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Investigation of the role of vacancy sources and sinks on the Kirkendall-effect on the nanoscale

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Abstract

It is well-known that Kirkendall shift occurs in binary systems. We investigated diffusion on the nanometer scale in the framework of our conceptual model [Erdélyi and Schmitz, Acta. Mater. 60 (2012) 1807]. Since on this lengthscale the characteristic distances between the vacancy sources/sinks can be comparable to the dimensions of the sample, the usual vacancy annihilation processes, leading to the Kirkendall shift, cannot operate. In this situation, we studied the Kirkendall shift in planar geometry in case of miscible and restrictedly miscible systems by computer simulation.

Keywords: Kirkendall effect, diffusion, nanoscale, vacancy sources and sinks

¹ 1. Introduction

Since its discovery in 1947, the Kirkendall effect has played an important
role in the development of solid state diffusion theory. Ernest Kirkendall in
his third and last paper in a series [1, 2, 3] on the diffusion of Zn in α-brass
presented the results of his diffusion couple experiment. He electroplated a
brass-bar with pure copper, but before that he placed inert Mo-wires along

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each of the two surfaces to mark the original interfaces of the diffusion couple. 7 fter heat treatments of different times cross sections of the diffusion couple А 8 were investigated and Kirkendall found that the wires shifted inwards moving 9 parabolically with the annealing time. He explained this observation with 10 that the Zn-atoms move much faster outwards than the Cu-atoms inwards, 11 causing the inner brass to shrink. The first theoretical description was made 12 by Darken [4] using independent diffusion fluxes for the different constituents. 13 Based on these results, Seitz [5] and Bardeen [6] showed from atomistic point 14 of view, that the interdiffusion accompanied by vacancy mechanism lead to 15 Darken's equations if it is assumed that the vacancy concentration is in local 16 equilibrium. Vacancies should be created on one side and annihilated on the 17 other side of the diffusion couple for the Kirkendall effect to occure. 18

The manifestation of the Kirkendall effect, besides the marker movement, can be the appearance of diffusional porosity (Kirkendall voids [7, 8]), generation of stresses [8, 9] and the deformation of the whole specimen on the macroscopic scale [10]. Hollow nanoshell and nanowire formation was also explained by the Kirkendall effect [11, 12, 13].

In this study we are presenting a finite volume methode to describe the intediffusion process, as well as the Kirkendall effect on the nanoscale.

²⁶ 2. Diffusion fundamentals

As it is clear from Kirkendall's work, the effect can be best visualized by the motion of inert markers placed along the diffusion zone. The intrinsic diffusion fluxes of the components $j_i[mol/m^2s]$, which reflect the mobilities of the different species involved in the interaction, are then defined with respect

31 to this array of markers, called the Kirkendall frame of reference:

$$j_i = -D_i \frac{\partial C_i}{\partial x}.$$
 (1)

Here $D_i[m^2/s]$ is the intrinsic diffusion coefficient, $C_i[mol/m^3]$ is the concentration of component *i* and x[m] is the position parameter. In case of an A-B binary diffusion couple the equations for the intrinsic fluxes are:

$$j_A = -D_A \frac{V_B}{V_m^2} \frac{\partial N_A}{\partial x}, \quad j_B = -D_B \frac{V_A}{V_m^2} \frac{\partial N_B}{\partial x}, \tag{2}$$

where N_i is the mole fraction of species A or B, $V_m [m^3/mol]$ is the molar volume, $V_i [m^3/mol]$ are the partial molar volumes of the different atoms [14, 15]. The latter is found through the tangent construction in the V_m vs. N_i plot [16].

In writing Eq.(1) we followed the traditional Fick's approach, where the 39 atomic flux is related to the gradient of the concentration (in moles per unit 40 volume). There are of course more advanced methodes using the Onsager flux 41 expressions for the intrinsic atomic fluxes that involve transport coefficients 42 and thermodynamic forces acting on the atomic species. In this case the 43 gradient of concentration is replaced with the corresponding gradient of mole 44 fraction as required by the expression for the thermodynamic forces [17, 18]. 45 The marker velocity depends on the difference in intrinsic diffusivities of 46 the species and the concentration gradient developing in the diffusion zone 47 at the marker plane composition [4]: 48

$$v = -(V_B j_B + V_A j_A) = -V_B (D_A - D_B) \frac{\partial C_B}{\partial x}.$$
(3)

⁴⁹ In these calculations it is always supposed that only a volume diffusion con⁵⁰ trolled process operates. If this is the case, the inert markers positioned at

the original interface between the reactants are the only markers that stay at a constant composition and move parabolically in time $(x^2 \propto t \text{ or } x \propto t^{1/2})$ during the whole interdiffusion process. The velocity of these markers is:

$$v_K = \frac{dx}{dt} = \frac{x_K}{2t},\tag{4}$$

where x_K is the position of the Kirkendall plane. The location of the Kirk-54 endall plane in the diffusion zone can be found graphically as the intersection 55 between the marker velocity plot 2tv vs. x and the straight line $2tv_K = x_K$ 56 given by Eq.(4). In order to draw the line $2tv_K = x_K$, one needs to know 57 the position of the plane in the diffusion zone where the inert markers were 58 located at the beginning of the diffusion process, i.e. at time t = 0. However, if 59 the total volume of the specimen does not change during the interdiffusion, 60 this position can be determined by the usual Boltzmann-Matano method 61 [14, 15]. This kind of measurement allows us to determine the intrinsic dif-62 fusion coefficients at a single composition, namely that of the Kirkendall 63 marker plane. To extend the measurement over the entire concentration 64 range, a so-called multifoil diffusion technique has been introduced [19, 20]. 65 The characteristic feature of such a sample is, that each end-member of the 66 diffusion couple is composed of several thin foils with fiducial markers in be-67 tween. Interdiffusion in such a multilayered sample will cause the markers 68 to move relative to the laboratory-fixed frame of reference. In the particular 69 case of [20], $20\mu m$ Pd and $21\mu m$ thick Ni foils were used with ThO_2 powder 70 as fiducial markers (the diameter of the oxide particles were $\sim 0.5 - 1 \mu m$). 71 By measuring the shift of the markers, the Kirkendall diplacement was de-72 termined over the entire concentration range and the displacement curve was 73 constructed. Cornet [21, 22] and later van Loo [23] proposed a method to 74

obtain the Kirkendall velocity and then the intrinsic diffusion coefficient fromthe displacement curve. It was found to be:

$$v = \frac{1}{2t} \left(y - x_o \frac{dy}{dx_o} \right), \tag{5}$$

with x_o beeing the original location of the markers at t = 0, y is the dis-77 placement of the markers, i.e. $y = x - x_o$ and t is the annealig time. As it is 78 clear from Eq. (5), the position of the Kirkendall plane, as marked by inert 79 markers placed at the initial interface $(x_o = 0)$, is given by $v_K = y/2t$ (v_K is 80 the velocity of the markers placed at the initial contact interface). This also 81 means that the Kirkendall plane can be found graphically as the intersection 82 between the marker velocity plot (Eq.(5)) and the straight line $(2tv_K = x_K)$, 83 supposing that at t = 0 time the markers were at $x_o = 0$. 84

In this study we are modeling the above described phenomenon. Based 85 on our conceptual model [24], a one dimensional finite volume methode was 86 developed. The planar sample was divided into n slabs (n = 2000), where 87 each slab mimics a metallic foil in the above described multifoil experiment. 88 Note, that the number of the slabs only influences the spatial resolution of 89 the calculated concentration profiles. In each computational cycle the total 90 number of atoms transported between the neighboring slabs were calculated 91 from which the change of composition as well as the thickness of the slab 92 were determined. During the calculations the walls of the slabs are taken 93 as markers in a multifoil experiment. From the calculations we get the dis-94 placement curve by registering the positions of the cell walls and the number 95 of computation cycles. The velocity curve was calculated from Eq.(5) as a 96 usual procedure in case of multifoil experiments. 97

98

For the computer simulation a simplified version of the model described

in [24] was implemented. In that work a complete set of analytical equations 99 was developed in order to describe reactive diffusion in spherical core shell 100 nanostructures. The model takes into account elastic stress, its plastic re-101 laxation, as well as possible non-equilibrium vacancy densities. Furthermore, 102 thermodynamic driving forces are included to model formation of intermetal-103 lic product phases in intermediate composition range. Here we use the planar 104 version of these equations (see the Appendix A. in [24]). In addition the effect 105 and the change of the molar volume during the interdiffusion as well as the 106 consequences of the developing stresses were neglected. On the other hand, 107 considering that the vacancy concentration changes due to atomic fluxes as 108 well as due to the activity of vacancy sinks and sources, the continuity equa-109 tion written in the Kirkendall reference system contains the vacancy flux 110 and the term of vacancy sources and sinks as well. Including these terms 111 into Fick's second law we arrive at: 112

$$\frac{\partial N_i}{\partial t} = -V_m (\nabla j_i x + N_i S_v), \tag{6}$$

where $S_v[mol \cdot m^{-3} \cdot s^{-1}]$ is the vacancy source term, i.e. the number of vacancies created in unit volume per unit time. This expression is very similar to [17, 18]. We define s_v as:

$$s_v = S_v \cdot V_m = K_r (C_v^o - C_v), \tag{7}$$

which is the rate of change of the atomic fraction of vacancies due to creation/annihilation. $K_r[1/s]$ determines the effectiveness of sinks and sources, therefore s_v is proportional to the deviation of the vacancy concentration from it's equilibrium value. Note that K_r may vary with spatial coordinates, depending on the spatial distribution of the sinks and sources. Since K_r is a

¹²¹ function of space, the solution of the diffusion equation, i.e. the movement ¹²² of the inert markers will not follow the so called parabolic law. (Note that ¹²³ taking constant K_r the solution adheres to the traditional parabolic time ¹²⁴ evolution.) Another effect which may alter rhe parabolic behaviour of the ¹²⁵ diffusion process is the finite size of the sample. When the diffusion profile ¹²⁶ reaches the end of the diffusion couple, the kinetic of the process is changing.

127 3. Results of the computer simulation

The algorithm and the selection of the input parameters were similar to 128 the ones in [24]. Several cases have been studied. In order to validate our 129 calculations we performed simulations using the parameters given in [20, 25]. 130 The intrinsic diffusivities were concentration dependent, but the ratio of the 131 diffusivities was constant $(D_A/D_B = const.)$. The interdiffusion coefficient 132 $(\tilde{D} = C_B V_B D_A + C_A V_A D_B)$ was also constant in the whole concentration 133 range. Studies have been completed in ideal solid solutions with vacancy 134 sinks and sources active enough in every cell of the one dimensional finite vol-135 ume model to maintain equilibrium vacancy concentration during the whole 136 process. Fig. 1. displays a representative plot showing that our model safely 137 reproduces the calculations, implemented in the traditional Darken's model 138 [25], as well as the experimental observations reported in [25]. The scale on 139 the horizontal and vertical axes are in arbitrary units on the figures. The 140 dashed and the solid lines mark the displacement and the velocity curve 141 respectively. The straight line represents the $(2tv_K = x_K)$ equation. The 142 interdiffusion coefficients published in [20] were used to calculate the concen-143 tration profiles and the corresponding Kirkendall displacement and velocity 144

¹⁴⁵ curves. It can be clearly seen, that the displacement as well as the velocity ¹⁴⁶ curves are almost exactly follow the trace of the experimental data presented ¹⁴⁷ (displacement curve) on Fig.10. and the velocity curve on Fig.14. in [20]. ¹⁴⁸ Fig. 1b. demonstrates the composition dependence of the diffusivities. Note ¹⁴⁹ the logarithmic scale on the vertical axis.

We extended our studies to immiscible systems too and also by changing the arrangement of vacancy sources and sinks along the sample. Concentration dependent diffusivities have been used with various composition dependence.

We simulated the diffusion process in which the diffusivities depend ex-154 ponentially on the concentration (for instance $D_i = D_{io}exp(mN_i)$, where 155 = A or B, moreover the ratio of the diffusivities are constant. Fig. 2a. i156 shows the displacement (dashed line) as well as the velocity curve (solid red 157 line) when the vacancy sources and sinks are evenly active in every slab 158 (foil). On Fig. 2b. the same is plotted but with a different vacancy source 159 and sink distribution. As it was mentioned before, K_r in Eq.(7) may vary 160 along the sample. It was supposed that the vacancy sources and sinks are 161 active enough in the slabs in the very vicinity of the starting interface to 162 maintain the equilibrium vacancy concentration all the time $(K_r = 1/s)$ but 163 beyond that their activities approach to zero following a Gaussian distribu-164 tion. For the sake of simplicity we will call this distribution of vacancy sinks 165 and sources Gaussian in this paper (see the dot-dashed curve on Fig. 2b.). 166 This is a practical assumption since there are always impurities at the contact 167 plane. Fig. 2c. demonstrates the composition dependence of the diffusivities. 168 Note the logarithmic scale on the vertical axis. 169

As it was expected based on the consideration of Philibert [15], the max-170 imum of the velocity curve and that of the displacement curve coincide with 171 the position of the Kirkendall plane for the case of constant ratio of intrinsic 172 diffusivities in both cases. On the other hand, the velocity curve in Fig. 2b. 173 shows a local maximum at the Kirkendall plane (which in this case coincide 174 with the Matano plane), the global maximum is more to the left. This means 175 that although the Kirkendall plane is stable, the markers placed on positions 176 where the gradient of the velocity curve is negative (left to the Kirkendall 177 plane) get closer to each other during the process, which means another con-178 densation of the markers, which is different from the Kirkendall plane. In 179 case of a system having a miscibility gap similar results were obtained. The 180 maximum of the velocity curve and displacement curve in this case also co-181 incide with the position of the Kirkendall plane and altering the distribution 182 of the sources and sinks to a Gaussian one, the velocity curve changes con-183 siderably, showing another local maximum, indicating similar behavior as in 184 ideal solid solution. 185

Fig. 3a. shows again the displacement as well as the velocity curve when 186 the vacancy sources and sinks are evenly active in every slab (foil) and in 187 Fig. 3b. the result of a calculation with a Gaussian vacancy source and 188 sink distribution is plotted. In this system an exponential concentration 189 dependence of the intrinsic diffusion coefficients were supposed, no other 190 constrain was taken into consideration, moreover there is also a miscibility 191 gap $(0.25 < N_A < 0.75)$. It can be seen that the maximum of the Kirkendall 192 velocity is situated at the Matano and not at the Kirkendall plane. On 193 the other hand, the maximum of the displacement and the position of the 194

Kirkendall plane coincide. The same is true if we look at Fig. 3b, where the 195 vacancy sources and sinks have different distribution. As can bee seen, in this 196 case as well there are other local maxima of the velocity curve, indicating 197 again that the markers are getting closer to each other in the vicinity of 198 these peaks. This practically would mean that the markers are "attracted" 199 by these maxima implying three weak places along the diffusion direction. 200 The shape of the reconstructed velocity curve on Fig. 2b. and Fig. 3b. are 201 due to the space dependance of K_r effectiveness factor, as well as the finite 202 size of the film 203

The presented plots so far showed only stable Kirkendall planes in a sense, that those markers which, at the end of the annelaling, ended up slightly ahead of the intersection point of the velocity curve and the straigth line $(2tv_K = x_K)$, would slow down (lower velocity) and if these markers were behind this plane, they would move faster (higher velocity). In other words, the plane located at the intersection point tends to attract inert markers in its vicinity.

According to [21, 22, 25], there is no reason why the maximum in the velocity curve, the maximum in the displacement curve and the Kirkendall plane should coincide. On Fig. 4a. we show a plot, where this is not the case. Moreover the Kirkendall plane in this case is unstable since, following the earlier argument, the markers which are slightly ahead of the Kirkendall plane, move faster and markers slightly behind this plane will migrate slower. Fig. 4b. demonstrates the composition dependence of the diffusivities.

Experimental verification of these ideas can be found in previous studies on diffusion phenomena and the Kirkendall effect in the β' -ordered AuZn

²²⁰ phase (B2 structure) of the binary Au-Zn system [26].

From these calculations it is clear that our model gives unexpected results 221 concerning the position of the fiducial markers used in a so-called multifoil 222 experiments. Applying real concentration dependent diffusivities and a spa-223 tial distribution of vacancy sinks and sources, even in these simple cases, we 224 found, that there is indeed a Kirkendall plane which, by definition, is the 225 plane that stays at a constant composition and moves parabolically in time 226 during the whole interdiffusion process. On the other hand, there are other 227 places in the diffusion zone which attract markers. That place or even those 228 places do not move parabolically in time but, as the process goes further, 229 attract more and more particles. As a result, such a place may become a 230 problematic microstructural feature in any joint, because of higher mechan-231 ical failure risk at this plane. 232

233 4. Conclusions

Interdiffusion on the nanometer scale was investigated in the framework 234 of our conceptual model [24]. We studied the Kirkendall shift in planar 235 geometry in case of miscible and restrictedly miscible systems by computer 236 simulation. A one dimensional finite volume method was developed, in which 237 the sample was divided into n slabs. The slabs mimicked the foils in the so 238 called multifoil experiment. From the calculations we get the displacement 239 as well as the velocity curves by registering the position of the cell walls 240 and the number of computation cycles. Calculations were performed in two 241 different distribution of vacancy sources and sinks i.e.: the sources and sinks 242 are distributed evenly in the whole sample, or they followed a Gaussian 243

distribution, having the maximum at the location of the Kirkendall plane. 244 It is clear that our results, concerning the position of the cell walls which 245 act as markers in a multifoil experiment, are different from the earlier ones. 246 Applying realistic situations, like concentration dependent diffusivities as well 247 as spatial distribution of vacancy sinks and sources, even in very simple cases 248 it was found that besides the Kirkendall plane there are other places in the 249 interdiffusion specimen which attract markers. Markers placed to positions 250 where the gradient of the velocity curve gets negative during the process 251 get closer to each other as time goes on, resulting another condensation of 252 the markers, which is different from the Kirkendall plane. That place, or 253 even those places do not move parabolically in time but, as the process 254 goes further, attract more and more particles, getting weaker and weaker in 255 mechanical point of view. 256

257 5. Acknowledgement

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Figure 1: Representative plot, showing the validity of our model. The displacement (dashed line) and the velocity curve (solid red line) on plot (a) are the same as in Fig. 10. and Fig. 14. in [20]. Plot(b) shows the concentration dependence of the interdiffusion coefficient, as well as the intrinsic diffusivities of the different species. The experimental data-points are replotted from [20].



Figure 2: In case of ideal solid solution plot (a) shows the displacement (dashed line) as well as the velocity curve (solid red line) when the vacancy sources and sinks are evenly active at every slab. On plot (b) the same is presenteded but with a Gaussian vacancy source and sink distribution (dot-dashed line, see the text). Plot (c) shows the concentration dependence of the diffusivities of the different species.



Figure 3: In case of a solid solution with a miscibility gap, plot (a) shows the displacement (dashed line) as well as the velocity curve (solid red line) when the vacancy sources and sinks are evenly active at every slab. In plot (b) the same is presented but with a Gaussian vacancy source and sink distribution (dot-dashed line). Plot (c) shows the concentration dependence of the diffusivities of the different species.



Figure 4: On plot (a) the maximum of the Kirkendall velocity (solid red line) is situated at the Matano and not at the Kirkendall plane. In this calculation $K_r = 1$ in every slab. The Kirkendall plane is unstable in this case (see the text). Plot (b) shows the concentration dependence of the diffusivities of the different species.

Highlihgts

- Interdiffusion was investigated on the nanometer scale using computer simulation.
- Kirkendall shift was studied in planar geometry in different binary systems.
- The calculations are validated by simulations using the parameters of Ni/Pd system.
- We show other places in the interdiffusion zone which attract markers.

A CERTING