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HSP-enriched properties of extracellular vesicles involve survival of metastatic oral cancer cells

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5 **HSP-enriched properties of extracellular vesicles involve survival of**
6 **metastatic oral cancer cells**
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53 **Running title:** HSP-enriched vesicles of metastatic cancer
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41 **Abbreviations:** EV, extracellular vesicle; HNC, head and neck cancer; HSP, heat shock
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43 protein; MV, microvesicle; OSCC, oral squamous cell carcinoma.
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Abstract (< 150 words)

Cancer cells often secrete extracellular vesicles (EVs) that carry heat shock proteins (HSPs) with roles in tumor progression. Oral squamous cell carcinoma (OSCC) belongs to head and neck cancers (HNC) whose lymph-node-metastases often lead to poor prognosis. We have examined the EV proteome of OSCC cells and found abundant secretion of HSP90-enriched EVs in lymph-node-metastatic OSCC cells. Double knockdown of HSP90 α and HSP90 β , using small interfering RNA significantly reduced the survival of the metastatic OSCC cells, although single knockdown of each HSP90 was ineffective. Elevated expression of these HSP90 family members was found to correlate with poor prognosis of HNC cases. Thus, elevated HSP90 levels in secreted vesicles are potential prognostic biomarkers and therapeutic targets in metastatic OSCC.

INTRODUCTION

Oral squamous cell carcinoma (OSCC) accounts for approximately 3% of all human malignancies and for 24% of all head and neck cancers (HNCs) and is trending upward yearly [Jemal et al., 2011]. Regardless of recent advancements in many therapeutic strategies, OSCC remains associated with recurrence and progression. More than 50% of OSCC patients exhibit lymph node metastasis, one of the most common adverse prognostic factors in OSCC patients [Kowalski and Sanabria, 2007; Sano and Myers, 2007]. The 5-year survival rate of primary OSCC patients is greater than 80% but it falls to 40% with cervical lymph node metastasis and falls to 20% with distant metastases [Neveille and Day, 2002]. Cancer progression is often associated with extracellular molecules released by cancer cells into the milieu [Stivarou and Patsavoudi, 2015]. Such secreted molecules can educate cells in autocrine, paracrine and/or endocrine manners, thus inducing changes in tumoral, stromal, endothelial, immune/inflammatory, and distant cells. Extracellular vesicles (EVs) are surrounded by lipid bilayer membranes and contain a variety of cargos such as proteins, nucleic acids, lipid, and minerals [Colombo et al., 2014; Fujita et al., 2016; Pan et al., 1985; Skog et al., 2008]. It has been shown that cargos of EVs can be often transported to recipient cells where they exert their functions [Valadi et al., 2007]. According to vesicle size, EVs are classified as exosomes (30 to 200 nm), microvesicles (MVs) (100 to 1,000 nm), apoptotic bodies (1,000 to 5,000 nm), and matrix vesicles (found in extracellular matrices and carrying abundant minerals) [Lotvall et al., 2014; Raposo and Stoorvogel, 2013; Shapiro et al., 2015; Witwer et al., 2013]. EVs, in particular exosomes usually contain tetraspanins including CD9 [Andreu and Yanez-Mo, 2014] and cancer exosomes

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5 often contain epithelial cell adhesion molecule (EpCAM) [Madhavan et al., 2015; Munz et
6 al., 2009]. We showed highly surviving cancer stem-like cells to robustly secrete
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8 EpCAM-contained EVs [Eguchi et al., 2018b]. It was also shown that levels of epidermal
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10 growth factor (EGFR) were elevated in serum EVs as well as primary tumors in patients
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12 suffering from head and neck squamous cell carcinoma [Overmiller et al., 2017]. Notably,
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14 HSP90 was also found in EVs secreted by cancer cells [Clayton et al., 2005; Eguchi et al.,
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16 2018b].
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22 HSP family members are molecular chaperones that bind loosely to *de novo*
23 translated proteins or structurally unfolded proteins and promote folding or refolding of
24 proteins that acquire physiological functions or induce proteasomal or lysosomal
25 degradation of proteins [Murshid et al., 2013]. It has been reported that HSPs are
26 overexpressed in various cancer tissues, correlating with disease incidence, progression
27 and lymph node metastasis rate [Ciocca and Calderwood, 2005; Ciocca et al., 1993; Eguchi
28 et al., 2018a; Gong et al., 2015]. The HSP family is composed of subfamilies including
29 HSP70 family, HSP90 family, small HSP family (HSP27 family, HSPB family), and large HSP
30 family (HSP105 / HSP110 family). Among these subfamilies, HSP90 is one of the major
31 intracellular molecular chaperones and plays a role in interacting with various
32 intracellular proteins to ensure its correct folding and function [Workman et al., 2007].
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34 HSP90 can promote tumor growth and metastasis in breast cancer, leukemia, pancreatic
35 cancer and ovarian cancer [Ciocca et al., 1993; Neckers and Workman, 2012]. HSP90 is
36 composed of a number of proteins including cytoplasmic HSP90 α , an inducible type, and
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38 HSP90 β , a constitutively expressed type as well as mitochondrial TRAP1. Although their
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6 expression levels increase under stressed condition and in cancer cells, HSP90 β is one of
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8 the most abundant proteins in the cytoplasm of unstressed cells. HSP90 proteins act in an
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10 ATP-dependent manner as essential factors for the client protein to function properly in
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12 the cytoplasm in response to signals, in cooperation with co-chaperones including CDC37
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14 [Calderwood, 2015]. Many of the proteins folded by HSP90-CDC37 complexes are
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16 involved in cell growth, and the HSP90-CDC37 complex is an attractive candidate for
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18 cancer chemotherapy [Calderwood, 2015; Neckers and Workman, 2012]. In addition to
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20 their intracellular roles, HSP-contained EVs and EV-free HSPs have been also found in the
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22 extracellular space [Clayton et al., 2005; Eguchi et al., 2018b; Li et al., 2013].
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27 In the present study, we have investigated the EV chaperonome of metastatic OSCC cells,
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29 which is involved in cancer cell survival and thus prognosis of OSCCs.
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31 32 33 **MATERIALS AND METHODS**

34 35 **Cell culture**

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37 HSC-3 OSCC cell line and its metastatic subline HSC-3-M3 [Matsui et al., 1988] were
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39 obtained from JCRB cell bank at National Institutes of Biomedical Innovation, Health, and
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41 Nutrition. For maintenance, these cell lines were cultured in DMEM containing 10% FBS,
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43 and the medium was replaced in every 3 days.
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49 50 **Isolation of EVs**

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52 We compared the polymer-based precipitation (PBP) method as described [Eguchi et al.,
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54 2018b] and the ultracentrifugation (UC) method [Lotvall et al., 2014; Witwer et al., 2013]
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6 to isolate EVs, as shown in a flow chart (Fig. S1A). Cells growing in two 10-cm dishes were
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8 washed with Hanks' balanced salt solution (HBSS), and then further cultured in 4 ml of
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10 serum-free medium per a dish for 2 days. Cell culture supernatant was centrifuged at
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12 $2,000 \times g$ for 30 min at 4°C to remove detached cells. The supernatant was then
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14 centrifuged at $10,000 \times g$ for 30 min at 4°C to remove cell debris. In addition, the
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16 supernatant was filtered with a 0.2- μm syringe filter. In the PBP method, the supernatant
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18 (8 ml) was concentrated to less than 1 ml by using an Ultra-15 Centrifugal Filter Devices
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20 for MW. 100,000 (Amicon). The concentrate was applied to Total Exosome Isolation
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22 (ThermoFisher Scientific). The EV fractions were eluted in 100 μl PBS (-). In the UC
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24 method, 8 ml of the supernatant was centrifuged at $100,000 \times g$ for 70 min (RP-42 rotor,
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26 Hitachi). Total EVs pellets were rinsed in PBS (-), centrifuged at $100,000 \times g$ for 70 min
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28 and suspended in 100 μl of PBS (-). For protein assay, 10 μl of $10 \times$ RIPA buffer containing
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30 10% NP-40, 1% SDS, and 5% deoxycholate in PBS (-) and a EDTA-free protease inhibitor
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32 cocktail (Sigma) were added to the 100 μl of the EV fraction and incubated on ice for 30
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34 min. Thirty-five microliter of the EV was used for protein assay using micro BCA protein
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36 assay system (ThermoFisher Scientific).
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45 **Transmission electron microscopy (TEM)**

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47 As described [Eguchi et al., 2018b]. A 400-mesh copper grid coated with formvar / carbon
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49 films was hydrophilically treated. The EV suspension (5 to 10 μl) was placed on Parafilm,
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51 and the grid was floated on the EV liquid and left for 15 min. The sample was negatively
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53 stained with 2% uranyl acetate solution for 2 min. EVs on the grid were visualized with
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6 20,000 times magnification with an H-7650 transmission electron microscope (Hitachi,
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8 Tokyo, Japan) at Central Research Laboratory, Okayama University Medical School.
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10 To determine the EV sizes, lengths of the major axes of 50 EVs between 50 nm and 200
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12 nm-diameters in the TEM images were measured. To examine statistical homoscedasticity
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14 of those 2 groups, F-test was performed with a null hypothesis that variances of those 2
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16 groups were equal. Paired Student's t-test was then performed.
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21 22 **Particle diameter analysis**

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24 Forty μl of EV fraction within PBS (-) was used. Particle diameters of the EV fractions in a
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26 range between 0 and 1,000 nano-diameters were analyzed in Zetasizer nano ZSP (Malvern
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28 Panalytical, UK).
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31 32 33 **ExoScreen**

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35 ExoScreen was performed as described [Yoshioka et al., 2014]. Serial dilution standards of
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37 exosome were prepared from a 50 ng/ μl of CD9 positive exosome fraction prepared from
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39 HCT116 cells. PBS (-) was used for a negative control. For CD9 positive exosome
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41 ExoScreen, 5 μl of EV fraction was mixed with 20 μl of a mixture of acceptor beads
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43 immobilized with anti-CD9 antibodies and biotinylated anti-CD9 antibodies in a white
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45 96-well plate. The plate was centrifuged briefly and was shaken with a vortex. The
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47 samples were incubated at room temperature (RT) for 1 h with avoiding light. Then, 25 μl
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49 of 80 $\mu\text{g}/\text{ml}$ streptavidin-immobilized donor beads were added. The plate was centrifuged
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51 briefly, and vortex. The samples were incubated at RT for 30 min without light. After
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5 adding excitation light (wave length 680 nm), the light of emission (wave length 615 nm)
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7 was measured in EnSpire AlphaLISA system (PerkinElmer). ExoScreen was performed
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9 with duplicate per each EV fraction. For analysis of CD9-EpCAM double positive exosome,
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11 5 ng/ μ l CD9-EpCAM standard protein and its step dilution were used for standard, and
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13 acceptor beads immobilized with anti-CD9 antibodies and biotinylated anti-EpCAM
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15 antibodies were used. The samples were measured with duplicate in the
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17 ExoScreen-AlphaLISA system.
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24 **Whole cell lysate**

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26 As described [Eguchi et al., 2018b]. Cells cultured on a 10-cm dish were washed with 5 ml
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28 of PBS (-) and then collected by using a cell scraper and centrifuged for 5 min at 4 °C at
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30 1,000 $\times g$. The cells were washed with PBS (-) and centrifuged again. Then, 1000 μ l of a 1 \times
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32 RIPA buffer containing 1% NP-40, 0.1% SDS, and 0.5% deoxycholate in PBS (-) and
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34 protease inhibitors were added to the cellular pellet. Cells were then lysed through a
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36 25-gauge syringe for 10 strokes. The cell lysate was incubated for 30 min on ice and then
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38 centrifuged at 15,000 $\times g$ for 20 min at 4 °C to pellet cell debris. The supernatant was used
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40 as a whole cell lysate (WCL). The WCL was diluted 10-fold, and protein concentration was
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42 measured by using micro BCA protein assay system (ThermoFisher Scientific).
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50 **Western blotting analysis**

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52 As described [Eguchi et al., 2018b]. Equal amounts of protein samples in each Western
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54 blotting analysis (each 4 μ g of protein samples for analysis of CD9, EpCAM, HSP90 α and
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5 β -actin, and each 10 μ g of protein samples for analysis of EGFR, HSP90 β and GAPDH) were
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7 separated by SDS-PAGE in in 4-20% TGX-GEL (BioRad) and transferred to PVDF
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9 membranes by using a semi-dry method. The membranes were blocked in Tris-buffered
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11 saline (TBS) containing 0.05% Tween 20 (TBS-T) and 5% ECL Blocking Agent (GE
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13 Healthcare) for 1 h with shaking at RT. Each membrane was incubated overnight with
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15 shaking at 4°C with primary antibodies: either mouse anti-CD9 (1:1,000, MBL), mouse
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17 anti-EpCAM (1:1,000, Cell signaling technologies), rabbit anti-HSP90 α (1:5,000, GeneTex),
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19 rabbit anti-HSP90 β (1:1,000, GeneTex), or rabbit anti-EGFR (1:1,000, Abcam). Afterwards,
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21 horseradish peroxidase (HRP)-conjugated anti-mouse IgG (1:10,000, cell signaling
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23 technologies) or anti-rabbit IgG (1:10,000, GE Healthcare) secondary antibodies were
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25 incubated for 1 h with shaking at RT. Washes before and after antibody reactions were
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27 done on a shaker, three times within TBS-T for 10 min at RT. Alternatively, membranes
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29 were incubated with HRP-conjugated mouse anti- β -actin (1:5,000, Wako) or anti-GAPDH
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31 (1:10,000, Wako) antibodies for 1 h with shaking at RT. Blots were visualized with a ECL
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33 Plus Western blotting substrate (Pierce).
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43 **Mass spectrometry**

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45 Liquid chromatography and tandem mass spectrometry (LC-MS/MS) was carried out as
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47 described previously [Eguchi et al., 2008]. The EV fractions were used for short SDS-PAGE
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49 (approx. 4 mm) in 4-20% TGX-GEL (BioRad) and visualized with Coomassie Brilliant Blue
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51 stain (BioRad). Gel fragments containing the stained protein bands were excised, then
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53 minced into 1 \times 1 to 2 \times 2 mm pieces. The proteins were digested by using In-Gel Tryptic
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6 Digestion Kit (ThermoFisher Scientific). The gel pieces were destained with mixture of
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8 ammonium bicarbonate and acetonitrile. The protein samples were reduced with 50 mM
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10 Tris [2-carboxyethyl] phosphine (TCEP), and alkylated with iodoacetamide (IAA). In order
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12 to shrink gel fragments, acetonitrile was added and incubated for 15 min at RT after which
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14 the acetonitrile was removed and the gel pieces were air dried for 5-10 min. Ten
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16 microliter of MS grade trypsin (Pierce) at concentration of 0.1 mg/ml was added to the
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18 air-dried sample and incubated at RT for 15 min. Ammonium bicarbonate at a final conc.
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20 of 25 mM was added to the sample, digested overnight at 30 °C, and the extract was
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22 recovered. Subsequently, a 1% formic acid solution was added to the gel pieces, incubated
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24 for 5 min, and the supernatant was added to the extract. Extracts containing digested
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26 peptides were measured by Agilent 6330 Ion Trap LC / MS System with MASCOT database
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28 search engine at Central Research Laboratory, Okayama University Medical School. The
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30 cutoff score for proteins was 11.0. The score was defined based on the covering rate for
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32 amino acid sequences and the frequency of detected fragments. The data of MS were
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34 analyzed as described previously by using 2-Dimensional Image-Converted Analysis of
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36 LC-MS/MS (2DICAL2) software (Mitsui Knowledge Industry, Tokyo, Japan) [Ono et al.,
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38 2012]. The detected protein species were collated with the ExoCarta database
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40 [Mathivanan et al., 2012]. Among the protein species detected in the EVs of each cell line,
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42 the top 50 protein species were selected with reference to the MS score. Protein species
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44 specifically detected in each EV fraction were classified according to their biological
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46 function and compared based on the number of protein species or MS score.
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Prognostic values of *HSP* genes expression in tumor samples resected from HNC patients

To examine prognostic values of *HSP* gene expression in clinical samples of HNCs, we retrieved The Human Protein Atlas [Uhlen et al., 2015]. HNCs patients (n = 499) were distinguished between high expression group and low expression group in each gene. Correlation between gene expression levels and prognosis of patients were shown as Kaplan-Meier survival analyses. The high- or low-expression group was examined at each stage of HNC (stage 1: n = 25, stage 2: n = 69, stage 3: n = 78, stage 4: n = 259). The ratio (high expression group / low expression group) was calculated for each stage, and the correlation between gene expression level and stage progress of HNC was examined.

RNAi

We designed siRNA species that target each mRNA coding HSP90 α , HSP90 β , and CDC37 individually (Table S1). The synthesized siRNA was RNA duplex of 19 bp plus TT-3' overhangs in each strand. For targeting each mRNA, a mixture of two types of siRNA were used.

Electroporation-transfection

Electroporation was performed using NEPA21 electroporator (NEPA Gene, Ichikawa, Japan) according to the manufacturer's recommendation. HSC-3-M3 cells (5×10^5 cells) were centrifuged at $1,000 \times g$ for 5 min at RT and suspended in 100 μ l of serum-free DMEM with 40 pmol siRNA. Poring pulse condition was 250 V, 1.5 milliseconds (ms) pulse

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5 length, total two pulses, 50 ms interval between the pulses, and 10% decay rate with +
6 polarity. The transfer pulse condition was 20 V, 50 ms pulse length, total five pulses, 50 ms
7 interval among the pulses, 40% decay rate with +/- polarity. After the electroporation,
8 cells were immediately suspended into DMEM containing 10% FBS and seeded at
9 concentration of 5×10^5 cells / 2 ml in a well of 6-well plates. Three days after the
10 electroporation, cells were harvested for cell counting and Western blotting.
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22 **Cell survival**

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24 Cells were transfected with siRNA using electroporation and then seeded as described
25 above. Cells were washed with PBS (-) at 24 hours after the transfection and further
26 cultured in 2 ml of DMEM containing 10% FBS per a well for 2 days. Cells were detached
27 using Trypsin/EDTA at 3 days post-transfection period and number of cells were counted
28 using Countess® Automated Cell Counter (ThermoFisher Scientific). Photomicrographies
29 were taken using Flويد® Cell Imaging Station (ThermoFisher Scientific).
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41 **Statistical analysis**

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43 Statistical significance was calculated using Microsoft Excel. Difference of two sets of data
44 were examined with a paired Student's t-test. $P < 0.05$ was considered to indicate
45 statistical significance. Data were expressed as means \pm S.D. unless otherwise specified.
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52 **RESULTS**

53 **Preparation of EVs using the PBP method and the UC method**

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6 Several methods for EV preparation have been established as follows: UC method, PBP
7 [Eguchi et al., 2018b], affinity capturing [Nakai et al., 2016], sucrose density gradient, size
8 exclusion chromatography, filtration, immunological separation, isolation by sieving, and
9 their combinations [Lobb et al., 2015; Lotvall et al., 2014; Witwer et al., 2013]. These
10 methods have distinctive mechanism of EV preparation with advantages and
11 disadvantages. We in the present study tested PBP and UC methods for isolation of EVs
12 from culture supernatants of HSC-3 OSCC cell line and its metastatic subline HSC-3-M3
13 (Fig. S1 A). Particles sized between 50 and 200 nm-diameters with cup-shaped
14 morphology were found in the EV fraction prepared by using the PBP method, suggesting
15 exosomes and/or MVs (Fig. S1 B, upper TEM images). A few EV-like particles were also
16 found in the EV fraction isolated by the UC method (Fig S1 B, lower TEM images). To
17 examine EV collection efficiency, we next measured protein concentration of EVs. The
18 ratio of EV protein concentration per the cellular protein concentration was
19 approximately 3.0% in the PBP method-used EVs whereas it was approximately 1.5% in
20 the UC method-derived EVs, suggesting that EVs were more efficiently collected using the
21 PBP method. We therefore used the PBP method for preparation of EVs in the following
22 studies.

23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 **The metastatic OSCC cells secrete larger EVs compared to those secreted by parental** 49 **OSCC cells.**

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52 We prepared EVs from culture supernatants of HSC-3 and HSC-3-M3 cells by using the PBP
53 method shown above and analyzed their morphological difference of EVs under TEM. EVs
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6 with a cup-shaped morphology were found in EV fractions of both HSC-3 and HSC-3-M3
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8 cells, indicating that both cell lines secrete exosomes and/or MVs (Fig. 1 A, B).
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10 It has been shown that the sizes of exosomes are present in a range between 50
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12 and 200 nm-diameters while those of MVs are between 100 and 500 nm [Colombo et al.,
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14 2014; Fujita et al., 2016]. To investigate if the OSCC cells secrete exosomes, we next
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16 examined the sizes of EVs in the range between 50 and 200 nm secreted by metastatic and
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18 parental OSCC cells. Vesicles sized between 50 and 200 nm were found in the EV fractions
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20 of both cell types, which were suggested to secrete exosomes. The median and mean of the
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22 diameters of HSC-3-M3-derived EVs (109 nm and 111.8 nm, respectively) were
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24 significantly larger than those of HSC-3-derived EVs (80.7 nm and 94.6 nm, respectively),
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26 indicating that HSC-3-M3 secreted larger EVs than HSC-3 (Fig. 1 C).
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31 To investigate whether these OSCC cells secrete MVs in addition to exosomes,
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33 we next analyzed particle diameter distributions of the EVs. Both cell types secreted EVs
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35 with single peaks, indicating that these EV fractions had some homogeneity (Fig 1 D, E).
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37 The HSC-3-EVs were sized in a range between 50 and 450 nm-diameters whereas the
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39 HSC-3-M3-EVs were sized in a range between 50 and 500 nm-diameters, suggesting that
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41 the metastatic cells might secrete more MVs (Fig 1 D, E). Consistently, the size peak of
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43 HSC-3-EVs was found at 155.1 nm whereas that of HSC-3-M3-EVs was shifted to 167.1 nm
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45 (Fig. 1 D, E).
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50 These results indicated that the metastatic OSCC cells secrete larger EVs than
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52 those derived from the parental OSCC cells.
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Metastatic OSCC cells secrete more EpCAM-EVs than their parental OSCC cells

We next examined levels of CD9, which is generally contained in most exosomes, and EpCAM, which is more often found in cancer exosomes. CD9 and EpCAM were present in HSC-3-M3-EVs at higher levels than in the parental cells-derived EVs (Fig 2A). The elevated levels of EpCAM and CD9 in HSC-3-M3-EVs were found using both PBP and UC methods with similar tendencies.

We next examined CD9 positive exosomes and CD9-EpCAM double positive EVs using ExoScreen, a sensitive and rapid analytical system with two types of antibodies that capture EVs and detect the presence of exosomes with photosensitizer-beads [Yoshioka et al., 2014]. HSC-3-M3 cells secreted more CD9 positive exosomes than HSC-3 cells: levels of CD9 positive exosomes per EV fraction were and 4.5% (HSC-3) and 8.2% (HSC-3-M3), respectively (Fig. 2B). The CD9 positive exosome concentration was 360 ng per 10^6 cells (HSC-3) and 640 ng per 10^6 cells in HSC-3-M3 (Fig. 2C), consistent with the data from Western blotting shown above.

We next examined the levels of CD9-EpCAM double positive exosomes (v/w % per EV fraction and ng per 10^6 cells) in the two cell lines. HSC-3-M3 cells secreted more CD9-EpCAM double positive exosomes than HSC-3 cells: CD9-EpCAM double positive exosomes per EV fraction were 2.8% (HSC-3) and 3.6% (HSC-3-M3), respectively (Fig. 2D). CD9-EpCAM double positive exosomes (ng) per 10^6 cells was 220 ng per 10^6 cells (HSC-3) and 275 ng per 10^6 cells (HSC-3-M3), respectively (Fig. 2E), consistent with the data from Western blotting shown above.

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6 These results suggested that the EpCAM level in exosomes could be a potential
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8 biomarker of lymph-node-metastatic OSCC cells.
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12 **The metastatic OSCC cells profoundly secrete HSP90-EVs.**

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15 To characterize the metastatic OSCC cells and their EVs, we next examined whether HSP90,
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17 EpCAM, EGFR, GAPDH, and β -actin were contained in the EVs that were secreted by HSC-3
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19 and HSC-3-M3. The level of HSP90 α was profoundly higher in the HSC-3-M3-EVs and in
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21 and HSC-3-M3 cells as compared to those of HSC-3, suggesting that HSP90 α increased in
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23 this metastatic type of OSCC cells that then abundantly secreted HSP90 α -EVs (Fig 3, top).
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25 Of note, HSP90 β was specifically found in the HSC-3-M3-EVs but not in the HSC-3-EVs
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27 while cellular HSP90 β was reduced in HSC-3-M3 as compared to the parental cells,
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29 suggesting that metastatic OSCC cells can selectively secrete HSP90 β -EVs that may be
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31 involved in the metastatic phenotype (Fig 3, the second from the top).
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36 We next examined levels of EpCAM and EGFR trans-membrane oncoproteins in
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38 the EVs and cells. EpCAM was profoundly at a high level in the HSC-3-M3-EVs as compared
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40 to the HSC-3-EVs while cellular EpCAM was reduced in HSC-3-M3 as compared to the
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42 parental cells, suggesting that metastatic OSCC cells can actively secrete EpCAM-EVs that
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44 may involve metastatic phenotype (Fig 3, the third from the top). Most EGFR appeared to
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46 be retained in these OSCC cells, although HSC-3-M3 secreted EGFR-EVs more than HSC-3
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48 cells.
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52 Housekeeping proteins such as GAPDH and β -actin have been also found in EVs
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54 [Durcin et al., 2017; Mathivanan and Simpson, 2009]. The levels of GAPDH and β -actin
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5 were not altered between HSC-3-M3 and HSC3 and between their EV fractions, suggesting
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7 that these housekeeping proteins may be useful as loading controls in analysis of EVs as
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9 well as cell lysates.
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12 These results indicate that metastatic OSCC cells can robustly secrete
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14 HSP90-EVs and EpCAM-EVs that may involve their metastatic phenotype. Another
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16 inference to be drawn from this data is that the levels of proteins found in the cytoplasm
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18 do not necessarily predict their levels in EVs (Fig. 3). Relative levels of Hsp90 α and EpCAM
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20 are relatively high compared to their intracellular concentrations while the opposite is
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22 true for Hsp90 β , GAPDH and EGFR (Fig. 3).
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29 **A proteome signature of OSCC-EVs**

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31 To characterize OSCC cells and their EVs, we next analyzed the EV proteomes of HSC-3-M3
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33 and HSC-3 by mass spectrometry. Within the prepared EV fractions, total 192 protein
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35 species were identified commonly between in HSC-3-EVs and HSC-3-M3-EVs (Fig. 4A). To
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37 examine whether these EVs contained known and novel EV proteins, we next compared
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39 these OSCC-EV proteins with EV proteins registered in the ExoCarta database [Mathivanan
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41 et al., 2012]. Among the 192 protein species in the OSCC-EVs, 108 EV protein species
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43 (56.3%) were already registered in ExoCarta and 84 EV protein species (43.7%) were not
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45 registered yet and thus potentially novel EV proteins (Fig. 4A).
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50 To characterize the metastatic phenotype of OSCC cells associated with their
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52 EVs, we next compared the nature of the top 50 EV protein species between the
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54 HSC-3-M3-EVs and HSC-3-EVs. Thirty two percentage of the EV protein species was
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6 distinct for each of the cell types, suggesting that these specific proteins could play a role
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8 in metastatic phenotype within the two cell lines (Fig. 4B). To further characterize
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10 differences in the proteomes, we next classified the top 16 EV protein species according to
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12 their known functions. The functional classification of EV proteins revealed that the
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14 HSC-3-M3-EVs carried more molecular chaperones and cytoskeletal proteins, and less
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16 extracellular matrix (ECM) proteins than HSC-3-EVs (Fig. 4 C, D).
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19 We next investigated the molecular chaperone species in these OSCC-EVs.
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21 Among the total 192 EV protein species, 14 types of molecular chaperones were identified
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23 and 9 of them were specifically at high levels in HSC-3-M3-EVs as compared to HSC-3 EVs
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25 (Fig. 4E). The MS score ratios for the molecular chaperones (HSC-3-M3 / HSC-3) were 1.78
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27 (HSP90 α), 2.23 (HSP90 β), 2.95 (TRAP1, mitochondrial HSP90), and 1.78 (HSP105 /
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29 HSPH1), respectively (Table 1) (It was confirmed by western blotting analysis that
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31 HSP90-enriched EVs were secreted by the metastatic OSCC cells line as shown in Table S2
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33 and Fig 3).
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39 It was thus suggested that lymph-node-metastatic OSCC cells secreted EVs
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41 enriched in particular HSPs, which could be thus useful markers for prognosis of OSCC
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43 cases.
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48 **Prognostic values of *HSP* gene expression in clinical tumor samples of head and** 49 **neck cancers**

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52 As levels of HSPs in EVs likely reflect their relative intracellular expression, we
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54 investigated correlation between *HSP* gene expression levels in tumors and prognosis of
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5 patients suffering from HNCs. We examined clinical values of *HSP90AA1* (coding HSP90 α),
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8 *HSP90AB1* (coding HSP90 β), *TRAP1* (coding mitochondrial HSP) and *HSPH1* (coding
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10 HSP105) genes by using The Human Protein Atlas [Uhlen et al., 2015]. HNC patients were
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12 distinguished between high and low expression groups for each gene and survival rates
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14 were compared using Kaplan-Meier analysis. The 5-year survival rate of each chaperone
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16 high expression group of *HSP90AA1*, *HSP90AB1*, *TRAP1*, and *HSPH1* was lower than that of
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18 each low expression group, respectively (Fig. 5, Table S3), indicating that high expression
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20 of these HSPs could be correlated to poor prognosis of HNCs. In particular, the ratio (high
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22 expression group / low expression group) valued by *HSP90AA1* expression level in stage 1
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24 (n = 25) of HNCs was 0.39 whereas that in stage 4 (n = 259) was 1.21, indicating that
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26 *HSP90AA1* expression could increase along with progression of HNCs (Table 2). The ratio
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28 valued by *HSP90AB1* expression level in stage 1 of HNCs was 0.14 whereas that in stage 4
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30 was 0.57 (Table 2). The ratio valued by *TRAP1* expression level in stage 1 of HNCs was
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32 2.57 whereas that in stage 4 was 4.18 (Table 2). Thus, these three genes increased the
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34 proportion of the high expression group as the stage of HNC progressed. In contrast, the
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36 ratio valued by *HSPH1* expression level in stage 1 of HNCs was 3.17 whereas that in stage
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38 4 was 2.20 (Table 2).
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46 These data suggest that high expression of *HSP90AA1*, *HSP90AB1*, and *TRAP1*
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48 are potentially useful for prognosis of HNC patients. It should be kept in mind in vewing
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50 the data that the relationship between intracellular gene expression and secretion in EV
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52 can be complex (Fig. 3). However increased intracellular expression of HSPs is likely to
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54 lead to greater release in EVs. The relative roles of intracellular and extracellular
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6 chaperones in cancer is currently under assessment and their relative significance still
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8 under debate [Calderwood, 2018].
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10 11 12 **Double knockdown of HSP90 α and HSP90 β declined survival of metastatic OSCC** 13 14 15 **cells**

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17 We next examined whether siRNA-mediated knockdown of HSP90 could alter survival of
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19 metastatic OSCC cells. The siRNA targeting of HSP90 α lowered the HSP90 α level but did
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21 not lower survival of HSC-3-M3 cells. The siRNA targeting of HSP90 β slightly lowered the
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23 HSP90 β level as well as survival of HSC-3-M3 cells (Fig. 6). Of note, siRNA double targeting
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25 of HSP90 α and HSP90 β profoundly lowered HSP90 β and HSP90 α levels and significantly
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27 lowered survival of HSC-3-M3 cells as compared to the single knockdown effect (Fig. 6).
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29 We next examined involvement of CDC37, a co-chaperone of HSP90 in the cell survival
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31 studies. Interestingly, CDC37 level was reduced by knockdown of either HSP90 α or
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33 HSP90 β but not by double knockdown of HSP90 α/β , suggesting that this co-chaperone
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35 could be destabilized upon reduction in the partner HSP90 but with potentially
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37 compensatory mechanism (Fig. 6). Triple knockdown of HSP90 α/β and CDC37 also
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39 significantly lowered survival of metastatic OSCC cells (Fig. 6).
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46 These findings indicate that the siRNA-mediated double targeting of HSP90 α
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48 and HSP90 β could be a potential therapeutic in metastatic OSCC.
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50 51 52 **DISCUSSION** 53 54 55 56

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6 Metastatic and parental OSCC cells secreted EVs (Fig 1-3, Fig S1), although their relative
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8 sizes (Fig 1), levels of cancer EV markers (Fig 2, 3), EV proteome signatures (Fig 4), and EV
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10 chaperone signatures (Fig 3, 4, Table 1) were significantly different between these two
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12 types of cancer cells. It has been known that a size range of exosomes can be between
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14 approx. 50 and 200 nm-diameters whereas that of MVs can be between approx. 100 and
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16 500 nm [Raposo and Stoorvogel, 2013]. A single cell type such as platelets, endothelial
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18 cells, and breast cancer cells releases both exosomes and MVs [Heijnen et al., 1999]. The
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20 OSCC cells secreted EVs sized between 50 and 500 nm with a peak at approx. 160 nm,
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22 indicating that these EV fractions included exosomes and MVs (Fig 1 C-E). Interestingly,
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24 the sizes of EVs appeared to shift larger when the OSCC cells became transformed to a
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26 more metastatic phenotype (Fig 1 C-E). Simultaneously, the metastatic OSCC cells
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28 abundantly secreted EVs that contained oncogenic proteins, including EpCAM, EGFR and
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30 HSP90 (Fig 2-4). Such an oncogenic signature was more significant in the
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32 HSC-3-M3-derived EVs as compared to the HSC-3-EVs. In addition, TRAP1, a mitochondrial
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34 HSP, and HSP105 were significantly enriched in the EVs derived from metastatic OSCC
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36 cells (Table 1) and expression of these *HSP* genes was correlated with poor prognosis of
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38 HNCs (Fig 5, Table 2, Table S3). We hypothesize that EV proteins can reflect metastatic
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40 phenotype of cancer cells and thus can be potentially prognostic biomarkers in OSCC cases.
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42 We also showed that HSP90 α was robustly expressed and secreted by cancer-stem like
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44 cells that survive under selective pressure [Eguchi et al., 2018b]. HSP90 α level was also
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46 elevated in the metastatic OSCC cells and in their EVs (Fig 3, top). This result indicates that
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48 HSP90 α was robustly expressed in the metastatic OSCC cells and then secreted within
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5 their EVs (Fig 3, top). HSP90 β significantly increased in the HSC-3-M3-EVs while
6 intracellular HSP90 β was reduced as compared to the parental cells (Fig 3, second from
7 the top), suggesting that HSP90 β -EVs can be a novel secretory phenotype of metastatic
8 cancer cells. It has been shown that HSP90 can promote tumor growth and metastasis in
9 breast cancer, leukemia, pancreatic cancer and ovarian cancer [Ciocca and Calderwood,
10 2005; Ciocca et al., 1993; Neckers and Workman, 2012]. High-level expression of genes
11 encoding HSP90 α and HSP90 β in clinical tumor samples were also correlated with poor
12 prognosis of HNC cases and with higher stages of HNCs (Fig 5, Table 2). Targeting of
13 HSP90 in cancer chemotherapy is currently a major area of research [Li et al., 2013;
14 Neckers and Workman, 2012]. These chemicals directly target HSP90 proteins, in
15 particular ATP binding and hydrolysis [Trepel et al., 2010] while the HSP90-siRNAs target
16 mRNAs encoding HSP90 α and HSP90 β individually led to mRNA degradation. The
17 siRNA-mediated double knockdown of HSP90 α and HSP90 β significantly reduced the
18 survival of metastatic OSCC cells whereas each single knockdown showed lesser effects
19 (Fig 6), suggesting a mutually compensatory system of these HSP90. The HSP90-siRNA
20 could reduce cellular as well as exosomal HSP90 at mRNA and protein levels when
21 administrated to EV-producing cancer cells. Moreover, siRNA species could be carried by
22 EVs that transfer cargos into recipient cells in a similar way to EV transport of microRNA
23 species. The HSP90-contained EVs may sustain survival and metastatic phenotypes of
24 cancer cells in autocrine and paracrine manners. The siRNA-mediated targeting of HSP90
25 can thus efficiently reduce these phenotypes of cancer cells. In addition, triple knockdown
26 of the HSP90 α/β and their co-chaperone CDC37 also significantly lowered survival of the
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5 HSC-3-M3 cells and might be more effective than HSP90 double knockdown (Fig 6C). The
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7 mechanism by which chaperone-enriched EVs involve OSCC progression and metastasis is
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9 currently undertaken.
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12 One of the interesting findings in the study was that levels of proteins in the EV
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14 are not necessarily predicated by their relative intracellular concentrations (Fig. 3). The
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16 relative behavior of Hsp90 α and Hsp90 β was typical in this way. Although intracellular
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18 Hsp90 α levels appeared relatively lower than those of Hsp90 β , secretion of the alpha
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20 isoform appeared to be considerably greater (Fig. 3). Indeed the extracellular functions of
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22 Hsp90 α are of growing significance and mechanisms of secretion involving
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24 phosphorylation, ubiquitinylation and upstream activation by HIF1 α have been proposed
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26 [Li et al., 2007; Sarkar and Zohn, 2012; Wang et al., 2009]. Such mechanisms may serve to
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28 enrich individual proteins in EV in a regulated manner.
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34 In addition to these HSPs, the metastatic OSCC-derived larger EVs contained
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36 abundant cytoskeletal proteins, particular enzymes and less ECM proteins as compared to
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38 the smaller EVs that were secreted by parental cells (Fig 4). It was recently shown that
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40 adipocytes also secreted two types of EVs: large EVs that contained molecular chaperones
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42 and cytoskeletal proteins and smaller EVs containing ECM proteins [Durcin et al., 2017].
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44 Thus, it may be a general finding that large EVs or MVs are enriched with chaperones and
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46 cytoskeletal proteins while small EVs or exosomes can be enriched with ECMs within
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48 particular cell types. Metastatic cancer cells may secrete chaperone-enriched enlarged EVs
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50 whereas benign tumors may secrete chaperoneless small EVs. We also showed that cancer
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52 cells produced intracellular matrix metalloproteinase 3 (MMP3) that can regulate gene
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6 expression of chaperones and matricellular protein CCN2 [Eguchi et al., 2017; Eguchi et al.,
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8 2010]. MMPs can regulate production and status of ECM-EVs. Therefore, roles and
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10 targeting of MMPs in regulation of EV are currently being undertaken.

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13 In conclusion, metastatic oral cancer cells secrete extracellular vesicles that are
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15 enriched with molecular chaperones, including HSP90 α and HSP90 β . The HSP90, TRAP1,
16
17 and HSP105 are potentially EV biomarkers of cancer metastatic phenotype as well as
18
19 prognostic biomarkers in HNCs.
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31
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51 collection and analysis, decision to publish, or preparation of the manuscript.
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26 **FIGURE LEGENDS**

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29 **Fig 1. EVs secreted by the metastatic oral cancer cells were larger than those**
30 **secreted by the parental cells.** (A, B) Representative TEM images of EVs secreted from
31 the parental HSC-3 (A) and the metastatic HSC-3-M3 cells (B). Arrowheads indicate EVs
32 with cup-shaped morphology. Scale bars, 200 nm. (C) Combined box-and-whisker and dot
33 plots of EVs. Major axes of EVs were measured in TEM images. $n = 50$. $*P = 0.045$ (paired
34 t -test). (D, E) Particle diameter distribution analysis of EVs secreted by (D) and HSC-3-M3
35 cells (E).
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47 **Fig 2. Metastatic OSCC cells secrete EpCAM-enriched EVs including exosomes more**
48 **than the parental cells.** Cells were cultured for 2 days in serum-free medium from which
49 EV fractions were prepared. (A) Western blot showing EpCAM and CD9. EV fractions were
50 prepared from HSC-3 and HSC-3-M3 cells using the polymer-based precipitation or
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5 ultracentrifugation methods. Equal amounts of EV proteins were loaded into each lane.
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8 Representative data are shown. (B-E) The concentration of CD9 positive exosomes (B, C)
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10 or CD9-EpCAM double positive exosomes (D, E) v/w % per EV fraction (B, D) or secreted
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12 amount (ng) per 10^6 cells (C, E) were quantified by using ExoScreen.
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17 **Fig 3. HSP90 and EpCAM increased in EVs released by lymph-node-metastatic OSCC**

18 **cells.** The EV and cellular fractions were prepared at the same time point after 2 days of
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20 serum-deprivation. Equal amounts of EV- or cellular proteins were used for each Western
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22 blotting analysis. HSP90 α , HSP90 β , EpCAM, EGFR, GAPDH and β -actin in the EVs and
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24 whole cell lysates were analyzed. M.W., molecular weight.
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31 **Fig 4. EV proteomics and chaperonomics of OSCC cells.** (A) Venn diagram comparing

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33 proteins in OSCC-EVs with proteins in ExoCarta database. Total 192 types of proteins were
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35 detected in EVs by the proteomics. ExoCarta database documented 6,514 proteins. Among
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37 the 192 protein species, 108 protein species (56.3%) found in the OSCC-derived EVs were
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39 already documented in the ExoCarta database, whereas 84 protein species (43.7%) were
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41 novel EV proteins. (B) Venn diagram comparing differential EV proteome signatures of
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43 HSC-3 and HSC-3-M3. Among top 50 EV protein species found in each cell line 34 protein
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45 species (68%) were common between the two EV fractions, whereas the remaining 16
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47 protein species (32%) were distinctive in each OSCC-EV fraction. (C, D) Functional
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49 properties of EV proteomes of the parental and the metastatic OSCC cells. Top 16 protein
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51 species in each EV fraction derived from HSC-3 and HSC-3-M3 were functionally
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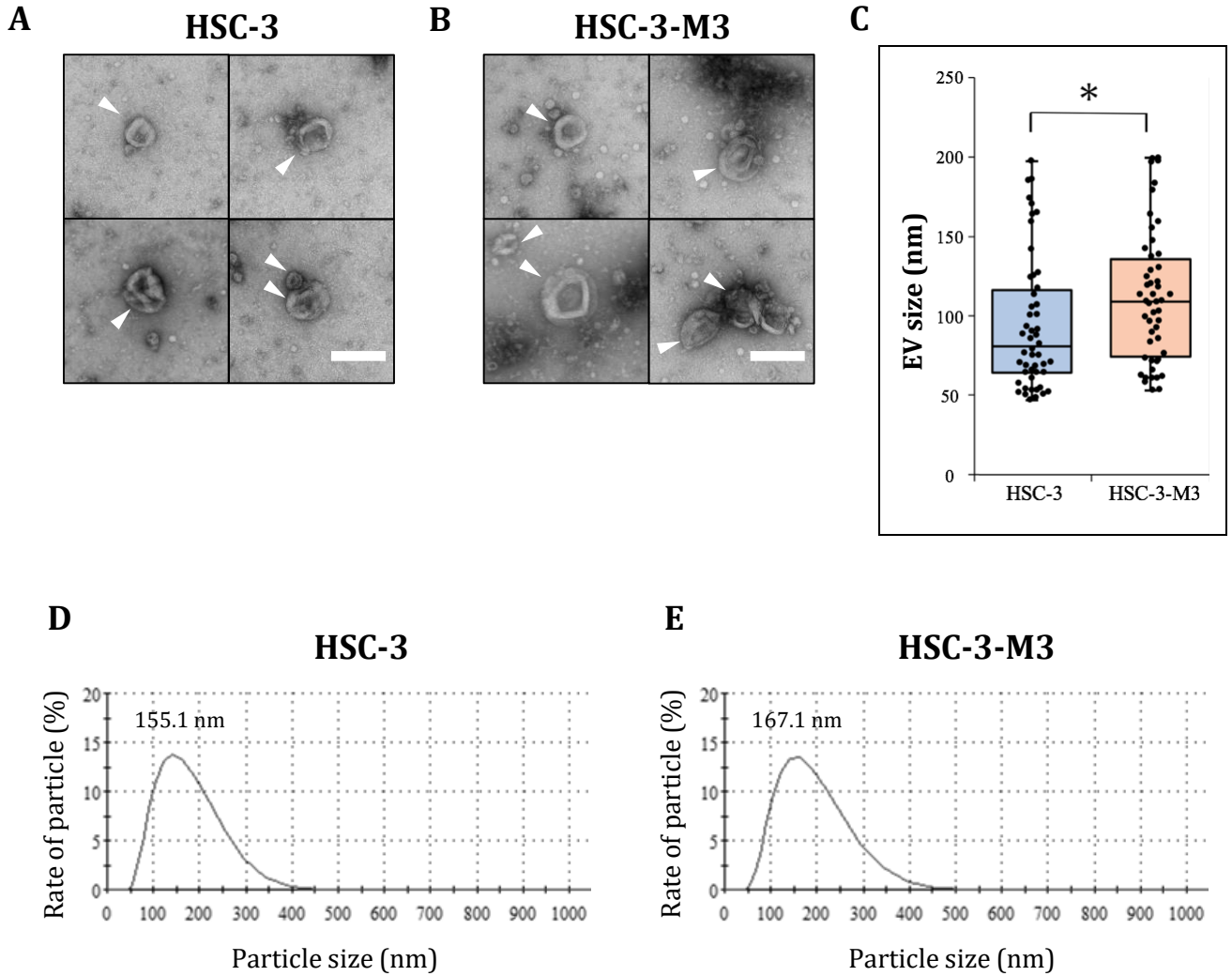
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6 categorized, and numbers of protein species (C) and total MS scores (D) were distributed
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8 to each category. Molecular chaperones were found in HSC-3-M3-EV with high scores as
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10 compared to HSC-3-EVs. (E) Venn diagram comparing differential EV chaperones
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12 signatures of HSC-3 and HSC-3-M3. Among 14 EV chaperone species found in each cell line
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14 9 chaperones species (64%) were highly detected in HSC-3-M3, whereas only 1 chaperone
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16 specie (7%) was highly detected in HSC-3.
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22 **Fig. 5. Prognostic values of HSPs in HNC cases.** Kaplan-Meier survival analysis for
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24 HSP90AA1 (A), HSP90AB1 (B), TRAP1 (C) and HSPH1 (D) genes in HNCs were retrieved
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26 from The Human Protein Atlas. Expression values of each gene were divided into high
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28 (purple line) and low (blue line) expression using each best cut off value as the threshold
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30 (purple line) and low (blue line) expression using each best cut off value as the threshold
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32 value. P values correspond to the log-rank test comparing the survival curves.
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37 **Fig 6. Targeting of HSP90 in metastatic OSCC cells.** HSC-3-M3 cells were transfected
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39 with chaperone-targeting siRNA (siHSP90 α , siHSP90 β or siCDC37) or non-targeting
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41 dsRNA (siCtrl). (A) Western blot showing single, double and triple knockdown of HSP90 α ,
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43 HSP90 β and CDC37 in HSC-3-M3 cells. Equal amounts of cellular proteins were used for
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45 each lane. (B) Survival of the HSC-3-M3 cells upon chaperone knockdown. Numbers of
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47 adherent cells 3 days after transfection of siRNA were shown. n = 3. *P < 0.05, **P < 0.01
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49 (paired *t*-test). (C) Representative photomicrographs of the cells at day 3 after
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51 transfection of siRNA. Scale bar, 100 μ m.
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6 **Fig S1. Polymer-based precipitation method and ultracentrifugation method for**
7 **preparation of EVs.** (A) A workflow of the EV preparation methods. (B) Transmission
8 electron microscopy of EVs prepared by using the PBP method (upper images) and the UC
9 method (lower images). Scale bars, 200 nm. (C) Ratio of EV protein concentration per
10 cellular protein concentration.
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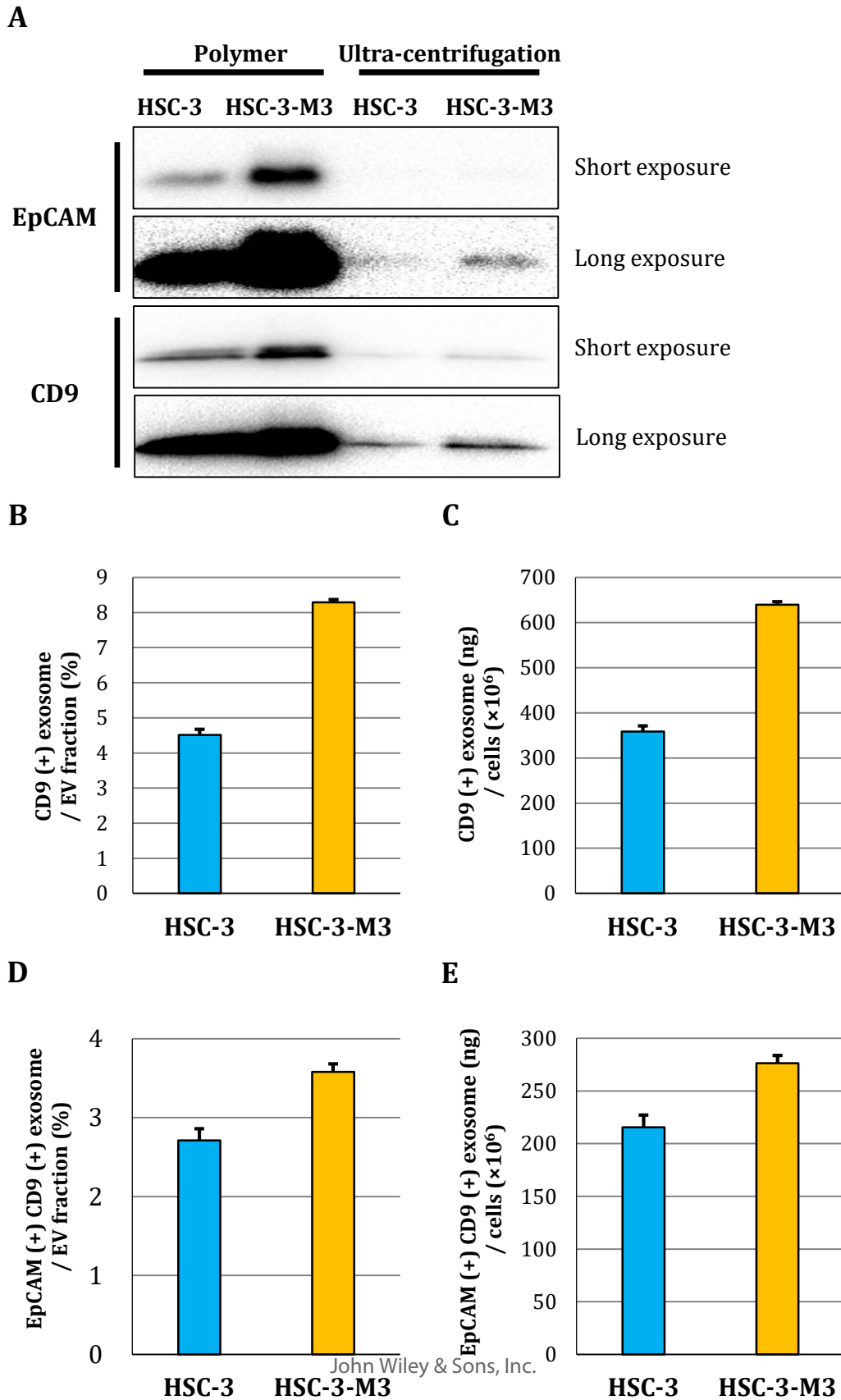


Fig. 2

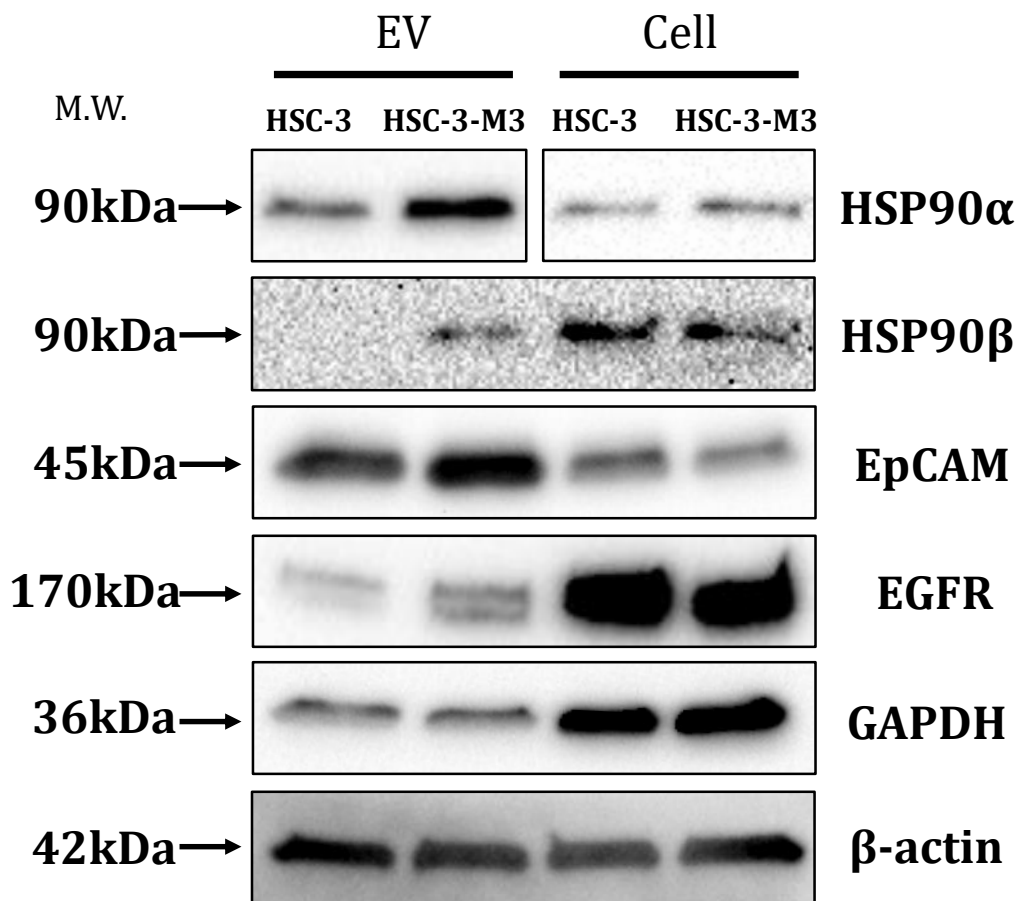


Fig. 3

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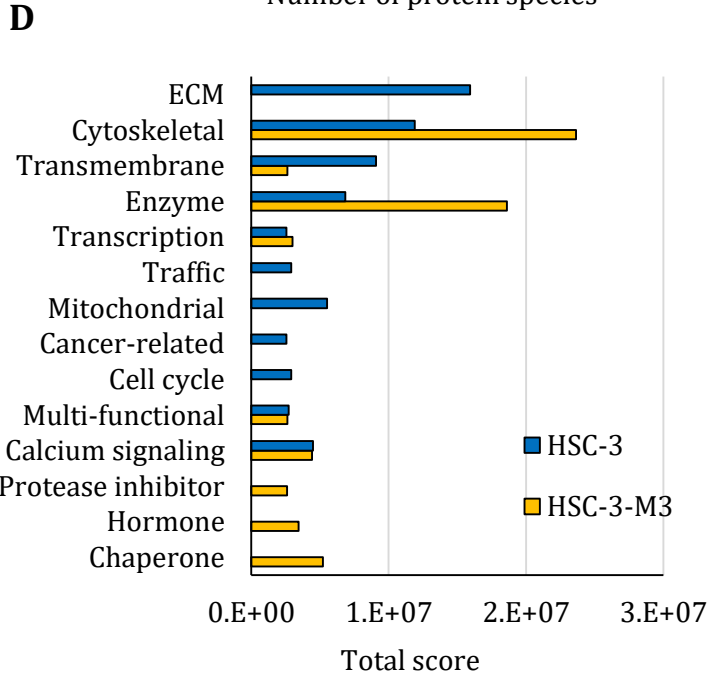
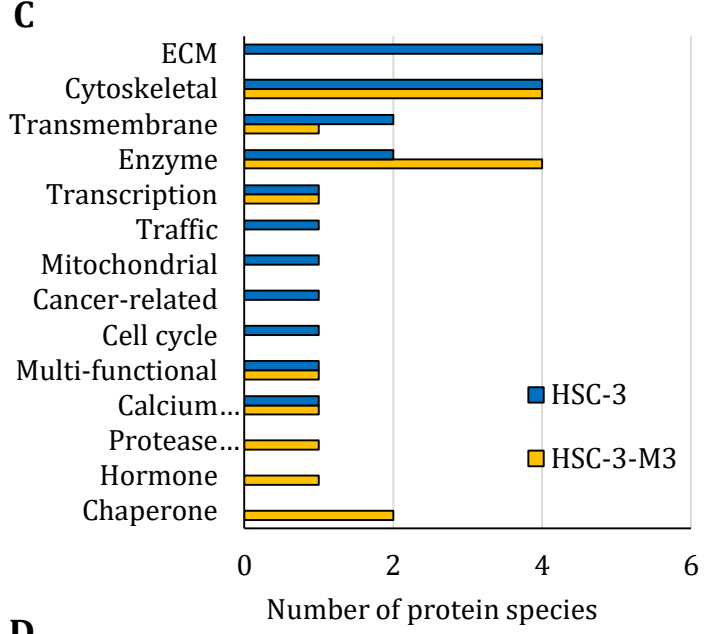
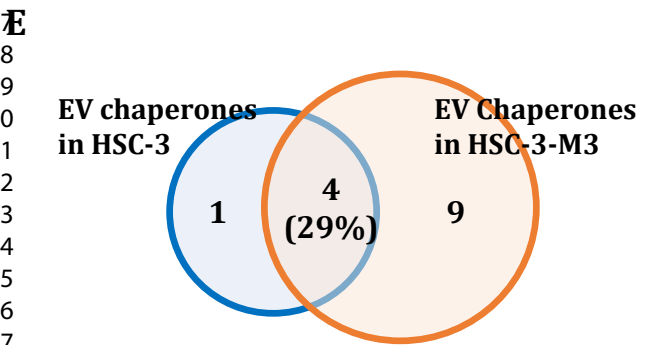
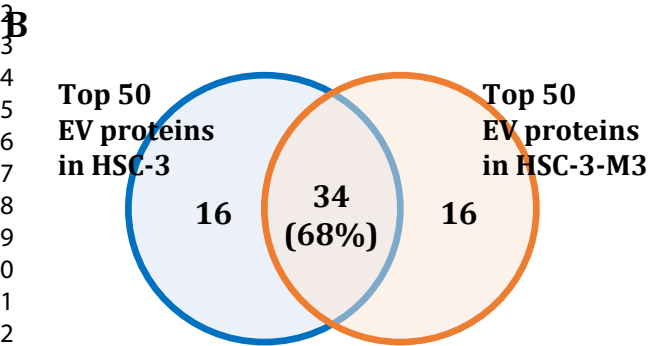
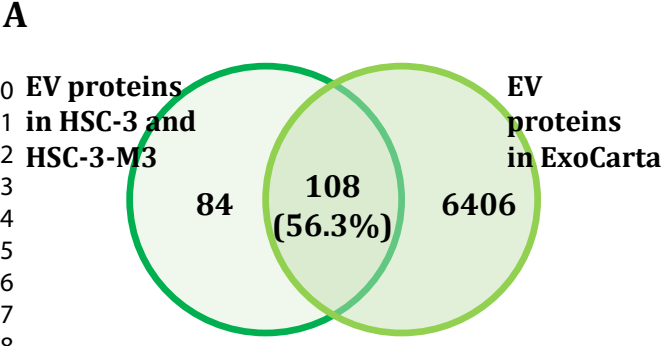


Fig. 4

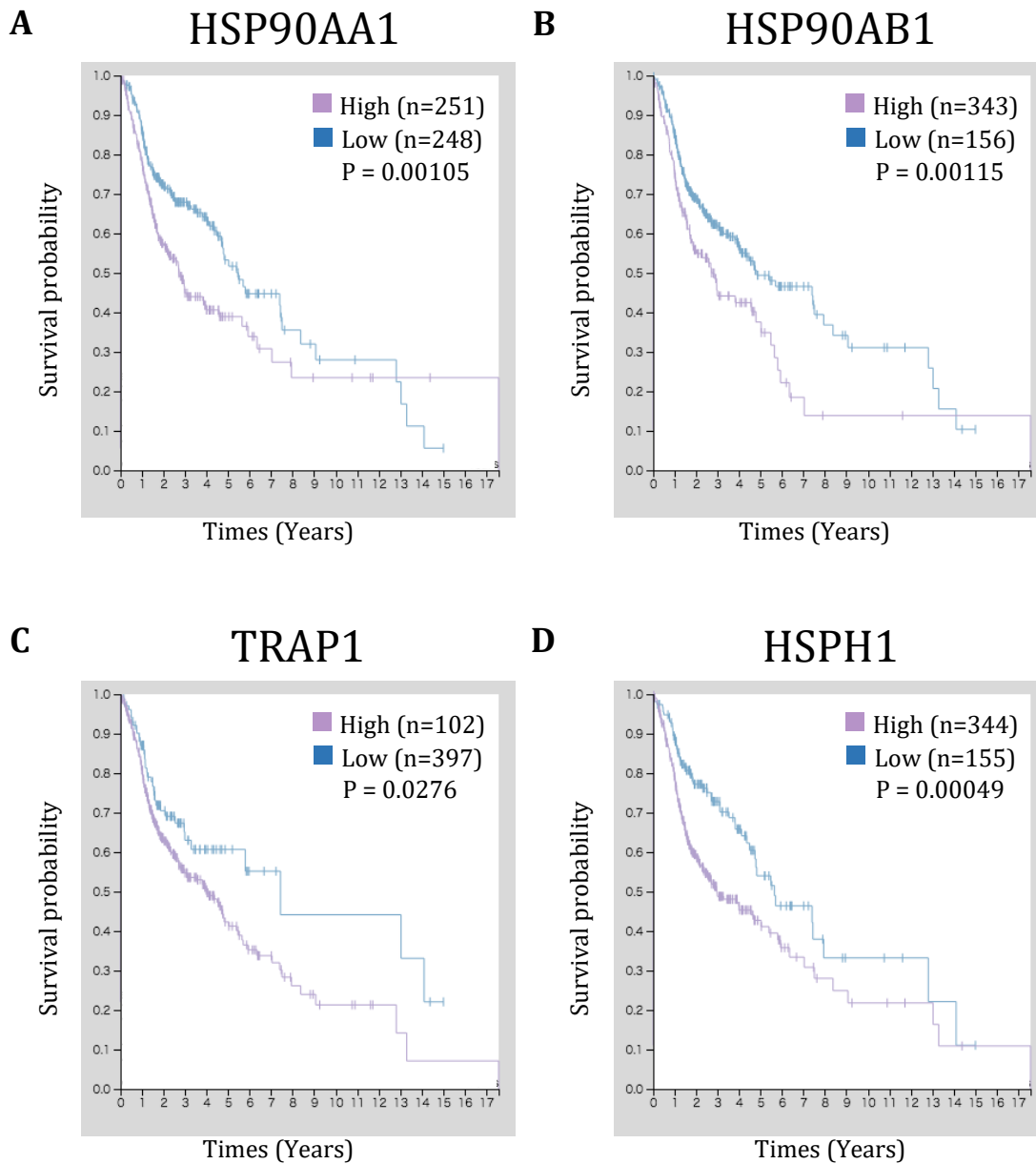


Fig. 5

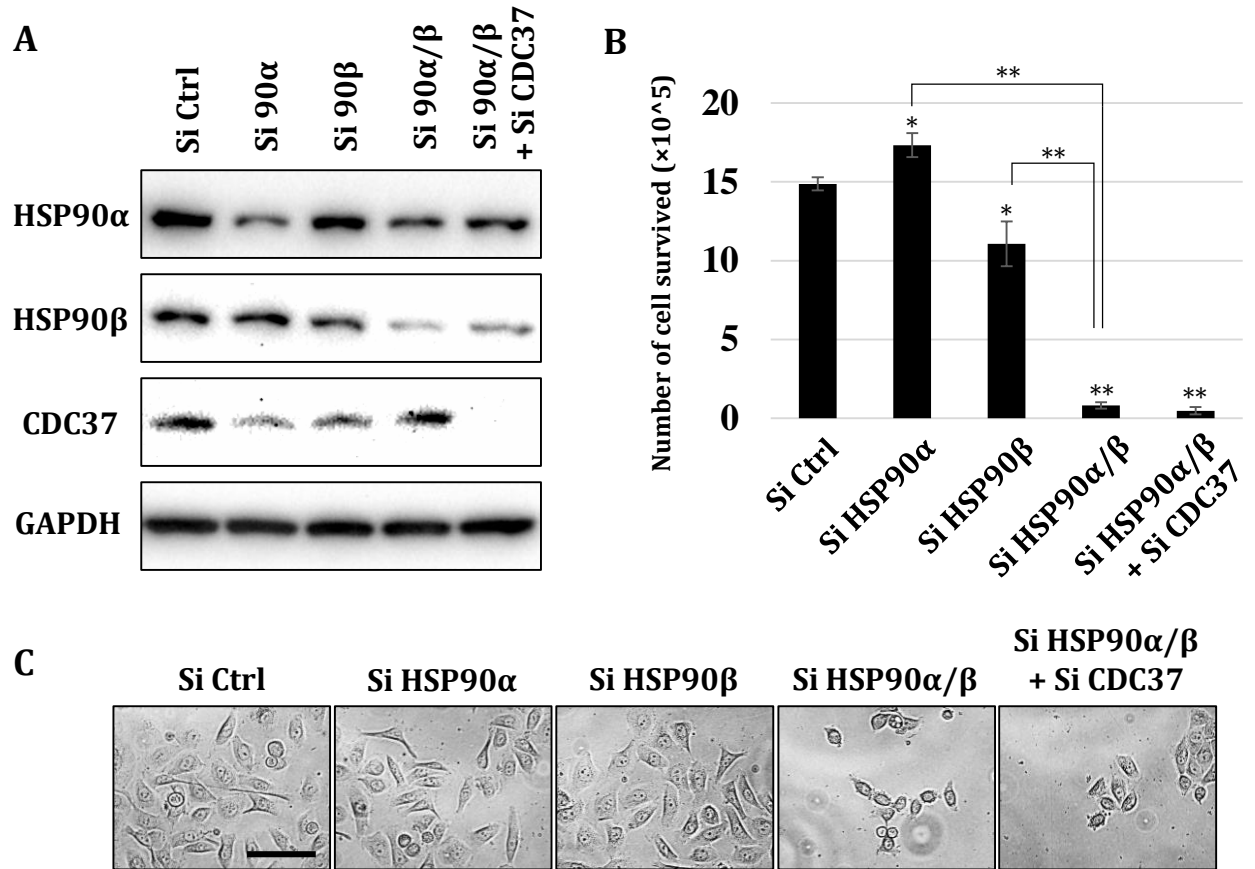


Fig. 6

Table 1. List of molecular chaperones detected in OSCC-EVs.

Chaperones	MS score ratio (HSC-3-M3/HSC-3)	Note
TRAP1 / HSP75	2.95	Heat shock protein 75 kDa, mitochondrial
HSP90AB1 / HSP90 β	2.23	Heat shock protein HSP 90-beta
HSP90AA1 / HSP90 α	1.78	Heat shock protein HSP 90-alpha
HSPH1 / HSP105	1.78	Heat shock protein 105 kDa
HSPA1A / HSP72	1.7	Heat shock 70 kDa protein 1A
CCT8	1.5	T-complex protein 1 subunit theta
CCT6A	1.29	T-complex protein 1 subunit zeta
HSPA6 / HSP70B'	1.23	Heat shock 70 kDa protein 6
HSPA8 / HSC70	1.23	Heat shock cognate 71 kDa protein
CCT5	1.12	T-complex protein 1 subunit epsilon
CCT2	1.07	T-complex protein 1 subunit beta
TCP1	0.92	T-complex protein 1 subunit alpha
HSPA5 / GRP78	0.85	78 kDa glucose-regulated protein
CCT4	0.67	T-complex protein 1 subunit delta

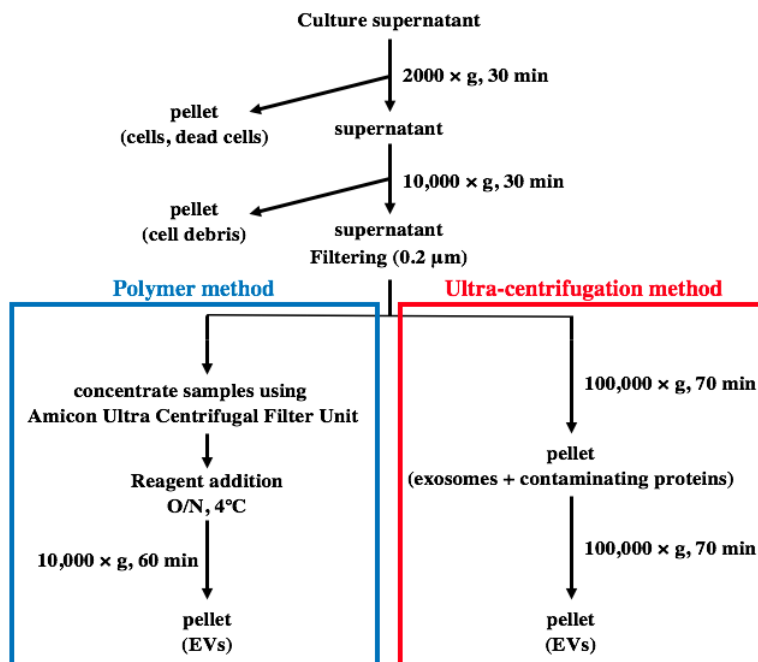
Table 2. Correlation between HNC stages and HSP expression levels in the tumors.

	HSP90AA1	HSP90AB1	TRAP1	HSPH1
Stage	Ratio (High / Low)	Ratio (High / Low)	Ratio (High / Low)	Ratio (High / Low)
I	0.39 (7 / 18)	0.14 (3 / 22)	2.57 (18 / 7)	3.17 (19 / 6)
II	1.03 (35 / 34)	0.47 (22 / 47)	4.91 (54 / 11)	2.29 (48 / 21)
III	0.95 (38 / 40)	0.42 (23 / 55)	4.57 (64 / 14)	2.39 (55 / 23)
IV	1.21 (142/117)	0.57 (94 / 165)	4.18 (209 / 50)	2.20 (178 / 81)
N/A	0.74 (29 / 39)	0.26 (14 / 54)	3.25 (52 / 16)	1.83 (44 / 24)
Total (n=499)	1.01 (251 / 248)	0.45 (156 / 343)	4.05 (397 / 98)	2.22 (344 / 155)

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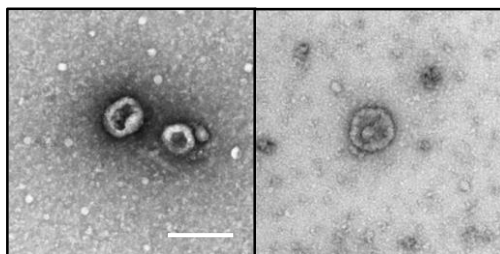
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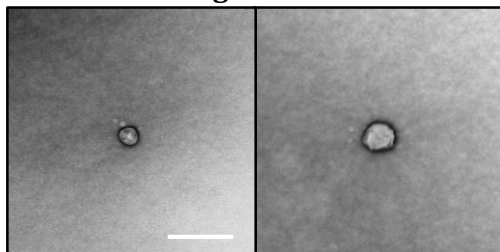


B

Polymer method



Ultra-centrifugation method



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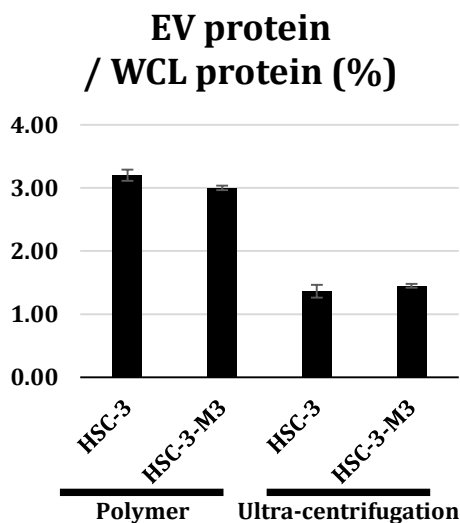


Fig. S1

Table S1. A list of siRNAs that target HSP90 α , HSP90 β , and CDC37 individually.

Name of siRNA	Note	Core sequence of sense (5' to 3')
siHSP90AA#1	hHSP90AA1.NM5348-415	gcugcauauuaacuuaua
siHSP90AA#2	hHSP90AA1.NM5348-2010	caaacauggagagaaucau
siHSP90AB#1	hHSP90AB1-NM_001271971.1-1353	cagaagacaaggagaauua
siHSP90AB#2	hHSP90AB1-NM_001271971.1-1754	gaagagagcaaggcaaagu
siCDC37#1	hCDC37.NM7065-433	gcaagaaggagaagagcau
siCDC37#2	hCDC37.NM7065-584	gaaacagaucaagcacuuu

Table S2. Comparison of three analysis methods in terms of ratio of EV marker levels.

	Western blotting	LC-MS/MS	ExoScreen
HSP90α	2.04	1.78	NA
HSP90β	1.52	2.23	NA
EpCAM	1.27	UDL	1.25
EGFR	2.18	UDL	NA
CD9	1.03	UDL	1.78
GAPDH	0.96	0.91	NA
β-actin	0.92	0.91	NA

Ratios of scores (HSC-3-M3 / HSC-3) in each analytical method were shown. NA, not applicable. UDL, under detection limit.

Table S3. Correlation between 5-year survival rate and HSP expression levels in HNC patients.

	HSP90AA1	HSP90AB1	TRAP1	HSPH1
Expression cut off	227.1 FPKM	552.0 FPKM	9.4 FPKM	17.6 FPKM
5-year survival low	52%	49%	61%	54%
5-year survival high	39%	35%	41%	41%
Log-rank P value	0.00105	0.00115	0.0276	0.00049

FPKM, Fragments Per Kilobase of exon per Million mapped fragments.