# Factors associated with short-term changes in HIV viral load and CD4<sup>+</sup> cell count in antiretroviral-naive individuals

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**Objectives:** Among antiretroviral therapy (ART)-naive individuals, viral load levels tend to increase and CD4<sup>+</sup> cell counts decline over time. We sought to explore the rate of change and influence of other factors associated with these markers of HIV progression.

Design: An observational cohort collaboration study.

**Methods:** A total of 158385 pairs of consecutive viral load and CD4<sup>+</sup> cell count simultaneously measured from 34384 ART-naive individuals in the COHERE database were analysed. Annual changes and factors associated with these changes were estimated using generalized estimating equations.

**Results:** Viral load continued to rise at a mean [95% confidence interval (CI)] rate of 0.091 (0.086–0.096) log<sub>10</sub> copies/ml per year. A faster rise in viral load was significantly associated with older age, such that for every 10 years older, it was a mean 0.022 log<sub>10</sub> copies/ml per year greater. The mean (95% CI) CD4<sup>+</sup> cell count change was –78.0 (–80.1 to –76.0) cell/µl per year and it was strongly associated with a higher current viral load: for every 1 log<sub>10</sub> copies/ml higher, CD4<sup>+</sup> cell count declined by an additional 37.6 cells/µl per year (P<0.001). Current viral load was a stronger predictor of CD4<sup>+</sup> cell count depletion than baseline viral load. Neither sex, race nor transmission by injecting drug use was associated with change in either the viral load or CD4<sup>+</sup> cell count.

**Discussion:** We found that in ART-naive individuals, viral load continues to increase over time and more sharply in those who are older. Our results also suggest that higher current viral load is strongly associated with ongoing rate of CD4<sup>+</sup> cell count depletion. © 2014 Wolters Kluwer Health | Lippincott Williams & Wilkins

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## Introduction

HIV infection in antiretroviral therapy (ART)-naive individuals is typically characterized by a rise in plasma

HIV RNA (viral load) and a decline in  $CD4^+$  cell count. If left untreated, this eventually leads to opportunistic infections, development of AIDS and AIDS-related deaths [1–4]. Although viral load and  $CD4^+$  cell count

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are well established prognostic markers of HIV disease progression [2,5,6], some uncertainties remain over the rate of change of viral load prior to starting ART and the relationship with change in CD4<sup>+</sup> cell count [7]. A full description of HIV natural history in terms of these markers is important because these are used to guide clinical decisions such as timing of ART initiation [7]. Furthermore, comprehensive data on viral load and CD4<sup>+</sup> cell count changes are necessary to inform the structure and parameterization of mathematical models of HIV [8,9]. Here, we generate more precise estimates relating to factors associated with short-term pre-ART changes in viral load and CD4<sup>+</sup> cell count in a large cohort collaboration.

## Methods

#### Study population

The Collaboration of Observational HIV Epidemiological Research Europe (COHERE) is a collaboration of 36 HIV cohorts within the EuroCoord (www.Euro-Coord.net) network [10].

#### Statistical methods

All available viral load and CD4<sup>+</sup> cell count measurements from HIV-positive adults (aged >16 years) participating in the COHERE study measured prior to ART initiation were considered. We included pairs of consecutive viral load and CD4<sup>+</sup> cell count values measured between 60 and 365 days apart. The time of the first measurement of the pair is termed  $t_0$  and the second,  $t_1$ . Viral load measurements were required to be measured within 1 week of each of the two CD4<sup>+</sup> cell count measurements. Three consecutive viral load and CD4<sup>+</sup> cell count measurements were required per individual for study inclusion, so that the measurement taken prior to the pair (at time  $t_{-1}$ ) could be included as an additional covariate in the model to minimize biases due to regression to the mean. Pairs were excluded if the difference between consecutive viral load measurements was greater than 0.8  $\log_{10}$  copies/ml (due to suspected data errors related to unrecorded ART-use) or if CD4<sup>+</sup> cell count at  $t_0$  was less than 100 cells/µl (because there is less scope for decline).

Factors associated with short-term viral load and CD4<sup>+</sup> cell count changes were evaluated using linear regression with an autoregressive correlation structure and generalized estimating equations to take into account repeated measures per individual. The response variable was the annualized change in measurement, that is, [(measurement at  $t_1$  – measurement at  $t_0$ ) × 365]/( $t_1$  –  $t_0$ ). Covariates of interest were current viral load (only in CD4<sup>+</sup> cell count change analysis), current CD4<sup>+</sup> cell count (only in viral load change analysis), current age, sex, race and whether likely route of HIV transmission was injection

drug use (IDU-transmission). 'Current' was defined as at  $t_0$ .

In a subanalysis, we investigated the effect of baseline viral load and current viral load on  $CD4^+$  cell count changes. Baseline for each patient was defined as the first date on which both viral load and  $CD4^+$  cell count were available. In this subanalysis, pairs of  $CD4^+$  cell counts were included only if viral load at  $t_0$  was measured at least 1 year from baseline.

The sensitivity of results was first assessed by fitting mixed models. In another sensitivity analysis, calendar year (linearly and using 5-year categories) was included as an additional covariate. The CD4<sup>+</sup> cell count models used the absolute scale for CD4<sup>+</sup> cell count for ease of interpretation, but we also considered CD4<sup>+</sup> cell count transformed to the square root scale (wherein the assumption of normality is more likely to hold). As the inclusion criteria would result in inclusion of fewer pairs from individuals started on ART earlier (i.e. fast progressors), we carried out a further sensitivity analysis in which only the first pair per person was included. Finally, we excluded pairs of observations in which the difference between consecutive viral load measurements was greater than 1.5  $\log_{10}$  copies/ml (as opposed to 0.8  $\log_{10}$  copies/ml in the main analysis).

All analyses were performed using SAS software, Version 9.3 (SAS Institute Inc., Cary, North Carolina, USA).

## Results

#### **Descriptive analyses**

We included 34 384 individuals who contributed 158 385 pairs of viral load and corresponding CD4<sup>+</sup> cell count measurements between 1984 and 2011. The median number of pairs of observations per person was three (interquartile range, IQR: 2–7). The median time between consecutive viral load and CD4<sup>+</sup> cell count measurements was 4 (3–5) months. At baseline, median age, viral load and CD4<sup>+</sup> cell count were 34 (28–40) years, 4.0 (2.7–4.7) log<sub>10</sub> copies/ml and 477 (362–625) cells/µl, respectively. Demographic characteristics of individuals were as follows: 73% male, HIV transmission route: MSM (47%), heterosexual (34%), IDU (12%), other/unknown (7%) and race: black (5%), white (34%) and other/unknown (61%).

Mean (95% confidence interval, 95% CI) change in viral load was 0.091 (0.086–0.096)  $\log_{10}$  copies/ml per year (median: 0; IQR: -0.41 to +0.64) and mean change in CD4<sup>+</sup> cell count was -78.0 (-80.1 to -76.0) cells/µl per year (median: -73.1; IQR: -273 to +114). Both changes were non-zero (*P*<0.001). Median viral load and CD4<sup>+</sup> cell count at  $t_0$  across all pairs were 4.1  $\log_{10}$  copies/ml and 463 cells/µl, respectively.

### Rise in viral load

Estimated increases in viral load were significantly greater in older people (P < 0.001) at an additional 0.022 (95% CI, 0.017–0.027) log<sub>10</sub> copies/ml per year greater per 10 years older (Table 1). In contrast, viral load change was 0.026 (95% CI, -0.031 to -0.021) log<sub>10</sub> copies/ml per year smaller for every 1 log<sub>10</sub> copies/ml higher the viral load at  $t_{-1}$ . There was no evidence of an association with sex, race or IDU-transmission. However, we did find some evidence of an age–sex interaction (P=0.03). For example, mean viral load increase was 0.16 (95% CI, 0.12–0.20) log<sub>10</sub> copies/ml per year in women aged greater than 55 years, but 0.11 (95% CI, 0.09–0.14) in men aged greater than 55 years.

# Decline in CD4<sup>+</sup> cell count

Current viral load (at  $t_0$ ) was the strongest predictor of CD4<sup>+</sup> cell count decline (P < 0.001); for every 1 log<sub>10</sub> copies/ml increase in viral load, CD4<sup>+</sup> cell count declined on average by a further -37.6 (95% CI, -39.6 to -35.7) cells/µl per year (Table 1). The shape of this association was considered in detail by plotting mean CD4<sup>+</sup> cell count change according to current viral load (Fig. 1). The mean (95% CI) change in CD4<sup>+</sup> cell count varied from -5 (-23 to 13) cells/µl per year if viral load was less than 200 copies/ml, to -225 (-301 to -150) cells/µl per year if viral load was more than 1 000 000 copies/ml.

There was some evidence of a small age effect;  $CD4^+$  cell count declined by an additional 1.7 cells/µl per year (P=0.05) per 10-year increase. This effect was found to be even stronger (3.3 cells/µl per year decline per 10-year increase, P<0.001) if viral load was removed from the statistical model. Sex, race and IDU-transmission were

not associated with  $CD4^+$  cell count decline, nor was there any evidence of an interaction.

For pairs in which  $t_0$  was more than 1 year from baseline, we also assessed the effects of adjusting for baseline viral load additionally to current viral load. Median (IQR) time from baseline to  $t_0$  was 2.6 (1.7–4.2) years. When the model included only baseline viral load, we found that every 1 log<sub>10</sub> copies/ml increase in baseline viral load was associated with an estimated –14 cells/µl per year greater CD4<sup>+</sup> cell count decline (P < 0.001). However, when including both baseline and current viral load, baseline viral load was not as influential as current viral load, which had a higher statistical significance (results not shown).

## Sensitivity analyses

Similar estimates were obtained for both the viral load change and  $CD4^+$  cell count change models when using a mixed-model approach and also when adjusting for calendar year (results not shown).

We also fitted a model in which all CD4<sup>+</sup> cell count measurements were square root-transformed. This model (which had quasi-AIC, a goodness-of-fit statistic, 0.01 smaller) estimated the annual CD4<sup>+</sup> cell count change (square root scale) to be -1.83 (95% CI, -1.76 to -1.89). We found that higher viral load at  $t_0$  (P < 0.001) and white race (P = 0.01) were associated with a steeper CD4<sup>+</sup> cell count decline. There was no evidence of an effect of age (P = 0.1) or sex (P = 0.8).

In another sensitivity analysis in which only the first measured pair per person was included, estimated  $CD4^+$  cell count depletion was -76.1 cells/µl per year. This was a steeper decline than in the main analysis, in which faster

|                                   | Model parameter   | Estimate | 95% Cl           | Р       |
|-----------------------------------|---|----------|------------------|---------|
| Viral load model                  | Intercept <sup>a</sup>  | 0.093    | 0.086-0.10       | < 0.001 |
|                                   | Viral load at $t_{-1}$ (per 1 log <sub>10</sub> copies/ml higher) | -0.026   | -0.031 to -0.021 | < 0.001 |
|                                   | CD4 <sup>+</sup> cell count at $t_0$ (per 100 cells/µl higher)    | -0.004   | -0.007 to -0.002 | < 0.001 |
|                                   | Age at $t_0$ (per 10 years higher)                                | 0.022    | 0.017-0.027      | < 0.001 |
|                                   | Female  | 0.004    | -0.006 to 0.014  | 0.42    |
|                                   | Black race  | -0.019   | -0.04 - 0.002    | 0.07    |
|                                   | Transmission by IDU   | -0.011   | -0.024 to 0.003  | 0.12    |
| CD4 <sup>+</sup> cell count model | Intercept <sup>b</sup>  | -69.0    | -71.8 to -66.2   | < 0.001 |
|                                   | CD4 <sup>+</sup> cell count at $t_{-1}$ (per 100 cells/µl higher) | -17.0    | -18.1 to -15.9   | < 0.001 |
|                                   | Viral load at $t_0$ (per 1 log <sub>10</sub> copies/ml higher)    | -37.6    | -39.6 to -35.7   | < 0.001 |
|                                   | Age at $t_0$ (per 10 years higher)                                | -1.7     | -3.3 to -0.03    | 0.046   |
|                                   | Female  | -0.8     | -4.2 to 2.7      | 0.67    |
|                                   | Black race  | 2.3      | -3.8 to 8.3      | 0.48    |
|                                   | Transmission by IDU   | 0.7      | -4.6 to 6.0      | 0.80    |

Table 1. Factors associated with the annualised change in viral load and CD4<sup>+</sup> cell count.

Annualized change in viral load (copies/ml,  $log_{10}$  scale) and CD4<sup>+</sup> cell count (cells/µl, absolute scale) was defined as [(measurement at  $t_1$  – measurement at  $t_0 \times 365$ ]/( $t_1 - t_0$ ). All continuous variables were centred, see <sup>a,b</sup>below), estimate sizes and 95% confidence intervals (CI) from a multivariable linear regression model. IDU, injecting drug use.

<sup>a</sup>The intercept in the viral load model is the annual change in viral load for an individual with viral load at  $t_{-1} = 4 \log_{10} \text{ copies/ml}$ , CD4<sup>+</sup> cell count at  $t_0 = 500 \text{ cells}/\mu$ l, age at  $t_0 = 35$  years, male, white race and transmission mode other than by IDU.

The intercept in the CD4<sup>+</sup> cell count model is the annual change in CD4<sup>+</sup> cell count for an individual with viral load at  $t_0 = 4 \log_{10}$  copies/ml, CD4<sup>+</sup> cell count at  $t_1 = 500$  cells/µl, age at  $t_0 = 35$  years, male, white race and transmission mode other than by IDU.

Other comments: The reference race group is white race. All other people who were not classified as black or white race were also included in the model but in a separate category (not shown).



Fig. 1. Estimated CD4<sup>+</sup> cell count decline per year by viral load category at  $t_0$ .

progressors were probably under-represented and therefore the decline was potentially underestimated. Viral load rise was now estimated to be  $0.064 \log_{10} \text{copies/ml}$ per year (instead of 0.093).

In the main analysis, 11.0% of pairs were excluded as the difference between consecutive viral load measurements was greater than 0.8  $\log_{10}$  copies/ml. In a further analysis using 1.5  $\log_{10}$  copies/ml as the cut-off, 4.0% of pairs were excluded. Results did not change considerably: mean CD4<sup>+</sup> cell count and viral load change were -77.6 cells/µl per year and 0.130  $\log_{10}$  copies/ml per year, respectively.

# Discussion

Our analyses have provided precise estimates of shortterm viral load and CD4<sup>+</sup> cell count changes in people who have not yet started ART and of the relationship, or lack thereof, with age, sex, race and transmission by IDU.

The current viral load, to a much greater degree than any previous measure, determines the ongoing rate of CD4<sup>+</sup> cell count depletion. On average, the viral load continues to rise gradually in ART-naive individuals and our findings do not support the concept of a single 'set-point'. Although the 'set-point' is a useful concept to describe the level at which viral load settles down to after primary infection, the fact that levels tend to gradually increase over time should not be overlooked [11]. Our estimate of a mean 0.091  $\log_{10}$  copies/ml per year rise in viral load is consistent with previous findings [1,11–13]. Although this increase may seem small, the clinical relevance is clearer on the untransformed scale: for example, an untreated individual with a viral load of 10 000 copies/ml who remains untreated will on average reach 12 300 and 28 500 copies/ml after 1 and 5 years, respectively.

Age was significantly associated with the change in viral load. Faster rises were observed in older people, although the effect size was rather small. However, there may be potential implications for the infectiousness of older people, who even after primary infection have higher viral load than younger people. In this analysis, we also found that the effect of age on CD4<sup>+</sup> cell count depletion seemed to be moderated by the effect of age on viral load. Studies have previously found older age to be strongly associated with faster CD4<sup>+</sup> cell count decline [5,7,14].

Our study has the advantage that, notwithstanding the limitations mentioned below, the results should be fairly generalizable due to the large size of the COHERE dataset. Despite this, we did not observe any evidence of an association between changes in the viral load and  $CD4^+$  cell count with either sex, race or transmission by IDU.

Limitations include that the rate of CD4<sup>+</sup> cell count decline was estimated in people with CD4<sup>+</sup> cell count at  $t_0$  greater than 100 cells/µl (although this excluded only

0.7% of pairs). In addition,  $CD4^+$  cell count depletion could have been underestimated if measurements taken once individuals had actually started ART were included, although no data indicated so (as suggested by the lowest viral load category in Fig. 1). There may also be selection bias due to loss to follow-up and less follow-up in people likely to start ART earlier (e.g. people with faster  $CD4^+$ cell count decline), although large differences in results seem unlikely. Residual confounding of factors including viral tropism or subtype cannot be excluded [7]. Finally,  $CD4^+$  cell count measurements can vary appreciably [15] and some bias due to regression to the mean may still remain.

In this study, we found that in ART-naive individuals, faster viral load rise was strongly associated with older age and faster  $CD4^+$  cell count depletion was strongly associated with a higher current viral load. These estimates, which largely confirm previous observations [2,11,13,14], also provide further data on factors associated with the natural course of HIV infection and, in particular, allow precise characterization of the mean rate of  $CD4^+$  cell count decline to be expected according to current viral load level.

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# **Conflicts of interest**

All authors report no conflicts of interest.

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