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Original Citation:

Availability:

This version is available at: 11577/3273691 since: 2020-01-09T14:18:50Z

Publisher:

Published version:

DOI: 10.1130/focus032018.1

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1 Trapped minerals under stress

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6 Learning how to read in rocks the record of the physical conditions in which they
7 formed has allowed earth scientists to address important geological questions, which can
8 be as diverse as, say, the conditions of crystallization of phenocrysts in a lava, the
9 **pressure-temperature (P - T)** path of a tectonic unit in an orogen, the stratigraphy and
10 thermal state of a mantle section represented by a xenolith suite, or the depth of origin of
11 a diamond found in a placer. This has been essential to our understanding of, for instance,
12 plumbing systems beneath volcanos (e.g., Dahren et al., 2012), the processes of
13 subduction–exhumation at convergent margins (e.g., Platt, 1986), the geochemical
14 heterogeneity of the lithospheric mantle (O'Reilly and Griffin, 2006), or the deep carbon
15 cycle (Shirey et al., 2013). Several thermobarometric tools have been developed to
16 estimate P and T for a variety of geological materials. However, some materials are more
17 challenging than others: high-variance mineral assemblages may be stable over large
18 ranges of P - T conditions; suitable geothermobarometers based on chemical equilibria
19 may not be available for some mineral associations; mineralogical resetting during, for
20 instance, metamorphism may obliterate earlier assemblages and, consequently, part of the
21 P - T record; **and** incomplete equilibration and overstepping of reactions (Spear et al.,
22 2014) may limit the reliability of P - T estimates.

23 In some cases, mineral inclusions in other minerals can be used to obtain
24 thermobarometric information that would not be retrieved otherwise. Significant
25 examples are inclusions of mantle minerals in kimberlite-borne diamonds (Stachel and
26 Harris, 2008) and relicts of ultrahigh- P minerals such as coesite or diamond in other
27 metamorphic minerals (e.g., Smith, 1984; Sobolev and Shatsky, 1990). However, using
28 inclusions as petrologic markers is not free from ambiguities. In fact, **because** the
29 inclusion and host have different compressibilities and thermal expansions, after the
30 entrapment the two minerals will follow distinct P - T paths, causing departures from the
31 lithostatic pressures of up to several **gigapascals** at the mineral grain scale (Gillet et al.,
32 1984; Van der Molen and van Roermund, 1986; Guiraud and Powell, 2006; Angel et al.,
33 2015).

34 Although non-lithostatic pressures may complicate the thermobarometric analysis
35 of rocks, they may also become a resource to petrologists. When a host-inclusion pair
36 formed at high P - T is exhumed to the surface, a residual P , P_{inc} , may develop on the
37 inclusion as a result of the two minerals having different elastic properties (Rosenfeld and
38 Chase, 1961). The P_{inc} can be measured by determining the shift of Raman peaks (Kohn,
39 2014), by measuring birefringence changes in the host around the inclusion (Howell et
40 al., 2010), by comparing *in situ* X-ray diffraction data (unit cell volume) on the inclusion
41 with data obtained in air (Harris et al., 1970), or by combining *in situ* X-ray diffraction
42 data (unit cell volume and chemistry) with the appropriate elastic properties at high P of
43 the same mineral (Nestola et al., 2011). If the elastic properties of the inclusion and host
44 are known, and the entrapment T is also known (or the thermal expansions are similar),
45 then the P at entrapment, P_{trap} , can be calculated back from P_{inc} using elasticity theory.

46 On this basis, a method of ‘elastic thermobarometry’ has been developed for some
47 specific and more general cases (Adams et al., 1975; Cohen and Rosenfeld, 1979; Gillet
48 et al., 1984; Zhang, 1998). A strength of this method is that it is totally independent of
49 chemical equilibrium. However, it requires several assumptions to be satisfied: (1)
50 interactions between inclusion and host are purely elastic; (2) host and inclusion are
51 elastically isotropic; (3) the inclusion is spherical and elastically isolated from any other
52 inclusion or host surface; **and** (4) on entrapment, the inclusion fits the host cavity
53 perfectly. Many of these requirements are not generally met in rocks. Brittle or plastic
54 deformation in the host after entrapment may lead to non-elastic relaxation of P_{inc} ,
55 causing underestimation of P_{trap} . Although a few minerals can be treated as being
56 elastically isotropic (e.g., garnet is practically so, diamond is so stiff that its moderate
57 anisotropy can generally be neglected), many others are strongly anisotropic and will
58 develop deviatoric stresses during their route to **the** surface. This may be a particularly
59 serious problem if P_{inc} is derived by using techniques that are sensitive to anisotropic
60 stress, such as Raman spectroscopy (e.g., Briggs and Ramdas, 1977). Finally, the
61 geometry and distribution of the inclusions are often far from ideal.

62 In recent years, attempts have been made to improve and extend the applicability
63 of elastic thermobarometry. The main efforts have been aimed at reducing the number of
64 assumptions or evaluating the effects of deviations from ideal cases. Angel et al. (2014)
65 introduced a method to calculate P_{trap} **that** avoids the assumption of linear elasticity (i.e.,
66 that the elastic properties do not change with P or T), a common, but unwarranted pre-
67 requisite in all previous studies. The paper by Mazzucchelli et al. (2018) in this issue of
68 *Geology* addresses another critical issue: the *geometric effects*. As discussed in the paper,

69 geometric effects are important because they affect the force balance at the interface
70 between host and inclusion. This balance causes deformation in the host and partial
71 relaxation of the P that has built up on the inclusion. Quantification of this relaxation is
72 an essential part of elastic thermobarometry (cf. Zhang, 1998; Angel et al., 2014). By
73 using finite-element modeling, Mazzucchelli et al. have quantified the magnitude of
74 geometric effects on the final P_{inc} and, in turn, on the calculation of P_{trap} . Numerical
75 simulations have been made for inclusions with various shapes, inclusion/host size ratios,
76 and proximity to host external surfaces. The results indicate that for stiff inclusions in
77 soft hosts (e.g., kyanite in feldspar, diamond in garnet), neglecting geometric effects may
78 lead to significant overestimation of P_{trap} , but that for soft inclusions in stiff hosts (e.g.,
79 quartz in garnet or any mineral in diamond) these effects are often insignificant.
80 Mazzucchelli et al. have provided practical guidelines that help one to decide whether
81 this is the case for the particular inclusion-host system under study. In all other instances,
82 reliable elastic thermobarometry can only be performed through numerical modeling on a
83 case-by-case basis.

84 The work of Mazzucchelli et al. is a welcome advance toward a more robust
85 application of elastic thermobarometry, but several aspects of the method still require
86 investigation. For example, Mazzucchelli et al. still assume isotropic elasticity. This may
87 be a reasonable approximation for some minerals, but what happens when the method is
88 applied to elastically very anisotropic minerals such as olivine, feldspars, or coesite?
89 Also, correct calculation of P_{trap} from P_{inc} strongly relies on the quality of the elastic
90 parameters of the minerals involved (i.e., thermal expansion coefficients, bulk modulus
91 and its P - T derivatives, and, for the host, shear modulus), which is the subject of ongoing

92 research (e.g., Angel et al., 2017). The recent finding of fluids surrounding solid
93 inclusions in diamonds (Nimis et al., 2016) even challenges the commonly accepted
94 assumption that mineral inclusions completely fill the host cavity. These fluids are not
95 easy to detect and have remained unnoticed for decades. Are these fluid rims solely
96 present in inclusions in diamonds or do they occur also in other types of inclusion-host
97 systems? And what is their ultimate effect on P_{inc} ? Finally, the development of over-
98 pressures in the inclusion during the exhumation path may lead to fracturing or plastic
99 deformation in the host. Whereas fractures can generally be easily detected under an
100 optical microscope, studying the effects of plastic deformation requires more
101 sophisticated techniques such as transmission electron microscopy or electron backscatter
102 diffraction (e.g., Cayzer et al., 2008). Plastic deformation is favored at high T , and some
103 minerals are more resistant than others to this mechanism, but the times required to
104 remove the inclusion stress are quite uncertain (Dabrowski et al., 2015); then for what
105 conditions and for what types of inclusion-host systems can we reasonably ignore its
106 effects on the final P_{inc} ? One of the merits of Mazzucchelli et al.'s paper is that it finally
107 defines the conditions under which geometric effects can safely be neglected. If these
108 conditions are satisfied and discrepancies between elastic and conventional
109 thermobarometry still occur, then the reason may indeed be found in some of the above
110 questions. Further numerical modeling and dedicated experiments will hopefully provide
111 solutions to some of these problems in the future.

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