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Cytokine milieu in Undifferentiated Connective Tissue Disease: A comprehensive review

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ABSTRACT

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6 Undifferentiated connective tissue disease (UCTD) is a unique clinical entity, a potential
7
8 forerunner of well-established systemic autoimmune/rheumatic diseases. UCTD is
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10 characterized by the presence of various clinical symptoms, as well as a diverse repertoire of
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12 autoantibodies, resembling systemic autoimmune diseases. Since approximately one-third of
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14 these patients consequently transform into a full-blown systemic autoimmune/rheumatic
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16 disease, it is of major importance to assess pathogenic factors leading to this progression. In
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18 view of the fact that the serological and clinical picture of UCTD and systemic autoimmune
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20 diseases are very similar, it is assumed that analogous pathogenic factors perpetuate both
21
22 disease entities. In systemic autoimmune conditions a quantitative and qualitative impairment
23
24 of regulatory T cells have been shown previously and in parallel a relative dominance of pro-
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26 inflammatory Th17 cells has been introduced. Moreover the imbalance between regulatory
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28 and Th17 cells plays a pivotal role in the initiation and propagation of UCTD. Additionally,
29
30 we depict a cytokine imbalance, which give raise to a biased T-cell homeostasis from the
31
32 UCTD phase throughout the fully developed systemic autoimmune disease stage. The levels
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34 of IL-6, IL-12, IL-17, IL-23 and IFN- γ were pathologically increased with a parallel reduction
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36 of IL-10. We believe that the assessment of Th17/Treg cell ratio, as well as the simultaneous
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38 quantitation of cytokines may give a useful diagnostic tool at the early UCTD stage to identify
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40 patients with a higher chance of consecutive disease progression towards serious systemic
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42 autoimmune diseases. Moreover, the early-targeted immunomodulating therapy in these
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44 patients may decelerate, or even stop this progression, before the development of serious
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46 autoimmune conditions with organ damage.
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1 **KEYWORDS**

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4 Undifferentiated connective tissue disease, systemic autoimmune diseases, regulatory T cells,

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6 Th17 cells, cytokine imbalance, disease progression

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Introduction

Undifferentiated connective tissue disease (UCTD)

Undifferentiated connective tissue disease (UCTD) is a unique clinical entity, a potential forerunner of a subsequentially developing full-blown systemic autoimmune/rheumatic disease. UCTD is characterized by the presence of various clinical symptoms, resembling rheumatic diseases, as well as a diverse repertoire of autoantibodies. The most common clinical symptoms of the disease include Raynaud's phenomenon, fever, arthritis, serositis, (pleuritis, pericarditis), sicca symptoms, skin involvement (photosensitivity, rash), central and peripheral nervous system symptoms, vasculitis, pulmonary involvement, myositis, and accelerated atherosclerosis [1]. The serological abnormalities can include the presence of the following autoantibodies: anti-nuclear (ANA), anti-dsDNA, -Sm, -RNP, -SSA, -SSB, -Scl-70, -centromere, -Jo1, or -PM-Scl, amongst others [1]. However, this clinical-serologic constellation does not fulfill the diagnostic criteria of any well-defined rheumatic, systemic autoimmune diseases, amongst others rheumatoid arthritis (RA), systemic lupus erythematosus (SLE), Sjögren's syndrome, idiopathic inflammatory myopathies (IIMs), mixed connective tissue disease (MCTD), or systemic sclerosis (SSc) [2-6]. The most important clinical feature of UCTD is that over one-third of these patients consequently transform into a full-blown systemic autoimmune/rheumatic disease [1]. In our previous study where we followed-up 665 UCTD patients for 5 years and showed that 34.5% of these patients developed a well-defined systemic autoimmune disease, with the following end-disease progressions: 38% RA, 20% Sjögren's syndrome, 12% SLE, 11% MCTD, 10 systemic vasculitis, 8% progressive SSc and 1% IIMs [1]. The highest probability of evolution to a defined systemic autoimmune/rheumatic disease was during the first 2 years after onset.

The pathogenesis of UCTD

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4 As we have depicted previously UCTD both in clinical symptoms and serologically resembles
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6 systemic autoimmune diseases, which raises the possibility that similar factors are involved in
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8 the pathogenesis of these clinical entities. Moreover, since UCTD can be the forerunner of
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10 sequentially developing rheumatic diseases it is plausible that the escalation and intricate
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12 interplay of certain pathogenic factors in the UCTD stage lead to disease progression. UCTD
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14 and fully developed systemic autoimmune diseases are typically multi-etiological entities,
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16 where genetic, environmental abnormalities along with derailed immunoregulatory processes
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18 contribute to the development of the disease. In the healthy immune system, various tolerance
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20 mechanisms, such as activation-induced cell death, the functional inertness, denoted as
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22 anergy, or clonal ignorance play a crucial role to prevent the activation of self-reactive
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24 lymphocytes [7]. In autoimmune conditions with faulty tolerance mechanisms, self-reactive
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26 lymphocytes may not be subjected to peripheral deletion, or anergy raising the possibility of
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28 the survival and activation of autoreactive T and B cells upon autoantigen encounter [8-10].
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30 However, there is a fine line between autoimmune processes, which also appear in healthy
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32 individuals and manifested autoimmune diseases. In autoimmune diseases, one or several
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34 tolerance mechanisms permanently fail due to the constellation of various environmental
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36 factors, specific HLA- and non-HLA genes and derailed immunoregulatory processes, leading
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38 to the persistence of self-reactive T and B cell clones, and ultimately organ damage [10,11].
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40 Since similar clinical and pathogenic features can be found between UCTD and developed
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42 systemic autoimmune diseases we hypothesized that immunoregulatory abnormalities and/or
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44 the imbalance of immunoregulatory and inflammatory processes at the UCTD stage could
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46 lead to the progression towards systemic autoimmune diseases.
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The role of regulatory T cells in systemic autoimmune diseases and UCTD

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4 The balance of pro- and anti-inflammatory mechanisms is crucial to maintain the antigenic
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6 integrity of the individual, yet must effectively eliminate pathogens. On the anti-inflammatory
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8 side, several characteristic cell populations exist, which have the capability to suppress
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10 immune and autoimmune processes once they have developed. One important group of such
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12 immunoregulatory cells is denoted as regulatory T cells (Tregs). Tregs derive either from the
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14 thymus [CD4+CD25^{bright}FoxP3+ natural Tregs (nTregs)] or in the peripheral blood [IL-10, or
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16 TGF- β producing Type-1 regulatory T-cells (Tr1)] [12]. It is reasonable to speculate that
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18 when regulatory T-cells are reduced in numbers or functionally impaired, pro-inflammatory
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20 immune responses are evoked, and upon susceptible genetic background, autoimmune
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22 processes can occur, leading to the spontaneous development of various autoimmune diseases.
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24 In line with this hypothesis, previous studies conducted on patients with systemic
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26 autoimmune and rheumatic disease described that the selective decrease in the number of
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28 Tregs or, alternatively, a diminished suppressor function of Tregs are characteristic to these
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30 diseases (e.g. SLE, MCTD, Sjögren's syndrome or RA [13-17]). These data indicate that in
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32 patients with established autoimmune conditions a well-characterized quantitative and/or
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34 qualitative impairment of the regulatory T-cell pool exists.
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44 As a natural follow-up to these findings, we previously investigated the regulatory T
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46 cell pool of patients with UCTD [18]. In these patients, the assessment of Treg cells showed
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48 that the percentage and absolute number of CD4+CD25^{bright}FoxP3+, natural Tregs were
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50 diminished in UCTD patients compared with healthy controls, while the number of CD4+IL-
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52 10+, inducible Tregs was increased [18]. This progressive divergent shift in natural and
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54 induced T-regulatory cells clearly predicted the transition from the undifferentiated
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56 connective tissue disease to a well-established systemic autoimmune disease [18].
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1 Interestingly, we and others have described that in patients with active systemic autoimmune
2 diseases, such as SLE, or MCTD, the frequency of CD4+CD25^{bright}FoxP3+ Tregs was found
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4 to be decreased compared to healthy individuals, or interestingly in patients with an inactive
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6 disease state [13,15,19]. The other major regulatory T cell subset, denoted as IL-10 producing
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8 Type-1 regulatory T-cells (CD4+IL-10+, Tr1) has been implicated in various autoimmune
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10 diseases [20]. IL-10 is a multifunctional cytokine that can suppress the IFN- γ production of
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12 Th1 cells and regulate growth and/or differentiation of various T cell types, B cells, or NK
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14 cells [21,22]. In relation to this we discovered a significant increase in Tr1s when UCTD
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16 patients were compared with healthy controls, and we found further increase in patients who
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18 progressed into definitive systemic autoimmune diseases. This could be interpreted as a
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20 compensatory mechanism to down-modulate the effects of the observed IFN- γ overproduction
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30 **Th17 cells and the Th17/Treg ratio in systemic autoimmune diseases and UCTD**

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34 On the pro-inflammatory side, T-cells have the ability to differentiate into IL-17-producing T
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36 helper cells, denoted as Th17 cells, and this differentiation is independent of Th1 or Th2 cell
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38 development [23,24]. Th17 cells recruit neutrophils and macrophages to the site of
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40 inflammation; therefore they are crucial in pro-inflammatory immunological processes, in the
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42 combat against pathogens, mostly against extracellular pathogens [24]. Dysregulated
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44 synthesis and increased levels of Th17 cells have been associated with numerous
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46 inflammatory conditions, as well as with autoimmune diseases. Amongst others, increased
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48 levels of IL-17 has been shown in the sera, synovial fluids and synovial biopsies of most RA
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50 patients, while osteoarthritis, as a control, non-inflammatory population, showed no
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52 increased levels of this cytokine [25,26]. Furthermore, emerging data show a body of
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54 evidence that IL-17 and Th17 cells may play a role in the pathogenesis of SLE, and in lupus
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1 nephritis [27,28]. Regarding an important systemic autoimmune disease, in patients with
2 Sjögren's syndrome, IL-17 has been shown to play a pivotal role in the pathogenesis both in
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4 the peripheral blood, and the cytokine can be found with increased levels in the affected
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6 salivary glands [29,30].
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10 It is interesting to speculate on what initiates and drives the shift in balance of the
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12 Th17 and regulatory T-cells in autoimmune conditions. Regulatory T-cells develop in the
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14 thymus and participate in the maintenance of peripheral tolerance. As we saw, in systemic
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16 autoimmune diseases the circulating and local skewed cytokine milieu alters the suppressive
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18 function of these cells [31]. In affected organs, at the histological site of inflammation, a
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20 cytokine imbalance is present, with a predominance of IL-6 and TGF- β , which favor the
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22 development of Th17 cells. Th17 cells are pivotal in the initialization and progression of
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24 inflammatory processes, rather than Tregs; in addition higher concentrations of TNF- α
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26 downmodulates the function of Tregs [31].
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31 Since altered Th17 and regulatory T cell ratios may play a pathogenic role by tipping
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33 the fine balance toward enhanced immune reactivity, the disruption of this equilibrium has
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35 been studied in various systemic autoimmune conditions [32-35]. In order to assess whether a
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37 shift in the cytokine homeostasis exists in autoimmune conditions, fueling the predominance
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39 of pro-inflammatory cells vs. immunoregulatory T-cells, we have measured a broad spectrum
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41 of circulating cytokines in patients with various systemic autoimmune and rheumatic diseases
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1 **Cytokine imbalance, regulatory/effector cells in various well-defined systemic**
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3 **autoimmune and rheumatic diseases**
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7 **Primary Sjögren's syndrome (pSS)**
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11 **The clinical picture and immunocompetent-cell distribution of pSS**
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14 Primary Sjögren's syndrome (pSS) is a chronic, slowly progressive, systemic autoimmune
15 disease that predominantly affecting middle-aged women, although it can be seen in people of
16 all ages, including children [42]. pSS is characterized by mononuclear infiltration and
17 destruction of the exocrine glands, resulting in dry mouth, keratoconjunctivitis sicca and the
18 presence of other exocrinopathic symptoms, affecting various organs [42]. In the
19 pathogenesis, a variety of native and adaptive cellular and humoral autoimmune processes
20 have been described previously [42,43]. On the adaptive immune system's side, different
21 subsets of T and B lymphocytes and monocytes contribute to the pathogenesis. Increased cell
22 activation, uncontrolled apoptotic processes, and immune response are partly driven by a
23 skewed cytokine milieu contributing to the pathogenesis of the disease [43,44]. Besides intra-
24 glandular cytokines and chemokines, a group of peripheral cytokines, chemokines and growth
25 factors have been implicated in the pathogenesis of pSS, contributing to the perpetuation of
26 the cellular and humoral autoimmune processes, leading to the pathognomonic clinical picture
27 [44-47]. In our previous study we assessed how certain peripheral immune parameters reflect
28 the inflammatory alterations in patients with pSS, determined lymphocyte subpopulations and
29 their state of activation from peripheral blood, evaluating both soluble serum T-helper
30 (Th)1/Th2-type cytokines, as well as intracytoplasmic cytokines [48]. We found that the
31 skewed T-cell subsets and cytokine imbalance play important roles in an orchestrated
32 proinflammatory cascade. Patients with pSS were characterized by elevated percentages of
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1 activated T cells (CD3+/CD69+ T lymphocytes), compared to healthy individuals [48]. The
2 assessment of naïve vs. memory CD4+ and CD8+ T cells, we could identify a clear shift
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4 towards the memory phenotype in both T cell subsets. Th0 and Th1 cell numbers were
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6 increased in patients compared to controls. Concerning cells of the innate immune system,
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8 NK cell and NK T-cell percentages were elevated in pSS patients.
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11 **Cytokine imbalance in the pathogenesis of pSS**

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17 In order to shed light, which cytokines might be responsible for the aforementioned cellular
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19 shifts we measured serum cytokines in patients and healthy individuals in parallel.

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21 Interestingly we found that among circulating cytokines, interferon (IFN)-gamma was high,
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23 whereas interleukin (IL)-10 was decreased in patients with pSS [48]. In addition, us and
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25 others have evaluated changes in regulatory cells and a wide spectrum of serum cytokine in
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27 pSS patients which seemed beneficial to cluster and subgroup patients with pSS [30,41,49-
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35 The great advantage of assessing various cytokines in patients with systemic autoimmune and
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37 rheumatic diseases is that the univariate and/or multivariate analyses of these cytokines aids to
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39 create and identify patient subsets in diseases, previously thought to be homogeneous. In line
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41 with this approach, we have previously showed that circulating cytokines have the ability to
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43 distinguish pSS patients with ectopic salivary gland germinal centers, a possible forerunner of
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45 lymphoma development in the disease [30,41,50]. In this study, univariate analysis
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47 demonstrated that serum levels of a broad spectrum of immune and inflammatory modulating
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49 cytokines are upregulated in both pSS patient groups (pSS patients with, or without ectopic
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51 germinal center formation), relative to unaffected controls: IL-1beta, IL-2, IL-6, IL-15, IFN-
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53 gamma and CCL4 (MIP-1beta) [30]. pSS patients with ectopic germinal center formation
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55 were distinguished from healthy individuals by higher levels of IL-4, IL-10, GM-CSF, IFN-
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1 alpha, CCL3 (MIP-1alpha), CCL11 (Eotaxin) and B-cell activating factor (BAFF/BLyS),
2 while germinal center positive and negative pSS patients differed in CCL2 (MCP-1)
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4 expression. Discriminant function analysis (DFA), a multivariate discrimination method that
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6 uses observed differences to characterize groups when casual relationships are not well
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8 understood, was employed to identify a subset of these biomarkers that maximally
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10 discriminate among germinal center positive and negative, as well as healthy individuals. The
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12 biomarker having the strongest discriminatory power identified by DFA were CCL11
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14 (Eotaxin), IFN-gamma, as well as BAFF/BLyS [30]. These data indicate that the continuous
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16 monitoring of these biomarkers might aid in identifying the development of ectopic germinal
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18 center formation and presumably the subsequent development of lymphoma in patients with
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20 pSS.
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31 **Systemic sclerosis**

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35 Systemic sclerosis (SSc) is a systemic disease of autoimmune pathogenesis, characterized by
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37 excessive extracellular matrix deposition and damage of the small blood vessels. (SSc) is
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39 associated with endothelial cell dysfunction, where classically the microvasculature is
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41 affected. The hallmarks of the disease are inflammatory processes, dominantly in the skin and
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43 visceral organs, such as the heart, lungs, or kidneys [52,53]. The key role of the innate and
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45 adaptive immune system has been depicted in the pathogenesis of SSc [54-57]. Disorders of
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47 the immune system lead to chronic inflammatory processes, abnormal T cell activation, B cell
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49 abnormalities, abundant production of proinflammatory cytokines (e.g. IL-4), and the
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51 production of characteristic autoantibodies including anti-centromere antibodies in limited
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53 SSc and anti-topoisomerase 1 and anti-RNA polymerase I/III antibodies in diffuse SSc.
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1 perpetuation of the disease [56,57]. In SSc patients increased levels of circulating Th17 cell
2 have been described, along with elevated IL-17 serum concentrations [58,59]. On the helper T
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4 cell side, an altered balance of the Th1 and Th2 cytokine profile may also be responsible for
5
6 the development of fibrosis [60]. Previously we have depicted a wide spectrum of peripheral
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8 immune-competent cell types, reflecting overall disturbances in immune homeostasis,
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10 characteristic of systemic sclerosis [61]. We found that patients with SSc had higher
11
12 percentages of activated T cells, reflected by increased ratio of CD3+/HLA-DR+ cells.
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14 Comparing naive vs. memory subsets of CD4+ and CD8+ T cells, a shift towards central
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16 memory phenotype was observed. We saw an imbalance of various immune-competent
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18 celltypes of the innate immune system characterized by abnormal levels of NK and NKT
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20 cells.
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29 **Regulatory T-cells and the cytokine milieu in the pathogenesis of SSc**

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31 We depicted a shift between the effector and regulatory T cell level in SSc. Increased Th-17
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33 cell percentages, together with decreased levels of Th1, as well as regulatory T cell subsets,
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35 IL-10 producing Tr1 cells (CD4+IL-10+ T-cells), and CD4+CD25+FOXP3+ Treg cell were
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37 characteristic to these patients [61]. We also found decreased IL-10 levels in SSc. Besides the
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39 quantitative differences of regulatory cells between patients and controls, the functional
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41 assessment of Tregs identified that the suppressor activity of CD4+CD25+FOXP3+ Treg cells
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43 was clearly decreased in SSc, compared to healthy individuals. Our data suggest that the
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45 increased Th17/Treg ratio and the altered regulatory function of CD4+CD25+FOXP3+ Treg
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47 cells play an important role in the development and progression of SSc. Moreover, our study
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49 identified the potential role of the decreased presence of IL-10-producing Tr1 cells (along
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51 with lower IL-10 serum levels) in the progression of disproportionate immune responses in
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53 SSc [61]. Altogether these findings suggest that the assessment of regulatory immune-
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1 competent cells and peripheral cytokines aids in the understanding the pathogenesis of these
2 diseases, also serves as useful disease activity markers and helps to sub-categorize
3
4 autoimmune diseases. The Th17 cell and regulatory T cell imbalance, along with impaired
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6 circulating cytokine profile gives a good ground to use these parameters for therapy response
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8 assessment in SSc. Extracorporeal photochemotherapy (ECP) is a useful therapeutic modality
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10 in SSc, therefore we enrolled patients with diffuse cutaneous SSc, whom received 12 ECP
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12 treatments in total and assessed various immunocompetent celltypes, including regulatory
13
14 cells and Th17 cells using intracellular cytokine staining [62]. We could see significant
15
16 changes in these parameters following treatment. After a series of ECP, the percentages and
17
18 numbers of peripheral Th17 cells decreased, we observed a clear increase in Tr1 and Treg cell
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20 numbers, and interestingly we could identify a functional improvement of Tregs, as reflected
21
22 by the recovered suppressor capacity of Treg cells. Moreover, we found a positive correlation
23
24 between the reduction of IL-17 levels and skin thickness, an objective measure of
25
26 improvement in SSc. Concerning serum cytokines, chemokines and growth factors, levels of
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28 CCL2 and TGF-beta decreased, while the concentration of IL-10, IL-1-receptor-alpha, and
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30 hepatocyte growth factor elevated during the therapy [62]. ECP had a clear effect on
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32 lymphocyte activation. We observed a significant negative correlation between the changes in
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34 peripheral activated CD95+ T cell and CD4+CD25+ Treg cell levels. We found negative
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36 correlations between CD69+ and HLA-DR+ T cells and the functional ability of the
37
38 CD4+CD25+ Treg cells following a series of ECP treatment. The initial increase of CD95+
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40 expression in SSc reflects a state of lymphocyte activation during autoimmune processes,
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42 which can be effectively attenuated by the restoration of regulative T cell numbers and
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44 functions as the result of ECP therapy [63]. These results clearly indicate that the assessment
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46 of Th17 and regulatory T cell subsets along with soluble cytokines aids in the patient follow-
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48 up and therapy response monitoring in SSc
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Mixed Connective Tissue Disease

Clinical picture, effector- and regulatory-T cell profile of MCTD patients

In Mixed connective tissue disease (MCTD) the most frequently observed symptoms are arthritis, Raynaud's phenomenon, myositis, esophageal dysmotility, and acrosclerosis along with the presence of autoantibodies reactive with U1 small nuclear RNP (U1RNP) autoantigens [64-66]. Long-term follow-up studies reveal that other symptoms, such as serositis (pleuritis, pericarditis), pulmonary involvement, skin involvement, neuropsychiatric disease, and glomerulonephritis may also develop in this systemic autoimmune disease [67-71]. Previously we assessed serum cytokines and intracellular cytokine production of CD4+ and CD8+ T cells in patients with MCTD [72]. Serum concentrations of both type 1 and type 2 cytokines were significantly higher in patients with MCTD than in healthy controls. In patients with active MCTD, the percentage of CD8+/IFN-gamma+, cytotoxic type-1 T lymphocytes was significantly increased compared to its level in inactive disease, or healthy individuals. IL-4 expression of CD4+ T cells (representing Th2 cells) was scarcely detectable in MCTD. A higher percentage of CD8+/IL-4+, cytotoxic type 2 T cells were detected in patients, especially in those with active disease, compared to controls. The percentage of IL-10-producing CD4+ and CD8+ T cells was higher in patients than in controls. Again, CD4+ and CD8+ T cells from patients with active MCTD produced significantly more IL-10 than cells in patients with inactive disease or in healthy individuals [72]. These findings support the idea that MCTD is characterized by a wide spectrum of T cell abnormalities, which becomes explicit in the active phase of the disease. Concerning the role of immunoregulatory abnormalities in the pathogenesis, we assessed CD4+CD25+ regulatory T-cells in patients with MCTD. In line with findings from patients with other systemic autoimmune, rheumatic

1 diseases, the percentage and the absolute number of CD4+CD25+high Treg cells were lower
2 in patients than in healthy controls which further decreased in patients with active disease.
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4 Interestingly, we saw an increase in the percentage and absolute number of CD4+IL-10+ Tr1
5 cells in patients with MCTD compared to healthy controls. The percentage of Tr1 cells was
6 higher in the active stage of MCTD than in the inactive stage. The decrease in the number of
7 CD4+CD25+high Treg cells in an important factor in the immunoregulatory disturbance in
8 patients with MCTD. We believe that elevated Tr1 cell percentages could be a compensatory
9 mechanism aiming to restore the balance between type 1 and type 2 cytokines in MCTD [73].
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20 **Characterisation of serum cytokines and regulatory cells in MCTD**

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24 As we discussed previously the assessment of regulatory cells and serum cytokine monitoring
25 can be a useful tool in disease sub-categorization. In order to depict the value of cytokine
26 measurement and regulatory cell assessment in MCTD, we investigate the frequency of
27 sensorineural hearing loss (SNHL) in patients with MCTD and evaluated various
28 immunological parameters in patients with, or without this inner ear disorder. Serum levels of
29 IFN-gamma and TNF-alpha were increased in MCTD patients with SNHL compared to
30 patients without SNHL. The absolute number of Tregs was lower compared to patients
31 without SNHL. The decreased levels of regulatory T cells, along with the increased
32 expression of pro-inflammatory cytokines may play a role in the pathogenesis of immune
33 mediated inner ear disorders in MCTD [74].
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49 In another approach in order to use cytokine assessment in disease profiling, we evaluated the
50 vitamin D status in MCTD patients and assessed which clinical symptoms, laboratory
51 parameters and endothelial cell markers are associated with low vitamin D levels. We found
52 that vitamin D levels were inversely associated with serum IL-6, IL-23, and IL-10 cytokine
53 levels, while low vitamin D levels were also significantly associated with carotid artery intima
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1 media thickness, fibrinogen, total cholesterol and ApoA1 levels. The presence of
2 cardiovascular diseases showed an inverse correlation with vitamin D status in MCTD. By
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4 assessing peripheral cytokines in MCTD we could identify that vitamin D insufficiency along
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6 with inflammatory parameters, reflected by increased serum pro-inflammatory cytokine levels
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8 may provoke cardiovascular events [75]. Serum and intracellular cytokine assessment,
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10 reflecting immune-regulatory abnormalities are valuable biomarkers to assess disease activity
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12 in MCTD, also capable of sub-categorizing these patients, as well.
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22 **Systemic Lupus Erythematosus (SLE)**

23 24 25 **Clinical picture and pathogenesis**

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27 SLE is a heterogeneous, multi-etiological systemic autoimmune disease with various organ
28
29 involvements, encompassing mild to moderate forms, and also severe, progressive variants
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31 [76-78]. The organ manifestations of the disease include dermatologic signs, arthritis, and
32
33 serositis, as well as renal, neurologic, and hematologic disorders. Serologically, lupus is
34
35 characterized by the presence of antinuclear antibodies (80–90 % of patients), double-
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37 stranded DNA-directed autoantibodies (58–70 % of patients), and antibodies directed to other
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39 nuclear antigens, such as histones and small nuclear ribonucleoproteins (snRNP) in a minor
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41 group of patients.
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47 **Cytokines and immune-regulatory defects**

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49 Several cytokines have also been implicated in the pathogenesis of SLE, amongst others
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51 BAFF/BLyS, TNF- α , IFN- α , IFN- γ , IL-12, IL-23, IL-18, IL-6, IL-10 and IL-17 forming a
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53 rationale also for therapeutic intervention in the disease [79,80].
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57 Previous studies on gene polymorphisms in SLE risk genes identified the key roles of
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59 particular cytokines in the pathogenesis. Thus, an SLE risk haplotype of interferon regulatory
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1 factor-5, (*IRF5*) has been shown to be linked to the increased production of interferon (IFN)-
2 α , and an autoimmune disease risk variant of signal transducer and activator of transcription 4
3 (*STAT4*) causes increased sensitivity to IFN- α in patients with SLE [81,82]. The protein,
4 encoded by tumor necrosis factor alpha-induced protein 3 gene (*TNFAIP3*), on the other hand,
5 inhibits nuclear factor κ B-dependent signaling and, thus, prevents inflammation that is caused
6 by multiple cytokines [83]. Genetic variation, therefore, could help to explain the increases in
7 a wide range of cytokine responses in SLE. Recently, an interesting study evaluated changes
8 in plasma concentrations of soluble mediators preceding clinically-defined disease flares in
9 SLE. They found that patients with impending flare had significant alterations in a wide
10 variety of soluble mediators at baseline with significantly higher levels of pro-inflammatory
11 mediators, including Th1, Th2, and Th17-type cytokines, several weeks before clinical flare
12 compared to clinically stable patients [84]. Levels of regulatory cytokines, including IL-10
13 and TGF- β were higher in non-flare SLE patients, while baseline levels of soluble TNFR1,
14 TNFR2, Fas, FasL, and CD40L were significantly greater in pre-flare patients. These findings
15 support the idea that alterations in the balance between inflammatory and regulatory
16 mediators may help identify patients at risk of disease flare and help decipher SLE pathogenic
17 mechanisms [84]. Concerning the role of regulatory T cells in SLE, most studies point to a
18 reduction in Treg cell number and function in SLE [85-87]. The role of Th17 cells has been
19 described in the pathogenesis of SLE and several studies have reported an increase in Th17
20 cells and IL17 in SLE, and in particular with disease flare [88-90]. The Th17 and Treg ratio
21 indicates that SLE is associated with a reduction in the levels and function of
22 immunosuppressive Treg cells together with an increase in the pro-inflammatory Th17 cells
23 [91]. Table 1. summarizes the relevant findings in cytokine imbalance and regulatory/effector
24 cell makeup in UCTD and systemic autoimmune diseases.

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2 **In UCTD the Th17/Treg ratio signifies progression towards well-defined systemic**
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4 **autoimmune diseases**
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7 As we have illustrated, in all these various patient groups with systemic autoimmune
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9 conditions, we could identify a circulating cytokine imbalance, clearly reflecting a pro-
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11 inflammatory milieu, moreover this particular cytokine imbalance and skewed pattern had the
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13 ability to promote the development of Th17 cells and the reduction in numbers/function of
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15 Tregs. UCTD is a very unique clinical entity, representing the initial stages of systemic
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17 autoimmune diseases. UCTD patients can have various clinical symptoms, specific for
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19 autoimmune diseases, along with immunoserological abnormalities, yet they do not meet the
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21 standard criteria for any well-defined systemic autoimmune disease [42-45]. The clinical
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23 symptoms and the presence of the autoantibodies in UCTD suggest that many of the same
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25 immunological abnormalities that play a role in different well-defined systemic autoimmune
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27 diseases can also be involved in UCTD. Taken together, these findings point to that the
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29 Th17/Treg imbalance can be a good marker of a subsequent transition of these patients
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31 towards well-defined systemic autoimmune diseases. Accordingly, we have assessed Th17
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33 cells, as well as regulatory T cell subsets in patients with UCTD, and identified whether the
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35 imbalance of these immunologically important cell types contribute to the development of a
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37 subsequent definitive systemic autoimmune and rheumatic diseases [46]. In this study we
38
39 found that the most common clinical manifestations of these UCTD patients were
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41 polyarthritis, Raynaud's phenomenon, and various skin symptoms, amongst others
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43 photosensitivity, malar rash and sclerodactily. Immunoserological abnormalities included
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45 positive ANA, ENA, RF, aSSA most frequently. The patients were followed-up for 5 years
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47 and changes of clinical activity, serological abnormalities, as well as the Th17 and Treg
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49 subsets were registered consecutively [46]. Th17 cells were found to be increased in UCTD
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1 patients, when compared to healthy individuals. Moreover, Th17 cells were further increased
2 in UCTD patients that subsequently developed systemic autoimmune/rheumatic disease.
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4 Interestingly, the Th17/Treg ratio gradually increased from controls through UCTD patients,
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6 reaching the highest values in those, whom eventually progressed into definitive systemic
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8 autoimmune disease. The evaluation of the Th17/Treg could distinguish between UCTD
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10 patients with, or without subsequent systemic autoimmune disease progression in a very early
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12 UCTD stage. Of immunoserological markers, anti-CCP clearly associated with Th17/Treg
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14 ratios in connection with subsequent RA development. Concerning SLE-progression, anti-
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16 SSA showed an apparent positive correlation with Th17 cell numbers. RA showed the most
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18 associations with various regulatory-cell biomarkers in several time-points of the disease
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20 development.
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28 **A skewed cytokine milieu can be responsible for the altered Th17/Treg balance in** 29 30 **UCTD**

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34 Since previous findings in systemic autoimmune and rheumatic diseases indicated a skewed
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36 cytokine milieu which could fuel the shift of the Th17/Treg balance, we have assessed a
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38 variety of circulating cytokines in patients with UCTD and found that the levels of IL-6, IL-
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40 12, IL-17, IL-23 and IFN- γ were pathologically increased with a parallel reduced level of IL-
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42 10, which imbalance was normalized after alfacalcidol treatment [47,48]. In parallel with the
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44 pro-inflammatory cytokine imbalance, increased Th17 with reduced Treg cell counts with a
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46 high Th17/Treg ratio was observed, which could be reverted by administering vitamin D to
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48 these patients [47,48]. These findings indicate that at a very early stage of systemic
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50 autoimmune disease development a shift of a cytokine imbalance, favoring a pro-
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52 inflammatory milieu is present, contributing to a biased Th17/Treg distribution, which further
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54 initiates and perpetuates tissue damage and the development of the disease-specific clinical
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1 symptoms. Presumably this functions as a reverberating cycle, as the tissue damage increases
2 further shift in the cytokine balance and a consequent regulatory/effector T-cell
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4 disequilibrium. Taken these findings together it seems that the simultaneous, opposing effect
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6 of Th17 cells and Tregs has a strong impact on immune homeostasis, deciding and controlling
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8 the development of autoimmunity in these patients. Figure 1. summarizes the pathways and
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10 interconnections between tissue damage, skewed cytokine milieu and their role in the
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12 development of regulatory T cell and Th17 cell imbalance in autoimmune conditions.
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17 **Concluding remarks**

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21 Finally we should emphasize that besides the background Th17/Treg imbalance and cytokine
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23 dysequilibrium, a diverse antibody repertoire is present and may drive the development of
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25 various organ symptoms, leading to various autoimmune diseases. What determines the type
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27 of antibody produced and the type of systemic autoimmune disease developed? Why does the
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29 process stop for many patients at the UCTD stage without further progression? We believe
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31 that immune-regulatory responses should be followed in patients closely in the UCTD stage,
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33 and presumably if only temporary or mild dysfunction can be seen in this machinery, that may
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35 not cause severe organ damage. To answer these questions is obviously a huge task, in which
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37 knowledge of genetics, regulatory cell functions, Th17 cell development and assessment of
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39 the cytokine milieu should be involved.
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46 Our findings support the idea that the pathological immuno-regulatory balance
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48 between Th17 cells and T cells with regulatory capacity at least partly drives the development
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50 of UCTD and its progression to definitive systemic autoimmune, rheumatic diseases.
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54 Therefore the assessment of these parameters in patients with UCTD may be a useful marker
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56 to identify high-risk individuals with a potential for disease progression. We believe that the
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58 disruption of this vicious cycle at a very early stage of the disease development (early UCTD
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1 stage) by the administration of immunomodulating agents (e.g. alfacalcidol, vitamin D
2 derivates) can modulate the clinical picture, modify and decelerate the progression towards
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4 full-blown systemic autoimmune/rheumatic diseases.
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10 11 12 13 14 **Conflict of interest statement** 15

16 The authors declare that they have no conflict of interest.
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1 **FIGURE LEGENDS**
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4 **Figure 1.** Pathways and interconnections between tissue damage, skewed cytokine milieu and
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6 their role in the development of regulatory T cell and Th17 cell imbalance in systemic
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8 autoimmune diseases.
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TABLES

Table 1. Skewed cytokine balance, cellular and immunoregulatory abnormalities in UCTD and various systemic autoimmune diseases

	Disease classification/ definition	Characteristic cytokine imbalance	Cellular and Immunoregulatory defects
Undifferentiated Connective Tissue Disease (UCTD)	<ul style="list-style-type: none"> - Forerunner of well established systemic autoimmune diseases - UCTD shares clinical and serological manifestations with definite connective tissue diseases, but not fulfilling any of the existing classification criteria 	<ul style="list-style-type: none"> -Increased serum levels of IL-6, IL-12, IL-17, IL-23 and IFN-γ -Diminished IL-10 	<ul style="list-style-type: none"> -percentage and absolute number of natural Tregs are diminished -CD4+IL10+, inducible Treg increased -progressive divergent shift between natural and inducible Tregs signify disease progression
Sjögren's syndrome (pSS)	<ul style="list-style-type: none"> - pSS is a common systemic autoimmune disease that primarily affects the exocrine glands and leads to decreased lachrymal and salivary secretion. - other systemic symptoms, denoted as extraglandular manifestations can also be found in a subset of patients 	<ul style="list-style-type: none"> -Elevated levels of IL-17 in the serum and salivary glands -High serum IFN-γ, decreased IL-10 -IL-1b, IL-2, IL-6, IL-15, IFN-γ, CCL4 are increased in patients -IL-4, IL-10, GM-CSF, IFN-a, IFN-γ, CCL3, CCL11, BAFF signifies ectopic germinal center positive patients 	<ul style="list-style-type: none"> -Increased proportion of activated T cells and memory CD4+/CD8+ -Elevated NK, NKT cells
Systemic sclerosis (SSc)	<ul style="list-style-type: none"> - chronic autoimmune disease with vascular dysfunctions and fibrosis of the skin, vessel wall, musculoskeletal system and certain internal organs - commonly divided into limited and diffuse cutaneous forms, based on the extent of skin involvement - in diffuse cutaneous SSc, the rapid fibrotic processes result in progressive deterioration and atrophy of the skin and involved internal organs 	<ul style="list-style-type: none"> -Serum IL-17 elevated -Low serum levels of IL-10 	<ul style="list-style-type: none"> -Th17 proportion increased -Tregs diminished (both natural and inducible subsets) -weaker Treg suppressor function -altered Th1/Th2 balance -increased activated T-cell frequency -shift towards central memory phenotype -NK, NKT abnormalities
Mixed Connective Tissue Disease (MCTD)	<ul style="list-style-type: none"> - systemic autoimmune, inflammatory disorder, characterized by the simultaneous damage of multiple organs. - the most common symptoms are polyarthritis, the swelling of the hands and fingers, Raynaud's phenomenon, myositis, esophageal dysmotility, pulmonary arterial hypertension and interstitial lung disease 	<ul style="list-style-type: none"> -Both type I and type II cytokines are increased 	<ul style="list-style-type: none"> -Diminished natural Treg frequency - CD4+IL10+, inducible Treg increased -IFN-γ-producing and IL-4-producing CD8+ T cells are increased -diminished Th2 -IL-10+CD4+ and IL-10+CD8+ T-cells are increased

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Systemic Lupus Erythematosus	<ul style="list-style-type: none">- commonly affects women in child-bearing years- heterogeneous systemic autoimmune disease, which encompasses mild to moderate forms, and also severe, progressive variants- several organs can be affected, amongst others the cardio-vascular, musculoskeletal, hematopoietic, excretory, respiratory and nervous systems	<ul style="list-style-type: none">-Increased IL-17- BAFF/BLyS, TNF-α, IFN-α, IFN-γ, IL-12, IL-23, IL-18, IL-6, IL-10 play a role in the pathogenesis-IL-10 and TGF-β is reduced, while soluble TNFRI, TNFRII, Fas, FasL, and CD40L is elevated in patients before disease flare	<ul style="list-style-type: none">-Reduced numbers of FoxP3+ natural Tregs-Increased ratio of Th17 plays a role in lupus development and lupus nephritis as well in patients with disease flares-Increased Th17/Treg ratio
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Figure
Click here to download Figure: UCTD_CRAI_Figure.ppt

