# Near－Optimal Distance Emulator for Planar Graphs 

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#### Abstract

Given a graph $G$ and a set of terminals $T$ ，a distance emulator of $G$ is another graph $H$（not necessarily a subgraph of $G$ ）containing $T$ ，such that all the pairwise distances in $G$ between vertices of $T$ are preserved in $H$ ．An important open question is to find the smallest possible distance emulator．

We prove that，given any subset of $k$ terminals in an $n$－vertex undirected unweighted planar graph，we can construct in $\tilde{O}(n)$ time a distance emulator of $\operatorname{size} \tilde{O}\left(\min \left(k^{2}, \sqrt{k \cdot n}\right)\right)$ ．This is optimal up to logarithmic factors．The existence of such distance emulator provides a straight－ forward framework to solve distance－related problems on planar graphs：Replace the input graph with the distance emulator，and apply whatever algorithm available to the resulting emulator．In particular，our result implies that，on any unweighted undirected planar graph，one can compute all－pairs shortest path distances among $k$ terminals in $\tilde{O}(n)$ time when $k=O\left(n^{1 / 3}\right)$ ．


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[^0]
## 1 Introduction

The planar graph metric is one of the most well-studied metrics in graph algorithms, optimizations, and computer science in general. The planar graph metric compression problem is to compactly represent the distances among a subset $T$ of $k$ vertices, called terminals, in an $n$-vertex planar graph $G$. Without compression (and when $G$ is unweighted) these distances can be naïvely represented with $O\left(\min \left(k^{2} \log n, n\right)\right)$ bits by either explicitly storing the $k \times k$ distances or alternatively by storing the entire graph (naïvely, this takes $O(n \log n)$ bits, but can be done with $O(n)$ bits $[60,55,24,13])$.

A natural way to compress $G$ is to replace it by another graph $H$ that contains $T$ as vertices, and the distances between vertices in $T$ are preserved in $H$. In other words, $d_{G}(x, y)=d_{H}(x, y)$ holds for every pair of vertices $x$ and $y$ in $T$. Such graph $H$ is called a distance emulator of $G$ with respect to $T$ (or a distance preserver in the case where $H$ is required to be a subgraph of $G$ ). Distance emulators are appealing algorithmically, since we can readily feed them into our usual graph algorithms. They have been studied as compact representations of graphs $[16,26,25,15,14,8]$, and used as fundamental building blocks in algorithms and data structures for distance-related problems [16, 28, 31, 1, 2, 3, 42]. Similar concepts like additive and multiplicative spanners $[28,40,12,57,63,11]$ and distance labelings $[39,62,53,45,34,9,35,10]$ are popular topics with abundant results.

In planar graphs, an extensive study has been done for the case where the emulator $H$ is required to be a minor of $G[40,21,30,11,32,23,38,37,51]$. Restricting $H$ to be a minor of $G$ has proven useful when $H$ is only required to approximate the distances between vertices in $T$, say, up to a $(1+\varepsilon)$ multiplicative error. For exact distances however, Krauthgamer, Nguyen, and Zondiner [50] have shown a lower bound of $\Omega\left(k^{2}\right)$ on the size of $H$, even when $G$ is an unweighted grid graph and $H$ is a (possibly weighted) minor emulator.

In general, an emulator $H$ does not have to be a subgraph or a minor of $G$. In fact, $H$ can be non-planar even when $G$ is planar. Even in this setting, for weighted planar graphs, we cannot beat the naïve bound because one can encode an arbitrary $k \times k$ binary matrix using subset distances among $2 k$ vertices in a weighted planar graph [34, 4]. Since we cannot compress an arbitrary binary $k \times k$ matrix into less than $k^{2}$ bits, we again have an $\Omega\left(k^{2}\right)$ lower bound.

What about unweighted planar graphs? Various distance-related problems in unweighted graphs enjoy algorithms and techniques $[28,49,12,57,63,64,61,22,65,29]$ which outperform their weighted counterparts. Indeed, for the metric compression problem in unweighted planar graphs, Abboud, Gawrychowski, Mozes, and Weimann [4] have very recently shown that we can in fact beat the $O\left(k^{2}\right)$ bound. They showed that the distances between any $k$ terminals can be encoded using $\tilde{O}\left(\min \left(k^{2}, \sqrt{k \cdot n}\right)\right)$ bits $^{4}$. The encoding of Abboud et al. is optimal up to logarithmic factors. However, it is not an emulator! Goranci, Henzinger, and Peng [37] raised the question of whether such encoding can be achieved by an emulator. We answer this question in the affirmative.

Our results. We show that the encoding of Abboud et al. can be turned into an emulator, with no asymptotic overhead. Namely, we prove that any unweighted planar graph has a near-optimal size distance emulator.

[^1]- Theorem 1. Let $G$ be an n-vertex undirected unweighted planar graph, and let $T$ be the set of $k$ terminals. A directed weighted graph $H$ of size $O\left(\min \left(k^{2}, \sqrt{k \cdot n} \log ^{3} n\right)\right)$ can be constructed in $O\left(n \log ^{4} n\right)$ time as a distance emulator of $G$ with respect to $T$; all edge weights of $H$ are non-negative integers bounded by $n$.

Our theorem provides a practical framework for solving distance-related problems on planar graphs: Replace the input graph $G$ (or proper subgraphs of the right choice) with the corresponding distance emulator $H$, and invoke whatever algorithm available on $H$ rather than on $G$. One concrete example of this is the computation of all-pairs shortest paths among a subset $T$ of $k$ vertices. The algorithm by Cabello [19] can compute these $\Theta\left(k^{2}\right)$ distances in $\tilde{O}\left(n^{4 / 3}+n^{2 / 3} k^{4 / 3}\right)$ time. Mozes and Sommer [54] improved the running time to $\tilde{O}\left(n^{2 / 3} k^{4 / 3}\right)$ when $k=\Omega\left(n^{1 / 4}\right)$. Our emulator immediately implies a further improvement of the running time to $\tilde{O}\left(n+n^{1 / 2} k^{3 / 2}\right)$ using an extremely simple algorithm: Replace $G$ by the emulator $H$ and run Dijkstra's single-source shortest-paths algorithm on $H$ from every vertex of $T$. This improves on previous results by a polynomial factor for essentially the entire range of $k$.

- Corollary 2. Let $G$ be an undirected unweighted planar graph, and let $T$ be a subset of $k$ terminals in $G$. One can compute the shortest path distances between all pairs of vertices in $T$ in $O\left(n \log ^{4} n+n^{1 / 2} k^{3 / 2} \log ^{3} n \log \log n\right)$ time.

Techniques. The main novel idea is a graphical encoding of unit-Monge matrices. Our emulator is obtained by plugging this graphical encoding into the encoding scheme of Abboud et al. [4]. Their encoding consists of two parts. First, they explicitly store a set of distances between some pairs of vertices of $G$ (not necessarily of $T$ ). It is trivial to turn this part into an emulator by adding a single weighted edge between every such pair. Second, they implicitly store all-pairs distances in a set containing pairs of the form $(X, Y)$ where $X$ is a prefix of a cyclic walk around a single face and $Y$ is a subset of vertices of a cyclic walk around a (possibly different) single face. For each such pair $(X, Y)$, the $X$-to- $Y$ distances are efficiently encoded using only $O((|X|+|Y|) \cdot \log n)$ bits (rather than the naïve $O(|X| \cdot|Y| \cdot \log n)$ bits). This encoding follows from the fact that the $X \times Y$ distance matrix $M$ is a triangular unit-Monge matrix.

A matrix is Monge if for any $i, j$ we have that

$$
M[i+1, j]-M[i, j] \leq M[i+1, j+1]-M[i, j+1]
$$

A Monge matrix is unit-Monge if for any $i, j$ we also have that

$$
M[i+1, j]-M[i, j] \in\{-1,0,1\}
$$

A (unit-)Monge matrix is triangular if the above conditions are only required to hold for $i \neq j$. In other words, when all four entries $M[i, j], M[i+1, j], M[i, j+1], M[i+1, j+1]$ belong to the upper triangle of $M$ or to the lower triangle of $M$.

The Monge property has been heavily utilized in numerous algorithms for distance related problems in planar graphs [33, 20, 54, 43, 48, 17, 18, 52] in computational geometry $[46,36,6,7,5,47]$, and in pattern matching [56, 27, 41, 59]. However, prior to the work of Abboud et al. [4], the stronger unit-Monge property has only been exploited in pattern matching [59, 58].

The encoding of the $X \times Y$ unit-Monge matrix $M$ is immediate: For any $i$, the sequence of differences $M[i+1, j]-M[i, j]$ is nondecreasing and contains only values from $\{-1,0,1\}$, so they can be encoded by storing the positions of the first 0 and the first 1 . Storing these

ESA 2018
positions for every $i$ takes $O(|X| \cdot \log n)$ bits. To encode $M$, we additionally store $M[0, j]$ for every $j$ using $O(|Y| \cdot \log n)$ bits. This encoding, however, is clearly not an emulator. Our main technical contribution is in showing that it can be turned into an emulator with no asymptotic overhead. Namely, in Section 2 we prove the following lemma.

- Lemma 3. Given an $n \times n$ unit-Monge or triangular unit-Monge matrix $M$, one can construct in $O(n \log n)$ time a directed edge-weighted graph (emulator) H with $O(n \log n)$ vertices and edges such that the distance in $H$ between vertex $i$ and vertex $j$ is exactly $M[i, j]$.

In Section 3 we describe how to plug the emulator of Lemma 3 into the encoding scheme of Abboud et al.[4]. In their paper, the size of the encoding was the only concern and the construction time was not analyzed. In our case, however, the construction time is crucial for the application in Corollary 2. We achieve an $O\left(n \log ^{4} n\right)$ time construction by making a small modification to their encoding, based on the technique of heavy path decomposition.

Another difference is that in their construction once the encoding is computed, singlesource shortest-paths can be computed on the encoding itself using an algorithm by Fakcharoenphol and Rao [33]. More precisely (see [4, Section 5]), using a compressed representation of unit-Monge matrices, the total size of their encoding is $s=O\left(\sqrt{k \cdot n} \log ^{2} n\right)$ words, running the Fakcharoenphol and Rao algorithm requires accessing $O\left(s \log ^{2} s\right)$ elements of the unitMonge matrices, and accessing each such element from the compressed representation requires $O(\log n / \log \log n)$ time (via a range dominance query, see Section 2$)^{5}$. Overall, using our $O\left(n \log ^{4} n\right)$ construction together with the above single-source shortest-paths computations from each terminal would result in a time bound of $O\left(n \log ^{4} n+n^{1 / 2} k^{3 / 2} \log ^{5} n / \log \log n\right)$ in Corollary 2. Our approach on the other hand, runs Dijkstra's classical algorithm on the emulator $H$, leading to a faster (by a $(\log n / \log \log n)^{2}$ factor) time bound for Corollary 2 . More precisely, our emulator $H$ is of size $O\left(\sqrt{k \cdot n} \log ^{3} n\right)$, the edge-weights in $H$ are encoded explicitly (i.e. there is no need for a range dominance query), and the edge-weights are integers in $[1 . . n]$ so Dijkstra's algorithm can use an $O(\log \log n)$ time heap (using such fast heap is not possible for Fakcharoenphol and Rao's algorithm). Running Dijkstra therefore takes $O\left(\sqrt{k \cdot n} \log ^{3} n \log \log n\right)$ time leading to the bound in Corollary 2.

## 2 Distance Emulators for Unit-Monge Matrices

### 2.1 The bipartite case

We next describe an $O(n \log n)$ space and time construction of a distance emulator for square $n \times n$ unit-Monge matrices. The construction can be trivially extended to rectangular $n \times m$ unit-Monge matrices (in $O(\max (n, m) \log (\max (n, m)))$ time and space) by duplicating the last row or column until the matrix becomes square.

We begin by establishing a relation between unit-Monge matrices and right substochastic binary matrices. A binary matrix $P$ is right substochastic if every row of $P$ contains at most one nonzero entry. The following lemma can be established similarly to Abboud et al. [4, Lemma 6.1]. ${ }^{6}$

[^2]

Figure 1 Unit-Monge distance matrix $M$, its corresponding row substochastic matrix $P$, and the emulator $H$ for the matrix $P$. In this example the two vectors given by Lemma 4 are $U=[-3-2-10]$ and $V=\left[\begin{array}{llllll}2 & 2 & 2 & 3 & 4 & 4\end{array}\right]$. The leftmost vertices in $H$ are row vertices $r[x]$, and the rightmost vertices in $H$ are column vertices $c[y]$. All gray directed edges without labels have weight 0 , and all black directed edges without labels have weight 1 . For instance, the distance from $r[2]$ to $c[1]$ is equal to the number of 1 s in $P$ dominated by the $(2,1)$-entry, which is 5 .

- Lemma 4 (Abboud et al. [4, Lemma 6.1]). For any $n \times m$ unit-Monge matrix $M$ there is a right substochastic $2(n-1) \times(m-1)$ binary matrix $P$ such that for all $x$ and $y$,

$$
M[x, y]=U[x]+V[y]+\sum_{\substack{i \geq 2 x-1 \\ j \geq y}} P[i, j]
$$

where $U$ is a vector of length $n$ and $V$ is a vector of length $m$.
Our goal is to construct a small emulator (in terms of number of vertices and edges) for M. By Lemma 4, it suffices to construct a graph $H$ satisfying

$$
d_{H}[r[x], c[y]]=\sum_{\substack{i \geq x \\ j \geq y}} P[i, j]
$$

where $x$ and $y$ range over the rows and columns of $P, r[x]$ is a vertex corresponding to row $x$ of $P, c[y]$ is a vertex corresponding to column $y$ of $P$, and the $r[x]$-to- $c[y]$ distance in $H$ equals the number of 1 s in $P$ dominated by entry $P[x, y]$ (a 2-dimensional range dominance counting). We assume that $P$ is given as input, which is represented as a vector specifying, for each row $i$ of $P$, the index of the (at most) single one entry in that row. We refer to such a graph $H$ as an emulator for the right substochastic matrix $P$. To convert an emulator for $P$ into an emulator for $M$, add a new vertex $r_{0}[x]$ for each $1 \leq x<n$, and connect it with an edge of weight $U[x]$ to $r[2 x-1]$. Similarly, for each $1 \leq y<m$, connect $c[y]$ to a new vertex $c_{0}[y]$ with an edge of weight $V[y]$. In addition, add a new vertex $c_{0}[m]$ and connect each $r_{0}[x]$ to $c_{0}[m]$ by an edge of weight $U[x]+V[m]$; and add a new vertex $r_{0}[n]$ and connect $r_{0}[n]$ to each $c_{0}[y]$ by an edge of weight $U[n]+V[y]$. By Lemma 4 , the $r_{0}[x]$-to- $c_{0}[y]$ distance equals $M[x, y]$. We therefore focus for the remainder of this section on constructing an emulator for the right substochastic matrix $P$.

Recursive construction. We now describe how to construct an emulator for an $n \times \alpha n$ right substochastic matrix $P$ recursively for arbitrary constant $\alpha \geq 0$.

When $P$ has only a single row, we create a row vertex $r$ for the single row and a column vertex $c[y]$ for each column $y$. Connect $r$ to $c[y]$ with a directed edge of weight $\sum_{j \geq y} P[1, j]$.

ESA 2018


Figure 2 The top-level construction of the emulator. As an example, the edge from $c^{\prime}[2]$ to $c[2]$ exists because $y_{\rightarrow}^{\prime}=2$ when $y=2$, and the weight on the edge is equal to $\sum_{i>3, j \geq 2} P[i, j]=3$.

Otherwise, assume $P$ has more than one single row. Divide $P$ into the top $\lfloor n / 2\rfloor \times \alpha n$ submatrix $\boldsymbol{P}_{\uparrow}$ and the bottom $\lceil n / 2\rceil \times \alpha n$ submatrix $\boldsymbol{P}_{\downarrow}$. Since $P_{\uparrow}$ is right substochastic, $P_{\uparrow}$ has at most $\lfloor n / 2\rfloor$ columns that are not entirely zero; similarly $P_{\downarrow}$ has at most $\lceil n / 2\rceil$ non-zero columns. Let $\boldsymbol{P}^{\prime}$ and $\boldsymbol{P}^{\prime \prime}$ be the submatrices of $P_{\uparrow}$ and $P_{\downarrow}$ respectively, induced by their non-zero columns as well as their last column (if it's a zero vector); denote the number of columns of $P^{\prime}$ and $P^{\prime \prime}$ as $n^{\prime}$ and $n^{\prime \prime}$, respectively. Observe that both $P^{\prime}$ and $P^{\prime \prime}$ are still right substochastic matrices. Let $y_{1}^{\prime}, \ldots, y_{n^{\prime}}^{\prime}$ be the indices in $P_{\uparrow}$ (and thus in $P$ ) corresponding to the columns of $P^{\prime}$, and let $y_{1}^{\prime \prime}, \ldots, y_{n^{\prime \prime}}^{\prime \prime}$ be the indices in $P_{\downarrow}$ corresponding to the columns of $P^{\prime \prime}$. Observe that for any row $x$, the sum

$$
\sum_{\substack{i \geq x \\ j \geq y}} P_{\uparrow}[i, j]
$$

is the same for all $y \in\left(y_{\ell-1}^{\prime} . . y_{\ell}^{\prime}\right]$ for any fixed $\ell .{ }^{7}$ This is because all the columns of $P_{\uparrow}$ in the range $\left(y_{\ell-1}^{\prime} . . y_{\ell}^{\prime}-1\right.$ ] are all zero. A similar observation holds for the matrix $P_{\downarrow}$. For each $y$, we denote by $\boldsymbol{y}_{\rightarrow}^{\prime}$ the (unique) smallest index $y_{\ell}^{\prime} \in\left\{y_{1}^{\prime}, \ldots, y_{n^{\prime}}^{\prime}\right\}$ no smaller than $y$, and denote by $\boldsymbol{y}_{\rightarrow}^{\prime \prime}$ the smallest index $y_{\ell}^{\prime \prime} \in\left\{y_{1}^{\prime \prime}, \ldots, y_{n^{\prime \prime}}^{\prime \prime}\right\}$ no smaller than $y$.

We recursively compute emulators $H^{\prime}$ and $H^{\prime \prime}$ for $P^{\prime}$ and $P^{\prime \prime}$ respectively. Denote the row and column vertices of $H^{\prime}$ as $r^{\prime}[x]$ and $c^{\prime}[y]$ where $x$ and $y$ range over the rows and columns of $P^{\prime}$, respectively; similarly denote the row and column vertices of $H^{\prime \prime}$ as $r^{\prime \prime}[x]$ and $c^{\prime \prime}[y]$ respectively. Take the row vertices of $H^{\prime}$ and $H^{\prime \prime}$ as the row vertices of $H$, respecting the indices of $P$. For each column $y$ of $P$ we add a column vertex $c[y]$ to $H$; connect vertex $c^{\prime \prime}\left[y_{\rightarrow}^{\prime \prime}\right]$ of $H^{\prime \prime}$ to $c[y]$ with an edge of weight zero; and connect vertex $c^{\prime}\left[y_{\rightarrow}^{\prime}\right]$ of $H^{\prime}$ to $c[y]$ with an edge of weight

$$
\sum_{\substack{i>\lfloor n / 2\rfloor \\ j \geq y}} P[i, j] .
$$

Thus, since for each pair of indices $(x, y)$ of $P$ one has

$$
d_{H^{\prime}}\left[r[x], c^{\prime}[y]\right]=\sum_{\substack{x \leq i \leq\lfloor n / 2\rfloor \\ j \geq y}} P_{\uparrow}[i, j] \quad \text { and } \quad d_{H^{\prime \prime}}\left[r[x], c^{\prime \prime}[y]\right]=\sum_{\substack{i \geq x \\ j \geq y}} P_{\downarrow}[i, j],
$$

[^3]we have that
$$
d_{H}[r[x], c[y]]=\sum_{\substack{i \geq x \\ j \geq y}} P[i, j]
$$
as desired. This completes the description of the construction of the emulator for square right substochastic matrices. Observe that the obtained emulator is a directed acyclic graph (dag) with a single path from each row vertex to each column vertex.

Size analysis. We next analyze the size of the emulator. Row vertices are created only at the base of the recursion and there are $n$ of them in total. The number of edges and non-row vertices $N(n)$ satisfies the recurrence $N(n) \leq 2 N(n / 2)+O(\alpha n)$, so there are overall $O(n \log n)$ vertices and edges in the emulator when the number of columns is linear to the number of rows $n$.

Time analysis. As for the construction time, the only operation in the recursive procedure that does not clearly require constant time is the computation of the edge weights. However, it is not hard to see that computing all the edge weights of the form

$$
w_{y}:=\sum_{\substack{i>\lfloor n / 2\rfloor \\ j \geq y}} P[i, j]
$$

in a single recursive call can be done in linear time. This is because $w_{y}$ is precisely the weight of the unique path in the emulator $H^{\prime \prime}$ from the first row vertex to the $y^{\prime}$ th column vertex. We can therefore simply maintain (with no asymptotic overhead), for every recursively built emulator, the distances from its first row vertex to all its column vertices.

Making weights non-negative. The weight of every edge in our emulator for right substochastic matrix $P$ is non-negative, but the vectors $U$ and $V$ might contain negative entries; as a result the obtained emulator for $M$ might contain negative edges even though every entry in $M$ is non-negative. This can be fixed by a standard reweighting strategy using a price function. For the sake of computing the price function $\phi(\cdot)$, add a super-source $s$ and connect $s$ to each row vertex $r[x]$ of the emulator of $M$ by an edge of weight zero. Compute the single-source shortest path tree rooted at $s$; since our emulator for $P$ is a dag, this can be done in time linear in the size of the emulator. Take the shortest path distances $d(s, \cdot)$ as the price function $\phi(\cdot)$, and let the reduced weight $w_{\phi}(u v)$ of edge $u v$ be $w(u v)+\phi(u)-\phi(v)$. Finally increase the weight of every incoming edge to $c[y]$ by the amount $\phi(y)$. Now all modified weights are non-negative, and all shortest path distances from $r[x]$ to $c[y]$ are preserved because $\phi(r[x])=0$ holds for all row vertices $r[x]$.

### 2.2 The non-bipartite case

Recall that the matrices that capture pairwise distances among consecutive vertices on the boundary of a single face in a planar graph are not (unit-)Monge. In Abboud et al. [4] this is handled by decomposing such a matrix recursively into (unit-)Monge submatrices, which incurs a logarithmic overhead. We show how to avoid this logarithmic overhead by constructing emulators for triangular (unit-)Monge matrices. Let $v_{1}, v_{2}, \ldots, v_{n}$ be vertices on the boundary of a single face. Let $M$ be an $n \times n$ matrix such that $M[i, j]$ is the distance from $v_{i}$ to $v_{j}$. For any quadruple $\left(i, i^{\prime}, j, j^{\prime}\right)$ satisfying $i<i^{\prime}<j<j^{\prime}$, the shortest $v_{i}$-to- $v_{j}$ path

ESA 2018
must intersect the shortest $v_{i^{\prime}}$-to- $v_{j^{\prime}}$ path. Therefore, $M[i, j]+M\left[i^{\prime}, j^{\prime}\right] \geq M\left[i, j^{\prime}\right]+M\left[i^{\prime}, j\right]$. Unfortunately, this does not necessarily hold for an arbitrary quadruple. We can, however, define two $n \times n$ unit-Monge matrices $M_{l}$ and $M_{u}$, such that

$$
\begin{array}{cl}
M_{l}[i, j]=M[i, j] & \text { for all } n \geq i>j \geq 1, \text { and } \\
M_{u}[i, j]=M[i, j] & \text { for all } 1 \leq i<j \leq n .
\end{array}
$$

The other elements of $M_{l}$ and $M_{u}$ can be (implicitly) filled in so that both matrices are unit-Monge. We would like to use an emulator for $M_{l}$ and an emulator for $M_{u}$, but we need to eliminate paths from $r_{i}$ to $c_{j}$ for $i<j$ in $M_{l}$, and for $i>j$ in $M_{u}$. To this end we modify the construction of the emulator. For ease of presentation, we describe the construction for an $(n / 2) \times n$ right substochastic matrix $P$ in which we want the distance from $c_{i}$ to $r_{j}$ to be infinite for $i>j$. It is easy to modify the construction to work for $(n / 2) \times \alpha n$ matrices, for $2 n \times \alpha n$ matrices, or for the case where the infinite distances are for $i<j$. The elements of $P$ are classified into two types: an element $P[i, j]$ of $P$ is artificial if $i>j$ and original otherwise (that is, the $i$ 'th row of $P$ begins with a prefix of $i-1$ artificial elements followed by a suffix of original elements). In the following recursive algorithm, the type of elements is always defined with respect to the full input matrix $P$ (at the top level of the recursion).

We divide the $(n / 2) \times n$ matrix $P$ into two $(n / 4) \times n$ matrices $P_{\uparrow}$ and $P_{\downarrow}$. Both $P_{\uparrow}$ and $P_{\downarrow}$ have possibly empty prefix of columns containing just artificial elements (category I), then an infix of columns containing both original and artificial elements (category II), and finally a suffix of columns containing just original elements (category III). Let $P^{\prime}$ be the submatrix of $P_{\uparrow}$ induced by all the columns of category II and by the columns of category III that are not entirely zero. Define submatrix $P^{\prime \prime}$ of $P_{\downarrow}$ similarly. Note that, by definition of artificial elements (with respect to the original top-level matrix), the number of columns of category II is bounded by the number of rows in $P_{\uparrow}$ and $P_{\downarrow}$. Also, by definition of right substochastic matrix, the number of columns of category III that are not entirely zero is also bounded by the number of rows of $P_{\uparrow}$ and $P_{\downarrow}$. Hence, both $P^{\prime}$ and $P^{\prime \prime}$ are $(n / 4) \times(n / 2)$ matrices for which we can recursively compute emulators $H^{\prime}$ and $H^{\prime \prime}$, respectively.

We construct the emulator $H$ of $P$ from the emulators $H^{\prime}$ and $H^{\prime \prime}$ as follows. For each column $y$ of $P$ we add a vertex $c[y]$ to $H$. If column $y$ in $P_{\uparrow}$ consists of just artificial elements (category I), we do nothing. If column $y$ in $P_{\uparrow}$ is of category II, then column $y$ is also present in $P^{\prime}$, so it has a corresponding column vertex $c^{\prime}[y]$ in $H^{\prime}$. Connect $c^{\prime}[y]$ to $c[y]$. If column $y$ is of category III, connect vertex $c^{\prime}\left[y_{\rightarrow}^{\prime}\right]$ of $H^{\prime}$ to $c[y]$ with an edge of weight

$$
\sum_{\substack{i>\lfloor n / 4\rfloor \\ j \geq y}} P[i, j] .
$$

Treat all the columns in $P_{\downarrow}$ similarly, except when column $y$ is of category III, connect vertex $c^{\prime \prime}\left[y_{\rightarrow}^{\prime \prime}\right]$ of $H^{\prime \prime}$ to $c[y]$ with an edge of weight zero. (For the definition of $y_{\rightarrow}^{\prime}$ and $y_{\rightarrow}^{\prime \prime}$ see the proof of the bipartite case.) An easy inductive proof shows that in this construction $r_{i}$ is connected to $c_{j}$ if and only if $i<j$.

The size of the emulator again satisfies the recurrence $N(n) \leq 2 N(n / 2)+O(n)$, and therefore is of $O(n \log n)$ overall. This concludes the proof of Lemma 3.

## 3 Distance Emulators for Planar Graphs

In this section we explain how to incorporate the unit-Monge emulators of Lemma 3 into the construction of planar graph emulators. The construction follows closely to the encoding scheme of Abboud et al. [4]. The crucial difference is that their unit-Monge matrices
are represented by a compact non-graphical encoding, which we replace by the distance emulator of Lemma 3. There are additional differences that stem from the fact that Abboud et al. concentrated on the size of the encoding, and did not consider the construction time. To achieve efficient construction time we have to modify the construction slightly. These modifications are not directly related to the unit-Monge distance emulators. We will describe the construction algorithm without going into details, which were treated in Abboud et al. [4]. Instead, we attempt to give some intuition and describe the main components of the construction and their properties. In the full version of this paper we give a self-contained detailed description.

Compact representations of distances in planar graphs have been achieved in many previous works by decomposing the graph using small cycle separators and exploiting the Monge property (not the unit-Monge property) to compactly store the distances among the vertices of the separators. The main obstacle in exploiting the unit-Monge property using this approach is that small cycle separators do not necessarily exist in planar graphs with face of unbounded size. In weighted graphs this difficulty is overcome by triangulating the graph with edges with sufficiently large weights that do not affect distances. In unweighted graphs, however, this does not work.

Slices. To overcome the above difficulty, Abboud et al. showed that any unweighted planar graph contains a family of nested subgraphs (called components) with some desirable properties. This nested family can be represented by a tree $\mathcal{K}$, called the component tree, in which the ancestry relation corresponds to enclosure in $G$. Each component $K$ in $\mathcal{K}$ is a subgraph of $G$ that is enclosed by a simple cycle $\partial K$, called the boundary cycle of $K$. The root component is the entire graph $G$, and its boundary cycle is the infinite face of $G$. A slice $K^{\circ}$ is defined as the subgraph of $G$ induced by all faces of $G$ that are enclosed by component $K$, and not enclosed by any descendant of $K$ in $\mathcal{K}$. The boundary cycle $\partial K$ is called the external hole or the boundary of $K^{\circ}$. The boundary cycles of the children of $K$ in $\mathcal{K}$ are called the internal holes of $K^{\circ}$. Note that a slice may have many internal holes. Abboud et al. showed that, for any choice of $w \in[n]$, there exists a component tree $\mathcal{K}$ such that the total number of vertices in the boundaries of all the slices is $O(n / w)$ [4, Lemma 3.1]. We present this proof in the full version of this paper.

Regions. Constructing distance emulator for each slice separately is not good enough naïvely, as there could potentially be $\Omega(n / w)$ holes in a slice, and we cannot afford to store distances between each pair of holes, even if we use the unit-Monge property. To overcome this, each slice $K^{\circ}$ is further decomposed recursively into smaller subgraphs called regions. A Jordan cycle separator is a closed curve in the plane that intersects the embedding only at $O(w)$ vertices. A slice $K^{\circ}$ is recursively decomposed in a process described by a binary decomposition tree $\mathcal{R}_{\boldsymbol{K}}$; each node $R$ of the tree $\mathcal{R}_{K}$ is a region of $K^{\circ}$, with the root being the entire slice $K^{\circ}$. There is a unique Jordan cycle separator $C_{R}$ corresponding to each internal node $R$ of $\mathcal{R}_{K}$. We abuse the terminology by referring to an internal hole of slice $K^{\circ}$ lying completely inside region $R$ as a hole of $R$. We say that a Jordan curve $C_{R}$ crosses a hole $H$ of $R$ if $C_{R}$ intersects both inside and outside of $\partial H$. All Jordan cycle separators discussed here are mutually non-crossing (but may share subcurves) and each crosses a hole at most twice.

We next describe how the separators $C_{R}$ are chosen. Let $K$ be a component, and let $K^{\prime}$ be the child component of $K$ in $\mathcal{K}$ with the largest number of vertices. We designate the
hole $\partial K^{\prime}$ of the slice $K^{\circ}$ as the heavy hole of $K^{\circ} .^{8}$ For any region $R$ of $K^{\circ}$, let $\boldsymbol{R}^{\bullet}$ denote the union of $R$ and all the subgraphs of $G$ enclosed by the internal holes of $R$ except for the heavy hole of $K^{\circ}$ (in other words, $R^{\bullet}$ is the region $R$ with all its holes except the heavy hole "filled in"). For example, given a slice $K^{\circ}$, one has $\left(K^{\circ}\right)^{\bullet}=K \backslash \operatorname{int}\left(\partial H^{*}\right)$, where $\operatorname{int}\left(\partial H^{*}\right)$ is the interior of the heavy hole $H^{*}$ of $K^{\circ}$ (if any). Abboud et al. [4, Lemma 3.4] proved that for any region $R$ one of the following must hold (and can be applied in time linear in the size of $R$ ):

- One can find a small Jordan cycle separator $C_{R}$ that crosses no holes of $R$ and is balanced with respect to the number of terminals in $R^{\bullet}$. That is, $C_{R}$ encloses a constant fraction of the weight with respect to a weight function assigning each terminal in $R$ unit weight and each face corresponding to a non-heavy hole of $R$ weight equal to the number of terminals enclosed (in $R^{\bullet}$ ) by that hole. Such a separator is called a good separator.
- There exists a hole $H$ such that one can recursively separate $R$ with small Jordan cycle separators, each of which crosses $H$ exactly twice, crosses no other hole, and is balanced with respect to the number of vertices of $\partial H$ in the region $R$. It is further guaranteed that each resulting region $R^{\prime}$ along this recursion satisfies that $\left(R^{\prime}\right)^{\bullet}$ contains at most half the terminals in $R^{\bullet}$. The hole $H$ is called a disposable hole, and the recursive process is called the hole elimination process. The hole elimination process terminates if a resulting region $R^{\prime}$ either contains a constant number of vertices of the disposable hole $\partial H$, or if $\left(R^{\prime}\right)^{\bullet}$ contains no terminals. Thus, the hole elimination process is represented by an internal subtree of $\mathcal{R}_{K}$ of height $O(\log n)$.

We begin with the region consisting of the entire slice $K^{\circ}$. If a good separator is found, we use it to separate a region $R$ into two subregions, each containing at most a constant fraction of the terminals in $R^{\bullet}$. If no good separator is found then we use the hole elimination process on a disposable hole $H$. We stop the decomposition as soon as a region contains at most one hole (other than the heavy hole) or at most one terminal. Thus, the overall height of $\mathcal{R}_{K}$ is $O(\log k \cdot \log n)$. We mentioned that it is guaranteed that each Jordan cycle separator used crosses a hole at most twice. This implies that, within each region $R$, the vertices of each hole $\partial H$ of $K^{\circ}$ that belong to $R$ can be decomposed into $O(\log k \cdot \log n)$ intervals called $\boldsymbol{\partial H}$-intervals; each Jordan cycle separator increases the number of such intervals in each subregion by at most one. We refer to those intervals that belong to the boundary cycle $\partial K$ of $K^{\circ}$ or to the heavy hole $\partial H^{*}$ of $K^{\circ}$ as boundary intervals.

### 3.1 The construction

We are now ready to describe the construction of the distance emulator. We will build the distance emulator of $G$ from the leaves of the component tree $\mathcal{K}$ to the root (which is the whole graph $G$ ). For each component $K$ in $\mathcal{K}$, we will associate an emulator that preserves the following distances.

- terminal-to-terminal distances in $K$ between any two terminals in $K$.
- terminal-to-boundary distances in $K$ between any terminal in $K$ and vertices of boundary $\partial K$.
We emphasize that the distances being preserved are those in $K$, not $K^{\circ}$. The distance emulator for $G$ can be recovered from the emulator associates with the root of the component tree $\mathcal{K}$.

[^4]We now describe the construction of the emulator associated with component $K$, assuming all the emulators for the children of $K$ in $\mathcal{K}$ have been constructed. The emulator of $K$ is constructed by adding the following edges and unit-Monge emulators from Lemma 3 to the emulators obtained from the children of $K$.

1. Add unit-Monge emulators representing the distances in $K^{\circ}$ between all pairs of vertices on the boundary $\partial K$ of $K$ or on the heavy hole $\partial H^{*}$ of $K$.
2. For each region $R$ in the decomposition tree $\mathcal{R}_{K}$ of the slice $K^{\circ}$, add the following edges and the unit-Monge emulators according to the type of $R$.
(A) If $R$ is an internal node of $\mathcal{R}_{K}$ with separator $C_{R}$ (either good or hole-eliminating):
i. Add an edge between each terminal in $R^{\bullet}$ and each vertex on the separator $C_{R}$. The weight of each edge is the distance in $R^{\bullet}$ between the two vertices.
ii. For each of the two subregions $R_{1}$ and $R_{2}$ of $R$, add a unit-Monge emulator representing the distance in $R_{i}^{\bullet}$ between the separator $C_{R}$ and each boundary interval of $R_{i}$.
iii. If $R$ corresponds to a cycle separator $C_{R}$ eliminating a disposable hole $\partial H$ :
(a) If $R$ is the root of the subtree of $\mathcal{R}_{K}$ representing the hole-elimination process of a hole $H$, add a unit-Monge emulator representing the distances between $\partial H$ and each boundary interval of $R$. The distances are in the subgraph obtained from $R^{\bullet}$ by removing the subgraph strictly enclosed by $\partial H$.
(b) For each of the two subregions $R_{1}$ and $R_{2}$ of $R$, add a unit-Monge emulator representing the distance in $R_{i}^{\bullet}$ between the separator $C_{R}$ and each $\partial H$ interval of $R_{i}$.
(B) If $R$ is a leaf of $\mathcal{R}_{K}$ and all terminals in $R^{\bullet}$ are enclosed by a single non-heavy hole $\partial H$ :
i. Add a unit-Monge emulator representing the distances between $\partial H$ and each boundary interval of $R$. The distances are in the subgraph obtained from $R^{\bullet}$ by removing the subgraph strictly enclosed by $\partial H$.
(C) If $R$ is a leaf of $\mathcal{R}_{K}$ that contains exactly one terminal $t$ :
i. Add an edge between the only terminal $t$ and each vertex on each boundary interval of $R$. The weight of each edge is the distance in $R^{\bullet}$ between the two vertices.

The proof of correctness is essentially the same as that in Abboud et al. [4, Lemma 3.6].

### 3.2 Space analysis

The $O\left(\sqrt{k \cdot n} \log ^{3} n\right)$ space analysis is essentially the same as in Abboud et al. [4]. The main difference in the algorithm is the introduction of the heavy hole as part of the boundary of a slice. This will allow an efficient construction time and does not affect the construction space because it increases the total size of the boundary by a constant factor.

Since a boundary cycle appears at most twice as a boundary of a slice (once as the external boundary and once as the heavy hole), the total size for all unit-Monge emulators for boundary-to-boundary distance (1) is $O((n / w) \log n)$.

We next bound the total space for terminal-to-separator distances (type 2(A)i) over all slices. Whenever a terminal stores its distance to a separator, the total number of terminals in its region $R^{\bullet}$ decreases by a constant factor within $O(\log n)$ levels of the decomposition tree (either immediately if the separator is a good separator, or within $O(\log n)$ levels of the hole elimination process), so this can happen $O\left(\log ^{2} n\right)$ times per terminal. Since each separator has size $O(w)$, the total space for representing distances of type 2(A)i is $O\left(k w \log ^{2} n\right)$.

The space required to store a single unit-Monge distance emulator representing distances between a separator $C_{R}$ and a boundary interval $b$ (type 2(A)ii) is $O\left(\left|C_{R}\right|+|b| \log |b|\right)$ (The rows of such an emulator correspond to the vertices of $b$, and the columns to vertices of $C_{R}$. Each row in the corresponding right sub-stochastic matrix has at most a single non-zero entry). We note the following facts: (i) The size of any separator $C_{R}$ is $O(w)$. (ii) The depth of the recursion within each slice is $O\left(\log ^{2} n\right)$. (iii) There are at most $k$ regions at each level of the recursion. (iv) The total number of boundary intervals in all regions at a specific recursive level is within a constant factor from the number of regions at that level, i.e., $O(k)$. Thus, the total size of all boundary intervals at a fixed level of the recursion is at most $O(k)$ plus the size of the boundary of the slice (boundary intervals are internally disjoint, and there are $O(k)$ intervals in each region). (v) The total boundary size of all slices combined is $O(n / w)$. By the above facts, the total space for type 2(A)ii emulators is $O\left((k w+(k+n / w) \log n) \log ^{2} n\right)=O\left((k w+n / w) \log ^{3} n\right)$.

Hole-to-boundary distances (types 2(A)iiia and 2(B)i) are stored for at most one hole in each region along the recursion, and require $O(|\partial H|+|b| \log |b|)$ space. By the same arguments as above, and since the total size of hole boundaries $\partial H$ is bounded by the total size of slice boundaries, the total space for types $2(\mathrm{~A})$ iiia and $2(\mathrm{~B})$ i emulators is $O\left((k+n / w) \log ^{3} n\right)$.

The space for separator-to-hole emulators (type 2(A)iiib) is bounded by the separator-toboundary distances (type 2(A)ii).

To bound the number of terminal-to-boundary edges (type 2(C)i) note that each terminal stores distances to boundary intervals that are internally disjoint for distinct terminals. Since the number of boundary terminals in a region is $O(\log n)$, the total space for type $2(\mathrm{C}) \mathrm{i}$ distances is $O(k \log n+n / w)$.

The overall space required is thus $O\left((k w+n / w) \log ^{3} n\right)$; setting $w=\sqrt{k \cdot n}$ gives the bound $O\left(\sqrt{k \cdot n} \log ^{3} n\right)$.

### 3.3 Time analysis

Recall that Lemma 3 assumes that the input is an $n \times n$ unit-Monge matrix represented in $O(n \log n)$ bits as two arrays $U$ and $V$, as well as an array $P$, specifying, for each row $i$ of the right substochastic $2(n-1) \times(n-1)$ matrix $P$, the index of the (at most) single one entry in that row (see Lemma 4). Lemma 3 will be invoked on various $x \times x$ unit-Monge matrices that represent distances among a set of $x$ vertices on the boundary of a single face of a planar graph $G$ with $n$ vertices (or sometimes, on two sets of vertices on two distinct faces).

- Lemma 5. Let $G$ be a planar graph with n nodes. Let $f$ be a face in $G$. One can compute the desired representation of the unit-Monge matrix $M$ representing distances among the vertices of $f$ in $O(n)$ time.

Proof. We tweak the linear-time multiple source shortest paths (MSSP) algorithm of Eisentat and Klein [29]. Along its execution, the MSSP algorithm maintains the shortest path trees rooted at each vertex of a single distinguished face $f$, one after the other. This is done by transforming the shortest path tree rooted at some vertex $v_{i}$ to the shortest path tree rooted at the next vertex $v_{i+1}$ in cyclic order along the distinguished face $f$. Let $d_{i}(u)$ denote the distance from the current root $v_{i}$ to $v$. The slack of an arc $u w$ with respect to root $v_{i}$ is defined as $\operatorname{slack}_{i}(u w):=c(u w)+d_{i}(u)-d_{i}(w)$. Thus, for all arcs in the shortest path tree the slack is zero, and for all arcs not in the shortest path tree the slack is non-negative. Eisenstat and Klein show that throughout the entire execution of the algorithm there are $O(n)$ changes
to the slacks of edges. Their algorithm explicitly maintains and updates the slacks of all edges as the root moves along the distinguished face. By definition of the matrix $P$,

$$
P[i, j]=M[i+1, j+1]+M[i, j]-M[i+1, j]-M[i, j+1] .
$$

Consider an edge $v_{j} v_{j+1}$ along the distinguished face. Its slack with respect to root $v_{i}$ is $1+M[i, j]-M[i, j+1]$. Its slack with respect to root $v_{i+1}$ is $1+M[i+1, j]-M[i+1, j+1]$. Therefore, $P[i, j]=\operatorname{slack}_{i}\left(v_{j} v_{j+1}\right)-\operatorname{slack}_{i+1}\left(v_{j} v_{j+1}\right)$. It follows that one can keep track of the nonzero entries of $P$ by keeping track of the edges of the distinguished face whose slack changes. The entries of the arrays $U$ and $V$ can be obtained in total $O(n)$ time since they only depend on the distances from a single vertex of $f$.

We can now analyze the construction time. The boundary-to-boundary distances (type 1) in a single slice are computed by a constant number of invocations of MSSP (Lemma 5) on $K^{\bullet}$. We then compute the emulator for each unit-Monge matrix on $x$ vertices in $O(x \log x)$ time using Lemma 3. For the total MSSP time, we need to bound the total size of the regions $R^{\bullet}$ on which we invoke Lemma 5 . This is where we use the fact that the heavy hole of a slice $K^{\circ}$ contains at least half the vertices of $K$. Since $K^{\bullet}$ does not include the heavy hole of $K$, the standard heavy path argument implies that the number of slices in which a vertex $v$ participates in invocations of the MSSP algorithm is at most 1 plus $O(\log n)$ (the number of non-heavy holes $v$ belongs to). Thus, each vertex $v$ participates in invocations of MSSP for $O(\log n)$ slices. In each invocation of MSSP each vertex $v$ is charged $O(\log n)$ time, so the total time for all MSSP invocations is $O\left(n \log ^{2} n\right)$. To bound the total time of the invocations of Lemma 3, note that each boundary cycle appears at most twice as a boundary of a slice (once as the external boundary and once as the heavy hole). Hence, the total time for all invocations of Lemma 3 is $O((n / w) \log n)$. Overall we get that the total time to compute all the emulators of type 1 is $O\left(n \log ^{2} n+(n / w) \log n\right)=O\left(n \log ^{2} n\right)$.

The terminal-to-separator distances (type 2(A)i) are computed by running MSSP twice, once for the interior of the separator $C_{R}$ in $R^{\bullet}$ and once for the exterior of $C_{R}$ in $R^{\bullet}$. This takes $O\left(\left|R^{\bullet}\right| \log \left|R^{\bullet}\right|\right)$ time. Then, we can report the distance, within each of these two subgraphs of $R^{\bullet}$, from each vertex of $C_{R}$ to any other vertex in $O(\log n)$ time. Next, for each terminal $t$ in $R^{\bullet}$, we compute the distance from $t$ to all $w$ vertices of $C_{R}$ by running FR-Dijkstra [33] on the graph consisting of the edges between $t$ and the vertices of $C_{R}$ as well as the two complete graphs representing the distances among the vertices of $C_{R}$ in the interior and exterior. This takes $O\left(w \log ^{2} w \log n\right)$ time. The $O\left(w \log ^{2} w\right)$ term is the running time of FR-Dijkstra and the additional $O(\log n)$ factor is because each distance accesses by FR-Dijkstra is retrieved on-the-fly from the MSSP data structure.

We analyze separately the total cost of all the MSSP computations and the total cost of computing the edge weights. For the latter, we have argued in the analysis of the emulator's size that each terminal participates in the computation of edges of type $2(\mathrm{~A}) \mathrm{i}$ in $O\left(\log ^{2} n\right)$ regions along the entire construction. Therefore, the total time to compute all such edges is $O\left(k w \log ^{2} w \log ^{3} n\right)$. For the total MSSP time, we need to bound the total size of the regions $R^{\bullet}$ on which we run MSSP. This is done in a similar manner to the bound on the time for MSSP invocations for type 1 above, using the standard heavy path argument. We argued that the number of slices in which a vertex $v$ participates in invocations of the MSSP algorithm is at most 1 plus $O(\log n)$ (the number of non-heavy holes $v$ belongs to). Thus, each vertex $v$ participates in invocations of MSSP for $O(\log n)$ slices. Since the depth of the decomposition tree of a slice is $O\left(\log ^{2} n\right)$, each vertex participates in at most $O\left(\log ^{3} n\right)$ invocations of MSSP in the computation of edges of type $2(\mathrm{~A})$ i. Each vertex is charged $O(\log n)$ time in each invocation of MSSP it participates in, so the total time for all invocations of MSSP in the process of computing edges of type $2(\mathrm{~A}) \mathrm{i}$ is $O\left(n \log ^{4} n\right)$.

To bound the time required to compute the emulators of type 2(A)ii (separator-toboundary distances), note that the appropriate unit-Monge matrices can be computed by MSSP invocations within the same time bounds analyzed for the MSSP invocations for terminal-to-separator edges above. Hence, we only need to account for the additional time spent on constructing the emulators by invocations of Lemma 3, which is linear in the total size of these emulators, which we have already shown above to be $O\left((k w+n / w) \log ^{3} n\right)$.

The analysis for the time required for the hole-to-boundary emulators (types 2(A)iiia and 2(B)i) uses the same arguments as the analysis for type 2(A)ii. Again, the MSSP time is $O\left(n \log ^{4} n\right)$, and the time for invocations of Lemma 3 is linear in the size of these emulators, which is $O\left((k+n / w) \log ^{3} n\right)$.

The time to compute the separator-to-hole emulators (type 2(A)iiib) is bounded by the time to compute the separator-to-boundary emulators (type 2(A)ii).

To compute the terminal-to-boundary edges we again need to invoke MSSP once on $R^{\bullet}$ for each leaf region $R$, and then query the distance in $O(\log n)$ time per distance. The time for MSSP is dominated by the MSSP time accounted for in type 2(A)ii emulators, and since we have shown that the total number of such edges is $O(k+n / w)$, the total time to compute them is $O((k+n / w) \log n)$.

The overall construction time is therefore dominated by the $O\left(n \log ^{4} n\right)$ term. Combined with the space analysis of Section 3.2, we establish Theorem 1.

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[^1]:    ${ }^{4}$ The $\tilde{O}(\cdot)$ notation hides polylogarithmic factors in $n$.

[^2]:    5 The $O(\log n / \log \log n)$ factor was overlooked in [4]. It can be obtained by representing the unit-Monge matrix with a range dominance data structure, such as the one in [44].
    6 In Abboud et al. [4, Section 6] a unit-Monge matrix is defined to be one where every two adjacent elements differ by at most 1 , whereas here we only assume this for elements that are adjacent vertically (that is, in the same column and between adjacent rows).

[^3]:    ${ }^{7}$ For simplicity, one assumes $y_{0}^{\prime}=y_{0}^{\prime \prime}=-\infty$.

[^4]:    8 This is the main difference compared with the encoding scheme of Abboud et al. [4]. We will see the benefit of this technicality in Section 3.3.

