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Zhiyi Yao, Siming You, Yanjun Dai, Chi-Hwa Wang



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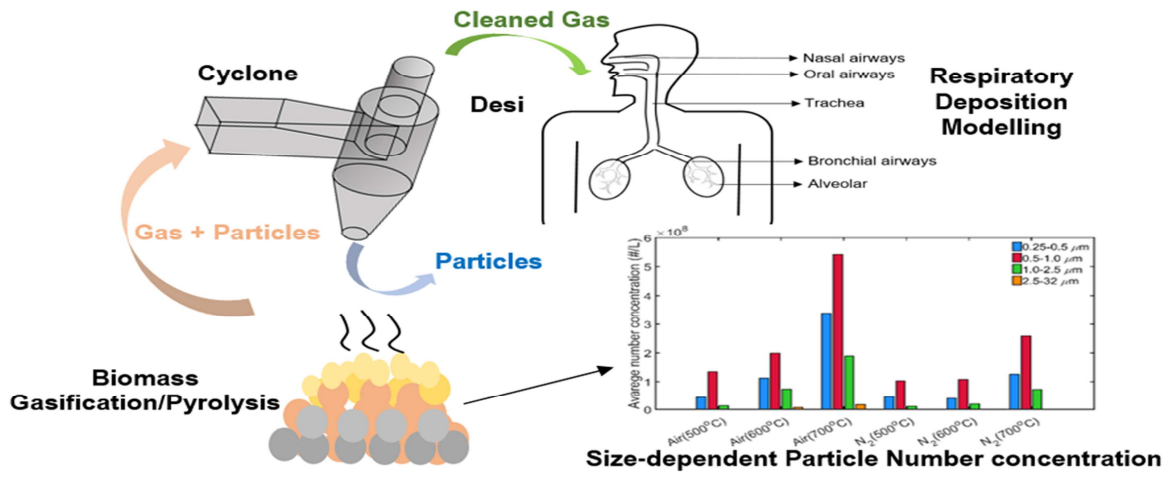
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1 Particulate Emission from the Gasification and Pyrolysis of Biomass:
2 Concentration, Size Distributions, Respiratory Deposition-based Control
3 Measure Evaluation
4

5 Zhiyi Yao^{1,2†}, Siming You^{1#†}, Yanjun Dai³, and Chi-Hwa Wang^{2*}
6

7 1 NUS Environmental Research Institute, National University of Singapore, Singapore

8 2 Department of Chemical and Biomolecular Engineering, National University of Singapore,
9 Singapore

10 3 School of Mechanical Engineering, Shanghai Jiao Tong University, Shanghai, China

11 # Current address: Division of Systems, Power & Energy, School of Engineering, University
12 of Glasgow, UK

13 † Authors contribute equally to this work.
14

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20 *Corresponding Author. Tel: +65 65165079; Fax: +65 67791936;
21 Email: chewch@nus.edu.sg (C. H. Wang)
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36 **Abstract**

37 Gasification and pyrolysis technologies have been widely employed to produce fuels and
38 chemicals from solid wastes. Rare studies have been conducted to compare the particulate
39 emissions from gasification and pyrolysis, and relevant inhalation exposure assessment is still
40 lacking. In this work, we characterized the particles emitted from the gasification and
41 pyrolysis experiments under different temperatures (500, 600, and 700 °C). The collection
42 efficiencies of existing cyclones were compared based on particle respiratory deposition.
43 Sensitivity analysis was conducted to identify the most effective design parameters. The
44 particles emitted from both gasification and pyrolysis process are mainly in the size range
45 0.25-1.0 μm and 1.0-2.5 μm . Particle respiratory deposition modelling showed that most
46 particles penetrate deeply into the last stage of the respiratory system. At the nasal breathing
47 mode, particles with sizes ranging from 0.25 to 1.0 μm account for around 91%, 74%, 76%,
48 90%, 84%, and 79% of the total number of particles that deposit onto the last stage in the
49 cases of 500 °C gasification, 600 °C gasification, 700 °C gasification, 500 °C pyrolysis, 600
50 °C pyrolysis, and 700 °C pyrolysis, respectively. At the oral breathing mode, particles with
51 sizes ranging from 0.25 to 1.0 μm account for around 92%, 77%, 79%, 91%, 86%, and 81%
52 of the total number of particles that deposit onto the last stage in the six cases, respectively.
53 Sensitivity analysis showed that the particle removal efficiency was found to be most
54 sensitive to the cyclone vortex finder diameter (D_0). This work could potentially serve as the
55 basis for proposing health protective measures against the particulate pollution from
56 gasification and pyrolysis technologies.

57

58 **Keywords:** Gasification; Pyrolysis; Biomass Waste; Particle Emission; Control Measure59 **Capsule**

60 A particle respiratory deposition-based cyclone design scheme is proposed for health
61 protective measures against the particulate pollution from gasification and pyrolysis
62 technologies.

63 **1 Introduction**

64 Application of waste biomass for energy production is receiving increasing attention because
65 of the renewability and carbon neutrality features of biomass. Among the plethora of biomass-
66 to-energy technologies, gasification and pyrolysis are considered as two of the most efficient
67 ways to produce fuels and chemicals from biomass (Ong et al., 2015). During the gasification
68 process, carbonaceous feedstock is converted into fuel gas, hydrocarbons, and a small amount
69 of solid residue (*i.e.* biochar and ash) in an oxygen-deficient environment (You et al., 2017b).
70 Pyrolysis is a thermochemical decomposition of organic material in the absence of oxygen
71 and its major products include pyrolytic oil and biochar (Kan et al., 2016).

72
73 Biomass gasification and pyrolysis are not emission-free technologies. Thermochemical
74 treatment of biomass was found to be one of the most important sources of PM_{2.5} (particles of
75 an aerodynamic diameter smaller than 2.5 μm) in both developed and developing countries
76 (Glasius et al., 2006; Johnson, 2016; Saarikoski et al., 2008; Ward and Lange, 2010; Zhang et
77 al., 2010). Several previous works have been devoted to characterizing the particulate
78 emissions of gasification and pyrolysis. For example, the effects of feedstock moisture
79 content on the PM_{2.5} emission of a semi-gasified cookstove were investigated and PM_{2.5}
80 emission factors were found to decrease with the increase of moisture content (Huangfu et al.,
81 2014). The particulate emissions at three locations of a fixed bed, downdraft gasifier were
82 measured to determine the particle removal effectiveness of packed-bed filter (Hamilton et al.,
83 2014). In the study, particle mass concentrations (PMCs) were found to be approximately 75
84 mg/Nm³ in the pre-filtered producer gas and were reduced by 99% by the packed-bed filter to

85 about 1 mg/Nm^3 . A nine-stage cascade impactor was used to investigate the size distribution
86 of particles from the gasification of municipal solid waste (MSW) (Shiota et al., 2017). The
87 results showed that most of the particles were fine particles. $\text{PM}_{2.5}$ emission from gasification
88 and melting of MSW accounted for 2.5% of total $\text{PM}_{2.5}$ emissions from all sorts of thermal
89 treatment of MSW in Japan. Trace metals emission and size distribution of PM generated
90 from biomass gasification were reported in several studies (Min et al., 2016; Nzihou and
91 Stanmore, 2013; Pudasainee et al., 2014). The emission of potassium- and sodium-containing
92 compounds during rapid birchwood pyrolysis was also studied (Davidsson et al., 2002). The
93 results showed that the alkali emission per unit mass for small particles was higher than that
94 for large ones and this tendency increased with temperature. A systematic study was
95 conducted to characterize the emission of trace elements (e.g., As, Cu, Cr, Ni, V, Co, Cd, and
96 Pb) generated from a two-stage pyrolysis/combustion reactor system (Liaw et al., 2016). The
97 study indicated that particles produced from the combustion of volatiles produced in situ from
98 the pyrolysis stage were smaller than $1 \mu\text{m}$, and had a uni-modal distribution with a fine mode
99 diameter of $0.043 \mu\text{m}$.

100
101 Particulate emissions could potentially pose two adverse effects on the practical deployment
102 of gasification and pyrolysis systems. First, particles could cause fouling, reduce system
103 efficiency, contaminate the producer gas which affects the subsequent power generation and
104 syngas upgrading processes (Hamilton et al., 2014; Wang et al., 2011; Woolcock and Brown,
105 2013). It was designated that the PM_{10} and PM_5 concentrations in syngas should be lower than
106 50 mg/m^3 and 30 mg/m^3 , respectively, for internal combustion engine and gas turbine, while
107 the PM concentration should be lower than 0.02 mg/m^3 for methanol synthesis (Woolcock
108 and Brown, 2013). Second, gasification is a technology that is well suitable for decentralized
109 application in terms of energy efficiency and economic feasibility (Buragohain et al., 2010;

110 You et al., 2016b). The decentralized application of the gasification technology in modern
111 cities means the proximity of gasification systems to the public and residential communities.
112 This imposes strict requirements on its emission control and management because elevated
113 outdoor particle concentrations pose a potential health concern, especially for fine particles
114 such as $PM_{2.5}$ that could penetrate deeply into the human respiratory system (Happo et al.,
115 2013; Jalava et al., 2012; Moller and Loft, 2010; Naeher et al., 2007; You et al., 2016a). Our
116 recent study also showed that elevated outdoor $PM_{2.5}$ may lead to increased household energy
117 use and corresponding household carbon footprint by changing the energy utilization mode
118 (e.g., more air-conditioner and purifier use) (You et al., 2017a).

119
120 Therefore, it is necessary to propose effective preventive and control measures towards
121 particulate emissions from gasification and pyrolysis, which is highly contingent upon the
122 understanding of the mechanisms and characteristics of particulate emission. Rare studies
123 have been conducted to analyze the influences of operating conditions (e.g., gasification
124 agents and temperature) towards time-resolved particulate emissions. In addition, possible
125 particle formation mechanisms for gasification and pyrolysis are still lacking. In this work, we
126 compared the particle removal efficiencies of existing cyclones with different configurations
127 based on particle respiratory deposition. Sensitivity analysis was conducted to identify the
128 most effective design parameters. This work could potentially serve as the basis for proposing
129 health protective measures against the particulate pollution from gasification and pyrolysis
130 technologies.

131

132 2 Materials and Methods

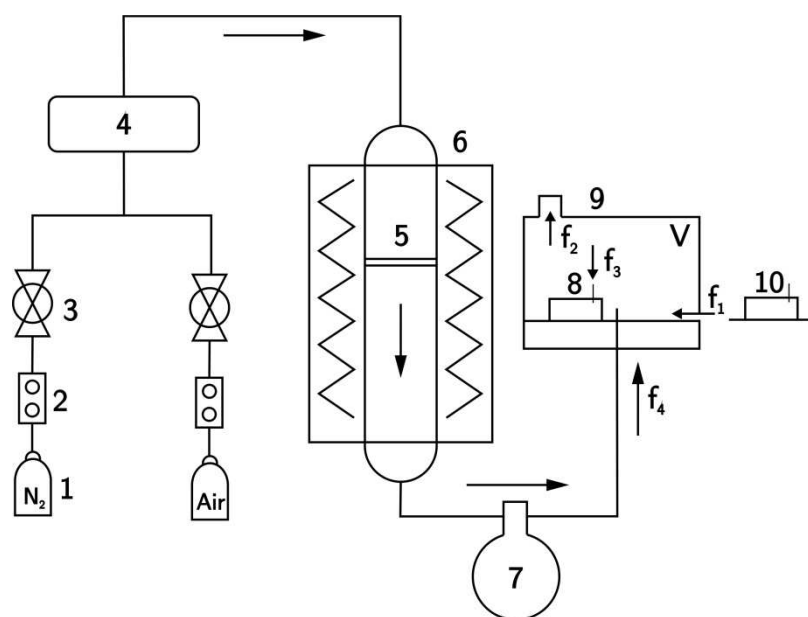
133 2.1 Experiments and Emission Calculation

134 2.1.1 System and instrumentation

135 To explore the fundamental mechanisms governing the particulate emission of the thermo-
136 chemical processes, experiments were conducted in a lab-scale reactor (capacity: *ca.* 1g/min).

137 A schematic diagram of the experimental setup is shown in Figure 1. The aerosol from
138 experiments was guided into a fume hood for diluting and exhaust cooling. An aerosol
139 spectrometer (GRIMM 1.109) was used to measure particle number concentrations (PNCs) in
140 the fume hood. Another aerosol spectrometer was placed outside of the fume hood to measure
141 the background PNCs. The aerosol spectrometers measured particles in the size range
142 between 0.25 and 32 μm at an interval of 6 seconds. The duration of each sampling was about
143 30 min. A flask was used for tar condensation and retaining. Air and nitrogen were used as
144 the agent for the gasification and pyrolysis experiments, respectively and the agent flow rates
145 were fixed at 300 mL/min using a mass flow controller. 15g of feedstock was used for each
146 experiment. For gasification experiment, the equivalence ratio (ER) was fixed as 15% during
147 30 minutes of experiment. $ER = \left(\frac{F_{air}}{F_s}\right) / \left(\frac{F_{air}}{F_s}\right)_{Stoichiometric}$ where F_{air} is the inlet air mass
148 flow rate, F_s is the biomass feeding rate. Three temperatures (i.e. 500, 600, and 700 °C) were
149 tested both for the gasification and pyrolysis experiments. The reactor was heated by
150 electrical heater and the temperature was controlled by Programmable Logic Controller (PLC).
151 Woodchips were used as the feedstock whose proximate, ultimate compositions were given in
152 Table 1.

153



154

155 Figure 1. A schematic diagram of experimental setup. 1 Gas cylinder, 2 Mass flow controller,
 156 3 Valve, 4 Gas mixer, 5 Gasifier reactor, 6 Heater, 7 Flask, 8 Aerosol spectrometer, 9 Fume
 157 hood, 10 Aerosol spectrometer.

158

159

Table 1. Feedstock composition.

Proximate analysis (dry basis, wt. %)	
Moisture	8.2-8.5
Volatiles	67.8-69.2
Fixed carbon	16.2-17.5
Ash	6.2-6.3
Ultimate analysis (wt. %)	
Carbon	43.3-44.2
Hydrogen	5.4-6.1
Oxygen	41.6-42.5
Nitrogen	0.9-2.1
Sulfur	0.5-1.0

160

161 2.1.2 Particulate emission calculation

162 The particle number concentration, PNC_{it} (#/L), was backward estimated from the
 163 concentration data based on the mass balance model

$$(f_1 C_{ot} - f_2 C_{it} - f_3 C_{it} + f_4 PNC_{it}) \Delta t = (C_{i(t+\Delta t)} - C_{it}) V \quad (1)$$

164 where $\Delta t = 6$ s is the sampling interval. C_{ot} (#/L) and C_{it} (#/L) are the PNC outside and
 165 inside the fume hood at the time t . C_{ot} corresponds to the measurements of the aerosol
 166 spectrometer outside the fume hood. $C_{i(t+\Delta t)}$ (#/L) is the PNC inside the fume hood at the time
 167 $t + \Delta t$. C_{it} and $C_{i(t+\Delta t)}$ correspond to the measurements of the aerosol spectrometer inside the
 168 fume hood. $V = 1.09 \times 10^3$ (L) is the volume of the fume hood space. f_1 (L/s), $f_2 = 300$
 169 (L/s), $f_3 = 2 \times 10^{-2}$ (L/s), and f_4 (L/s) are the air flow rates entering the fume hood from
 170 outsides, exiting the fume hood, entering the aerosol spectrometer, and entering the fume
 171 hood from the reactor, respectively (Figure 1). We have $f_1 + f_4 = f_2 + f_3$. The PNC was

$$PNC_{it} = \left[\frac{(C_{i(t+\Delta t)} - C_{it}) V}{\Delta t} - f_1 C_{ot} + f_2 C_{it} + f_3 C_{it} \right] / f_4 \quad (2)$$

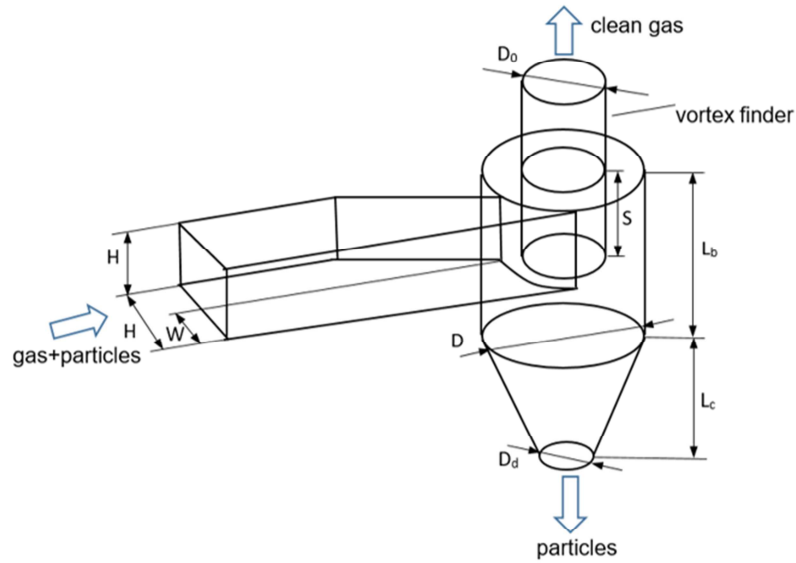
172 We do not consider particle deposition because it is negligible compared to the ventilation
 173 effect.

174

175 2.2 Gas cyclone design based on respiratory deposition modelling

176 Cyclones have been considered as an economical approach for particle removal in gasification
 177 systems. Different types of cyclones (e.g., Stairmand cyclone, Lapple cyclone, German Z
 178 cyclone, and Southern Research Institute (SRI) cyclones II and III) are available and
 179 differentiated in terms of their geometrical configuration. A schematic diagram of cyclone is
 180 given in Figure 2. In this work, we compared the particle removal efficiencies of existing
 181 cyclones with different configurations based on particle respiratory deposition. Sensitivity

182 analysis was conducted to identify the most effective design parameters. The results could be
 183 used to facilitate the design of cyclone for gasification and pyrolysis.



	C-20	C-25	C-30	C-35	C-40	C-45
D (mm)	20	25	30	35	40	45
Common parameters	$D/D_0=2.23, H/D=0.48, W/D=0.22, S/D=0.62, (L_b+L_c)/D=2, L_b/D=1, L_0/D=2$					

184

185 Figure 2. A schematic diagram of cyclone geometry and design parameters for different types of
 186 cyclones. (D: cyclone body diameter; D_0 : cyclone vortex finder diameter,; D_d : cyclone bottom
 187 diameter, H: height of cyclone inlet slit,; L_b : height of cylindrical part of cyclone,; L_c : height of
 188 conical part of cyclone,; S: vortex finder length,; W: width of cyclone inlet slit.)

189 2.2.1 Particle removal by cyclone

190 The removal efficiency of the cyclones could be fitted with a linear function (Lidén and
 191 Gudmundsson, 1997) as:

$$192 \quad \ln\left(\frac{E}{1-E}\right) = W_0 + W_1 Z \quad (3)$$

193 where the dimensionless parameter Z is calculated by

$$194 \quad Z = \frac{\sqrt{C_{cmd}(d_{ae})}d_{ae}}{\sqrt{C_{cmd}(d_{ae,50})}d_{ae,50}} - 1 \quad (4)$$

195 where $d_{ae} = d_e \left(\frac{\rho_p}{\rho_0 C_{cmd}(d_{ae})}\right)^{1/2}$ is the aerodynamic diameter with d_e being the equivalent

196 volume diameter and C_{cmd} being Cunningham correction factor, C_{cmd} is related to the

197 particle Knudsen number Kn and is calculated by

$$198 \quad C_{cmd} = 1 + Kn(A + B \times e^{-C/Kn}) \quad (5)$$

199 Where $Kn = \frac{2\lambda}{d_e}$, $A = 1.257$, $B = 0.40$, $C = 1.10$, and $\lambda = 80 \text{ nm}$ is the particle mean free
 200 path (Friedlander, 2000). $d_{ae,50}$ is the cut-off aerodynamic diameter and calculated by
 201 Muschelknautz's model (Hoffmann and Stein, 2002)

$$202 \quad d_{ae,50} = x_{fact,ae} \sqrt{\frac{18\mu_g(0.9Q_v)}{2\pi(\rho_p - \rho_g)v_{\theta CS}^2(L_b + L_c - S)}} \quad (6)$$

203 where L_b (m) is the height of cylindrical part of cyclone, L_c (mm) is the height of conical part
 204 of cyclone, $x_{fact,ae}$ is the correction factor and it normally falls within the range of 0.9~1.4.
 205 In this study $x_{fact,ae}$ was chosen to be 1.2. Q_v (L/min) is the volumetric flow rate. S (m) is
 206 the vortex finder length, μ_g (kg/(m·s)) is the gas dynamic viscosity. $v_{\theta CS}$ (m/s) is the spin
 207 velocity near the wall

$$208 \quad v_{\theta CS} = v_{\theta w}(D/D_0) / \left(1 + \frac{f S_f v_{\theta w} \sqrt{\frac{D}{D_0}}}{2Q_v}\right) \quad (7)$$

209 where $f = 0.314Re^{-0.25}$ is the wall friction factor (Karagoz and Avci, 2005). $Re =$
 210 $\frac{\rho_g u_{in}(D - D_0)/2}{\mu_g}$. D (m) is the cyclone body diameter, D_0 (m) is the cyclone vortex finder
 211 diameter. $v_{\theta w}$ (m/s) is the geometrical mean rotational velocity

$$212 \quad v_{\theta w} = u_{in} R_{in} / (\alpha R_m) \quad (8)$$

213 where u_{in} (m/s) is the average gas velocity at cyclone inlet. R_{in} (m) is the radial position of
 214 the centre of the inlet, $R_{in} = D/2 - W/2$. R_m (m) is the geometric mean radius. $R_m =$
 215 $\sqrt{(D/2)(D_0/2)}$. α is the first regression coefficient, $\alpha = 0.04$. S_f (m²) is the friction surface
 216 estimated by

$$217 \quad S_f = \frac{\pi}{4} [D^2 - D_0^2 + 4(DL_b + D_0S) + (D + D_d)\sqrt{4L_c^2 + (D - D_d)^2}] \quad (9)$$

218 with D_d (m) being the cyclone bottom diameter.

219

220 2.2.2 Respiratory deposition modelling

221 The cyclone design is based on particle respiratory deposition modelling. (You et al., 2017c)
222 summarized the existing particle deposition models (Chan et al., 1980; Cheng, 2003; Cohen
223 and Asgharian, 1990; Kim and Fisher, 1999; Kim and Iglesias, 1989; Zamankhan et al., 2006;
224 Zhang et al., 2008). As shown in Figure S1, the airways were divided into five stages for
225 modelling submicron particle deposition in the human respiratory system [oral (stage 1) and
226 nasal (stage 1) airways, trachea (stage 2), bronchial airways from B1 to B6 (stage 3) and from
227 B7 to B15 (stage 4), and the rest of airways (stage 5)]. For modelling supermicron particle
228 deposition in the human respiratory system, the airways were divided into four stages [oral
229 (stage 1) and nasal (stage 1) airways, trachea (stage 2), the bronchial airways from B1 to B19
230 (stage 3), and the rest of airways (stage 4)]. The boundary between submicron particles and
231 supermicron particles is defined as 1 micrometer. The compiled models and parameters for
232 particle respiratory deposition modelling is given in Table S1-S3 (please see the
233 Supplementary Material).

234

235 In this study, six types of commonly used cyclones (i.e. C-20, C-25, C-30, C-35, C-40, and C-
236 45) were compared in terms of their effects on mitigating particle respiratory deposition. The
237 corresponding design parameters of the cyclones are shown in Figure 2 (Sagot et al., 2017). A
238 case without cyclone was also considered for the purpose of comparison.

239

240 2.3 Sensitivity analysis

241 Parameter investigation was conducted to explore the relationships between the design
242 parameters (X/X_0 , where X means the ratio of design parameter to cyclone diameter D , X_0
243 means original ratio of design parameter to cyclone diameter D .) of cyclone geometry and the
244 particle removal efficiency of the cyclone. Each design parameter was investigated by varying

245 the parameter from 50% to 150% of its nominal value while keeping the rest of parameters
246 unchanged as nominal values.

247 **3 Results and Discussion**

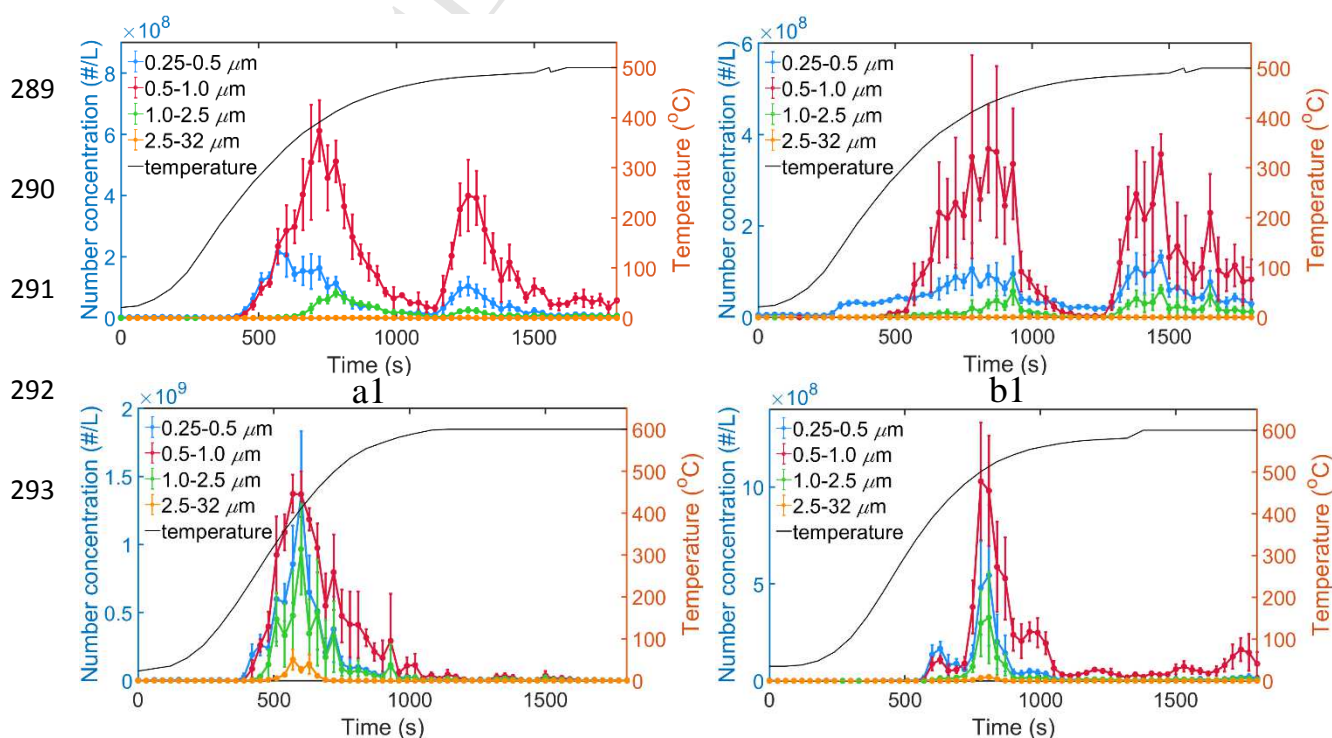
248 3.1 Particulate emission comparison between gasification and pyrolysis

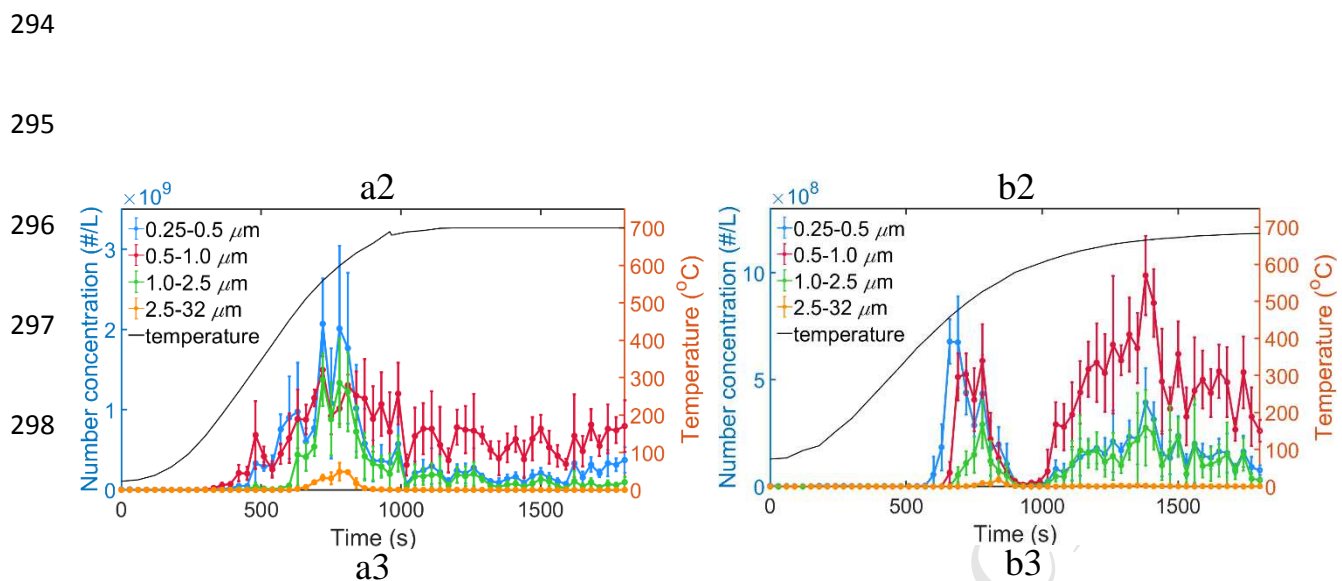
249 3.1.1 Particulate emission dynamics in terms of PNC_{it}

250 The variation of PNCs under different operating conditions is given in Figure 3. Generally,
251 PNCs increase by 3.4 times with temperature increasing from 500 °C to 700 °C. Particles
252 emitted from the gasification process are around 1.1 times more than particles emitted from
253 the pyrolysis process. The particles emitted from both the gasification and pyrolysis process
254 are mainly in the size range 0.25-1.0 μm , suggesting a potential exposure risk to intermediate-
255 mode particles (particles with sizes ranging from 0.1 μm to 1.0 μm (Kohli and Mittal, 2015)).
256 The results are consistent with the study by (Shiota et al., 2017) and most of the particles
257 emitted from a gasification plant were found to be less than 3 μm .

258
259 The emission-initiating temperatures (the temperature where explicit emissions are observed)
260 are around 200 and 400 °C for gasification and pyrolysis, respectively. The lower emission-
261 initiating temperature for gasification than that for pyrolysis should be related to the fact that
262 partial oxidation takes place in the gasification process with the existence of oxygen. The
263 effects of temperature on particulate emissions were investigated by several researchers. Nam
264 et al., (2010) studied the influence of ambient temperature on PM emissions from gasoline-
265 powered vehicles. They found that in general, particulate emissions doubled for every 20 °F
266 drop in ambient temperature. Nosek et al., (2014) analyzed the effects of primary combustion
267 air temperature on the heat performance and particulate emissions of burning biomass. No
268 obvious trend was observed on the particulate emissions with increasing primary combustion
269 air temperature. However, to the best of our knowledge, none of them has reported the
270 relationship between the initiation of particulate emission and temperature. The PNC profiles

271 generally exhibit a unimodal feature for the case of 600 °C for both gasification and pyrolysis,
 272 while the PNC profiles exhibit a bimodal feature for the cases of 500°C and 700 °C, which
 273 corresponds to two emission peaks for both the gasification and pyrolysis. At 500 °C, upon
 274 the initiation of the experiments, gasification or pyrolysis reactions take place and syngas is
 275 released together with fine particles, which leads to the first emission peak. As the reactions
 276 going on, solid organic material is converted into gaseous species and particle porosity
 277 increases gradually to such a level that further particle fragmentation takes place, which leads
 278 to the second emission peak. At 600 °C, porosity increases faster due to the relatively high
 279 temperature compared to the case of 500 °C, which causes the overlap of these two peaks. At
 280 700 °C, temperature is high enough and thermal stress will cause further breakage of the ash
 281 in the gasification process. For pyrolysis, particle fragmentation rate is relatively slow without
 282 the existence of oxygen. Hence, in the gasification process the particles continue to be emitted
 283 at lower rate after reaching the first emission peak but in the pyrolysis process the second
 284 emission initiates after a short period. Real-time particulate emission from incineration of
 285 solid waste under high temperature (>850 °C) was monitored (Derrough et al., 2013) and a
 286 small secondary emission peak was also observed . However, no explanation has been
 287 provided for this phenomena in the study.





300 Figure 3. The variation of nc_{it} under different operating conditions. (a1: gasification, 500°C;
 301 a2: gasification, 600°C; a3: gasification, 700°C; b1: pyrolysis, 500°C; b2: pyrolysis, 600°C; b3:
 302 pyrolysis, 700°C).

303

304 3.1.2 Particle size distributions

305 The size-dependent average particle number and volume concentrations of different cases are
 306 given in Figure 4a and 4b, respectively. The measured PNCs including all size ranges are
 307 1.92×10^8 , 3.90×10^8 , 1.09×10^9 , 1.59×10^8 , 1.68×10^8 , 4.57×10^8 #/L for the case of 500 °C
 308 gasification, 600 °C gasification, 700 °C gasification, 500 °C pyrolysis, 600 °C pyrolysis, and
 309 700 °C pyrolysis, respectively. The measured particle volume concentrations (PVCs)
 310 including all size ranges are 7.95×10^{-12} , 4.80×10^{-10} , 1.10×10^{-9} , 6.05×10^{-11} , 9.98×10^{-11} ,
 311 3.15×10^{-10} m³/L for the case of 500 °C gasification, 600 °C gasification, 700 °C gasification,
 312 500 °C pyrolysis, 600 °C pyrolysis, and 700 °C pyrolysis, respectively. Since higher operating
 313 temperature favors higher chemical reaction rates, both PNCs and PVCs increase with
 314 increasing temperature in both gasification and pyrolysis processes. In addition, gasification
 315 emits more particles compared with pyrolysis, mainly due to the factor that high-speed partial
 316 oxidation reactions take place in the gasification process, which serves to convert carbon from

317 solid phase to gas phase and causes more significant particle fragmentation and emission. For
318 the case of 700°C, the number concentration of particles emitted from gasification is 170%,
319 110 %, 161%, 772% higher than that emitted from pyrolysis for the size bins of 0.25 - 0.5,
320 0.5 - 1.0, 1.0 - 2.5, 2.5 - 32.0 μm , respectively. The particles with sizes ranging from 0.5 to 1.0
321 μm account for the biggest proportion of emitted particles in terms of number concentration,
322 which is 70.09%, 50.84%, 49.86%, 64.41%, 63.77%, 56.37% for the cases of 500°C
323 gasification, 600°C gasification, 700°C gasification, 500°C pyrolysis, 600°C pyrolysis, and
324 700°C pyrolysis, respectively. However, the particles with sizes ranging from 1.0 to 2.5 μm
325 account for the biggest proportion of emitted particles according to their size-dependent
326 volume concentrations, which is 39.60%, 51.94%, 58.14%, 43.08%, 53.16%, 65.29% for the
327 cases of 500°C gasification, 600°C gasification, 700°C gasification, 500°C pyrolysis, 600°C
328 pyrolysis, and 700°C pyrolysis, respectively. These results suggest a great concern about
329 exposure to intermediate-accumulation-mode particles (particles with sizes ranging from 0.1
330 μm to 2.5 μm (Kohli and Mittal, 2015)). A bimodal particle size distribution at 700°C
331 incineration was observed and it was found that the maximum number concentration being at
332 about 80 nm and the minor one at 40 nm (Maguhn et al., 2003). The incineration process
333 should be able to emit particles smaller than that from gasification and pyrolysis, because it
334 could provide enough oxygen to convert biomass to finer particles by a higher temperature.
335 Similarly, the $\text{PM}_{2.5}$ emitted from incineration was found to consist of about 99% of
336 submicrometer sized particles and about 65% of ultrafine particles were $\text{PM}_{0.1}$ (Buonanno et
337 al., 2009). The normalized particle number size distributions in dN/dlogDp and particle
338 volume size distributions in dV/dlogDp are shown in Figure S2 in the Supplementary
339 Material.

340

341

342

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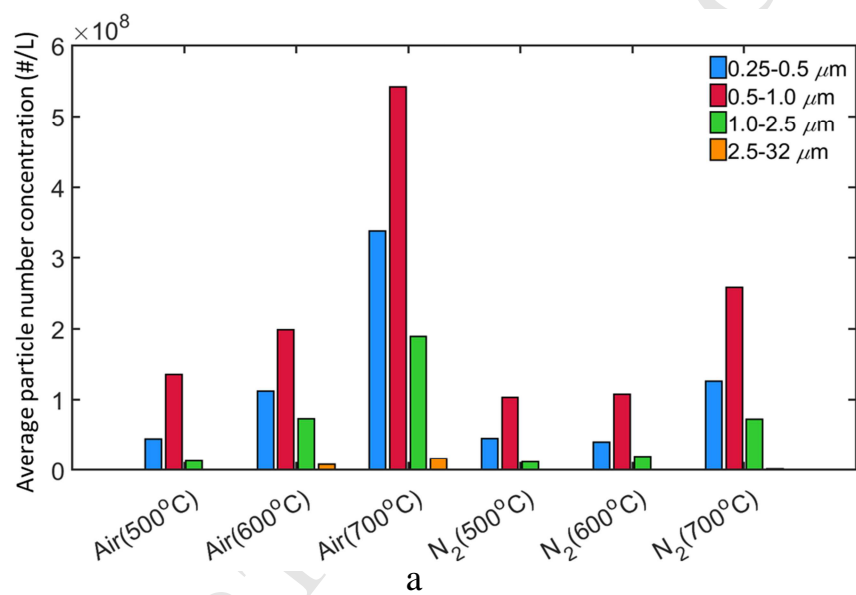
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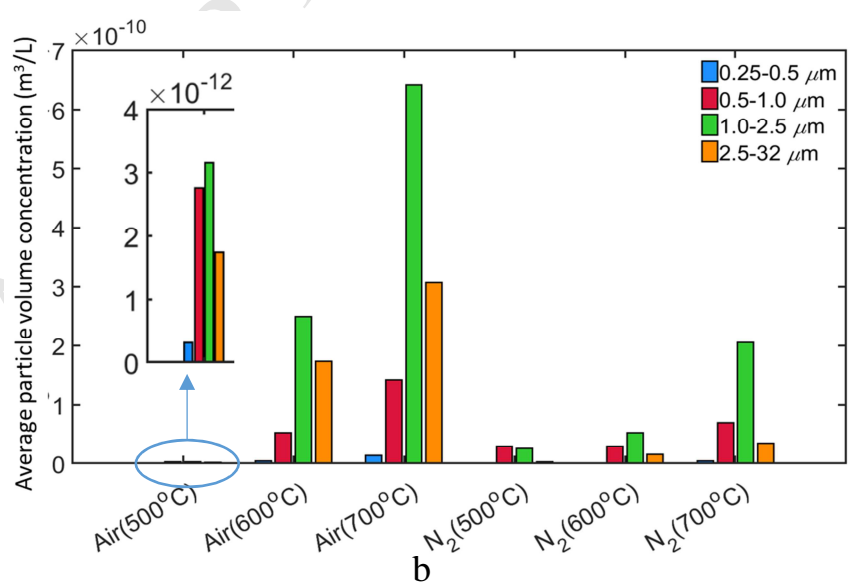
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Figure 4. a: Size-dependent average PNCs under different reaction conditions.
 b: Size-dependent average PVCs under different reaction conditions.

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355 3.1.3 Particle deposition on human respiratory system

356 Figure 5 shows the results of particle number deposition modes in the human respiratory
357 system. The particle number size distributions (PNSDs) are calculated by assuming that all
358 the emitted particles are directly inhaled by human respiratory system. It was found that most
359 particles could penetrate deeply into the last stage of the respiratory system, *i.e.*, the 5th and
360 4th stages for submicron particles and supermicron particles, respectively. At the nasal
361 breathing mode, particles with sizes ranging from 0.25 to 1.0 μm account for around 91%,
362 74%, 76%, 90%, 84%, and 79% of the total number of particles that deposit onto the last
363 stage in the cases of 500 °C gasification, 600 °C gasification, 700 °C gasification, 500 °C
364 pyrolysis, 600 °C pyrolysis, and 700 °C pyrolysis, respectively. At the oral breathing mode,
365 particles with sizes ranging from 0.25 to 1.0 μm account for around 92%, 77%, 79%, 91%,
366 86%, and 81% of the total number of particles that deposit onto the last stage in the six cases,
367 respectively. The trend of particle deposition through nasal and oral breathing modes is
368 roughly the same. However, significant more submicron particles deposit onto the first stage
369 for a nasal breathing mode than an oral breathing mode, which indirectly causes around 10.8%
370 more submicron particles to penetrate deeply to the last stage for the oral breathing mode.
371 Considering that the greatest health concern is related to the deposition of particles into the
372 deep lung system (Casseo et al., 2002; Ferin et al., 1990), the nasal breathing mode could
373 potentially serve to mitigate human exposure to aerosols. The detailed data of PNSDs in the
374 human respiratory system is listed in Table S4 in the Supplementary Material. Table S5 lists
375 the results of particle volume distributions in the human respiratory system. By taking into
376 account of particle volumes, particles with sizes ranging from 1.0 to 2.5 μm account for the
377 biggest proportion of the total volume of particles that deposit onto the last stage of human
378 respiratory system, which is different from the results of particle number deposition. At the

379 nasal breathing mode, particles with sizes ranging from 1.0 to 2.5 μm account for around 53%,
 380 55%, 62%, 58%, 63%, and 74% of the total volume of particles that deposit onto the last stage
 381 in the cases of 500 °C gasification, 600 °C gasification, 700 °C gasification, 500 °C pyrolysis,
 382 600 °C pyrolysis, and 700 °C pyrolysis, respectively. At the oral breathing mode, particles
 383 with sizes ranging from 1.0 to 2.5 μm account for around 51%, 55%, 62%, 55%, 62%, and 73%
 384 of the total volume of particles that deposit onto the last stage in the six cases, respectively.

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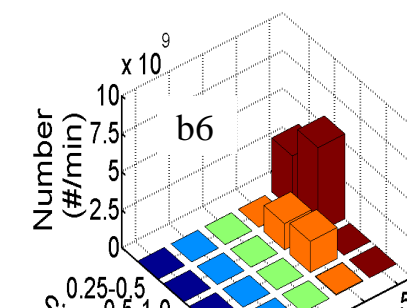
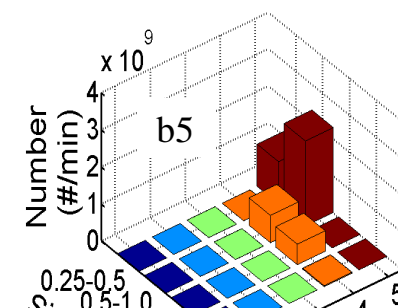
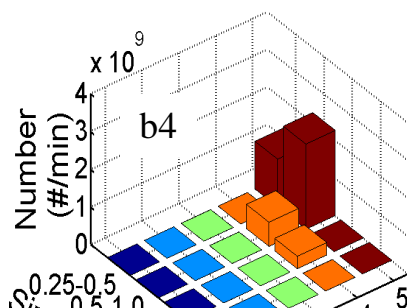
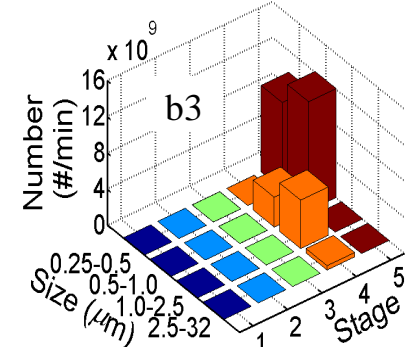
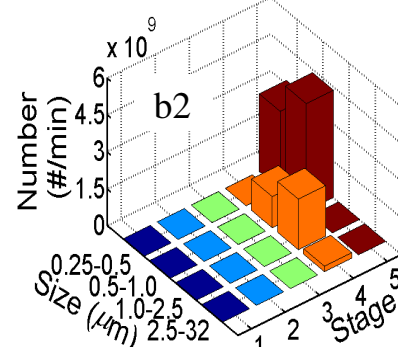
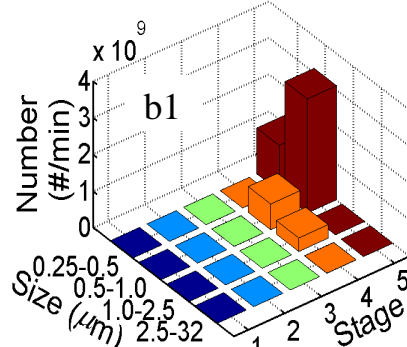
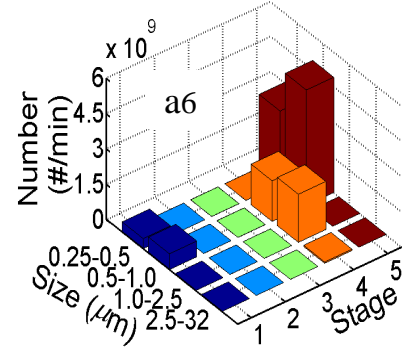
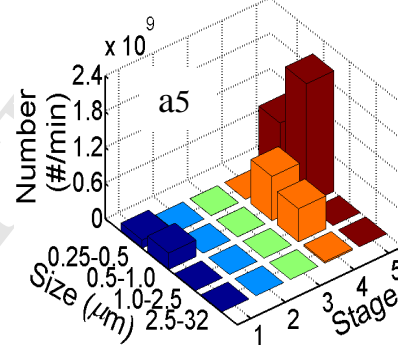
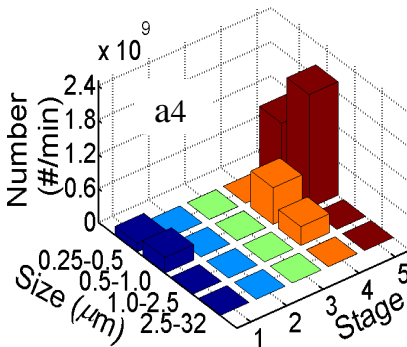
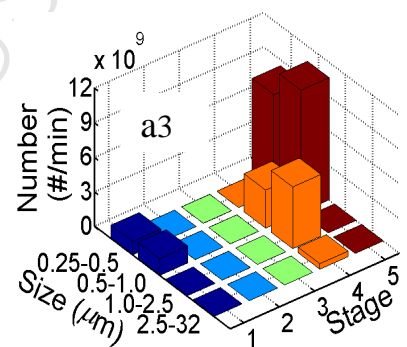
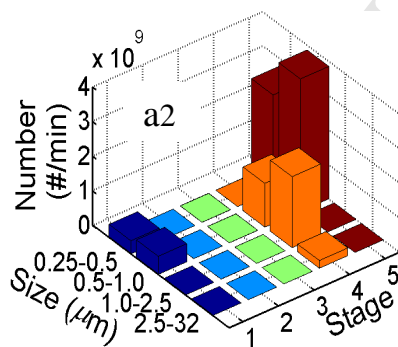
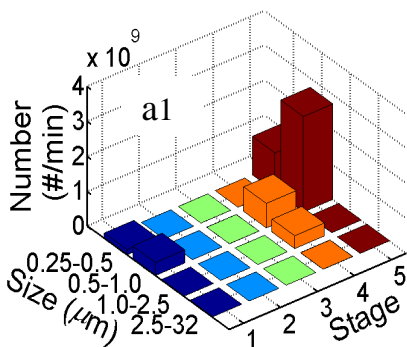
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Figure 5. Particle deposition on human respiratory system through:
Nasal airway: a1: gasification, 500°C; a2: gasification, 600°C; a3: gasification, 700°C; a4:
pyrolysis, 500°C; a5: pyrolysis, 600°C; a6: pyrolysis, 700°C;
Oral airway: b1: gasification, 500°C; b2: gasification, 600°C; b3: gasification, 700°C; b4:
pyrolysis, 500°C; b5: pyrolysis, 600°C; b6: pyrolysis, 700°C.

3.2 Comparison of cyclone removal efficiency based on respiratory modelling

424 Particle-removal ability of cyclone was investigated based on the results of particle deposition
425 modelling. The removal efficiency of particle number was calculated as the following:

$$426 \quad e_n = \frac{\sum_i PN_{oi} - PN_{ci}}{\sum_i PN_{oi}} \quad (10)$$

427 The removal efficiency of particle volume is calculated as the following:

$$428 \quad e_v = \frac{\sum_i (PN_{oi} \times V_{oi} - PN_{ci} \times V_{ci})}{\sum_i (PN_{oi} \times V_{oi})} \quad (11)$$

429 where PN_{oi} (#/min) is the number of particles within size range i deposited on the human
430 respiratory system in the case without a cyclone, V_{oi} ($m^3/\#$) is the volume of a particle within
431 size range i deposited on the human respiratory system in the case without a cyclone. PN_{ci}
432 (#/min) is the number of particles within size range i deposited on the human respiratory
433 system after the exhaust gas passing through a cyclone. V_{ci} ($m^3/\#$) is the volume of a particle
434 within size range i deposited on the human respiratory system after the exhaust gas passing
435 through a cyclone. The particle removal efficiencies in different stages of human respiratory
436 system were calculated by assuming a flowrate of 52 L/min and the results are shown in
437 Figure 6. Compared with submicron particles, supermicron particles could be more
438 effectively removed by the cyclones. In all the cases, the removal efficiency of submicron
439 particle number reaches its peak at the last stage of human respiratory system, while the
440 removal efficiency of supermicron particle number reaches its peak at the stage of Bronchial

441 airways. At each stage in the respiratory system, the removal efficiency of particle number
442 decreases with the cyclone diameter (D) increasing from 20 mm to 45 mm. At the nasal
443 breathing mode, the average removal efficiency of submicron particle number among all the
444 stages of human respiratory system is 15.16%, 4.41%, 1.90%, 1.07%, 0.70% and 0.51% by
445 cyclone C-20, C-25, C-30, C-35, C-40 and C-45, respectively, in the gasification process. The
446 average removal efficiency of supermicron particle number among all the stages of human
447 respiratory system is 90.13%, 68.92%, 47.56%, 28.93%, 15.65% and 8.09% by cyclone C-20,
448 C-25, C-30, C-35, C-40 and C-45, respectively, in the gasification process. The average
449 removal efficiency of submicron particle number among all the stages of human respiratory
450 system is 15.83%, 4.54%, 1.94%, 1.08%, 0.71%, 0.51% by cyclone C-20, C-25, C-30, C-35,
451 C-40 and C-45, respectively, in the pyrolysis process. The average removal efficiency of
452 supermicron particle number among all the stages of human respiratory system is 86.35%,
453 58.60%, 34.71%, 18.23%, 9.07%, 4.80% by cyclone C-20, C-25, C-30, C-35, C-40 and C-45,
454 respectively, in the pyrolysis process. Similar trends could be observed in Figure S3, which
455 shows the removal efficiencies of particle volume in each stage of the human respiratory
456 system as a function of cyclone diameter.

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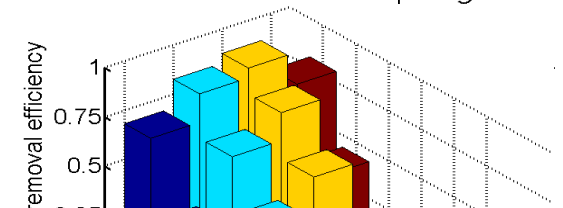
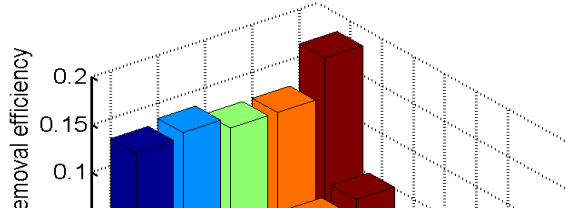
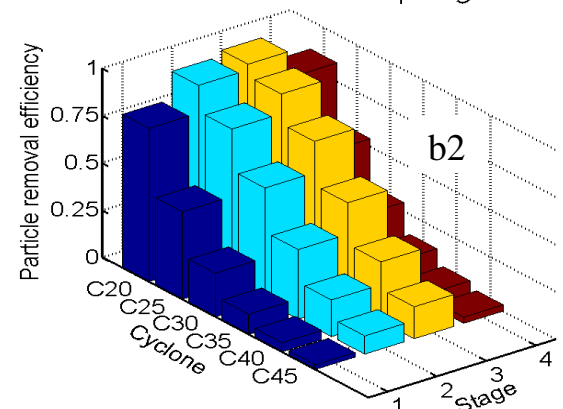
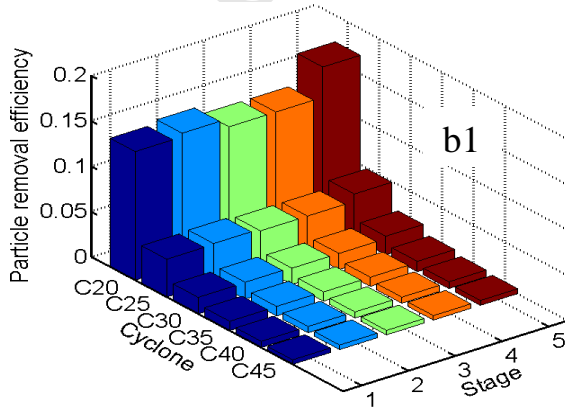
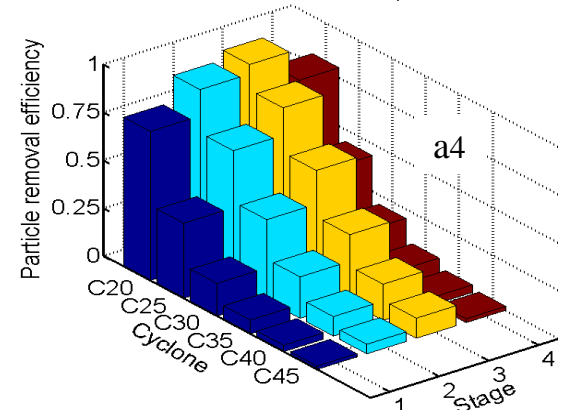
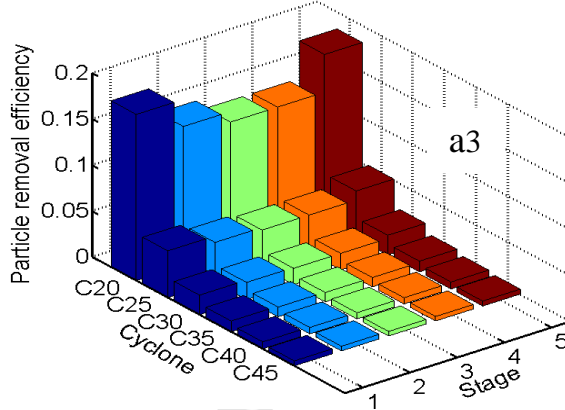
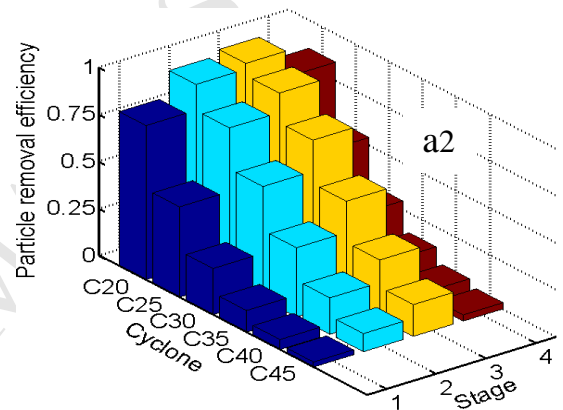
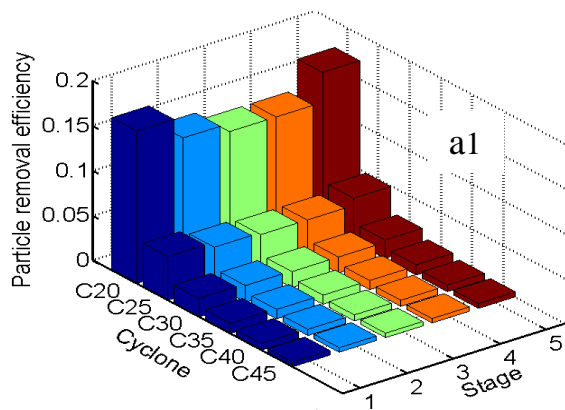
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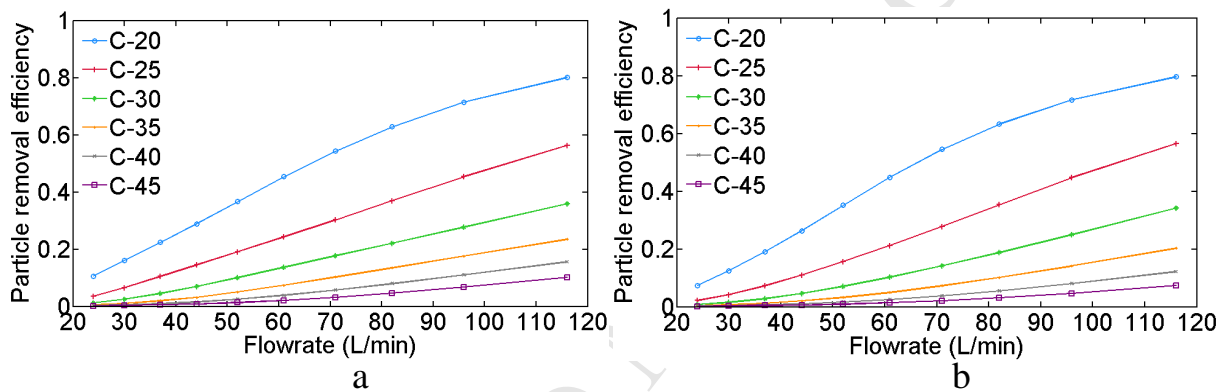
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Figure 6. Removal efficiency of particle number in different stages of human respiratory system: Nasal breathing model: a1: sub-micro particles (gasification), a2: microparticles (gasification), a3: sub-micro particles (pyrolysis), a4: micro particles (pyrolysis); Oral breathing model: b1: sub-micro particles emitted (gasification), b2: microparticles (gasification), b3: sub-micro particles (pyrolysis), b4: microparticles (pyrolysis). We present in Figure 7 the removal efficiencies of particle number for different cyclones as a function of the flow rate. Although the total reduced number of submicron particles associated with the gasification process is double that associated with the pyrolysis process, the removal efficiencies of particle number for different cyclones show the similar trends in the cases of both gasification and pyrolysis. For the gasification process, with the flow rate increasing from 24 to 116 L/min, the removal efficiency of particle number increases from 10.64%, 3.60%, 1.23%, 0.54%, 0.31% and 0.21% to 80.01%, 56.33%, 35.94%, 23.41%, 15.54% and 10.17% for cyclone C-20, C-25, C-30, C-35, C-40 and C-45, respectively. For the pyrolysis process, with the flow rate increasing from 24 to 116 L/min, the removal efficiency of particle number increases from 7.39%, 2.17%, 0.80%, 0.41%, 0.26% and 0.18% to 79.59%, 56.51%, 34.19%, 20.27%, 12.18% and 7.35% for cyclone C-20, C-25, C-30, C-35, C-40 and C-45, respectively. For the practical applications, C-20 has the best particle removal ability. The results suggest that increasing flowrate has a positive effect on the particle removal efficiency of the cyclone, while increasing cyclone diameter has a negative effect on the particle removal efficiency of the cyclone. Similar results were found by (Sagot et al., 2017). An increase of the particle removal efficiency with the increase of the flow rate was attributed to the stronger centrifugal force created by the higher flowrates. A reduction of the particle removal efficiency with the increase of the cyclone diameter was because of the fact that reducing

524 cyclone diameter could lead to an increase of the internal velocity, thus producing higher
 525 centrifugal forces and improving the particle removal efficiency. The removal efficiencies for
 526 submicron and supermicron particles were plotted separately in Figure S4 in the
 527 Supplementary Material. The removal efficiencies for supermicron particles are higher
 528 compared to submicron particles because removal
 529 efficiency generally increases with increasing particle size, which is also inherent to the
 530 calculation of cyclone efficiency.
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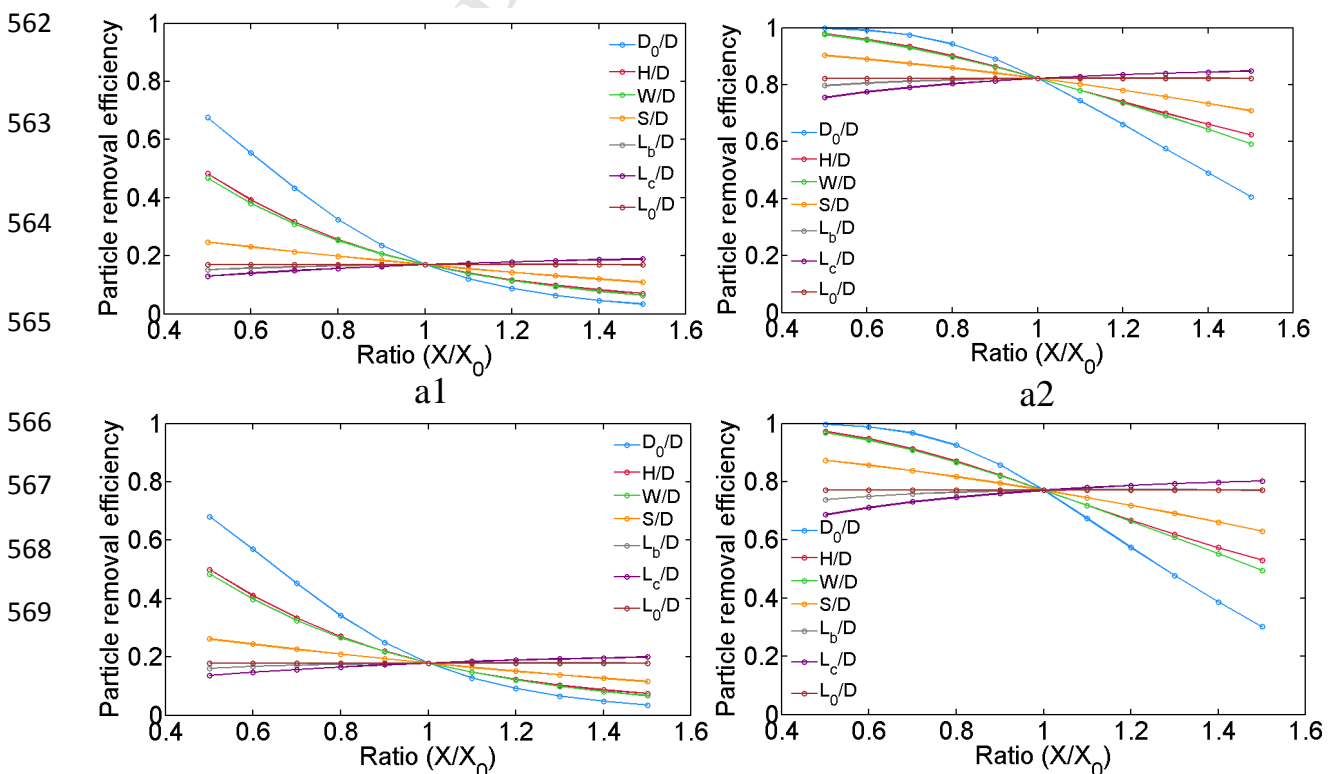
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533 Figure 7. Removal efficiency of particle number of different cyclones under different air
 534 flowrate. (a: gasification, b: pyrolysis).
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536 3.3 Sensitivity analysis

537 The effects of design parameters on the removal efficiency of particle number are shown in
 538 Figure 8. Both gasification and pyrolysis show the similar trends of the removal efficiency of
 539 particle number under different design parameters of the cyclone. For the gasification process,
 540 the removal efficiency of submicron particles decreases from 67.49%, 48.25%, 46.70%, and
 541 24.77% to 3.35%, 7.06%, 6.35%, and 10.95%, as X/X_0 increases from 0.5 to 1.5 for the cases
 542 of D_0/D , H/D , W/D and S/D , respectively, which means that increasing D_0 , H , W and S has a
 543 negative effect on the removal efficiency. Although the removal efficiency of submicron
 544 particles increases from 15.25%, 12.98% and 16.94% to 16.91%, 18.90%, and 16.94%, as
 545 X/X_0 increases from 0.5 to 1.5, for L_b/D , L_c/D , and L_0/D , respectively, L_b , L_c , and L_0 have

546 negligible effect on the cyclone removal efficiency, as they generally fall along the horizontal
 547 line. Hence, the variation of L_b , L_c , and L_0 may have a limited effect on changing cyclone
 548 removal efficiency. The similar trends could be observed in the removal efficiencies of
 549 supermicron particles under different design parameters. However, the removal efficiency of
 550 supermicron particles is significantly higher than that of submicron particles. Among these
 551 seven parameters, the particle removal efficiency is found to be most sensitive to D_0 , which
 552 suggests that the most effective way of modifying the cyclone design is on the change of D_0 .
 553 Similarly, reducing D_0 has the most significantly positive effect on reducing particle volume
 554 deposition in the human respiratory system, as shown in Figure S5 in the Supplementary
 555 Material. In addition, as the value of D_0/D decreases, the slope of D_0/D tends to remain
 556 constant for submicron particles, while the slope of D_0/D tends to increase for supermicron
 557 particles. The results show that there is still a large potential of removing submicron particles
 558 from the system by decreasing D_0/D . However, decreasing D_0/D would reach its limit of
 559 reducing deposited supermicron particles because the removal efficiency of supermicron
 560 particles is approaching 100%.



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Figure 8. Removal efficiency of particle number under different design parameters:
a1: submicron particle (gasification); a2: supermicron particle (gasification);
b1: submicron particle (pyrolysis); b2: supermicron particle (pyrolysis).

578 4 Conclusions

579 In this work, we characterized the particulate emission potential of the gasification and
580 pyrolysis process. We compared the particle removal efficiencies of existing cyclones with
581 different configurations based on particle respiratory deposition. Sensitivity analysis was
582 conducted to identify the most effective design parameters. Generally, PNCs increase by 3.4
583 times with temperature increasing from 500 °C to 700 °C. Particles emitted from the
584 gasification process are around 1.1 times more than particles emitted from the pyrolysis
585 process. The particles emitted from both gasification and pyrolysis process are mainly
586 particles within the size range 0.25-1.0 μm and particles within the size range 1.0-2.5 μm . The
587 emission-initiating temperatures for gasification and pyrolysis were found to be around 200
588 and 400 °C, respectively. The PNC profiles generally exhibit a single mode feature for the
589 case of 600 °C for both gasification and pyrolysis, while the PNC profiles exhibit a bimodal
590 feature for the case of 500 and 700 °C, respectively. Particle respiratory deposition modelling
591 showed that most particles penetrate deeply into the last stage of the respiratory system, *i.e.*
592 the 5th and 4th stages for submicron particles and supermicron particles, respectively. To
593 minimize total particle number deposited onto the human respiratory system, gas cyclone
594 diameter (D) should be small. Sensitivity analysis showed that the cyclone collection
595 efficiency was found to be most sensitive to D_0 , which suggests that the most effective way of
596 modifying cyclone design would be the one associated with changing D_0 . The results from
597 this study could not only provide information for setting up the emission standards for

598 gasifiers, but also serve as the basis for controlling the particulate pollution from gasification
599 and pyrolysis technologies.

600

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607

608 **Appendix A. Supplementary Material**

609 Additional Data could be found in the Supplementary Material.

610

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- Particulate emissions of gasification and pyrolysis are compared.
- The particles from gasification and pyrolysis are mainly $PM_{0.25-1.0}$ and $PM_{1.0-2.5}$.
- Most particles penetrate deeply into the last stage of the respiratory system.
- A particle respiratory deposition-based cyclone design scheme is proposed.
- The cyclone vortex finder diameter is the most sensitive design parameter.