cytometric data analyses called Reflex, written by G. Szentesi [44], freely downloadable from <http://www.biophys.dote.hu/research.htm>, and <http://www.freewebs.com/cytoflex.htm>, or from the corresponding author [bene@med.unideb.hu](mailto:bene@med.unideb.hu).

## **Theoretical results**

*Theory of triple-polarization FRET (3polFRET)*

*Anisotropy of sensitized and directly excited emission of acceptor*

Our starting point is the knowledge of the total intensities  $I_1$ ,  $I_2$ ,  $I_3$  and the corresponding fluorescence anisotropies  $r_1$ ,  $r_2$ ,  $r_3$  measured at the excitation wavelength of the donor (channels 1, 2) and of the acceptor (channel 3) [10-12, 19]. The definitions and way of measuring these quantities in terms of polarized intensity components are described in the *Materials and methods*. From the total intensities  $I_1$ ,  $I_2$ ,  $I_3$ , the FRET efficiency  $E$ , and the donor and acceptor intensities  $I_d$ , and  $I_a$  reflecting the donor and acceptor concentrations of the double-labeled cell sample are determined via the standard method of FCET outlined in the Supplement. In the next we only describe, how the anisotropy of sensitized emission ( $r_{et}$ ) and the directly excited acceptor emission ( $r_a$ ) are determined from the  $r_1$ ,  $r_2$ , and  $r_3$  primary anisotropies. We set out by first defining the acceptor intensities and corresponding anisotropies measured in the presence of donor at the donor's and acceptor's excitation wavelength in the acceptor channels,  $I_{2a}$ ,  $r_{2a}$  and  $I_{3a}$ ,  $r_{3a}$  [41, 42]:

$$I_{2a} \equiv I_a \cdot S_2 + I_1 \cdot A', \quad (5)$$

$$I_{3a} \equiv I_a + I_1 \cdot A' \cdot S_3/S_1, \quad (6)$$

$$r_{2a} \equiv (I_a \cdot S_2 \cdot \rho_2 \cdot r_a + I_1 \cdot A' \cdot r_{et})/I_{2a}, \quad (7)$$

$$r_{3a} \equiv [I_a \cdot r_a + I_1 \cdot A' \cdot (r_{et}/\rho_2) \cdot S_3/S_1]/I_{3a}, \quad (8)$$

with the  $A'$  helper quantity and  $\rho_2$  anisotropy conversion factor defined in Eqs. 4s, 17s of the *Supporting information*. The first terms of the  $I_{2a}$  and  $I_{3a}$  intensities containing  $I_a$  correspond to the directly excited, the second ones containing  $A'$ , the indirectly excited intensity components. According to Eqs. 7, 8, both  $r_{2a}$  and  $r_{3a}$  are smaller than  $r_a$ , because these parameters are weighted averages of  $r_a$  and  $r_{et}$ , and the latter is generally much smaller than  $r_a$ . By inspecting the expanded forms of  $I_2$ , and  $I_3$  in the Supplement, alternative forms of  $I_{2a}$  and  $I_{3a}$  can be found as

$$I_{2a} = I_2 - I_1 \cdot S_1, \quad (9)$$

$$I_{3a} = I_3 - I_1 \cdot S_3. \quad (10)$$

Based on these and the defining equations for  $r_2$  and  $r_3$  (Eqs. 12s, 13s in Supporting information) a 2<sup>nd</sup> form of  $r_{2a}$  and  $r_{3a}$  directly amenable for a cell-by-cell determination, can be isolated from  $r_2$  and  $r_3$ :

$$r_{2a} = (I_2 \cdot r_2 - I_1 \cdot S_1 \cdot \rho_1 \cdot r_1)/I_{2a}, \quad (11)$$

$$r_{3a} = (I_3 \cdot r_3 - I_1 \cdot S_3 \cdot \rho_3 \cdot r_1)/I_{3a}. \quad (12)$$

Because in contrast to Eqs. 7, 8 all parameters of Eqs. 11 and 12 are primarily measured known ones, these are the forms of  $r_{2a}$  and  $r_{3a}$  from which they can be determined on a cell-by-cell basis. After determining  $r_{2a}$  and  $r_{3a}$ , Eqs. 7, 8 can be taken as indirect definitions of  $r_{et}$  and  $r_a$ , and constitute a system of equations for these two unknowns, with the following solutions:

$$r_{et} = (I_{2a} \cdot r_{2a} - I_{3a} \cdot S_2 \cdot \rho_2 \cdot r_{3a})/[I_1 \cdot A' \cdot (1 - S_2 \cdot S_3/S_1)], \quad (13)$$

$$r_a = [I_{3a} \cdot r_{3a} - I_{2a} \cdot r_{2a} \cdot S_3/(S_1 \cdot \rho_2)]/[I_a \cdot (1 - S_2 \cdot S_3/S_1)]. \quad (14)$$

Based on Eqs. 13, 14, cell-by-cell distribution of  $r_{et}$  and  $r_a$  can be determined which can further be used e.g. for the determination of the  $\kappa^2$  orientation factor (see in *Supporting information*). The value of  $r_a$  as compared to the  $r_3$  value of the single acceptor-labeled sample, can shed light on possible steric interaction of the donor-label constraining rotation of the acceptor.

### *Donor Perrin-plots*

An important field of application of the measured  $r_1$ ,  $r_{et}$  and  $r_a$  anisotropies is describing rotational characteristics of the donor and acceptor fluorophores which can reflect dynamics and morphological changes – e.g. through homo-FRET – of receptor clusters. Because the donor anisotropy  $r_1$  contains no overspill contamination, it can directly be used for: (i) The deduction of the rotational constants – the  $r_0$  limiting anisotropy and the  $\phi$  rotational correlation time – of the Perrin-model of an isotropic rotator [21, 26, 41, 42], if the fraction of donors associated with acceptors is unity. For an extension on the hindered rotator please see *Discussion*. (ii) For the deduction of the associated fraction of donors ( $f$ ) in the knowledge of the rotational constants e.g. after a “calibration” process of the (i) step. For the general model valid for arbitrary associated fraction  $f$ , the  $r_1$  anisotropy can be written as the weighted average on donor populations with acceptor and without acceptor (the 1<sup>st</sup> and 2<sup>nd</sup> terms in the numerator, respectively):

$$r_1 = [(1 - E_0) \cdot f \cdot r' + (1 - f) \cdot r] / [(1 - E_0) \cdot f + 1 - f], \quad (15)$$

where  $E_0$ , and  $r'$  denote the FRET efficiency and anisotropy in the clustered donor population and  $r$  the anisotropy in the unclustered one. In this formula both  $r'$  and  $r$  can be traced back to the  $r_0$  and  $\phi$  rotational constants by applying the Perrin-equation in the absence and presence of acceptor:

$$r = r_0 / (1 + \sigma), \quad (16)$$

$$r' = r_0 / [1 + \sigma \cdot (1 - E_0)], \quad (17)$$

with  $\sigma$  denoting the ratio of the  $\tau$  donor lifetime (unperturbed by FRET) and  $\phi$ ,

$$\sigma = \tau / \phi, \quad (18)$$

and the factor  $1 - E_0$  representing the reduction in lifetime due to FRET. In Eq. 16, the anisotropy  $r$  can be determined on the donor-only sample. Another formula can be written, by noticing that the primarily measured FRET efficiency  $E$  is also a weighted average on the clustered and unclustered donor fractions possessing  $E_0$  and zero FRET efficiencies, i.e.  $E = [f \cdot E_0 + (1 - f) \cdot 0] / [f + (1 - f)]$ , leading to:

$$E = f \cdot E_0. \quad (19)$$

Eqs. 15-17 and Eq. 19 constitute a system of 4 equations for the 5 unknowns:  $r'$ ,  $r_0$ ,  $\sigma$ ,  $f$  and  $E_0$ , implying that one of the parameters should be known for the unique solution of the receptor association problem. E.g. they can be solved for  $f$  and  $E_0$  when the rotational

constants are known, and *vice versa*. In both cases, the final solutions can be expressed in terms of the apparent rotational constant  $\sigma_{app}$  introduced as:

$$\sigma_{app} \equiv (r_1 - r) / [r - r_1 \cdot (1 - E)], \quad (20)$$

which should coincide with the real  $\sigma$  for unit associated fraction. This can be proven with Eqs. 15-17, 19 after plugging unity into them for  $f$ .

In the knowledge of  $r_0$ , first the solution for  $E_0$  in terms of  $\sigma_{app}$  and  $\sigma$  is found by plugging  $r$ ,  $r'$  and  $E$  in Eqs. 16, 17 and 19 into Eq. 15:

$$E_0 = 1 - (1 - E) \cdot \sigma_{app} / \sigma. \quad (21)$$

For using this equation  $\sigma_{app}$  is computed according to Eq. 20, and  $\sigma$  according to the formula for the donor anisotropy in the absence of acceptor, Eq. 16. Then by plugging this expression for  $E_0$  into Eq. 19  $f$  can be expressed as:

$$f = E / [1 - (1 - E) \cdot \sigma_{app} / \sigma]. \quad (22)$$

In the reversed direction, when the associated fraction  $f$  is the known parameter, first the rotation constant  $\sigma$  can be expressed from Eq. 22 in terms of  $\sigma_{app}$  and  $f$  as follows:

$$\sigma = f \cdot (1 - E) \cdot \sigma_{app} / (f - E). \quad (23)$$

The consistency of the formalism can be noticed here with Eq. 23, because for equal rotational constants ( $\sigma = \sigma_{app}$ ) the associated donor fraction ( $f$ ) is unity, and vice versa, as expected. Then limiting anisotropy  $r_0$  can be computed by plugging Eq. 23 for  $\sigma$  into Eq. 16:

$$r_0 = r \cdot [1 + f \cdot (1 - E) \cdot \sigma_{app} / (f - E)]. \quad (24)$$

For a better illumination of the consistency, in the reversed approach, when the associated fraction ( $f$ ) is known, both  $r_0$  and  $\sigma$  can be expressed in terms of  $\sigma_{app}$  and  $f$  in the following alternative forms:

$$r_0 = r \cdot (1 + \sigma_{app}) \cdot (1 + \delta_f), \quad (25)$$

$$\sigma = \sigma_{app} + \delta_f \cdot (1 + \sigma_{app}) \quad (26)$$

Here  $\delta_f$  is an  $f$ -dependent ‘‘perturbation factor’’ responsible for the deviation of the rotational constants  $\sigma$  and  $\sigma_{app}$  due to an associated fraction smaller than unity, defined as:

$$\delta_f \equiv (1 - f) \cdot (1 - r/r_1) / (f - E). \quad (27)$$

Eqs. 25-27 reveal that, partial associations of donors with acceptors reduce the value of both apparent rotational constants as compared to the real ones, with the amount of reduction proportional to  $1-f$ :



$$\sigma_{app} - \sigma = -\delta_f \cdot (1 + \sigma_{app}). \quad (28)$$

It can also be seen that the  $\delta_f$  “perturbation” disappears whenever  $f$  is unity leading to a coincidence of  $\sigma$  and  $\sigma_{app}$ .

The rotational constants obtained by assuming a known  $f$  value, can further be used e.g. for deducing the depolarization factors, the input parameters of the orientation factor ( $\kappa^2$ ), see in *Supporting information*. An example for a donor Perrin-plot displayed in the form a 2-D scatter plot of a FRET-sample is shown on Fig. 2s Panel A in *Supporting information*. Extension of the computation of associated fraction for a hindered rotator is analyzed in the *Discussion*.

### Acceptor Perrin-plots

Anisotropy vs. FRET efficiency-related parameters, designated by  $x_{2a}$  and  $x_{3a}$ , correlation plots (Perrin-plot-like) can also be constructed on the acceptor side. After plugging  $I_{2a}$  and  $I_{3a}$  (Eqs. 5, 6) into Eqs. 13, 14 for  $r_{et}$  and  $r_a$ ,  $r_{2a}$  and  $r_{3a}$  can be expressed as functions of  $x_{2a}$  and  $x_{3a}$ , with  $r_{et}$  and  $r_a$  in them as fitting parameters:

$$r_{2a} = r_{et} + (\rho_2 \cdot r_a - r_{et}) \cdot x_{2a}, \quad (29)$$

with

$$x_{2a} \equiv 1/[1 + (I_1 \cdot A')/(I_a \cdot S_2)], \quad (30)$$

and

$$r_{3a} = r_{et}/\rho_2 + (r_a - r_{et}/\rho_2) \cdot x_{3a}, \quad (31)$$

with

$$x_{3a} \equiv 1/[1 + (I_1 \cdot A') \cdot S_3/(I_a \cdot S_1)]. \quad (32)$$

By plotting the  $r_{2a}$  vs.  $x_{2a}$  and similarly  $r_{3a}$  vs.  $x_{3a}$  scatter plots and fitting them with straight lines, estimations of the mean values of  $r_{et}$  and  $r_a$  can be obtained from the intersections and slopes of the fitting lines. In practice the  $r_{2a}$  vs.  $x_{2a}$  plot gives better results than the  $r_{3a}$  vs.  $x_{3a}$  plot, because the dependence of  $x_{3a}$  on the FRET parameter  $A'$  is weaker than that of  $x_{2a}$ , due to the small value of  $S_3$ . The parameter  $A'$  is connected with the FRET efficiency  $E$  via  $I_1 \cdot A' = I_d \cdot E \cdot \alpha$ ,

obtainable from Eqs. 5s, 6s in *Supporting information*.

$r_{et}$  and  $r_a$  do not depend on the calibration constant  $\alpha$ , in spite of this relation, because  $A'$ ,  $I_1$ , and  $I_a$  in Eqs. 29-32 are all independent of  $\alpha$ .

An example for an acceptor Perrin-plot displayed in the form a scatter plot of a FRET-sample is shown on Fig. 2s Panel B in *Supporting information*.

### *Polarized FRET-indices*

For the elaboration of the 3polFRET methodology an impetus was a seek for a method for the sensitive detection of conformational changes and/or rearrangements of elements of cell surface receptor clusters. In this respect, 3polFRET can also be taken as the optical correspondent of the classical mechanical Chasles' theorem [45] (see also Fig. 5), which states that the general motion of a body can always be decomposed to the sum of a rotation and a translation. According to this scheme, FRET efficiency describes mainly translation (notwithstanding now its indirect dependence on rotation through  $\kappa^2$ ), and the  $r_1$ ,  $r_{et}$ , and  $r_a$  anisotropies mainly the rotation (now notwithstanding dependence of  $r_1$  on  $E$ ). However, new parameters, called polarized FRET indices, can also be introduced in which FRET efficiency  $E$  and the anisotropies combine directly. These parameters are defined by calculating FRET efficiencies from the polarized intensity components, instead of the total intensities. For simplicity, considering only the simple donor quenching, the following indices can be defined:

$$E_1(v, h) \equiv 1 - I'_{vv} / I_{vh}, \quad (33)$$

$$E_2(v, v) \equiv 1 - I'_{vv} / I_{vv}, \quad (34)$$

$$E_3(h, h) \equiv 1 - I'_{vh} / I_{vh}, \quad (35)$$

$$E_4(h, v) \equiv 1 - I'_{vh} / I_{vv}. \quad (36)$$

Unprimed and primed intensities designate the absence and presence of acceptor, 1<sup>st</sup> and 2<sup>nd</sup> subscripts the polarization direction of excitation and emission, respectively. For interpretation of these indices, rotation can be thought of as a special FRET process – after G. Weber [33] – placing photon energy in different orientation states (polarization directions). In this respect,  $E_1$  is the efficiency of FRET which in addition to placing excitation energy to the acceptor side, brings the emitted photon orientation from the horizontal into the vertical position. By using the  $I_{vv} = I_{tot} \cdot (1 + 2 \cdot r) / 3$  and  $I_{vh} = I_{tot} \cdot (1 - r) / 3$  relations (and similarly for the primed intensities), Eqs. 33-36 can be cast in the forms showing the explicit dependence on  $E$ ,  $r$ , and  $r'$ :

$$E_1(v, h) = 1 - (1 - E) \cdot (1 + 2 \cdot r') / (1 - r), \quad (37)$$

$$E_2(v, v) = 1 - (1 - E) \cdot (1 + 2 \cdot r') / (1 + 2 \cdot r), \quad (38)$$

$$E_3(h, h) = 1 - (1 - E) \cdot (1 - r') / (1 - r), \quad (39)$$

$$E_4(h, v) = 1 - (1 - E) \cdot (1 - r') / (1 + 2 \cdot r). \quad (40)$$

Taking Eqs. 37-40 as definitions, cell-by-cell distributions of  $E_1$ - $E_4$  can be calculated from those of  $E$  and  $r'$ . These quantities may expand ( $E_1$ ,  $E_2$ ) or compress ( $E_3$ ,  $E_4$ ) the scale of  $E$  depending on the  $r$ ,  $r'$  anisotropies, or equivalently on the  $r_0$  and  $\sigma$  rotational constants. Because  $E_1$  has the largest effect, it seems to be applicable for a sensitive indicator of conformational changes. A detailed analysis of the polFRET indices is presented in the *Supporting information*.

## **Experimental results**

In the framework of the conventional FCET method, FRET efficiency ( $E$ ) and quantities proportional to the donor and acceptor levels ( $I_d$ ,  $I_a$ ) can be determined in highly efficient manner in a cell-by-cell basis enabling discrimination between subpopulation of cells. Despite the numerous fruitful applications of the FCET method it still have its own caveats and features to be improved, mainly in the following 3 areas: (i) Due to the inherently steady state nature of the method, these data in themselves are average values, offering no insight into the fine structural details of the associations, such as the associated fraction of the donor [31]. (ii) The FRET efficiency besides the donor-acceptor separation depends also on the relative angles of the chromophore dipoles formulated in the orientation factor for FRET  $\kappa^2$ , a quantity on which no information is supplied by the FCET method. As to  $\kappa^2$ , the central question is the error in proximity determination committed by the hypothesis of either the static or the dynamic random limit ( $\kappa^2_{\text{static}}=0.476$  and  $\kappa^2_{\text{dynamic}}=2/3$ ) [3, 6-9]. (iii) A conformational change in general can be decomposed to the sum a translation and a rotation – in the sense of the classical mechanical Chasles’ theorem (Fig. 5) [45] – from which FRET efficiency depends mainly on translation, and with a smaller degree on rotation (notwithstanding now its indirect dependence through  $\kappa^2$ ). Is there an optical quantity which directly takes into account both of these motional freedoms? A question, put in the hope of finding a sensitive indicator of conformational changes. For answering these questions, fluorescence anisotropy measurable in the steady state conditions of multiparametric flow cytometry relatively easily and cheaply, is the candidate. Considering the potential FRET dependence of the donor anisotropy via the lifetime involved in the Perrin-equation – traditionally formulated in terms of “quenching resolved anisotropy” (QREA) [41, 17] – combining anisotropy and FCET conveys the opportunity to shift the capabilities of the steady state FCET method in the direction of the different time resolved techniques. These are realized mostly in the rather sophisticated and expensive FLIM (anisotropy FLIM, rFLIM) platforms [26, 29, 31]. For realizing combined measurements of FRET and anisotropy, an advantageous platform is offered by flow cytometry based on its high degree of multiplexing capability and its capability for monitoring large cell populations in a short time, the high throughput nature.

In the next we show that FCET performed in the anisotropy measuring formats of the 3 signal channels (called 3polFRET) is capable for the extension of the conventional FCET to detect rotational motion, associated donor fraction, orientation factor, and to construct new parameters by combining FRET efficiency and donor anisotropy – called “polarization FRET-indices” – some of which may have more sensitivity on conformational changes than FRET and anisotropy separately. An overview of the chief quantities of 3polFRET is presented in Figs. 2, 4.

#### *Determination of rotation constants ( $r_0$ , $\sigma$ ) and associated fraction ( $f$ ) of the donor*

Table 1 contains data on a FRET system comprised of donor- and acceptor-labeled mAbs against the light and heavy chains of the MHCI cell surface receptor, with the two subunits representing a system of 1:1 stoichiometry and a well defined intermolecular separation [16]. In Part A, FRET from the L368 (bound to the  $\beta_2m$ ) towards the W6/32 (bound to the heavy chain of MHCI), in Part B, FRET in the reversed direction – from W6/32 to L368 – are considered. To reveal the FRET-dependence of the data, the amount of the acceptor, and consequently the magnitude of FRET have been adjusted by changing the amount of the added acceptor-stained mAbs during cell labeling. The primary input data of the Perrin-formalism are the FRET efficiency  $E$ , the anisotropies  $r$ ,  $r_1$  measured on samples labeled only

with donor, and both donor and acceptor (Fig. 4, Panel C), and the  $f_0$  associated fraction. The  $f_0$  has been determined at each acceptor concentration by using the computed FCET parameters  $I_d$ ,  $I_a$  and the definition of  $\alpha$  (Eqs. 6s, 7s, 11s in *Supporting information*). Essentially two approaches have been followed in the data analysis: (i) In the “forward” approach  $r_0$  has been computed from the measured values of  $E$ ,  $r$  and  $r_1$  at each associated fraction  $f_0$  by using Eqs. 25-27. (ii) In the “backward” approach,  $r_{1,calc}$  and  $f$  have been computed with Eqs. 15, 22 with  $r_0$  and  $\sigma$  determined in the previous “forward” direction at  $f_0=1$  associated fraction. Considering  $r$  donor anisotropies, which are determined partly by rotational mobility of the antibody tethered-dye and partly by homo-FRET – depending on the labeling ratio [19, 21, 24] –, the little larger value of  $r$  in the case of L368 (Part A) reports on a more constrained rotation of the dye on this mAb as compared to the W6/32 mAb (Part B).

By inspecting  $E$  and  $r_1$  in Part A, both of these parameters monotonously increase with increasing amount of acceptor, as expected. Inspecting now the  $r_{1,calc}$  and  $f$  quantities recovered by the Perrin-formalism, we can see that while  $r_{1,calc}$  excellently follows the experimental  $r_1$  at each  $f_0$  and  $E$ ,  $f$  follows  $f_0$  with small error only after reaching a high enough value of FRET efficiency, in this case  $\sim 20\%$ . Accordingly, when the  $r_0$  values are examined, recovering  $r_0$  also fails below the  $\sim 20\%$  FRET limit, being these values substantially smaller than the expected  $r_0$  belonging to saturation ( $f_0=1$ ). These data imply that high FRET efficiency is the requirement for recovering small associated fractions at a given  $r_0$ , or alternatively, for recovering  $r_0$  at a given associated fraction. Similar conclusions can be drawn from data of Part B: Perfect agreement between  $r_{1,calc}$  and the experimental  $r_1$  at all  $f_0$ , and a tendency for under-estimation of  $f$  and  $r_0$ . However, the under-estimation is more pronounced, the FRET efficiencies being smaller with 7-10 % (on the absolute scale).

Besides the standard application for describing conformational states, the  $r_0$  and  $\sigma$  rotational constants deduced in the knowledge of  $f$ , can be further used e.g. for computation of the orientation factor. Alternatively, the  $\sigma$  rotational constant can be an indicator of an extra depolarization of two nearby donors due to homo-FRET in addition to rotational motion, as exemplified by the Perrin-analysis of a triple-FRET system – comprised of 2 donors and 1 acceptor bound the MHCII molecule and to the 2 subunits of MHCI – considered in sections “Orientation factor” and “Hetero-FRET induced homo-FRET relief in receptor trimers” and in Tables 1s, 2s, 3s, in the *Supporting information*.

### *Polarized FRET-indices*

The hybrid parameters computed from the FRET efficiency and the  $r$  and  $r'$ (= $r_1$ ) anisotropies (in Table 1) are listed in Table 2 for the MHCI light chain-heavy chain FRET systems considered above. We constructed these quantities, in the hope of finding a sensitive indicator of conformational changes. Consulting Table 2, Part A, a finite (nonzero) value of anisotropy splits the series of  $E$  values into 4 series around the  $E$  values, with the largest shifts (zero-offsets) in  $E_1$  and  $E_4$ , and with the smallest ones in  $E_2$  and  $E_3$ . As also can be revealed, while the size of shifts for  $E_1$  and  $E_2$  are determined by the magnitude of anisotropies  $r$  and  $r'$ , for  $E_2$  and  $E_3$  the ratio of  $r'$  and  $r$ , leading to shifts much smaller in  $E_2$  and  $E_3$  than in  $E_1$  and  $E_4$ . Experimental distributions of  $E_1$  and  $E_4$  are shown in Fig. 4 Panel E. The sensitivity factors obtainable by differentiating the FRET-indices with respect to  $E$ , determining both the shifts and range of the different indices are the following (see also *Supporting information*), for Part A:  $E_1$ ,  $(1+2r')/(1-r)=1.48-1.51$ ;  $E_2$ ,  $(1+2r')/(1+2r)=1.002-1.02$ ;  $E_3$ ,  $(1-r')/(1-r)=0.985-0.999$ ;  $E_4$ ,  $(1-r')/(1+2r)=0.665-0.675$ ; for Part B:  $E_1$ ,  $(1+2r')/(1-r) = 1.43-1.45$ ;  $E_2$ ,

$(1+2r')/(1+2r)=1.005-1.02$ ;  $E_3$ ,  $(1-r')/(1-r)=0.986-0.997$ ;  $E_4$ ,  $(1-r')/(1+2r)=0.69-0.7$ . These data imply a 40-50 % increase in range and shift for  $E_1$  (as compared to  $E$ ) a 30-35 % reduction in range and shift for  $E_4$ , and small shifts and changes in range for  $E_2$  and  $E_3$ . The largest deviations from  $E$  for  $E_2$  and  $E_3$  are seen at saturating amount of acceptor, when the differences between  $r$  and  $r'=r_1$  are the largest. Based on these calculations, in the data sets of Table 2,  $E_1$  seems to have the aimed enhanced conformational sensitivity. FRET-indices could be applied also for homo-FRET. Pertinent data are shown in Table 3s, in *Supporting information*.

#### *Acceptor anisotropies and orientation factor for FRET ( $\kappa^2$ )*

The necessary ingredients for the determination of the limits of orientation factor in the framework of the “Dale-Eisinger analysis” are the (zero-time) limiting anisotropies for the donor, acceptor, and for the sensitized emission, from which the corresponding “axial depolarization factors” are computed (see *Supporting information* for details) [6-9, 34, 35]. However, from these 3 unknowns only the donor limiting anisotropy and  $\sigma$  parameter can be determined from the donor Perrin-plots in the framework of 3polFRET, the remaining 2 are computed by assuming that (i) the acceptor has the same rotational correlation time as the donor ( $\phi_a=\phi_d$ ), and (ii) the  $\sigma$  rotational constant for the acceptor ( $\sigma_a$ ) is reduced in proportion to the smaller lifetime of the acceptor:  $\sigma_a=\tau_a\sigma_d/\tau_d$ . With this restriction, in the knowledge of the anisotropies of sensitized and directly excited emissions of acceptor ( $r_{et}$ ,  $r_a$ ), the axial depolarization factors of acceptor can be estimated. This consideration underscores the importance of  $r_{et}$  and  $r_a$ , besides the donor anisotropies  $r$  and  $r_1$ . These parameters are listed in Table 3 together with the deduced orientation factor limits for the previously considered FRET titrations of MHCI. Pertinent distributions are shown in Fig. 4 Panel D. By inspecting Part A, the lower limit for  $\kappa^2$  decreases, and the upper one is increasing with the increasing associated fraction. These changes can be attributed to two effects: (i) For the lowest two associated fractions, the  $r_0$  values are under-estimated (Table 1, Part A) and (ii) at the same time the  $r_a$  values decrease for the whole range of associated fraction, supposedly due to increasing homo-FRET. Essentially the same behavior of the orientation factor limits can be read off from Part B of Table 2.

As to the values of  $r_{et}$ , these are consistently close to zero, with rare exceptions only at the smallest FRET efficiencies, where the larger negative deviations can be attributed to the small value of sensitized emission, and consequently to the small value of the product ( $I_1A'$ ) occurring in the denominator for the formula of  $r_{et}$  in Eq. 13, see also Eq. 14s in *Supporting information*. The dropping of  $r_a$  with the increasing acceptor concentration can be traced to the increasing role of homo-FRET in depolarizing acceptor emission. By comparing these  $r_a$  values in the presence of donor with those observed in the absence of donor ( $r_3$  for single acceptor-labeled samples, not shown), no significant difference can be noticed, implying that the reason for the anisotropy increase is not a donor-induced increase in rigidity of the dye-holding protein matrix (“solidification”).

## **Discussion**

### *The 3polFRET scheme combines proximity and mobility*

The conventional dual laser FCET methodology [10-16] has been extended with polarization optics to make possible a more complete, “close-to global” approach of FRET determination. This novel platform pushes the range of capabilities of FCET towards direct methods of fluorescence lifetime measurements – the different FLIM techniques – by enabling the

determination of FRET-fraction, rotational properties of the donor and acceptor as well as the determination of the limits of orientation factor for FRET ( $\kappa^2$ ) [26, 29, 31]. This methodology opens the way towards a complete description of FRET systems – by simultaneously measuring FRET efficiency and orientation factor – on relatively easily and cheaply realizable systems like flow cytometry and imaging microscopes operating in the steady state. Realization of the method in flow cytometry has a special impetus, due to its high-throughput nature i.e. the capability for filtering out rare cell events from a huge background population in a short time.

Generally these parameters can be determined in the time- or frequency-domain by using some fluorescence lifetime measuring scheme. However, in the 3polFRET approach they are determined in the steady state from the primarily measured FRET efficiency ( $E$ ), donor anisotropy in the presence of FRET ( $r_1$ ), anisotropy of sensitized and directly excited emissions of acceptor ( $r_{et}$ ,  $r_a$ ). The determination of FRET-fraction and rotational constants is based on the FRET dependence of the donor anisotropy ( $r_1$ ) via the Perrin-equation, both FRET efficiency and donor anisotropy involving the same donor lifetime. The ingredients of the orientation factor, the axial depolarization factor for the donor, acceptor and FRET are then determined from the rotational constants of the donor, and the  $r_{et}$  and  $r_a$  anisotropies. This methodology is rapid because – notwithstanding now the different S-factors and  $\alpha$  (see them in *Supporting information*) – the necessary anisotropies ( $r_1$ ,  $r_{et}$ ,  $r_a$ ) are determined on a single double-labeled FRET sample, together with the FRET efficiency ( $E$ ). Furthermore, it is cost-effective and relatively simply realizable in flow cytometers and fluorescence microscopes, requiring only wave retarders and polarization beam- (or image-) splitters in the excitation and detection ports [17].

#### *Polarization FRET-indices unify FRET and polarization for sensing conformational changes*

Apart from the determination of FRET-fraction and orientation factor, this global approach for FRET may also be promising for a more complete description of conformational changes, due to the fact that 3 conformation sensitive parameters – the  $r_1$ ,  $r_{et}$ , and  $r_a$  anisotropies – are detected in addition to the FRET efficiency  $E$ . In this respect our 3polFRET approach can also be envisioned as the nano-optical realization of the principle formulated in the Chasles' theorem of mechanics (Fig. 5) [45] stating that the general motion of a body – like those in a conformational change – can always be decomposed to the sum of a translation and a rotation, with the translation corresponding to FRET and rotations to the anisotropies. However – besides this direct scheme, in which anisotropies and FRET are separately treated – an indirect scheme can also exist, when the effects of FRET and rotations appear in a combined manner. In the indirect scheme, FRET efficiency is computed not from the total intensities, but from the polarized intensity components of e.g. the donor intensity, giving rise to 4 different “FRET-indices”  $E_1$ - $E_4$  (Eqs. 37-40), taking into account all possible pairings of the excitation and detection polarization directions. The evolution of these indices can also be envisioned as splitting up the FRET efficiency  $E$  into four – not independent (Eq. 28s in *Supporting information*) – polarized components due to the lack of complete orientational

isotropy manifested in the finite (non-zero) donor anisotropy, like in the cases of  $E_1$  and  $E_4$ , or due to an increase of donor anisotropy upon FRET, like in the cases of  $E_2$  and  $E_3$ . A geometrical representation of the relative positions of the indices in a “generalized anisotropy space” is shown in Fig. 6. After calculating the anisotropy-dependent sensitivity factors in formulae of the 4 indices,  $E_1$  turned to be amenable for application as a conformational index, by enhancing the FRET range with a factor of  $\sim(1+2r')/(1-r)$ , in contrast to the others which compress ( $E_4$ , with a factor of  $\sim(1-r')/(1+2r)$ ) or influence only a little ( $E_2, E_3$  with factors proportional to  $\sim r^2-r$ , the difference of anisotropies) the FRET range (see also in *Supporting information*).

Although the polarized FRET indices  $E_1$ - $E_4$  have been defined for hetero-FRET, they can also be applied in the case of homo-FRET, by taking  $E$  as zero, and representing by  $r$  the intensity weighted average of anisotropies of samples labeled separately by the different donor-species (no homo-FRET), and by  $r'$ , the anisotropy of the sample labeled in a single act with the mixture of the different donors (finite homo-FRET) (see Table 3s in *Supporting information*).

#### *Associated fraction for hindered rotations*

Rotational motion of dyes tethered to receptors in the cell membrane may be constrained (or hindered) and the limiting anisotropy and rotational correlation time introduced in Eq. 16 are only “apparent” or “effective” values describing rotational motion only crudely. By intuition, keeping the original formulation of the Perrin-model (Eq. 16) possible hindrance in dye rotation may lead to an under estimation of the rotational constant ( $\sigma$ ), and as a consequence an under estimation in the associated donor fraction ( $f$ ). In contrast to this behavior of associated fraction, the uncertainty in  $\kappa^2$  (Eqs. 29s, 30s in *Supporting information*) should not be influenced much, because hindrance mostly affects the rotational correlation time, not the  $r_0$ , the quantity governing  $\kappa^2$ .

In the next we attempt to prove this guess analytically by presenting a summary of constrained rotations of tethered dyes (see also in *Supporting information* to [21]). A refined description of donor rotation can be given by an extended form of the Perrin-equation, when in the framework of the “wobbling in a cone” model of rotational depolarization a term describing the “half angle of the rotational cone”, the  $r_\infty$  limiting anisotropy is also incorporated [26, 41, 42, 46]:

$$r - r_\infty = (r_{0,h} - r_\infty) / (1 + \sigma_h). \quad (41)$$

Here  $r_{0,h}$  and  $\sigma_h$ , the limiting anisotropy and rotational constants in the presence of hindrance, are defined analogously to the unhindered case (Eq. 18). The degree of hindrance is expressed by  $r_\infty$ , and it can be given as a percentage ( $\xi$ ) of the limiting anisotropy  $r_{0,h}$ :

$$\xi \equiv r_\infty / r_{0,h}. \quad (42)$$

With  $\xi$ , Eq. 41 can be cast in another form more amenable for further analysis:

$$r = r_{0,h} \cdot (1 + \xi \cdot \sigma_h) / (1 + \sigma_h). \quad (43)$$

This equation is valid for the donor in the absence of acceptor. It can be seen that at the limit of the unhindered rotator ( $\xi=0$ ), it goes into the original Perrin-equation (Eq. 16). In the presence of acceptor after taking into account the lifetime-reduction due to FRET, Eq. 43 assumes a form analogous to Eq. 17:

$$r' = r_{0,h} \cdot [1 + \xi \cdot \sigma_h \cdot (1 - E)] / [1 + \sigma_h \cdot (1 - E)]. \quad (44)$$

As to the associated fraction ( $f$ ) the pertinent formulae, Eq. 19 defining  $f$  the, and Eq. 15 the average anisotropy of the donor in the presence of acceptor, can be taken as valid also here. The procedure of associated fraction determination remains also the same, with the exception that now Eqs. 43, 44 should be plugged into Eq. 15 for the donor anisotropy average, instead of Eqs. 16, 17. The procedure can be applied also here in two ways: Either the rotational constants are determined in the knowledge of the associated fraction ( $f$ ) (“forward direction”), or vice versa, the associated fraction ( $f$ ) is determined in the knowledge of the rotational constants ( $r_{0,h}$ ,  $\sigma_h$ ,  $\xi$ ) (“backward direction”). In both cases the input, measured parameters are the donor-only anisotropy ( $r$ ), the donor anisotropy in the presence of acceptor ( $r_1$ ), and the FRET efficiency ( $E$ ). The associated fraction ( $f$ ) may be known in advance from presumptions on the structure. The rotational constants may be obtained even in the steady state by non-linear fitting of Eq. 44 to the empirical anisotropy vs. fluorescence lifetime curves. Lifetime changes can be achieved by FRET with a series of acceptor antibodies of increasing labeling ratio, or increasing labeling concentration, or by some other quenching process, e.g. quenching with KI [41, 47].

If the rotational constants are the known quantities (“backward direction”), e.g.  $r_{0,h}$  and  $\xi$ , then calculation of  $E_0$ , and  $f$  goes also via introducing the “helper quantity” analogous to  $\sigma_{app}$  (Eq. 20), but designated now as  $\sigma_{app,h}$ :

$$\sigma_{app,h} \equiv (r_1 - r) / [r - E \cdot \xi \cdot r_{0,h} - r_1 \cdot (1 - E)]. \quad (45)$$

By inspecting Eq. 45 it can be seen that in the limiting case of zero for  $\xi$  implying no hindrance,  $\sigma_{app,h}$  goes into  $\sigma_{app}$  of Eq. 20 as it should do. With  $\sigma_{app,h}$  and  $\sigma_h$ , the solution for  $E_0$  of Eq. 15 is similar in structure to Eq. 21:

$$E_{0,h} = 1 - (1 - E) \cdot \sigma_{app,h} / \sigma_h. \quad (46)$$

The solution for  $f$  is obtained from Eq. 19 after plugging  $E_{0,h}$  of Eq. 46 in place of  $E_0$ :

$$f_h = E / [1 - (1 - E) \cdot \sigma_{app,h} / \sigma_h]. \quad (47)$$

In the reversed (“forward”) direction, the solution for the rotational constants  $\sigma_h$ ,  $r_{0,h}$  can be obtained by first expressing  $\sigma_h$  from Eq. 46 as,

$$\sigma_h = f \cdot (1 - E) \cdot \sigma_{app,h} / (f - E), \quad (48)$$

then putting  $\sigma_h$  into Eq. 43, from which  $r_{0,h}$  can be expressed as:



$$r_{0,h} = r \cdot \left[ 1 + f \cdot (1-E) \cdot \sigma_{app} / (f-E) \right] / \left[ 1 + \xi \cdot f \cdot (1-E) \cdot \sigma_{app} / (f-E) \right] \quad (49)$$

To see the effect of hindrance on the  $f$  and  $E_0$  clearly, the following relationship between the  $(\sigma_{app,h}/\sigma_h)$  and  $(\sigma_{app}/\sigma)$  ratios can be deduced by taking into account the definitions for  $\sigma_h$  and  $\sigma_{app,h}$  (Eqs. 43, 45) and for  $\sigma$  and  $\sigma_{app}$  (Eqs. 16, 20):

$$\sigma_{app,h} / \sigma_h = \left( \sigma_{app} / \sigma \right) \cdot \left\{ 1 + (r_1 / r - 1) \cdot r_\infty \cdot (1-E) / [r - E \cdot r_\infty - r_1 \cdot (1-E)] \right\}, \quad (50)$$

where  $r_0=r_{0,h}$  has also been assumed.

Decisive is that the 2<sup>nd</sup> term in the braces is positive, because the  $r$ -containing term in it is larger than the term containing  $r_1$ . The positivity of the 2<sup>nd</sup> term implies the following inequality:

$$\sigma_{app,h} / \sigma_h > \sigma_{app} / \sigma. \quad (51)$$

Based on the inequality in Eq. 51, hindrance against rotation decreases  $E_0$  (from Eqs. 21, 46 for  $E_0, E_{0,h}$ ), and increases  $f$  (from Eqs. 22, 46 for  $f, f_h$ ):

$$E_{0,h} < E_0, \quad f_h > f. \quad (52)$$

That the associated fraction  $f$  should increase with hindrance can be reasoned qualitatively as follows: In Eqs. 43, 44 hindrance appears as a negative feedback effect opposing the increase of anisotropy due to lifetime reduction. Because due to the presence of the  $1-E$  factor in  $r'$  (Eq. 44) the opposing effect of  $\xi$  is relatively suppressed in  $r'$  as compared to  $r$  (Eq. 43), implying that by increasing  $\xi$  the weight in the average donor anisotropy  $r_1$  (Eq. 15) is shifted towards the term containing  $r$ . However,  $r_1$  is a measured constant, which implies that the multiplying factor of  $r$  ( $1-f$ ) should reduce and the factor of  $r'$  ( $f$ ) increase in Eq. 15. This means that  $f$  should be increased with increasing  $\xi$ . Accordingly,  $E_0$  should decrease based on Eq. 19.

The connection between the linear approximation, i.e. the original Perrin formulation forced to describe hindered rotator data and the hindered model of Eq. 43 can be revealed by transforming Eq. 43 to the form of Eq. 16:

$$r' = r_{0,h} / \left[ 1 + \sigma_h \cdot (1-\xi) / (1 + \xi \cdot \sigma_h) \right]. \quad (53)$$

Comparing Eqs. 53 and 16, it can be seen that: (i) Eq. 53 describes an unhindered rotator possessing a lifetime-dependent effective rotational correlation time, and effective rotational constant:

$$\sigma_{eff} \equiv \sigma_h \cdot (1-\xi) / (1 + \xi \cdot \sigma_h). \quad (54)$$

The lifetime dependence of  $\sigma_{h,eff}$  is dictated by  $\xi$ , as a ‘‘coupling constant’’, in the denominator. (ii) Because the multiplication factor of  $\sigma_h$  is smaller than unity, hindrance reduces the effective speed of rotation, as expected. (iii) Because the numerator of  $r'$  in Eq. 53 is independent of  $\xi$ , the forced linear fitting (with Eq. 16) of the hindered rotator supplies approximately the true  $r_{0,h}$  limiting anisotropy, i.e.  $r_0 \approx r_{0,h}$ . The approximation is the better, the smaller  $\xi$  is.

According to the rotational data obtained by rFLIM technique on the same mAbs and cells (Fig. 2s in *Supporting information* to [21]), the  $\xi$  parameter expressing hindrance varies around 23%. By using this value for  $\xi$  in computing associated fractions according to Eq. 47 with the data of Table 1,  $\sim 20\%$ -larger  $f$  values result for  $r_0=0.2$ , and  $\sim 10\%$ -larger for  $r_0=0.25$ . This calculation indicates also that, the effect of hindrance depends also on the value of the limiting anisotropy. According to both flow cytometric and rFLIM observations, the  $\sigma_{\text{eff}}$  values (at 488 or 514 nm) are around 0.4 [19, 21]. By using 23% for  $\xi$  in Eq. 54, a value of 0.53 can be obtained for  $\sigma_h$ , implying that the  $(\xi\sigma_h)$  term can be neglected compared to 1 (being 0.11), and  $\sigma_{\text{eff}} \approx \sigma_h(1-\xi)$ . This also shows that the  $r_0 \approx r_{0,h}$  is also a reasonable approximation.

### *Calibration of FRET by determining $\alpha$*

In the calculations we followed the conventional way of FCET calculation when the  $\alpha$  factor [48, 49] balancing the different sensitivities of the donor and acceptor channels has been determined from suitable single-labeled samples: From samples labeled with only donor and acceptor in a known acceptor-to-donor concentration ratio, ensured e.g. by a 1:1 donor-acceptor stoichiometry, as in the present case of the two subunits of the MHCI receptor. Afterwards,  $E$  and  $I_d$  are computed with  $\alpha$ . (In contrast to  $E$  and  $I_d$ , the intensity  $I_a$ , proportional with the acceptor concentration, is independent from  $\alpha$ .) However, a “reversed scheme” can also be imagined, when the  $\alpha$  factor is the aimed parameter. When the limiting anisotropy and the associated fraction of the donor are known in advance, FRET efficiency  $E$  can be computed on the donor side with the Perrin-model. Then the  $\alpha$  factor is fixed by the condition that the FRET efficiency of the FCET formalism should be the same as the one obtained by the Perrin-model. Reversely, by knowing  $\alpha$ , validity of the Perrin-model could be checked by comparing two FRET efficiencies: one computed with the FCET method as standard, and the other one computed with the Perrin-model. The precondition of this approach is that donor anisotropy should be sensitive on FRET, i.e. rotational modes on the time scale of FRET – “transfer rotational modes” – should be present [19].

### *Incomplete polFRET schemes: 1polFRET, 2polFRET approaches*

If the acceptor anisotropies are not important, a simplified polFRET scheme can be applied, when the polarized intensity components are detected only for the donor, and the total intensities  $I_2$ ,  $I_3$  for the acceptor (1polFRET). This scheme can be applied e.g. when the associated donor fraction or the rotational constants of the donor are important, and for the determination of  $\alpha$  in the aforementioned way. In another simplification, only a single laser is used at the donor’s excitation wavelength (“single-laser polFRET” or “dual-polarization FRET (2polFRET)”, discussed in [21]). In this version of FRET determination, the  $I_3$  intensity necessary for the solution of the FCET problem in addition to  $I_1$  and  $I_2$  – i.e. for finding  $E$ ,  $I_d$ ,  $I_a$  – is replaced by the acceptor anisotropy  $r_a$ ’ in the presence of donor. The reliability of the

method is determined by the condition that the anisotropy of sensitized emission ( $r_{et}$ ) should be a known value, e.g. zero. Although the method rests on the acceptor anisotropy  $r_a$  measured in the presence of FRET, the detection of the donor anisotropy  $r_1$  is also necessary. It is needed for correcting donor's cross-talk in the acceptor anisotropy, see Eqs. 12s, 13s in *Supporting information*. The main differences between the earlier “single laser polFRET” and the present approach, 3polFRET are that in the latter: (i) No assumption on the anisotropy of sensitized emission is made. (ii) Directly excited acceptor anisotropy ( $r_a$ ) is measured, making possible checking for the sterical effect of the presence of the donor-bearing ligand (“sterical hindrance”). (iii) Because of the simultaneous determination of the donor anisotropy ( $r_1$ ), and the 2 acceptor anisotropies – sensitized emission ( $r_{et}$ ) and directly excited ( $r_a$ ) – with FRET efficiency ( $E$ ), the latter approach makes feasible a more complete description of the FRET system, enabling also the orientation factor ( $\kappa^2$ ). In the knowledge of the orientation factor for FRET, computation of distance distributions may be attempted (see in *Supporting information*). (iv) New indicators of receptor dynamics, called polarization FRET-indices ( $E_1$ - $E_4$ ) may be introduced, some of which with the promise for an enhanced sensitivity in detecting conformational changes.

#### *Triple-anisotropy correlations for FRET and non-FRET interactions: $\kappa^2$ in a wider context*

The 3polFRET method has been introduced as a “natural extension” of the conventional FCET method from the unpolarized optical regime to the polarized one. However, it might have a broader field of application, because the simultaneous measurement of 3 anisotropies does not necessitate the presence of FRET. It can be used for monitoring 2-3 different spectral channels of a single fluorophore or up to 3 different fluorophores with “well separable spectral ranges”, e.g. quantum dots (QDs) [21, 30]. Consequently it belongs to the “spectral anisotropy” category recently introduced by Esposito *et al.* [29].

Another related technique recently introduced in the field of biosensing is “dual-polarization interferometry” (DPI) [50]. The name of this technique suggests as if two different pairs of polarization channels – the two polarized components of say channel#1 and channel#2 – would be applied simultaneously. However, in the present form of the technique the interference between two polarized components of a single channel is exploited, one polarized component serving as the probe beam, and the other one as the reference beam for the interference. This technique can also be extended with involvement new polarization channels for new parameters.

As to the relevant non-FRET interactions, e.g. correlated membrane events elicited by spreading membrane potential in an axon (“solitary waves”), or osmotic pressure in a cell, can be mentioned [51]. Collective motions of DNA can also be monitored by selectively labeling with 3 different dyes. According to the Perrin-equation (Eq. 15) basically the correlations between 3 different lifetimes and rotational correlation times can be detected in these cases. In this respect the method shows some similarity to the astronomic observations where

correlations between intensities or intensity anisotropies of light waves arriving from distal points are measured [52].

Accordingly, the interpretation of  $\kappa^2$  can also be put in a wider context, conceiving it as a purely geometrical measure of the relative orientational distributions of two dipole ensembles. This can be made e.g. by taking Eqs. 29s, 30s (in *Supporting information*) for  $\kappa^2_{\min}$  and  $\kappa^2_{\max}$  as the definitions, which make sense independently whether FRET is measured or not.

#### *Earlier works on FRET determination from dual- and triple-anisotropy correlations*

The concept of simultaneously measuring FRET with the donor and acceptor anisotropies has already been applied in the field of single-molecule fluorescence [27, 53] where the occurrence of a single FRET event is justified by the detection of the anti-correlations of donor and acceptor intensities and anisotropies for a given donor-acceptor pair. Here the need for the dual-anisotropy approach for FRET detection naturally arises because fluctuations of the orientation factor for FRET ( $\kappa^2$ ) do not average out at this statistical level. In a very elegant work in the field of wide-field steady-state fluorescence imaging, Mattheyses *et al.* [28] have already used the triple-polarization concept for a robust determination of FRET efficiency and the donor and acceptor concentrations from only a single camera exposure for all the 3 detected signals with the aim of rapid identification of binding events in biosensing detection schemes. Although the terms of their matrix formalism should correspond to our terms (Eqs. 11, 12), their meaning and implications regarding the dynamics of the FRET system have been left buried.

#### *The polarization bias of FRET efficiency*

Our formalism is amenable to estimate the committed errors in the FRET efficiency determined either only via the donor intensity (i.e. the efficiency of donor quenching) or from the sensitized emission of acceptor when the intensities are detected without a polarizer with vertically polarized excitation. This error is due to the fact that when FRET is detected perpendicularly to the direction of excitation with linearly polarized light, the detected intensities are not the total ones, which are independent from polarization, but only partial intensities showing some polarization dependence [42, 43]. This polarization error can be circumvented by either exciting via a linear polarizer set at the magic angle ( $54.7^\circ$ ) relative to polarization direction of the detection, or detecting through a polarizer set at the magic angle relative to the polarization direction of excitation. Detecting perpendicularly to the illumination direction, the polarization dependence also sustains even when excitation is with depolarized light. This effect has been exploited for a high-sensitive detection of polarization in [54].

#### *$\kappa^2$ as a tool for controlling FRET*

The most fundamental property of  $\kappa^2$  is that in the absence of its knowledge the FRET efficiency can not be translated into distance, the aimed parameter in most of the applications of FRET. We followed the route of  $\kappa^2$  determination via the depolarization factors (please see it in *Supporting information*) as originally published by Dale et al. [6]. They also were the first in calling the attention for treating FRET and polarization in a unified fashion. Depolarization factors, the input parameters of  $\kappa^2$  can also be determined by excitation angle-resolved intensity measurements in a confocal microscope [34]. A possibility for narrowing the uncertainty of  $\kappa^2$  has recently published in [35].

Besides the above “passive role” of  $\kappa^2$  played in proximity determinations, it can also be exploited “actively”, for controlling FRET directionality. This may be based on that  $\kappa^2$  expresses the directionality of interaction by the donor and acceptor, being a factor characteristic for the orientational distribution of the donor’s local field [7-9]. Orientation of FRET process is a problem of engineering the distribution of the donor’s local field. This can be accomplished e.g. by the type of donor transition, or by putting a plasmonic nano-particle or some other boundary surface in the vicinity of donor, modifying the distribution of the donor’s local field [55].

A special type of donor transition amenable for the above purpose is the rotating donor dipole [56]. Emitters of natural chirality belong to this class of emitters. However some long lasting chirality for officially not chiral emitters can also be expected after excitation with circularly polarized light based conservation of angular momentum (helicity) [57]. Conservation of angular momentum is manifested in a recently discovered series of phenomena with circularly polarized light classified as spin orbit interaction (SOI) of light [58]. SOI expresses a deep connection between polarization and geometry called geometric (or Pancharatnam-Berry) phase. The deep consequence of geometric phase is that the behavior of electrodynamics may be governed by spatial geometry and factors affecting the geometry. Because FRET is inherently connected to geometry and polarization, manifestations of angular momentum conservation can also be expected for FRET [57-59]. Circularly polarized light, as a depolarized way of excitation can also lead to modification of FRET by enabling more donors for the acceptors to quench when acceptor dipole orientations are anisotropically distributed [34, 60].

#### *Extending 3polFRET into the domain of circular polarization*

Besides linear polarization, circular polarization can also be used for representing the polarization state of matter [61]. E.g. the linearly polarized state can be conceived as the coherent superposition of two counter-rotating circularly polarized state. In the framework of the “circular-base” description of polarization, optical activity is explained by a phase shift between the left- and right-rotating circular components leading to changing the direction of linear polarization. In this respect the depolarization of sensitized emission during FRET can also be visualized as a kind of “optical activity of FRET”. The complete description of polarization state of light requires specifying also its circular content besides the linear one.

These questions and the ones detailed above necessitate pushing 3polFRET into the domain of circular polarization [62]. This may be accomplished by introducing circular polarizers (quarter-wave plates) into the excitation and detection paths besides the linear polarizers, enabling the full description of polarization state of fluorescence with the components of the 4-D Stokes-vector [61].

## **Conclusion**

Dual-laser flow cytometric FRET method (FCET) has been extended for a detailed quantitation of stoichiometry and dynamics of receptor clusters by the detection of polarized intensity components of the donor and acceptor. The new approach (3polFRET) enables a complete description of FRET systems in the multiplexing and high-throughput conditions of flow cytometry. The capabilities of the new method have been illustrated with the determination of donor's associated fraction and rotational dynamics, and orientation factor for FRET-systems comprised of the two subunits of the MHCI molecule with changing acceptor level. Hetero-FRET-induced "relief of homo-FRET" has been detected in a 2 donors-1 acceptor system comprised of the two subunits of MHCI as the donors and MHCII as the acceptor by analysis of donor Perrin-plots. For a more sensitive detection of conformational changes hybrid parameters, the polarized-FRET indices have been introduced by mixing FRET efficiency and donor anisotropy. One of them,  $E_1$  has been shown to extend the range of FRET substantially. Although the method has been worked out for a flow cytometer, it can be realized also in fluorescence microscopes capable for triple-channel polarization imaging. Dynamical information can be gathered with this method, similar to that with anisotropy FLIM (rFLIM), but at the steady state, which is simpler and at a lower cost. Realizing it in flow conditions the much higher speed of data acquisition and the increased statistical precision are the other merits.

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### Legend to figures

**Fig. 1** *Polarization effects of FRET.* Panel A: Shown are, orientation distributions of donor (green cone) and acceptor dipoles (red cone) at large mean separation  $R_1$ , with a low level of FRET. Panel B: Orientation distributions of donor and acceptor dipoles at smaller mean separation  $R_2$ , with an increased level of FRET. Increased FRET due to shortening of donor-acceptor separation ( $R_2 < R_1$ ) leads to narrowing and broadening of orientation distribution of donor and acceptor excited state dipoles, illustrated by cones with the green and red arrow, respectively. They are shown on the surface of the cones, but could be anywhere in the conical volumes. In parallel, the reduced volume of the cone of donor dipoles reflects reduced intensity and fluorescence lifetime due to the donor quenching – dipoles at larger angles are inclined to be quenched preferably. The increased volume of the cone of acceptor dipoles reflects increased intensity (sensitized emission) due to the indirect excitation by the curvy donor dipole fields – extra dipoles appear at larger angles. The lengths of the individual donor and acceptor dipoles – and consequently, the radiative rates and intrinsic lifetimes – are not affected ( $\mu_{d,1} = \mu_{d,2}$ ,  $\mu_{a,1} = \mu_{a,2}$ ).

**Fig. 2** *Flow-chart of the triple-polarization FRET (3polFRET) method.* The fluorescence anisotropies and intensities of the donor and acceptor are the primarily measured quantities (in the ellipses). The FRET efficiency and the amount of the donor and acceptor are computed from the total intensities of the donor and acceptor (in the rectangles). The donor anisotropy is used either for describing rotational motion of the donor if the associated donor fraction is known, or – as a refinement of the characterization of the receptor clusters – for the computation of the associated donor fraction if the rotational constants of the donor are the known quantities. The polarized FRET indices are defined as combinations of the donor anisotropies and FRET efficiency. The computation of orientation factor and subsequently the distance distributions rests on the knowledge of the rotational constants of both the donor and acceptor.

**Fig. 3** *Scheme of „triple T-format” optical arrangement for the combined measurement of the donor and acceptor anisotropies: top view of triple-polarization FRET (3polFRET) with the*

*collected signals.* The cells are illuminated through the main focusing lens  $L_1$ , by the blue and green laser lights whose polarization direction can be adjusted by the  $HWR_1$  and  $HWR_2$  half-wave retarders. The fluorescence intensities of the donor and acceptor (green, red) are collected by the lens  $L_2$  (NA=0.6). The fluorescence intensities activated by the blue laser are dispersed by dichroic beam splitter BS (580 nm) into green and red components ( $I_1$ ,  $I_2$ ), which are projected through band pass filters  $BPF_1$  (535±15 nm),  $BPF_2$  (640±60 nm) and relay lenses  $L_3$ ,  $L_4$  onto the polarization beam splitter cubes  $PBS_1$ ,  $PBS_2$ . The fluorescence activated by the green laser ( $I_3$ ) is projected by the silvered mirror SM on the polarization beam splitter cube  $PBS_3$  through the band pass filter  $BPF_3$  (640±60 nm) and relay lens  $L_5$ . The polarization direction of the illuminating laser light beams can be rotated into the perpendicular direction for the measurement of the G-factors by the  $HWR_1$  and  $HWR_2$  half-wave retarders. The polarization direction in the plane of the drawing (horizontal) is represented by double-ended arrows, the perpendicular polarization (vertical) by encircled dots.  $PM_1$ ,  $PM_3$  right angle prisms for mirroring light from the lasers into the cytometer's ports. FSC: forward (small angle) light scattering,  $I_1$ : donor intensity,  $I_2$ : sensitized acceptor intensity,  $I_3$ : directly excited acceptor intensity. There is ~30  $\mu$ sec lag between the signals activated by the two laser lines.

**Fig. 4** *Characteristic flow cytometric distributions of the 3polFRET method.* The unquenched donor intensity  $I_d$ , the directly excited acceptor intensity  $I_a$ , and the FRET efficiency distribution  $E$  are computed from the total  $I_1$ ,  $I_2$  and  $I_3$  intensities in the conventional manner by using the  $S_1$ ,  $S_2$ ,  $S_3$  and  $\alpha$  spectroscopic-optical constants. The relative magnitude of  $I_1$  as compared to  $I_d$  (thick and thin lines on Panel A) is reduced in proportion with the FRET efficiency  $E$  due to the quenching by FRET. The  $I_a$  (Panel B) and  $I_d$  intensities are the input parameters for determining the acceptor-donor concentration ratios and the  $f_0$  FRET fractions in Table 1. In Panel C the donor anisotropy in the presence of acceptor  $r_1$  is shown together with the anisotropy  $r$  of the donor-only sample. As compared to  $r$ , anisotropy  $r_1$  is shifted to the right due to FRET. One of the two parameters, the associated donor fraction  $f$  and the limiting anisotropy  $r_0$  of the donor can be determined in the knowledge of the other parameter, and  $r$ ,  $r_1$  and  $E$  according to the Perrin-equation extended for partial donor associations. On Panel D:  $r_a$  (thin line) is the anisotropy of the directly excited acceptor and  $r_{et}$  (thick line) is the anisotropy of acceptor excited by FRET. According to the expectation,  $r_{et}$  is distributed around zero. Deviation of  $r_a$ , measured in the double-labeled FRET-sample, and the corresponding anisotropy of the  $I_3$  intensity for the acceptor-only sample ( $r_{3a}$ ) is an indicator of possible steric interaction between the donor and acceptor-carrying ligands. On Panel E, only two of the polarization FRET indices,  $E_1$  (leftmost, thin line) and  $E_4$  (rightmost, thick line), having the largest deviations from  $E$  are shown, together with  $E$  (middle line). These quantities are defined by mixing of the FRET efficiency  $E$  and the donor anisotropies  $r_1$ , and  $r$ . On Panel F, the lower and upper bounds (thick and thin lines) for the FRET orientation factor  $\kappa^2$  are displayed. These quantities are computed from the limiting anisotropies for the directly excited donor and acceptor, as well as for acceptor excited by FRET. The histograms have been collected from cells labeled with donor-conjugated L368 and acceptor-conjugated W6/32, both at saturation, against the light and heavy chains of MHCI, the 4<sup>th</sup> sample of Table 1, Part A. Related reciprocal donor anisotropy vs. “complement FRET efficiency” (1-

E), and acceptor anisotropy vs. the FRET-related quantity  $x_{2a}$  2-D correlation plots collected on the MHCI-MHCII system are displayed on Fig. 2s in *Supporting information*.

**Fig. 5** *Cartoon illustrating the analogy between 3polFRET and the Chasles-theorem of classical mechanics*

According to the Chasles-theorem the general motion of a rigid-body represented by the triangle – as a model for a subunit of a biological molecule in a conformational change – can be decomposed to the sum of a translation (1) and a rotation (2). In fluorescence spectroscopy these motional freedoms can be described by measuring FRET and the donor and acceptor anisotropies, respectively. The polarized FRET-indices introduced by Eqs. 32-35 for describing conformational changes are combinations of FRET efficiency and donor anisotropies, in which the effects of changing proximity (translation) and orientation (rotation) are measured additively.

**Fig. 6** *Cartoon visualizing the splitting of FRET efficiency  $E$  into the polarized FRET index components  $E_1$ - $E_4$ . The FRET indices and  $E$  are shown in the space of “generalized anisotropy” (defined by Eq. 23s in *Supporting information*) with their distances characteristic on the amount of splitting. The smallest deviations are seen between  $E_2$ ,  $E_3$  and  $E$ , being proportional to the FRET-induced donor anisotropy enhancement,  $r'-r$ , which is small. For no enhancement ( $r'=r$ )  $E$  splits only to  $E_1$  and  $E_4$ . For nonzero anisotropies  $E_1$  and  $E_4$  always deviate from  $E$  and from each other, with the largest deviation proportional to the sum of the donor anisotropies measured in the absence and presence of FRET,  $r+r'$ . For zero anisotropy – quick rotations on the fluorescence time scale – there is no splitting at all. For non-zero  $r$  and when  $r'>r$ , the splittings of  $E$  into  $E_1$  and  $E_4$  change inversely with FRET efficiency ( $E$ ) and rotational constant ( $\sigma=\tau/\phi$ ). Although the splittings of  $E$  into  $E_2$  and  $E_3$  also change inversely with the FRET efficiency, they change parallel with the rotational constant ( $\sigma$ ), see also Fig. 1s, in *Supporting information*.*

Table 1. FRET-resolved associated fractions of donors (f) in acceptor-titrated intramolecular FRET between MHCI subunits on JY cells.

FRET-pairs				FRET-fraction (%)	FRET efficiency (%)	Donor anisotropies <sup>d)</sup>			Calculated according to Perrin-model with $r_0$ at $f_0=100\%$ <sup>e)</sup>	
Donor: xFITC-conjugated <sup>a)</sup>	Acceptor: Alexa-Fluor 546-conjugated <sup>a)</sup>		$f_0^b)$							
mAb <sub>1</sub>	Antigen <sub>1</sub>	mAb <sub>2</sub>	Antigen <sub>2</sub>							
Part A										
L368	$\beta_2m$	W6/32(1)	MHCI h.c.	12.5±1.3	5.2±0.4 <sup>d)</sup>	0.138±0.012	0.139±0.008	-0.005±0.006	0.139±0.013	1.3±0.9
		W6/32(2)		37.4±3.0	14.7±1.2		0.143±0.010	0.135±0.016	0.143±0.012	7.9±1.5
		W6/32(3)		75.0±5.5	23.3±2.0		0.147±0.011	0.164±0.012	0.145±0.015	79.0±6.0
		W6/32(4)		100.0±8.5	29.6±3.0		0.151±0.012	0.172±0.011	0.148±0.013	92.6±8.3
Part B										
W6/32	MHCI h.c.	L368(1)	$\beta_2m$	6.5±0.5	4.2±0.4	0.123±0.010	0.126±0.010	-0.048±0.058	0.149±0.015	0.6±0.7
		L368(2)		23.6±1.4	7.6±0.6		0.127±0.008	0.059±0.030	0.126±0.011	4.2±1.4
		L368(3)		46.6±3.7	13.1±1.1		0.128±0.009	0.150±0.012	0.128±0.012	18.2±1.5
		L368(4)		100.0±9.0	22.2±1.6		0.135±0.012	0.190±0.013	0.133±0.014	84.6±8.5

a) Labeling ratios (L) for the antibodies are listed in parentheses: donor conjugated L368 (3.9), W6/32 (3.71); acceptor conjugated L368 (2.1), W6/32 (2.8).

- b) FRET-fractions  $f_0$ , for the light chain-heavy chain subunits of the MHCI with 1:1 stoichiometry, have been adjusted by the added amount of acceptor mAbs. They have been computed as  $f_0 = (\epsilon_d \cdot L_d \cdot S_2 \cdot I_a) / (\alpha \cdot \epsilon_a \cdot L_a \cdot I_d)$ , where the  $\epsilon$ -values are the molar decadic absorption coefficients for the donor and acceptor at the wavelength of the donor excitation, L-values are the labeling ratios of mAbs,  $I_a$  and  $I_d$  are intensities for the directly excited acceptor and unquenched donor on the FRET sample, and  $\alpha$  is spectroscopic and optical constant for calibration of FRET (d: donor, a: acceptor).
- c) E means FRET efficiency determined from the total donor and acceptor intensities according to the standard FCET formalism (Eqs. 1s-5s, in *Supporting information*).
- d)  $r$ , fluorescence anisotropy of the sample labeled with only the donor.  $r_1$ , fluorescence anisotropy of the sample labeled with both donor and acceptor, which depend on both FRET efficiency (E) and clustered donor fraction ( $f_0$ ). Starting limiting anisotropies  $r_0$  have been determined with the Perrin-model (Eqs. 15-17) with  $f_0$ , E,  $r$ ,  $r_1$  as input parameters.
- e) These parameters have been determined according to the Perrin-model (Eqs. 15-17) by using  $r_0$  value of the donor determined when  $f_0=100\%$ .
- f) Data indicate means with their standard errors (SEM) determined on 3 different measurements.

Table 2. Polarized FRET-indices ( $E_1$ - $E_4$ ) measured for acceptor-titrated intramolecular FRET between the MHCI subunits on JY cells.

FRET-pairs				Polarized FRET-indices (%) <sup>b)</sup>				
Donor: xFITC-conjugated <sup>a)</sup>		Acceptor: Alexa-Fluor 546-conjugated <sup>a)</sup>						
mAb <sub>1</sub>	Antigen <sub>1</sub>	mAb <sub>2</sub>	Antigen <sub>2</sub>	$E_1$	$E_2$	$E_3$	$E_4$	
Part A								
L368	$\beta_{2m}$	W6/32	MHCI h.c.	W6/32(1)	-40.3±3.2 <sup>c)</sup>	5.1±0.5	5.3±0.5	36.0±3.0
				W6/32(2)	-27.0±2.6	14.2±1.1	15.0±1.2	42.6±3.8
				W6/32(3)	-15.3±1.6	22.1±1.9	24.0±2.2	48.6±4.3
				W6/32(4)	-6.0±0.5	28.4±2.5	30.5±3.0	53.2±4.6
Part B								
W6/32	MHCI h.c.	L368	$\beta_{2m}$	L368(1)	-36.7±3.0	3.8±0.4	4.5±0.4	32.8±3.2
				L368(2)	-31.9±3.2	7.2±0.6	7.9±0.8	35.2±3.7
				L368(3)	-24.3±2.4	12.5±0.9	13.6±1.1	39.3±3.6
				L368(4)	-12.3±1.0	20.9±1.7	23.0±2.0	45.8±3.8

a) Labeling ratios (L) for the antibodies are listed in parentheses: donor conjugated L368 (3.9), W6/32 (3.71); acceptor conjugated L368 (2.1), W6/32 (2.8).

b) Polarized FRET-indices ( $E_1$ - $E_4$ ) were determined by using  $E$ ,  $r$  and  $r_1$  according to Eqs. 37-40. Total association of donors ( $f_0=1$ ) was also assumed, when  $r_1=r'$ . While the deviation of  $E_2$  and  $E_3$  indicates the degree of enhancement of donor anisotropy due to FRET, i.e. the

presence of rotational modes on the timescale of FRET, the deviation of  $E_1$  and  $E_4$  indicates the presence of anisotropy itself, i.e. the lack of rotational modes on the time scale of fluorescence. The absolute range of FRET efficiency is dilated by  $E_1$ , and compressed by  $E_4$  with  $\sim 30\%$ .

- c) Data indicate means with their standard errors (SEM) determined on 3 different measurements. A similar set of data has been compiled for a two donors-one acceptor system in Table 2s in *Supporting information*.



Table 3. FRET-resolved limits of orientation factor ( $\kappa^2$ ) measured for acceptor-titrated intramolecular FRET between the MHCI subunits on JY cells.

FRET-pairs				Acceptor anisotropies <sup>b)</sup>		Lower and upper limits of orientation factor <sup>c)</sup>	
Donor: xFITC-conjugated <sup>a)</sup>		Acceptor: Alexa-Fluor 546-conjugated <sup>a)</sup>		$r_{et}$	$r_a$	$\kappa^2_{min}$	$\kappa^2_{max}$
mAb <sub>1</sub>	Antigen <sub>1</sub>	mAb <sub>2</sub>	Antigen <sub>2</sub>				
Part A							
L368	$\beta_{2m}$	MHCI h.c.	W6/32(1)	-0.107±0.100 <sup>d)</sup>	0.186±0.015	0.60±0.05	1.40±0.12
			W6/32(2)	0.014±0.010	0.181±0.016	0.43±0.03	2.20±0.20
			W6/32(3)	0.006±0.008	0.177±0.014	0.35±0.05	2.45±0.20
			W6/32(4)	0.011±0.010	0.174±0.017	0.32±0.04	2.49±0.20
Part B							
W6/32	MHCI h.c.	$\beta_{2m}$	L368(1)	-0.033±0.100	0.191±0.015	0.61±0.06	1.27±0.13
			L368(2)	0.006±0.010	0.180±0.014	0.46±0.04	1.95±0.20
			L368(3)	0.004±0.010	0.174±0.012	0.37±0.04	2.44±0.20
			L368(4)	-0.005±0.020	0.173±0.016	0.28±0.02	2.61±0.25

a) Labeling ratios (L) for the antibodies are listed in parentheses: donor conjugated L368 (3.9), W6/32 (3.71); acceptor conjugated L368 (2.1), W6/32 (2.8).

b) Acceptor anisotropies  $r_{et}$  and  $r_a$  were calculated according to Eqs. 13, 14.

- c) Lower and upper limits for the orientation factor  $\kappa^2_{\min}$  and  $\kappa^2_{\max}$  were computed according to Eqs. 29s, 30s in *Supporting information*.
- d) Data indicate means with their standard errors (SEM) determined on 3 different measurements. A similar set of data has been compiled for a two donors-one acceptor system in Table 4s in *Supporting information*.

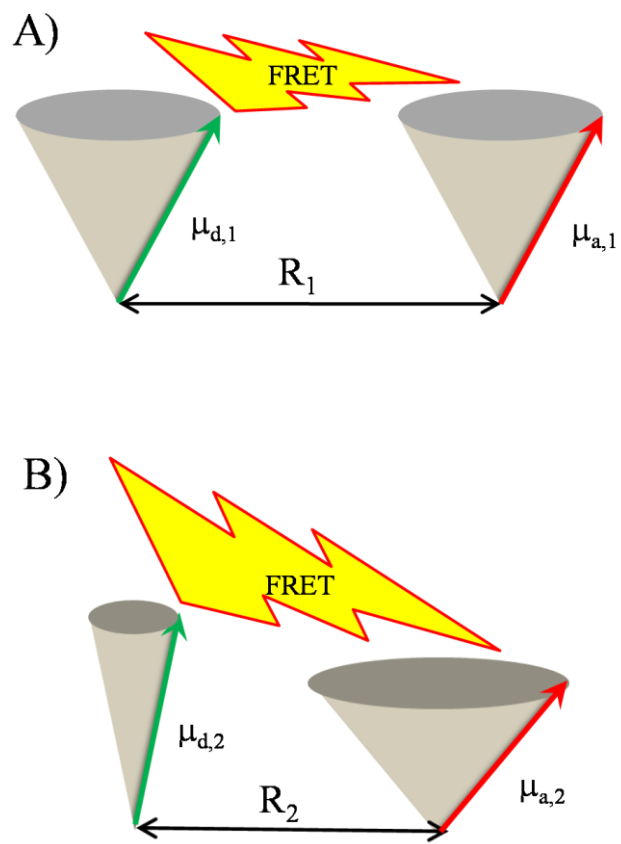


Figure 1

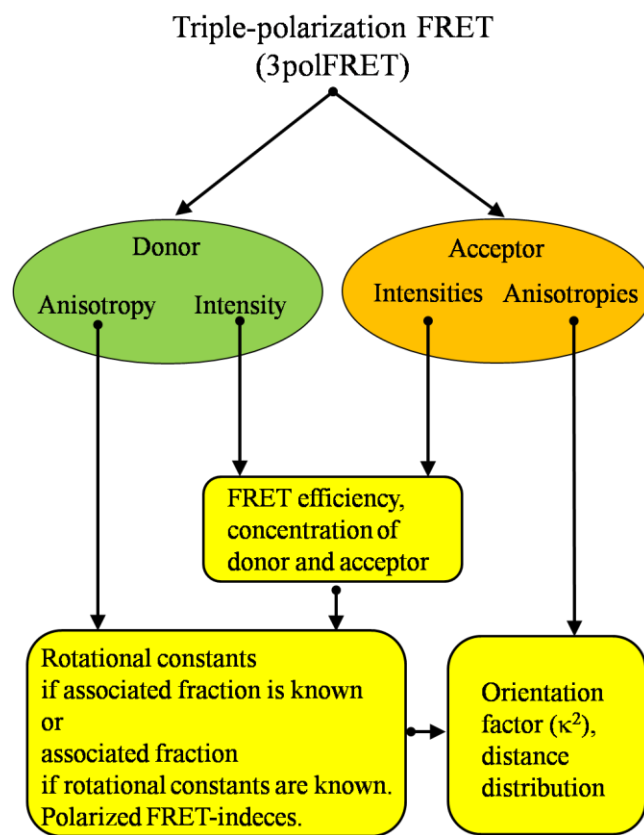


Figure 2

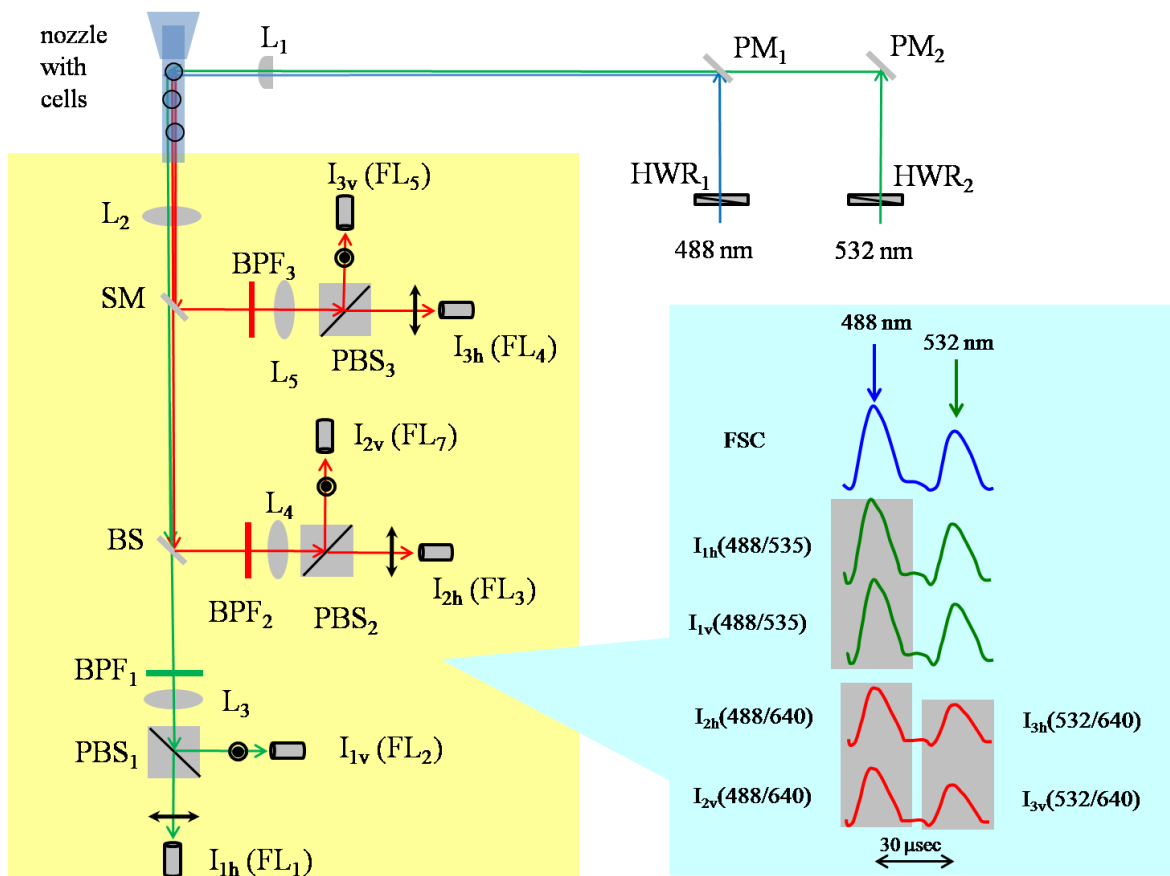


Figure 3

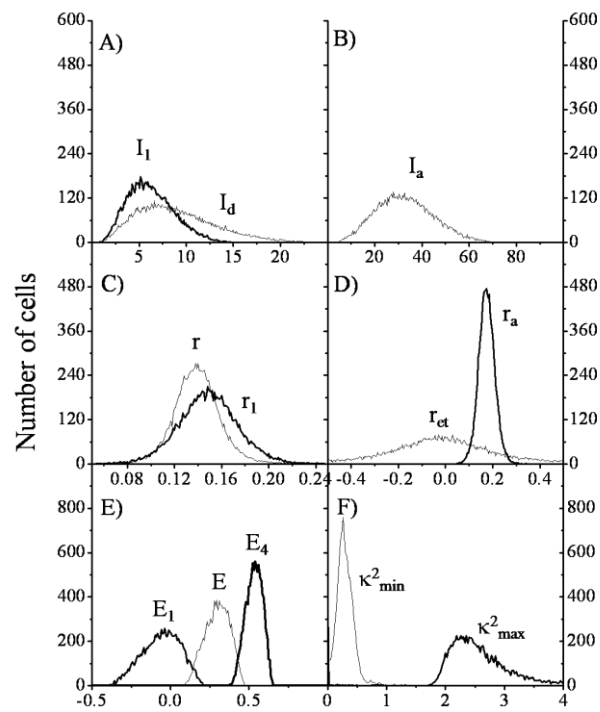
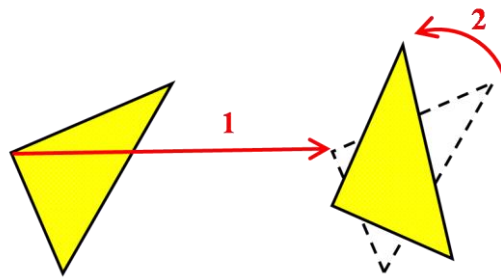


Figure 4



1. Translation ~ FRET  
2. Rotation ~ polarization } global motion ~ 3polFRET

Figure 5

