## Manuscript version: Published Version

The version presented in WRAP is the published version (Version of Record).

## Persistent WRAP URL:

http://wrap.warwick.ac.uk/106491

## How to cite:

The repository item page linked to above, will contain details on accessing citation guidance from the publisher.

## Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work by researchers of the University of Warwick available open access under the following conditions.

Copyright © and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable the material made available in WRAP has been checked for eligibility before being made available.

Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

## Publisher's statement:

Please refer to the repository item page, publisher's statement section, for further information.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk


## Height bounds and the Siegel property

Martin Orr

# Height bounds and the Siegel property 

Martin Orr


#### Abstract

Let $\boldsymbol{G}$ be a reductive group defined over $\mathbb{Q}$ and let $\mathfrak{S}$ be a Siegel set in $\boldsymbol{G}(\mathbb{R})$. The Siegel property tells us that there are only finitely many $\gamma \in \boldsymbol{G}(\mathbb{Q})$ of bounded determinant and denominator for which the translate $\gamma . \mathfrak{S}$ intersects $\mathfrak{S}$. We prove a bound for the height of these $\gamma$ which is polynomial with respect to the determinant and denominator. The bound generalises a result of Habegger and Pila dealing with the case of $\mathrm{GL}_{2}$, and has applications to the Zilber-Pink conjecture on unlikely intersections in Shimura varieties.

In addition we prove that if $\boldsymbol{H}$ is a subset of $\boldsymbol{G}$, then every Siegel set for $\boldsymbol{H}$ is contained in a finite union of $\boldsymbol{G}(\mathbb{Q})$-translates of a Siegel set for $\boldsymbol{G}$.


## 1. Introduction

A Siegel set is a subset of the real points $\boldsymbol{G}(\mathbb{R})$ of a reductive $\mathbb{Q}$-algebraic group of a certain nice form. The notion of a Siegel set was introduced by Borel and Harish-Chandra [1962], in order to prove the finiteness of the covolume of arithmetic subgroups of $\boldsymbol{G}(\mathbb{R})$. In this paper we use a variant of the notion due to Borel [1969] which takes into account the $\mathbb{Q}$-structure of the group $\boldsymbol{G}$, and gives an intrinsic construction of fundamental sets for arithmetic subgroups in $\boldsymbol{G}(\mathbb{R})$.

Let $\mathfrak{S} \subset \boldsymbol{G}(\mathbb{R})$ be a Siegel set (see Section 2 for the precise definition). The primary theorem of this paper is a bound for the height of elements of

$$
\mathfrak{S} . \mathfrak{S}^{-1} \cap \boldsymbol{G}(\mathbb{Q})=\{\gamma \in \boldsymbol{G}(\mathbb{Q}): \gamma \cdot \mathfrak{S} \cap \mathfrak{S} \neq \varnothing\}
$$

in terms of their determinant and denominators. This gives a quantitative version of [Borel 1969, Corollaire 15.3], which asserts that $\mathfrak{S} . \mathfrak{S}^{-1} \cap \boldsymbol{G}(\mathbb{Q})$ has only finitely many elements with given determinant and denominators. This in turn implies a quantitative version of the Siegel property, one of the key properties of Siegel sets.

Theorem 1.1. Let $\boldsymbol{G}$ be a reductive $\mathbb{Q}$-algebraic group and let $\mathfrak{S} \subset \boldsymbol{G}(\mathbb{R})$ be a Siegel set. Let $\rho: \boldsymbol{G} \rightarrow \mathrm{GL}_{n}$ be a faithful $\mathbb{Q}$-algebraic group representation.

There exists a constant $C_{1}$ (depending on $\boldsymbol{G}, \mathfrak{S}$ and $\rho$ ) such that, for all

$$
\gamma \in \mathfrak{S} \cdot \mathfrak{S}^{-1} \cap \boldsymbol{G}(\mathbb{Q}),
$$

if $N=|\operatorname{det} \rho(\gamma)|$ and $D$ is the maximum of the denominators of entries of $\rho(\gamma)$, then

$$
\mathrm{H}(\rho(\gamma)) \leq \max \left(C_{1} N D^{n}, D\right) .
$$

MSC2010: primary 11F06; secondary 11G18.
Keywords: reduction theory, Siegel sets, unlikely intersections.

This theorem was inspired by a result of Habegger and Pila [2012, Lemma 5.2]. They dealt with the case $\boldsymbol{G}=\mathrm{GL}_{2}$, as a step in proving some cases of the Zilber-Pink conjecture on unlikely intersections in $Y(1)^{n}$. We are motivated by applications of Theorem 1.1 to the Zilber-Pink conjecture in higherdimensional Shimura varieties, which is the subject of work in progress by the author. The key point for these applications is that the bound is polynomial in the determinant $N$.

The second main theorem of this paper compares Siegel sets for the group $\boldsymbol{G}$ with Siegel sets for a subgroup $\boldsymbol{H} \subset \boldsymbol{G}$, which can be seen as a result on the functoriality of Siegel sets with respect to injections of $\mathbb{Q}$-algebraic groups. This theorem is used in the proof of Theorem 1.1 to reduce to the case $\boldsymbol{G}=\mathrm{GL}_{n}$. It also has its own applications to the Zilber-Pink conjecture.

Theorem 1.2. Let $\boldsymbol{G}$ and $\boldsymbol{H}$ be reductive $\mathbb{Q}$-algebraic groups, with $\boldsymbol{H} \subset \boldsymbol{G}$. Let $\mathfrak{S}_{\boldsymbol{H}}$ be a Siegel set in $\boldsymbol{H}(\mathbb{R})$. Then there exist a finite set $\boldsymbol{C} \subset \boldsymbol{G}(\mathbb{Q})$ and a Siegel set $\mathfrak{S}_{\boldsymbol{G}} \subset \boldsymbol{G}(\mathbb{R})$ such that

$$
\mathfrak{S}_{\boldsymbol{H}} \subset C \cdot \mathfrak{S}_{G}
$$

Theorem 4.1 gives some additional information about how the Siegel sets $\mathfrak{S}_{\boldsymbol{G}}$ and $\mathfrak{S}_{\boldsymbol{H}}$ are related to each other (in terms of the associated Siegel triples).

1A. Previous results: height bounds. The primary inspiration for Theorem 1.1 is the following result of Habegger and Pila.

Proposition 1.3 [Habegger and Pila 2012, Lemma 5.2]. Let $\mathcal{F}$ denote the standard fundamental domain for the action of $\mathrm{SL}_{2}(\mathbb{Z})$ on the upper half-plane.

There exists a constant $C_{2}$ such that: for all points $x, y \in \mathcal{F}$, if the associated elliptic curves are related by an isogeny of degree $N$, then there exists $\gamma \in \mathbf{M}_{2}(\mathbb{Z})$ such that

$$
\gamma x=y, \quad \operatorname{det} \gamma=N \quad \text { and } \quad \mathrm{H}(\gamma) \leq C_{2} N^{10} .
$$

In order to relate Proposition 1.3 to Theorem 1.1, recall that the upper half-plane $\mathcal{H}$ can be identified with the symmetric space $\mathrm{GL}_{2}(\mathbb{R})^{+} / \mathbb{R}^{\times} \mathrm{SO}_{2}(\mathbb{R})$, with $\mathrm{GL}_{2}(\mathbb{R})^{+}$acting on $\mathcal{H}$ by Möbius transformations. Under this identification, the standard fundamental domain

$$
\mathcal{F}=\left\{z \in \mathcal{H}:-\frac{1}{2} \leq \operatorname{Re} z \leq \frac{1}{2},|z| \geq 1\right\}
$$

is contained in the image of the standard Siegel set

$$
\mathfrak{S}=\Omega_{1 / 2} A_{\sqrt{3} / 2} K \subset \mathrm{GL}_{2}(\mathbb{R}),
$$

as defined in Section 2A.
We further identify the quotient $\mathrm{SL}_{2}(\mathbb{Z}) \backslash \mathcal{H}$ with the moduli space $Y(1)$ of elliptic curves over $\mathbb{C}$. It is easy to prove that the elliptic curves associated with points $x, y \in \mathcal{H}$ are related by an isogeny of degree $N$ if and only if there exists $\gamma \in \mathrm{M}_{2}(\mathbb{Z})$ such that

$$
\begin{equation*}
\gamma x=y \quad \text { and } \quad \operatorname{det} \gamma=N . \tag{1}
\end{equation*}
$$

Theorem 1.1 tells us that any $\gamma$ satisfying (1) has height at most $C_{1} N$, improving on the exponent 10 which appears in Proposition 1.3.

Theorem 1.1 also implies a uniform version of the following previous result of the author (which is a combination of [Orr 2015, Lemma 3.3] with [Orr 2017, Theorem 1.3]).

Proposition 1.4. Let $\mathcal{F}_{g}$ denote the standard fundamental domain for the action of $\mathrm{Sp}_{2 g}(\mathbb{Z})$ on the Siegel upper half-space of rank g. Fix a point $x \in \mathcal{F}_{g}$.

There exist constants $C_{3}$ and $C_{4}$ such that for all points $y \in \mathcal{F}_{g}$, if the principally polarised abelian varieties associated with $x$ and $y$ are related by a polarised isogeny of degree $N$, then there exists a matrix $\gamma \in \mathrm{GSp}_{2 g}(\mathbb{Q})^{+}$such that

$$
\gamma x=y \quad \text { and } \quad \mathrm{H}(\gamma) \leq C_{3} N^{C_{4}} .
$$

In Proposition 1.4, the constant $C_{3}$ depends on the fixed point $x \in \mathcal{F}_{g}$ and only the other point $y$ is allowed to vary. On the other hand, we can apply Theorem 1.1 to the symmetric space $\mathcal{H}_{g}$ in a similar way to that sketched above for $\mathcal{H}$. This gives a much stronger result in which the constant is uniform in both $x$ and $y$. Hence Theorem 1.1 can be used to prove results on unlikely intersections in $\mathcal{A}_{g} \times \mathcal{A}_{g}$ for which Proposition 1.4 is not sufficient.

Note that [Orr 2015, Lemma 3.3] gives a height bound for unpolarised as well as polarised isogenies. It is not possible to directly deduce a uniform version of this bound for unpolarised isogenies from Theorem 1.1 because [Orr 2015, Lemma 3.3] concerns the homogeneous space $\mathrm{GL}_{2 g}(\mathbb{R}) / \mathrm{GL}_{g}(\mathbb{C})$ while Theorem 1.1 applies to the symmetric space $\mathrm{GL}_{2 g}(\mathbb{R}) / \mathbb{R}^{\times} \mathrm{O}_{2 g}(\mathbb{R})$.

1B. Previous results: Siegel sets and subgroups. Let $\boldsymbol{H}$ be a reductive $\mathbb{Q}$-algebraic subgroup of $\boldsymbol{G}=$ $\mathrm{GL}_{n}$. Borel and Harish-Chandra [1962, Theorem 6.5] gave a recipe for constructing a fundamental set for $\boldsymbol{H}(\mathbb{R})$ which is contained in a finite union of $\boldsymbol{G}(\mathbb{Q})$-translates of a Siegel set for $\boldsymbol{G}$. However it is not obvious how the resulting fundamental set is related to a Siegel set for $\boldsymbol{H}$. Theorem 1.2 resolves this by directly relating Siegel sets for $\boldsymbol{G}$ and $\boldsymbol{H}$.

Theorem 1.2 can also be interpreted as a result about functoriality of Siegel sets. According to a remark on [Borel 1969, p. 86], if $f: \boldsymbol{H} \rightarrow \boldsymbol{G}$ is a surjective morphism of reductive $\mathbb{Q}$-algebraic groups and $\mathfrak{S}_{\boldsymbol{H}}$ is a Siegel set in $\boldsymbol{H}(\mathbb{R})$, then $f\left(\mathfrak{S}_{\boldsymbol{H}}\right)$ is contained in a Siegel set in $\boldsymbol{G}(\mathbb{R})$. Theorem 1.2 gives a similar result for injective morphisms of reductive $\mathbb{Q}$-algebraic groups, where the conclusion must be weakened to saying that the image of a Siegel set is contained in a finite union of $\boldsymbol{G}(\mathbb{Q})$-translates of a Siegel set. We can of course combine these to conclude that for an arbitrary morphism $f: \boldsymbol{H} \rightarrow \boldsymbol{G}$, the image of a Siegel set $\mathfrak{S}_{\boldsymbol{H}} \subset \boldsymbol{H}(\mathbb{R})$ is contained in a finite union of $\boldsymbol{G}(\mathbb{Q})$-translates of a Siegel set in $\boldsymbol{G}(\mathbb{R})$.

The proof of Theorem 1.2 gives an explicit bound for the size of the set $C \subset G(\mathbb{Q})$, namely \#C is at most the size of the $\mathbb{Q}$-Weyl group of $\boldsymbol{G}$. The uniform nature of this bound is less powerful than it might at first appear because the Siegel set $\mathfrak{S}_{\boldsymbol{G}}$ depends on $\mathfrak{S}_{\boldsymbol{H}}$.

1C. Application to unlikely intersections. The author's motivation for studying Theorem 1.1 is due to its applications to the Zilber-Pink conjecture on unlikely intersections in Shimura varieties [Pink 2005,

Conjecture 1.2]. To illustrate these applications, consider the following special case of the Zilber-Pink conjecture.

Conjecture 1.5. Let $g \geq 2$ and let $\mathcal{A}_{g}$ denote the moduli space of principally polarised abelian varieties of dimension $g$ over $\mathbb{C}$. For each point $s \in \mathcal{A}_{g}$, let $\left(A_{s}, \lambda_{s}\right)$ denote the associated principally polarised abelian variety. Let

$$
\Sigma=\left\{\left(s_{1}, s_{2}\right) \in \mathcal{A}_{g} \times \mathcal{A}_{g}: \text { there exists an isogeny } A_{s_{1}} \rightarrow A_{s_{2}}\right\} .
$$

Let $V \subset \mathcal{A}_{g} \times \mathcal{A}_{g}$ be an irreducible algebraic curve. If $V \cap \Sigma$ is infinite, then $V$ is contained in a proper special subvariety of $\mathcal{A}_{g} \times \mathcal{A}_{g}$.

Habegger and Pila [2012] used Proposition 1.3 to prove a result similar to Conjecture 1.5 but for the Shimura variety $\mathcal{A}_{1}^{n}(n \geq 3)$ instead of $\mathcal{A}_{g} \times \mathcal{A}_{g}(g \geq 2)$ (for reasons of dimension, Conjecture 1.5 is false for $\mathcal{A}_{1} \times \mathcal{A}_{1}$ ).

In work currently in progress, the author of this paper proves Conjecture 1.5 subject to certain technical conditions and a restricted definition of the set $\Sigma$. This work requires the uniform version of Proposition 1.4 which is implied by the $\mathrm{GSp}_{2 g}$ case of Theorem 1.1. Because Theorem 1.1 applies to all reductive groups, not just $\mathrm{GSp}_{2 g}$, it should also be useful for proving statements similar to Conjecture 1.5 where $\mathcal{A}_{g}$ is replaced by an arbitrary Shimura variety. However, at present it is not known how to prove the Galois bounds which would be required for such a statement.

1D. Outline of paper. Section 2 contains the definition of Siegel sets and the associated notation used throughout the paper. In Section 3 we prove Theorem 1.1 for standard Siegel sets in $\mathrm{GL}_{n}$, and combine this with Theorem 1.2 to deduce the general statement of Theorem 1.1. The proof of the $\mathrm{GL}_{n}$ case is entirely self-contained. Finally Section 4 contains the proof of Theorem 1.2, relying on results on parabolic subgroups and roots from [Borel and Tits 1965].

1E. Notation. If $\boldsymbol{G}$ is a real algebraic group, then we write $\boldsymbol{G}(\mathbb{R})^{+}$for the identity component of $\boldsymbol{G}(\mathbb{R})$ in the Euclidean topology.

We use a naive definition for the height of a matrix with rational entries, as in [Pila and Wilkie 2006]: if $\gamma \in \mathrm{M}_{n}(\mathbb{Q})$, then its height is

$$
\mathrm{H}(\gamma)=\max _{1 \leq i, j \leq n} \mathrm{H}\left(\gamma_{i j}\right)
$$

where the height of a rational number $a / b$ (written in lowest terms) is $\max (|a|,|b|)$. For an algebraic group $\boldsymbol{G}$ other than $\mathrm{GL}_{n}$, we define the heights of elements of $\boldsymbol{G}(\mathbb{Q})$ via a choice of faithful representation $\boldsymbol{G} \rightarrow \mathrm{GL}_{n}$.

In order to avoid writing uncalculated constant factors in every inequality in the proof of Theorem 1.1, we use the notation

$$
X \ll Y
$$

to mean that there exists a constant $C$, depending only on the group $\boldsymbol{G}$, the representation $\rho$ and the Siegel set $\mathfrak{S}$, such that

$$
|X| \leq C|Y| .
$$

## 2. Definition of Siegel sets

The definitions of Siegel sets used by different authors (for example, [Borel 1969; Ash et al. 2010]) vary in minor ways, so we state here the precise definition used in this paper. At the same time, we define the notation which we shall use in Sections 3 and 4 for the various ingredients in the construction of Siegel sets.

2A. Standard Siegel sets in $\mathbf{G L}_{\boldsymbol{n}}$. Before defining Siegel sets in general, we begin with the simpler special case of "standard Siegel sets" in $\mathrm{GL}_{n}$. Our definition of standard Siegel sets follows [Borel 1969, Définition 1.2]. However, we use the reverse order of multiplication for elements of $\mathrm{GL}_{n}$ and therefore reverse the inequalities in the definition of $A_{t}$.

Make the following definitions (all of these are special cases of the corresponding notations for general Siegel sets):
(1) $\boldsymbol{P} \subset \mathrm{GL}_{n}$ is the Borel subgroup consisting of upper triangular matrices.
(2) $K=\mathrm{O}_{n}(\mathbb{R})$ is the maximal compact subgroup consisting of orthogonal matrices.
(3) $\boldsymbol{S} \subset \boldsymbol{P}$ is the maximal $\mathbb{Q}$-split torus consisting of diagonal matrices.
(4) $A_{t}$ is the set $\left\{\alpha \in \boldsymbol{S}(\mathbb{R})^{+}: \alpha_{j} / \alpha_{j+1} \geq t\right.$ for all $\left.j\right\}$ for any real number $t>0$.
(5) $\Omega_{u}$ is the compact set

$$
\left\{v \in \boldsymbol{P}(\mathbb{R}): v_{i i}=1 \text { for all } i \text { and }\left|v_{i j}\right| \leq u \text { for } 1 \leq i<j \leq n\right\}
$$

for any real number $u>0$.
A standard Siegel set in $\mathrm{GL}_{n}$ is a set of the form

$$
\mathfrak{S}=\Omega_{u} A_{t} K \subset \mathrm{GL}_{n}(\mathbb{R})
$$

for some positive real numbers $u$ and $t$.
According to [Borel 1969, Théorèmes 1.4 and 4.6], if $t \leq \frac{\sqrt{3}}{2}$ and $u \geq \frac{1}{2}$, then $\mathfrak{S}$ is a fundamental set for $\mathrm{GL}_{n}(\mathbb{Z})$ in $\mathrm{GL}_{n}(\mathbb{R})$.

2B. Definition of Siegel sets in general. Let $\boldsymbol{G}$ be a reductive $\mathbb{Q}$-algebraic group. In order to define a Siegel set in $\boldsymbol{G}(\mathbb{R})$, we begin by making choices of the following subgroups of $\boldsymbol{G}$
(1) $\boldsymbol{P}$ a minimal parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{G}$,
(2) $K$ a maximal compact subgroup of $\boldsymbol{G}(\mathbb{R})$.

Lemma 2.1. For any $\boldsymbol{P}$ and $K$, there exists a unique $\mathbb{R}$-torus $\boldsymbol{S} \subset \boldsymbol{P}$ satisfying the conditions
(i) $\boldsymbol{S}$ is $\boldsymbol{P}(\mathbb{R})$-conjugate to a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}$,
(ii) $\boldsymbol{S}$ is stabilised by the Cartan involution associated with $K$.

Proof. This follows from the lemma in [Ash et al. 2010, Chapter II, section 3.7].
We define a Siegel triple for $\boldsymbol{G}$ to be a triple ( $\boldsymbol{P}, \boldsymbol{S}, K$ ) satisfying the conditions of Lemma 2.1. We remark that these conditions could equivalently be stated as:
(i) $S$ is a lift of the unique maximal $\mathbb{Q}$-split torus in $\boldsymbol{P} / R_{u}(\boldsymbol{P})$.
(ii) Lie $\boldsymbol{S}(\mathbb{R})$ is orthogonal to Lie $K$ with respect to the Killing form of $\boldsymbol{G}$.

Define the following further pieces of notation:
(1) $\boldsymbol{U}$ is the unipotent radical of $\boldsymbol{P}$.
(2) $\boldsymbol{M}$ is the preimage in $Z_{\boldsymbol{G}}(\boldsymbol{S})$ of the maximal $\mathbb{Q}$-anisotropic subgroup of $\boldsymbol{P} / \boldsymbol{U}$. (Note that by [Borel and Tits 1965, Corollaire 4.16], $Z_{\boldsymbol{G}}(\boldsymbol{S})$ is a Levi subgroup of $\boldsymbol{P}$ and hence maps isomorphically onto $\boldsymbol{P} / \boldsymbol{U}$.)
(3) $\Delta$ is the set of simple roots of $\boldsymbol{G}$ with respect to $\boldsymbol{S}$, using the ordering induced by $\boldsymbol{P}$. (The roots of $\boldsymbol{G}$ with respect to $\boldsymbol{S}$ form a root system because $\boldsymbol{S}$ is conjugate to a maximal $\mathbb{Q}$-split torus in $\boldsymbol{G}$.)
(4) $A_{t}=\left\{\alpha \in S(\mathbb{R})^{+}: \chi(\alpha) \geq t\right.$ for all $\left.\chi \in \Delta\right\}$ for any real number $t>0$.

A Siegel set in $\boldsymbol{G}(\mathbb{R})$ (with respect to $(\boldsymbol{P}, \boldsymbol{S}, K)$ ) is a set of the form

$$
\mathfrak{S}=\Omega A_{t} K
$$

where $\Omega$ is a compact subset of $\boldsymbol{U}(\mathbb{R}) \boldsymbol{M}(\mathbb{R})^{+}$and $t$ is a positive real number.
2C. Comparison with other definitions. In order to reduce confusion caused by definitions of Siegel sets which vary from one author to another, we explain how our definition compares with the definitions used in [Borel and Harish-Chandra 1962; Borel 1969; Ash et al. 2010].

First we compare with [Ash et al. 2010, Chapter II, Section 4.1].
(1) In [Ash et al. 2010], Siegel sets are subsets of the symmetric space $\boldsymbol{G}(\mathbb{R}) / K$, while for us they are $K$-right-invariant subsets of $\boldsymbol{G}(\mathbb{R})$. These two perspectives are related by the quotient map $\boldsymbol{G}(\mathbb{R}) \rightarrow \boldsymbol{G}(\mathbb{R}) / K$.
(2) In [Ash et al. 2010], $\Omega$ is any compact subset of $\boldsymbol{P}(\mathbb{R})$, while we require $\Omega$ to be contained in $\boldsymbol{U}(\mathbb{R}) \boldsymbol{M}(\mathbb{R})^{+}$. Every Siegel set in the sense of [Ash et al. 2010] is contained in a Siegel set in our sense and vice versa, so this difference does not matter in applications. We impose the stricter condition on $\Omega$ because it ensures that Siegel sets are related to the horospherical decomposition in $\boldsymbol{G}(\mathbb{R}) / K$ (as explained in [Borel and Ji 2006, Section I.1.9]).

Now we compare with [Borel 1969, Définition 12.3]. Note that differences (3) and (4) are significant.
(1) We multiply together $\Omega, A_{t}$ and $K$ in the opposite order from [Borel 1969]. This change forces us to reverse the inequalities in the definition of $A_{t}$.
(2) In [Borel 1969], $\Omega$ is required to be a compact neighbourhood of the identity in $\boldsymbol{U}(\mathbb{R}) \boldsymbol{M}(\mathbb{R})^{+}$while we allow any compact subset.
(3) Instead of our condition (i) for $S$, [Borel 1969] imposes the condition that $S$ must be a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}$. This stronger condition is inconvenient when we also impose condition (ii), because there does not exist a maximal $\mathbb{Q}$-split torus satisfying condition (ii) for every choice of $\boldsymbol{P}$ and $K$. In particular, Theorem 1.2 does not hold if $\boldsymbol{S}_{\boldsymbol{G}}$ is required to be $\mathbb{Q}$-split.
(4) Our condition (ii) for $\boldsymbol{S}$ is not part of the definition of Siegel set in [Borel 1969]. In [Borel 1969], a Siegel set is called normal if condition (ii) is satisfied. We include condition (ii) in the definition of a Siegel set because without it the Siegel property does not necessarily hold. Indeed most of the theorems in [Borel 1969, Chapter 15] apply only to Siegel sets satisfying condition (ii), even though the word "normal" is omitted from their statements. Similarly this paper's Theorem 1.1 does not hold without condition (ii) on $S$.

The definition of "Siegel domain" in [Borel and Harish-Chandra 1962, Section 4] is less fine than the definition used in this paper, or the one in [Borel 1969], because it takes into account only the structure of $\boldsymbol{G}$ as a real algebraic group and not its structure as a $\mathbb{Q}$-algebraic group. Consequently [Borel and Harish-Chandra 1962] could not use their Siegel domains directly to construct fundamental sets for arithmetic subgroups in $\boldsymbol{G}(\mathbb{R})$; instead they constructed such fundamental sets using an embedding of $\boldsymbol{G}$ into $\mathrm{GL}_{n}$ and standard Siegel sets in $\mathrm{GL}_{n}(\mathbb{R})$.

2D. Siegel sets and fundamental sets. The importance of Siegel sets is due to their use in constructing fundamental sets for an arithmetic subgroup $\Gamma$ in $\boldsymbol{G}(\mathbb{R})$. We say that a set $\Omega \subset \boldsymbol{G}(\mathbb{R})$ is a fundamental set for $\Gamma$ if the following conditions are satisfied:
(F0) $\Omega . K=\Omega$ for a suitable maximal compact subgroup $K \subset \boldsymbol{G}(\mathbb{R})$.
(F1) $\Gamma . \Omega=\boldsymbol{G}(\mathbb{R})$.
(F2) For every $\theta \in \boldsymbol{G}(\mathbb{R})$, the set

$$
\{\gamma \in \Gamma: \gamma \cdot \Omega \cap \theta . \Omega \neq \varnothing\}
$$

is finite (the Siegel property).
The following two theorems show that, if we make suitable choices of Siegel set $\mathfrak{S} \subset \boldsymbol{G}(\mathbb{R})$ and finite set $C \subset \boldsymbol{G}(\mathbb{Q})$, then $C . \mathfrak{S}$ is a fundamental set for $\Gamma$ in $\boldsymbol{G}(\mathbb{R})$.

Theorem 2.2 [Borel 1969, Théorème 13.1]. Let $\Gamma$ be an arithmetic subgroup of $\boldsymbol{G}(\mathbb{Q})$. Let $(\boldsymbol{P}, \boldsymbol{S}, K)$ be a Siegel triple for $\boldsymbol{G}(\mathbb{R})$.

There exist a Siegel set $\mathfrak{S} \subset \boldsymbol{G}(\mathbb{R})$ with respect to $(\boldsymbol{P}, \boldsymbol{S}, K)$ and a finite set $C \subset \boldsymbol{G}(\mathbb{Q})$ such that

$$
\boldsymbol{G}(\mathbb{R})=\text { Г.С. } \mathfrak{S} .
$$

Theorem 2.3 [Borel 1969, Théorème 15.4]. Let $\Gamma$ be an arithmetic subgroup of $\boldsymbol{G}(\mathbb{Q})$. Let $\mathfrak{S} \subset \boldsymbol{G}(\mathbb{R})$ be a Siegel set.

For any finite set $C \subset \boldsymbol{G}(\mathbb{Q})$ and any element $\theta \in \boldsymbol{G}(\mathbb{Q})$, the set

$$
\{\gamma \in \Gamma: \gamma . C . \mathfrak{S} \cap \theta . C . \mathfrak{S} \neq \varnothing\}
$$

is finite.
As remarked in Section 2C, Theorem 2.3 requires the torus $S$ used in the definition of a Siegel set to satisfy condition (ii) from Section 2B, even though this condition is erroneously omitted from the statement in [Borel 1969].

This paper's Theorem 1.1 implies [loc. cit., Corollaire 15.3] and therefore it implies Theorem 2.3, by the same argument as in the proof of [loc. cit., Théorème 15.4]. Since our proof of Theorem 1.1 is independent of Borel's proof of [loc. cit., Corollaire 15.3], this gives a new proof of Theorem 2.3.

## 3. Proof of main height bound

In this section we prove Theorem 1.1. Most of the section deals with the case of standard Siegel sets in $\mathrm{GL}_{n}$. At the end we show how to deduce the general statement of Theorem 1.1 from this case, using Theorem 1.2.

Thus let $\boldsymbol{G}=\mathrm{GL}_{n}$ and let $\mathfrak{S}$ be a standard Siegel set in $\boldsymbol{G}$. As in the statement of Theorem 1.1, we are given an element

$$
\gamma \in \mathfrak{S} \cdot \mathfrak{S}^{-1} \cap \boldsymbol{G}(\mathbb{Q}),
$$

with $N=|\operatorname{det} \gamma|$ and with $D$ denoting the maximum of the denominators of entries of $\gamma$. Since $\gamma \in \mathfrak{S} . \mathfrak{S}^{-1}$, using the notation from Section 2A, we can write

$$
\begin{equation*}
\gamma=\nu \beta \kappa \alpha^{-1} \mu^{-1} \tag{2}
\end{equation*}
$$

with $\alpha, \beta \in A_{t}, \mu, \nu \in \Omega_{u}$ and $\kappa \in K$. Rearranging this equation, we obtain

$$
\begin{equation*}
\gamma \mu \alpha=\nu \beta \kappa . \tag{3}
\end{equation*}
$$

Our aim is to bound the height of $\gamma$ by a polynomial in $N$ and $D$. The proof has three stages. First we compare entries of the diagonal matrices $\alpha$ and $\beta$, showing that $\alpha_{j} \ll D \beta_{i}$ for certain pairs of indices $(i, j)$. Secondly, we prove that

$$
\begin{equation*}
\beta_{j} \ll N D^{n-1} \alpha_{i} \tag{4}
\end{equation*}
$$

whenever $i$ and $j$ lie in the same segment of a certain partition of $\{1, \ldots, n\}$. Finally we expand out (2) and use inequality (4).

3A. Partitioning the indices. An important device in the proof of Theorem 1.1 for standard Siegel sets is a partition of the set of indices $\{1, \ldots, n\}$ into subintervals which we call "segments" (depending on $\gamma$ ). The segments are defined to be the subintervals of $\{1, \ldots, n\}$ such that
(i) $\gamma$ is block upper triangular with respect to the chosen partition,
(ii) $\gamma$ is not block upper triangular with respect to any finer partition of $\{1, \ldots, n\}$ into subintervals.

We define a leading entry to be a pair of indices $(i, j) \in\{1, \ldots, n\}^{2}$ such that $\gamma_{i j}$ is the left-most nonzero entry in the $i$-th row of $\gamma$.

The following lemma describes segments in terms of leading entries. This lemma also has a converse, which we will not need: if $i>j$ and there exists a sequence satisfying condition $(*)$, then $i$ and $j$ are in the same segment.

Lemma 3.1. If $i>j$ and $i$ and $j$ are in the same segment, then there exists a sequence of leading entries $\left(i_{1}, j_{1}\right), \ldots,\left(i_{s}, j_{s}\right)$ such that

$$
\begin{equation*}
i \leq i_{1}, \quad j_{p} \leq i_{p+1} \quad \text { for every } p \in\{1, \ldots, s-1\}, \quad \text { and } \quad j_{s} \leq j \tag{*}
\end{equation*}
$$

Proof. First, for each $k$ such that $j<k \leq i$, we show that there exists a leading entry ( $i^{\prime}, j^{\prime}$ ) such that $j^{\prime}<k \leq i^{\prime}$. Because segments give the finest partition according to which $\gamma$ is block upper triangular, $\gamma$ cannot be block upper triangular with respect to the partition

$$
\{1, \ldots, k-1\},\{k, \ldots, n\} .
$$

So there exists some $i^{\prime} \geq k$ such that the $i^{\prime}$-th row of $\gamma$ has a nonzero entry in the first $k-1$ columns. Choosing $j^{\prime}$ to be the index of the left-most nonzero entry in the $i^{\prime}$-th row, we get the desired leading entry with $j^{\prime}<k \leq i^{\prime}$.

Let $s=i-j$. For each $p$ such that $1 \leq p \leq s$ we apply the above argument to $k=i-p+1$ and get a leading entry $\left(i_{p}, j_{p}\right)$ such that $j_{p}<i-p+1 \leq i_{p}$. The resulting sequence $\left(i_{1}, j_{1}\right), \ldots,\left(i_{s}, j_{s}\right)$ satisfies condition ( $*$ ).

We define $\boldsymbol{Q}$ to be the subgroup of $\mathrm{GL}_{n}$ consisting of block upper triangular matrices according to the segments defined above (thus $\boldsymbol{Q}$ depends on $\gamma$ ). Observe that $\boldsymbol{Q}$ could equivalently be defined as the smallest standard parabolic subgroup of $\mathrm{GL}_{n}$ which contains $\gamma$.

We define $\boldsymbol{L}$ to be the subgroup of $\mathrm{GL}_{n}$ consisting of block diagonal matrices according to the same partition into segments. Thus $\boldsymbol{L}$ could equivalently be defined as the Levi subgroup of $\boldsymbol{Q}$ containing the torus of diagonal matrices.

3B. Example partitions for $\mathbf{G L}_{3}$. To illustrate the definition of segments and Lemma 3.1, we show the various cases which occur for $\mathrm{GL}_{3}$. Table 1 shows classes of matrix in $\mathrm{GL}_{3}$, depending on the region of zeros adjacent to the bottom left corner of the matrix, and gives the associated partitions of $\{1,2,3\}$ into segments. Every matrix in $\mathrm{GL}_{3}$ falls into exactly one of the classes in Table 1.

In Table 1, * represents an entry which must be nonzero, while • represents an entry which may be either zero or nonzero. Every entry to the left of a $*$ is zero, so each $*$ is a leading entry. For rows which do not contain a $*$, there is not enough information to determine the leading entry; these rows' leading entries are not important for Lemma 3.1.

Comparing the two classes of matrices in the right-hand column of Table 1, we see that it is possible for matrices to have different patterns of zeros adjacent to the bottom left corner, yet still be associated with the same partition of $\{1,2,3\}$. This is related to the fact that matrices in the lower class of this column do

| $\gamma$ | segments | $\gamma$ | segments |
| :---: | :--- | :---: | :---: |
| $\left(\begin{array}{ccc}* & \cdot & \cdot \\ 0 & * & \cdot \\ 0 & 0 & *\end{array}\right)$ | $\{1\},\{2\},\{3\}$ | $\left(\begin{array}{cc}\cdot & \cdot \\ \cdots & \cdot \\ * & \cdot\end{array}\right)$ | $\{1,2,3\}$ |
| $\left(\begin{array}{ccc}* & \cdot & \cdot \\ 0 & \cdot & . \\ 0 & * & \cdot\end{array}\right)$ | $\{1\},\{2,3\}$ | $\left(\begin{array}{cc}\cdot & \cdot \\ * & \cdot \\ 0 & *\end{array}\right)$ | $\{1,2,3\}$ |

Table 1. Partitions into segments for $\gamma \in \mathrm{GL}_{3}$.
not form a subgroup of $\mathrm{GL}_{3}$ : the smallest standard parabolic subgroup containing such a matrix is the full group $\mathrm{GL}_{3}$, the same as for the upper class.

On the other hand, the difference between the two classes in the right-hand column of Table 1 is important for finding sequences of leading entries as in Lemma 3.1. In the upper class of this column, the sequence consisting just of the leading entry $(3,1)$ satisfies condition $(*)$ for every pair $(i, j)$. In the lower class, in order to construct a sequence satisfying condition $(*)$ which goes from $i=3$ to $j=1$, we need both the leading entries $(3,2)$ and $(2,1)$.

3C. Ratios between diagonal matrices (leading entries). In the first stage of the proof, we compare $\alpha_{j}$ with $\beta_{i}$ when $(i, j)$ is a leading entry. This is based on comparing the lengths of the $i$-th rows on either side of (3).

Lemma 3.2. If $(i, j)$ is a leading entry for $\gamma$, then

$$
\alpha_{j} \ll D \beta_{i} .
$$

Proof. Recall (3):

$$
\gamma \mu \alpha=\nu \beta \kappa .
$$

Because $\kappa \in \mathrm{O}_{n}(\mathbb{R})$, multiplying by $\kappa$ on the right does not change the length of a row vector. Hence expanding out the lengths of the $i$-th rows on either side of (3) gives

$$
\begin{equation*}
\sum_{p=1}^{n}\left(\sum_{q=1}^{n} \gamma_{i q} \mu_{q p}\right)^{2} \alpha_{p}^{2}=\sum_{p=1}^{n} v_{i p}^{2} \beta_{p}^{2} \tag{5}
\end{equation*}
$$

Look first at the right-hand side of (5), comparing it to $\beta_{i}^{2}$. Because $v$ is upper triangular, nonzero terms on the right-hand side of (5) must have $p \geq i$ and hence (by the definition of $A_{t}$ ) $\beta_{p} \ll \beta_{i}$. Since $v$
is in the fixed compact set $\Omega_{u}$, there is a uniform bound for the entries $v_{i p}$. Thus we get

$$
\begin{equation*}
\sum_{p=1}^{n} v_{i p}^{2} \beta_{p}^{2} \ll \beta_{i}^{2} \tag{6}
\end{equation*}
$$

Now look at the left-hand side of (5), comparing it to $\alpha_{j}^{2}$. We pull out the $p=j$ term. Because squares are nonnegative we have

$$
\begin{equation*}
\left(\sum_{q=1}^{n} \gamma_{i q} \mu_{q j}\right)^{2} \alpha_{j}^{2} \leq \sum_{p=1}^{n}\left(\sum_{q=1}^{n} \gamma_{i q} \mu_{q p}\right)^{2} \alpha_{p}^{2} \tag{7}
\end{equation*}
$$

Because $(i, j)$ is a leading entry, if $\gamma_{i q} \neq 0$ then $q \geq j$. Because $\mu$ is upper triangular, if $\mu_{q j} \neq 0$ then $q \leq j$. Combining these facts, the only nonzero term on the left-hand side of (7) is the term with $q=j$. In other words,

$$
\begin{equation*}
\gamma_{i j}^{2} \mu_{j j}^{2} \alpha_{j}^{2}=\left(\sum_{q=1}^{n} \gamma_{i q} \mu_{q j}\right)^{2} \alpha_{j}^{2} \tag{8}
\end{equation*}
$$

Because $\mu \in \Omega_{u}$, we have $\mu_{j j}=1$. Because $(i, j)$ is a leading entry, $\gamma_{i j} \neq 0$. Because entries of $\gamma$ are rational numbers with denominator at most $D$, this implies that $\left|\gamma_{i j}\right| \geq D^{-1}$. Combining these facts, we get

$$
\begin{equation*}
D^{-2} \leq \gamma_{i j}^{2} \mu_{j j}^{2} \tag{9}
\end{equation*}
$$

Using successively the inequalities and equations (9), (8), (7), (5) and (6) gives

$$
D^{-2} \alpha_{j}^{2} \ll \beta_{i}^{2}
$$

3D. Ratios between diagonal matrices (in each segment). In the second stage of the proof of Theorem 1.1, we prove a series of inequalities comparing entries of $\alpha$ and $\beta$. This concludes with an inequality between $\alpha_{i}$ and $\beta_{j}$ valid whenever $i$ and $j$ are in the same segment. (Note that the final inequality, Lemma 3.5, is in the opposite direction to the starting point of Lemma 3.2.)

Lemma 3.3. For all $k \in\{1, \ldots, n\}$,

$$
\alpha_{k} \ll D \beta_{k} .
$$

Proof. The key point is that there exists a leading entry $(i, j)$ such that

$$
j \leq k \leq i
$$

To prove this, observe that since $\gamma$ is invertible there must be some $i \geq k$ such that the $i$-th row of $\gamma$ contains a nonzero entry in or to the left of the $k$-th column. Choosing $j$ to be the index of the left-most nonzero entry in the $i$-th row of $\gamma$ gives the required leading entry.

Taking such a leading entry $(i, j)$, we can use Lemma 3.2 (for the middle inequality) and the definition of $A_{t}$ (for the outer inequalities) to prove that

$$
\alpha_{k} \ll \alpha_{j} \ll D \beta_{i} \ll D \beta_{k}
$$

Lemma 3.4. For every set $J \subset\{1, \ldots, n\}$,

$$
\prod_{j \in J} \beta_{j} \ll N D^{n-\# J} \prod_{j \in J} \alpha_{j}
$$

Proof. Because $\alpha$ and $\beta$ are diagonal matrices with positive diagonal entries,

$$
\begin{equation*}
\prod_{j \in J} \beta_{j} \cdot \operatorname{det} \alpha=\prod_{j \in J} \beta_{j} \cdot \prod_{k=1}^{n} \alpha_{k} \ll D^{n-\# J} \prod_{j \in J} \alpha_{j} \cdot \prod_{k=1}^{n} \beta_{k}=D^{n-\# J} \prod_{j \in J} \alpha_{j} \cdot \operatorname{det} \beta \tag{10}
\end{equation*}
$$

where the middle inequality uses Lemma 3.3 for all indices $k \in\{1, \ldots, n\} \backslash J$.
All of $\mu, \nu$ and $\kappa$ have determinant $\pm 1$. Hence (3) implies that

$$
\operatorname{det} \beta=N \operatorname{det} \alpha
$$

Combining this with inequality (10) proves the lemma.
Lemma 3.5. If $i$ and $j$ are in the same segment, then

$$
\beta_{j} \ll N D^{n-1} \alpha_{i} .
$$

Proof. If $i \leq j$, then we apply Lemma 3.4 to the singleton $\{j\}$ to obtain

$$
\beta_{j} \ll N D^{n-1} \alpha_{j} .
$$

Combining this with $\alpha_{j} \ll \alpha_{i}$ proves the lemma in the case $i \leq j$.
Otherwise, $i>j$ so we can use Lemma 3.1 to find a sequence of leading entries $\left(i_{1}, j_{1}\right), \ldots,\left(i_{s}, j_{s}\right)$ satisfying condition $(*)$. We may assume that $i_{1}, \ldots, i_{s}$ are distinct - otherwise we could simply delete the subsequence between two occurrences of the same $i_{p}$. Similarly, we may assume that none of $i_{1}, \ldots, i_{s}$ are equal to $j$.

Therefore we can apply Lemma 3.4 to the set $\left\{i_{1}, \ldots, i_{s}, j\right\}$ to get

$$
\begin{equation*}
\beta_{j} \prod_{p=1}^{s} \beta_{i_{p}} \ll N D^{n-(s+1)} \alpha_{j} \prod_{p=1}^{s} \alpha_{i_{p}} . \tag{11}
\end{equation*}
$$

For each $p \in\{1, \ldots, s-1\}$, the fact that $j_{p} \leq i_{p+1}$ and Lemma 3.2 tell us that

$$
\alpha_{i_{p+1}} \ll \alpha_{j_{p}} \ll D \beta_{i_{p}}
$$

Similarly because $j_{s} \leq j$ we have

$$
\alpha_{j} \ll \alpha_{j_{s}} \ll D \beta_{i_{s}}
$$

Multiplying these inequalities together and also multiplying by $\beta_{j}$ gives the first inequality below, while (11) gives the second:

$$
\beta_{j} \alpha_{j} \prod_{p=2}^{s} \alpha_{i_{p}} \ll D^{s} \beta_{j} \prod_{p=1}^{s} \beta_{i_{p}} \ll N D^{n-1} \alpha_{j} \prod_{p=1}^{s} \alpha_{i_{p}}
$$

Canceling $\alpha_{j} \prod_{p=2}^{s} \alpha_{i_{p}}$ shows that

$$
\beta_{j} \ll N D^{n-1} \alpha_{i_{1}} .
$$

Since $i \leq i_{1}$, we have $\alpha_{i_{1}} \ll \alpha_{i}$. This completes the proof of the lemma.
3E. Conclusion of proof for standard Siegel sets. In the final stage of the proof, we expand out (2). When we do this, we get terms of the form $\beta_{p} \kappa_{p q} \alpha_{q}^{-1}$. In order to bound this using Lemma 3.5, we need to know that $\kappa_{p q}$ is zero if $p$ and $q$ are not in the same segment. In other words we have to begin by proving that $\kappa$ is in the group $L(\mathbb{R})$ of block diagonal matrices.

Lemma 3.6. $\kappa \in L(\mathbb{R})$.
Proof. By construction, $\gamma, \mu, \alpha, \nu, \beta$ are all in the group $\boldsymbol{Q}(\mathbb{R})$ of block upper triangular matrices. Hence (2) tells us that also $\kappa \in \boldsymbol{Q}(\mathbb{R})$.

If a matrix is both block upper triangular and orthogonal, then it is block diagonal according to the same blocks (because the inverse-transpose of a block upper triangular matrix is block lower triangular). In other words,

$$
\boldsymbol{Q}(\mathbb{R}) \cap K \subset \boldsymbol{L}(\mathbb{R}) .
$$

This proves the lemma.
Lemma 3.7. For all $i, j \in\{1, \ldots, n\}$, we have

$$
\left|\gamma_{i j}\right| \ll N D^{n-1} .
$$

Proof. We expand out the matrix product in (2), which we recall:

$$
\gamma=\nu \beta \kappa \alpha^{-1} \mu^{-1}
$$

Because $\alpha$ and $\beta$ are diagonal, the $p q$-th entry of $\beta \kappa \alpha^{-1}$ is equal to

$$
\beta_{p} \kappa_{p q} \alpha_{q}^{-1}
$$

If $p$ and $q$ are not in the same segment, then Lemma 3.6 tells us that $\kappa_{p q}=0$. On the other hand if $p$ and $q$ are in the same segment, then we can apply Lemma 3.5 to bound $\beta_{p} \alpha_{q}^{-1}$. Furthermore, because $\kappa$ is in the compact subgroup $K$, there is a uniform upper bound for entries of $\kappa$. We conclude that

$$
\begin{equation*}
\beta_{p} \kappa_{p q} \alpha_{q}^{-1} \ll N D^{n-1} \tag{12}
\end{equation*}
$$

Because $\mu$ and $v$ are in the fixed compact set $\Omega_{u}$ and because all elements of $\Omega_{u}$ are invertible, there is a uniform upper bound for entries of $v$ and of $\mu^{-1}$. Thus inequality (12) together with (2) implies the lemma.

To complete the proof of Theorem 1.1 for standard Siegel sets in $\mathrm{GL}_{n}$, we just have to note that the definition of $\mathrm{H}(\gamma)$ implies that

$$
\mathrm{H}(\gamma) \leq D \max \left(1,\left|\gamma_{i j}\right|\right)
$$

where the maximum is over all indices $(i, j) \in\{1, \ldots, n\}^{2}$. Hence Lemma 3.7 implies that

$$
\mathrm{H}(\gamma) \leq \max \left(D, C_{5} N D^{n}\right),
$$

where $C_{5}$ denotes the implied constant from Lemma 3.7.
3F. Deducing general case from standard Siegel sets. To complete the proof of Theorem 1.1, we deduce the general statement from the case of standard Siegel sets in $\mathrm{GL}_{n}$. This has two steps. Lemma 3.8 allows us to generalise from standard Siegel sets to arbitrary Siegel sets in $\mathrm{GL}_{n}$. Theorem 1.2 (proved in Section 4) allows us to generalise from $\mathrm{GL}_{n}$ to arbitrary reductive groups $\boldsymbol{G}$.
Lemma 3.8. Let $\mathfrak{S}$ be a Siegel set in $\mathrm{GL}_{n}(\mathbb{R})$. Then there exist $\gamma \in \mathrm{GL}_{n}(\mathbb{Q})$ and $\sigma \in \mathrm{GL}_{n}(\mathbb{R})$ such that $\gamma^{-1}$.S. $\gamma \sigma$ is contained in a standard Siegel set.

Proof. Let $(\boldsymbol{P}, \boldsymbol{S}, K)$ be the Siegel triple associated with the Siegel set $\mathfrak{S}$, and write $\mathfrak{S}=\Omega . A_{t} . K$ using the notation of Section 2B.

Let ( $\boldsymbol{P}_{0}, \boldsymbol{S}_{0}, K_{0}$ ) be the standard Siegel triple in $\mathrm{GL}_{n}$. Write $A_{0, t}$ and $\Omega_{0, u}$ for the sets called $A_{t}$ and $\Omega_{u}$ in the definition of standard Siegel sets.

Since $\boldsymbol{P}$ and $\boldsymbol{P}_{0}$ are minimal $\mathbb{Q}$-parabolic subgroups of $\mathrm{GL}_{n}$, there exists $\gamma \in \mathrm{GL}_{n}(\mathbb{Q})$ such that $\boldsymbol{P}_{0}=\gamma^{-1} \boldsymbol{P} \gamma$.

Since $K_{0}$ and $\gamma^{-1} K \gamma$ are maximal compact subgroups of $\mathrm{GL}_{n}(\mathbb{R})$, there exists $\sigma \in \mathrm{GL}_{n}(\mathbb{R})$ such that $\gamma^{-1} K \gamma=\sigma K_{0} \sigma^{-1}$. Applying the Iwasawa decomposition

$$
\mathrm{GL}_{n}(\mathbb{R})=\boldsymbol{U}_{0}(\mathbb{R}) \cdot \boldsymbol{S}_{0}(\mathbb{R})^{+} \cdot K_{0}
$$

we may assume that $\sigma=\tau \beta$ where $\beta \in \boldsymbol{S}_{0}(\mathbb{R})^{+}$and $\tau \in \boldsymbol{U}_{0}(\mathbb{R})$.
Under this assumption, $\sigma \in \boldsymbol{P}_{0}(\mathbb{R})$. Hence $\sigma^{-1} \gamma^{-1} \cdot \boldsymbol{P} \cdot \gamma \sigma=\boldsymbol{P}_{0}$. By Lemma 2.1, $\sigma^{-1} \gamma^{-1} \cdot \boldsymbol{S} \cdot \gamma \sigma=\boldsymbol{S}_{0}$. Thus $\sigma^{-1} \gamma^{-1} \cdot A_{t} \cdot \gamma \sigma=A_{0, t}$.

Now

$$
\gamma^{-1} \mathfrak{S} \gamma \sigma=\gamma^{-1} \Omega \gamma \cdot \sigma \cdot \sigma^{-1} \gamma^{-1} A_{t} \gamma \sigma \cdot \sigma^{-1} \gamma^{-1} K \gamma \sigma=\gamma^{-1} \Omega \gamma \cdot \tau \beta \cdot A_{0, t} \cdot K_{0} .
$$

Here $\gamma^{-1} \Omega \gamma \tau$ is a compact subset of $\boldsymbol{U}_{0}(\mathbb{R})$ so it is contained in $\Omega_{0, u}$ for a suitable $u>0$. Meanwhile $\beta . A_{0, t}$ is contained in $A_{0, s}$ for a suitable $s>0$. Thus $\gamma^{-1} \mathfrak{S} \gamma \sigma$ is contained in the standard Siegel set $\Omega_{0, u} \cdot A_{0, s} \cdot K_{0}$, as required.

## 4. Siegel sets and subgroups

In this section we prove Theorem 1.2. The proof gives additional information on the relationship between the Siegel triples for $\boldsymbol{G}$ and $\boldsymbol{H}$, as follows.

Theorem 4.1. Let $\boldsymbol{G}$ and $\boldsymbol{H}$ be reductive $\mathbb{Q}$-algebraic groups, with $\boldsymbol{H} \subset \boldsymbol{G}$. Let $\mathfrak{S}_{\boldsymbol{H}}$ be a Siegel set in $\boldsymbol{H}(\mathbb{R})$ with respect to the Siegel triple $\left(\boldsymbol{P}_{\boldsymbol{H}}, \boldsymbol{S}_{\boldsymbol{H}}, K_{\boldsymbol{H}}\right)$. Then there exist a Siegel set $\mathfrak{S}_{\boldsymbol{G}} \subset \boldsymbol{G}(\mathbb{R})$ and a finite set $C \subset \boldsymbol{G}(\mathbb{Q})$ such that

$$
\mathfrak{S}_{\boldsymbol{H}} \subset C . \mathfrak{S}_{G}
$$

Furthermore if $\left(\boldsymbol{P}_{\boldsymbol{G}}, \boldsymbol{S}_{\boldsymbol{G}}, K_{\boldsymbol{G}}\right)$ denotes the Siegel triple associated with $\mathfrak{S}_{\boldsymbol{G}}$, then $R_{u}\left(\boldsymbol{P}_{\boldsymbol{H}}\right) \subset R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}}\right)$, $\boldsymbol{S}_{\boldsymbol{H}}=\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H}$ and $K_{\boldsymbol{H}}=K_{\boldsymbol{G}} \cap \boldsymbol{H}(\mathbb{R})$.

We denote sets used in the construction of the Siegel sets $\mathfrak{S}_{\boldsymbol{G}}$ and $\mathfrak{S}_{\boldsymbol{H}}$ by the notation from Section 2B with the subscript $\boldsymbol{G}$ or $\boldsymbol{H}$ added as appropriate. Thus we write

$$
\mathfrak{S}_{\boldsymbol{H}}=\Omega_{\boldsymbol{H}} \cdot A_{\boldsymbol{H}, t} \cdot K_{\boldsymbol{H}},
$$

where $\Omega_{\boldsymbol{H}}$ is a compact subset of $\boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R}) \boldsymbol{M}_{\boldsymbol{H}}(\mathbb{R})^{+}, K_{\boldsymbol{H}}$ is a maximal compact subgroup of $\boldsymbol{H}(\mathbb{R})$ and

$$
A_{\boldsymbol{H}, t}=\left\{\alpha \in \boldsymbol{S}_{\boldsymbol{H}}(\mathbb{R})^{+}: \chi(\alpha) \geq t \text { for all } \chi \in \Delta_{\boldsymbol{H}}\right\} .
$$

4A. Reduction to a split torus $\boldsymbol{S}_{\boldsymbol{H}}$. We begin by reducing the proof of Theorem 4.1 to the case in which the torus $\boldsymbol{S}_{\boldsymbol{H}}$ is $\mathbb{Q}$-split. Note that, even when $\boldsymbol{S}_{\boldsymbol{H}}$ is $\mathbb{Q}$-split, it is not always possible to choose a $\mathbb{Q}$-split torus for $\boldsymbol{S}_{\boldsymbol{G}}$.

According to the definition of a Siegel set, we can choose $u \in \boldsymbol{P}_{\boldsymbol{H}}(\mathbb{R})$ such that $u \boldsymbol{S}_{\boldsymbol{H}} u^{-1}$ is a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{H}}$. Using the Levi decomposition $\boldsymbol{P}_{\boldsymbol{H}}=Z_{\boldsymbol{H}}\left(\boldsymbol{S}_{\boldsymbol{H}}\right) \ltimes \boldsymbol{U}_{\boldsymbol{H}}$, we may assume that $u \in \boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R})$.

Now $\Omega_{\boldsymbol{H}} u^{-1}$ is a compact subset of $\boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R}) . u \boldsymbol{M}_{\boldsymbol{H}}(\mathbb{R})^{+} u^{-1}$ so

$$
\mathfrak{S}_{\boldsymbol{H}} \cdot u^{-1}=\Omega_{\boldsymbol{H}} u^{-1} \cdot u A_{\boldsymbol{H}, t} u^{-1} \cdot u K_{\boldsymbol{H}} u^{-1} .
$$

is a Siegel set with respect to the Siegel triple ( $\left.\boldsymbol{P}_{\boldsymbol{H}}, u \boldsymbol{S}_{\boldsymbol{H}} u^{-1}, u K_{\boldsymbol{H}} u^{-1}\right)$.
We prove below that Theorem 4.1 holds when $\boldsymbol{S}_{\boldsymbol{H}}$ is $\mathbb{Q}$-split. Hence there exist a Siegel set $\mathfrak{S}_{\boldsymbol{G}}^{\prime} \subset \boldsymbol{G}(\mathbb{R})$ and a finite set $C \subset \boldsymbol{G}(\mathbb{Q})$ such that

$$
\mathfrak{S}_{\boldsymbol{H}} \cdot u^{-1} \subset C \cdot \mathfrak{S}_{\boldsymbol{G}}^{\prime}
$$

Let $\left(\boldsymbol{P}_{\boldsymbol{G}}, \boldsymbol{S}_{\boldsymbol{G}}^{\prime}, K_{\boldsymbol{G}}^{\prime}\right)$ denote the Siegel triple associated with $\mathfrak{S}_{\boldsymbol{G}}^{\prime}$. According to Theorem 4.1, $\boldsymbol{U}_{\boldsymbol{H}} \subset$ $R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}}\right)$ and so $u \in R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}}\right)(\mathbb{R})$. Therefore

$$
\mathfrak{S}_{G}=\mathfrak{S}_{G}^{\prime} \cdot u
$$

is a Siegel set for $\boldsymbol{G}(\mathbb{R})$ with respect to the Siegel triple $\left(\boldsymbol{P}_{\boldsymbol{G}}, u^{-1} \boldsymbol{S}_{\boldsymbol{G}}^{\prime} u, u^{-1} K_{\boldsymbol{G}}^{\prime} u\right)$. We clearly have $\mathfrak{S}_{\boldsymbol{H}} \subset C . \mathfrak{S}_{G}$ and the Siegel triple associated with $\mathfrak{S}_{\boldsymbol{G}}$ satisfies the conditions of Theorem 4.1 relative to $\left(\boldsymbol{P}_{\boldsymbol{H}}, \boldsymbol{S}_{\boldsymbol{H}}, K_{\boldsymbol{H}}\right)$.

4B. Choosing the Siegel triple. We henceforth assume that $S_{H}$ is $\mathbb{Q}$-split. As the first step in proving Theorem 4.1 for this case, we choose a Siegel triple $\left(\boldsymbol{P}_{\boldsymbol{G}}, \boldsymbol{S}_{\boldsymbol{G}}, K_{\boldsymbol{G}}\right)$ for $\boldsymbol{G}$.

The main difficulty lies in choosing $\boldsymbol{P}_{\boldsymbol{G}}$. The obvious idea is to choose a minimal parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{G}$ which contains $\boldsymbol{P}_{\boldsymbol{H}}$, but such a subgroup does not always exist (for example, if $\boldsymbol{G}$ is $\mathbb{Q}$-split and $\boldsymbol{H}$ is $\mathbb{Q}$-anisotropic). Instead we construct a larger parabolic $\mathbb{Q}$-subgroup $\boldsymbol{Q} \subset \boldsymbol{G}$ which contains $\boldsymbol{P}_{\boldsymbol{H}}$, and then define $\boldsymbol{P}_{\boldsymbol{G}}$ to be a minimal parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{Q}$.

Let us write

$$
Z=Z_{G}\left(S_{H}\right)
$$

Lemma 4.2. There exists a parabolic $\mathbb{Q}$-subgroup $\boldsymbol{Q} \subset \boldsymbol{G}$ such that
(i) $\boldsymbol{Z}$ is a Levi subgroup of $\boldsymbol{Q}$, and
(ii) $\boldsymbol{U}_{\boldsymbol{H}} \subset R_{u}(\boldsymbol{Q})$.

Proof. Let $\Phi_{\boldsymbol{H}}^{+}$denote the set of roots $\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{P}_{\boldsymbol{H}}\right)$. By [Borel and Tits 1965, Proposition 3.1] there exists an order $>_{\boldsymbol{Q}}$ on $X^{*}\left(\boldsymbol{S}_{\boldsymbol{H}}\right)$ with respect to which all elements of $\Phi_{\boldsymbol{H}}^{+}$are positive.

Let

$$
\Phi_{Q}=\left\{\chi \in \Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{G}\right): \chi>_{\boldsymbol{Q}} 0\right\}
$$

and let $\boldsymbol{Q}$ denote the group $\boldsymbol{G}_{\Phi_{Q}}$ (using the notation of [loc. cit., Paragraph 3.8] with respect to the torus $\boldsymbol{S}_{\boldsymbol{H}}$ ). By [loc. cit., Théorème 4.15], $\boldsymbol{Q}$ is a parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{G}$ and $\boldsymbol{Z}$ is a Levi subgroup of $Q$.

Since all weights of $\boldsymbol{S}_{\boldsymbol{H}}$ on $\boldsymbol{U}_{\boldsymbol{H}}$ are contained in $\Phi_{\boldsymbol{H}}^{+}$, which is a subset of $\Phi_{\boldsymbol{Q}}$, [loc. cit., Proposition 3.12] tells us that $\boldsymbol{U}_{\boldsymbol{H}} \subset \boldsymbol{G}_{\Phi_{Q}}^{*}$, again using the notation of [loc. cit., Paragraph 3.8]. By [loc. cit., Théorème 3.13], $\boldsymbol{G}_{\Phi_{\boldsymbol{Q}}}^{*}=R_{u}(\boldsymbol{Q})$. This completes the proof that $\boldsymbol{U}_{\boldsymbol{H}} \subset R_{u}(\boldsymbol{Q})$.

We will make no use of the following lemma, but it sheds some light on the significance of the group $\boldsymbol{Q}$.
Lemma 4.3. $P_{H}=Q \cap H$.
Proof. We use the notation from the proof of Lemma 4.2. By construction, we have that $\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{P}_{\boldsymbol{H}}\right)=$ $\Phi_{\boldsymbol{H}}^{+} \subset \Phi_{\boldsymbol{Q}}$. Hence by [Borel and Tits 1965, Proposition 3.12], $\boldsymbol{P}_{\boldsymbol{H}} \subset \boldsymbol{G}_{\Phi_{\boldsymbol{Q}}}=\boldsymbol{Q}$.

For the reverse inclusion, observe that $\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{Q} \cap \boldsymbol{H}\right) \subset \Phi_{\boldsymbol{H}}^{+}$. Hence applying [loc. cit., Proposition 3.12], this time inside $\boldsymbol{H}$, we get

$$
\boldsymbol{Q} \cap \boldsymbol{H} \subset \boldsymbol{H}_{\Phi_{\boldsymbol{H}}^{+}}=\boldsymbol{P}_{\boldsymbol{H}}
$$

Choose the following subgroups of $\boldsymbol{G}$ :
(1) $\boldsymbol{P}_{\boldsymbol{G}}$, a minimal parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{Q}$.
(2) $K_{\boldsymbol{G}}$, a maximal compact subgroup of $\boldsymbol{G}(\mathbb{R})$ containing $K_{\boldsymbol{H}}$.

Define the following notation for subgroups of $\boldsymbol{G}$ which are uniquely determined by $\boldsymbol{P}_{\boldsymbol{G}}$ and $K_{\boldsymbol{G}}$ :
(1) $\boldsymbol{S}_{\boldsymbol{G}}$ is the unique torus such that $\left(\boldsymbol{P}_{\boldsymbol{G}}, \boldsymbol{S}_{\boldsymbol{G}}, K_{\boldsymbol{G}}\right)$ is a Siegel triple for $\boldsymbol{G}$.
(2) $\boldsymbol{U}_{\boldsymbol{G}}=R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}}\right)$.
(3) $\boldsymbol{P}_{\boldsymbol{Z}}=\boldsymbol{P}_{\boldsymbol{G}} \cap \boldsymbol{Z}$ and $\boldsymbol{U}_{\boldsymbol{Z}}=R_{u}\left(\boldsymbol{P}_{\boldsymbol{Z}}\right)$.
(4) $K_{Z}=K_{G} \cap \boldsymbol{Z}(\mathbb{R})$.

Lemma 4.4. $K_{Z}$ is a maximal compact subgroup of $\boldsymbol{Z}(\mathbb{R})$.
Proof. Let $\Theta$ be the Cartan involution of $\boldsymbol{G}$ associated with the maximal compact subgroup $K_{\boldsymbol{G}}$. Because $K_{\boldsymbol{H}}=K_{\boldsymbol{G}} \cap \boldsymbol{H}(\mathbb{R}), \Theta$ restricts to the Cartan involution of $\boldsymbol{H}$ associated with $K_{\boldsymbol{H}}$.

From the definition of Siegel triple applied to $\left(\boldsymbol{P}_{\boldsymbol{H}}, \boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{K}_{\boldsymbol{H}}\right), \Theta$ stabilizes $\boldsymbol{S}_{\boldsymbol{H}}$. Hence $\Theta$ also stabilizes $\boldsymbol{Z}$. Therefore the fixed points of $\Theta$ in $\boldsymbol{Z}(\mathbb{R})$, namely $K_{\boldsymbol{Z}}$, form a maximal compact subgroup of $Z(\mathbb{R})$.

Lemma 4.5. $S_{H} \subset S_{G}$.
Proof. Note that $\mathbf{Z}$ is a reductive group defined over $\mathbb{Q}$, because $\boldsymbol{S}_{\boldsymbol{H}}$ is defined over $\mathbb{Q}$. Thus it makes sense to talk about Siegel triples in $\boldsymbol{Z}$. By [Borel and Tits 1965, Proposition 4.4], $\boldsymbol{P}_{\boldsymbol{Z}}$ is a minimal parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{Z}$.

By Lemma 2.1, there exists a unique torus $\boldsymbol{S}_{\boldsymbol{Z}} \subset \boldsymbol{Z}$ such that $\left(\boldsymbol{P}_{\boldsymbol{Z}}, \boldsymbol{S}_{\boldsymbol{Z}}, K_{\boldsymbol{Z}}\right)$ is a Siegel triple for $\boldsymbol{Z}$. This means that:
(i) $\boldsymbol{S}_{\boldsymbol{Z}}$ is $\boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})$-conjugate to a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{Z}}$. Note that a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{Z}}$ is also a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{G}}$.
(ii) The Cartan involution of $\boldsymbol{Z}$ associated with $K_{\boldsymbol{Z}}$ normalises $\boldsymbol{S}_{\boldsymbol{Z}}$. This involution is the restriction of the Cartan involution of $\boldsymbol{G}$ associated with $K_{\boldsymbol{G}}$.

Thus $\boldsymbol{S}_{\boldsymbol{Z}}$ satisfies the conditions of Lemma 2.1 with respect to $\left(\boldsymbol{P}_{\boldsymbol{G}}, K_{\boldsymbol{G}}\right)$. By the uniqueness in Lemma 2.1, we conclude that $\boldsymbol{S}_{\boldsymbol{Z}}=\boldsymbol{S}_{\boldsymbol{G}}$.

Because $S_{\boldsymbol{Z}}$ is $\boldsymbol{Z}(\mathbb{R})$-conjugate to a maximal $\mathbb{Q}$-split torus in $\boldsymbol{Z}$, it contains every $\mathbb{Q}$-split subtorus of the centre of $\boldsymbol{Z}$. In particular $\boldsymbol{S}_{\boldsymbol{H}} \subset \boldsymbol{S}_{\boldsymbol{Z}}$.

Let $\boldsymbol{S}_{\boldsymbol{G}}^{\prime}$ be a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\mathbf{Z}}$. Because ( $\boldsymbol{P}_{\boldsymbol{Z}}, \boldsymbol{S}_{\boldsymbol{Z}}, K_{\boldsymbol{Z}}$ ) is a Siegel triple, there exists $u \in \boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})$ such that $\boldsymbol{S}_{\boldsymbol{G}}^{\prime}=u \boldsymbol{S}_{\boldsymbol{Z}}^{\prime} u^{-1}$. Because of the Levi decomposition $\boldsymbol{P}_{\boldsymbol{Z}}=Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) \ltimes \boldsymbol{U}_{\boldsymbol{Z}}$, we may assume that $u \in \boldsymbol{U}_{\boldsymbol{Z}}(\mathbb{R})$.

The following lemma is not needed in our proof of Theorem 1.2, but it contains extra information about $S_{G}$ which is included in the statement of Theorem 4.1.

Lemma 4.6. $S_{H}=S_{G} \cap H$.
Proof. Let $q$ denote the quotient map $\boldsymbol{P}_{\boldsymbol{G}} \rightarrow \boldsymbol{P}_{\boldsymbol{G}} / \boldsymbol{U}_{\boldsymbol{G}}$. Observe that $\boldsymbol{U}_{\boldsymbol{G}} \cap \boldsymbol{P}_{\boldsymbol{H}}$ is a normal unipotent subgroup of $\boldsymbol{P}_{\boldsymbol{H}}$, so it is contained in $\boldsymbol{U}_{\boldsymbol{H}}$. On the other hand,

$$
\boldsymbol{U}_{\boldsymbol{H}} \subset R_{u}(\boldsymbol{Q}) \cap \boldsymbol{P}_{\boldsymbol{H}} \subset \boldsymbol{U}_{\boldsymbol{G}} \cap \boldsymbol{P}_{\boldsymbol{H}} .
$$

Hence $\boldsymbol{U}_{\boldsymbol{G}} \cap \boldsymbol{P}_{\boldsymbol{H}}=\boldsymbol{U}_{\boldsymbol{H}}$, so $q$ restricts to the quotient map $\boldsymbol{P}_{\boldsymbol{H}} \rightarrow \boldsymbol{P}_{\boldsymbol{H}} / \boldsymbol{U}_{\boldsymbol{H}}$.
According to the definition of a Siegel triple, $q\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$ is a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{G}} / \boldsymbol{U}_{\boldsymbol{G}}$. Furthermore, $\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H} \subset \boldsymbol{Q} \cap \boldsymbol{H}=\boldsymbol{P}_{\boldsymbol{H}}$. Hence $q\left(\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H}\right)$ is a $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{H}} / \boldsymbol{U}_{\boldsymbol{H}}$.

Since $\boldsymbol{S}_{\boldsymbol{H}} \subset \boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H}$ and $q\left(\boldsymbol{S}_{\boldsymbol{H}}\right)$ is a maximal $\mathbb{Q}$-split torus in $\boldsymbol{P}_{\boldsymbol{H}} / \boldsymbol{U}_{\boldsymbol{H}}$, we conclude that $q\left(\boldsymbol{S}_{\boldsymbol{H}}\right)=$ $q\left(\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H}\right)$. Because $\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{U}_{\boldsymbol{G}}=\{1\}, q_{\mid \boldsymbol{S}_{\boldsymbol{G}}}$ is injective. Thus $\boldsymbol{S}_{\boldsymbol{H}}=\boldsymbol{S}_{\boldsymbol{G}} \cap \boldsymbol{H}$.

4C. Comparing $A_{\boldsymbol{H}, \boldsymbol{t}}$ with $\boldsymbol{A}_{\boldsymbol{G}, t^{\prime}}$. We now compare the sets $A_{\boldsymbol{H}, t} \subset \boldsymbol{S}_{\boldsymbol{H}}(\mathbb{R})$ and $A_{\boldsymbol{G}, t^{\prime}} \subset \boldsymbol{S}_{\boldsymbol{G}}(\mathbb{R})$. We would like to have $A_{\boldsymbol{H}, t} \subset A_{\boldsymbol{G}, t^{\prime}}$, but it is not always possible to choose $t^{\prime} \in \mathbb{R}_{>0}$ such that this holds. This is because there may be simple roots in $\Phi\left(\boldsymbol{S}_{\boldsymbol{G}}, \boldsymbol{G}\right)$ whose restrictions to $\boldsymbol{S}_{\boldsymbol{H}}$ are not positive combinations
of simple roots in $\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{H}\right)$. The values of such a root are bounded below by a positive constant on $A_{\boldsymbol{G}, t^{\prime}}$ but can be arbitrarily close to zero on $A_{\boldsymbol{H}, t}$.

Instead we show that for a suitable value of $t^{\prime}$, every $\alpha \in A_{\boldsymbol{H}, t^{\prime}}$ can be conjugated into $A_{\boldsymbol{G}, t^{\prime}}$ by an element of the Weyl group $N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$. This element of the Weyl group must also satisfy certain other conditions which will be used later in the proof of Theorem 4.1.

Write

$$
W=N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) \quad \text { and } \quad W^{\prime}=N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}^{\prime}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}^{\prime}\right)
$$

Since $\boldsymbol{S}_{\boldsymbol{G}}^{\prime}=u \boldsymbol{S}_{\boldsymbol{G}} u^{-1}$, conjugation by $u$ induces an isomorphism $W \rightarrow W^{\prime}$.
Proposition 4.7. There exists $t^{\prime}>0$ (depending only on $\boldsymbol{G}, \boldsymbol{H}$, and $t$ ) such that for every $\alpha \in A_{\boldsymbol{H}, t}$, there exists $w \in W$ such that:
(i) $\boldsymbol{U}_{\boldsymbol{Z}} \subset w \boldsymbol{U}_{\boldsymbol{G}} w^{-1}$.
(ii) $\boldsymbol{U}_{\boldsymbol{H}} \subset w \boldsymbol{U}_{\boldsymbol{G}} w^{-1}$.
(iii) $\alpha \in w A_{\boldsymbol{G}, t} w^{-1}$.

Note that the statement of the proposition makes sense because $w \boldsymbol{U}_{\boldsymbol{G}} w^{-1}$ and $w A_{\boldsymbol{G}, t^{\prime}} w^{-1}$ do not depend on the choice of representative of $w$ in $N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$.
Construction of $\boldsymbol{Q}_{\alpha}$. Suppose that we are given $\alpha \in A_{\boldsymbol{H}, t}$. In order to find $w \in W$ as in Proposition 4.7, we construct a parabolic subgroup $\boldsymbol{P}_{\boldsymbol{G}, \alpha}=w \boldsymbol{P}_{\boldsymbol{G}} w^{-1}$ by a refinement of the construction of $\boldsymbol{P}_{\boldsymbol{G}}$ from Section 4B. First we construct a larger parabolic subgroup $\boldsymbol{Q}_{\alpha}$ which satisfies conditions (i) and (ii) from Lemma 4.2, as well as the following additional condition:
(iii) There exists $t^{\prime}>0$ (independent of $\alpha$ ) such that, for every $\alpha \in A_{\boldsymbol{H}, t}$ and every $\chi \in \Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{Q}_{\alpha}\right)$, $\chi(\alpha) \geq t^{\prime}$.

Similar to the proof of Lemma 4.2, we construct $\boldsymbol{Q}_{\alpha}$ by choosing a suitable order $>_{\alpha}$ on $X^{*}\left(\boldsymbol{S}_{\boldsymbol{H}}\right)$.
Given $\alpha \in \boldsymbol{S}_{\boldsymbol{H}}(\mathbb{R})^{+}$, choose a set $\Psi_{\alpha} \subset \Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{G}\right)$ which is maximal with respect to the following conditions:
(a) The set $\Phi_{\boldsymbol{H}}^{+} \cup \Psi_{\alpha}$ is $\mathbb{R}_{>0}$-independent. (Recall that $\Phi_{\boldsymbol{H}}^{+}=\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{P}_{\boldsymbol{H}}\right)$.)
(b) For all $\chi \in \Psi_{\alpha}, \chi(\alpha) \geq 1$.

There always exists at least one set satisfying conditions (a) and (b), namely the empty set. Since $\Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{G}\right)$ is finite, we deduce that there is a maximal set $\Psi_{\alpha}$ satisfying the conditions.

By (a) there exists an order $>_{\alpha}$ on $X^{*}\left(\boldsymbol{S}_{\boldsymbol{H}}\right)$ with respect to which all elements of $\Phi_{\boldsymbol{H}}^{+} \cup \Psi_{\alpha}$ are positive. Let

$$
\Phi_{\alpha}=\left\{\chi \in \Phi\left(\boldsymbol{S}_{\boldsymbol{H}}, \boldsymbol{G}\right): \chi>_{\alpha} 0\right\}
$$

and let $\boldsymbol{Q}_{\alpha}=\boldsymbol{G}_{\Phi_{\alpha}}$ (in the notation of [Borel and Tits 1965, Paragraph 3.8] with respect to $\boldsymbol{S}_{\boldsymbol{H}}$ ).
The only condition on the order $>_{\boldsymbol{Q}}^{\boldsymbol{Q}}$ in the proof of Lemma 4.2 was that all elements of $\Phi_{\boldsymbol{H}}^{+}$are positive with respect to $>_{\boldsymbol{Q}}$. By definition, $>_{\boldsymbol{\alpha}}$ satisfies this condition. Hence the proof of Lemma 4.2
also applies to $\boldsymbol{Q}_{\alpha}$. We conclude that $\boldsymbol{Q}_{\alpha}$ is a parabolic $\mathbb{Q}$-subgroup of $\boldsymbol{G}$ satisfying conclusions (i) and (ii) of Lemma 4.2.

Lemma 4.8. Every root $\chi \in \Phi_{\alpha}$ is $a \mathbb{R}_{>0}$-combination of $\Delta_{\boldsymbol{H}} \cup \Psi_{\alpha}$.
Proof. If $\chi \in \Psi_{\alpha}$, the result is trivial. So we may assume that $\chi \notin \Psi_{\alpha}$.
Since $\chi>{ }_{\alpha} 0, \Psi_{\alpha} \cup\{\chi\}$ satisfies (a). Since $\chi \notin \Psi_{\alpha}$, the maximality of $\Psi_{\alpha}$ tells us that $\Psi_{\alpha} \cup\{\chi\}$ does not satisfy (b). Thus $\chi(\alpha)<1$.

Hence $\Psi_{\alpha} \cup\{-\chi\}$ satisfies (b). But $-\chi<_{\alpha} 0$, so $-\chi \notin \Psi_{\alpha}$. Again by the maximality of $\Psi_{\alpha}$, we conclude that $\Psi_{\alpha} \cup\{-\chi\}$ does not satisfy (a). Thus there exist $m_{i}, n_{j}, x \in \mathbb{R}_{>0}, \chi_{i} \in \Phi_{H}^{+}$and $\psi_{j} \in \Psi_{\alpha}$ such that

$$
\sum_{i} m_{i} \chi_{i}+\sum_{j} n_{j} \psi_{j}+x(-\chi)=0
$$

(The coefficient of $-\chi$ in this equation must be nonzero because $\Phi_{\boldsymbol{H}}^{+} \cup \Psi_{\alpha}$ is $\mathbb{R}_{>0}$-independent.)
We can rearrange this equation to write $\chi$ as a $\mathbb{R}_{>0}$-combination of $\Phi_{H}^{+} \cup \Psi_{\alpha}$. Since every element of $\Phi_{\boldsymbol{H}}^{+}$is a $\mathbb{R}_{>0}$-combination of elements of $\Delta_{\boldsymbol{H}}$, we deduce that $\chi$ is a $\mathbb{R}_{>0}$-combination of $\Delta_{\boldsymbol{H}} \cup \Psi_{\alpha}$.

Lemma 4.9. There exists $t^{\prime}>0$ (depending on $\boldsymbol{G}, \boldsymbol{H}$ and $t$ but not on $\alpha$ ) such that for every $\alpha \in A_{\boldsymbol{H}, t}$ and every $\chi \in \Phi_{\alpha}, \chi(\alpha) \geq t^{\prime}$.

Proof. Consider all pairs $(\chi, \Xi)$ where $\chi \in \Phi_{G}$ and $\Xi$ is a subset of $\Phi_{G}$ such that $\chi$ can be written as a $\mathbb{R}_{>0}$-combination of elements of $\Xi$. There are only finitely many such pairs, so we can find $M$ (depending only on the root system $\Phi_{\boldsymbol{G}}$ ) such that, for every such pair, there exist $m_{i} \in \mathbb{R}_{>0}$ and $\xi_{i} \in \Xi$ satisfying

$$
\chi=\sum_{i} m_{i} \xi_{i} \quad \text { and } \quad \sum_{i} m_{i} \leq M
$$

Suppose that $\chi \in \Phi_{\alpha}$. Using Lemma 4.8, we can write $\chi$ as a combination

$$
\chi=\sum_{i} m_{i} \chi_{i}+\sum_{j} n_{j} \psi_{j}
$$

where $\chi_{i} \in \Delta_{\boldsymbol{H}}, \psi_{j} \in \Psi_{\alpha}, m_{i}, n_{i} \in \mathbb{R}_{>0}$. By the definition of $M$, we may assume that $\sum_{i} m_{i}+\sum_{j} n_{j} \leq M$.
By the definition of $A_{\boldsymbol{H}, t}$, we have $\chi_{i}(\alpha) \geq t$ for all $i$. By condition (b) on $\Psi_{\alpha}$, we have $\psi_{j}(\alpha) \geq 1$ for all $j$. Therefore $\chi(\alpha) \geq \min (1, t)^{M}$.

Proof of Proposition 4.7. Because $\boldsymbol{Q}_{\alpha}$ satisfies conclusion (i) of Lemma 4.2, $\boldsymbol{Z}$ is a Levi subgroup of $\boldsymbol{Q}_{\alpha}$. Let $\boldsymbol{P}_{\boldsymbol{G}, \alpha}=\boldsymbol{P}_{\boldsymbol{Z}} \ltimes R_{u}\left(\boldsymbol{Q}_{\alpha}\right)$. By [Borel and Tits 1965, Proposition 4.4], $\boldsymbol{P}_{\boldsymbol{G}, \alpha}$ is a minimal $\mathbb{Q}$-parabolic subgroup of $\boldsymbol{G}$.

By [Borel and Tits 1965, Corollaire 5.9], the Weyl group $W^{\prime}$ acts transitively on the minimal parabolic $\mathbb{Q}$-subgroups of $\boldsymbol{G}$ containing the maximal $\mathbb{Q}$-split torus $\boldsymbol{S}_{\boldsymbol{G}}^{\prime}$. Since $\boldsymbol{S}_{\boldsymbol{G}}^{\prime} \subset \boldsymbol{P}_{\boldsymbol{Z}} \subset \boldsymbol{P}_{\boldsymbol{G}, \alpha}$, we conclude that there exists $w^{\prime} \in W^{\prime}$ (depending on $\alpha$ ) such that $\boldsymbol{P}_{\boldsymbol{G}, \alpha}=w^{\prime} \boldsymbol{P}_{\boldsymbol{G}} w^{\prime-1}$.

Let $w$ be the element of $W$ which corresponds to $w^{\prime} \in W^{\prime}$ via conjugation by $u$. Since $u \in \boldsymbol{U}_{\boldsymbol{Z}}(\mathbb{R}) \subset$ $\boldsymbol{P}_{\boldsymbol{G}}(\mathbb{R}) \cap \boldsymbol{P}_{\boldsymbol{G}, \alpha}(\mathbb{R})$, we have

$$
\boldsymbol{P}_{\boldsymbol{G}, \alpha}=w \boldsymbol{P}_{\boldsymbol{G}} w^{-1}
$$

Since $\boldsymbol{Q}_{\alpha}$ satisfies conclusion (ii) of Lemma 4.2, we have

$$
\boldsymbol{U}_{\boldsymbol{H}} \subset R_{u}\left(\boldsymbol{Q}_{\alpha}\right) \subset R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}, \alpha}\right)=w \boldsymbol{U}_{\boldsymbol{G}} w^{-1}
$$

Furthermore $\boldsymbol{P}_{\boldsymbol{Z}} \subset \boldsymbol{P}_{\boldsymbol{G}, \alpha}$ and so $\boldsymbol{U}_{\boldsymbol{Z}} \subset R_{u}\left(\boldsymbol{P}_{\boldsymbol{G}, \alpha}\right)$. This proves conclusions (i) and (ii) of Proposition 4.7.
Since $\boldsymbol{P}_{\boldsymbol{G}, \alpha} \subset \boldsymbol{Q}_{\alpha}$, if $\chi \in \Phi\left(\boldsymbol{S}_{\boldsymbol{G}}, \boldsymbol{P}_{\boldsymbol{G}, \alpha}\right)$ then $\chi_{\mid \boldsymbol{S}_{\boldsymbol{H}}} \in \Phi_{\alpha}$. Hence by Lemma 4.9,

$$
\chi(\alpha) \geq t^{\prime} \text { for all } \alpha \in A_{\boldsymbol{H}, t} \text { and } \chi \in \Phi\left(\boldsymbol{S}_{\boldsymbol{G}}, \boldsymbol{P}_{\boldsymbol{G}, \alpha}\right) .
$$

Noting that

$$
w A_{\boldsymbol{G}, t^{\prime}} w^{-1}=\left\{\beta \in \boldsymbol{S}_{\boldsymbol{G}}(\mathbb{R})^{+}: \chi(\beta) \geq t^{\prime} \text { for all simple roots of } \boldsymbol{P}_{\boldsymbol{G}, \alpha}\right\}
$$

we conclude that $\alpha \in w A_{G, t^{\prime}} w^{-1}$, proving conclusion (iii) of Proposition 4.7.
4D. Weyl group representatives. We need to choose two representatives for each element $w$ in the Weyl group $W=N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$.

Firstly we would like to choose representatives for $W$ in $\boldsymbol{G}(\mathbb{Q})$. However this is not usually possible because the torus $S_{G}$ is not defined over $\mathbb{Q}$. Instead, recall that conjugation by $u$ induces an isomorphism $W \rightarrow W^{\prime}$. Given $w \in W$, let $w^{\prime}$ denote the corresponding element of $W^{\prime}$. By [Borel and Tits 1965, Théorème 5.3], we can choose $w_{\mathbb{Q}}^{\prime} \in \boldsymbol{G}(\mathbb{Q})$ which represents $w^{\prime}$. We then get a representative for $w$ by setting

$$
w_{\mathbb{Q}}=u^{-1} w_{\mathbb{Q}}^{\prime} u .
$$

Secondly we choose representatives for $W$ in $K_{\boldsymbol{G}}$.
Lemma 4.10. Let $\boldsymbol{G}$ be a reductive $\mathbb{Q}$-algebraic group. Let $\left(\boldsymbol{P}_{\boldsymbol{G}}, \boldsymbol{S}_{\boldsymbol{G}}, K_{\boldsymbol{G}}\right)$ be a Siegel triple in $\boldsymbol{G}$. Every $w \in N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$ has a representative $w_{K} \in K_{\boldsymbol{G}}$.

Proof. Let $\boldsymbol{T}_{\boldsymbol{G}}$ be a maximal $\mathbb{R}$-split torus in $\boldsymbol{G}$ which contains $\boldsymbol{S}_{\boldsymbol{G}}$.
Let $\boldsymbol{N}=N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) \cap N_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right)$. Because $\boldsymbol{S}_{\boldsymbol{G}}$ is conjugate to a maximal $\mathbb{Q}$-split torus of $\boldsymbol{G}$, [Borel and Tits 1965, Corollaire 5.5] implies that

$$
N_{G}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)=N \cdot Z_{G}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)
$$

Therefore we can choose $\sigma \in N(\mathbb{C})$ such that $w=\sigma \cdot Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$.
According to the final displayed equation from [Borel and Tits 1965, Section 14], every element of $N_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right)$ has a representative in $K_{\boldsymbol{G}}$. In particular, there exists $w_{K} \in N_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right)(\mathbb{R}) \cap K_{\boldsymbol{G}}$ which represents $\sigma \cdot Z_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right)$. Then

$$
w_{K} \sigma^{-1} \in Z_{\boldsymbol{G}}\left(\boldsymbol{T}_{\boldsymbol{G}}\right)(\mathbb{C}) \subset Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{C}) .
$$

It follows that $w_{K}$ normalises $\boldsymbol{S}_{\boldsymbol{G}}$ and represents $w \in N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right) / Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$.

Since the Cartan involution of $\boldsymbol{G}$ associated with $K_{\boldsymbol{G}}$ stabilizes $\boldsymbol{S}_{\boldsymbol{G}}$, it also stabilizes $Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$. Hence $K_{\boldsymbol{G}} \cap Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})$ is a maximal compact subgroup of $Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})$. By [Hochschild 1965, Chapter XV, Theorem 3.1], $K_{\boldsymbol{G}} \cap Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})$ meets every connected component of $Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})$. When choosing $w_{K}$ as in Lemma 4.10 , we may therefore assume that $w_{K} \in w_{\mathbb{Q}} \cdot Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})^{+}$.

We will need the following lemma about $w_{\mathbb{Q}}$ and $w_{\mathbb{Q}}^{\prime}$. This lemma does not hold for every element of $W$, so we restrict our attention to elements which satisfy conditions (i) and (ii) of Proposition 4.7, that is, elements of the set

$$
W^{\dagger}=\left\{w \in W: \boldsymbol{U}_{\boldsymbol{Z}} \subset w \boldsymbol{U}_{\boldsymbol{G}} w^{-1} \text { and } \boldsymbol{U}_{\boldsymbol{H}} \subset w \boldsymbol{U}_{\boldsymbol{G}} w^{-1}\right\}
$$

Lemma 4.11. If $w \in W^{\dagger}$, then $w_{\mathbb{Q}}^{\prime-1} w_{\mathbb{Q}} \in \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R})$.
Proof. By definition,

$$
w_{\mathbb{Q}}^{\prime-1} w_{\mathbb{Q}}=u w_{\mathbb{Q}}^{-1} u^{-1} w_{\mathbb{Q}} .
$$

Because $w \in W^{\dagger}$ and $u \in \boldsymbol{U}_{\boldsymbol{Z}}(\mathbb{R})$, we have

$$
w_{\mathbb{Q}}^{-1} u^{-1} w_{\mathbb{Q}} \in \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R})
$$

Multiplying this by $u \in \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R})$ proves the lemma.
4E. Construction of the compact set $\boldsymbol{\Omega}_{\boldsymbol{G}}$. By the Langlands decomposition in $\boldsymbol{P}_{\boldsymbol{H}}$, the multiplication map

$$
\boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R}) \times \boldsymbol{M}_{\boldsymbol{H}}(\mathbb{R})^{+} \rightarrow \boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R}) \cdot \boldsymbol{M}_{\boldsymbol{H}}(\mathbb{R})^{+}
$$

is a homeomorphism. Hence there exist compact sets $\Omega_{\boldsymbol{U}_{\boldsymbol{H}}} \subset \boldsymbol{U}_{\boldsymbol{H}}(\mathbb{R})$ and $\Omega_{\boldsymbol{M}_{\boldsymbol{H}}} \subset \boldsymbol{M}_{\boldsymbol{H}}(\mathbb{R})^{+}$such that

$$
\begin{equation*}
\Omega_{H} \subset \Omega_{U_{H}} \cdot \Omega_{M_{H}} \tag{13}
\end{equation*}
$$

Since $\boldsymbol{M}_{\boldsymbol{H}}$ need not be contained in $\boldsymbol{M}_{\boldsymbol{G}}$, we need to further decompose $\Omega_{\boldsymbol{M}_{\boldsymbol{H}}}$. Let $\boldsymbol{B}_{\boldsymbol{Z}}$ be a minimal $\mathbb{R}$-parabolic subgroup of $\boldsymbol{Z}=Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{H}}\right)$ contained in $\boldsymbol{P}_{\boldsymbol{Z}}$. By the Iwasawa decomposition in $\boldsymbol{Z}$, the multiplication map $\boldsymbol{B}_{\boldsymbol{Z}}(\mathbb{R})^{+} \times K_{\boldsymbol{Z}} \rightarrow \boldsymbol{Z}(\mathbb{R})$ is a homeomorphism so there exists a compact set $\Omega_{\boldsymbol{B}_{\boldsymbol{Z}}} \subset$ $\boldsymbol{B}_{\boldsymbol{Z}}(\mathbb{R})^{+}$such that

$$
\begin{equation*}
\Omega_{M_{H}} \subset \Omega_{B_{Z}} \cdot K_{Z} \tag{14}
\end{equation*}
$$

For each $w \in W^{\dagger}$, choose $w_{K}, w_{\mathbb{Q}}$ and $w_{\mathbb{Q}}^{\prime}$ as in Section 4 D . We have $w_{K} w_{\mathbb{Q}}^{-1} \in Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)(\mathbb{R})^{+} \subset \boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})^{+}$ and $\boldsymbol{B}_{\boldsymbol{Z}}(\mathbb{R})^{+} \subset \boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})^{+}$, so $\Omega_{\boldsymbol{B}_{\boldsymbol{Z}}} \cdot w_{K} w_{\mathbb{Q}}^{-1}$ is a compact subset of $\boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})^{+}$. Noting that $Z_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$ is a Levi subgroup of $\boldsymbol{P}_{\boldsymbol{Z}}$, the Langlands decomposition in $\boldsymbol{P}_{\boldsymbol{Z}}$ [Borel and Ji 2006, Equation (I.1.8)] tells us that the multiplication map

$$
\boldsymbol{U}_{\boldsymbol{Z}}(\mathbb{R}) \times \boldsymbol{M}_{\boldsymbol{G}}(\mathbb{R})^{+} \times \boldsymbol{S}_{\boldsymbol{G}}(\mathbb{R})^{+} \rightarrow \boldsymbol{P}_{\boldsymbol{Z}}(\mathbb{R})^{+}
$$

is a homeomorphism. Therefore there exist compact sets $\Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} \subset \boldsymbol{U}_{\boldsymbol{Z}}(\mathbb{R}), \Omega_{\boldsymbol{M}_{\boldsymbol{G}}}^{[w]} \subset \boldsymbol{M}_{\boldsymbol{G}}(\mathbb{R})^{+}$and $\Omega_{\boldsymbol{S}_{\boldsymbol{G}}}^{[w]} \subset$ $\boldsymbol{S}_{\boldsymbol{G}}(\mathbb{R})^{+}$such that

$$
\begin{equation*}
\Omega_{\boldsymbol{B}_{\boldsymbol{Z}}} \cdot w_{K} w_{\mathbb{Q}}^{-1} \subset \Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} \cdot \Omega_{\boldsymbol{M}_{\boldsymbol{G}}}^{[w]} \cdot \Omega_{\boldsymbol{S}_{\boldsymbol{G}}}^{[w]} . \tag{15}
\end{equation*}
$$

Let

$$
\Omega_{G}=\bigcup_{w \in W^{\dagger}} w_{\mathbb{Q}}^{\prime-1} \cdot \Omega_{U_{H}} \cdot \Omega_{U_{Z}}^{[w]} \cdot \Omega_{M_{G}}^{[w]} \cdot w_{\mathbb{Q}} .
$$

Since $W^{\dagger}$ is finite, $\Omega_{G}$ is compact.
Lemma 4.12. $\Omega_{G} \subset \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R}) \boldsymbol{M}_{\boldsymbol{G}}(\mathbb{R})^{+}$.
Proof. For each $w \in W^{\dagger}$, by Lemma 4.11, $w_{\mathbb{Q}}^{\prime-1} w_{\mathbb{Q}} \in \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R})$. Using the definition of $W^{\dagger}$, we have

$$
w_{\mathbb{Q}}^{-1} \Omega_{\boldsymbol{U}_{\boldsymbol{H}}} w_{\mathbb{Q}} \subset \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R}) \quad \text { and } \quad w_{\mathbb{Q}}^{-1} \Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} w_{\mathbb{Q}} \subset \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R})
$$

Multiplying these together, we conclude that

$$
\begin{equation*}
w_{\mathbb{Q}}^{\prime-1} \cdot \Omega_{\boldsymbol{U}_{\boldsymbol{H}}} \cdot \Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} \cdot w_{\mathbb{Q}} \subset \boldsymbol{U}_{\boldsymbol{G}}(\mathbb{R}) . \tag{16}
\end{equation*}
$$

Since $\boldsymbol{S}_{\boldsymbol{G}}$ is $\boldsymbol{G}(\mathbb{R})$-conjugate to a maximal $\mathbb{Q}$-split torus in $\boldsymbol{G}$, we can use [Borel and Tits 1965, Corollaire 5.4] to show that $\boldsymbol{M}_{\boldsymbol{G}}$ is normal in $N_{\boldsymbol{G}}\left(\boldsymbol{S}_{\boldsymbol{G}}\right)$. It follows that $w_{\mathbb{Q}}$ normalises $\boldsymbol{M}_{\boldsymbol{G}}(\mathbb{R})^{+}$and so

$$
\begin{equation*}
w_{\mathbb{Q}}^{-1} \Omega_{\boldsymbol{M}_{\boldsymbol{G}}}^{[w]} w_{\mathbb{Q}} \subset \boldsymbol{M}_{\boldsymbol{G}}(\mathbb{R})^{+} \tag{17}
\end{equation*}
$$

Combining (16) and (17) proves the lemma.
Lemma 4.13. For each $w \in W^{\dagger}, w_{\mathbb{Q}}^{\prime-1} \Omega_{\boldsymbol{H}} \subset \Omega_{\boldsymbol{G}} \cdot w_{K}^{-1} \cdot \Omega_{S_{\boldsymbol{G}}}^{[w]} \cdot K_{\boldsymbol{Z}}$.
Proof. Noting that $w_{\mathbb{Q}} w_{K}^{-1}$ commutes with $\boldsymbol{S}_{\boldsymbol{G}}$, we can rearrange (15) to obtain

$$
\Omega_{\boldsymbol{B}_{\boldsymbol{Z}}} \subset \Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} \cdot \Omega_{\boldsymbol{M}_{\boldsymbol{G}}}^{[w]} \cdot w_{\mathbb{Q}} w_{K}^{-1} \cdot \Omega_{\boldsymbol{S}_{\boldsymbol{G}}}^{[w]} .
$$

Combining this with (13) and (14), we get

$$
\Omega_{\boldsymbol{H}} \subset \Omega_{\boldsymbol{U}_{\boldsymbol{H}}} \cdot \Omega_{\boldsymbol{M}_{\boldsymbol{H}}} \subset \Omega_{\boldsymbol{U}_{\boldsymbol{H}}} \cdot \Omega_{\boldsymbol{B}_{\boldsymbol{Z}}} \cdot K_{\boldsymbol{Z}} \subset \Omega_{\boldsymbol{U}_{\boldsymbol{H}}} \cdot \Omega_{\boldsymbol{U}_{\boldsymbol{Z}}}^{[w]} \cdot \Omega_{\boldsymbol{M}_{G}}^{[w]} \cdot w_{\mathbb{Q}} w_{K}^{-1} \cdot \Omega_{S_{G}}^{[w]} \cdot K_{\boldsymbol{Z}}
$$

We can now read off the lemma using the definition of $\Omega_{G}$.
4F. The Siegel set for $\boldsymbol{G}$. For each $w \in W^{\dagger}, w_{K}^{-1} \Omega_{S_{G}}^{[w]} w_{K}$ is a compact subset of $\boldsymbol{S}_{\boldsymbol{G}}(\mathbb{R})^{+}$. Hence there exists $s>0$ such that $\chi(\beta) \geq s$ for all $\chi \in \Delta_{\boldsymbol{G}}$ and all $\beta \in w_{K}^{-1} \Omega_{S_{G}}^{[w]} w_{K}$ (since $W^{\dagger}$ is finite, we can choose a single value of $s$ which works for all $w \in W^{\dagger}$ ).

Let $\mathfrak{S}_{G}$ be the Siegel set

$$
\mathfrak{S}_{\boldsymbol{G}}=\Omega_{\boldsymbol{G}} \cdot A_{\boldsymbol{G}, t^{\prime} s} \cdot K_{\boldsymbol{G}} \subset \boldsymbol{G}(\mathbb{R}),
$$

using $t^{\prime}$ from Proposition 4.7 and $\Omega_{G}$ from Section 4E. Let $C$ be the finite set

$$
C=\left\{w_{\mathbb{Q}}^{\prime}: w \in W^{\dagger}\right\} \subset \boldsymbol{G}(\mathbb{Q}) .
$$

Proposition 4.14. $\mathfrak{S}_{\boldsymbol{H}} \subset C . \mathfrak{S}_{G}$.

Proof. Given $\sigma \in \mathfrak{S}_{\boldsymbol{H}}$, we can write

$$
\sigma=\mu \alpha \kappa
$$

with $\mu \in \Omega_{\boldsymbol{H}}, \alpha \in A_{\boldsymbol{H}, t}$ and $\kappa \in K_{\boldsymbol{H}}$.
By Proposition 4.7, we can choose $w \in W^{\dagger}$ such that $\alpha \in w A_{\boldsymbol{G}, t^{\prime}} w^{-1}$. By Lemma 4.13, we can write

$$
w_{\mathbb{Q}}^{\prime-1} \mu=\nu w_{K}^{-1} \beta \lambda
$$

where $v \in \Omega_{\boldsymbol{G}}, \beta \in \Omega_{S_{G}}^{[w]}$ and $\lambda \in K_{Z}$. Therefore

$$
w_{\mathbb{Q}}^{\prime-1} \sigma=\nu w_{K}^{-1} \beta \lambda \alpha \kappa .
$$

Since $\lambda \in K_{\boldsymbol{Z}} \subset \boldsymbol{Z}(\mathbb{R})$, $\lambda$ commutes with $\alpha \in \boldsymbol{S}_{\boldsymbol{H}}(\mathbb{R})$ so we can rewrite this as

$$
w_{\mathbb{Q}}^{\prime-1} \sigma=v \cdot w_{K}^{-1} \beta \alpha w_{K} \cdot w_{K}^{-1} \lambda \kappa
$$

By definition, $v \in \Omega_{\boldsymbol{G}}$. By the definition of $s$, we have $w_{K}^{-1} \beta w_{K} \in A_{\boldsymbol{G}, s}$ while $w_{K}^{-1} \alpha w_{K} \in A_{\boldsymbol{G}, t^{\prime}}$ by Proposition 4.7. Hence

$$
w_{K}^{-1} \beta \alpha w_{K} \in A_{\boldsymbol{G}, t^{\prime} s}
$$

Finally, $w_{K}^{-1}, \lambda$ and $\kappa$ are all in the group $K_{\boldsymbol{G}}$, so their product is also in $K_{\boldsymbol{G}}$.
Thus we have shown that $w_{\mathbb{Q}}^{\prime-1} \sigma \in \mathfrak{S}_{G}$, and so $\sigma \in C . \mathfrak{S}_{G}$.

## Acknowledgements

I thank Philipp Habegger and Jonathan Pila for their suggestion that I should study generalisations of their result [Habegger and Pila 2012, Lemma 5.2] (Proposition 1.3 in this paper). This suggestion was the initial inspiration for this paper. I am grateful to Christopher Daw, Gisele Teixeira Paula, Jonathan Pila, Jinbo Ren and Andrei Yafaev for useful discussions during the writing of the paper. I am also grateful to the referee for suggestions which improved the paper.

The work which led to this paper was funded by European Research Council grant 307364 and by EPSRC grant EP/M020266/1.

## References

[Ash et al. 2010] A. Ash, D. Mumford, M. Rapoport, and Y.-S. Tai, Smooth compactifications of locally symmetric varieties, 2nd ed., Cambridge University Press, 2010. With the collaboration of Peter Scholze. MR Zbl
[Borel 1969] A. Borel, Introduction aux groupes arithmétiques, Publ. Inst. Math. Univ. Strasbourg 1341, Hermann, Paris, 1969. MR Zbl
[Borel and Harish-Chandra 1962] A. Borel and Harish-Chandra, "Arithmetic subgroups of algebraic groups", Ann. of Math. (2) 75 (1962), 485-535. MR
[Borel and Ji 2006] A. Borel and L. Ji, Compactifications of symmetric and locally symmetric spaces, Mathematics: Theory \& Applications 2, Birkhäuser, Boston, 2006. MR Zbl
[Borel and Tits 1965] A. Borel and J. Tits, "Groupes réductifs", Inst. Hautes Études Sci. Publ. Math. 27 (1965), 55-150. MR Zbl
[Habegger and Pila 2012] P. Habegger and J. Pila, "Some unlikely intersections beyond André-Oort", Compos. Math. 148:1 (2012), 1-27. MR Zbl
[Hochschild 1965] G. Hochschild, The structure of Lie groups, Holden-Day, San Francisco, 1965. MR Zbl
[Orr 2015] M. Orr, "Families of abelian varieties with many isogenous fibres", J. Reine Angew. Math. 705 (2015), 211-231. MR Zbl
[Orr 2017] M. Orr, "On compatibility between isogenies and polarizations of abelian varieties", Int. J. Number Theory 13:3 (2017), 673-704. MR Zbl
[Pila and Wilkie 2006] J. Pila and A. J. Wilkie, "The rational points of a definable set", Duke Math. J. 133:3 (2006), 591-616. MR Zbl
[Pink 2005] R. Pink, "A common generalization of the conjectures of André-Oort, Manin-Mumford, and Mordell-Lang", preprint, 2005, Available at http://www.math.ethz.ch/~pink/ftp/AOMMML.pdf.

Communicated by Jonathan Pila
Received 2017-06-22 Revised 2018-01-16 Accepted 2018-02-15
m.orr@imperial.ac.uk Department of Mathematics, Imperial College London, South Kensington, London, United Kingdom

# Algebra \& Number Theory 

msp.org/ant

## EDITORS

Managing Editor Editorial Board Chair<br>Bjorn Poonen<br>Massachusetts Institute of Technology<br>Cambridge, USA<br>David Eisenbud<br>University of California<br>Berkeley, USA

BoARd of EDITORS

| Richard E. Borcherds | University of California, Berkeley, USA | Martin Olsson | University of California, Berkeley, USA |
| :---: | :---: | :---: | :---: |
| J-L. Colliot-Thélène | CNRS, Université Paris-Sud, France | Raman Parimala | Emory University, USA |
| Brian D. Conrad | Stanford University, USA | Jonathan Pila | University of Oxford, UK |
| Samit Dasgupta | University of California, Santa Cruz, USA | Anand Pillay | University of Notre Dame, USA |
| Hélène Esnault | Freie Universität Berlin, Germany | Michael Rapoport | Universität Bonn, Germany |
| Gavril Farkas | Humboldt Universität zu Berlin, Germany | Victor Reiner | University of Minnesota, USA |
| Hubert Flenner | Ruhr-Universität, Germany | Peter Sarnak | Princeton University, USA |
| Sergey Fomin | University of Michigan, USA | Joseph H. Silverman | Brown University, USA |
| Edward Frenkel | University of California, Berkeley, USA | Michael Singer | North Carolina State University, USA |
| Andrew Granville | Université de Montréal, Canada | Christopher Skinner | Princeton University, USA |
| Joseph Gubeladze | San Francisco State University, USA | Vasudevan Srinivas | Tata Inst. of Fund. Research, India |
| Roger Heath-Brown | Oxford University, UK | J. Toby Stafford | University of Michigan, USA |
| Craig Huneke | University of Virginia, USA | Pham Huu Tiep | University of Arizona, USA |
| Kiran S. Kedlaya | Univ. of California, San Diego, USA | Ravi Vakil | Stanford University, USA |
| János Kollár | Princeton University, USA | Michel van den Bergh | Hasselt University, Belgium |
| Yuri Manin | Northwestern University, USA | Marie-France Vignéras | Université Paris VII, France |
| Philippe Michel | École Polytechnique Fédérale de Lausanne | Kei-Ichi Watanabe | Nihon University, Japan |
| Susan Montgomery | University of Southern California, USA | Shou-Wu Zhang | Princeton University, USA |
| Shigefumi Mori | RIMS, Kyoto University, Japan |  |  |

PRODUCTION
production@msp.org
Silvio Levy, Scientific Editor

## See inside back cover or msp.org/ant for submission instructions.

The subscription price for 2018 is US $\$ 340 /$ year for the electronic version, and $\$ 535 /$ year ( $+\$ 55$, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to MSP.

Algebra \& Number Theory (ISSN 1944-7833 electronic, 1937-0652 printed) at Mathematical Sciences Publishers, 798 Evans Hall \#3840, c/o University of California, Berkeley, CA 94720-3840 is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

ANT peer review and production are managed by EditFLOW ${ }^{\circledR}$ from MSP.

PUBLISHED BY
E. mathematical sciences publishers
nonprofit scientific publishing
http://msp.org/
© 2018 Mathematical Sciences Publishers

## Algebra \& Number Theory

## Volume 12 No. 2018

Proper $G_{a}$-actions on $\mathbb{C}^{4}$ preserving a coordinate ..... 227
Shulim Kaliman
Nonemptiness of Newton strata of Shimura varieties of Hodge type ..... 259
Dong Uk Lee
Towards Boij-Söderberg theory for Grassmannians: the case of square matrices ..... 285
Nicolas Ford, Jake Levinson and Steven V Sam
Chebyshev's bias for products of $k$ primes ..... 305
Xianchang Meng
$D$-groups and the Dixmier-Moeglin equivalence ..... 343
Jason Bell, Omar León Sánchez and Rahim Moosa
Closures in varieties of representations and irreducible components ..... 379
Kenneth R. Goodearl and Birge Huisgen-Zimmermann
Sparsity of $p$-divisible unramified liftings for subvarieties of abelian varieties with trivial stabilizer ..... 411
Danny Scarponi
On a conjecture of Kato and Kuzumaki ..... 429
DIEGO IZQUIERDO
Height bounds and the Siegel property ..... 455
MARTIN ORR
Quadric surface bundles over surfaces and stable rationality ..... 479
Stefan Schreieder
Correction to the article Finite generation of the cohomology of some skew group algebras ..... 491Van C. Nguyen and Sarah Witherspoon

