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Origin of Open-Circuit Voltage Loss in Polymer Solar Cells and Perovskite Solar Cells

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Abstract

Herein, the open-circuit voltage (V_{OC}) loss in both polymer solar cells and perovskite solar cells is quantitatively analyzed by measuring the temperature dependence of V_{OC} in order to discuss the difference in the primary loss mechanism of V_{OC} between them. As a result, the photon energy loss for polymer solar cells is in the range of about 0.7–1.4 eV, which is ascribed to temperature-independent and -dependent loss mechanisms while that for perovskite solar cells is as small as about 0.5 eV, which is ascribed to a temperature-dependent loss mechanism. This difference is attributed to the different charge generation and recombination mechanisms between the two devices. The potential strategies for the improvement of V_{OC} in both solar cells are further discussed on the basis of the experimental data.

Keywords: organic–inorganic perovskites, polymer solar cells, open-circuit voltages, charge generation mechanisms, charge recombination mechanisms

1. Introduction

Efficient and inexpensive photovoltaic devices are an essential prerequisite for resolving energy supply problems in the field of renewable energy. Among them, polymer solar cells and perovskite solar cells have attracted considerable attention because of the rapid advances in the device performance with potential advantages, including flexibility, high throughput productivity, and large-scale production with low-cost by using solution processes.¹⁻⁵ For polymer solar cells, intensive research efforts have been devoted to developing low-bandgap polymers with high charge carrier mobility to enhance photocurrent generation. As a result, the power conversion efficiency (PCE) of polymer solar cells has been markedly improved over the past decade, and recently exceeds 10% even for single-junction cells.³⁻⁵ On the other hand, organic-inorganic metal halide perovskites such as $\text{CH}_3\text{NH}_3\text{PbI}_3$ and $\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x$ are regarded as a promising new absorber for photovoltaic devices owing to their high absorption coefficient in the visible region, Wannier-Mott exciton nature of photogenerated species, and long diffusion length of charge carriers.⁶⁻¹⁰ Recently, the PCE of perovskite solar cells has skyrocketed from 3.8 to more than 22% because of solvent engineering for preparing flat, uniform, and dense active layers with high reproducibility and optimization of the interfacial layers and device structure.¹¹⁻¹⁴

Despite the substantial progress in photovoltaic performance, there is still room for further improvements in open-circuit voltage (V_{OC}) when taking into account the Shockley-Queisser (SQ) limit that the thermodynamically inevitable loss can be formulated for photovoltaic efficiency with a single absorber system on the basis of the optical bandgap (E_{g}) of the absorber.¹⁵ The photon energy loss $E_{\text{loss}} = E_{\text{g}} - qV_{\text{OC}}$ (q is the elementary charge) for polymer solar cells with a bulk-heterojunction structure, in which an electron donor material is mixed with an electron acceptor material, is typically in the range of about 0.7–1.1 eV.¹⁶⁻¹⁹ In contrast, the E_{loss} for perovskite solar cells with the architecture, based on either

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4 dense TiO₂ (d-TiO₂) or mesoporous TiO₂ (mp-TiO₂) electron-transporting material, is reported
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6 to be $\approx 0.4\text{--}0.5$ eV.^{20–23} Such values are much larger than the radiative limit (0.25–0.30 eV)
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8 for photovoltaic cells with E_g in the range of 1.0–2.0 eV predicted by the SQ theory.²⁴
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10 Therefore, an in-depth understanding of the origin of energy loss is crucially required to
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12 disclose a fundamental physics underlying device performance in both polymer solar cells and
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14 perovskite solar cells, which enables us to anticipate the upper limit of V_{OC} in both
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16 photovoltaic devices.
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19 Here, we studied the origin of voltage loss in both polymer solar cells and perovskite
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21 solar cells. We fabricated polymer/fullerene and polymer/polymer blend solar cells with
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23 various polymers as shown in Figure 1. In addition, we fabricated perovskite solar cells with
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25 different electron-transporting layers of d-TiO₂ and mp-TiO₂. By measuring the temperature
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27 dependence of V_{OC} , we discuss the difference in the loss mechanism of V_{OC} between polymer
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29 solar cells and perovskite solar cells quantitatively. We further discuss the potential
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31 strategies for the enhancement of V_{OC} in both photovoltaic devices.
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36 **2. Results and discussion**

37 **2.1. Energy Diagram**

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39 We first evaluated the E_g of the light harvesting materials to quantitate the voltage loss from
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41 photon absorption to qV_{OC} in both photovoltaic devices. For polymer solar cells, as shown
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43 in Figure 2a, the E_g of light harvesting materials was evaluated from the intersection point of
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45 normalized absorption and emission spectra (see Figure S1 for the other materials). For
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47 perovskite solar cells, as shown in Figure 2b, a direct bandgap was evaluated from the x -axis
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49 intercept of $[E \times EQE]^2$ plotted against photon energy E ,^{25,26} which is consistent with the
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51 previous reports.^{27,28} All the E_g s are summarized in Table 1.
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56 In the polymer solar cells, energetic cascade structures between donor and acceptor
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4 materials are required to provide a driving force for charge transfer (CT) at a donor/acceptor
5 interface because photogenerated electron–hole pairs are tightly bound as an exciton in
6 organic semiconductors.^{29–32} Upon photoexcitation of the donor, an electron in the lowest
7 unoccupied molecular orbital (LUMO) of the donor can be transferred to the LUMO of the
8 acceptor at the interface. As a result, the energy of photons absorbed drops to the energy
9 level (E_{DA}), which is the energy difference between the LUMO of the acceptor and the highest
10 occupied molecular orbital (HOMO) of the donor. Thus, it is of fundamental importance to
11 precisely evaluate the energy offset of the LUMO levels between the donor and acceptor
12 material (ΔqV_{off}). As shown in Figure 2 and Figure S1, we measured the HOMO of donor
13 by the photoelectron yield spectroscopy (PYS) and LUMO of acceptor by the square wave
14 voltammetry (SWV). The LUMO levels were calculated from the average potential of
15 oxidation–reduction peaks on the assumption that the energy level of the
16 ferrocene/ferrocenium redox couple is 4.8 eV below the vacuum level.³³ The resultant
17 values are summarized in Table S1.

2.2. Photovoltaic Performance

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38 In this study, we prepared a series of polymer solar cells and perovskite solar cells. The
39 device structures of polymer solar cells are ITO/PEDOT:PSS/Polymer/Fullerene/Ca/Al and
40 ITO/PEDOT:PSS/Polymer/Polymer/Ca/Al where ITO is an indium–tin-oxide coated glass
41 substrate and PEDOT:PSS is a transparent conducting polymer
42 (poly(3,4-ethylenedioxythiophene):poly(4-styrenesulfonate)). On the other hand, the device
43 structures of perovskite solar cells are FTO/d-TiO₂/CH₃NH₃PbI₃/spiro-OMeTAD/Au and
44 FTO/d-TiO₂/mp-TiO₂:CH₃NH₃PbI₃/spiro-OMeTAD/Au where FTO is an F-doped tin-oxide
45 coated glass substrate and spiro-OMeTAD is a hole-transporting material
46 (2,2',7,7'-tetrakis(*N,N*-di-*p*-methoxyphenylamine)-9,9-spirobifluorene). The perovskite
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active layers were prepared on d-TiO₂ by a fast deposition–crystallization (FDC)^{14,34} method and on mp-TiO₂ by a sequential procedure.^{35,36}

Figure 3 shows the J – V characteristics of polymer solar cells and perovskite solar cells under AM1.5G simulated solar illumination with 100 mW cm⁻². Note that J – V characteristics of perovskite solar cells were measured from 1.2 to –0.50 V (reverse scan) with a delay time of 1 s. The photovoltaic parameters of these cells are summarized in Table S2. Although the polymer solar cells exhibit a slight decrease in the short-circuit current density (J_{SC}) and fill factor (FF) compared to those reported previously because each device was not fully optimized, V_{OC} is in good agreement with previous reports.^{37–42} On the other hand, the perovskite solar cells exhibit a moderate device performance with a small J – V hysteresis, which has an influence mainly on FF but has negligible effect on J_{SC} and V_{OC} as shown in Figure S2. Therefore, we can safely discuss the origin of loss mechanisms in V_{OC} without regard to hysteresis effects as a function of sweep directions. Most importantly, as summarized in Table 1, E_{loss} for polymer solar cells is 0.2–0.9 eV larger than that for perovskite solar cells, implying a big difference between them with respect to loss mechanisms in V_{OC} . This will be discussed in more detail in the subsequent section.

2.3. Origin of Energy Loss from E_g to E_g^{eff}

To address the origin of V_{OC} loss in both polymer solar cells and perovskite solar cells, we measured the temperature dependence of V_{OC} as shown in Figure 4 and Figure S3. Under the open-circuit condition, the difference between quasi-Fermi levels of electrons and holes is constant across the device. Hence, the temperature dependence of V_{OC} is given by^{43,44}

$$qV_{OC} = E_g^{eff} - k_B T \ln \left(\frac{N_0^2}{n_e n_h} \right) \quad (1)$$

where E_g^{eff} is the effective bandgap energy of the semiconductors, k_B is the Boltzmann

constant, T is the absolute temperature, n_e and n_h are the density of electrons and that of holes, respectively, and N_0 is the density of electronic states in the device. On the basis of Equation (1), we can evaluate the E_g^{eff} from a linear extrapolation at 0 K when the photocurrent density generated is almost independent of temperature. The values of E_g^{eff} for both photovoltaic devices are summarized in Table 1.

We first focus on the energy loss from E_g to E_g^{eff} observed for polymer solar cells and perovskite solar cells. For polymer solar cells, as mentioned before, there is an energy loss to generate free charge carriers from excitons, which is called the energy offset ΔqV_{off} estimated from the energy difference between E_g and E_{DA} . As summarized in Table 1, the ΔqV_{off} is as large as 0.9 eV for P3HT/PCBM and as small as about 0.2 eV for P3HT/PF12TBT. This offset ΔqV_{off} is one of the primary voltage losses in polymer solar cells. In addition, the E_g^{eff} is about 0.2–0.3 eV smaller than the E_{DA} , which is estimated from the HOMO and LUMO energy levels for individual donor and acceptor materials that have no electronic interaction between them. The CT state formed in donor/acceptor blends should have Coulomb binding energy ΔE_C in polymer solar cells, which is given by²⁹

$$\Delta E_C = \frac{q^2}{4\pi\epsilon_0\epsilon_r R} \quad (2)$$

Here, ϵ_r is the relative dielectric constant of the surrounding media, ϵ_0 is the permittivity of vacuum, R is the electron–hole separation distance, and ΔE_C is the energy of Coulomb attraction. The dielectric constant ϵ_r has been reported to be ≈ 3 for most of conjugated polymer films and to be 3.9 for PCBM films.^{29,45–47} In this calculation, $\epsilon_r = 3.5$ was used as an average value for all the polymer solar cells studied and R was employed as a fitting parameter. On the basis of Equation (2) with $\epsilon_r = 3.5$ and $R = 1.2$ – 1.6 nm, the ΔE_C is roughly estimated to be ≈ 0.3 eV, which is consistent with the difference between E_g^{eff} and E_{DA} . Such a small R is consistent with the electron–hole separation distance in the CT state formed at the

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4 donor/acceptor interface.^{29,46,48} We therefore ascribe the energy difference between E_{DA} and
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6 E_g^{eff} to the Coulomb binding energy ΔE_C of the CT state formed at the donor/acceptor
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8 interface in polymer solar cells. On the other hand, the E_g^{eff} evaluated for perovskite solar
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10 cells is almost equal to the E_g of perovskites. This indicates that the free charge carrier can
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12 be directly formed after photon absorption because of the small exciton binding energy.^{49,50}
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14 Furthermore, this finding indicates that the primary charge recombination in perovskite solar
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16 cells is the bulk recombination in perovskite absorber rather than the surface recombination at
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18 the interface.⁵¹⁻⁵³ In summary, polymer solar cells have two loss channels of the energy
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20 offset ΔqV_{off} and the Coulomb binding energy ΔE_C while perovskite solar cells have no loss
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22 channel in the energy loss from E_g to E_g^{eff} . This difference originates from the difference in
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24 the charge generation mechanisms between polymer solar cells and perovskite solar cells.
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29 **2.4. Origin of Energy Loss from E_g to E_g^{eff}**

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31 We next focus on the temperature-dependent energy loss from E_g^{eff} to qV_{OC} (ΔqV_{temp})
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33 observed for polymer solar cells and perovskite solar cells as shown in Figure 4. As
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35 discussed in the previous section, we evaluated the E_g^{eff} from a linear extrapolation at 0 K to
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37 clarify the primary charge recombination sites in the two devices. As a result, we found that
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39 charge recombination is dominant in the CT state formed at the interface of donor and
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41 acceptor materials for polymer solar cells and in the bulk of perovskites for perovskite solar
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43 cells.
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47 The charge recombination mechanisms can be discussed on the basis of the
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49 temperature-dependent voltage loss ΔqV_{temp} as reported previously.^{25,53-58} Under the
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51 open-circuit condition, the charge generation rate is equal to the total recombination rate
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53 because of no charge collection to external electrodes. Recently, the voltage loss in organic
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55 solar cells has been discussed in the frame work of a modified SQ theory taking into account
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the essential effects of the CT state formed at the donor/acceptor interface.^{24,59-61} In this approach, both the sun and photovoltaic device are considered as black body radiations at 5778 K for the surface of the sun and at 300 K for the photovoltaic device, respectively. According to Planck's law of radiation, the number of photons per unit area and time $N(T)$, absorbed or emitted by the black body at a certain temperature, can be given by the integral of the black body spectrum over all photon energies E .

$$\begin{aligned}
 N(T) &= \int_0^{\infty} \eta_A(E) n(E, T) dE \\
 &= \int_0^{\infty} \eta_A(E) \frac{1}{4\pi^2 \hbar^3 c^2} \left[\exp\left(\frac{E}{k_B T}\right) - 1 \right]^{-1} E^2 dE
 \end{aligned} \tag{3}$$

where $\eta_A(E)$ is the absorption spectrum, $n(E, T)$ is the flux of photons with an energy of E , \hbar is reduced Planck's constant, and c is the speed of light in vacuum. In the modified SQ theory, $\eta_A(E)$ consists of not only the absorption of bulk materials for photon energies above the E_g ($\eta_A^{\text{Bulk}} \approx 1$), but also the absorption of the CT state in donor/acceptor blend for $E_{\text{CT}} < E < E_g$ (typically, $\eta_A^{\text{CT}} \approx 10^{-6} - 10^{-4}$).^{24,62-65} Hence, the absorption profile can be given by two step functions:

$$\eta_A(E) = \begin{cases} 0 & \text{for } E < E_{\text{CT}} \text{ or } E_g \\ \eta_A^{\text{CT}} & \text{for } E_{\text{CT}} < E < E_g \\ \eta_A^{\text{Bulk}} & \text{for } E > E_g \end{cases} \tag{4}$$

Assuming one electron-hole pair is generated by each photon absorbed by the photovoltaic devices, J_{SC} is given by $J_{\text{SC}} = qsN(T)$ where s ($\approx 2.18 \times 10^{-5}$) is a fraction of the photons reaching the surface of the earth and $T \approx 5778$ K.²⁴ On the other hand, the generated photons, driven by a radiative recombination of electrons and holes at $T \approx 300$ K and $s = 1$ in the photovoltaic devices, can be expressed by $J_{0,\text{rad}} = qN(T)$.²⁴ Under the open circuit condition, the equilibrium condition under which the number of photons absorbed is equal to that of photons emitted is established. Taking into account the ideal diode that the current is only

driven by the radiative recombination, which is given by $J_0[\exp(qV/k_B T) - 1]$ ^{43,44} for a negligibly small series resistor ($R_s \approx 0$), and an ideal parallel resistor ($R_p \rightarrow \infty$), V_{OC} can be derived by

$$qV_{OC} = k_B T \ln\left(\frac{J_{SC}}{J_0} + 1\right) \quad (5)$$

where J_0 is the saturation current density at reverse bias. Note that J_0 is typically due to not only the current driven by the radiative recombination but also the current via the non-radiative recombination in the real photovoltaic devices, and hence is given by $J_0 = J_{0,rad} + J_{0,non}$. By substituting this relationship into Equation (5), Equation (6) can be obtained.

$$qV_{OC} = k_B T \ln\left(\frac{J_{SC}}{J_{0,rad} + J_{0,non}} + 1\right) \quad (6)$$

Using J_0 given by the Arrhenius equation (Supporting Information),^{43,44} the temperature dependence of V_{OC} is rewritten as

$$qV_{OC}(T) = E_g^{eff} - \Delta qV_{rad}(T) - \Delta qV_{non}(T) \quad (7)$$

where ΔqV_{rad} and ΔqV_{non} are the radiative and non-radiative loss in V_{OC} , respectively.

The η_A^{CT} for polymer blend solar cells has been typically reported to be in the range of 10^{-6} to 10^{-4} .^{24,62-65} In this analysis, $J_{0,rad}$ was calculated by Equation (3) with $\eta_A^{Bulk} = 1$ for polymer solar cells and perovskite solar cells and $\eta_A^{CT} = 1.0 \times 10^{-4}$ for all the polymer solar cells or $\eta_A^{CT} = 0$ for perovskite solar cells. We note that the smaller η_A^{CT} ($= 1.0 \times 10^{-6}$) simply results in the smaller radiative loss in V_{OC} for polymer solar cells and hence does not affect our discussion on the difference in ΔqV_{temp} between polymer solar cells and perovskite solar cells at all. For J_{SC} , each experimental value was employed. Here, we replace E_{CT} with E_g^{eff} ($E_{CT} = E_g^{eff}$) because qV_{OC} converges E_g^{eff} in accordance with Equation (1). As shown in Figure 4 and Table 1, the ΔqV_{temp} at room temperature is evaluated to be ≈ 0.3 – 0.4 eV for polymer solar cells, while it is as large as about 0.5 eV for perovskite solar cells.

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4 These findings suggest that there should be a different loss process in V_{OC} between them. As
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6 shown in Figure 5 and Figure S4, the temperature dependence of V_{OC} was well fitted with
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8 Equation (6) taking into account J_0 that both the radiative and non-radiative recombinations
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10 contribute to the device dark current. All the fitting parameters are summarized in Table S3.
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12 The dashed–two-dotted lines in Figure 5 show the radiative recombination loss ΔqV_{rad} in the
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14 photovoltaic devices plotted against temperature. This voltage loss in V_{OC} is
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16 thermodynamically inevitable, because it is strongly dependent on the absorption coefficient
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18 of bulk materials and the CT state in donor/acceptor blend films.^{24,59–61} As shown in Figure
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20 5, ΔqV_{rad} is evaluated to be ≈ 0.1 eV for polymer solar cells while it is evaluated to be ≈ 0.3 eV
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22 for perovskite solar cells. This is ascribed to small η_A^{CT} of the organic donor/acceptor blend,
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24 which is typically in the range of 10^{-6} – 10^{-4} .^{24,62–65} On the other hand, ΔqV_{non} was evaluated
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26 to be ≈ 0.2 – 0.3 eV for polymer solar cells and ≈ 0.2 eV for perovskite solar cells. In summary,
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28 ΔqV_{non} is the major loss channel for polymer solar cells while ΔqV_{rad} is the major loss channel
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30 for perovskite solar cells. This discrepancy is ascribed to the difference in the charge
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32 recombination mechanisms between them.
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38 **2.5. Potential Strategies for Enhancement in V_{OC}**

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40 We further discuss the potential strategies for the enhancement of V_{OC} in both polymer solar
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42 cells and perovskite solar cells. As summarized in Figure 6, the E_{loss} can be divided into the
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44 three loss terms ΔqV_{off} , ΔqV_{CT} , and ΔqV_{temp} . As mentioned before, the
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46 temperature-independent ΔqV_{off} and ΔqV_{CT} are the major loss channels for polymer solar cells
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48 while they are not found at all for perovskite solar cells. For polymer solar cells, the
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50 minimal offset energy for the sum of ΔqV_{off} and ΔqV_{CT} has been considered to be ≥ 0.3 eV to
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52 ensure an efficient charge transfer at the D/A interface, previously.^{30–32} However, recent
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54 studies have shown that efficient charge transfer could be possible even for a negligibly small
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4 offset energy ($\Delta qV_{\text{off}} + \Delta qV_{\text{CT}} \leq 0.1 \text{ eV}$).^{17,19,63} Although little is known about the
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6 underlying mechanism for such efficient charge transfer with a small offset energy, the ΔqV_{off}
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8 + ΔqV_{CT} may be reduced to less than 0.1 eV even for polymer solar cells.
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10 We next discuss the temperature-dependent voltage loss ΔqV_{temp} in polymer solar cells.
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12 As described before, we found that the dominant charge recombination sites are the CT state
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14 at the donor/acceptor interface in polymer solar cells. On the basis of the modified SQ
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16 model, the ΔqV_{rad} is estimated to be $\approx 0.1 \text{ eV}$ due to the weak absorption coefficient of the CT
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18 state, and hence the ΔqV_{non} is a major loss channel in the ΔqV_{temp} . As discussed in previous
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20 studies, the ΔqV_{non} is mainly ascribed to the interfacial disorder.^{66–68} The optical absorption
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22 near band edges in the disorder of materials exhibits an Urbach tail, which is given by $\alpha(E) =$
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24 $\alpha_0 \exp((E - E_g)/E_u)$ for $E < E_g$ where $\alpha(E)$ is the absorption coefficient, α_0 is a constant
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26 determined by fits to the experiment, and E_u is the Urbach energy. The disorder of materials
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28 therefore can correlate with the E_u . It is well known that the E_u of organic materials is in the
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30 range of 30–60 meV, being 2 to 4 times higher than that of perovskites.^{17,69–71} This indicates
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32 that energetic disorder is larger in organic donor/acceptor films than in perovskite materials.
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34 Hence, the reduction of the disorder at the CT state is of key importance to suppress the
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36 ΔqV_{temp} in polymer solar cells. A recent study has shown that conjugated polymers with a
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38 low degree of energetic disorder can be prepared by the introduction of torsion-free backbone
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40 conformation with a remarkable resilience.⁷¹ Such a molecular design could reduce the
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42 disorder at the CT state and hence suppress the ΔqV_{temp} in polymer solar cells. Although
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44 further studies are necessary to give a guideline in terms of the suitable molecular design for
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46 donor/acceptor blend with a low degree of disorder as well as a small offset energy, we
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48 suggest that the V_{OC} in polymer solar cells can be increased by minimizing the offset energy
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50 ($\Delta qV_{\text{off}} + \Delta qV_{\text{CT}} \leq 0.1 \text{ eV}$) as well as by suppressing the disorder of donor/acceptor interface
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52 ($\Delta qV_{\text{temp}} \leq 0.3 \text{ eV}$) while keeping efficient charge generation, and hence achieve the upper
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4 limit of V_{OC} ($E_{loss} \leq 0.4$ eV) as shown in Figure 6a.

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6 Finally, we discuss the temperature-dependent voltage loss ΔqV_{temp} in perovskite solar
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8 cells. As mentioned before, the major loss channel in ΔqV_{temp} is the inevitable ΔqV_{rad}
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10 because of the strong absorption coefficient of perovskites (10^4 – 10^5 cm⁻¹ at 550 nm). As
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12 such, it is very important to suppress the ΔqV_{non} in perovskite solar cells for further
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14 improvement. As reported previously,^{25,34,72} the ΔqV_{non} is dependent upon the trap densities
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16 N_t in perovskite solar cells. If the trap density were as small as $<10^{13}$ cm⁻³, ΔqV_{non} would be
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18 negligibly small and hence V_{OC} could be improved to up to ≈ 1.3 V.^{34,72} This is in good
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20 agreement with the value expected from the modified SQ model without taking into account
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22 ΔqV_{non} as shown in Figure 6b. In this context, the fabrication of pure perovskites with low
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24 trap state densities is a key issue to further enhance the V_{OC} in perovskite solar cells.
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26 Recently, an extremely low N_t of $<10^{13}$ cm⁻³ has been reported for highly crystalline
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28 perovskites with mm-scale grains.⁷³ However, the V_{OC} is still far from the SQ limit.^{74,75}
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30 This indicates that there should be other loss mechanisms such as the energy level alignment
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32 or surface recombination at the interface. We therefore conclude that the V_{OC} in perovskite
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34 solar cells can be further improved not only by suppressing additional recombination channels
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36 ($\Delta qV_{temp} \approx 0.3$ eV) but also by employing high quality perovskites with the low trap density,
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38 and thus attain the SQ limit of V_{OC} ($E_{loss} \approx 0.3$ eV) as shown in Figure 6b. Note that very
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40 recent studies have shown that the photon recycling of the perovskite layers in the device
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42 structure, which can confine the incoming photon, causes the higher internal photon
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44 densities.^{76–78} Such effects would enhance the achievable V_{OC} furthermore.
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51 **3. Conclusions**

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53 We systematically studied the origin of the V_{OC} loss in a series of polymer solar cells and
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55 perovskite solar cells. The polymer solar cells were fabricated by spin-coating from the
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4 blend solutions with a different composition of either polymer/fullerene blend or
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6 polymer/polymer blend in which the conjugated polymers with different E_g s were employed.
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8 On the other hand, the perovskite solar cells were prepared on d-TiO₂ by the FDC method and
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10 on mp-TiO₂ by the sequential procedure.
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13 By measuring the temperature dependence of V_{OC} , we quantified the voltage loss in both
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15 devices and clarified the difference in the dominant loss process between them. As a result,
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17 we found that the photon energy loss for polymer solar cells is in the range of about 0.7–1.4
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19 eV, which can be divided into three loss terms, ΔqV_{off} , ΔqV_{CT} , and ΔqV_{temp} , while that for
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21 perovskite solar cells is as small as about 0.5 eV through a temperature-dependent loss
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23 process (ΔqV_{temp}). In particular, the temperature-independent ΔqV_{off} and ΔqV_{CT} , which are
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25 not found at all for perovskite solar cells, are the dominant loss channels for polymer solar
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27 cells. The large E_b of organic semiconductors is believed to necessitate the energetic cascade
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29 between donor and acceptor materials to guarantee an efficient charge separation in polymer
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31 solar cells. This is accompanied with ΔqV_{off} , which was estimated from the difference of
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33 LUMO energy levels between individual donor and acceptor material. These values are in
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35 the range of 0.2–0.9 eV depending on the donor/acceptor blend. In addition, there is an
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37 additional energy loss from E_{DA} to E_g^{eff} (ΔqV_{CT}), which amounts to ≈ 0.2 – 0.3 eV. We ascribe
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39 this loss term to the Coulomb binding energy ΔE_C of the CT state formed at the
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41 donor/acceptor interface. Therefore, the difference in charge generation mechanisms
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43 between polymer solar cells and perovskite solar cells results in the different energy loss
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45 mechanism from E_g to E_g^{eff} .
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51 The temperature-dependent energy loss (ΔqV_{temp}) in both polymer solar cells and
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53 perovskite solar cells is closely related to charge recombination mechanisms. For polymer
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55 solar cells ΔqV_{temp} was as small as about 0.3–0.4 eV whereas for perovskite solar cells it was
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57 estimated to be ≈ 0.5 eV. We have quantitatively analyzed ΔqV_{temp} in both devices based on
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4 the modified SQ theory including the effect of the interfacial CT state, which can be divided
5 into radiative recombination and non-radiative recombination. As a result, ΔqV_{rad} was as
6 small as about 0.1 eV for polymer solar cells while it was evaluated to be ≈ 0.3 eV for
7 perovskite solar cells, which is comparable to the thermodynamically inevitable loss for
8 photovoltaic efficiency with a single absorber system. Such a small ΔqV_{rad} for polymer solar
9 cells is attributed to a small $\eta_{\text{A}}^{\text{CT}}$ of the organic donor/acceptor blend, which is typically in the
10 range of 10^{-6} – 10^{-4} . On the other hand, ΔqV_{non} was evaluated to be ≈ 0.2 – 0.3 eV for polymer
11 solar cells and ≈ 0.2 eV for perovskite solar cells. In summary, the primary loss channel is
12 ΔqV_{non} for polymer solar cells and ΔqV_{rad} for perovskite solar cells, which consequently have
13 a primary impact on ΔqV_{temp} . This difference results from the difference in mechanism of
14 charge recombination between them.

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Our study shows that there is still substantial potential for improving the V_{OC} in polymer solar cells and perovskite solar cells because an additional loss has a significant portion of E_{loss} as compared to the SQ limit value (ΔqV_{rad}). Hence, we estimated the upper limit of V_{OC} in both devices on the basis of these experimental data. In polymer solar cells, $E_{\text{loss}} \leq 0.4$ eV would be achievable by the introduction of donor/acceptor blend with the minimal offset energy ($\Delta qV_{\text{off}} + \Delta qV_{\text{CT}} \leq 0.1$ eV) as well as a low degree of disorder at the interfacial CT state. On the other hand, in perovskite solar cells, the thermodynamically unavoidable loss ($E_{\text{loss}} \approx 0.3$ eV) would be attainable not only by suppressing the additional recombination channels but also by preparing high quality perovskites with low trap density, resulting in high device performance comparable to crystalline silicon solar cells. Photon recycling reported recently would enhance the attainable V_{OC} furthermore. As such, our quantitative analysis offers a clear target for further enhancement of V_{OC} in polymer solar cells and perovskite solar cells, and thus high PCE.

4. Experimental Section

Materials: All of the polymers were employed without further purification as follows: P3HT (Sigma–Aldrich, regioregularity >90%, $M_w = 42,300 \text{ g mol}^{-1}$, and PDI = 1.9), PTQ1 (Solarmer Materials, Inc., $M_w = 113,000 \text{ g mol}^{-1}$, and PDI = 2.4), PCDTBT (Ossila, $M_w = 42,200 \text{ g mol}^{-1}$, and PDI = 2.15), PBDTTT-EF-T (1-Materials Inc., $M_w = 121,000 \text{ g mol}^{-1}$, and PDI = 2.4), N2200 (Polyera Corporation, $M_w = 84,300 \text{ g mol}^{-1}$, and PDI = 3.1), and PCBM (Frontier Carbon, 99.9%). PF12TBT ($M_w = 78\,000 \text{ g mol}^{-1}$ and PDI = 2.8) was synthesized at Sumitomo Chemical Co., Ltd.

$\text{CH}_3\text{NH}_3\text{I}$ (MAI) was synthesized according to the procedures reported previously.³⁴ A methanol solution of methylamine (90 mL, 40%, 0.882 M; Wako Pure Chemical Industries, Ltd.) was added drop wise over 10 min to an aqueous solution of HI (96.9 mL, 57 wt%, 1.29 M; Wako Pure Chemical Industries Ltd.) in a 500 mL round bottom flask at 0 °C, and then stirred for 2 h. The precipitates were recovered by evaporation at 50 °C for 30 min. The resultant yellowish raw products were dissolved in ethanol, recrystallized from diethyl ether, and then finally filtered. These steps were repeated three times. After filtration, the white solid products MAI were dried at 60 °C in a vacuum oven for 24 h.

Device Fabrication: Polymer solar cells were fabricated as follows. A hole-transporting buffer layer (40 nm) of poly(3,4-ethylenedioxythiophene) with poly(4-styrenesulfonate) (PEDOT:PSS, H. C. Starck Clevis PH500) was prepared atop a UV–ozone-cleaned ITO-coated glass substrate (a sheet resistance of 10 Ω per square) by spin-coating at 3000 rpm for 99 s and then heated on a hot plate at 140 °C for 10 min. The photoactive layers used in this study were prepared on the ITO/PEDOT:PSS substrate by spin-coating from a blend solution with a different weight ratio based on polymer/fullerene derivative and polymer/polymer blends in a nitrogen atmosphere. The six blend solutions were prepared by

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4 dissolving P3HT (7.5 mg) and PCBM (6 mg) in 1 mL of chlorobenzene (CB), PTQ1 (19.5
5 mg) and PCBM (26.5 mg) in 1 mL of *o*-dichlorobenzene (*o*-DCB), PCDTBT (10 mg) and
6 PCBM (40 mg) in 1 mL of CB, P3HT (5.5 mg) and PF12TBT (5.5 mg) in 1 mL of chloroform
7 (CF), PTQ1 (8.4 mg) and N2200 (3.6 mg) in 1 mL of CF, and PBDTTT-EF-T (9 mg) and
8 N2200 (9 mg) in 1 mL of CB, respectively. P3HT/(PCBM or PF12TBT), PCDTBT/PCBM
9 and PTQ1/N2200 blend films were annealed on a hot plate at 140 °C for 10 min and 80 °C for
10 15 min and 120 °C for 10 min under the nitrogen atmosphere, respectively. The thickness of
11 blend layers varied from 60 to 140 nm according to a given donor/acceptor blend system.
12 After being heated, the samples were transferred to a glovebox under an inert nitrogen
13 atmosphere. A calcium interlayer (Ca, 12 nm) and an aluminium electrode (Al, 70 nm) were
14 thermally deposited on top of the active layer under high vacuum ($<2.5 \times 10^{-4}$ Pa). At least
15 6 devices were fabricated to ensure the reproducibility of the J - V characteristics.

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31 Two types of perovskite solar cells were fabricated with either d-TiO₂ or mp-TiO₂ based
32 device structure in which the active layers were obtained by a fast deposition–crystallization
33 (FDC)^{14,34} method and a sequential procedure, respectively.^{35,36} A dense layer of TiO₂ (≈40
34 nm) was coated atop a UV–ozone cleaned FTO-coated glass substrate (a sheet resistance of
35 12 Ω per square, 25 mm × 25 mm, Asahi Glass Co., Ltd.) by spray-pyrolysis at 470 °C using a
36 bis(isopropoxide)bis(acetylacetonato)titanium(IV) solution (75 wt% in 2-propanol,
37 Sigma–Aldrich) diluted in ethanol (1:39, volume ratio).

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46 To prepare d-TiO₂ based PHJ devices, the MAPbI₃ perovskite solutions (55 wt%, 1160 mg
47 mL⁻¹) were prepared by mixing MAI with purified PbI₂ (L0279 for perovskite precursor,
48 Tokyo Chemical Industry Co., Ltd.)³⁶ at a molar ratio of 1 to 1 in anhydrous
49 *N,N*-dimethylformamide (DMF, 99.8%, Sigma–Aldrich) and then stirred at 70 °C overnight in
50 a nitrogen-filled glove box (H₂O and O₂ < 1 ppm). In the glove box, the MAPbI₃ solution
51 (0.13 mL) was first dropped onto the center of a d-TiO₂ coated FTO substrate. The substrate
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4 was firstly spun at 5000 rpm for 30 s, and after 6 s anhydrous CB (99.8%, 0.3 mL,
5 Sigma–Aldrich) was quickly dropped onto the center of the substrate. The instant color
6 change of films from yellow to brown was observed upon dropping CB solvent. The
7 resulting dark brown films were dried at 100 °C for 10 min. The hole-transporting layer was
8 deposited on the perovskite layer by spin-coating at 4000 rpm for 30 s from a solution of
9 spiro-OMeTAD (72.3 mg, Merck) in anhydrous CB (99.8%, 1.0 mL, Sigma–Aldrich)
10 containing 28.8 μL of 4-tert-butylpyridine (Sigma–Aldrich) and 17.5 μL of lithium
11 bis(trifluoromethanesulfonyl)imide (Li-TFSI) solution (520 mg Li-TFSI in 1 mL acetonitrile,
12 Sigma–Aldrich).
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24 To prepare mp-TiO₂ based perovskite solar cells, the d-TiO₂ layer was treated with a
25 solution of TiCl₄ (440 μL, special grade, Wako Pure Chemical Industries Ltd.) in 100 mL of
26 water at 70 °C for 30 min, and rinsed twice with distilled water. The substrates were
27 sintered at 500 °C for 20 min, and subsequent spin-coating at 5000 rpm for 30 s from a
28 diluted solution of TiO₂ paste (PST-18NR, JGC Catalysts and Chemicals Ltd.) with the weight
29 ratio of TiO₂ paste : ethanol = 1 : 3.5, followed by sintering at 500 °C for 30 min, and finally
30 resulted in the deposition of mp-TiO₂ nanoparticles (film thickness ≈ 200 nm, average particle
31 size ≈ 20 nm). In an inert glove box, these mp-TiO₂ films were then treated with PbI₂ by
32 spin-coating at 6500 rpm for 5 s from a 1.1 M solution of PbI₂ (L0279 for perovskite
33 precursor, Tokyo Chemical Industry Co., Ltd.)³⁶ in DMF (1.0 mL), which was prepared in
34 advance and kept at 70 °C. The resulting yellow films were dried for 1 h on a hot plate at
35 70 °C. The films were dipped for 1 s in 2-propanol, and then for 20 s in a 0.06 M solution of
36 MAI in 2-propanol (20 mL). The obtained perovskite films were then quickly rinsed with
37 2-propanol and dried on a hot plate at 70 °C for 30 min. The hole-transporting layer was
38 deposited on the perovskite layer by spin-coating at 4000 rpm for 30 s from a 0.058 M
39 solution of spiro-OMeTAD (Merck) in CB (1.5 mL) containing 4-tert-butylpyridine (43 μL,
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4 0.19 M, Sigma–Aldrich) and lithium bis(trifluoromethylsulphonyl)imide (13.5 mg, 0.031 M,
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6 Wako Pure Chemical Industries Ltd.) as well as
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8 tris(2-(1H-pyrazol-1-yl)-4-tert-butylpyridine)cobalt(III) (12.6 mg, 5.6×10^{-3} M) as dopants.
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10 The resulting films were dried on a hot plate at 70 °C for 30 min.

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13 Finally, 80 nm of gold was thermally evaporated on top of the active layer under high
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15 vacuum (2.5×10^{-4} Pa). The final layered structure of these perovskite solar cells is
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17 FTO/d-TiO₂/MAPbI₃/HTM/Au and FTO/d-TiO₂/mp-TiO₂/MAPbI₃/HTM/Au. At least 10
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19 devices were fabricated to ensure the reproducibility of the J – V characteristics.
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23 *Measurements:* The ionization potential of the polymers was measured with a photoelectron
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25 yield spectrometer (PYS, Riken Keiki, AC-3). The samples were fabricated by spin-coating
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27 from stock solution onto a washed ITO substrate. The threshold energy for the
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29 photoelectron emission was estimated on the basis of the cubic root of the photoelectron yield
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31 plotted against the incident photon energy.
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34 Square wave voltammetry of the materials employed in this research were performed
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36 using a potentiostat/galvanostat (Princeton Applied Research, 273A) in an Ar-bubbled
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38 acetonitrile/*o*-DCB or CF solutions containing 0.1 M of tetrabutylammonium perchlorate as a
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40 supporting electrolyte with an Ag/Ag⁺ wire as a reference electrode and a Pt wire as a counter
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42 electrode. The scan rate was set to be in the range of 20–50 mV s⁻¹.
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46 J – V characteristics were measured with a direct current (DC) voltage and current
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48 source/monitor (Keithley, 2611B) in the dark and under the illumination with AM 1.5G
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50 simulated solar light with 100 mW cm⁻². The light intensity was corrected with a calibrated
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52 silicon photodiode reference cell (Bunkoh-Keiki, BS-520). Temperature dependence of the
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54 J – V characteristics was measured with a direct-current (DC) voltage and current
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56 source/monitor (Advantest, R6243) in a vacuum prober system (ALS Technology, VPS3-50)
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4 under the illumination from a 100 W Xe lamp (Asahi Spectra, LAX-C100) equipped with a
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6 uniform illumination lens unit (Asahi Spectra, RLQL80-0.5). The temperature was
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8 controlled by the cryocooler (Twinbird Corp., SC-UF01) and monitored the temperature
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10 controller (Panasonic, KT2). The EQE spectra were measured with a spectral response
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12 measurement system (Bunko-Keiki Co., ECT-250D). The power of the incident
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14 monochromatic light was kept under 0.05 mW cm^{-2} , which was measured with a calibrated
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16 silicon reference cell (Bunkoh-Keiki, BS-520BK). All devices were measured in a vacuum
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18 with a metal mask to give an active area of 0.09 cm^2 .
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25 **Supporting information**

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27 Estimation of HOMO and LUMO levels, hysteresis of perovskite solar cells, temperature
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29 dependence of qV_{OC} for polymer solar cells, saturation current (J_0), simulation of voltage loss
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31 in polymer solar cells, and fitting parameters on the simulation of voltage loss. This material
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33 is available free of charge via the Internet at <http://pubs.acs.org>.
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45 Energy and Industrial Technology Development Organization (NEDO).
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Figures and Figure Captions

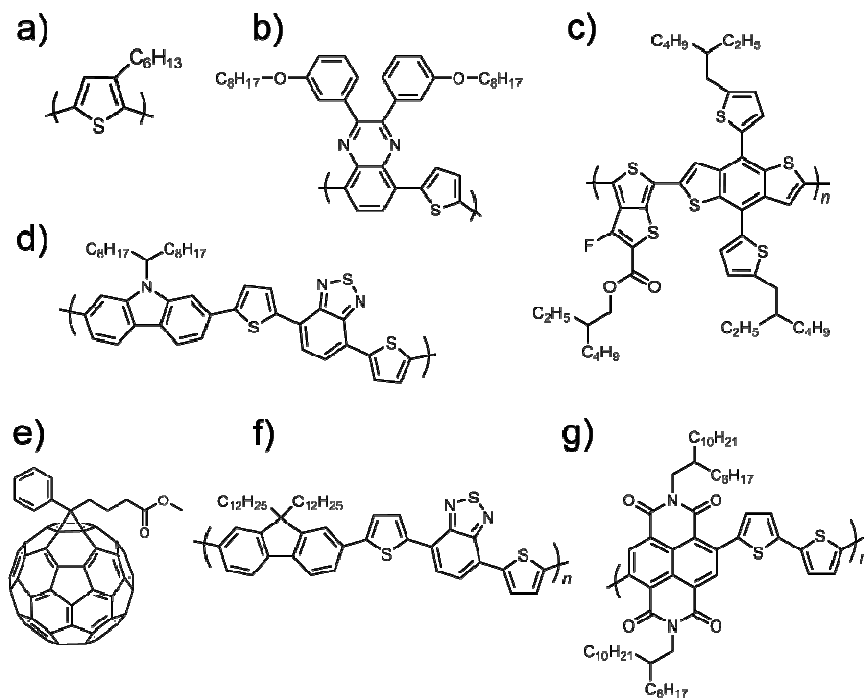


Figure 1. Chemical structures of donor polymers (a–d) and acceptor polymers (e–g) employed in this study: a) P3HT, b) PTQ1, c) PBDTTT-EF-T, d) PCDTBT, e) PCBM, f) PF12TBT, and g) N2200.

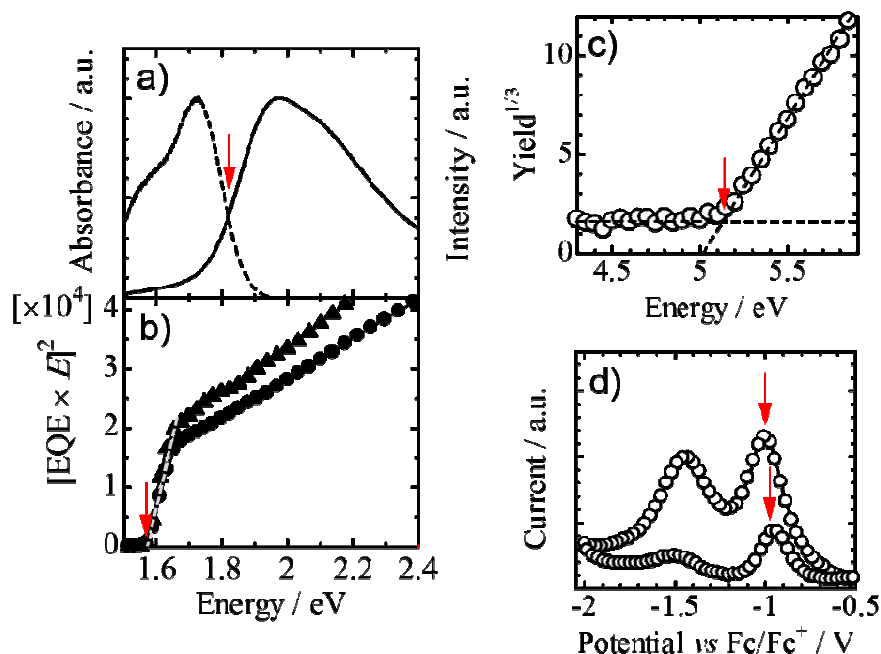


Figure 2. a) Absorption (solid line) and photoluminescence spectra (broken line) of PTQ1. b) $(\text{EQE} \times E)^2$ plotted against the photon energy E : mp-TiO₂ based MAPbI₃ solar cells (close circles) and d-TiO₂ based MAPbI₃ solar cells (close triangles). The broken line shows the x -intercept. c) Photoelectron yield spectroscopy of a PBDTTT-EF-T film. The cut-off energy was estimated by the threshold of the cubic root of the photoelectron yield. d) Square wave voltammetry of an N2200 film in acetonitrile/*o*-dichlorobenzene solutions containing 0.1 M of tetrabutylammonium perchlorate. The scan rate is set to be in the range of 20–50 mV s⁻¹.

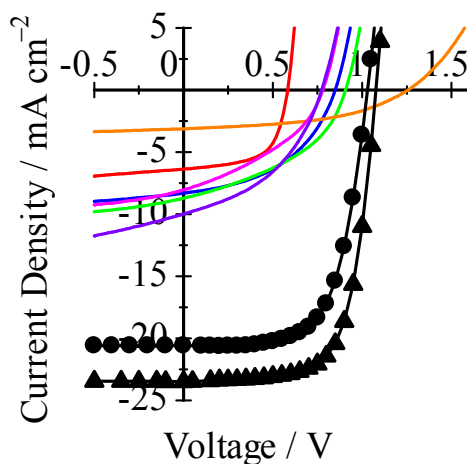


Figure 3. J - V characteristics of polymer solar cells (solid lines) and perovskite solar cells (symbols) under AM 1.5G simulated solar illumination with 100 mW cm^{-2} : P3HT/PCBM (red), PTQ1/PCBM (blue), PCDTBT/PCBM (green), P3HT/PF12TBT (orange), PTQ1/N2200 (magenta), PBDTTT-EF-T/N2200 (purple), mp-TiO₂ based MAPbI₃ solar cells (close circles), and d-TiO₂ based MAPbI₃ solar cells (close triangles). All the devices were measured in a nitrogen atmosphere with a metal mask to give an active area of 0.09 cm^2 . J - V characteristics of perovskite solar cells were measured from 1.2 to -0.50 V (reverse scan) with a delay time of 1 s.

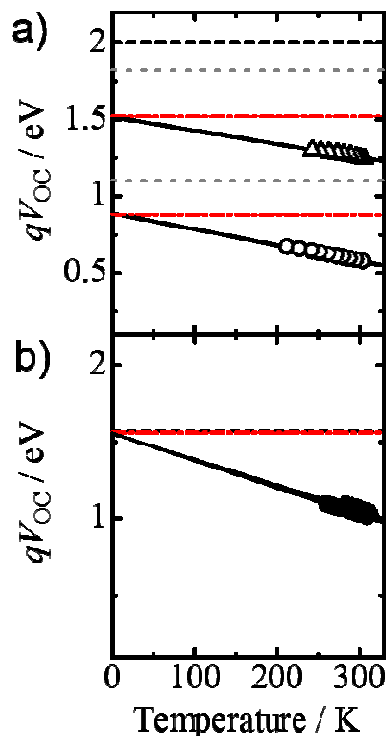


Figure 4. Temperature dependence of qV_{OC} for a) polymer solar cells and b) perovskite solar cells: P3HT/PCBM (open circles), P3HT/PF12TBT (open triangles), mp-TiO₂ based MAPbI₃ solar cells (close circles), and d-TiO₂ based MAPbI₃ solar cells (close triangles). The solid lines are extracted by a fit to experimental data with Equation (1). The correlation coefficients (r) between the data and the fitting line are more than 0.99: P3HT/PCBM ($r = 0.9994$), P3HT/PF12TBT ($r = 0.9926$), mp-TiO₂ based MAPbI₃ solar cells ($r = 0.9990$), and d-TiO₂ based MAPbI₃ solar cells ($r = 0.9910$). The broken and dotted lines represent bandgap energy (E_g) and CT state energy (E_{DA}), respectively. The dashed-dotted lines indicate the effective bandgap energy (E_g^{eff}).

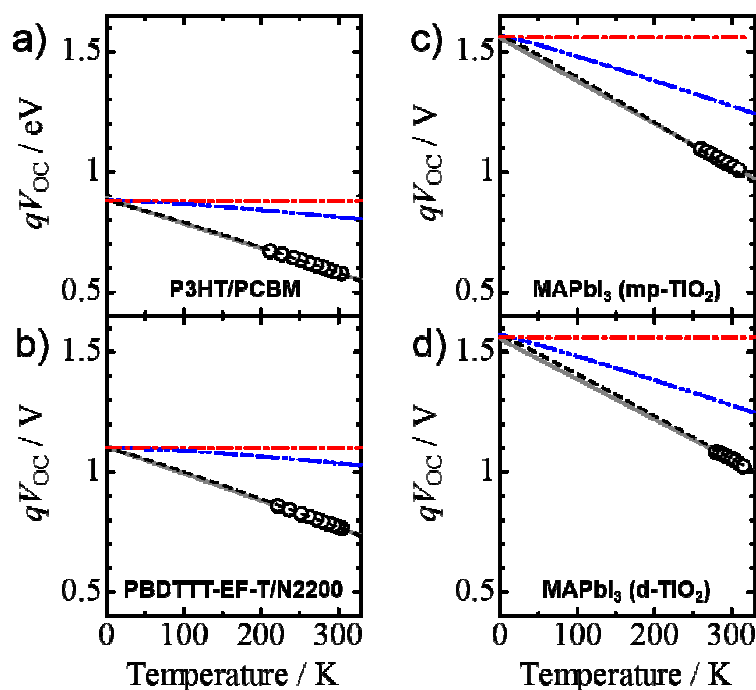


Figure 5. Temperature dependence of qV_{OC} split into two types of loss processes based on the modified SQ theory in polymer solar cells (a–b) and perovskite solar cells (c–d). The dashed–dotted lines represent the effective bandgap energy (E_g^{eff}). The black broken lines are the calculated values on the basis of Equation (6) taking into account J_0 that both radiative and non-radiative recombination contribute to device current. This is good agreement with the grey solid lines, which were extracted by a fit to experimental data with Equation (1). The correlation coefficients (r) between the data and the fitting line are more than 0.99: P3HT/PCBM ($r = 0.9994$), PBDTTT-EF-T/N2200 ($r = 0.9999$), mp-TiO₂ based MAPbI₃ solar cells ($r = 0.9990$), and d-TiO₂ based MAPbI₃ solar cells ($r = 0.9910$). The blue dashed–two-dotted lines represent thermodynamically inevitable loss in V_{OC} as a function of temperature. In this simulation, $\beta = J_{0,\text{non}}/J_{0,\text{rad}}$ was employed as a fitting parameter, which is independent of temperature. Each experimental J_{SC} measured was used to fit the temperature dependent V_{OC} . η_A^{CT} was fixed at 1.0×10^{-4} for all the polymer solar cells.

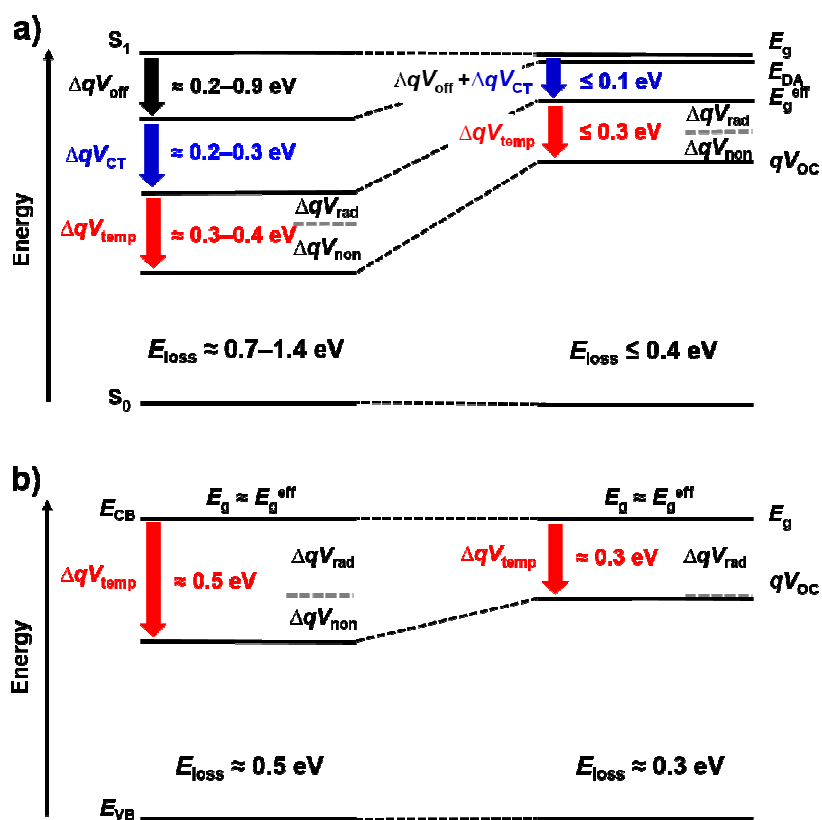


Figure 6. Energy diagrams for a) polymer solar cells and b) perovskite solar cells. The black and grey broken lines represent an extension of each energy level and the Shockley–Queisser (SQ) limit, respectively. The right-hand side of figures shows the upper limit of V_{OC} attainable for polymer solar cells and perovskite solar cells, respectively.

Table 1. The bandgap energy (E_g), the energy gap between HOMO of donor and LUMO of acceptor (E_{DA}), the effective bandgap energy (E_g^{eff}), and the energy loss of each loss process in polymer solar cells and perovskite solar cells.

| Devices | E_g (eV) | qV_{OC} (eV) | E_{loss} (eV) | E_{DA} (eV) | E_g^{eff} (eV) | ΔqV_{off} (eV) | ΔqV_{CT} (eV) | ΔqV_{temp} (eV) |
|---|---------------|-------------------|--------------------|------------------|---------------------|---------------------------|--------------------------|----------------------------|
| P3HT/PCBM | 2.00 | 0.59 | 1.41 | 1.10 | 0.88 | 0.90 | 0.22 | 0.29 |
| PTQ1/PCBM | 1.81 | 0.85 | 0.96 | 1.36 | 1.17 | 0.45 | 0.19 | 0.32 |
| PCDTBT/PCBM | 1.90 | 0.91 | 0.99 | 1.54 | 1.31 | 0.36 | 0.23 | 0.40 |
| P3HT/PF12TBT | 2.00 | 1.26 | 0.74 | 1.82 | 1.52 | 0.18 | 0.30 | 0.26 |
| PTQ1/N2200 | 1.81 | 0.78 | 1.03 | 1.38 | 1.04 | 0.43 | 0.34 | 0.26 |
| PBDTTT-EF-T/N2200 | 1.67 | 0.77 | 0.90 | 1.37 | 1.10 | 0.30 | 0.27 | 0.33 |
| MAPbI ₃ (mp-TiO ₂) | 1.57 | 1.03 | 0.54 | — | 1.56 | — | — | 0.53 |
| MAPbI ₃ (d-TiO ₂) | 1.57 | 1.06 | 0.51 | — | 1.56 | — | — | 0.50 |

TOC GRAPHICS

