



Asymptomatic faecal carriage of ESBL producing Enterobacteriaceae in Hungarian healthy individuals and in long-term care applicants: a shift towards CTX-M producers in the community

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Complete List of Authors:	Ebrahimi, Fatemeh; University of Debrecen, Dept. of Medical Microbiology Mózes, Julianna; University of Debrecen, Dept. of Medical Microbiology Mészáros, Júlia; Synlab Ltd, Microbiology Debrecen Juhász, Ágnes; Synlab Ltd, Microbiology Debrecen Majoros, László; University of Debrecen, Dept. of Medical Microbiology Szarka, Krisztina; University of Debrecen, Dept. of Medical Microbiology Kardos, Gábor; University of Debrecen, Dept. of Medical Microbiology
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3 1 Asymptomatic faecal carriage of ESBL producing Enterobacteriaceae in Hungarian healthy individuals
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5 2 and in long-term care applicants: a shift towards CTX-M producers in the community
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10 4 Fatemeh Ebrahimi¹, Julianna Mózes¹, Júlia Mészáros², Ágnes Juhász², László Majoros¹, Krisztina
11
12 5 Szarka¹, Gábor Kardos¹

13
14 6 ¹ Department of Medical Microbiology, University of Debrecen, Debrecen, Hungary

15
16 7 ² Synlab Ltd., Microbiology Debrecen, Debrecen, Hungary
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27 12 Correspondence to

28
29 13 G. Kardos

30
31 14 Department of Medical Microbiology

32
33 15 University of Debrecen

34
35 16 H-4032, Debrecen, Nagyerdei krt. 98., Hungary

36
37 17 Tel: +36-52-255-425

38
39 18 Fax: +36-52/255/424

40
41 19 Email: kg@med.unideb.hu
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3 26 Abstract
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5 27 Background: Faecal carriage of extended-spectrum beta- lactamase (ESBL) producing Enterobacteriaceae
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7 28 in healthy individuals was examined and compared to previous results obtained in such individuals a few
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9 29 years earlier.

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11 30 Methods: Faecal samples from 779 individuals screened for employment purposes and from 225
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13 31 applicants to long-term care (LTC) were screened between November 2013 and May 2014.

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16 32 Results: The overall rate of fecal carriage was 3.0% (30/1004). The carriage rate was significantly higher
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18 33 in applicants for LTC (5.3% vs. 2.3%; p=0.019). All isolates carried CTX-M ESBLs, with an
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20 34 overwhelming dominance of *bla*_{CTX-M-15} (84.4%) in both groups and in both *E. coli* and *Klebsiella*
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22 35 *pneumoniae*.

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25 36 Conclusions: The prevalences were comparable to those in the earlier study, but a marked decrease of the
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27 37 diversity of ESBL genes in *E. coli* from the employment screening group was found, suggesting that the
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29 38 ESBL-producing isolates originating from diverse sources are being replaced by highly successful *bla*_{CTX-}
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31 39 *M-15* producing strains.
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41 Introduction

42 The gut flora of humans and animals is undoubtedly a significant reservoir of plasmids harbouring ESBL
43 genes as well as of ESBL producers [1] and may serve as a source of endogeneous or exogeneous
44 infections [2]. A change in the epidemiology of ESBL producing Enterobacteriaceae took place when
45 CTX-M-type enzymes became prevalent first in the hospital and then in the community setting. Their
46 high ability to disperse locally in the community [3] and to disperse long-range via international travel [4]
47 has been reported. Asymptomatic carriers play an increasingly important role in the epidemiology of
48 ESBL producers. It is, therefore, important to monitor changes in the prevalence, distribution and
49 dynamics of ESBL producers not only in infected patients, but in asymptomatic carriers as well. The aim
50 of the present study was to survey the faecal carriage rate of ESBL producers among healthy individuals
51 and to compare with results obtained in the same population a few years earlier [5].

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53 Material and methods

54 Stool samples from 779 healthy individuals screened for employment purposes (599 females, 180 males;
55 median age 34 (range 14-61) years) and from 225 applicants to long-term care (LTC) facilities (140
56 females, 85 males; median age 81 (range 0-103) years) were analysed between November 2013 and May
57 2014 and compared with results obtained in 2009-2010 in the same population. As the study involved
58 only the samples sent for routine screening purposes and the patients were unidentified, informed consent
59 could not be obtained and approval by an Ethics Review Board was not required.

60 To screen for ESBL production, faecal samples were inoculated onto eosin methylene blue agar plates
61 supplemented with 2 mg/l cefotaxime. Confirmation of ESBL phenotype was performed using double
62 disk synergy test (Oxoid, Basingstoke, UK). The isolates were identified by MALDI Biotyper (Bruker,
63 Bremen, Germany) and by species-specific PCRs. Susceptibility to ertapenem, meropenem, imipenem,
64 cefotaxime, ceftazidime, cefepime, ciprofloxacin, co-trimoxazole, colistin, amikacin, gentamicin and
65 tobramycin was tested by disk diffusion according to EUCAST recommendations. All isolates were
66 screened for the genes *bla*_{TEM}, *bla*_{SHV}, and *bla*_{CTX-M}, amplified genes were sequenced. Five
67 aminoglycoside resistance genes *aac(3')-IIa*, *aac(6')-Ib*, *aph(3')-Ia*, *ant(2'')-Ia*, *ant(3'')-Ia*, and class 1
68 and 2 integrons were sought for by PCRs. Gene cassette arrays were determined by sequencing. For
69 *Escherichia coli* phylogenetic group and the clone O25b-ST131 were determined by PCRs. All technical
70 protocols were described in our earlier publication [5]. Prevalences of isolates or genes were compared by
71 chi-square or Fisher's exact test as appropriate using PaSt 3.0.

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73 Results and discussion

74 The overall rate of fecal carriage of ESBL-producing isolates was 3.0% (30/1004). The carriage rate was
75 significantly higher in applicants for LTC than in the employment screening group (5.3%, 12/225 vs.
76 2.3%, 18/779; $p=0.019$). Thirty-two isolates produced ESBLs. Only *E. coli* isolates were found in the
77 employment screening group, while in applicants for LTC five persons carried *E. coli*, another five
78 carried *K. pneumoniae*, and further two carried both species simultaneously.

79 All isolates carried CTX-M type ESBLs with an overwhelming dominance of $bla_{CTX-M-15}$ (Table I).
80 Diversity of ESBL genes was low. All *K. pneumoniae* isolates carried $bla_{CTX-M-15}$ (two of them harboured
81 bla_{SHV-5} and two other $bla_{SHV-110}$ simultaneously), while six of seven *E. coli* isolates from the LTC group
82 and 14 of 18 isolates from healthy individuals carried $bla_{CTX-M-15}$. The remaining one and four *E. coli*
83 isolates carried $bla_{CTX-M-1}$.

84 Resistance to other antibiotic classes than beta-lactamases was common. Eleven, twelve, eight and 13 of
85 14 isolates were resistant to ciprofloxacin, co-trimoxazole, gentamicin and amikacin, respectively, in the
86 LTC group, while these numbers were eleven, eleven, six and six of 18 isolates in healthy individuals.
87 This difference in susceptibility to amikacin was also evident when comparing only *E. coli* isolates.
88 Integron carriage rates were comparable. In the LTC group class 1 integrons were detected in four *K.*
89 *pneumoniae* (three and one with gene cassette arrays $dfrA12-orfX-ant(3'')-Ib$ and $dfrA15-ant(3'')-Ia$,
90 respectively) and three *E. coli* (one and two with gene cassette arrays $dfrA7$ and $dfrA17-ant(3'')-Ie$,
91 respectively). Among *E. coli* isolates from healthy individuals six were class 1 integron carriers with one
92 $dfrA7$, one $dfrA1-ant(3'')-Ia$, two $ant(3'')-Ia$ and two $dfrA17-ant(3'')-Ie$ gene cassette arrays. Class two
93 integrons were found only in *E. coli*, one from the LTC and two from the employment screening group
94 with a uniform gene cassette array of $dfrA1-sat2-ant(3'')-Ia$. The genes $aac(3')-IIa$ and $aac(6')-Ib$ were
95 more frequently found in the LTC group than in healthy individuals (10/14 vs. 2/18, $p=0.001$ and 5/14 vs.
96 0/18, $p=0.02$, respectively). This applied when comparing only *E. coli* isolates from the two groups (5/7
97 vs. 2/18, $p=0.007$ and 3/7 vs. 0/18, $p=0.015$, respectively). Phylogroup distributions were comparable;

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3 98 dominance of the pathogenic phylogroup B2 was found in the healthy individuals. All phylogroup B2
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5 99 isolates in both groups belonged to the *bla*_{CTX-M-15} producer O25b:ST131 pandemic clone.
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8 100 Compared with the 2009-2010 study [5], the over-all prevalence of ESBL producers was slightly lower.
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10 101 Though the prevalences of the two periods were statistically comparable, there was a significant
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12 102 difference between the overall gene distributions in the two periods as well as between gene distribution
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14 103 in the employment screening group. *K. pneumoniae*, found exclusively in the LTC group in both periods,
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16 104 became uniformly positive for *bla*_{CTX-M-15}. Thus, production of SHV enzymes by *K. pneumoniae* became
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18 105 linked to simultaneous production of *bla*_{CTX-M-15}. Remarkably, carbapenem (ertapenem) resistance, which
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20 106 appeared in *K. pneumoniae* isolated from inpatients in the same region [6], has not yet emerged.
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23 107 Similarly, in *E. coli* from the LTC group the gene *bla*_{CTX-M-15} became slightly more frequent (16/21 vs.
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25 108 6/7), and became predominant in healthy individuals as well, but this difference was not statistically
26
27 109 significant. In the earlier study five of 22 isolates and in the present study 14 of 18 isolates carried *bla*_{CTX-}
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29 110 _{M-15} (Table I). This change was paralleled by increased resistance to ciprofloxacin and amikacin together
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31 111 with more frequent carriage of *aac*(3')-IIa and *aac*(6')-Ib, co-resistances and genes commonly associated
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33 112 with *bla*_{CTX-M-15} [7,8]. There was a significant difference in *bla*_{CTX-M-15} carriage between the LTC group and
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35 113 the employment screening group in the first period [5] but not in the second period. The similarity
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37 114 between the two groups in the present study suggests a scenario where the hospital based CTX-M-15
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39 115 producers have been established in the non-hospitalized group, i.e. in the community.
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42 116 Several studies report the emergence of *bla*_{CTX-M-15} producing *E. coli* in infections both in the hospital and
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44 117 in the community setting [9,10]. Assuming, as in the earlier study, that individuals in the LTC group are
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46 118 highly likely to have an extensive history of hospitalization in contrast to healthy individuals, the present
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48 119 study reports a direct observation of this emergence in asymptomatic carriers. This is underlined by the
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50 120 marked decrease of the diversity of ESBL genes in *E. coli* from the healthy individuals, where out of the
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52 121 six *bla*_{CTX-M} enzymes representing all four major groups found in 2009-2010, only the *bla*_{CTX-M-15} and
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54 122 *bla*_{CTX-M-1} from group 1 remained in 2013-2014. This suggests that the ESBL-producing isolates
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56 123 originating from diverse sources [11-16], are being replaced by highly successful *bla*_{CTX-M-15} producing
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3 124 strains. This epidemiological shift is confirmed by the replacement of commensal phylogroups by
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5 125 phylogroup B2 and is in parallel with the increase of the proportions of *bla*_{CTX-M-15} producers in both *E.*
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7 126 *coli* and *K. pneumoniae* isolated from inpatients from the same geographical area [6].
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10 127 Whether the community is the source or the target for these strains is debated. In some populations the
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12 128 importance of importation to the hospital has been shown [17-19], while previous hospitalization [20] as
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14 129 well as long-term care [5], are risk factors. In the geographical area of the study, exportation of *bla*_{CTX-M-15}
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16 130 producing (phylogroup B2) *E. coli* isolates from the hospital to the community followed by spread within
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18 131 the community seems to take place, as suggested by the scenario outlined above.
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3 213 Table I. Comparison of the prevalence of ESBL and *bla*_{CTX-M-15} in the present study with an earlier study
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5 214 from the same population in the same region [5]
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	2009-10 [reference 5]	present study	probability (P)
Prevalence of ESBL in LTC	38/531(7.2%)	12/225 (5.3%)	NS
Prevalence of ESBL in employment screening group	22/1109 (2.0%)	18/779 (2.3%)	NS
Proportion of <i>bla</i> _{CTX-M-15} producers among ESBL producers	34/63 (54.0%)	27/32 (84.4%)	0.003
Proportion of <i>bla</i> _{CTX-M-15} producing <i>K.</i> <i>pneumoniae</i>	11/18	7/7	NS
Proportion of <i>bla</i> _{CTX-M-15} producing <i>E.</i> <i>coli</i> in LTC group	16/21	6/7	NS
Proportion of <i>bla</i> _{CTX-M-15} producing <i>E.</i> <i>coli</i> in healthy individuals	5/22	14/18	<0.001

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216 LTC: Long-term care; NS: not significant