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1 **Cardiovascular effects of low versus high-dose beta-carotene in a rat model**

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21

22 Graphical abstract

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25

26 **ABSTRACT**

27  $\beta$ -carotene (BC), a lipid-soluble tetraterpene precursor to vitamin A, widely distributed  
28 in plants, including many used in human diet, has well-known health-enhancing properties,  
29 including reducing risk of and treatment for certain diseases. Nevertheless, BC may also act to  
30 promote disease through the activity of BC derivatives that form in the presence of external  
31 toxicants such as cigarette smoke and endogenously-produced reactive oxygen species. The  
32 present investigation evaluates the dose-dependent cardioprotective and possibly harmful  
33 properties of BC in a rat model. Adult male rats, were gavage-fed BC for 4 weeks, at dosages  
34 of either 0, 30 or 150 mg/kg/day. Then hearts excised from the animals were mounted in a  
35 “working heart” apparatus and subjected to 30 minutes of global ischemia, followed by 120  
36 minutes of reperfusion. A panel of cardiac functional evaluations was conducted on each  
37 heart. Infarct size and total antioxidant capacity of the myocardium were assessed. Heart  
38 tissue content of heme oxygenase-1 (HO-1) by Western blot analysis; and potential direct  
39 cytotoxic effects of BC by MTT assay were evaluated. Hearts taken from rats receiving 30  
40 mg/kg/day BC exhibited significantly improved heart function at lower reperfusion times, but  
41 lost this protection at higher BC dosage and longer reperfusion times. Myocardial HO-1  
42 content was significantly elevated dose-responsively to both BC dosage. Finally, *in vitro*  
43 evaluation of BC on H9c2 cells showed that the agent significantly improved vitality of these  
44 cells in a dose range of 2.5-10  $\mu$ M.

45 Although data presented here do not allow for a comprehensive mechanistic  
46 explanation for reduced cardioprotection at high dose BC, it is speculated that since  $\text{Fe}^{2+}$   
47 produced as a metabolite of HO-1 activity, may determine whether BC acts as an antioxidant  
48 or prooxidant agent, the strong induction of this enzyme in response to ischemia/reperfusion-  
49 induced oxidative stress may account for the high-dose BC loss of cardioprotection.

50

51 Keywords: beta-carotene, heart, ischemia/reperfusion, heme-oxygenase-1

52 Chemical compound studied in this article: CID: 5280489

53

## 54 **1. INTRODUCTION**

### 55 *1.1 “Functional foods” in prevention of and management of chronic illness*

56 Increasingly intensive efforts are currently being made to characterize properties of  
57 plant materials forming regular components of human diet and expand the range of their  
58 use in healthcare. Substantial ongoing increases in serious chronic diseases, particularly  
59 obesity- and lifestyle-related cardiovascular disorders and related co-morbidities, provide  
60 compelling incentive for use of natural products in healthcare – particularly in the form of  
61 “functional foods”, which are items in normal diet configured to prevent and/or mitigate  
62 disease. Such substances are increasingly attractive to healthcare providers, since they are  
63 reasonably priced compared to prescription drugs and other potentially traumatic  
64 interventions. Moreover, health-enhancing properties for many of these materials have  
65 evolved in tandem with vertebrates as mutual adaptive strategies, a process called  
66 “xenohormesis” [1]. Hence there is compelling incentive to encourage adoption of diets  
67 rich in fruits, seeds, vegetables, legumes, fish oil and other materials rich in compounds that  
68 strengthen and stabilize healthy homeostatic processes and reduce risk of cancers,  
69 cardiovascular diseases and many other chronic illnesses.

70

### 71 *1.2 $\beta$ -carotene: chemical and biological properties*

72 The present investigation explores the capacity of the vitamin A precursor  $\beta$ -carotene  
73 (BC) to influence aspects of myocardial cell, tissue, and organ function that may will  
74 allow development of novel strategies for use of this compound in prevention and  
75 management of heart disease. This tetraterpene carotenoid is a 536 Da lipid-soluble plant

76 pigment, widely distributed in nature, including many plants regularly consumed by  
77 animals and humans [2]. It is intensely red-orange and is responsible for multi-hued  
78 coloration in many plant species; and is also used as a commercial food colorant. Many  
79 human foods contain the compound, notably yams, pumpkins and carrots. Some of its  
80 medical benefits are well established. For example, the compound has been demonstrated  
81 moderately effective as adjuvant treatment in erythropoietic protoporphyria and has  
82 additionally shown some promise also been used to reducing risk of age-related macular  
83 degeneration; and susceptibility to breast cancer risk in pre-menopausal women [3-5]. A  
84 significant cautionary note on clinical use of BC, is evidence that lung cancer risk is  
85 increased in smokers by high-dose consumption of the agent [6]. This effect is hypothesized  
86 to occur due to instability of the compound in the presence of tobacco smoke – causing its  
87 degradation to carcinogenic metabolites [7].

88

### 89 *1.3 Ischemia-reperfusion injury to cardiovascular tissue and antioxidant defense*

90 The present investigation, which evaluates cardiovascular effects of BC, is focused on  
91 processes underlying ischemia and reperfusion (I/R) injury to the myocardium. Heart disease  
92 and cardiac surgery frequently involve procedures that deprive heart tissue of oxygenated  
93 blood, resulting in ischemia, a disruption of normal tissue homeostasis. Further  
94 derangement of tissue function may occur as a result of re-oxygenation by restoration of  
95 blood flow (reperfusion), a process that triggers a burst expression in physiologic  
96 production of highly reactive oxygen-containing species during the first 5 minutes of  
97 blood reflow [8]. These compounds greatly increase oxidative stress on reperfused tissues  
98 and effects that typically include oxidation of myocardial membrane lipids resulting in  
99 impairment of cell membrane function. This oxidative stress increase is a primary cause of  
100 reperfusion-induced damage [9]. Adverse effects on cardiovascular function caused by

101 these processes may be counteracted by antioxidant compounds capable of scavenging  
102 oxygen-containing free radicals that are the primary agents of oxidative stress-mediated  
103 damage. Such cytoprotective agents of (mainly) plant origin produce carotenoids, notably  
104 BC, along with polyphenolic compounds and other phytochemicals such as flavonoids,  
105 anthocyanidins, proanthocyanidins and other carotenoids which have well documented  
106 benefits to cardiovascular health [10-14].

107

#### 108 *1.4 $\beta$ -carotene: a double-edged sword in health maintenance*

109 The effects of BC have been intensively studied and both beneficial and potentially  
110 harmful effects of the compound have been noted [6,7]. In addition to its ability to affect  
111 risk and pathogenesis of cancer, BC has been considered for use in management of heart  
112 disease based on its free radical scavenging capacities, with the cautionary note that it may  
113 also act as a tissue-damaging prooxidant – depending on the physiologic environment  
114 [15,16]. Several clinical studies can be found having investigated the cardiovascular  
115 effects of BC, but all these results are rather controversial. Most of these studies found  
116 that BC had no any benefit and may have had adverse effects on the risk of death from  
117 cardiovascular diseases mainly among smokers [17-19]. The cardioprotective value of  
118 beta carotene also appears to vary among individuals based on genetic factors. This effect  
119 is illustrated by an intriguing April 2015 report demonstrating that healthy Korean women  
120 bearing single nucleotide polymorphisms that correlate with arterial stiffening, were  
121 differentially protected by dietary supplementation with BC, along with folate and vitamin  
122 E [20]. A primary mechanism by which BC counteracts pathogenesis of cardiovascular  
123 disease, has recently been shown to result from the compound`s ability to abate  
124 atherogenic processes by inhibition of peroxidation of cardiac-associated lipids [21].

125           The investigation described in the present report was undertaken to evaluate the effects  
126 of BC administration in a rat model, segregated into groups administered two different BC  
127 dosages during a 4-week period. One test group, defined as “low dose” (LD) was fed 30  
128 mg/kg/day; and a second, “high dose” (HD) group received 150 mg/kg/day, with a cohort  
129 of control (C) rats given hydroxyethyl cellulose-water vehicle. Following sacrifice at the  
130 end of the 4-week dosing period, hearts surgically excised and mounted in a “working-  
131 heart” apparatus were evaluated for cardiac function parameters and tissue biomarker  
132 correlates of physiological regulation of heart activity. Potentially toxic effects of BC on  
133 cardiomyocyte function was conducted by *in vitro* studies of BC dosage effect on H9c2  
134 cells. The comparison of outcomes in hearts from animals treated with low-dose, high-  
135 dose BC and vehicle, provided a clear perspective into how this compound affected  
136 features of heart function relevant to maintenance of healthy heart activity and treatment  
137 of disease.

138

## 139 **2. MATERIALS AND METHODS**

### 140 *2.1 Animals*

141           The experiments were accomplished using adult male rats (Charles River  
142 Laboratories), with a body weight range of 350-400 grams. All animals received humane  
143 care in compliance with the “Principles of Laboratory Animal Care” (formulated by the  
144 U.S. National Society for Medical Research, as described in U.S. National Institutes of  
145 Health publication No. 86-23, revised 1996) and the “Guide for the Care and Use of  
146 Laboratory Animals”. Maintenance and treatment of animals used in the present study  
147 was additionally approved by the Institutional Animal Care and Use Committee of the  
148 University of Debrecen, Debrecen, Hungary. The animals were housed in wire-bottomed

149 cages (three rats per cage) throughout the study and were maintained on a 12:12-h light-  
150 dark cycle; and provided with laboratory rodent chow pellets, and water *ad libitum*.

151

## 152 *2.2 Groups and administration of $\beta$ -carotene*

153 Rats used in the present study were segregated into 3 groups and gavage-administered  
154 the following agents: hydroxyethyl cellulose-water (1:4) vehicle control (C); LD-BC (30  
155 mg/kg/day) and HD-BC (150 mg/kg/day) suspended in hydroxyethyl cellulose-water,  
156 respectively. BC was obtained from Sigma-Aldrich Kft. (Budapest, Hungary).

157

## 158 *2.3 Ischemia-reperfusion and isolated working hearts*

159 Following 4-week treatment with vehicle or BC, the rats were anesthetized with  
160 intraperitoneal injections of ketamine-xylazine (75/10 mg/kg), with heparin anticoagulant  
161 administered intraperitoneally (1000 IU/kg). After thoracotomy, the hearts were excised  
162 and placed in ice-cold modified Krebs-Henseleit bicarbonate buffer (118.5 NaCl, 4.7  
163 KCl, 2.5 CaCl<sub>2</sub> x H<sub>2</sub>O, 25 NaHCO<sub>3</sub>, 1.2 KH<sub>2</sub>PO<sub>4</sub>, 1.2 MgSO<sub>4</sub>, and 10.0 glucose (in mM)),  
164 then cannulated through the aorta and perfused in a Langendorff apparatus in “non-  
165 working” mode (100 cm of water) for 5 minutes to flush blood out from the hearts.  
166 Subsequently, Langendorff perfusion was conducted using a cannulated pulmonary vein,  
167 during which the isolated heart preparatum was switched to working mode (at a filling  
168 pressure of 17 cm of the buffer). After 10 minutes of working perfusion, 30 minutes of  
169 global ischemia (ISA) was initiated for each procedure, by clamping the pulmonary  
170 inflow and the aortic outflow. At the end of the ischemic period, 120 minutes of  
171 reperfusion (REP) was initiated by unclamping the inflow and outflow lines. The first 10



172 minutes of REP was conducted in Langendorff mode to avoid the fatal ventricular  
173 arrhythmias as described [22].

174

#### 175 *2.4 Cardiac function measurements*

176 Baseline assessment of cardiac function for each isolated heart was made following  
177 10 minutes of working perfusion. To examine the recovery of the left ventricle, these  
178 parameters were measured after 30, 60, and 120 minutes of REP. Cardiac function  
179 evaluation for each experiment was conducted as previously described [22]. Briefly, heart  
180 rate (HR) was measured using a computer acquisition system (ADInstruments,  
181 PowerLab, Castle Hill, Australia); coronary flow (CF) values were obtained by timed  
182 collection of effluent draining from each heart; aortic flow (AF) measurements were  
183 made using a calibrated flow meter; cardiac output (CO) was generated as the sum of AF  
184 and CF. Stroke volume (SV) was calculated as the quotient of CO/HR [23]; and alteration  
185 in SV values, as a function of treatments, were calculated as the ratio of SV at reperfusion  
186 divided by baseline SV and multiplied by 100.

187

#### 188 *2.5 Infarct size determination*

189 Estimations of infarct size (IS) were conducted using the triphenyl tetrazolium  
190 chloride (TTC) staining method as previously described [22]. Briefly, following each 30-  
191 minute ISA/120-minute REP period, hearts were perfused with 50 ml 1 % (w/v) solution  
192 of TTC in phosphate buffer (pH 7.4), and the samples were stored at -70 °C for  
193 subsequent analysis. The frozen samples were sectioned, weighted, and blotted dry. The  
194 dried sections were scanned on an Epson J232D flat-bed scanner. The infarcted area  
195 (white coloration) and the risk area (entire scanned section) were measured using

196 planimetry software (Image J, National Institute of Health, Bethesda, Maryland, USA).  
197 Estimates of infarcted zone magnitude were subsequently obtained by multiplying  
198 infarcted areas by weight of each slice. The resulting numbers represent weight of the risk  
199 zone and the infarcted zone. Infarct size was expressed as percentage of the weight of  
200 infarcted tissue and the weight of risk zone (whole heart) [13].

201

## 202 *2.6 Western blot analysis of heart tissue*

203 Content of HO-1 protein in the myocardium were obtained by Western blot as  
204 previously described [22]. Briefly, approximately 300 mg of left ventricular myocardial  
205 tissue were homogenized on ice using a tissue homogenizer (IKA T10 basic ULTRA-  
206 TURRAX<sup>®</sup>) in isolating buffer (25 mM Tris-HCl, 25 mM NaCl, 1 mM orthovanadate, 10  
207 mM NaF, 10 mM pyrophosphate, 10 mM okadaic acid, 0.5 mM EDTA, 1 mM PMSF,  
208 and 1x protease inhibitor cocktail) and centrifuged at 2000 rpm at 4 °C for 10 minutes.  
209 The supernatants were transferred to fresh tubes and centrifuged at 10,000 rpm at 4 °C for  
210 20 minutes, after which the resulting supernatant was used as cytosolic fraction. The  
211 protein concentration was measured by ND-1000 Nano drop spectrophotometer with  
212 BCA Protein Assay Kit (Thermo Scientific, Rockford, IL). Thirty µg of protein in each  
213 sample were loaded in 10 % polyacrylamide gel and resolved using SDS-PAGE  
214 electrophoresis and then transferred to 0.45 µm pore size nitrocellulose membrane to  
215 concentrate the samples. After blocking the membranes with 7 % nonfat dry milk in  
216 TBST, membranes were incubated overnight with primary antibody solution in 1 % of  
217 nonfat dry milk in TBST (GAPDH 1/40000, antibody was obtained from Cell Signaling  
218 Technology, Boston, MA; and HO-1 1/50 was ordered from Sigma-Aldrich Kft.  
219 Budapest, Hungary) at 4 °C. Then, the membranes were washed 3 times, each for 10  
220 minutes, in TBST and incubated with horseradish peroxidase-conjugated secondary

221 antibody solution (Cell Signaling Technology) containing 1 % of nonfat dry milk in  
222 TBST, for two hours at room temperature. The membranes were treated with Western  
223 blot Enhanced Chemiluminescent HRP substrate (Millipore, Billerica, MA) to visualize  
224 the bands. After the Enhanced Chemiluminescent treatment, the membranes were  
225 exposed on x-ray films (Agfa, Mortsel, Belgium). The films were then digitalized by flat-  
226 bed scanner (Epson J232D) and analyzed using ImageJ program and normalized the HO-  
227 1 band intensities to GAPDH.

228

### 229 *2.7 MTT cell viability assays for $\beta$ -carotene cytotoxicity*

230 Evaluation of BC cytotoxicity on cellular survival was accomplished using the 3-(4,5-  
231 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay as previously  
232 described [24]. Briefly, H9c2 cells (ATCC, CRL-1446, LGC Standards GmbH, Wesel  
233 Germany) dissociated by trituration in medium (Dulbecco's modified eagle's medium  
234 from Sigma with 10% FBS, 1% penicillin-streptomycin), were seeded into 96 well plates  
235 at a density of 3000 cells/well and cultured for 1 day to establish adhesion of the wells.  
236 BC containing medium was prepared as described by Wertz et al. for keratinocyte  
237 cultures with some modifications [25]. Cells were treated with 0, 2.5, 5, 10 and 20  $\mu$ M  
238 BC for 4 hours, respectively. Next, following a 30-minute incubation period, half of the  
239 wells were treated with 125  $\mu$ M H<sub>2</sub>O<sub>2</sub>. Four hours later addition of 20  $\mu$ l MTT solution (5  
240 mg/ml in PBS) to each well and an additional 3 hours incubation at 37 °C to allow  
241 mitochondrial uptake, the medium were removed and cells were lysed by addition of 150  
242  $\mu$ l of isopropanol, incubated for 15 minutes followed by measurement of absorbance at  
243 570 and 690 nm using a plate reader (FLUOstar OPTIMA, BMG Labtech). Within each  
244 experiment, absorbance values were averaged across 4 replicate wells and repeated 3  
245 times. BC cytotoxic effect assessments were estimated based on linear correlation of

246 absorbance values with MTT-associated H9c2 viability and reported as percentage of  
247 cells surviving 4 hours of BC exposure relative to control cells not exposed to BC.

248

#### 249 *2.8 Tissue antioxidant capacity (TAC)*

250 TAC of heart tissue was measured using the CS0790-1KT antioxidant assay kit  
251 (Sigma-Aldrich Kft., BP., Hungary). Briefly, at the end of reperfusion, the hearts were  
252 frozen and stored at -70 °C until analysis. Approximately 100 mg of left ventricular  
253 myocardial tissue from each heart were homogenized in 0.5 ml of 1x Assay buffer,  
254 centrifuged at 12000 rpm, for 15 min at 4 °C and the supernatants collected for assay.  
255 Following sample preparation according to manufacturer's instructions, the absorbance  
256 was measured at 405 nm using a plate reader (FLUOstar OPTIMA, BMG Labtech). TAC  
257 values for each heart was derived from absorbance values and expressed as Trolox  
258 equivalent ( $\mu\text{M}$ ).

259

#### 260 *2.9 Statistical analysis*

261 Statistical analyses were performed using GraphPad Prism 5 software. The data are  
262 expressed as mean  $\pm$  SEM. One-way ANOVA followed by Bonferroni post-test was  
263 carried out for heart function data analysis. MTT data were compared by t-test. For  
264 Western blot and TAC data outcomes, repeated measures of one-way ANOVA followed  
265 by Tukey's post-test was conducted. Differences were considered significant at values of  
266  $P < 0.05$ .

267

### 268 **3. RESULTS**

269 *3.1  $\beta$ -carotene dose effects on cardiac function in isolated hearts subjected to I/R*

270 Figure 1 shows the dose-responsive influence of 0, 30 or 150 mg/kg/day BC gavage-  
271 administered to rats during a 4-week period on cardiac function in hearts isolated from  
272 the animals and subjected to 30 minutes of global ISA and 120 minutes of REP in a  
273 “working-heart” apparatus. No BC-mediated effects on AF were observed in hearts not  
274 subjected to REP, however, relative to hearts from vehicle-treated control animals, hearts  
275 from animals subjected to 30 min of ISA followed by 30 and 60 min of REP exhibited  
276 significantly increased AF when taken from rats treated with LD-BC; but interestingly,  
277 not which were subjected to 120 min of REP or from those fed with HD-BC (Figure 1A).  
278 No significant BC dosage effects on CF were observed in non-reperfused hearts, or in  
279 hearts from animals subjected to 30 min of I/R (Figure 1B). Furthermore, HD-BC  
280 treatment resulted in a significant increase on CF values after 60 and 120 min of REP  
281 relative to organs from non-BC-treated controls ( $P<0.05$ ). Likewise CO in non-ISA/REP  
282 hearts and those subjected to 120 minutes of REP did not vary significantly as a result of  
283 BC treatment, whereas significant CO increases in these hearts relative to organs from  
284 non-BC-treated controls were observed in those sustaining reperfusion periods of 30  
285 minutes ( $P<0.05$ ) and 60 minutes ( $P<0.05$ ) from rats receiving 30 mg/kg/day BC, but not  
286 150 mg/kg/day of the agent (Figure 1C). Treatment of animals with 150 mg/kg/day BC  
287 resulted in significant increase in HR for I/R hearts ( $P<0.05$ ) (Figure 1D). Evaluation of  
288 the effects of BC on heart SV, revealed no significant BC effect on this variable in non-  
289 I/R hearts, or organs subjected to I/R. It was further noted that SV values in hearts  
290 receiving 30 and 60 minutes of REP from animals treated with 150 mg/kg/day BC were  
291 reduced, however it was not significant, relative to those from rats receiving low BC dose  
292 (Figure 1E). This apparent loss of cardioprotection at elevated BC dosage was further  
293 confirmed by measurement of stroke volume decline (dSV). These results demonstrated  
294 that relative to non-I/R hearts, hearts subjected to 30 and 60, but not 120 minutes of REP

295 exhibited lesser declines in stroke volume when taken from animals treated with 30  
296 mg/kg/day BC than from rats receiving 0 or 150 mg/kg/day BC, however it did not reach  
297 the significant level. (Figure 1F).

298 Hearts were isolated from 3 groups of rats (n = 6 per group). Rats in each test group  
299 received hydroxyethyl cellulose-water (1:4) vehicle control (white bars); BC 30  
300 mg/kg/day (black-shaded bars); and BC 150 mg/kg/day (gray-shaded bars) and sacrificed  
301 following a 4-week time period of BC treatment. Hearts isolated from each rat were  
302 subjected to 30 minutes of global ISA followed by either 120 minutes of REP in an  
303 isolated “working-heart” apparatus to induce I/R-induced injury and evaluated for  
304 selected cardiac functions. Results are shown as average values from each group of  
305 animals  $\pm$  SEM of AF (1A); CF (1B); CO (1C); HR (1D); SV (1E); and dSV (1F).

306 \*P<0.05 for comparison of the magnitude of each cardiac function measured in each test  
307 group receiving BC, relative to hearts from vehicle-treated control animals. #P<0.05 for  
308 comparison of the magnitude of cardiac function measured in LD-BC relative to hearts  
309 from HD-BC treated animals.

310

311 *3.2  $\beta$ -carotene dose effects on I/R-induced infarct zone extent and tissue antioxidant*  
312 *capacity*

313 The influence of BC on the extent of I/R injury-associated infarct zones is shown  
314 in Figure 2A. Macroscopic analysis of TTC solution-perfused heart sections from rats  
315 subjected to 30 minutes of global ISA followed by 120 minutes of REP in a “working-  
316 heart” apparatus revealed that LD-BC treatment, correlated with significant reduction of  
317 the extent of infarcted myocardium relative to the control values (\*P<0.05). This  
318 protective effect is abolished in hearts taken from animals treated with high-dose BC

319 (#P<0.05), moreover, the average extent of I/R-induced infarcted zones of hearts of these  
320 animals was non-significantly increased relative to hearts from rats administered vehicle  
321 only.

322 The phenomenon of diminished BC-mediated protective effect on cardiac physiology was  
323 also dramatically illustrated in outcomes of experiments to assess contribution of the  
324 agent to tissue antioxidant capacity (TAC), a process that has evolved as a homeostatic  
325 countermeasure to oxidative stress. As shown in Figure 2B, isolated, I/R-injured working  
326 hearts taken from rats administered LD-BC, exhibited TAC values significantly in excess  
327 of vehicle-treated controls (\*P<0.05) and hearts isolated from HD-BC treated animals  
328 (#P<0.05). Nevertheless, TAC values measured in hearts from animals received HD-BC  
329 were not significantly higher than hearts from control rats received vehicle.

330 Infarct size was measured in hearts (n=4) following 120 min of REP by perfusion with  
331 triphenyl tetrazolium (TTC) solution, followed by macroscopic analysis of transverse  
332 sections of each heart. Average sizes of infarct zone for hearts in each group  $\pm$ SEM are  
333 shown for each treatment group. TAC values for each tissue sample is expressed as  
334 Trolox equivalent ( $\mu$ M)  $\pm$ SEM are shown for each treatment group. \*P<0.05 for  
335 comparison relative to values for hearts from vehicle-treated control (C) animals. #P<0.05  
336 for comparison relative to values for hearts from HD-BC treated animals.

337

### 338 *3.3 $\beta$ -carotene effects on heme oxygenase-1 (HO-1) protein expression*

339 Myocardial tissue levels of HO-1, which is a major mediator of cardiac homeostasis,  
340 were determined by Western blot analysis. As shown in Figure 3., the presence of HO-1  
341 protein was evaluated in isolated working hearts taken from control rats administered  
342 hydroxyethyl cellulose-water vehicle (C), over 4 weeks; a second test group given LD-

343 BC; and a third group, fed with HD-BC. Hearts excised from these animals were either  
344 sham-treated (BL-baseline) (Figure 3. panel A), or I/R-injured (I/R) (Figure 3. panel B)  
345 by 30 minutes of global ISA and 120 minutes of REP. The results show that in control  
346 hearts which were not subjected to I/R (C-BL) HO-1 expression was not significantly  
347 different from non-injured hearts removed from rats receiving LD-BC (LD-BL), while  
348 high dose treatment (HD-BL) resulted in significantly increased HO-1 level (\*P<0.05)  
349 (Figure 3.A). Furthermore, in hearts from non-treated animals and subjected to I/R (C-  
350 I/R) we detected mild but non-significant increase of HO-1 compared to non-ischemic  
351 baseline hearts (C-BL) (Figure 3.B) while, production of HO-1 protein in I/R injured  
352 hearts from rats fed with either low-dose (LD-I/R) or high-dose BC (HD-I/R), was  
353 significantly higher compared to non-treated non-injured (C-BL) group (\*P<0.05) (Figure  
354 3.B). Moreover, HO-1 expression in hearts removed from vehicle treated animals and  
355 subjected to I/R (C-I/R) was not significantly different from hearts excised from rats  
356 receiving LD-BC and subjected to I/R (LD-I/R), however, hearts from high-dose treated  
357 animals expressed significantly elevated levels of HO-1 protein relative to corresponding  
358 levels measured in vehicle-treated controls (C-I/R) (#P<0.05) (Figure 3.B).

359 Expression of HO-1 protein in rat myocardium was measured in homogenized  
360 cardiac tissue samples from vehicle or BC treated hearts, with or without I/R injury.  
361 Western blot analyses were conducted on each tissue homogenate in triplicate and the  
362 signal intensity of resulting bands corresponding to HO-1 protein was measured using the  
363 Scion for Windows Densitometry Image program. Tissue content of each protein is  
364 shown as a ratio of arbitrary units for HO-1 protein to GAPDH signal. Data are expressed  
365 as mean  $\pm$  SEM of 6 different blots. \*P<0.05 for comparison of average levels of HO-1 in  
366 ventricular myocardium from BC-treated animals, versus non-ischemic control (C-BL)



367 hearts. #  $P < 0.05$  for comparison of average levels of HO-1 in ventricular myocardium  
368 from BC-treated animals subjected to I/R, versus I/R-injured control (C-I/R) hearts.

369

### 370 *3.4 MTT assay-based evaluation of $\beta$ -carotene cytotoxicity*

371 To determine if the significant loss of cardioprotective capacity of BC when  
372 administered at the levels defined as “high-dose” in the present study, experiments were  
373 carried out to evaluate cytotoxicity of the agent in rat H9c2 cardiomyoblasts, an *in vitro*  
374 model, which along with the MTT cell viability assay, has proven to be a highly versatile  
375 tool for use in cardiovascular pharmacology [26]. As shown in Figure 4., significant  
376 increases in H9c2 cell viability was observed in cultures of these cells grown 24 hours in  
377 Dulbecco’s medium supplemented with 2.5, 5 and 10  $\mu\text{M}$  BC, relative to cells grown  
378 with no BC added (\* $P < 0.05$ ). Furthermore, this protective effect was not observed in  
379 cells treated with 20  $\mu\text{M}$  of BC.

380 Evaluation of BC cytotoxicity on cellular survival was accomplished using the MTT  
381 assay. H9c2 cells grown 24 hours in Dulbecco’s modified eagle’s medium and treated  
382 with 0, 2.5, 5, 10 and 20  $\mu\text{M}$  BC, were followed by 4-hour treatments with 125  $\mu\text{M}$   $\text{H}_2\text{O}_2$   
383 and 5 mg/ml MTT reagent, lysis of cells and measurement of absorbance was at 570- and  
384 690 nm. Absorbance values were averaged across 4 replicate wells, and repeated 3 times.  
385 Outcomes are shown as average percentage of cell viability for each BC dosage level,  
386 relative to control cultures not BC-treated. \* $P < 0.05$  for comparison of average % viability  
387 versus non BC-treated cultures.

388

## 389 **4. DISCUSSION**

390 Outcomes of the present study contribute to emerging insight into how widely  
391 available plant materials may be used to enhance strategies for maintenance of  
392 cardiovascular health and develop novel therapeutic approaches. Here, the capacity of  $\beta$ -  
393 carotene is evaluated for its ability to alter processes resulting in reduced tissue  
394 oxygenation, leading to ischemic injury to the heart and with strong relevance to the  
395 kidneys, brain and many other organs [27]. A major finding reported here, is that  
396 increasing BC dosage of may not add to any cardiovascular benefits. Moreover, the agent  
397 may mediate – and indeed, may exacerbate existing pathological mechanisms.

398 The efficiency and vigor with which a heart is able to maintain healthy circulation may  
399 be quantitatively described by cardiac functional measurements, in particular, AF, CF,  
400 CO, SV and dSV [28] and the ability of agents that enhance antioxidant signaling to  
401 improve these functions in isolated ischemic/reperfused rat hearts has previously been  
402 demonstrated [29]. Accordingly, the significant LD-BC-mediated improvement in AF  
403 (Figure 1A), CO (Figure 1C), were not unexpected. Nevertheless, the apparent abolition  
404 or mitigation of these protective effects against I/R injury in rats treated with BC at the  
405 higher dose is intriguing.

406 The rat model was selected for the present investigation based on the well-known  
407 features of this animal's physiology its widespread use in cardiovascular research [30] ,  
408 and extensive use of these animals in previous cardiac-related drug discovery and basic  
409 scientific work by authors of this report [10,11,13,22,31,32]. The experiments described  
410 here, were nevertheless undertaken in the context of known limitations on the  
411 translational value of carotenoid research using rat data. A significant consideration in  
412 studies such as the present one, is that although rats and mice readily convert BC to  
413 vitamin A, bioavailability of carotenoids through gut absorption is very low, requiring  
414 administration of supraphysiologic BC dosages – greatly in excess of levels from any

415 natural source [33]. The experiments were designed to partly offset this limitation by  
416 administration of BC in a high dose range for all conditions. Accordingly, use of these  
417 outcomes and findings in design of improved human healthcare strategies must be made  
418 conservatively, taking into account experimental versus normal dietary or therapeutic  
419 dose ranges. In the present study, even the lowest dose of BC administered to rats is very  
420 high, equivalent to more than 2 g/day/70 kg man. On a daily basis, a normal human diet  
421 may contain 3 orders of magnitude less BC [34]. The degree of translational value that  
422 these findings have for managing cardiovascular disease, will require human nutritional  
423 studies – for which the present study provides a guide to major outcomes that might be  
424 evaluated. Here it is important to emphasize the value of human, versus extended animal  
425 studies. No single animal model completely replicates BC absorption and metabolism of  
426 humans [33]. Moreover, since the present study was designed to obtain mostly qualitative  
427 evaluations of low-, versus high-dose BC effects on major cardiac parameters, no attempt  
428 was made to determine precise rat-to-human dose equivalents. Thus, human clinical  
429 evaluations of the effects described here, constitute appropriate and easily accomplished  
430 follow-on studies.

431 Although data presented here do not allow a comprehensive mechanistic analysis, the  
432 observation that low-dose-mediated improvement of AF and CO observed at 30 and 60,  
433 but not 120 minutes of post-ischemic REP suggest that BC at the lower dosage is  
434 effectively quenching oxidative stress-promoting prooxidant compounds produced by  
435 cardiac tissue as a consequence of inflammatory reactions triggered by I/R-induced  
436 damage and/or otherwise counteracting adverse effect of these metabolites. If this is the  
437 case, then it is reasonable to predict that the higher levels of oxidative stress present with  
438 longer reperfusion periods, might overwhelm the quenching ability of BC, significantly  
439 diminishing the beneficial effects of the 150 mg/kg/day treatments. The relative ability of

440 BC to quench prooxidant molecules may also account for differences in magnitudes of  
441 myocardial infarct zones and tissue antioxidant capacity shown in Figure 2. The  
442 significantly reduced average infarct size observed in I/R-injured hearts of animals  
443 treated with LD-BC, relative those not administered the agent, is consistent with previous  
444 work by authors of the present report, demonstrating that the extent of myocardial infarct  
445 zones in I/R-injured hearts may be diminished with agents that reduce oxidative stress  
446 [13,22,35]. Accordingly, the significant LD-BC-mediated reduction of infarct size  
447 (Figure 2A) and increased TAC (Figure 2B), were not surprising. Moreover, abolition of  
448 these effects in hearts of animals treated with 150 mg/kg/day BC, would be expected if  
449 the quenching ability of the molecule were suppressed at a higher dose, allowing a more  
450 prooxidant cardiac tissue environment at the higher dosage. The dose-responsively  
451 increased expression of HO-1 by myocardial tissue observed for both BC and duration of  
452 REP shown in Figure 3., further supports the possibility that BC loses quenching  
453 potential and may become prooxidant as dosage increases. HO-1 is an ubiquitous  
454 antioxidant response to a wide variety of oxidative stressors, hence it is expected that its  
455 expression would increase as a result of I/R injury [27].

456 It is further expected that BC acting as a protective antioxidant would not be  
457 diminished by BC also acting as a quenching agent and contributor to TAC, as shown in  
458 Figure 2B. However, if this model is accurate, BC at the elevated dose, acting to promote  
459 prooxidant tissue environments is expected to stimulate HO-1 production – which is what  
460 was observed (Figure 3.). The possibility of such a mechanism is additionally validated,  
461 by the observation that HO-1 expression in I/R-injured hearts of rats treated with high-  
462 dose BC (HD-I/R) was elevated relative to production of the enzyme by hearts from rats  
463 treated with high dose BC, but not subjected to I/R injury (HD-BL) (Figure 3.), since

464 elevated HO-1 expression would be an expected consequence of significantly higher  
465 levels of oxidative stress present in I/R-injured tissue.

466 This analysis is supported, albeit to a minor extent by outcomes of the *in vitro* assays  
467 for BC cytotoxicity on H9c2 rat myocardial cells. Outcomes of these experiments (Fig.  
468 4.) show that viability of these cells is significantly enhanced in comparison to  
469 unstimulated control cultures, by addition of BC at 2.5, 5 and 10  $\mu\text{M}$ , with a loss of this  
470 effect at 20  $\mu\text{M}$ . Although these data are insufficient on which to base definitive  
471 conclusions, the results suggest that as BC dosage is increased, the protective effect of the  
472 compound is diminished and may include deleterious properties.

473 An explanation for apparent cardioprotective effect of BC at one selected dosage and  
474 mitigation or elimination of that protection at a higher dose is speculative based on the  
475 outcomes presented here. This notwithstanding, previous studies provide some clues as to  
476 the mechanisms that may underlie the observations in this report. It is known that free  
477 radical species of the kind responsible for oxidative stress in vertebrate tissues, react with  
478 BC to produce prooxidant degradation products, such as apo-8'-beta-carotenal, that are  
479 particularly damaging to subcellular organelles, particularly mitochondria, resulting in  
480 further disruption of the redox balance of myocardial cells, oxidation of critical proteins  
481 and exacerbation of damage caused by ischemia-reperfusion injury [15,16]. Ironically,  
482 the HO-1 expression response to oxidative stressors may also contribute to loss of  
483 cardioprotection at elevated BC dosage. This is a possibility because heme oxygenases,  
484 including HO-1, reduce oxidative stress by degrading heme produced in the tissue by red  
485 blood cell turnover to produce metabolites with cytoprotective qualities, principally  
486 biliverdin (converted rapidly into bilirubin) and subtoxic levels of carbon monoxide,  
487 which is promotes antioxidant signaling. Interestingly, although ferrous iron ( $\text{Fe}^{2+}$ ) also  
488 produced by normal HO-1 activity is a benign metabolite and readily cleared, its presence

489 under some physical settings determines whether BC acts as an antioxidant or prooxidant  
490 compound [36]. This property has potential consequences that are paradoxical in the  
491 context of the putative role of HO-1. Normally, increased activity of this enzyme reduces  
492 oxidative stress and protects tissues from toxic insult. In the present study, I/R injury to  
493 cardiac tissue, strongly activated HO-1, resulting in elevated levels of its metabolic  
494 products, including  $\text{Fe}^{2+}$ . Since, as described by Andersen et al.,  $\text{Fe}^{2+}$  enhances the  
495 prooxidant properties of BC, influences that elevate HO-1 in the presence of high BC  
496 levels, potentially overwhelm the cytoprotective effects of HO-1 and increase oxidative  
497 stress on a tissue. This conclusion is speculative and will require further analysis of BC  
498 cardiovascular effects. Such a mechanism is nevertheless consistent with the known  
499 behavior of BC in the presence of  $\text{Fe}^{2+}$  - and if valid, accounts for the results shown in  
500 Figure 4. Potential relevance of this finding to management of cardiovascular disease is  
501 provided by an observation that an inducer of HO-1 administered orally to human  
502 patients significantly altered serum levels of transferrin, which is the physiologic  
503 transport molecule for  $\text{Fe}^{2+}$  [37] indicating that aspects of iron metabolism that may also  
504 impact BC utilization may be modulated by drugs that affect HO-1 activity and possibly  
505 selective chelators of  $\text{Fe}^{2+}$ .

506 **CONCLUSIONS:** The findings described above, suggest that the level of HO-1 activity  
507 in response to I/R injury may be a critical determinant for the role of BC as either a  
508 protective agent against I/R-induced heart damage, or as a contributor to I/R-related  
509 syndromes through activation of its prooxidant properties. Validity of this model depends  
510 on the degree to which redox activities of BC may be influenced in either direction by  
511 presence of  $\text{Fe}^{2+}$  - which has been identified as a primary factor. Ongoing characterization  
512 of these processes is continuing by this laboratory. A particularly valuable outcome  
513 anticipated for this ongoing research initiative, is the potential for combining use of BC

514 with HO-1 inducers, such as the sour cherry seed extracts described by Csiki et al [37], in  
515 modulation of the clinical effects of BC.

516 **FUTURE DIRECTIONS:** Current efforts by authors of this report to mitigate the  
517 cardiotoxic effects of  $\beta$ -carotene, while retaining the cardioprotective value of this  
518 compound and also HO-1 activity, are focused on development of a novel functional food  
519 class containing whole leaf extract of *Tamarindus indica L.* (tamarind), which in March  
520 2015 was reported to chelate ferrous iron, with a binding constant of  $1.085 \text{ mol L}^{-1}$  [38].  
521 It is predicted that  $\text{Fe}^{2+}$  chelation in this potency range will augment transferrin activity to  
522 the extent that the capacity of endogenous HO-1 to reduce oxidative stress will be  
523 retained, while simultaneously counteracting BC toxicity by removal of  $\text{Fe}^{2+}$ . This  
524 conclusion is currently speculative and await outcome of ongoing studies.

525

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537

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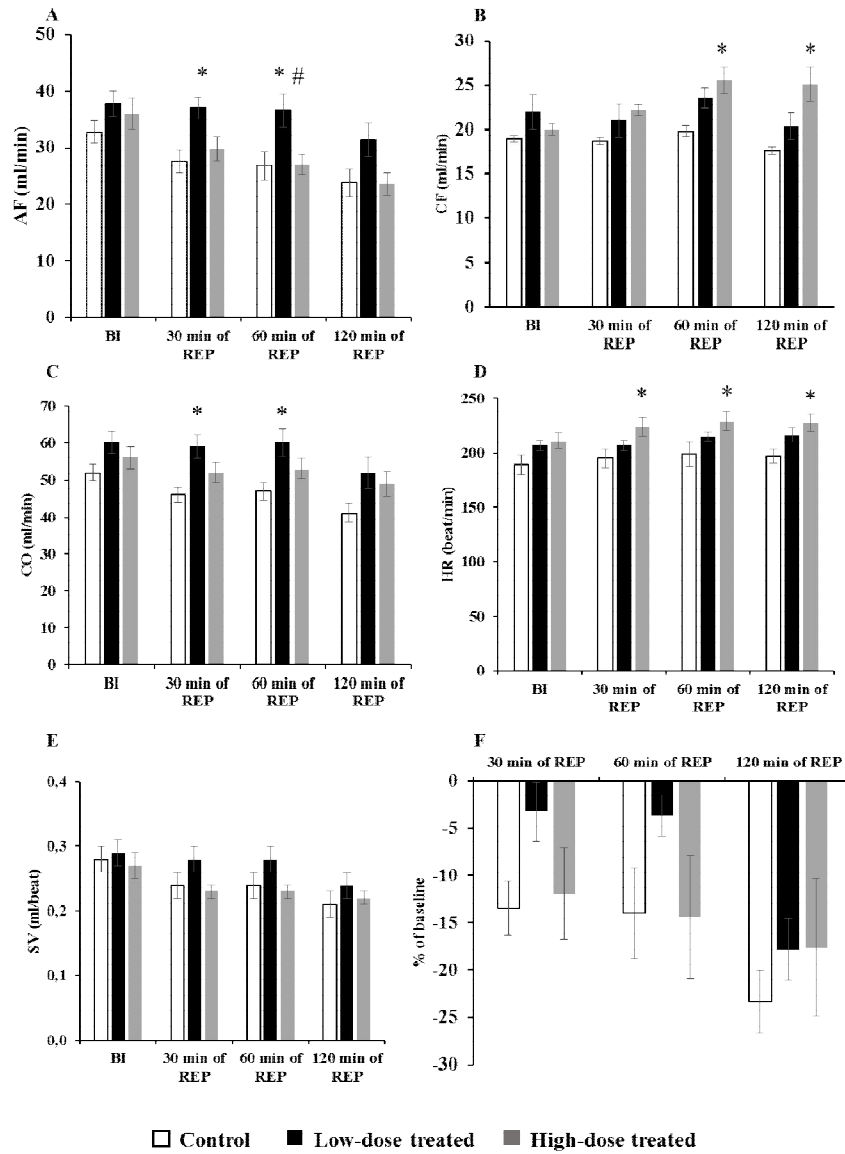
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649

650 **Figure 1.:  $\beta$ -carotene effects on cardiac function in isolated working hearts.**

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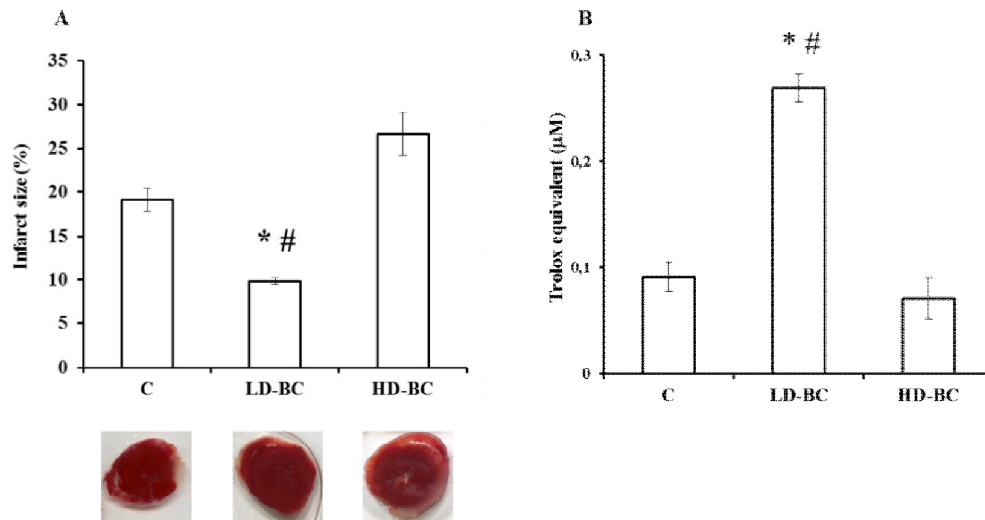
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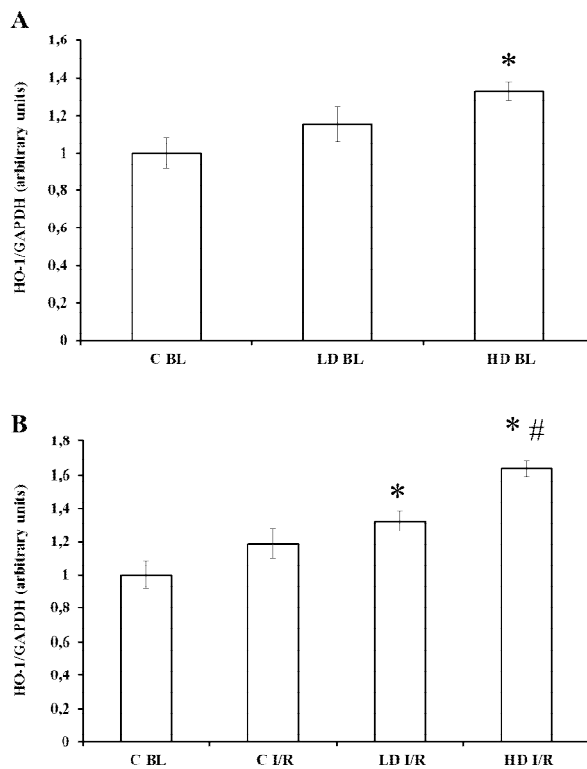
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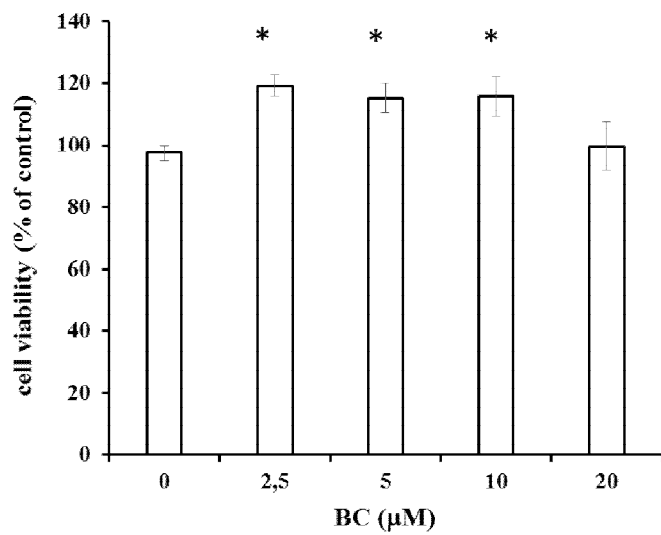
659 **Figure 2.: Effect of  $\beta$ -carotene on infarcted zone magnitude (A) and tissue**  
 660 **antioxidant capacity (B).**

661



662

663 **Figure 3.: Western blot analysis for cardiac expression of heme oxygenase-1 (HO-1)**  
 664 **protein.**



665

666 **Figure 4.: *In vitro* (MTT) assays for  $\beta$ -carotene cytotoxicity on H9c2 cells.**

667