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| 80 | Abstract | <p>Occurrence of genetic and epigenetic alterations affecting p14ARF and p16INK4A were investigated in tumour samples of 37 oral (OSCC) and 28 laryngeal squamous cell cancer (LSCC) patients, and compared to exfoliated buccal epithelial cells of 68 healthy controls. Presence of deletions and mutations/polymorphisms affecting exons were examined using sequencing. Methylation status of promoters was assessed by methylation-specific PCR. Chi-square and Fisher's exact tests were used to compare frequency of events. Exon deletions were found in four controls, one OSCC and 22 LSCC patients; the latter significantly differed from controls ($p < 0.001$). Only two mutations (T24610A and C24702A) were in p16 exon 1 of two OSCC patients. Polymorphisms G28575A (Ala140Thr), G31292C (C540G) and G28608A were found in both patient groups. The p14 promoter was unmethylated in 86.7 % of OSCC and in 85.7 % of LSCC patients; for the p16 promoter these rates were 69.0 % and 76.2 % for OSCC and LSCC patients, respectively. Combining the two patient groups, unmethylated promoter was significantly less frequent in case of both p14 and p16 ($p = 0.043$ and $p = 0.001$, respectively) compared to the control group. In summary, exon deletion may be important in LSCC, while promoter methylation was relatively frequent in both patient groups.</p> | |
| <hr/> | | | |
| 81 | Keywords | <p>Oral squamous cell cancer - Laryngeal squamous cell cancer - separated by ' - ' Tumour suppressor gene - Promoter methylation</p> | |

82 Foot note
information

Frequency of Genetic and Epigenetic Alterations of p14ARF and p16INK4A in Head and Neck Cancer in a Hungarian Population

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Abstract Occurrence of genetic and epigenetic alterations affecting p14ARF and p16INK4A were investigated in tumour samples of 37 oral (OSCC) and 28 laryngeal squamous cell cancer (LSCC) patients, and compared to exfoliated buccal epithelial cells of 68 healthy controls. Presence of deletions and mutations/polymorphisms affecting exons were examined using sequencing. Methylation status of promoters was assessed by methylation-specific PCR. Chi-square and Fisher's exact tests were used to compare frequency of events. Exon deletions were found in four controls, one OSCC and 22 LSCC patients; the latter significantly differed from controls ($p < 0.001$). Only two mutations (T24610A and C24702A) were in p16 exon 1 of two OSCC patients. Polymorphisms G28575A (Ala140Thr), G31292C (C540G) and G28608A were found in both patient groups. The p14 promoter was unmethylated in 86.7 % of OSCC and in 85.7 % of LSCC patients; for the p16 promoter these rates were 69.0 % and 76.2 % for OSCC and LSCC patients, respectively. Combining the two patient groups, unmethylated promoter was significantly less frequent in case of both p14 and p16 ($p = 0.043$ and $p = 0.001$, respectively) compared to the control group. In summary, exon deletion may be important in LSCC,

while promoter methylation was relatively frequent in both patient groups.

Keywords Oral squamous cell cancer · Laryngeal squamous cell cancer · Tumour suppressor gene · Promoter methylation

Introduction

Head and neck cancer is a heterogeneous group of malignant diseases. It is the sixth most common malignancy and accounting for more than 500,000 new cases annually and approximately 350,000 deaths per year [1–3]. Though it is widely accepted that mainly chemical carcinogens (especially smoking and alcohol consumption) are involved in the aetiology of head and neck squamous cell cancer (HNSCC) [3–6]; a portion (approximately 15–20 %) of HNSCC develops in non-smoker and non-drinker patients [7, 8]. This suggests the role of additional factors such as dietary habit, genetic predisposition as well as oncogenic viruses, e.g. human papillomaviruses (HPVs) or the Epstein-Barr virus (EBV) [9–13]. As the mentioned viruses interact with the tumour suppressor pathways involving the retinoblastoma protein (pRB) and the p53 tumour suppressor proteins, the concerted action of these viruses with genetic/epigenetic variations or alterations in the genes of these pathways offers a likely explanation for carcinogenesis.

Such tumour suppressor genes in these pathways are the p16INK4A and the p14ARF, encoded by the INK4A/ARF locus containing four exons (1 α , 1 β , 2 and 3) localized on chromosome 9p21, which is one of the major sites of chromosomal abnormalities in human tumours. The p16INK4A is encoded by exons 1 α , 2 and 3, while p14ARF is encoded by exons 1 β , 2 (and possibly also by exon 3); the two proteins use the second exon with alternative reading frames, thus

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68 sharing a common second [14] and possibly also a third exon
69 [15, 16]. The p16INK4A is a cyclin-dependent kinase inhibi-
70 tor and can inhibit cyclin D-Cdk4/6 thus preventing
71 hyperphosphorylation of pRB. The p14 interacts physically
72 with MDM-2 and stabilizes the p53 tumour suppressor protein
73 in the nucleus by blocking its cytoplasmic transport and
74 MDM-2-mediated degradation [14, 15]. In this manner, both
75 p16INK4A and p14ARF plays a role in inhibition of G1 to S
76 transition in the cell cycle.

77 Genetic and epigenetic alterations of tumour suppressor
78 genes, including p16INK4A and p14ARF, were found to
79 contribute to tumourgenesis in various types of cancer
80 [17–20]. Polymorphisms G28575A (Ala140Thr) in the p16
81 gene is generally regarded as neutral [21]; G31292C in the
82 non-coding region (C540G at mRNA level) and C580T
83 shown to be protective in cervical and ovarian cancer, respec-
84 tively [22, 23]. However, C580T or both was shown to be
85 associated with faster progression in pancreatic cancer [24,
86 25] or melanoma [35], respectively. The polymorphisms
87 C540G and C580T were shown to be neutral for squamous
88 head and neck cancers [27].

89 The aim of this study was to determine the frequency of the
90 genetic alterations and promoter inactivation through methyl-
91 ation of p16INK4A and p14ARF tumour suppressor genes in
92 patients with HNSSC of known virological (HPV and EBV)
93 status in an Eastern Hungarian population [28, 29].

94 Materials and Methods

95 Patients, Specimens and DNA Extraction

96 Patients and controls were recruited between 2001 and 2007
97 from Department of ~~Maxillofacial and Oral~~ Surgery (oral
98 squamous cell cancer patients) and Department of
99 Periodontology (healthy controls), Faculty of Dentistry, as
100 well as from the Clinic of Otorhinolaryngology and Head
101 and Neck Surgery (laryngeal squamous cell cancer patients)
102 at the University of Debrecen, Hungary. All participants
103 signed an informed consent; the study was conducted under
104 the supervision of the local Ethics Committee (No. of Ethics
105 Committee approval 2273–2004).

106 Thirty-seven patients with oral squamous cell carcinoma
107 (OSCC) (28 ~~male~~, 9 ~~female~~; mean age 54.5; age-range 39–80)
108 and 28 patients with laryngeal squamous cell carcinoma
109 (LSCC) (27 ~~male~~, 1 ~~female~~; mean age 56.8; age-range 43–
110 71) were enrolled. Individuals of both groups were newly
111 diagnosed and none of the patients received neoadjuvant
112 chemo- or radiotherapy before the surgical intervention and
113 specimen collection. All individuals fulfilling the inclusion
114 criteria and agreeing to participate were enrolled. Fresh tissue
115 samples were obtained from the central part of the tumours
116 during operation.

117 As an age-matched control population, 68 healthy individ-
118 uals (16 ~~male~~, 52 ~~female~~; mean age 52.4; age-range 22–77)
119 without history of oral cancer and with healthy mucosa at-
120 tending the Faculty of Dentistry for regular oral screening
121 were sampled. Exfoliated buccal epithelial cells were collect-
122 ed from the controls using cytobrush after a thorough rinse of
123 the mouth with physiological saline. Importantly, control in-
124 dividuals lived in the same geographical area (Eastern
125 Hungary) where the patients came from.

126 Occurrence of human papillomaviruses and Epstein-Barr
127 virus in these samples has been reported elsewhere [28, 29].

128 All samples were frozen immediately after collection
129 at -70°C and stored at this temperature until use. From
130 tumour tissue (OSCC and LSCC) samples, the DNA was
131 isolated using TRI Reagent (Sigma, St Louis, MO, USA)
132 according to manufacturers' recommendations. Exfoliated
133 cells were treated with proteinase K-SDS, proteins were
134 removed by 5 M NaCl treatment, and finally, DNA was
135 precipitated with 96 % ethanol.

136 Polymerase Chain Reaction and Single Strand Conformation 137 Polymorphism Analysis (PCR-SSCP)

138 Quality of the DNA was confirmed by PCR-amplification of
139 the β -globin gene. Exon deletions in the p16INK4A/p14ARF
140 locus were analysed by means of PCR assays described earlier
141 using primers complementary to intron sequences close to the
142 exon boundaries [30–32]. Briefly, the 25 μl PCR mixture was
143 composed 1 \times PCR buffer containing 250–250 μM of each
144 dNTP, 25 pmol of each primer, 0.5 U of GoTaq DNA poly-
145 merase (Promega, Madison, WI, USA) and 2 μl (100–300 ng)
146 template DNA. PCR conditions were 94°C for 3 min, followed
147 35 cycles of 94°C denaturing for 1 min, annealing at temper-
148 atures 56 – 63°C depending on the primers used (see Table 1)
149 for 1 min, 72°C elongation for 1 min with a final extension of
150 7 min at 72°C . Sensitivity of the four exon-specific PCR assays
151 was determined on serial dilutions of DNA extracted from
152 primary keratinocyte cell culture and human fibroblast cells to
153 exclude bias caused by differences in PCR sensitivity. All
154 assays were run in ~~duplicates~~. Samples repeatedly not yielding
155 PCR product was considered as with a deleted respective exon.

156 Single nucleotide polymorphisms/point mutations were
157 sought for by means of SSCP analyses of the amplified exons
158 digested with different restriction enzymes. p16INK4A exon
159 1 and 2 PCR products were digested with SmaI (Fermentas,
160 Vilnius, Lithuania) while in case of p14ARF exon 1 DdeI
161 (Promega, Madison, WI, USA) was applied. Human fibro-
162 blast cells were used as a wild-type reference. PCR products
163 were diluted in a buffer containing 95 % formamide, 0.05 %
164 bromophenol blue and 0.05 % xylene cyanol, heat denatured
165 at 95°C for 5 min and then loaded onto a denaturing 18 %
166 polyacrylamide gel. Electrophoresis was performed at 300 V
167 for 4–6 h at 4°C . After electrophoresis, the gel was stained by

| Primer ID | Primers | Product size (bp) | Annealing temperature (°C) |
|--|--|-------------------|----------------------------|
| Table 1 Primers used for amplification and sequencing of tumour suppressor gene exons | | | |
| PCR-SSCP | | | |
| p14 exon 1β | F: CTGCTCACCTCTGGTGCCAA R: TCTCCTCCTCCTCCTAGCCT | 367 | 62 |
| p16 exon 1α | F: GGAGGAAGAAAGAGGAGGG R: ACTTCGTCCTCCAGAGTCG | 316 | 63 |
| p16 exon 2 | F: GCTCTGACCATTCTGTTCTC R: CTCAGATCATCAGTCCTCAC | 355 | 56 |
| p16 exon 3 | F: GTAGGGACGGCAAGAGA R: ACCTTCGGTGACTGATG | 159 | 60 |
| Methylation-specific PCR | | | |
| p14 U | F: TTTTGGTGTTAAAGGGTGGTGTAGT R: CACAAAAACCCTCACTACAACAA | 132 | 61 |
| p14 M | F: GTGTAAAGGGCGCGTAGC R: AAAACCCTCACTCGCGACGA | 122 | 61 |
| p16 U | F: TTATTAGAGGGTGGGGTGGATTGT R: CAACCCCAAACCACAACCATAA | 151 | 63 |
| p16 M | F: TTATTAGAGGGTGGGGCGGATCGC R: GACCCCGAACCGCGACCGTAA | 150 | 63 |

t1.11 SSCP: single strand conformation polymorphism; bp base pairs; F: forward primer; R: reverse primer; U: unmethylated; M: methylated

168 silver precipitation. Fragments with electrophoretic mobility
169 different from the wild type were analysed by direct sequenc-
170 ing to confirm and characterize the nature of the alteration.

171 Exons of tumour suppressor genes were amplified using the
172 abovementioned primers and conditions. PCR products were
173 purified by EZ-10 Spin Column DNA Gel Extraction Kit (Bio
174 Basic Inc., East Markham Ontario, Canada) and sequenced by
175 the Sanger chain termination method using the BigDye
176 Terminator Kit (Life Technologies) in an ABI 3100-Avant
177 Genetic Analyser. Resulting sequences were compared to the
178 GenBank reference sequence (Accession Number NG007485).
179 When sequencing suggested heterozygosity, this was con-
180 firmed by cloning and sequencing of ten clones. TA cloning
181 of the PCR fragments was performed with pGEM-T Easy
182 vector (Promega, Madison, USA). Transformed cells were
183 recovered on duplicate LB agar plates supplemented with am-
184 picillin (100 µg/mL). Ten colonies were tested further; plasmids
185 were isolated by PureYield Plasmid Miniprep System
186 (Promega, Madison, USA) kit according to the protocol pro-
187 vided. Sequencing of the inserted PCR fragments was carried
188 out as described above.

189 Methylation Analysis of the p16INK4A and p14 ARF
190 Promoters

191 Promoter hypermethylation of the p16INK4A and p14ARF
192 genes was determined by methylation-specific PCR as de-
193 scribed Herman et al. [33]. First, genomic DNA was modified
194 with sodium bisulphite. Briefly, 1 µg DNA was treated with
195 NaOH (final concentration 0.3 M) for 20 min at 42 °C. Freshly
196 prepared 3.8 M sodium bisulphite and 1 nM hydroquinone

solution (pH 5.0) were added and incubated at 55 °C for 16 h. Modified DNA was purified on Wizard DNA Clean-Up sys-
tem (Promega, Madison, WI, USA) according to the protocol provided by the manufacturer, ethanol precipitated and resus-
pended in water. The methylation-specific PCR was performed using primers (Table 1.) and conditions as described earlier with minor modifications [33]. Briefly, the 25 µl PCR mixture contained 1× AmpliTaq Gold PCR buffer, 250 µM of each dNTP, 25 pmol of each primer, 0.5 U AmpliTaq Gold DNA polymerase (Applied Biosystems, Foster City, CA, USA) and 2 µl template DNA. PCR conditions were as follows: 95 °C for 5 min, the 35 cycles of 95 °C for 30 s, 62 °C for 30 s, 72 °C for 30 s and finally 72 °C for 4 min. Methylation status was determined based on the PCR patterns seen. BL41 (methylated for p16INK4A; CRL-2323) and Ramos (methylated for p14ARF; CRL-1596) as well as Namalwa cell lines (CRL-1432) were used as methylated and unmethylated controls, respectively.

Statistical Analysis

Frequency of genetic differences or epigenetic alterations was compared between study populations using chi-square test or Fisher exact test, survival was analysed with Kaplan-Meier test by means of SPSS for Windows 15.0.

Results

Sensitivities of the exon-specific PCR assays were uniformly as low as 1 ng total DNA. Among the controls four individuals

223 were found lacking one or more exon-specific amplimers; one
 224 showed p16 exon-1 α deletion, another exhibited lack of p16
 225 exon2 amplimer, two individuals has deletion in two exons,
 226 one in p16 exon-1 α and 2, another in p14 exon1 β and p16
 227 exon3. Out of the 37 patients with OSCC, only one patient
 228 showed lack of p16 exon1 α , all other exons were detected in
 229 all other patients. In patients with LSCC, deletion of at least
 230 one of the three exons (exon1 α , 2 and 3) of p16INK4A was
 231 observed in 21 cases (75.0 %), while 10 cases (35.7 %) showed
 232 p14 exon1 β deletion; ten of 28 LSCC samples showed dele-
 233 tion in p14ARF exon1 β ; 19 in exon1 α ; nine in exon2 and only
 234 two samples in exon3 of p16INK4A. Regarding inactivation
 235 by exon deletion, p14 is inactivated in three controls, none of
 236 the OSCC, and 14 of the LSCC patients; p16 is inactivated in
 237 four controls, one OSCC and 21 LSCC patients; both are lost
 238 in three controls, none of the OSCC and thirteen of the LSCC
 239 patients. This corresponds to a significantly different distribu-
 240 tion of deletions in LSCC as compared to the controls or to
 241 OSCC patients ($p < 0.001$ in both comparisons).

242 The SSCP alterations confirmed the presence of two mu-
 243 tations, a homozygous T24610A nucleotide change in the
 244 non-coding region of p16 exon1 α and a heterozygous
 245 C24702A change in the coding region of p16 exon1 α , leading
 246 to an Ala13Asp acid change. Three polymorphisms were
 247 identified. A G28575A polymorphism in exon2 correspond-
 248 ing to alanine and threonine variants at codon 140, all present
 249 heterozygously. A G31292C polymorphism was found in the
 250 non-coding region of exon3 found in homozygous and het-
 251 erozygous forms in six and seven patients, respectively; this
 252 correspond to the C540G polymorphism at the mRNA level.
 253 The third polymorphism G28608A was detected in the non-
 254 coding region of exon2, always heterozygously. The occur-
 255 rence of mutations/polymorphisms in the patients and controls
 256 is shown in Table 2.

257 Examining the promoter methylation patterns, bisulphite
 258 modification was successful in case of the p14 promoter for all
 259 68 controls, for 30 of 37 OSCC and for all 28 LSCC samples;
 260 in case of the p16 promoter success rates were 68 of 68, 29 of
 261 37, and 21 of 28 for control, OSCC and LSCC samples,
 262 respectively.

263 Neither p14 nor p16 promoter was found to be completely
 264 methylated in samples obtained from healthy individuals; the
 265 p14 and the p16 promoters were unmethylated in 97.1 % (66/
 266 68) and 95.6 % (65/68) of the controls, respectively. Two and
 267 three individuals showed partial methylation of p14 and p16
 268 promoters, respectively.

269 In OSCC tumour samples, p14 promoter was unmethylated
 270 in 86.7 % (26/30) of the patients; complete and partial meth-
 271 ylation was found in one and three patients, respectively. The
 272 p16 promoter was unmethylated in 69.0 % (20/29) of patients,
 273 which correspond to complete and partial methylation in three
 274 and six patients. Thus, unmethylated promoters were signifi-
 275 cantly less frequent in case of p16 promoter ($p = 0.001$) as

Table 2 Distribution of mutations and polymorphisms in p16INK4A
 exons of patients. Patients not shown did not carry mutations and poly-
 morphisms; mutations or polymorphisms in the p14ARF were not found.
 In case of heterozygous alterations the nucleotides of both strands are
 shown separated by a slash

| Exon | p16 exon1 α | | p16 exon2 | | p16 exon3 | | |
|---------------------------------------|--------------------|-------|-----------|-----------|-----------|-------|-------|
| | 24610 | 24702 | 28575 | 28608 | 31292 | | |
| Reference (Accession number NG007485) | T | C | G | G | G | t2.2 | |
| Control | K36 | | G/A | | | t2.3 | |
| OSCC patients | M03 | A | | | | t2.4 | |
| | M54 | | C/A | | | t2.5 | |
| | M20 | | | G/A | | t2.6 | |
| | M23 | | | G/A | G/A | t2.7 | |
| | M33 | | | | G/A | t2.8 | |
| | M68 | | | | G/A | t2.9 | |
| | M37 | | | | | C | t2.10 |
| | M65 | | | | | C | t2.11 |
| | M76 | | | | | G/C | t2.12 |
| | M29 | | | | | G/C | t2.13 |
| LSCC patients | M30 | | | | | C | t2.14 |
| | M71 | | | | | G/C | t2.15 |
| | T67 | | | G/A | | | t2.16 |
| | T38 | | | | G/A | G/C | t2.17 |
| | T12 | | | | | G/C | t2.18 |
| | T16 | | | | | C | t2.19 |
| | T17 | | | | | C | t2.20 |
| | T35 | | | | | G/C | t2.21 |
| | T47 | | | | | G/C | t2.22 |
| | T54 | | | | | C | t2.23 |
| Amino acid change in coding regions | | | Ala13Asp | Ala140Thr | | t2.24 | |

OSCC: oral squamous cell cancer; LSCC: laryngeal squamous cell cancer

276 compared to the control group. In case of the p14ARF
 277 unmethylated promoters were also less frequent, but this was
 278 not significant statistically ($p = 0.069$).

279 In case of LSCC, the p14 promoter was unmethylated in
 280 85.7 % (24/28) of patients; one and three patients had
 281 completely methylated promoters and partial methylation,
 282 respectively. The p16 promoter was unmethylated in 76.2 %
 283 (16/21) of the patients, five patients showed partial methyla-
 284 tion of the promoter; complete promoter methylation was not
 285 found. Similarly to OSCC, these data differ significantly from
 286 the healthy controls regarding the methylation status of the
 287 p16 ($p = 0.016$) but not of the p14 ($p = 0.058$) promoter.
 288 Between the methylation status of the two patient groups there
 289 was no statistically significant difference in either comparison.

290 Combining the two patient groups to a group of head and
 291 neck cancer patients, unmethylated promoter was significantly

292 less frequent in case of both p14 and p16 ($p=0.043$ and
293 $p=0.001$, respectively) compared to the control group.

294 Table 3. summarizes the number of individuals in each
295 group showing mutational inactivation of the tumour suppres-
296 sor genes or promoter hypermethylation. Association of ge-
297 netic events or promoter hypermethylation with presence or
298 absence of human papillomaviruses or Epstein-Barr virus was
299 not found.

300 Mean tumour-free survival time was 870 (93–1,807) days
301 and 951 (167–2,988) days for OSCC and LSCC patients,
302 respectively. Exon deletions in case of LSCC and p16 pro-
303 moter methylation in case of OSCC led to poorer tumour free
304 survival, but neither was statistically significant ($p=0.054$ and
305 0.108 , respectively).

306 **Discussion**

307 Major inactivating mechanism of p14ARF and p16INK4A
308 gene is deletion, mutation and/or promoter methylation.
309 Promoter methylation of p16INK4A was shown to be a rela-
310 tively early event in the development of OSCC [34]. A num-
311 ber of authors reported data on the prevalence of genetic as
312 well as epigenetic alterations (mostly on promoter methyl-
313 ation) affecting these genes in head and neck cancer, but the
314 occurrence of these alterations varies widely among the stud-
315 ies; e.g. promoter methylation rates vary from 5 to 68 % and
316 14–34 % in case of p16INK4A and p14ARF, respectively, as
317 reviewed by Demokan et al. [3]. As the majority of these
318 studies concentrated on prevalence and used few or no healthy
319 controls or other means to allow for statistical evaluation, the
320 importance of genetic or functional inactivation of p16INK4A
321 and/or p14ARF remains controversial in head and neck
322 cancers.

323 According to the hereby presented data, major deletions
324 may be important inactivation mechanisms for both genes in
325 LSCC but not in OSCC; deletions in p16 may even affect
326 survival. This is in agreement with a number of earlier studies

on OSCC or head and neck cancer [18, 35, 36]. In contrast,
some studies reported relatively high deletion rates in OSCC
[20, 36, 37]. The difference between the present results and
the cited Japanese and Indian data may represent geographical
differences, while the contrast with data derived from studies
of mainly Caucasian patients may be due to differences in
exposure to chemical carcinogens (smoking or dietary habits),
which were unfortunately unrecorded in the cited studies.
Published studies reporting deletion rates specifically in
LSCC were not found.

Curiously, results suggesting major deletions were also
found in a small number of healthy individuals. These may
be regarded as individuals with higher risk of tumours, or as
results due to less important genetic events, e.g. polymor-
phism, mutation or deletion in primer binding sites. This also
points to a potential limitation of studies using such an ap-
proach (including the present one), i.e. a repeatedly negative
PCR assay may not only be due to lack of amplifiable se-
quences. This study tried to minimize such a possibility by
running the assays in triplicates and by assessing PCR sensi-
tivity to exclude negative results due to low sensitivity caused
e.g. by mutations affecting primer binding sites. Another
limitation of the approach is that only homozygous deletions
can be detected.

The role of p14ARF and p16INK4A mutations in
tumourgenesis seems to be small, as only two mutations were
found. Most alterations found correspond to well-known poly-
morphisms of the exons involved. Though such polymor-
phisms were shown to play a role in some cancers [23, 26],
in the study population they do not seem to be important, as all
alterations found in the coding region were heterozygous and
mutations consistently associated with tumour tissue were not
found. Previous studies report similarly low mutation carriage
rates in the two genes in head and neck cancer patients [36, 38,
39]. Occurrence of mutations was shown to be slightly higher
in recurrent tumours [40]. Moreover, two of the three poly-
morphisms detected were previously shown to be neutral in
head and neck cancer [27].

t3.1 **Table 3** Distribution of genetic and epigenetic alterations in the different study groups

| | | p14ARF | | | p16INK4A | | | |
|------|-----------------------------|--------------|----------------|----------------|---------------|----------------|----------------|----------------|
| | | Control | OSCC | LSCC | Control | OSCC | LSCC | |
| t3.4 | Exon deletions | 4.4 % (3/68) | ND | 50.0 % (14/28) | 5.9 % (4/68) | 2.7 % (1/37) | 75.0 % (21/28) | |
| t3.5 | Mutations | ND | ND | ND | ND | 5.4 % (2/37) | ND | |
| t3.6 | Polymorphisms | ND | ND | ND | 1.5 % (1/68) | 27.0 % (10/37) | 28.6 % (8/28) | |
| t3.7 | Promoter methylation status | m | ND | 3.3 % (1/30) | 3.6 % (1/28) | ND | 10.3 % (3/29) | ND |
| t3.8 | | m/u | 2.9 % (2/68) | 10.0 % (3/30) | 10.7 % (3/28) | 4.4 % (3/68) | 20.7 % (6/29) | 23.8 % (5/21) |
| t3.9 | | u | 97.1 % (66/68) | 86.7 % (4/30) | 85.7 % (4/28) | 95.6 % (3/68) | 69 % (20/29) | 76.2 % (16/21) |

OSCC: oral squamous cell cancer; LSCC: laryngeal squamous cell cancer; m: methylated promoter; m/u: partially methylated promoter; u: unmethylated promoter; ND: not detected

365 Methylation status of the promoters suggests an at least
 366 moderate importance of promoter methylation in functional
 367 inactivation of p16INK4a; less in case of p14ARF. Many of
 368 these samples exhibited partial methylation similarly to the
 369 findings of Shintani et al. and Kulkarni et al. [18, 41]. This
 370 may be caused not only by partial methylation of the promoter
 371 and consequent false priming, but also by heterogeneity of the
 372 sample tissue, e.g. the bulk or a part of the tumour
 373 hypermethylated, while the normal tissue present in the ex-
 374 cised section (or part of the tumour) with very low or no
 375 methylation level [18, 21].

376 Methylation of the p16INK4A and the p14ARF promoters
 377 is a generally recognized epigenetic event in the literature in
 378 many cancer types including squamous cell cancer of the head
 379 and neck [39, 40] or the oesophagus [42], as well as in lung
 380 cancer [17]. Association of promoter methylation with dietary
 381 habits characteristic to certain geographical regions was dem-
 382 onstrated in case of oesophageal squamous cell cancer [42].
 383 High frequency of hypermethylation of p16 promoter was
 384 even shown in oral epithelial dysplasia [43]. In the present
 385 study, p16 promoter methylation was significantly more fre-
 386 quent both in OSCC and LSCC than in healthy individuals; in
 387 OSCC it may also affect survival unfavourably. Methylation
 388 of the p14 promoter was also more frequent, but statistical
 389 significance was seen only in case of OSCC.

390 It was shown that promoter methylation at critical CpG
 391 islands is the main epigenetic silencing mechanism;
 392 hypermethylated promoters are always inactive [44].
 393 Acetylation and methylation of histone proteins modify gene
 394 expression only in case of promoters where most or all CpG
 395 islands are unmethylated [44]; micro RNAs play an exclu-
 396 sively inhibitory role by promoting degradation of mRNA
 397 [45]. Consequently, the gene expression levels suffer some
 398 decrease even in case of partially methylated promoters;
 399 therefore our data represent a conservative estimate of the
 400 importance of epigenetic inactivation.

401 These data suggest that the importance of different genetic
 402 events as well as of promoter methylation affecting the
 403 p16INK4A and p14ARF tumour suppressor genes differs in
 404 different types of head and neck cancer. Exon mutations seem
 405 to be infrequent and consequently unimportant events both in
 406 LSCC and OSCC. In OSCC, promoter methylation seems to
 407 be the most frequent event, especially in case of the p16
 408 promoter. In LSCC, both promoter methylation (mainly af-
 409 fecting the p16 promoter) and exon deletions seem to play a
 410 role in gene inactivation. The effect of these events on survival
 411 needs to be confirmed in larger cohorts. The findings are
 412 strengthened by the low rate of these events in the healthy
 413 control population.

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