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1 **Dynamics of two key maternal factors that initiate zygotic**
2 **regulatory programs in ascidian embryos**

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10

11 **Abstract**

12 In animal embryos, transcription is repressed for a definite period of time after fertilization. In the
13 embryo of the ascidian, *Ciona intestinalis* (type A; or *Ciona robusta*), transcription of regulatory genes is
14 repressed before the 8- or 16-cell stages. This initial transcriptional quiescence is important to enable the
15 establishment of initial differential gene expression patterns along the animal–vegetal axis by maternal
16 factors, because the third cell division separates the animal and vegetal hemispheres into distinct
17 blastomeres. Indeed, maternal transcription factors directly activate zygotic gene expression by the 16-
18 cell stage; Tcf7/ β -catenin activates genes in the vegetal hemisphere, and Gata.a activates genes in the
19 animal hemisphere. In the present study, we revealed the dynamics of Gata.a and β -catenin, and
20 expression profiles of their target genes precisely. β -catenin began to translocate into the nuclei at the 16-
21 cell stage, and thus expression of β -catenin targets began at the 16-cell stage. Although Gata.a is
22 abundantly present before the 8-cell stage, transcription of Gata.a targets was repressed at and before the
23 4-cell stage, and their expression began at the 8-cell stage. Transcription of the β -catenin targets may be
24 repressed by the same mechanism in early embryos, because β -catenin targets were not expressed in 4-
25 cell embryos treated with a GSK inhibitor, in which β -catenin translocated to the nuclei. Thus, these two
26 maternal factors have different dynamics, which establish the pre-pattern for zygotic genetic programs in
27 16-cell embryos.

28 **Keywords:** Ascidian; Zygotic gene activation; Gata; β -catenin

29 **Highlights**

- 30 ♦ The earliest transcription is detectable at the 8-cell stage in *Ciona* embryos
- 31 ♦ Gata.a is present in nuclei before initiation of expression of its targets

- 32 ♦ Nuclear translocation of β -catenin begins markedly at the 16-cell stage
- 33 ♦ Dynamics of Gata.a and β -catenin are regulated differently

34 1. Introduction

35 Maternal factors in animal embryos activate transcription from genomes of zygotes shortly
36 after fertilization, and subsequent developmental processes become dependent on zygotic transcripts
37 (Langley et al., 2014; Tadros and Lipshitz, 2009). This process is called the maternal-to-zygotic
38 transition, and the duration before transcription begins differs among different species.

39 *Ciona intestinalis* (type A), also known as *Ciona robusta*, is a tunicate, which belongs to the
40 sister group of vertebrates. In *Ciona* embryos, the first four cell divisions occur synchronously and the
41 cell cycle lengths are almost fixed (Dumollard et al., 2013; Hotta et al., 2007). Previous studies have
42 revealed that a small number of genes initiate expression after the fourth cell division (at the 16-cell
43 stage) by comprehensive expression assays (Imai et al., 2004; Matsuoka et al., 2013), although
44 expression of two transcription factor genes, *Foxa.a* and *Sox1/2/3*, begins at the 8-cell stage (Miya and
45 Nishida, 2003; Shimauchi et al., 2001). Maternal factors, including Gata.a and β -catenin, activate these
46 genes in three distinct partially overlapping domains at the 16-cell stage (Bertrand et al., 2003; Hudson
47 et al., 2013; Oda-Ishii et al., 2016; Rothbächer et al., 2007). Notably, *Foxd*, *Fgf9/16/20*, and *Tbx6b* are
48 activated by β -catenin in the vegetal hemisphere [*Tbx6.b* is also regulated by *Zic-r.a* (Macho-1) and
49 expressed only in the posterior vegetal cells]. *Efna.d* and *Tfap2-r.b* are activated by Gata.a in the animal
50 hemisphere, and Gata.a activity is suppressed through its interaction with β -catenin in the vegetal
51 hemisphere (Oda-Ishii et al., 2016). Since the animal and vegetal hemispheres do not segregate before
52 the 8-cell stage, the transcriptional quiescence before the 8-cell stage is important for establishing these

53 initial gene expression domains along the animal-vegetal axis. At subsequent stages, specific gene
54 expression patterns are established on the basis of this initial setup (Bertrand et al., 2003; Hudson et al.,
55 2013; Hudson et al., 2016; Imai et al., 2006; Satou and Imai, 2015). Why are these genes activated at the
56 8- and 16-cell stages, but not before these stages?

57 In the present study, we analyzed the following points to understand the regulatory
58 mechanisms of genes that are activated at the 16-cell stage: (1) when do the target genes of Gata.a and β -
59 catenin precisely initiate expression? Is the timing of beginning of their expression tightly controlled?
60 (2) When is β -catenin translocated into nuclei? (3) When and how much Gata.a is accumulated in nuclei
61 of early embryos?

62 2. Results

63 2.1. Zygotic transcription of regulatory genes begins weakly at the 8-cell stage and markedly 64 increases by the 16-cell stage

65 Only two genes, *Sox1/2/3* (also known as *Soxb1*) and *Foxa.a*, have been identified to be
66 expressed zygotically at the 8-cell stage (Miya and Nishida, 2003; Shimauchi et al., 2001). Furthermore,
67 according to our previous studies that examined zygotic gene expression comprehensively (Imai et al.,
68 2004; Matsuoka et al., 2013), only a small number of genes, including *Efna.d* and *Foxd*, are expressed at
69 the 16-cell stage. We first confirmed by *in situ* hybridization that expression of *Foxa.a* and *Sox1/2/3* was
70 not observed at or prior to the 4-cell stage (Fig. 1A and B), and that expression of *Efna.d*, *Tfap2-r.b*,
71 *Foxd*, *Fgf9/16/20* and *Tbx6.b* was not observed at or prior to the 8-cell stage (Fig. 1C–G).

72 To examine the expression quantitatively, we analyzed the expression levels of *Foxa.a*,
73 *Sox1/2/3*, *Efna.d*, *Tfap2-r.b*, *Foxd*, *Fgf9/16/20*, and *Tbx6.b* using reverse-transcription and quantitative
74 PCR (RT-qPCR) in three independent experiments (Fig. 2A–G). The expression level of maternal *Gata.a*
75 was also measured as a control (Fig. 2H). Consistent with the *in situ* hybridization results, *Foxa.a*
76 mRNA was detected at the 8-cell stage. Although its expression level at the 8-cell stage was only 23% on
77 average of that at the 16-cell stage, it was significantly higher than that at the 4-cell stage. Similarly,
78 *Sox1/2/3* mRNA was detected at the 8-cell stage. In addition, although it was not detected by *in situ*
79 hybridization, *Efna.d* mRNA was detected at the 8-cell stage using RT-qPCR. Similarly, *Tfap2-r.b*, which
80 is also expressed in the animal hemisphere at the 16-cell stage (Imai et al., 2017; Imai et al., 2004) (Fig.
81 1D), was expressed weakly at the 8-cell stage. Although the expression levels of *Efna.d* and *Tfap2-r.b* at
82 the 8-cell stage were 10% and 3% on average of those at the 16-cell stage, the differences between their

83 expression at the 4- and 8-cell stages were statistically significant. Thus, these results obtained from *in*
84 *situ* hybridization and RT-qPCR indicated that *Foxa.a*, *Sox1/2/3*, *Efna.d*, and *Tfap2-r.b* begin to be
85 expressed weakly at the 8-cell stage, and strongly at the 16-cell stage.

86 On the other hand, no significant increase in *Foxd* expression was detected between the 4- and
87 8-cell stages using RT-qPCR. The expression of *Fgf9/16/20*, which has the same pattern as *Foxd*
88 expression at the 16-cell stage (Bertrand et al., 2003; Imai et al., 2002a) (Fig. 1F), and *Tbx6*, which is
89 expressed only in the posterior vegetal cells (Takatori et al., 2004) (Fig. 1G), was not significantly
90 different between the 4- and 8-cell stages. These observations suggested that *Foxd*, *Fgf9/16/20*, and
91 *Tbx6.b* begin to be expressed strictly at the 16-cell stage.

92 A previous study reported that a construct containing 12 GATA-binding sites upstream of the
93 *Brachyury* basal promoter was expressed at low levels at the 2-cell and 4-cell stages of *Ciona* embryos
94 (Rothbacher et al., 2007). This result suggested that Gata.a might activate low level transcription of its
95 targets in early embryos before the 8-cell stage. To test this possibility, we used a GFP reporter construct
96 containing the upstream sequence of *Efna.d*, because the expression of the reporter gene was expected to
97 be higher than that of endogenous *Efna.d*.

98 While this construct recapitulated the expression of endogenous *Efna.d* in 75% of the 16-cell
99 embryos, we detected weak signals for the expression of *Efna.d* reporter in 23% of the 2-cell embryos
100 (Fig. 3A). To quantify the amount of transcripts from the reporter construct, we examined the expression
101 of the reporter construct by RT-qPCR (Fig. 3B). Expression level at the 8-cell stage was 11% on average
102 of that at the 16-cell stage, which was consistent with the endogenous expression profile of *Efna.d* (see
103 Fig. 2B). On the other hand, the expression levels at the 2- and 4-cell stages were considerably lower

104 than those at the 8- and 16-cell stages. Thus, although *Efna.d* may potentially be activated as early as the
105 2-cell stage, its expression was low and endogenous expression of *Efna.d* was rarely detected (see also
106 Fig. 4C and the next section).

107 **2.2. *Efna.d* transcription begins weakly in the vegetal cells and strongly in the animal cells**

108 Expression of the reporter was stronger than that of endogenous *Efna.d*, and therefore, it was
109 detected using *in situ* hybridization at the 8-cell stage (Fig. 4A). Similarly to the results of a previous
110 study (Rothbächer et al., 2007), almost all embryos expressed the reporter strongly in the animal
111 hemisphere, and almost a half of them also expressed the reporter in the vegetal hemisphere (Fig. 4B).
112 However, expression in the vegetal cells was weak in most cases (Fig. 4B).

113 Next, to examine the expression of endogenous *Efna.d* in the animal and vegetal hemispheres,
114 we prepared a set of intron primers that was designed to amplify a sequence within the first intron of
115 *Efna.d*, in order to detect only its nascent transcripts. With this primer set, we rarely detected *Efna.d*
116 transcripts in unfertilized eggs, fertilized eggs, 2-cell embryos, and 4-cell embryos (Fig. 4C), which was
117 consistent with the observation in Figure 2C. This observation indicated that nascent transcripts of
118 *Efna.d* could be detected with this method, even if a trace of maternal mRNA of *Efna.d* might be present.
119 Next, we manually separated 8-cell embryos into the animal and vegetal halves using a glass needle, and
120 analyzed them using RT-qPCR (Fig. 4D). While no amplification was detected in the negative control in
121 which reverse transcriptase was not added, amplification was observed for cDNA pools derived from the
122 animal halves and vegetal halves (Fig. 4E). However, the expression level of *Efna.d* was markedly lower
123 in the vegetal halves than in the animal halves. Thus, although *Efna.d* expression began at the 8-cell
124 stage and the expression was not limited to the animal hemisphere, it was higher in the animal

125 hemisphere than in the vegetal hemisphere.

126 **2.3. Localization of Gata.a and β -catenin in the early embryo**

127 *Efna.d* and *Tfap-2-r.b* are expressed in the animal hemisphere at the 16-cell stage under the
128 direct control of Gata.a, while *Foxd*, *Fgf9/16/20*, and *Tbx6.b* are expressed in the vegetal hemisphere at
129 the 16-cell stage under the direct control of Tcf7 and β -catenin (Hudson et al., 2013; Hudson et al., 2016;
130 Imai et al., 2002b; Oda-Ishii et al., 2016; Rothbächer et al., 2007). Hence, we examined the distribution
131 of Gata.a and β -catenin proteins.

132 We recently showed that Gata.a is distributed in all nuclei at the 16-cell stage (Oda-Ishii et al.,
133 2016). Similarly, immunostaining signals for Gata.a were detected in the nuclei of the 2-, 4-, and 8-cell
134 embryos (Fig. 5A). Western blots showed that the amount of Gata.a in unfertilized and fertilized eggs
135 was approximately one-half to two-thirds of that at the 16-cell stage, and that a maximum level was
136 reached as early as the 4-cell stage (Fig. 5B). This observation suggested that suppression of *Efna.d* and
137 *Tfap2-r.b* expression at the 4-cell stage or earlier is not due to the limited supply of Gata.a.

138 As reported previously (Hudson et al., 2013), at the 16-cell stage, β -catenin was detected in the
139 nuclei of cells in the vegetal hemisphere but not in those in the animal hemisphere (Fig. 5C). No clear
140 nuclear signal was detected between the 2- to 8-cell stages (Fig. 5C). This was consistent with the
141 observation that *Foxd*, *Fgf9/16/20*, and *Tbx6.b* were not expressed before the 16-cell stage (Fig. 1 and
142 Fig. 2).

143 Next, we compared the intensity of signals between nuclei and cytoplasm. Because β -catenin
144 was not detected uniformly within the cytoplasm and detected strongly around the nuclei, we selected

145 areas with strong signals within the cytoplasm for comparisons with nuclear signals. At the 16-cell stage,
146 nuclear signal for β -catenin was markedly stronger in the vegetal cells than in the animal cells (Fig. 5D).
147 In addition, nuclear signal of β -catenin was slightly, but significantly, higher in the vegetal cells than in
148 the animal cells of 8-cell embryos, although nuclear signals were less evident by immunostaining (Fig.
149 5C). Namely, our observation indicated that a small amount of β -catenin begin to be translocated into the
150 nuclei of the vegetal cells at the 8-cell stage, and more β -catenin is translocated into the nuclei of the
151 vegetal cells at the 16-cell stage. The initial small difference between the animal and vegetal hemispheres
152 at the 8-cell stage may explain why *Efna.d* was expressed more strongly in the animal hemisphere than in
153 the vegetal hemisphere of the 8-cell embryo, because β -catenin suppresses the activity of Gata.a (Oda-
154 Ishii et al., 2016; Rothbacher et al., 2007) (see Discussion).

155 **2.4. *Pem-1* is not responsible for transcriptional quiescence in early embryos**

156 *Pem-1* is localized in the posterior-most cells, which contribute to germ line cells, and
157 suppresses transcription in the germ line (Kumano et al., 2011; Shirae-Kurabayashi et al., 2011; Yoshida
158 et al., 1996). It has been reported that, in *Pem-1* morphants, in which a specific morpholino antisense
159 oligonucleotide (MO) against *Pem1* was injected, *Foxa.a* was expressed not only in the anterior cells but
160 also in the posterior cells at the 8-cell stage (Shirae-Kurabayashi et al., 2011). It has also been reported
161 that, in another ascidian, *Halocynthia roretzi*, the expression of several genes, including *Noto* (*Not*), were
162 detectable at the 4-cell stage, and *Noto* expression was detected in some *Pem-1* morphants at the 2-cell
163 stage (Kumano et al., 2011). These reports motivated us to examine *Foxd* and *Efna.d* expression in *Pem-*
164 *1* morphants. As reported previously (Kumano et al., 2011; Shirae-Kurabayashi et al., 2011), *Foxa.a* was
165 expressed ectopically in the posterior blastomeres (Fig. 6A), suggesting that *Pem-1* was successfully

166 knocked down by our MO, which was different from the MOs used in the previous study (Shirae-
167 Kurabayashi et al., 2011). On the other hand, *Efna.d* and *Foxd* were not precociously expressed at the 8-
168 cell stage (Fig. 6B and C). Note that our observation does not mean that these two genes are not
169 regulated by Pem-1 (see Fig 7E). However, it indicates that transcriptional silence in early *Ciona*
170 embryos is not explained by Pem-1 function only.

171 **2.5. Nuclear β -catenin can activate its target after the third cell division but not after the second** 172 **division**

173 Nuclear β -catenin is required for *Foxd* expression (Hudson et al., 2013; Hudson et al., 2016;
174 Imai et al., 2002b; Oda-Ishii et al., 2016) and was first observed in vegetal cells at the 16-cell stage as
175 we showed in Fig. 5C. Therefore we reasoned that regulation of nuclear translocation of β -catenin was
176 the key to determine the timing of *Foxd* expression. To examine this hypothesis, we treated embryos
177 with BIO, a specific inhibitor for Gsk3. This treatment stabilizes β -catenin and leads to the ectopic
178 activation of genes downstream of β -catenin (Hudson et al., 2013). In BIO-treated embryos, β -catenin
179 was detected prematurely in the nuclei at the 4- and 8-cell stages (Fig. 7A and B). While the relative
180 fluorescence intensity (nuclei to cytoplasm) was 0.30 in normal untreated 4-cell embryos (see Fig. 5D),
181 it was increased to 0.95 in the BIO-treated 4-cell embryos and to similar levels in the animal and vegetal
182 cells of BIO-treated 8-cell embryos (Fig. 7C). In BIO-treated embryos, *Foxd* expression was detected at
183 the 8-cell stage using RT-qPCR (Fig. 7D) and *in situ* hybridization (Fig. 7E). Note that we did not detect
184 *Foxd* expression in the most posterior vegetal cells, in which Pem-1 is localized, of these experimental
185 embryos. On the other hand, *Foxd* expression level was low at the 4-cell stage (Fig. 7D). Thus, *Foxd* was
186 rarely activated at the 4-cell stage, even if its activator was present.

187 The above result showed that *Foxd* could be activated at the 8-cell stage, if β -catenin was
188 present. Therefore, we further confirmed that the fourth cell division between the 8- and 16-cell stages
189 was not required for this activation with the following experiment. We injected a MO against *Cdc25*,
190 because *Cdc25* is a phosphatase that promotes the transition from the G2 phase to the M phase, and this
191 protein has a similar function in *Ciona* embryos (Ogura et al., 2011; Ogura and Sasakura, 2016). While
192 injection of the control *lacZ* MO did not affect cell cycle lengths, injection of the *Cdc25* MO increased
193 cell cycle lengths (Fig. 8A). Approximately 110 min after fertilization, control embryos were at the 8-
194 cell stage, whereas *Cdc25* morphants were at the 4-cell stage. Approximately 130 min after fertilization,
195 control embryos were at the 16-cell stage, whereas the *Cdc25* morphants were at the 8-cell stage (Fig.
196 8B).

197 While *Foxd* normally begins to be expressed at the 16-cell stage (Imai et al., 2002b) (see Fig.
198 1E and Fig. 2E), *Foxd* expression was detected in 69% of *Cdc25* morphants at the 8-cell stage (130 min
199 after fertilization) but not at the 4-cell stage (110 min after fertilization) (Fig. 8C and D). Note that *Foxd*
200 was not expressed in the posterior vegetal cells (B4.1) probably because of transcriptional suppression
201 by Pem-1. The expression level of *Foxd* in *Cdc25* morphants was 11 % on average of that in normal
202 embryos at 130 min after fertilization (Fig. 8E). However, this does not necessarily mean that
203 transcription of *Foxd* in *Cdc25* morphants was weaker than that in normal or *lacZ*-MO injected embryos
204 at 130 min after fertilization. First, *Foxd* was expressed in only one pair of cells in 69% of *Cdc25*
205 morphants, while it was expressed in three pairs of cells in all embryos injected with the *lacZ* MO.
206 Second, the time duration for which *Foxd* was expressed might also have been different between these
207 two experimental conditions. Even if so, the above result indicated that the fourth cell division was not
208 required for activating *Foxd*, and availability of its activator was important for determining timing of

209 *Foxd* expression.

210 3. Discussion

211 The target genes of Gata.a and β -catenin examined in the present study began to be expressed
212 at the 8- and 16-cell stages, respectively. Consistently, the dynamics of Gata.a and β -catenin were
213 regulated differently. Namely, Gata.a was present abundantly in unfertilized eggs, and was also produced
214 rapidly after fertilization, while nuclear translocation of β -catenin began at the 8-cell stage and markedly
215 increased in the vegetal cells at the 16-cell stage. In addition, transcription of the β -catenin and Gata.a
216 targets was repressed at and before the 4-cell stage. Our data indicates that this repression and the
217 dynamics of Gata.a and β -catenin determine the timing of zygotic transcription of the β -catenin and
218 Gata.a targets in ascidian embryos.

219 The number of cell cycles is known to be important for determining the timing of zygotic
220 genome activation (ZGA). In amphibians, the ratio of nucleus to cytoplasm is important for the ZGA,
221 and this ratio increases rapidly following cell divisions (Kobayakawa and Kubota, 1981; Newport and
222 Kirschner, 1982a, b). In *Drosophila* embryos, however, the timing of zygotic transcription for a majority
223 of genes is determined by the absolute time or developmental stage, whereas the timing of zygotic
224 transcription for a subset of genes is also determined by the nucleocytoplasmic ratio or cell cycle number
225 (Lu et al., 2009). In *Caenorhabditis elegans*, maternal factors sequestering TAF-4, a basic transcription
226 factor, in the cytoplasm are degraded prior to ZGA (Guvén-Ozkan et al., 2008). In addition, rapid cell
227 cycles prevent efficient transcription, because the inhibition of cell cycles before ZGA prematurely
228 initiates transcription (Edgar and Schubiger, 1986; Kimelman et al., 1987). These mechanisms may work
229 in concert to determine the timing of ZGA (Langley et al., 2014). Such global mechanisms may or may
230 not be involved in determining the timing of expression of the β -catenin and Gata.a targets, as discussed
231 below.

232 The observation that *Foxd* was expressed in 8-cell embryos treated with BIO indicated that β -
233 catenin targets had the potential to be activated at the 8-cell stage and nuclear translocation of β -catenin
234 was the key for initiation of their expression. Because *Foxd* was also expressed in *Cdc25* morphants at
235 the 8-cell stage, the number of cell divisions or cell cycles is not likely to be the determinant for
236 initiation of *Foxd* expression. Instead, the absolute time after fertilization may be important for this
237 regulation. Because *Foxd* was precociously expressed in BIO-treated embryos, negative regulators for
238 nuclear translocation of β -catenin may play a critical role in this process. Five novel maternal genes that
239 might regulate the nuclear localization of β -catenin have been identified in *Ciona* embryos (Wada et al.,
240 2008). These gene products may act as negative regulators for nuclear translocation of β -catenin.

241 Meanwhile *Foxd* was not precociously expressed in 4-cell embryos treated with BIO.
242 Similarly, the Gata.a targets were rarely expressed at the 4-cell stage, although Gata.a was present almost
243 at the same level in 4- or 8-cell embryos as in 16-cell embryos. In addition, *Foxa.a*, which is clearly
244 expressed at the 8-cell stage, was not expressed at the 4-cell stage or earlier. These observations
245 consistently indicated that low transcriptional activity was maintained at the 4-cell stage or earlier by
246 another mechanism, for which the number of cell divisions or cell cycles may be important. The reporter
247 construct that contained the upstream sequence of *Efna.d* was activated weakly at the 2- or 4-cell stage.
248 It is possible that the epigenetic state of the reporter was different from that of the genomic DNA in early
249 embryos and so the reporter was more competent to transcription. Even if so, our results indicated that
250 even exogenous DNAs were not effectively activated at the 2- or 4-cell stage, and simultaneously that
251 this mechanism may not be able to suppress transcription completely. The observation that *Efna.d* was
252 not transcribed at the 8-cell stage as strongly as at the 16-cell stage indicated that transcription
253 suppression gradually declines. This mechanism might globally repress transcription in early embryos.

254 A previous study indicated that Pem-1 prevents the nuclear accumulation of β -catenin in the
255 posterior-most cells (B5.2) of *Halocynthia* embryos (Kumano and Nishida, 2009). Because this function
256 of Pem-1 is restricted to the posterior-most cells, it is unlikely that Pem-1 controls the timing of β -
257 catenin nuclear localization in the entire embryo. We also observed nuclear accumulation of β -catenin in
258 the posterior-most cells of *Ciona* embryos. Therefore, it is not likely that Pem-1 prevents β -catenin
259 nuclear accumulation in *Ciona* embryos.

260 Pem-1 is also suggested to suppress transcription in the germ line by interacting with pTEF-1
261 and/or Groucho (Kumano et al., 2011; Shirae-Kurabayashi et al., 2011). Namely, loss of Pem-1 activity
262 is required for transcription, and therefore this maternal protein is related to the timing of transcriptional
263 initiation. However, because *Efna.d* and *Foxd* were not detected precociously in *Pem-1* morphants at the
264 8-cell stage by in situ hybridization, transcriptional suppression by Pem-1 cannot alone explain the
265 timing of transcriptional initiation in *Ciona* embryos.

266 In the present study, we analyzed the expression of genes for transcription factors and
267 signaling molecules only. However, it is likely that transcription of other non-regulatory genes is also
268 repressed before the 8-cell stage with the following two reasons. First, previous studies have failed to
269 find genes zygotically expressed before the 8-cell stage in *Ciona* embryos (Fujiwara et al., 2002;
270 Matsuoka et al., 2013; Nishikata et al., 2001). Second, the second serine residue of the C-terminal
271 domain (CTD) repeats of RNA polymerase II is not phosphorylated before the 8-cell stage, which
272 indicates transcriptional elongation (Shirae-Kurabayashi et al., 2011). Although we cannot completely
273 rule out a possibility that low level transcription occurs in early embryos, it is possible that a common
274 mechanism represses transcription of regulatory and non-regulatory genes in early embryos.

275 4. Conclusions

276 Most regulatory genes that begin to be expressed at the 16-cell stage are activated under the
277 control of either β -catenin or Gata.a, and Gata.a activity is controlled by nuclear β -catenin (Bertrand et
278 al., 2003; Imai et al., 2000; Oda-Ishii et al., 2016; Rothbächer et al., 2007). These Gata.a and β -catenin
279 targets are required for activating their downstream genes in the animal and vegetal hemispheres,
280 respectively (Bertrand et al., 2003; Hudson et al., 2016; Imai et al., 2017; Imai et al., 2016; Imai et al.,
281 2006; Imai et al., 2002b; Ohta and Satou, 2013; Ohta et al., 2015). Nevertheless, *Efna.d* was expressed
282 weakly in the vegetal hemisphere of 8-cell embryos, probably because nuclear translocation of β -catenin
283 was considerably less and insufficient for complete suppression of Gata.a activity in the vegetal
284 hemisphere of 8-cell embryos. This observation suggested that low expression of Gata.a targets in the
285 vegetal hemisphere is not sufficient for activating their downstream pathways and therefore it is not
286 harmful.

287 Our study indicated that dynamics of β -catenin and Gata.a, which are essential for the
288 initiation of transcription of regulatory genes, are regulated differently in *Ciona* embryos. These
289 dynamics prevent genes from being activated strongly before the animal and vegetal hemispheres are
290 separated into distinct blastomeres, and establish the pre-pattern for zygotic genetic programs in 16-cell
291 embryos.

292

293 5. Materials and Methods

294 5.1. Animals and cDNAs

295 *C. intestinalis* (type A; also called *C. robusta*) adults were obtained from the National Bio-
296 Resource Project for *Ciona intestinalis*. The cDNA clones were obtained from our EST clone collection
297 (Satou et al., 2005). Identifiers for genes examined in the present study are shown in Table 1.

298 **5.2. Morpholino antisense oligonucleotides and reporter constructs**

299 The MO (Gene Tools, LLC) against *Cdc25*, which blocked translation of *Cdc25* mRNA, was
300 used for the knockdown experiments (5'- GGAGTCCGTCATATTAAAGACAGGT-3'). The MO was
301 introduced by microinjection under a microscope. Because *Cdc25* encodes a phosphatase that promotes
302 cell cycles, slower cell cycles were expected in *Cdc25* morphants, and the expected phenotype was
303 obtained. The sequence of the MO against *Pem-1* was 5'-AAATACTGTGCATGTTTACATTCAT-3'. The
304 expression pattern of *Foxa.a* in embryos injected with this MO was the same as that in embryos injected
305 with a *Pem-1* MO that has been used in a previous study (Shirae-Kurabayashi et al., 2011).

306 The upstream sequence used for constructing the reporter construct for *Efna.d* was from
307 KhC3: 2,806,730–2,810,100 of the KH version of the genome sequence of *Ciona* (Satou et al., 2008).
308 The reporter construct was introduced by electroporation.

309 **5.3. Whole-mount *in situ* hybridization and RT-qPCR**

310 *In situ* hybridization was performed as described previously (Satou et al., 1995). For
311 quantifying endogenous gene expression by RT-qPCR (except for the experiment in Figure 4C and E),
312 we used the Cell-to-Ct kit (Thermo-Fisher Scientific). For each measurement, 50 embryos were lysed.
313 Each specimen was divided into two fractions. Reverse transcriptase was added to one fraction, and
314 water into the other fraction [the RT(-) control]. No amplification was observed in the RT(-) controls.

315 Because *Zic-r.a* is a maternal mRNA (Nishida and Sawada, 2001; Satou et al., 2002), and its amount is
316 thought to remain constant in the early embryos, we used it as an internal control. Taqman chemistry was
317 used for qPCR. The probes and primers are listed in Table 2.

318 For quantifying endogenous *Efna.d* expression using RT-qPCR in the experiment shown in
319 Figure 4C and E, RNA was extracted using the RNeasy kit (Qiagen) from isolated blastomeres. In Figure
320 4C, 50 eggs/embryos were used for each of three independent experiments. In Figure 4E, 74 and 100
321 partial embryos were used for the first and second independent experiments, respectively. After DNase
322 treatment, each specimen was divided into two fractions. One was used for setting up the RT(-) controls,
323 in which no amplification was observed. In this experiment, we used a primer set that are designed to
324 amplify a region within the first intron of *Efna.d* to detect the nascent transcripts (Table 2). SYBR Green
325 chemistry was used for qPCR. Specific amplifications were confirmed by melting curve analyses. *Pou2*
326 was used as the internal control, because *Pou2* mRNA is maternally expressed and not localized in
327 specific blastomeres.

328 For measuring the expression of the reporter gene, *Efna.d>Gfp*, 100 embryos were collected,
329 and RNA was extracted from them using the RNeasy kit (Qiagen). After DNase treatment, each
330 specimen was divided into two fractions. Reverse transcriptase was added to one fraction and water into
331 the other fraction. We detected amplification in the RT(-) controls, probably because a large amount of
332 the reporter DNA was introduced and therefore it was not completely removed by the DNase treatment.
333 We calculated the amount of RNA-derived cDNA by comparison between each pair of RT(+) and RT(-)
334 samples. SYBR Green chemistry was used for qPCR. Specific amplifications were confirmed by melting
335 curve analyses.

336 **5.4. Western blotting and immunostaining**

337 Antibodies against Gata.a and Tcf7 were made in our previous study (Oda-Ishii et al., 2016). An
338 antibody against β -catenin was produced in a previous study (Kawai et al., 2007), and was a kind gift
339 from Professor Hiroki Nishida of Osaka University, Japan. For western blotting, 200 embryos were lysed
340 and loaded into each lane. Bands were quantified as arbitrary units by an imager (ChemiDoc XRS,
341 BioRad) using Quantity-One software (BioRad). To detect protein localization, embryos were fixed with
342 3.7% formaldehyde in PBS for detection of Gata.a and with 1% paraformaldehyde in sea water for
343 detection of β -catenin. The TSA plus kit (Perkin Elmer) was used for fluorescence detection.

344 ImageJ was used for quantification of fluorescence intensities. For each cell, a section with clear
345 DAPI signal was chosen, and fluorescence intensity in a circle with a diameter of 20 pixels within the
346 nucleus was quantified. Next, from the same slice, we chose the same size of cytoplasmic region that
347 was strongly stained with the anti- β -catenin antibody, and quantified fluorescent intensity.

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357

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464

465

466 **Figure Legends**

467 **Figure 1. Analysis of the onset of zygotic gene expression by *in situ* hybridization.** Expression of (A)
468 *Foxa.a*, (B) *Sox1/2/3*, (C) *Efna.d*, (D) *Tfap2-r.b*, (E) *Foxd*, (F) *Fgf9/16/20*, and (G) *Tbx6.b* at the 2- to
469 16-cell stages revealed by *in situ* hybridization. Arrowheads indicate expression. Ant, anterior side; pos,
470 posterior side; ani, animal side; veg, vegetal side. Scale bar, 100 μ m.

471 **Figure 2. Analysis of the onset of zygotic gene expression by RT-qPCR.** Temporal gene expression
472 profiles of (A) *Foxa.a*, (B) *Sox1/2/3*, (C) *Efna.d*, (D) *Tfap2-r.b*, (E) *Foxd*, (F) *Fgf9/16/20*, (G) *Tbx6.b*,
473 and (H) *Gata.a* revealed by RT-qPCR and shown as relative values against expression levels at the 16-
474 cell stage. Maternal *Zic-r.a* mRNA was used as the endogenous control. Three independent experiments
475 were performed and are represented by differently colored bars. Differences in expression levels
476 between the 2- and 4-cell stages and between the 4- and 8-cell stages were analyzed by paired t-test.
477 Significant differences (less than 5%) are shown in panels. Note that *Gata.a* is maternally expressed and
478 included as a control.

479 **Figure 3. Expression of a *Gfp* reporter construct containing the upstream region of *Efna.d* in early**
480 **embryos.** (A) The ratio of embryos that expressed *Gfp* mRNA at the 2-cell and 16-cell stages was
481 revealed by *in situ* hybridization. Two independent experiments were performed and are represented by
482 differently colored bars. (B) Temporal expression profiles of the reporter genes were measured by RT-
483 qPCR and shown as relative values against expression levels at the 16-cell stage. Maternal *Zic-r.a*
484 mRNA was used for normalizing the data. Two independent experiments were performed and are
485 represented by differently colored bars.

486 **Figure 4. Expression of *Efna.d* in the animal and vegetal hemispheres at the 8-cell stage.** (A)
487 Expression of the reporter gene, containing the upstream region of *Efna.d*, at the 8-stage, was revealed
488 by *in situ* hybridization. Weak signals can be observed in the vegetal cells (A4.1 and B4.1) and strong
489 signals in the animal cells (a4.2 and b4.2) of 8-cell embryos. Scale bar, 100 μ m. (B) The ratio of
490 embryos that expressed *Gfp* mRNA at the 8-cell stage. We regarded clear spots [which were similar to
491 the spots in the animal cells of the 8-cell embryos shown in (A)] as strong, and faint spots [which were
492 similar to the spots in the vegetal cells of the 8-cell embryos shown in (A)] as weak. We examined 58
493 embryos from two batches. (C) The amount of endogenous *Efna.d* mRNA in unfertilized eggs, fertilized
494 eggs, 2-, 4-, 8-, and 16-cell embryos was measured using RT-qPCR with a set of intron primers that
495 amplify a sequence within the first intron. *Pou2* was used for normalizing the data. Three independent
496 experiments were performed and are represented by differently colored bars. No specific amplification
497 was detected in early embryos (nd). (D) Methodology for the experiment to examine the expression of
498 endogenous *Efna.d* in the animal and vegetal halves of 8-cell embryos. At the 8-cell stage, the animal
499 and vegetal hemispheres were isolated using a fine glass needle. (E) The amount of endogenous *Efna.d*
500 mRNA in the animal and vegetal hemispheres was measured using RT-qPCR. *Pou2* was used for
501 normalizing the data. Two independent experiments were performed and are represented by differently
502 colored bars. Amplification was not detected in negative control samples in which reverse transcriptase
503 was not added (nd).

504 **Figure 5. Expression and distribution of Gata.a and β -catenin.** (A) Immunostaining of early embryos
505 with antibodies against Gata.a. All nuclei are stained. In the bottom panels, nuclei are shown by DAPI
506 staining. Images are Z-projected image stacks. Scale bar, 100 μ m. (B) A western blot using the antibodies
507 against Gata.a. Lysates prepared from 200 embryos were loaded in each lane. In two independent

508 experiments, the bands were quantified, and the intensities are shown as relative values to those of
509 embryos at the 16-cell stage. Results of the two experiments are shown using different colors. (C)
510 Immunostaining of early embryos with antibodies against β -catenin. In the bottom panels, nuclei are
511 shown by DAPI staining. Images are Z-projected image stacks. The brightness and contrast levels were
512 linearly adjusted. Note that nuclei, except for those in the vegetal cells, of 16-cell embryos lack signals.
513 Scale bar, 100 μ m. (D) Quantification of fluorescence intensities of signals for nuclear and cytoplasmic
514 β -catenin. The y-axis represents nuclear/cytoplasmic ratios for β -catenin signal intensity. Difference
515 between the animal and vegetal halves of 8-cell and 16-cell embryos was tested by the Wilcoxon rank
516 sum test. All data measured are plotted as individual dots and summarized values are shown as box-and-
517 whisker plots.

518 **Figure 6. Expression of *Foxa.a*, *Efna.d*, and *Foxd* in *Pem-1* morphants.** In *Pem-1* morphants, (A)
519 signals for *Foxa.a* expression were observed in all blastomeres at the 8-cell stage, while no signals for
520 (B) *Efna.d* and (C) *Foxd* expression were observed. The number of embryos examined and percentage of
521 embryos that expressed *Foxa.a*, *Efna.d*, and *Foxd* are shown in each photograph. Lateral views are
522 shown. Note that *Pem-1* morphants show defects in the anterior–posterior axis (Negishi et al., 2007).
523 Arrowheads indicate expression. Scale bar, 100 μ m.

524 **Figure 7. Nuclear translocation of β -catenin and *Foxd* expression in embryos treated with the**
525 **GSK3 inhibitor BIO.** (A, B) Immunostaining of BIO-treated embryos with antibodies against β -catenin.
526 (A', B') DAPI staining indicates the nuclei of the embryos shown in (A) and (B). Images are Z-projected
527 image stacks. (C) Quantification of fluorescence intensities of signals for nuclear and cytoplasmic β -
528 catenin in BIO-treated embryos. The y-axis represents nuclear/cytoplasmic ratios of β -catenin signal

529 intensity. All data measured are plotted as individual dots and summarized values are shown as box-and-
530 whisker plots. (D) The relative *Foxd* expression level in BIO-treated embryos and DMSO-treated control
531 embryos was measured using RT-qPCR. Three independent experiments were performed, and are
532 represented by differently colored bars. Paired *t*-tests were performed for comparing data between the 4-
533 and 8-cell embryos treated with BIO and between the 8-cell embryos with and without the BIO
534 treatment, and showed significant differences. (E) *In situ* hybridization of *Foxd* in an 8-cell embryo
535 treated with BIO. Arrowheads indicate *Foxd* expression.

536 **Figure 8. Expression of *Foxd* initiates at the 8-cell stage in *Cdc25* morphants.** (A, B) Injection of a
537 MO against the cell-cycle regulator *Cdc25* extends the lengths of the cell-cycle. (A) Averaged cell cycle
538 lengths are shown in bars. (B) The average time duration of cell divisions for wild type embryos,
539 embryos injected with a control *lacZ* MO, and embryos injected with the *Cdc25* MO. (C, D) Expression
540 of *Foxd* in *Cdc25* morphants at the (C) 4-cell and (D) 8-cell stages. The number of embryos examined
541 and proportion of embryos that expressed *Foxd* are shown within the panels. Arrowheads indicate
542 expression. Scale bar, 100 μ m. (E) The amount of *Foxd* mRNA was measured using RT-qPCR at 130
543 min after fertilization in embryos injected with *lacZ* (control) MO or *Cdc25* MO. Three independent
544 experiments were performed and are represented by differently colored bars.

545

546 **Table 1. Names and identifiers for genes that were used in the present study**

Gene name	Gene identifier
<i>Foxd</i>	CG.KH2012.C8.396/890
<i>Sox1/2/3</i>	CG.KH2012.C1.99
<i>Efna.d</i>	CG.KH2012.C3.716
<i>Tfap2-r.b</i>	CG.KH2012.C7.43
<i>Fgf9/16/20</i>	CG.KH2012.C2.125
<i>Tbx6.b</i>	CG.KH2012.S6541/2/3
<i>Gata.a</i>	CG.KH2012.L20.1
<i>β-catenin</i>	CG.KH2012.C9.53
<i>Foxa.a</i>	CG.KH2012.C11.313
<i>Pou2</i>	CG.KH2012.C4.85
<i>Zic-r.a (Macho-1)</i>	CG.KH2012.C1.727
<i>Cdc25</i>	CG.KH2012.C5.12

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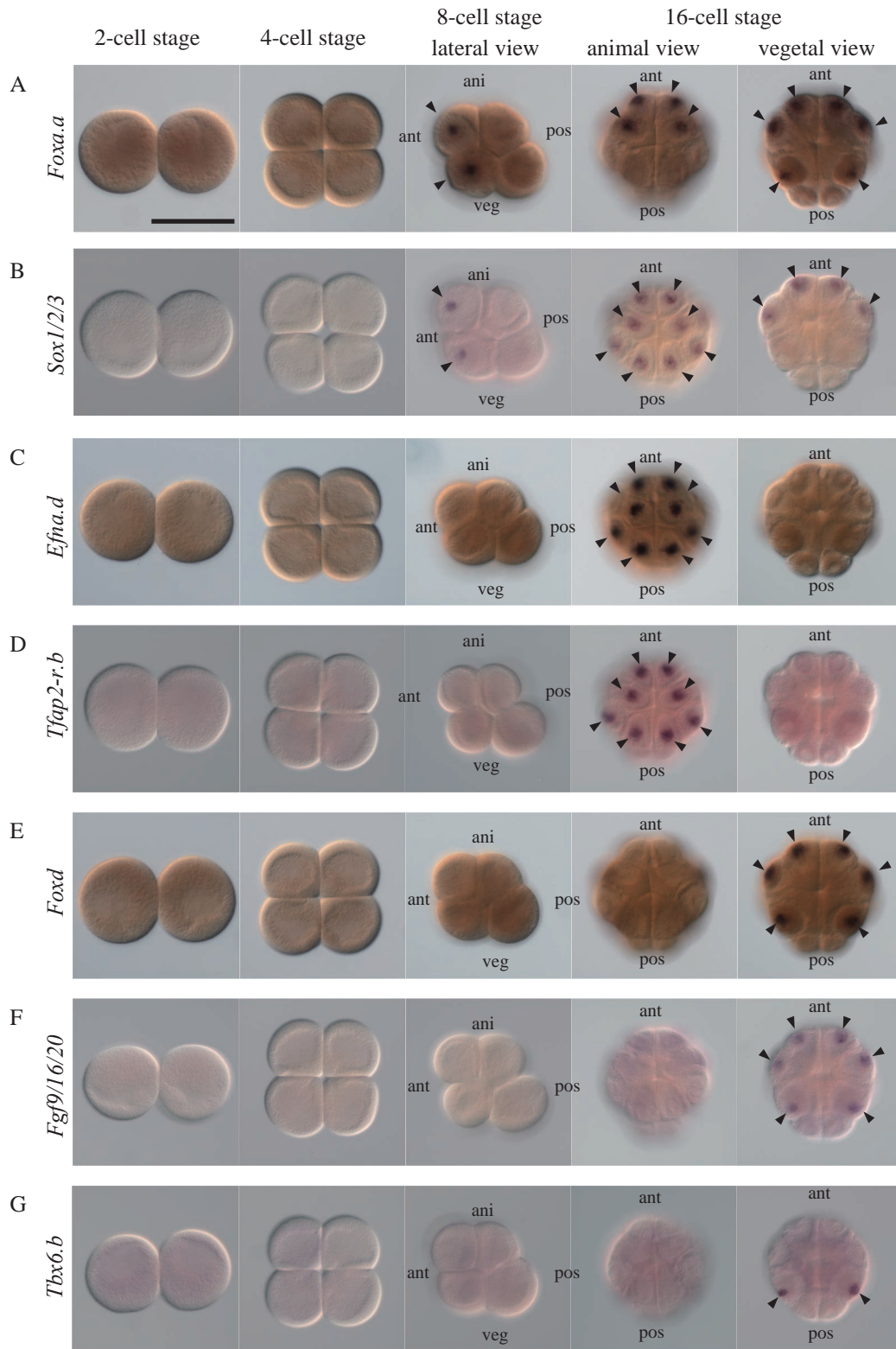
549 **Table 2. Primers and probes used for RT-qPCR.**

Gene	Probes and primers
<i>Foxa.a</i>	Probe : 5'-FAM-TCTGCCGTTGAAGTTAGTTCGCCATCC-TAMRA-3' Forward primer : 5'-TTCAACACCACCACACTCAACAG-3' Reverse primer : 5'-CGTGTTCAATGCCATGTTTC-3'
<i>Sox1/2/3</i>	Probe : 5'-FAM-ATTTATGGTGTGGTCTCGCGGGCAA-TAMRA-3' Forward primer : 5'-CAAAGTACCACAAGAGCAGAGAGTGA-3' Reverse primer : 5'-GGTTGTCTGTGCCATCTTTCT-3'
<i>Efna.d</i>	Probe : 5'-FAM-TTGTCTGCTGTACCACGCAACGGAA-TAMRA-3' Forward primer : 5'-CGGATTTCTGTTTCCAGTATTGC-3' Reverse primer : 5'-GCCGCTCTGTTTGCCTCTT-3'
<i>Tfap2-r.b</i>	Probe : 5'-FAM-TACACCAGCTATTTGCGCTGCGATGA-TAMRA-3' Forward primer : 5'-CCAACGACCTCTTACACATTTTCAG-3' Reverse primer : 5'-GATAACGCAGCATCTCCGTTAAGT-3'
<i>Foxd</i>	Probe : 5'-FAM-TCATTATCGTCACCAGCAACCCTTGTACG-TAMRA-3' Forward primer : 5'-AACTCAACATTCAGCTTTGAACGA-3' Reverse primer : 5'-ATTTTCGGCAACCAGTTTTGG-3'
<i>Fgf9/16/20</i>	Probe : 5'-FAM-TTGCCAGGTAGAGACCACTTGCGACACC-TAMRA-3' Forward primer : 5'-ACCCAAGAAAGCCACAATCAATACG-3' Reverse primer : 5'-TCCGAAGCATACAATCTTCCTTTGC-3'
<i>Tbx6.b</i>	Probe : 5'-FAM-CCATTGTTGCCCGCTGCAAGGTGAGT-TAMRA-3' Forward primer : 5'-AACCCCAAGTTCCGCAGAGA-3' Reverse primer : 5'-CATGGAGTGTATGAGGAACTTTCCA-3'
<i>Gata.a</i>	Probe : 5'-VIC-CCTCAGGACACTTTCTGTGCAGCACG-TAMRA-3' Forward primer : 5'-AACCACGTGAGTGCCTGAAC-3' Reverse primer : 5'-ACAGGTGCCCGCATATAGCTA-3'
<i>Zic-r.a</i>	Probe : 5'-VIC-ACGGTCACTTTAGCACCTCCACCA-TAMRA-3' Forward primer : 5'-CCCAGTATGCACCAAATTCAGA-3' Reverse primer : 5'-TGGTGAGAAAACGGGTGAAAC-3'
<i>Efna.d</i> intron (Fig. 4)	Forward primer : 5'-TGCCAAGGCCGATTACGA-3' Reverse primer : 5'-CGGGCGGCAGTTTCG-3'
<i>Pou2</i>	Forward primer : 5'-TACCACAGCATACTGGACAACA-3' Reverse primer : 5'-GGCGCTGAGGTAATGCTTTG-3'
<i>Gfp</i>	Forward primer : 5'-GGGCACAAGCTGGAGTACAAC-3' Reverse primer : 5'-TGGCCTTGATGCCGTTCT-3'

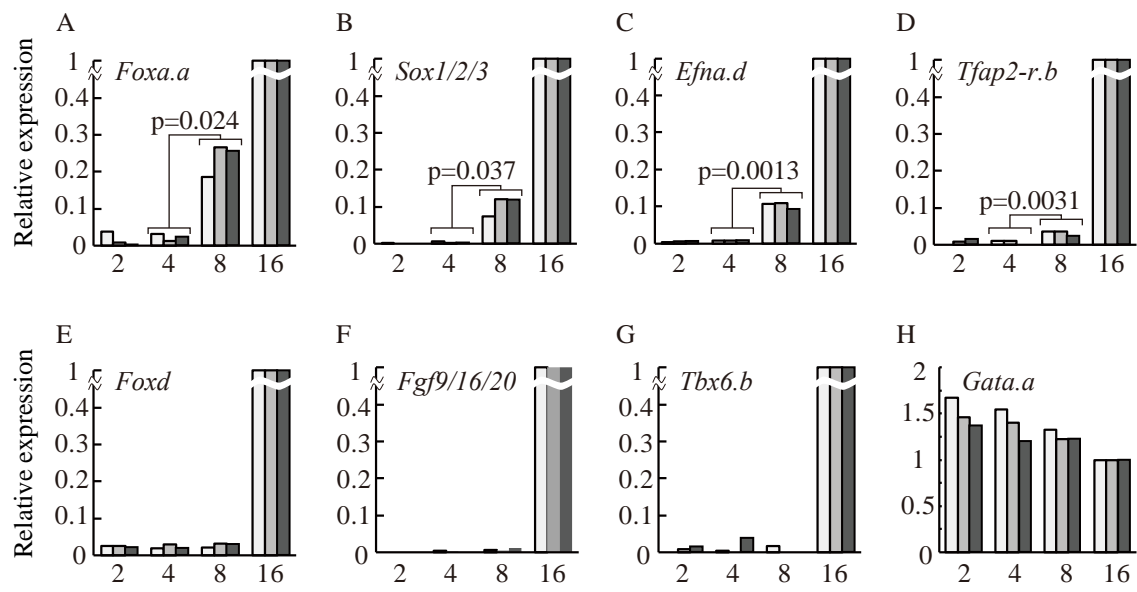
550 Note that the last three sets of primers were used for measurement by the SYBR Green method and no

551 probes were used.

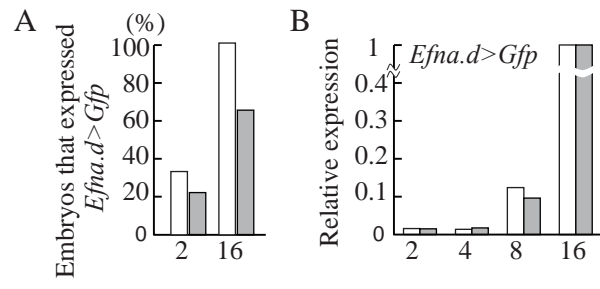
Oda Fig.1



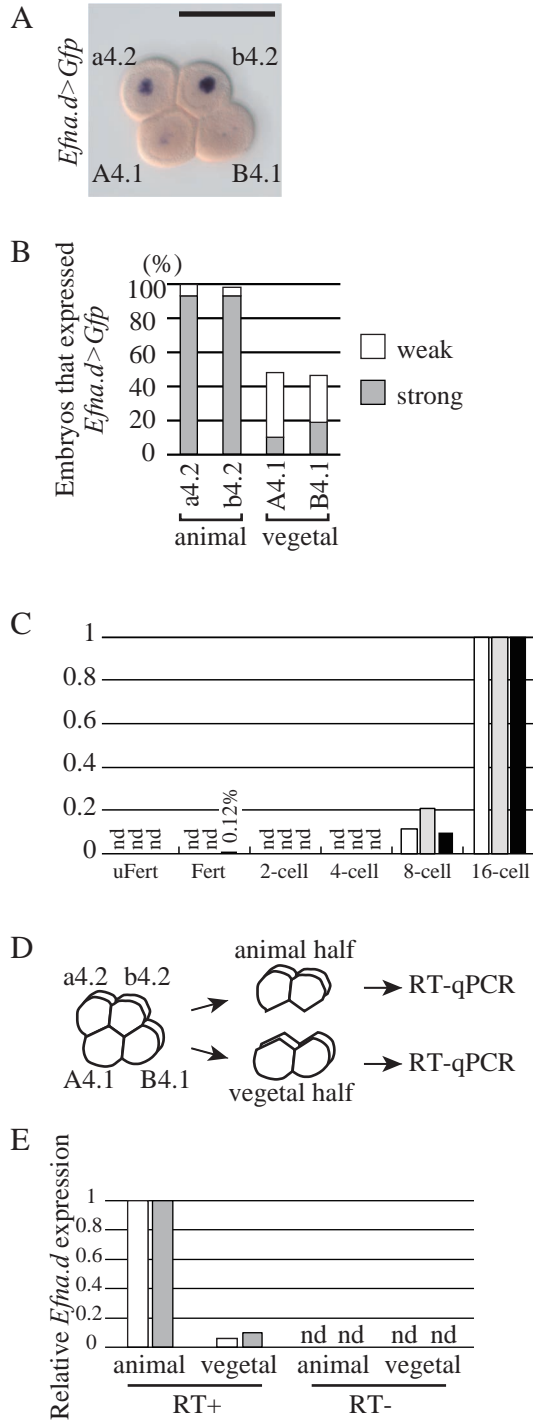
Oda Fig.2

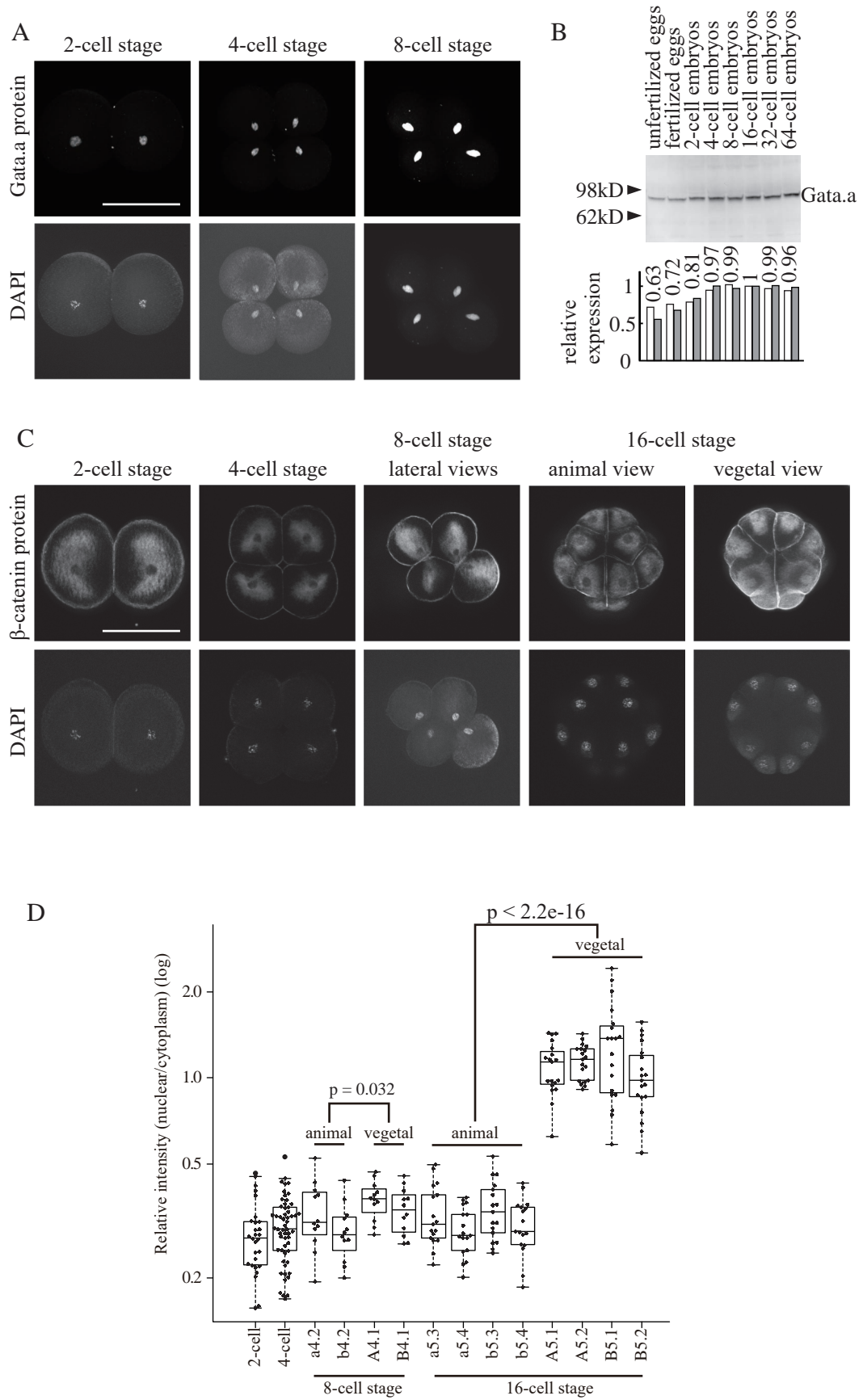


Oda Fig. 3

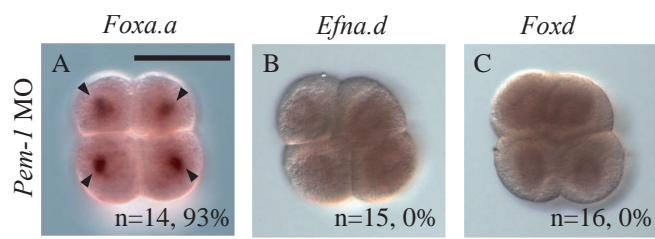


Oda Fig. 4





Oda Fig. 6



Oda Fig. 7

