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1 Title

2 Comparing local- and regional-scale estimations of the diversity of stream fish using eDNA
3 metabarcoding and conventional observation methods

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19 Running title

20 Fish fauna estimation by eDNA metabarcoding

21 Keywords

22 Assemblage pattern, Environmental DNA, Metabarcoding, MiFish primers, Stream fish

23 **Summary**

24 1. We present a performance evaluation of environmental DNA (eDNA) metabarcoding with
25 MiFish-U/E primers to investigate local and regional diversities of stream fish species to
26 examine potential effectiveness, limits, and future remedies of this technique in large-scale
27 monitoring. We hypothesized that eDNA inferences are more consistent with fish assemblages
28 observed upstream than downstream due to a directional flow of river water.

29 2. River water was sampled at 102 sites in 51 rivers around Lake Biwa in the central part of
30 Honshu Island, Japan, within 10 person-days; and fish species compositions inferred from
31 eDNA and existing observational data were compared. Observation sites were chosen from
32 the observational data that were within a certain distance (buffer range) of a water-sampling
33 site along a river trajectory. The hypothesis of the detection bias of eDNA toward upstream
34 assemblage was tested by comparing results with all of the observational data, data from a
35 higher elevation, and data from a lower elevation. The Jaccard dissimilarity index was plotted
36 between the observational data and the eDNA estimates against the buffer range; the buffer
37 range with minimum dissimilarity was chosen.

38 3. When using existing observational data from within 6 km upstream of the eDNA sampling
39 sites, the eDNA results were the most consistent with the observational data and inferred
40 88.6% of the species reported (38/44), as well as two additional species. eDNA results also
41 showed patterns consistent with known upstream–downstream turnover of related species and
42 biogeographical assemblage patterns of certain species.

43 4. Our 10-person-days survey using the metabarcoding technique enabled us to obtain as
44 much regional fish diversity data including the hypothesized pattern of eDNA detection with
45 an upstream bias as the accumulated observational data obtained through greater amounts of
46 time, money, and labor. The problems regarding false-positive/negative detection were
47 suggested in our survey, however, these should be decreased or removed by modifying the
48 sampling methods and experimental procedures in future works. Therefore, we concluded this

49 new tool to enable monitoring that has never been implemented, such as cross-nation, and
50 even whole-Earth monitoring with the data at yearly, seasonal, or finer temporal scales.

51

52 **Introduction**

53 DNA shed from fishes via metabolic waste, damaged tissue, or sloughed skin cells has been
54 detected in various aquatic environments (Kelly *et al.*, 2014a), including ponds (Takahara *et*
55 *al.*, 2012, 2013; Sigsgaard *et al.*, 2015), rivers (Jerde *et al.*, 2013; Mahon *et al.*, 2013; Wilcox
56 *et al.*, 2013; Yamanaka & Minamoto, 2016), and marine waters (Yamamoto *et al.*, 2016).

57 Genetic material found in the water column is referred to as environmental DNA (eDNA).

58 The ubiquitous presence of fish eDNA in the water column has led to its use as a tool to detect
59 invasive (Takahara *et al.*, 2013; Jerde *et al.*, 2013; Mahon *et al.*, 2013) and rare or threatened
60 species (Wilcox *et al.*, 2013; Sigsgaard, 2015), as well as to determine biomass (Takahara *et*
61 *al.*, 2012; Doi *et al.*, 2017), the movement and spawning activity of fish (Erickson *et al.*,
62 2016; Yamanaka & Minamoto, 2016), and parameters of population genetics (Sigsgaard *et al.*,
63 2016). These pioneering studies have shown that eDNA can be used as a noninvasive genetic
64 monitoring tool in various fields of fish biology.

65 While these studies detected known target species using specific PCR primers, recent
66 advances in the technology of metabarcoding using universal PCR primers enabled us to
67 elucidate the complete biodiversity using eDNA (Thomsen *et al.*, 2012a; Kelly *et al.*, 2014a;
68 Valentini *et al.*, 2016). At present, there are a few universal primer sets for eDNA
69 metabarcoding of fishes (12S-V5: Riaz *et al.*, 2011; Kelly *et al.*, 2014b; Mifish-U/E: Miya *et*
70 *al.*, 2015; Ac12s and Ac16s: Evans *et al.* 2016; teleo: Valentini *et al.*, 2016). The performance
71 of the MiFish-U/E primers has been examined using eDNA samples from large aquariums
72 with known species compositions and has demonstrated a high potential to identify fishes
73 (>90% of expected species) from diverse taxonomic species (168 species from 14 orders)
74 (Miya *et al.*, 2015). However, only a few field evaluations have been conducted using

75 MiFish-U/E in marine ecosystems (Miya *et al.*, 2015; Yamamoto *et al.*, 2017). To establish
76 this technology as a practical tool for field monitoring, the MiFish-U/E primers must be
77 evaluated in the field in various aquatic ecosystems (e.g., Valentini *et al.*, 2016; Civade *et al.*,
78 2016).

79 Here, we present the first performance evaluation of this technique in rivers. Rivers differ
80 from other aquatic habitats (e.g., oceans, coasts, swamps, or lakes) in several ways, such as
81 the continuous and directional water flow and one-dimensional species turnover along a river
82 continuum (Allan & Castillo, 2007). In lotic ecosystems, genetic materials shed from
83 organisms are expected to flow downstream until they are chemically or biologically
84 decomposed (Deiner & Altermatt 2014; Jane *et al.* 2014; Wilcox *et al.* 2016). As such, eDNA
85 collected from fishes should represent the combination of upstream and local fish
86 assemblages at a river sampling site (Civade *et al.*, 2016; Deiner *et al.*, 2016). In contrast,
87 direct observations and sampling provide more pinpoint data compared with eDNA methods.

88 Therefore, we aimed (i) to test the hypothesis that estimations of fish species composition
89 using eDNA will better reflect the assemblages observed at sites upstream from the water
90 sampling location than those observed downstream, and (ii) to examine potential
91 effectiveness, limits, and future remedies of the technique in large-scale monitoring. Lake
92 Biwa and the surrounding watersheds encompass one of the most species-rich freshwater
93 regions in Japan (Kawanabe & Mizuno, 2001; Uonokai, 2005). The diversity and distribution
94 of fish in the region has also been intensively surveyed by a local museum and corporate
95 volunteers (Uonokai, 2005). This makes the region ideal for a field test of MiFish-U/E to
96 assess river fish diversities and examine the above hypothesis. We sampled river water from
97 102 sites in 51 rivers and tested the performance of the primers by comparing data inferred
98 from eDNA to existing observational data.

99

100 **Methods**

101 *Sampling sites and previous surveys of fish distributions*

102 Lake Biwa, the largest and oldest lake in Japan, is located in the central part of Honshu Island
103 and has more than 100 river inflows. With the exception of the southern side, Lake Biwa is
104 surrounded by highlands: the Hira Mountain range to the west, the Tanba Highlands to the
105 northwest, the Suzuka Mountain range to the east, and the Nosaka and Ibuki Highlands to the
106 northeast. A relatively large plain lies to the east of the lake (Fig. 1). In Japan, these
107 geological structures separating watersheds generate complex faunal structures of freshwater
108 fish species (i.e., biogeographical borders; Watanabe, 2012). Those faunal structures are not
109 explained by contemporary differences in river environments (Awise, 2000). Indeed, despite
110 the existence of rivers with similar scales and environments, several spatial differences of
111 species composition are known at the sampling area, such as those at the eastern and western
112 sides of the lake (Kawanabe & Mizuno, 2001; Uonokai, 2005).

113 Previous studies of stream fish distribution have been conducted in both major river
114 systems (Matsumiya *et al.*, 2001; Uonokai, 2005; Ministry of Land, Infrastructure, Transport
115 and Tourism, 2005; Nakagawa, 2014) and small and minor rivers (Ministry of Land,
116 Infrastructure, Transport and Tourism Kinki Regional Development Bureau Biwako Office,
117 2004; Uonokai, 2005) of this watershed (see Appendix S1 in Supporting Information). In
118 addition, direct underwater observations of fishes have been conducted at several sites by one
119 of the authors of the present paper (H. Nakagawa, unpublished) (see Appendix S1). We
120 compared our eDNA data to these previously collected sources of data.

121

122 *Water sampling*

123 Water samples (one sample per site) were collected by H. Nakagawa at 102 sites in 51 rivers
124 around Lake Biwa (Fig. 1, see Appendix S2 in Supporting Information). Sampling was done
125 over 10 days in the period from August 1, 2014 to October 10, 2014. Sampling sites were
126 mainly in the upper-middle reaches of each river to detect fish species inhabiting lotic habitats.

127 Sampling sites were selected to cover all rivers flowing into Lake Biwa with catchment areas
128 $\geq 2.0 \text{ km}^2$, except plain rivers as possible. The elevation, slope, and extent of the catchment
129 areas of the rivers ranged from 42.4–650.3 m a.s.l, 0.1–30.5%, and 2.3–4,646.5 km^2 ,
130 respectively (see Appendix S2). For rivers or tributaries with catchment areas $\geq 50.0 \text{ km}^2$
131 within the Lake Biwa watershed, two or three sampling sites were designated along a
132 river/tributary trajectory. Sites in large rivers/tributaries that contained habitats of the majority
133 of lotic species, according to the observational data, were selected. In rivers neighboring the
134 watershed of Lake Biwa, water was collected at reaches with 10–20 m^2 flow-widths where
135 several changes in the presence/absence of fish species (e.g., *Hemibarbus longirostris*
136 (Cyprinidae) and *Niwaella delicata* (Cobitidae)) were expected because of biogeographical
137 borders. Apart from a few exceptions, *Hemibarbus longirostris* does not inhabit the northern
138 and eastern rivers around Lake Biwa (Kawanabe & Mizuno, 2001; Uonokai, 2005), and
139 *Niwaella delicata* does not inhabit rivers that flow into the northern and western sides of Lake
140 Biwa (Kawanabe & Mizuno, 2001; Kitagawa *et al.*, 2001; Uonokai, 2005).

141 A 1-L sample of surface water from a riffle habitat with a water velocity $> 20 \text{ cm s}^{-1}$ was
142 collected in a plastic bottle and immediately filtered using a glass filter (GF/F, Whatman) at
143 the edge of the stream at each sampling site. A water sampling point with no stagnation or
144 backward water flow was selected by eye. The glass filters were placed on ice, transported to
145 the laboratory, and preserved in a -20°C freezer prior to experiments. The plastic bottle and
146 all filtration equipment were bleached immediately after each sampling in a 20-L tank filled
147 with 10 L 200 ppm sodium hypochlorite in water for at least 30 min and then washed with 4 L
148 freshwater in a bucket from the river at the next sampling site just before the next sampling to
149 reduce the risk of cross-contamination. Water used to rinse the bleached equipment was
150 diluted to $< 5 \text{ ppm}$ sodium hypochlorite (i.e. weaker than tap water in Japan) and disposed of
151 on site. The waste bleach in the 20-L tank was brought back to and disposed of in the
152 laboratory.

153

154 *DNA extraction, amplification, and high-throughput sequencing*

155 DNA extractions followed the procedure of Yamamoto *et al.* (2016). Briefly, DNA was
156 extracted from the filters using the DNeasy Blood and Tissue Kit (QIAGEN, Hilden,
157 Germany) in combination with a Salivette tube (Sarstedt, Nümbrecht, Germany). The DNA
158 solution (ca. 440 μ L) was purified using the DNeasy Blood and Tissue Kit following a
159 modified version of the manufacturer's instructions. To check for cross-contamination during
160 extractions, an empty column was simultaneously treated using the same procedures in each
161 experiment (one blank per 40 samples, five extraction blanks in total).

162 DNA amplifications followed the procedure of Miya *et al.* (2015). Extracted eDNA
163 samples were used for multiplex PCR with two universal primer pairs (MiFish-U/E). Before
164 preparing a DNA library, work spaces and equipment were sterilized. Filtered pipette tips
165 were used, and pre- and post-PCR products were separated to safeguard against
166 contamination (Miya *et al.*, 2015). To monitor contamination, PCR blanks were included for
167 each experiment through the first and second-round PCR (two PCR blanks in total). PCR
168 procedures were duplicated for all samples to avoid missing values due to experimental error.

169 The first PCR was carried out with 35 cycles in a 12.0- μ L reaction volume containing 6.0
170 μ L $2 \times$ KAPA HiFi HotStart ReadyMix (KAPA Biosystems, Wilmington, MA, USA), 0.36 μ L
171 each MiFish-U/E primer (10 μ M), 4.28 μ L sterile distilled H₂O, and 1.0 μ L template. The first
172 PCR product (150 bp paired-end sequences) was diluted 10 times using Milli-Q water and
173 used as a template for the second PCR. The second PCR was carried out in a 12.0- μ L reaction
174 volume containing 6.0 μ L $2 \times$ KAPA HiFi HotStart ReadyMix, 1.8 μ L each primer (2 μ M),
175 1.4 μ L sterile distilled H₂O, and 1.0 μ L template. Different combinations of sequencing
176 primers and sequencing adapters with sample indices with two or more differences in base
177 pairs were used for different templates and PCR replicates for massively parallel sequencing
178 using the MiSeq platform (Hamady *et al.*, 2008). The indexed second PCR products that were

179 pooled in equal volumes were purified by agarose gel electrophoresis. The DNA
180 concentration of the pooled library was adjusted to a final concentration of 12.0 pM for
181 sequencing on the MiSeq platform.

182

183 *Taxonomic assignment*

184 Data pre-processing and taxonomic assignments followed Miya *et al.* (2015) using the
185 publicly available bioinformatics pipeline ([http://](http://datadryad.org/resource/doi:10.5061/dryad.54v2q)
186 datadryad.org/resource/doi:10.5061/dryad.54v2q; <http://mitofish.aori.u-tokyo.ac.jp/mifish>).
187 The top BLAST hit with a sequence identity of $\geq 97\%$ and an E-value threshold of 10^{-5} was
188 used for species assignments of each representative sequence. Sequences represented by at
189 least 10 identical reads were subjected to subsequent analyses. Sequences representing the
190 negative control were eliminated from the dataset.

191

192 *Comparing eDNA and existing observational data*

193 Existing observational data were sorted by geographic location (Fig. 1), elevation, and
194 watershed boundaries. We chose observational data that were within a certain distance of our
195 eDNA sampling site along a river trajectory (Fig. 2). Buffer zones of 1–10-km diameter were
196 used, with 1-km intervals, to test the hypothesis that eDNA inferences are more consistent
197 with fish assemblages observed upstream rather than downstream by comparing our results
198 with all of the observational data (All), data only from the same site or at a higher elevation
199 than that site (Upstream), and data from the same site or at a lower elevation than that site
200 (Downstream). We also identified eDNA sampling sites that shared at least once species
201 inference with previous studies in their buffer range and used them for later analysis. GIS data
202 were provided by the National Land Numerical Information download service of Japan
203 (<http://nlftp.mlit.go.jp/ksj/>) (elevation, rivers, lakes and ponds, and coastlines) and
204 Conservation GIS Consortium Japan (<http://cgisj.jp>) (watershed boundaries). Data were

205 compiled using QGIS 2.2.0 and the GDAL Georeferencer plugin (Quantum GIS project,
206 2013).

207 We compared previous observations to the eDNA estimates using the Jaccard dissimilarity
208 index for sampling sites. We plotted the dissimilarity index against the buffer range in each
209 comparison (All, Upstream, and Downstream) and chose the buffer range with the minimum
210 dissimilarity. We also plotted the number of sampling sites available for comparison, the
211 median number of observational data points within the buffer zone around each sampling site,
212 and the total number of fish species inferred by the observational data against the buffer
213 ranges. These were used as supplementary data to determine the best buffer range.

214 To examine the potential effect of sequence depth on the false negative detection of eDNA,
215 in the best matching dataset of eDNA and previous observations, we compared the pattern of
216 consistency of the two estimations between the entire dataset and the data subset with a ≥ 1000
217 sequence depth in which most sites were saturated in species number (see Appendix S4 in
218 Supporting Information). We categorized sampling sites into categories (i)–(iv) for each fish
219 species as follows: the presence of fish was inferred (i) only by eDNA, (ii) only by the
220 observational data, (iii) by both, or (iv) by neither (shown as e, L, B and blank, respectively,
221 in Appendix S5). Then, the total numbers for the categories were compared between two
222 datasets by Fisher's exact test.

223 The interspecific variation of the total number of sites within the categories (i)–(iii)
224 mentioned above was examined for both the entire dataset and the data subset with ≥ 1000
225 sequence depth. The multi-dimensional Euclidian distance based on the total numbers was
226 calculated among fishes, and was used as the index of interspecific dissimilarity in the pattern
227 of the consistency between eDNA and the observational data. Then, a cluster dendrogram was
228 drawn with the Ward method using the dissimilarities, and the five highest clusters were
229 determined visually; these patterns of consistency were compared between the two
230 estimations. All statistical analyses were performed using the 'MASS' (Venables & Ripley,

231 2002) and ‘vegan’ (Oksanen, 2017) packages of the R 3.2.0 software (R Developmental Core
232 Team, 2015).

233

234 **Results**

235 *MiSeq sequencing and data analysis*

236 The MiSeq paired-end sequencing of the 218 libraries (102 sampling sites and 7 negative
237 controls, each with duplicate PCRs) yielded a total of 1,662,988 reads (DNA Data Bank of
238 Japan Sequence Read Archive accession no. DRA005106). After demultiplexing and
239 subsequent preprocessing of the raw data from MiSeq, 1,178,682 reads were retained for
240 subsequent analyses. Of these, 901,997 reads (76.5%) were assigned to known species with
241 $\geq 97\%$ identity to reference sequences in the database, and 864,420 reads (73.3%) were
242 identified as fishes recorded in the study region.

243

244 *Fish species inferred by eDNA*

245 Among 82 of the 102 sampling sites, assigned sequences of 55 fish species were identified
246 (see Appendix S3). A total of 15 marine fish species that have never been expected to inhabit
247 the sampling sites were detected across 11 sites. The number of species excepting marine
248 fishes detected at each sampling site ranged from 1 to 23. The three most frequently detected
249 taxa were *Rhynchocypris oxycephalus* (Cyprinidae) (60 sites), *Nipponocypris temminckii*
250 (Cyprinidae) (51 sites), and *Rhinogobius* spp (Gobiidae). (46 sites), all of which had high
251 numbers of MiFish reads (162,559, 176,051, and 146,029 reads, respectively). The genera
252 *Oncorhynchus* (Salmonidae), *Carassius* (Cyprinidae), *Cobitis* (Cobitidae), *Rhinogobius*
253 (Gobiidae), and a part of *Hemibarbus* (*Hemibarbus labeo* and *Hemibarbus barbus*
254 (Cyprinidae)) were not sorted into lower taxonomic levels because of limited variations in the
255 short sequences between the MiFish primers. One extraction from negative control yielded 45
256 reads of a sequence from *Rhinogobius* spp. (34 reads) and 11 reads from *Tribolodon*

257 *hakonensis* (Cyprinidae), and these two sequences were excluded from the dataset. These
258 sequences were commonly detected throughout samples (*Tribolodon hakonensis*, 16 samples;
259 *Rhinogobius* spp., 60 samples), and the source of the contamination could not be identified.

260 The mean \pm SD of sequence depth was $8,478 \pm 13,741$, and the median sequence depth
261 was 302 per sampling site for sequences assigned to fishes recorded in the study region. Of
262 the 102 sampling sites, 45 sites had a sequence depth $\geq 1,000$.

263

264 *Similarity between eDNA and existing observational data*

265 eDNA and observational data were most similar when only upstream observational data
266 within a 6 km buffer zone were compared (Fig. 3a; Jaccard dissimilarity index = 0.63 ± 0.00 ,
267 Median \pm SE), with 48 sampling sites sharing one or more species with the observational
268 data. The number of available observational data sites and number of total fish species were
269 minimal when only data within a 1 km buffer zone of the eDNA collection point were
270 considered, and this remained constant in the datasets from larger < 2 km buffer zones (Fig.
271 3b, d). By contrast, the number of fish species in the observational data did not increase or
272 decrease with buffer zone range (Fig. 3c).

273 In datasets using 'All' observational data and 'Downstream' only data, the similarities
274 between the eDNA and the literature were maximized when using data within a < 2 km buffer
275 zone (Fig. 3a). The available observational data and the number of fish species detected
276 increased with increasing buffer range.

277 In the dataset using upstream observational data within a 6 km buffer zone, the number of
278 species that were detected by eDNA covered 86.4% (38/44) of the fish species that were
279 reported in the observational data (see Appendix S5 in Supporting Information). In addition,
280 eDNA analysis identified two species (*Opsariichthys uncirostris* (Cyprinidae) and
281 *Gasterosteus aculeatus* (Gasterosteidae)) not recorded in the observational data. The species
282 recorded by previous observations alone were *Lethenteron reissneri* (Petromyzontidae),

283 *Tanakia lanceolata* (Cyprinidae), *Acheilognathus rhombeus* (Cyprinidae), *Lefua echigonia*
284 (Balitoridae), *Oryzias latipes* (Adrianichthyidae), and *Poecilia reticulata* (Poeciliidae).
285 Therefore, the habitation of a total of 46 fish species was inferred by eDNA or observational
286 data at the sampling sites.

287 In the comparison between the dataset of all sampling sites sharing one or more species
288 with previous reports and that of the sites with ≥ 1000 sequence depth, patterns of consistency
289 between the presence/absence of detection by eDNA and the observational data were not
290 significantly different from each other (Fisher's exact test, $p > 0.99$; Table 1).

291 Based on a cluster analysis using the data from the 48 (of 102) sampling sites sharing
292 more than one species with previous reports, fishes were categorized into five clusters as
293 follows: Cluster 1 (three species), detected at ≥ 38 of the 48 available sites using eDNA and/or
294 the observational data, $\geq 65.1\%$ of which were detected using both methods; Cluster 2 (11
295 species), detected at 18–31 of the available sites using eDNA and/or the observational data
296 (the detection rates using the observational data alone [$44.6 \pm 15.2\%$] and using both the
297 observational data and eDNA [$41.6 \pm 14.0\%$] were higher than that using eDNA alone [$13.8 \pm$
298 8.5%]); Cluster 3 (six species), detected at 9–13 of the available sites using eDNA and/or the
299 observational data (the detection rate using eDNA alone [$75.4 \pm 18.0\%$] was higher than that
300 using both eDNA and the observational data [$17.4 \pm 11.2\%$] or the observational data alone
301 [$7.1 \pm 11.2\%$]); Cluster 4 (11 species), detected at 4–14 of the available sites using eDNA or
302 the observational data (the detection rate using the observational data alone [$\geq 57.1\%$] was
303 higher than that of the other scenarios [$\geq 40.0\%$]); and Cluster 5 (15 species), with only rare
304 detection (1–10 of the available sites using eDNA and/or the observational data) (Fig. 4a).

305 In the dataset with ≥ 1000 sequence depth, the five highest clusters were defined
306 approximately the same as the clusters in the full dataset based on the pattern of consistency
307 between eDNA or the observational data inferences (Fig. 4b). The species compositions of
308 Clusters 1, 2, and 3 did not change from those in the full dataset except for the following

309 cases: *Zacco platypus* (Cyprinidae) from Cluster 2 to Cluster 1, *Liobagrus reinii*
310 (Amblycipitidae) from Cluster 2 to Cluster 3, *Pseudogobio esocinus* (Cyprinidae) from
311 Cluster 2 to Cluster 5, and *Cottus reinii* (Cottidae) from Cluster 3 to Cluster 5. By contrast,
312 the species composition of clusters with a small number of detections changed as the available
313 sampling sites (26 sites) decreased. Approximately half of the species within Clusters 4 and 5
314 in the full dataset composed other clusters in the dataset with ≥ 1000 sequence depth.

315 Overall, 7 of 11 detections of *Hemibarbus longirostris* and 16 of 21 detections of
316 *Niwaella delicata* inferred by eDNA were consistent with biogeographic patterns from the
317 observational data (Fig. 5a, b). Detection sites of *Cottus pollux* (Cottidae) were skewed
318 toward the upper reaches compared with those of *Cottus reinii* (Fig. 5c, d).

319

320 **Discussion**

321 *What is the extent of eDNA reflects the existing observational data?*

322 On the whole, eDNA metabarcoding using the universal primer MiFish-U/E successfully
323 detected most fish species near the sampling sites reported in the existing observational data.
324 We hypothesized that fish species compositions estimated by eDNA would better reflect
325 compositions upstream than downstream from a sampling site. Our results supported this
326 hypothesis. The estimation of fish species composition matched best with observational data
327 from sites ≤ 6 km upstream from a sampling site. Civade et al. (2016) used the universal Teleo
328 primers to evaluate the spatial representativeness of eDNA metabarcoding, and found that
329 eDNA signals of lake-inhabiting fishes were detectable in stream water at a site just
330 downstream of a dam but not at a site 2 km downstream. The observational data on the stream
331 fish distribution around Lake Biwa include data obtained over several years, which likely
332 reflect the temporal fluctuations in fish distributions. Therefore, the estimate of the potential
333 fish habitat based on the observational data might be broader than that based on snapshot
334 monitoring, such as the direct observation by Civade et al. (2016).

335 The extent of the upstream area that contributes to eDNA detection presumably depends
336 on the speed of flow of the stream water and the initial concentration and decomposition rate
337 of genetic material (Deiner & Altermatt, 2014; Deiner *et al.*, 2016). In experiments that
338 artificially introduced a caged animal into a river without a focal species, detection of eDNA
339 reads decreased and reads disappeared a few hundred meters from the source (Jane *et al.*,
340 2015; Wilcox *et al.*, 2016), whereas the detectable distance reached a few kilometers
341 downstream from a dam as an eDNA source in studies that examined a natural population of
342 lentic animals (Deiner & Altermatt, 2014; Civade *et al.*, 2016). This difference might be due
343 to downstream transport or storage of DNA in the stream bed (Jane *et al.*, 2015) or live
344 individuals or carcasses of lentic species from upstream habitats (Deiner & Altermatt, 2014).
345 In addition, previous studies have indicated the effect of many environmental factors on the
346 efficiency of eDNA detection, such as water temperature, pH, UV, organic materials as PCR
347 inhibitors, and the activity of microorganisms (Takahara *et al.*, 2012; Barnes *et al.*, 2014;
348 Strickler *et al.*, 2014; Jane *et al.*, 2015; Tsuji *et al.*, 2017). However, field samplings always
349 involve many factors assumed to affect the decomposition of eDNA. The relative importance
350 of factors that determine patterns of bias of eDNA toward upstream assemblages may be an
351 important research area for future studies.

352

353 *Patterns in the consistency between eDNA and the existing observational data*

354 We inferred two species (*Gasterosteus aculeatus* and *Opsariichthys uncirostris*) by eDNA
355 alone, both of which were species reasonably detected in the sampling area. The native
356 population of *Gasterosteus microcephalus* (Gasterosteidae), a closely related species of
357 *Gasterosteus aculeatus*, is endangered and detailed information on its distribution is not
358 publicly available due to conservation efforts (Uonokai, 2005). However, we do know that a
359 hybrid population of *Gasterosteus microcephalus* and non-native *Gasterosteus aculeatus*
360 exists at one of our sites (No. 38) (T. Kokita, personal communication). *Opsariichthys*

361 *uncirostris* uses river habitats only during early to mid-summer for spawning (Uonokai, 2005),
362 and the small number of sampling records in the observational data may reflect this
363 seasonality. The six species reported in the observational data alone were also reasonable,
364 with the exception of the lamprey *Lethenteron reissneri* and the loach *Lefua echigonia*. Three
365 of these species are pond or swamp species (*Tanakia lanceolata*, *Acheilognathus rhombeus*,
366 and *Oryzias latipes*), which rarely inhabit upper-middle reaches of a river like the sampling
367 sites (Kawanabe & Mizuno, 2001; Uonokai, 2005). The last species, *Poecilia reticulata*, is a
368 nonnative species without confirmed establishment (Kawanabe & Mizuno, 2001; Uonokai,
369 2005).

370 In the results of cluster analysis, the lower number of species inferred by eDNA than by
371 the observational data at some sites (i.e., Cluster 4) may be explained by habitat preferences.
372 With the exception of *Salvelinus leucomaenis* (Salmonidae) in the full dataset, all fishes in
373 Cluster 4 mainly inhabit lower reaches or lentic habitats (Kawanabe & Mizuno, 2001;
374 Uonokai, 2005), whereas we mainly sampled river water at upper-middle reaches of rivers.
375 The mismatch between sampling sites and the habitat preferences of fishes may have caused
376 this discrepancy between our findings and the species reported in the observational data. In
377 contrast to Cluster 4, fishes detected more frequently by eDNA than in previous reports (i.e.,
378 Cluster 3) may reflect differences in sampling methods. For example, in Uonokai (2005),
379 local volunteers mainly sampled fish and this included elementary school students using hand
380 nets. Therefore, fishes such as *Cyprinus carpio* (Cyprinidae), *Silurus asotus* (Siluridae),
381 *Hemibarbus longirostris*, and *Hemibarbus* spp., which mainly inhabit deep pools or runs in
382 large rivers, were less likely to be caught.

383 MiFish-U/E and its designated pipeline provide not only information on local and regional
384 stream fish fauna but also data on interspecific differences in distribution along a river in pairs
385 of closely related species (e.g., *Cottus pollux* and *C. reinii*), similar to data often reported as
386 the result of interspecific competition and/or differences in habitat preferences (e.g.,

387 Taniguchi & Nakano, 2000). Previously reported differences in longitudinal distributions
388 between closely related species (Kawanabe & Mizuno, 2001; Matsumiya *et al.*, 2001;
389 Uonokai, 2005) were consistently inferred by eDNA in the genus *Cottus* (Fig. 5c–f). In
390 addition, the inter-river system patterns of the eDNA-inferred presence/absence of
391 *Hemibarbus longirostris* and *Niwaella delicata* were similar to, but not completely consistent
392 with, the patterns driven by biogeographical processes (Kawanabe & Mizuno, 2001;
393 Kitagawa *et al.*, 2001; Uonokai, 2005). Our results suggest that eDNA metabarcoding may be
394 able to contribute to the analysis of assemblage patterns on which ecologists have
395 traditionally focused, such as niche segregation of species within the same guild (Hutchinson,
396 1959), correlations between species composition and environmental factors (Townsend &
397 Hildrew, 1994), and spatiotemporal dynamics driven by ecological and biogeographical
398 processes (Leibold *et al.*, 2004).

399 We also detected eDNA sequences attributed to unexpected marine fishes at 11 sites.
400 These marine fishes do not include species that are confamiliar to expected native species in
401 the sampling sites, with the exception of *Acanthogobius flavimanus* (Gobiidae), and even this
402 species has a ≥ 50 bp difference within the target sequence of MiFish-U/E to native species of
403 the family Gobiidae at the sampling sites. Therefore, it is unlikely that misidentification of
404 native species as marine species occurred. We instead suspect that eDNA assigned to marine
405 fishes originated from domestic sewage. All marine fishes detected in our experiments are
406 commonly used in Japanese food such as sushi and sashimi; they were detected mainly at
407 sites near urban areas. For example, there is a famous hot springs resort in the upper reaches
408 of site No. 3, at which the highest number of marine fish eDNA detections occurred. The area
409 has many hotels that serve traditional Japanese foods and are likely sources of sewage
410 containing the related genetic material. We investigated only the upper-middle reaches of
411 rivers and thus could easily interpret the source of marine fish eDNA. However, artificial
412 introduction of eDNA may be a concern for estimating fish fauna in areas potentially

413 inhabited by marine fishes, such as the lower reaches of rivers, estuaries, and oceans (e.g.,
414 Yamamoto *et al.*, 2017).

415

416 *Potential limits and future remedies of eDNA metabarcoding*

417 Although we followed decontamination procedures for laboratory spaces and equipment (see
418 Materials and Methods) that are known to significantly limit contamination (Willerslev &
419 Cooper, 2005), detection of *Gasterosteus aculeatus* at sites No. 37 and 70 was most likely due
420 to contamination or tag-jump (Carlson *et al.*, 2012; Schnell *et al.*, 2015), given the
421 environmental conditions of the sampling site and the habitat preference of this species. The
422 former site was a small stream, highly fragmented by sand dams without fish-ways, and the
423 latter was a small mountainous tributary, dominated by step-pool structures and separated
424 from lower reaches by a large dam. *Gasterosteus aculeatus* prefers gentle plain habitats, and
425 likely would not be able to survive in the habitats observed at sites No. 37 and 70 (Kawanabe
426 & Mizuno, 2001). We suggest that this was contaminated during extraction or PCR from a
427 neighboring sample (site No. 38), or accidental detection by tag-jump.

428 Ideally, the false-negative detection of rare species and factors related to false-positive
429 detection caused by cross-contamination would be distinguished by multiple samplings per
430 site (Willerslev & Cooper, 2005; Carlson *et al.*, 2012). To identify the step in the procedure at
431 which cross-contamination occurred, blanks should be included for all steps in the protocol
432 (i.e. water sampling, filtering, DNA extractions, 1st and 2nd PCRs) (Willerslev & Cooper,
433 2005). The sequence depth of eDNA was <1,000 reads at approximately half of the sampling
434 sites in this study, and the false-negative detection of rare species was a concern. This
435 problem may be decreased by quantitative PCR, which enables uniform DNA concentrations
436 prior to sequencing (e.g., Wittwer *et al.*, 1997). The PCR and sequencing procedures
437 performed in our study (i.e., the samples were identified by the combination of the index
438 sequence that tagged by the two step PCR) are commonly used for eDNA metabarcoding

439 because of their simplicity and the shared use of tag sequences (Miya *et al.* 2015; Yamamoto
440 *et al.* 2016). However, the one PCR step procedure (e.g., Civade *et al.*, 2016) may reduce the
441 risk of cross-contamination, while that take more cost comparing with our procedure. In
442 addition, we might reduce the effect of primer-bias (i.e., the variation in amplification
443 efficiency among species) by using multiple universal primers (Elbrecht & Leese, 2015;
444 Vallentini *et al.*, 2016). False-positive detection by tag-jump might be determined by using a
445 unique tag sequence for all 3' and 5' primers when the number of samples in parallel
446 sequencing is not large (Schnell *et al.*, 2015). Our sampling and experimental procedures did
447 not follow these ideal methods exactly because of the constraints of costs and equipment, and
448 thus the potential effects of cross-contamination could not be removed completely. Therefore,
449 the interpretation of our results is limited, especially in terms of the local species diversity at
450 each sampling site.

451

452 *Concluding remarks*

453 Overall, in an attempt to minimize false-positive detection, such as the elimination of
454 sequences from a negative control, our 10-person-days survey using the metabarcoding
455 technique enabled us to obtain at least as much regional fish diversity data as the accumulated
456 observational data of traditional observations obtained through greater amounts of time,
457 money, and labor. We also demonstrated a reasonable pattern of eDNA detection with a bias
458 toward the upstream assemblages in the comparisons with observational data. For the local
459 species diversity at each sampling site, the eDNA results showed patterns consistent with
460 known upstream–downstream turnover of related species and the biogeographical assemblage
461 patterns of certain species, but underestimation of the species diversity because of failure to
462 detect rare species was also suggested. However, these problems regarding
463 false-positive/negative detection should be decreased or removed by modifying the sampling
464 methods and experimental procedures in future works. Therefore, we expect this new tool to

465 enable monitoring that has never been implemented, such as whole-assemblage, cross-nation,
466 and even whole-Earth monitoring with the data at yearly, seasonal, or finer temporal scales.

467

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473

474

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631

632 **Supporting Information**

633 Additional Supporting Information may be found in the online version of this article:

634

635 **Appendix S1** List of literature on fish distribution in the river systems surrounding Lake
636 Biwa during 2001–2014.

637 **Appendix S2** Location and geometric characters of the sampling sites. Geometric information
638 was obtained from fifth-order mesh data (250 × 250 m) on elevation and slope and from the
639 mesh data on river catchment area provided by the National Land Numerical Information
640 download service of Japan (<http://nlftp.mlit.go.jp/ksj/>).

641 **Appendix S3** Number of eDNA reads assigned to fish species detected in MiSeq analyses
642 from each sampling site.

643 **Appendix S4** Rarefaction curves of the number of detected fish species identified by the
644 bioinformatics pipeline against the sequence depth (number of reads) for each sampling site.

645 Bold lines and polygons indicate means and standard deviations estimated from 1000
646 bootstrap resampling simulations. The different colors of the lines and polygons indicate

647 differences in sequence depth: 1–99 reads, yellow; 100–999 reads, blue; 1,000–9,999 reads,
648 green; 10,000–100,000 reads, red.

649 **Appendix S5** Consistency between the presence/absence of each fish species inferred by
650 eDNA and observational data from within 5- km upstream of each eDNA sampling site. B, e,
651 and O indicate species that were inferred by both eDNA and the observational data, only by
652 eDNA, and only by the observational data, respectively.

653

654

655 **TABLE**

656 **Table 1.** The number of consistent/inconsistent cases of the presence/absence of detections by
 657 eDNA and observational data in the dataset of all sampling sites sharing one or more species
 658 with previous reports (full dataset) and that of sites with ≥ 1000 sequence depth.

Full data set	eDNA\Observatioanal data	Presence	Absence
	Presence		243 (11.0%)
Absence		236 (10.7%)	1584 (71.7%)
Samples with ≥ 1000 sequences only	eDNA\Observatioanal data	Presence	Absence
	Presence		85 (7.1%)
Absence		136 (11.4)	804 (67.2)

659

660

661 **FIGURE LEGENDS**

662 **Figure 1.** Sampling sites of eDNA (red circles) and previous fish survey sites from the
663 observational data (yellow circles). The number on each sampling site corresponds to the site
664 No. in Appendix S2 in Supporting Information. Gray areas show the major mountain ranges
665 and highlands (≥ 500 m elevation): the Hira Mountain range on the western side, Tanba
666 Highland on the northwestern side, the Suzuka Mountain range on the eastern side, and the
667 Nosaka and Ibuki Highlands on the northeastern side.

668 **Figure 2.** Schematic image of the comparison of eDNA and observational data. We chose
669 existing observational data that were within a certain distance (buffer range) of our eDNA
670 sampling site along a river trajectory at 1 km intervals. The comparisons were conducted for
671 all of the observational data (All), data only from the same site or at a higher elevation
672 (Upstream), and data from the same site or at a lower elevation (Downstream).

673 **Figure 3.** Relationships between buffer ranges from sampling sites and (a) the Jaccard
674 dissimilarity index between eDNA and observational data, (b) the number of available
675 sampling sites to compare eDNA and existing data, (c) the number of observational data
676 points per sampling site, and (d) the total number of fish species inferred by the observational
677 data at sampling sites where one or more species had been observed previously.

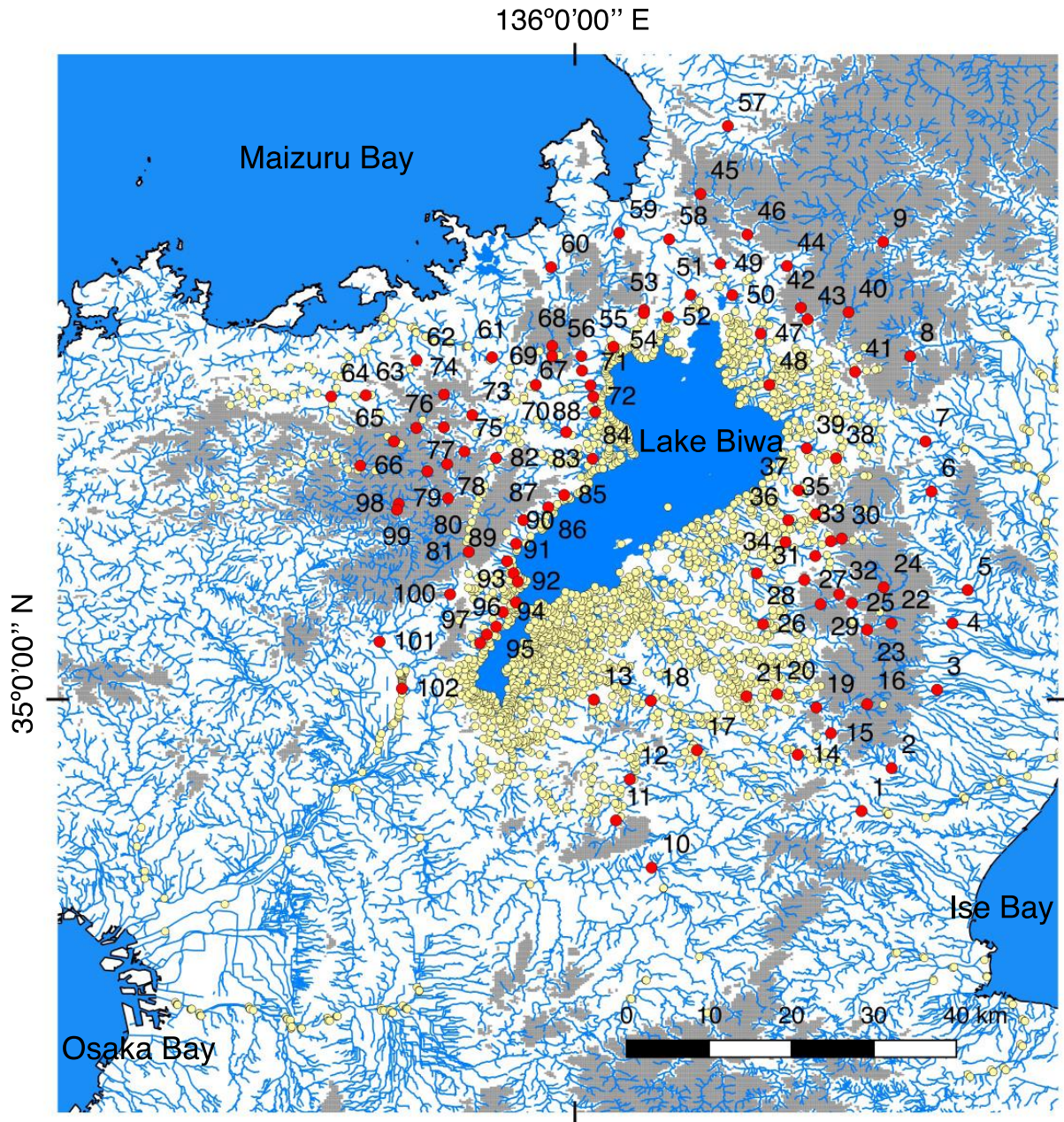
678 **Figure 4.** Results of a cluster analysis based on the presence/absence of each fish species
679 inferred by eDNA and the observational data at each sampling site in (a) the full dataset and
680 (b) the data subset of sites with ≥ 1000 sequence depth.

681 **Figure 5.** Detections of assigned eDNA sequences of (a) *Hemibarbus longirostris*, (b)
682 *Niwaella delicata*, (c) *Cottus pollux*, and (d) *Cottus reinii* and observational data of (e) *Cottus*
683 *pollux* and (f) *Cottus reinii* in the sampling area. Red circles indicate sampling sites with
684 eDNA detections or observational records of focal species. Yellow circles indicate sampling
685 sites without eDNA detections or observational records. Red shaded areas indicate watersheds
686 with observational records of focal species (Kawanabe & Mizuno, 2001; Kitagawa *et al.*,

687 2001; Matsumiya *et al.*, 2001; Ministry of Land, Infrastructure, Transport and Tourism, Kinki
688 Regional Development Bureau, Biwako Office, 2004; Ministry of Land, Infrastructure,
689 Transport and Tourism, 2005; Uonokai, 2005; Nakagawa, 2014; H. Nakagawa, unpublished).
690
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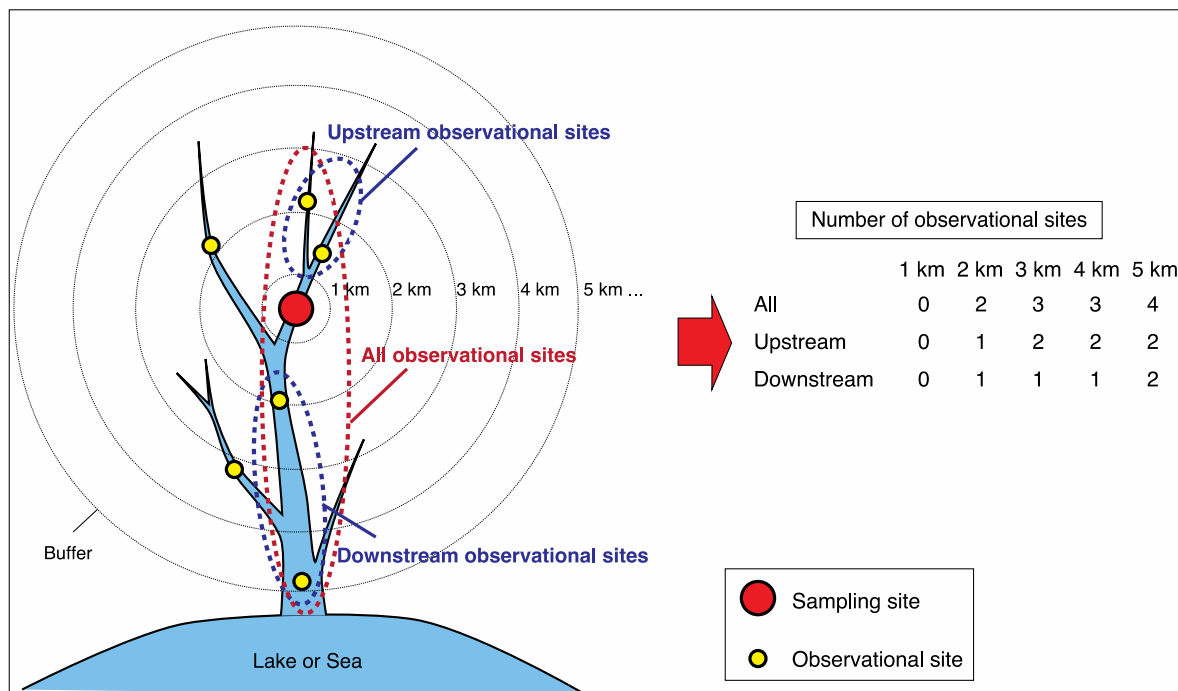
692 **Figures**

693 Figure 1.



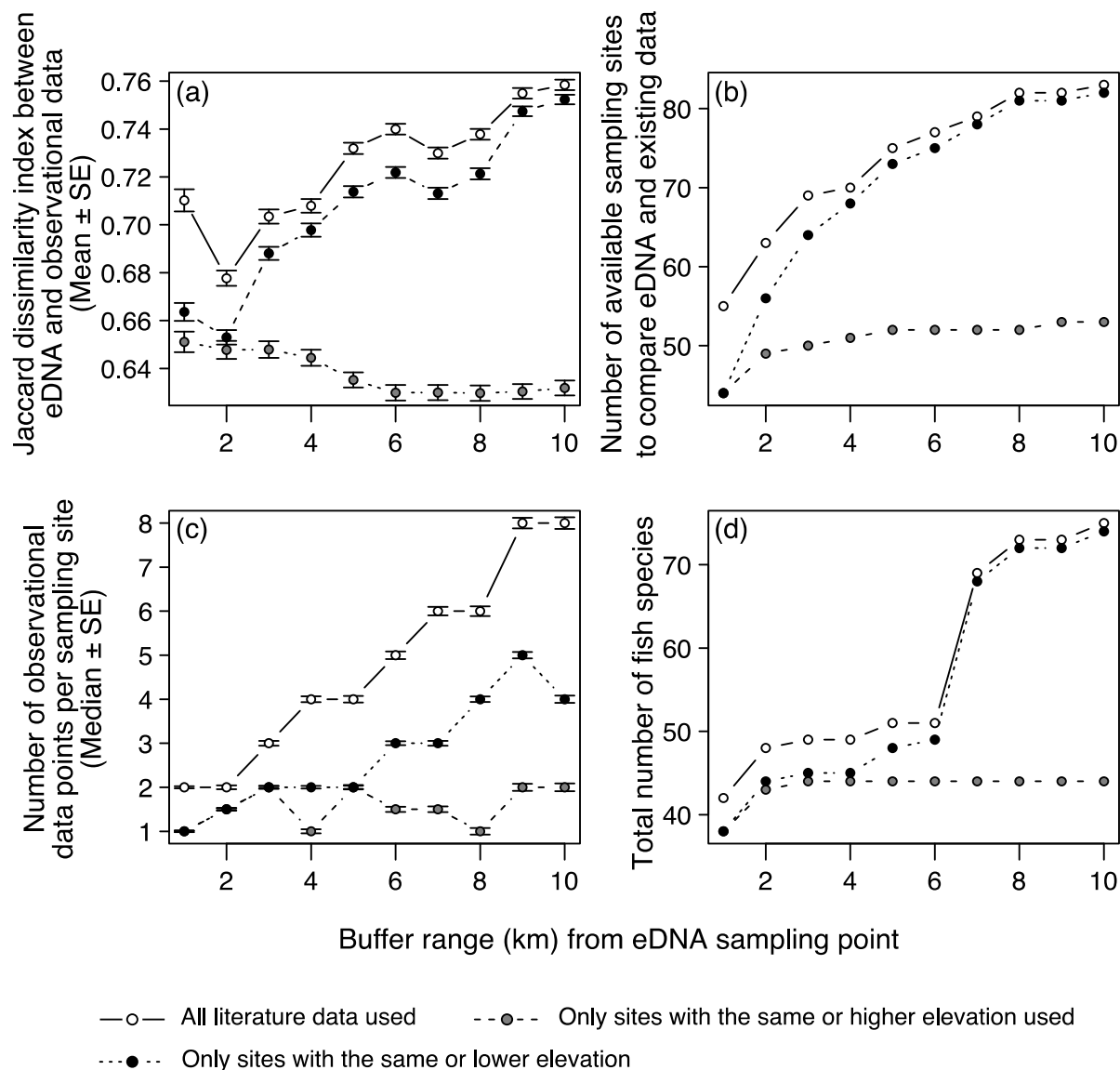
694

695 Figure 2.



696

697 Figure 3.

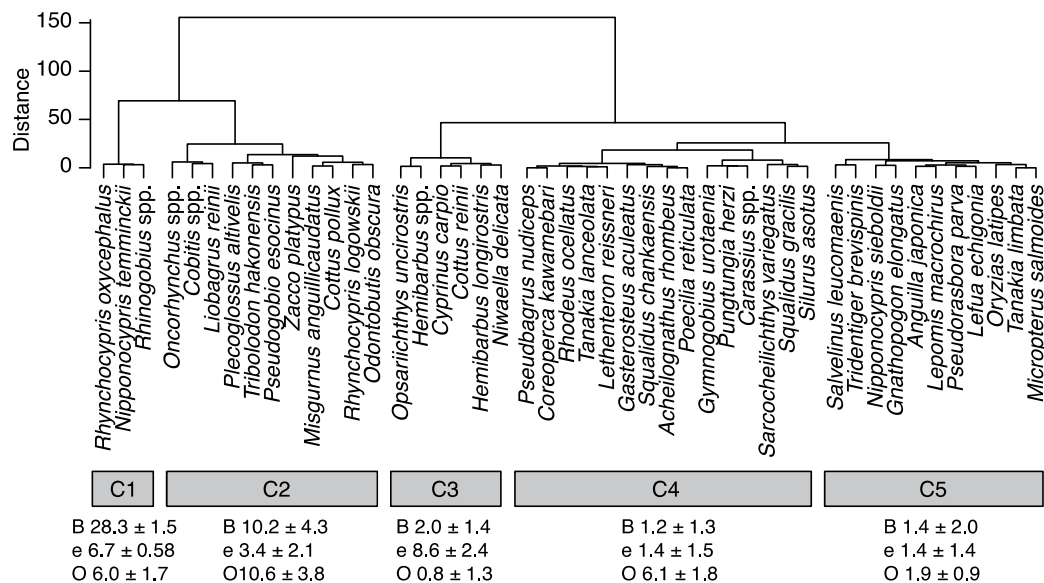


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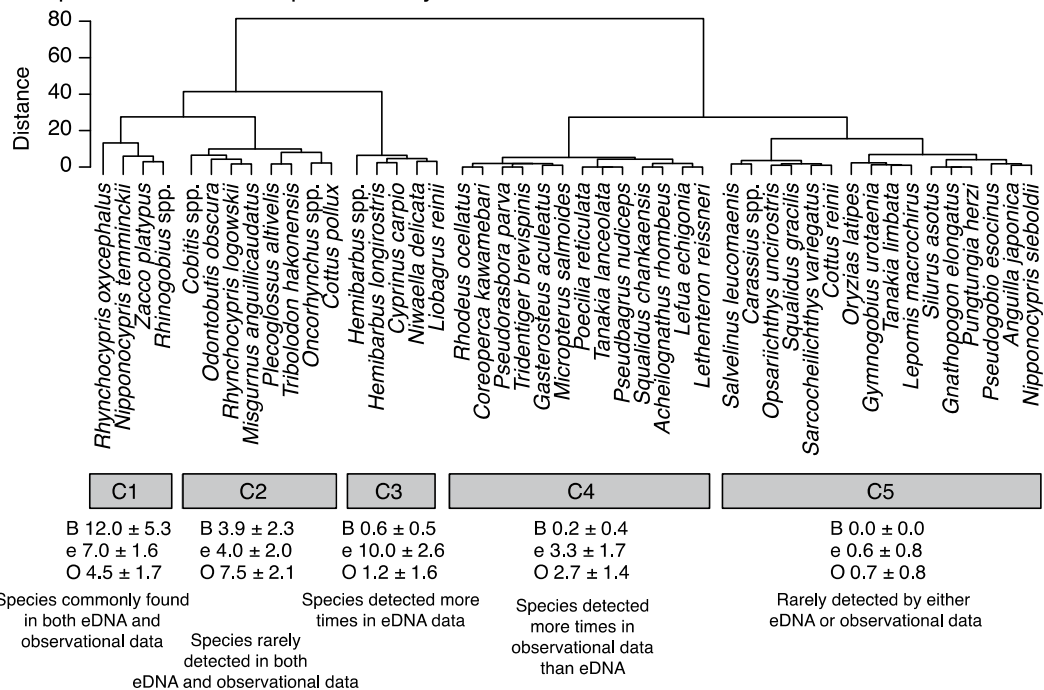
699

700 Figure 4.

(a) Full data set



(b) Samples with ≥ 1000 sequences only



B: Number of sampling sites where fish species was inferred by both eDNA and the observational data (mean ± SD).

e: Number of sampling sites where fish species was inferred by the observational data alone (mean ± SD).

O: Number of sampling sites where fish species was inferred by eDNA alone (mean ± SD).

702 Figure 5.

