

Title	Effects of two stretching methods on shoulder range of motion and muscle stiffness in baseball players with posterior shoulder tightness: a randomized controlled trial
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Posterior shoulder stretching in baseball players

1 **Title: Effects of two stretching methods on shoulder range of motion and muscle**
2 **stiffness in baseball players with posterior shoulder tightness: a randomized**
3 **controlled trial**

4

5 **Running title: Posterior shoulder stretching in baseball players**

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33 This study has been approved by the Ethics Committee of the Kyoto University Graduate
34 School and Faculty of Medicine (approval no.: E2331).

35 **Abstract**

36 **Background:** The cross-body stretch and sleeper stretch are widely used for improving
37 flexibility of the posterior shoulder. These stretching methods were modified by Wilk.
38 However, few quantitative data are available on the new, modified stretching methods. A
39 recent study reported the immediate effects of stretching and soft tissue mobilization on the
40 shoulder range of motion (ROM) and muscle stiffness in subjects with posterior shoulder
41 tightness. However, the long-term effect of stretching for muscle stiffness is unknown. The
42 objective of this study is to examine the effects of two stretching methods, the modified
43 cross-body stretch (MCS) and the modified sleeper stretch (MSS), on shoulder ROM and
44 muscle stiffness in baseball players with posterior shoulder tightness.

45 **Methods:** Twenty-four college baseball players with ROM limitations in shoulder internal
46 rotation were randomly assigned to the MCS or MSS group. We measured shoulder
47 internal rotation and horizontal adduction ROM and assessed posterior shoulder muscle
48 stiffness with ultrasonic shear wave elastography before and after a 4-week intervention.
49 Subjects were asked to perform 3 repetitions of the stretching exercises every day, for 30 s,
50 with their dominant shoulder.

51 **Results:** In both groups, shoulder internal rotation and horizontal adduction ROM were
52 significantly increased after the 4-week intervention. Muscle stiffness of the teres minor
53 decreased in the MCS group and that of infraspinatus decreased in the MSS group.

54 **Conclusions:** The MCS and MSS are effective for increasing shoulder internal rotation and
55 horizontal adduction ROM and improving muscle stiffness of the infraspinatus or teres
56 minor.

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58 **Level of evidence:** Treatment study, randomized controlled study, level 2

59

60 **Key words:**

61 shear wave elastography; modified sleeper stretching; modified cross-over stretching;

62 posterior shoulder tightness; baseball; infraspinatus; teres minor

63

64

65 **Introduction**

66 In the throwing motion in baseball, significant force is generated in the posterior
67 shoulder, especially in the release to follow-through phases¹⁰. Due to this force generation,
68 baseball players often exhibit glenohumeral internal rotation deficit (GIRD) and
69 glenohumeral horizontal adduction deficit (GHAD) in their throwing arm^{3, 4, 25, 34, 35}.
70 Limitation in range of motion (ROM) may be caused by reduced soft tissue flexibility in
71 the posterior shoulder region, referred to as posterior shoulder tightness^{4, 25}. Baseball
72 players with shoulder pathology have previously been reported to exhibit GIRD or GHAD^{6,}
73 ^{24, 32, 33}, and those with GIRD or GHAD have been reported to be at high risk for
74 developing shoulder pathology^{34, 38}; posterior shoulder tightness is therefore considered to
75 be related to throwing injuries.

76 In regard to the relationship between posterior shoulder tightness and soft tissues in
77 the posterior shoulder region, several studies have focused on the posterior glenohumeral
78 joint capsule^{11-13, 22, 23, 35}. On the other hand, several other studies have correlated certain
79 muscles and posterior shoulder tightness, with some of them suggesting that baseball
80 pitching and exercises involving shoulder external rotators are associated with immediate
81 development of GIRD or GHAD along with exhaustion or mobility deficits of shoulder
82 external rotators^{8, 28, 31, 40}. In addition, some reports have shown increase in shoulder
83 internal rotation (IR) or horizontal adduction (HA) ROM with physical therapy aimed at
84 improving extensibility of the posterior shoulder muscles^{2, 4, 21, 30, 41} or with dissection of the
85 infraspinatus and teres minor muscles in cadaveric shoulders⁵. A recent study by Bailey et

86 al. showed that the decrease of the infraspinatus stiffness leads to acute gain in shoulder
87 ROM². Therefore, not only the posterior glenohumeral joint capsule, but also the posterior
88 shoulder muscles may be related to posterior shoulder tightness. However, few studies have
89 examined the differences in muscle stiffness between the throwing and non-throwing sides².

90 Among the various stretching methods developed with the aim of reducing posterior
91 shoulder tightness, the cross-body stretch, in which the shoulder is horizontally adducted,
92 and the sleeper stretch, in which the shoulder is internally rotated, are used widely^{17-20, 27}.
93 Recently, a few authors proposed that scapular stabilization during the cross-body stretch
94 enhanced the stretching effects on the posterior glenohumeral joint^{27, 38}. Indeed, Salamh et
95 al. demonstrated that manual scapular stabilization increases the effects of stretching, when
96 the shoulder is horizontally adducted by a therapist³³. On the other hand, these stretching
97 methods can be painful in some cases²⁰. For these reasons, Wilk et al. developed the
98 modified cross-body stretch (MCS) and the modified sleeper stretch (MSS)³⁸. However,
99 little is known about the effects of these stretching methods for reducing GIRD and GHAD.
100 In addition, the effects of these stretching exercises on muscle stiffness, which can be
101 measured as shear elastic modulus using ultrasonic shear wave elastography (SWE)
102 imaging²⁶, are not clear.

103 Therefore, this study aimed to compare baseline glenohumeral ROM and muscle
104 stiffness between the throwing and non-throwing sides and to examine the effects of an
105 intervention using the MCS and MSS in baseball players with posterior shoulder tightness

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106 of the throwing side. This information will help clinicians select the appropriate stretching

107 method for preventing and improving posterior shoulder tightness in baseball players.

108

109 **Materials and Methods**

110 This is a randomized controlled study examining the effects of the MCS and MSS
111 performed for 4 weeks in college baseball players with posterior shoulder tightness.

112

113 **Subjects**

114 Twenty-four college baseball players volunteered for this study. They were
115 randomly assigned to the MCS (N = 12) or MSS groups (N = 12). The inclusion criterion
116 for selection of players that they were participating in daily practice, had posterior shoulder
117 tightness which was evaluated as the presence of GIRD > 10° on the throwing side
118 compared with the non-throwing side^{20,29}. The exclusion criterion was inability to perform
119 stretching exercises because of injury or pain, a history of surgery of the upper arm, or
120 being rehabilitated for the disabled throwing shoulder. Using previously published changes
121 in muscle shear elastic modulus after stretching intervention²⁶, a power of 0.80, an alpha
122 level of 0.05, and large f of 0.4 were assumed for the two-way factorial analysis of variance,
123 which determined the sample size of 13 per group. Those who were injured during the
124 intervention and were unable to perform stretching exercises were excluded from the
125 analysis. Written informed consent was obtained from each participant. This study was
126 approved by the ethics committee of the Kyoto University Graduate School and Faculty of
127 Medicine (approval number E2331).

128

129 **Procedures**

130 The testing was conducted in a laboratory at the Kyoto University. Twenty-four
131 participants were randomized by the author using computer-generated permuted block
132 randomization. The permutation lists were CCSS, CSCS, CSSC, SSCC, SCSC, and SCCS
133 (C: MCS, S: MSS). A series randomization procedure was conducted after the recruitment.
134 All measurements were performed by one tester with one or two assistants, who were not
135 blinded to the group assignment. Bilateral pre- and post-intervention (4 weeks)
136 glenohumeral ROM and muscle stiffness were assessed in each subject. To reduce
137 deterioration of reproducibility, the pre- and post-intervention measurements were
138 performed at the same time of the day.

139

140 **Glenohumeral ROM Measurements**

141 Prior to the ROM measurement, the subjects performed warm-up exercises consisting
142 of 3 repetitions of shoulder flexion, held at the end range with hands clasped, for 10 s²⁰. We
143 used a digital angle meter (WR300, Wixey, USA) to measure passive glenohumeral IR,
144 external rotation (ER), and horizontal adduction (HA) ROM. The ROM measurement
145 method conformed to that used in previous studies^{37, 39}. ROM measurements were
146 performed with subjects in the supine position, the test shoulder in 90° abduction and elbow
147 in 90° flexion, and the scapula stabilized. Each measurement was performed twice, and the
148 average values were used for analysis. Total ROM was calculated by adding the IR and ER
149 ROM.

150

151 **Assessment of Shoulder Muscle Stiffness Using SWE**

152 We used the ultrasonic SWE with a 2–10 MHz linear array probe (Aixplorer, Super-
153 Sonic Imagine, Aix en Provence, France) to assess stiffness (shear elastic modulus) of the
154 posterior shoulder muscles, i.e., infraspinatus, teres minor, and posterior deltoid. The
155 previous study reported that the muscle shear modulus measured by using the ultrasonic
156 SWE is highly correlated with Young's modulus from traditional material testing⁹. The
157 ultrasonic SWE could measure the muscle shear modulus at a wide range, and it has high
158 repeatability, with values of 0.978 and 0.948 between trials and between days,
159 respectively⁴². In the assessment using SWE, a color-coded box showing the shear elastic
160 modulus was superimposed on the B-mode ultrasound image, and the circular region of
161 interest was set near the central part of the muscle²⁶ (Fig. 1). In this study, we used the
162 average circular region of interest for analysis.

163 Assessment of muscle stiffness was performed in two positions: (1) the subject in
164 the sitting position, with the test shoulder in 90° abduction and 40° IR, and the elbow in 90°
165 flexion (2nd IR); (2) the subject in the sitting position, with the test shoulder in 110° HA
166 and the elbow in 90° flexion (HA). Subjects were instructed to remain relaxed, and their
167 shoulder was moved passively to the assessment position by an assistant. The shoulder and
168 elbow angles were confirmed with a goniometer, and the assistant supported the arm during
169 stiffness measurement. For the measurement at the 2nd IR position, the scapula was
170 stabilized by another assistant who grasped the coracoid. However, the scapula was not

171 stabilized during the measurement in the HA position because the probe placement was
172 near the lateral border of the scapula, which could not be grasped for stabilization. The
173 probe placement for each muscle was as follows (Fig.2): The infraspinatus was measured at
174 the midpoint between the spine of the scapula and inferior angle of the scapula, and the
175 probe was placed parallel to the infraspinatus. The teres minor was measured near the
176 midpoint of the inferior angle of the scapula and the greater tubercle, where the teres minor
177 was identified with the probe vertical to it; the probe was then placed parallel to the teres
178 minor. The posterior deltoid was measured 4 cm below the posterior acromion. Each
179 measurement was performed twice, and the average of the two values was used for analysis.

180

181 **Two Stretching Methods — MCS and MSS**

182 The modified conventional stretching methods, i.e., the MCS and MSS, are shown in
183 Fig.3. The MCS was performed with the subjects in the side lying position on the throwing
184 side to stabilize the scapula; the forearms were aligned, with the opposite forearm on top to
185 restrict external rotation of the stretched shoulder; and the humerus of the throwing side
186 was moved into HA using the opposite arm. The MSS was performed with the subjects in
187 the side lying position on the throwing side; the trunk was rolled 30° posteriorly on the
188 throwing side to decrease the pressure at the glenohumeral joint; a towel was placed under
189 the subject's humerus to increase the amount of glenohumeral HA; and the humerus of the
190 throwing side was moved into IR using the opposite arm. Subjects were instructed to

191 perform 3 repetitions of the stretches on the throwing side only, once daily after practice or
192 before going to bed, for 4 weeks, and to hold each stretch for 30 s.

193

194 **Intra-rater Reliability**

195 Because no intervention was applied to the non-throwing side, the intra-rater
196 reliability of each measurement was established using the pre- and post- intervention values
197 of the non-throwing side. The average value of two measurements was used for calculating
198 the intraclass correlation coefficient [ICC (1, 2)]. The ICC (1, 2) values for each
199 measurement are shown in Table 1. The standard error of mean (SEM) values of each item
200 are also shown in the same table. In regard to the intra-rater reliability in this study, the ICC
201 (1, 2) values for glenohumeral ROM and muscle stiffness were >0.8 and >0.7 , respectively.
202 Landis and Koch proposed that ICC values from 0.61 to 0.80 should be considered as
203 “good” and those from 0.81 to 1.00 as “very good”¹⁶.

204

205 **Statistical Analysis**

206 R 2.8.1 was used to provide the ICC (1, 2) and the SEM. SPSS ver. 17 (SPSS Japan,
207 Tokyo, Japan) was used for statistical processing. To compare the baseline glenohumeral
208 ROM and muscle stiffness between the throwing and non-throwing sides, we used the
209 paired t-test or Wilcoxon signed-rank test depending on whether the data followed a normal
210 distribution. To examine the effect of intervention with respect to all variables, a two-way

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211 factorial analysis of variance (group \times time) was used, and post hoc comparison was made
212 for the main effect using the paired t-test or Wilcoxon signed-rank test depending on
213 whether the data followed a normal distribution. Effect sizes were calculated using
214 Microsoft Excel. Between the throwing and non-throwing sides, the effect size was
215 calculated as [throwing side mean – non-throwing side mean]/pooled SD, and within-group
216 effect size was calculated as [post mean – pre mean]/pre SD. Differences were considered
217 statistically significant at values of $P < 0.05$.

218

219 **Results**

220 Subjects were recruited from July 26 to November 15, 2014. In expectation of
221 losses to follow up, we recruited 24 subjects overall. One of the subjects in the MSS group
222 was excluded from the analysis due to an injury experienced during baseball practice
223 involving the non-throwing shoulder, following which he was unable to continue with the
224 stretching intervention. As a result, we analyzed 12 and 11 subjects in the MCS and MSS
225 groups, respectively, who completed this study protocol (Fig. 4). We verbally confirmed
226 that the subjects have performed the stretching more than 70% of days during the
227 intervention period. No significant differences were found between the two groups at
228 baseline (Table 2).

229

230 **Comparison of Dominant and Non-dominant Shoulders**

231 The baseline glenohumeral ROM and muscle stiffness for the throwing and non-
232 throwing sides are shown in Table 3. The IR and HA ROM were smaller, and the ER ROM
233 was larger on the throwing side compared with the non-throwing side ($P < 0.01$). In regard
234 to muscle stiffness, the infraspinatus and teres minor at the 2nd IR position and the teres
235 minor at the HA position had greater muscle stiffness on the throwing side than those on
236 the non-throwing side ($P < 0.01$). The posterior deltoid showed no significant differences
237 between the throwing and non-throwing sides.

238

239 **Shoulder ROM**

240 The glenohumeral ROM before and after 4 weeks of stretching and the amount of
241 change are shown in Table 4. A significant main effect difference was found for time on the
242 IR and HA ROM, but no interaction effects were found between groups. As a result of post
243 hoc comparison in both groups, the IR ROM (both groups; $P < 0.01$) and HA ROM (MCS;
244 $P < 0.01$, MSS; $P < 0.05$) were increased.

245

246 **Shoulder Muscle Stiffness**

247 The effects of 4 weeks of stretching on muscle stiffness are shown in Table 5. A
248 significant main effect difference was found for time on the infraspinatus and teres minor at
249 both positions, but no interaction effects were found between groups. As a result of post
250 hoc comparison, muscle stiffness of the teres minor was decreased at both positions in the
251 MCS group (both positions; $P < 0.05$). In the MSS group, muscle stiffness of the
252 infraspinatus was decreased at both positions (2nd IR; $P < 0.01$, HA; $P < 0.05$). No
253 significant main effect were found on the posterior deltoid.

254

255 **Discussion**

256 This study examined the effects of 4 weeks of the MCS and MSS in baseball
257 players with posterior shoulder tightness of the glenohumeral joint and muscle stiffness.

258 First, we compared the baseline glenohumeral ROM and muscle stiffness between
259 the throwing and non-throwing sides. In similar previous studies, IR ROM and HA ROM
260 were smaller, and ER ROM was larger on the throwing side compared with the non-
261 throwing side^{2-4, 25, 35, 36}. In regard to muscle stiffness, the infraspinatus and teres minor
262 showed significantly greater stiffness on the throwing side than the non-throwing side. In
263 the previous study examining shoulder muscle stiffness using SWE, no difference was
264 found between the throwing and the non-throwing sides in the stiffness of the infraspinatus².
265 This finding is not in accordance with our results. This discrepancy may be due to the
266 difference in the subject's measurement position and the measured region. Some of the
267 previous studies have reported that an immediate decrease in glenohumeral IR and HA
268 ROM was induced with baseball pitching or exercises involving shoulder external rotators
269 together with exhaustion or mobility deficits of these muscles^{7, 28, 31, 39}. In prior research
270 using SWE, muscle stiffness increased immediately after exercises, thereby causing muscle
271 exhaustion and microdamage^{1, 15}. It is possible that the fatigue, damage, and loss of
272 flexibility in the infraspinatus and the teres minor secondary to repetitive throwing motions
273 lead to posterior shoulder tightness. In a previous study that examined muscle activity of
274 the upper extremities during baseball pitching using needle electromyography, the teres
275 minor demonstrated the highest level of activity of all shoulder muscles during the
276 deceleration phase⁹. Moreover, Kurokawa et al. clarified that the muscle activity ratio of the

277 teres minor and infraspinatus during shoulder external rotation at 90° of abduction, which is
278 necessary during the pitching motion, was significantly higher than that at 0° of abduction
279 ¹⁴. In other words, the throwing motion requires higher intensity eccentric contraction of the
280 teres minor than the infraspinatus; the teres minor therefore tends to be more fatigued or
281 injured, which could lead to GIRD or GHAD. We suggest that the teres minor is a key
282 muscle to consider in cases of posterior shoulder tightness.

283 We will now discuss the effects of a 4-week stretching intervention. In both the MCS
284 and MSS groups, glenohumeral IR and HA ROM were increased. Concerning the effects of
285 a 4-week stretching intervention on ROM, glenohumeral IR and HA ROM were increased
286 in both the MCS and MSS groups. Regarding the amount of the change in the
287 glenohumeral ROM, no significant differences were found between groups. Compared with
288 previous studies on performance of stretching intervention for posterior shoulder tightness,
289 the amount of change was smaller in our study^{19, 20}. This is probably because lesser
290 repetition or shorter intervention period was performed in this study than the previous
291 studies^{19, 20}. Besides, performing other practices is not restricted in our study, such as
292 amount of pitching and weight training for the upper body; thus, these daily practices could
293 have affected the result of this study. In the MCS group, muscle stiffness of the teres minor
294 was decreased. In the MSS group, muscle stiffness of the infraspinatus was decreased. In
295 several previous studies examining the effects of a long-term stretching intervention for
296 posterior shoulder tightness, both the cross-body and sleeper stretches were found to be
297 effective for increasing glenohumeral IR and HA ROM¹⁸⁻²⁰. We investigated the effects of
298 the MCS and MSS, which are modifications of the cross-body and sleeper stretches, and

299 determined that they are effective for increasing glenohumeral IR and HA ROM, similar to
300 previous studies. Moreover, Akagi and Takahashi examined the effects of a 5-week
301 stretching program for the gastrocnemius using SWE and reported that muscle stiffness was
302 decreased and ankle dorsiflexion ROM was increased¹. In our study, decreased muscle
303 stiffness may be one of the reasons for the increase seen in the glenohumeral ROM.

304 Difference was found in muscles that respond to MCS and MSS for stiffness. The
305 previous study, which used cadavers in examining the effective position for stretching,
306 indicated that the infraspinatus could be stretched effectively by moving the shoulder into
307 internal rotation, but not by moving into horizontal adduction. The result of this study
308 supports the results of the previous study in that the stiffness of infraspinatus was decreased
309 only in the MSS group, wherein the shoulder is internally rotated. No studies quantitatively
310 examined the effective position with regard to the stretching of the teres minor. In this
311 study, the stiffness of the teres minor was decreased only in the MCS group, wherein the
312 shoulder is horizontally adducted. Another possibility is the difference in the side lying
313 position. Although both stretching methods were performed in the side lying position on
314 the throwing side, MSS was performed with the trunk rolled 30° posteriorly, whereas MCS
315 was performed in the normal side lying position. Therefore, while the lateral margin of the
316 scapula, which is the region of origin of the teres minor, was compressed and fixed on the
317 floor in MCS, the infraspinatus fossa may have contacted the floor in MSS, resulting in
318 effective stretching of the infraspinatus muscle.

319 So far, to the best of our knowledge, no previous studies examined the muscle
320 tightness before and after a period of stretching intervention in baseball players having
321 posterior shoulder tightness. This study showed that the MCS and MSS decreased the
322 stiffness of the teres minor and infraspinatus, respectively, and both stretching methods
323 resulted in improvement of the shoulder ROM. We think that the result of this study is
324 useful for clarifying the mechanism of posterior shoulder tightness and developing methods
325 of treatment or prevention.

326

327 **Limitations**

328 This study had several limitations. First, the number of pitches, the intensity of
329 practice, and other stretching conditions were not controlled. Despite this, the fact that the
330 intervention showed a significant effect proves that this study is meaningful and of practical
331 value concerning the use of the MCS and MSS. Second, the glenohumeral joint capsule and
332 ligaments affecting glenohumeral ROM were not examined in this study. Most previous
333 studies have focused on the correlation between the joint capsule and posterior shoulder
334 tightness^{11-13, 22, 23, 35}. In these studies, plication of cadaveric posterior shoulder capsule led
335 to decreased glenohumeral IR and change in humeral head movement during glenohumeral
336 IR and HA. We did not examine these joint components; therefore, development of new
337 methods for assessing these in vivo is desired. Third, humeral torsion was not examined in
338 this study. Bailey commented that the humeral torsion did not affect shoulder stretching²;
339 thus, we think that the humeral torsion has little relation to the result in this study. Fourth,

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340 we did not classify the subjects based on their symptoms such as pain; therefore, we could
341 not determine the influence of stretching on pain. Further investigation accounting for pain
342 in a larger sample size would be useful for assessing the effects of the MCS and MSS.

343

344

345 **Conclusion**

346 In this study, we compared glenohumeral ROM and muscle stiffness between the
347 throwing and non-throwing sides in baseball players with posterior shoulder tightness, and
348 examined the effects of a 4-week intervention using two stretching methods, the MCS and
349 MSS, on glenohumeral ROM and muscle stiffness. Baseball players with posterior shoulder
350 tightness exhibited smaller glenohumeral IR and HA ROM and greater muscle stiffness of
351 the infraspinatus and teres minor on the throwing side. The MCS and MSS are effective for
352 increasing shoulder IR and HA ROM and improving muscle stiffness of the infraspinatus
353 and teres minor. These stretching techniques can be performed by baseball players without
354 the help of a therapist, which enables them to treat or prevent posterior shoulder tightness
355 independently.

356

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