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Environmental Modeling

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1	The significance of early and late stages of coupled aggregation and
2	sedimentation in the fate of nanoparticles: measurement and modelling
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21 Abstract.

Despite aggregation's crucial role in 22 controlling the environmental fate of 23 nanoparticles (NP), the extent to which 24 current models 25 can describe the NP 26 progressive stages of



aggregation/sedimentation is still unclear. In this paper, 24 model combinations of two 27 population-balance models (PBMs) and various collision frequency and settling velocity 28 models are used to analyse spatiotemporal variations in the size and concentration of 29 hydroxyapatite (HAp) NP. The impact of initial conditions and variability in attachment 30 efficiency, α , with aggregate size are investigated. Although permeability models perform well 31 in calculating collision frequencies, they are not appropriate for describing settling velocity 32 because of their negative correlation or insensitivity in respect to fractal dimension. 33 Considering both early and late stages of aggregation, both experimental and model data 34 indicate overall mass removal peaks at an intermediate ionic strength (5 mM CaCl₂) even 35 36 though the mean aggregate size continued to increase through higher ionic strengths (to 10 mM CaCl₂). This trend was consistent when different approaches to the initial particle size 37 38 distribution (PSD) were used and when a variable or constant α was used. These results point to the importance of accurately considering different stages of aggregation in modeling NP fate 39 within various environmental conditions. 40

41

42 Keywords: nanoparticles; early and late stage aggregation; sedimentation; population balance

43 modelling; fractal dimension; settling velocity

44	Nomenclature					
45	a_0	primary particle radius or particle radius in the smallest size class [L]				
46	a_k	aggregate radius in size class k [L]				
47	D_f	fractal dimension [-]				
48	D_H	hydrodynamic diameter				
49	G	shear rate [T ⁻¹]				
50	i,j	subscripts used to indicate aggregates size class <i>i</i> and <i>j</i>				
51	k _b	Boltzmann constant				
52	k _{max}	maximum number of classes considered in the numerical model (<100)				
53	n_k	aggregate number concentration in size class $k [L^{-3}]$				
54	q	geometric factor				
55	Т	temperature [K]				
56	U_k	aggregate sedimentation velocity in size class k [LT ⁻¹]				
57	U ₀	sedimentation velocity of primary particles [LT ⁻¹]				
58	v_k	volume of solids of each aggregate in size class $k [L^3]$				
59	v_0	volume of primary particles [L ³]				
60	Z_s	sedimentation depth [L]				

61	α	attachment efficiency [-]
62	$\beta_{i,j}$	aggregate collision frequency in size class <i>i</i> and <i>j</i> [L ³ T ⁻¹]
63	β_{Diff}	differential settling collision frequency [L ³ T ⁻¹]
64	β_{Orth}	orthokinetic collision frequency [L ³ T ⁻¹]
65	β_{Prik}	perikinetic collision frequency [L ³ T ⁻¹]
66	$\delta_{j,i}$	Kronecker delta
67	μ	dynamic viscosity of the suspending medium [M T ⁻¹ L ⁻¹]
68	List of	f abbreviations.
69	CCC	critical coagulation concentration
70	DCR	derived count rate
71	DI	deionized
72	DLA	diffusion limited aggregation
73	DLS	dynamic light scattering
74	DLVC	Derjaguin, Landau, Verwey, and Overbeek
75	FP	fixed pivot
76	НАр	hydroxyapatite
77	IS	ionic strength

78	MP	moving pivot
79	NP	nanoparticles

- 80 PB population balance
- 81 PSD particle size distribution
- 82 RLA reaction limited aggregation
- 83 SI Supporting Information
- 84

86 Introduction

Nanoparticles, often unintentionally released into the environment, have also shown promise 87 in remediation of hazardous contaminants such as radionuclides.¹⁻³ Effective *in situ* field-scale 88 management of NP is hindered by the lack of adequate models to simulate NP fate and transport 89 in realistic environmental compartments.^{4, 5} Considering the long-established potential for 90 colloids to enhance the mobility of contaminants in groundwater environments^{6, 7} or facilitate 91 their redistribution in surface water bodies,⁸⁻¹⁰ this lack of predictive capability is a critical 92 concern. Aggregation of NP is important because progressive increase in particle size can 93 substantially affect NP mobility, reactivity and hence potential contribution to mobilization of 94 other solute contaminants.^{4, 11-13} Aggregation has complex interactions with other NP transport 95 mechanisms such as sedimentation.^{4, 5, 14, 15} This leads to distinct changes in trends of particle 96 concentration or size over time.^{14, 16} The early stage of aggregation, in which the slope of mean 97 particle size versus time is typically linear, is the subject of many studies.^{15, 17, 18} However, the 98 late stage of aggregation, more common in environmentally-relevant conditions where 99 phenomena such as aggregation and sedimentation occur over longer periods with no clear 100 initial condition, has received relatively little attention to date.^{14, 16} 101

In late-stage conditions, NP aggregate sizes increase sufficiently to induce sedimentation and 102 removal from suspension. This may lead to decreasing concentration of NP dispersion and 103 consequently reduction in aggregation rates. Conversely, differentially-settling aggregates may 104 collect smaller particles more easily during late-stage. Sedimentation-induced movement of 105 aggregates may bring about aggregate restructuring.¹⁹ This may affect the number of collisions 106 among aggregates thereby changing their consequent aggregation rates and settling velocity.^{19,} 107 ²⁰ These complex interacting phenomena may drive localised nonlinearities in slope of mean 108 particle size or concentration versus time. Many established theories and concepts, such as 109

110 CCC, are invalidated during late-stage aggregation since they are based upon the linear slope
111 of size or concentration versus time at the early stage.^{21, 22}

The ability of PB models to account for both stages in tandem while retaining meaningful 112 113 description of the system in terms of parameters such as attachment efficiency, α , and fractal dimension, D_f is still unclear but is important if PB approaches are to be used to provide 114 description of aggregation processes within larger-scale models of NP fate and transport.^{20, 23} 115 In this study, we measure and model the quiescent aggregation and sedimentation of HAp NP 116 across a range of solution chemistries and at different measurement depths over a period of at 117 least five hours—sufficient in most of the cases for systems to develop late stage conditions. 118 We systematically investigate two numerical approaches to aggregation combined with 119 different settling velocity and collision frequency terms to find the models which best describe 120 observed mean particle size, averaged concentration, and PSD. Using the best-performing 121 model set, we then investigate how the trends of parameters change from early-stage to early-122 late-stage cases across a range of solution chemistries and at different positions within a short 123 column. The initial PSD is a critical variable in aggregation modelling. We applied models 124 with three approaches: initial PSD observed in each experiment as the initial condition in each 125 model (A); constant initial condition for all experiments/models (B); and the latter approach 126 127 with variable- α across different size classes, accounting for aggregate size-driven variations in surface interaction energy profiles according to the DLVO theory (C).²⁴ This comprehensive 128 experimental and numerical study yields new insights into both the changing dynamics of 129 aggregation as NP systems evolve over time, and the applicability of model concepts used to 130 describe them. 131

132 Modelling

Population balance models such as the Smoluchowski model^{25, 26} are the most widely-used 133 methods for predicting aggregation-driven PSD of colloidal suspensions.²⁷⁻²⁹ However, the 134 basic discretised form of these models applies only when each aggregate size class volume is 135 considered the arithmetic sum of volumes of smaller classes. To span the size range that can 136 result from early and late stages of aggregation, e.g., 40 nm to 10 μ m, over 10⁵ size classes are 137 required, for each of which the PB equation should be solved. This is computationally 138 impractical, particularly when other transport phenomena are modelled or for iterative 139 calibration of parameters against experimental data. A geometric size discretization technique 140 proposed^{30, 31} to mitigate this issue was recently used by Dale et al.³² to simulate aggregation 141 and dissolution of environmentally-relevant NP. A geometric series of aggregate volumes is 142 given as $v_{i+1}/v_i = 2^{1/q}$, where q is an integer greater than or equal to one. However, values 143 of q derived from PSD obtained from experimental techniques such as DLS are typically non-144 145 integer, e.g., varying from q = 1.574 for $D_f = 3$ to q = 2.63 for $D_f = 1.8$.

More flexible approaches include FP and MP techniques.^{33, 34} Fixed-pivot maintains a minimum number of size classes (bins) via selective refinement of a coarse discretization of the particle volume dimension. This approach can consider binary or multiple collisions. Ignoring terms for breakage and adding a sedimentation term, the FP model conserving two properties of mass and number can be expressed as:³³

$$\frac{dn_k}{dt} = \sum_{\substack{j,i\\v_{k-1} \le (v_j + v_i) \le v_{k+1}}}^{J \ge i} \left[1 - \frac{1}{2} \,\delta_{j,i} \right] \eta_k \alpha_{j,i} \beta_{j,i} \,n_j n_i - n_k \sum_{i=1}^{k} \alpha_{k,i} \,\beta_{k,i} \,n_i - \frac{U_k}{Z_s} n_k \tag{1}$$

151 where η_k is given as:

$$\eta_{k} = \begin{cases} \frac{v_{k+1} - (v_{j} + v_{i})}{v_{k+1} - v_{k}}, & v_{k} \leq (v_{j} + v_{i}) \leq v_{k+1} \\ \\ \frac{(v_{j} + v_{i}) - v_{k-1}}{v_{k} - v_{k-1}}, & v_{k-1} \leq (v_{j} + v_{i}) \leq v_{k} \end{cases}$$

$$(2)$$

The MP model³⁴ assumes that when the particle number concentration in a size class changes from sharp-decreasing gradients toward near-uniformity, the 'pivot' (the representative point of each size class in the particle size distribution) moves from the lower end of that class toward the middle. Two differential equations need to be solved over time. Omitting the breakagerelevant terms and considering the sedimentation term, the governing equations for the MP model become:

$$\frac{dn_k}{dt} = \sum_{\substack{j,i\\v_k \le (v_j + v_i) \le v_{k+1}}}^{j \ge i} \left[1 - \frac{1}{2} \,\delta_{j,i} \right] \alpha_{j,i} \beta_{j,i} \,n_j n_i \ - n_k \sum_{i=1}^{k_{max}} \alpha_{k,i} \,\beta_{k,i} \,n_i - \frac{U_k}{Z_s} n_k \tag{3}$$

$$\frac{dv_k}{dt} = \frac{1}{n_k} \sum_{\substack{j,i\\v_k \le (v_j + v_i) \le v_{k+1}}}^{j \ge i} \left[1 - \frac{1}{2} \,\delta_{j,i} \right] \left[\left(v_j + v_i \right) - v_k \right] \,\alpha_{j,i} \beta_{j,i} \,n_j n_i \tag{4}$$

Equations (1-4) can be solved for a given initial PSD, to yield PSDs resulting from aggregation and sedimentation at any time for a specified sedimentation depth Z_s . From the PSD, other quantities such as mean D_H and mass concentration can be determined as described in the SI. Note that, following common practice in this area,³⁵⁻³⁷ sedimentation is not modelled as a mass transfer process but as a net mass loss rate for each size class which scales linearly with Z_s .

163 The collision frequency for environmental colloids is commonly given as the sum of three 164 mechanisms: perikinetic collisions (Brownian), orthokinetic collisions (shear-induced aggregation under fluid motion), and differential settling (collection of smaller aggregates by
the larger ones during sedimentation).¹⁷ Expressing collision frequencies based on the volume
(or mass) of aggregates as a representative variable,^{32, 38} using fractal dimension relationships
and considering permeability drag effects,³⁹ the following relationships yield:

$$\beta_{Prik_{i,j}} = \frac{2k_b T}{3\mu} \left(v_i^{\left(\frac{1}{D_f}\right)} + v_j^{\left(\frac{1}{D_f}\right)} \right) \left(\frac{1}{\Omega_i} v_i^{-\left(\frac{1}{D_f}\right)} + \frac{1}{\Omega_j} v_j^{-\left(\frac{1}{D_f}\right)} \right)$$
(5)

$$\beta_{Orth_{i,j}} = \frac{G}{\pi} v_0^{\left(1 - \frac{3}{D_f}\right)} \left(\left(\eta_{c_i}^{\left(\frac{1}{2}\right)} v_i^{\left(\frac{1}{D_f}\right)} + \eta_{c_j}^{\left(\frac{1}{2}\right)} v_j^{\left(\frac{1}{D_f}\right)} \right)^3$$
(6)

$$\beta_{Diff_{i,j}} = \frac{3}{2} \left(\frac{\pi}{6}\right)^{\frac{1}{3}} v_0 \left(\frac{2}{3} - \frac{2}{D_f}\right) \left(\eta_{c_i}\left(\frac{1}{2}\right) v_i\left(\frac{1}{D_f}\right) + \eta_{c_j}\left(\frac{1}{2}\right) v_j\left(\frac{1}{D_f}\right)\right)^2 \left|U_i - U_j\right|$$
(7)

169 The superposition of the three rates gives the total rate of collisions, $\beta(i, j)$:

$$\beta_{i,j} = \beta_{Prik_{i,j}} + \beta_{Orth_{i,j}} + \beta_{Diff_{i,j}} \tag{8}$$

where Ω is the drag coefficient correction factor defined as the ratio of drag force exerted on a 170 permeable aggregate to drag force exerted on an impervious aggregate with the same size,^{35,40} 171 and η_c is the fluid collection efficiency of an aggregate, defined as the ratio of flow through an 172 aggregate to total flow approaching the aggregate.^{35, 41} To calculate collision frequencies, we 173 use two permeability models: the Brinkman permeability model^{35, 42} and the Davies 174 permeability model.⁴³ Additionally, we investigate the use of collision frequencies calculated 175 based only on fractal relationships without permeability consideration.^{44, 45} Four types of 176 settling velocity models were investigated for NP aggregates of fractal nature. These include 177 an empirical power-law equation,^{46,47} a permeability model based on the Davies correlation,^{43,} 178 ⁴⁸ a permeability model based on the Brinkman model,^{35, 40, 41} and a fractal model which 179 considers the effect of the size distribution of primary particles forming each aggregate.^{49, 50} 180

Finally, in the variable α approach, α was calculated based on DLVO theory considering only van der Waals attraction and electrostatic repulsion interaction energies. The Hamaker constant for HAp-water-HAp system was calculated as 2.77 ×10⁻²¹ J.⁵¹ All models and their related equations are thoroughly introduced in the SI.

185 Materials and Methods

186 Numerical modelling

Details of MATLAB[©] (Mathworks, USA) codes for all models used in this study are available 187 in the SI. In brief, an explicit forward Euler scheme was used for the time derivative. The 188 explicit approach was chosen due to potential inaccuracy and computational problems of using 189 an iterative implicit approach.⁵² A simple forward Euler approach was selected since higher-190 order schemes, such as fourth-order Runge-Kutta, were previously found ineffective in solving 191 PB models, which are examples of "stiff" problems.^{32, 53} Potential numerical instability in 192 certain ranges of parameters within the explicit model was mitigated by an adjustable time step 193 and a novel optimization algorithm based on a heuristic approach which, by incrementing a 194 parameter at a time within set ranges, enhanced the fit between observations and model outputs 195 using a parallel processing approach. An automatic increase of initial time step of the 'slave' 196 numerical model by the 'master' optimization algorithm helped prevent unstable runs affecting 197 the optimization process. 198

Parameter calibration was conducted on the Chadwick high performance cluster and the Condor high throughput computational systems at the University of Liverpool. Comparison of numerical model performance with analytical solutions of the Smoluchowski model for both monodisperse¹⁷ and log-normal-distributed initial conditions⁵⁴ is demonstrated in the SI. The Nash–Sutcliffe determination coefficient (a conservative R²)⁵⁵ was used to assess goodness of fit. In this study, we calibrated the parameters based on the hydrodynamic size as an objective function and investigate how well the fitted model describes concentration variation over time and PSD at certain times.

207 *Experiment procedure*

Hydroxyapatite (particle density = 3.16 g/cm^3) was obtained from Alfa Aesar, UK. Evolution 208 209 of aggregate mean size, PSD and concentration over time were measured by DLS (Malvern 210 Zetasizer Nano ZS, UK). The valid measurement size range reported by the manufacturer is from 1 nm to 10^4 nm. Measurements were carried out with an interval of ~3.37 min. For all 211 measurements, the number of runs was 5 (duration 10 s), beam attenuator index was 11, 212 213 position of measurement was 6.5 mm following pre-tests to establish the least noise and highest reproducibility in the count rate as well as the Z-average data (hydrodynamic diameter, D_H). 214 Zeta potential was measured with the same instrument with an automatic adjustment. To 215 prevent the growth of bacteria in samples during the course of the experiment, we added 10 216 mM sodium azide. This was also beneficial due to its buffering capability to ensure a stable 217 218 pH, although corollary measurements indicated a potential variation of ± 0.52 at pH 7-10 over 24 h. 219

All experiments were conducted for at least 5 hours and in duplicate according to this 220 procedure: (1) prepare particle dispersion in DI water with a final particle concentration 50 221 mg/L, and sodium azide concentration 10 mM; (2) adjust pH at 6, 7, 10, or 11 ± 0.05 with 222 NaOH/HCl (100 mM); (3) ultrasonicate 5 min, add electrolyte (CaCl₂) to reach concentrations 223 224 of 0, 1, 2.5, 3, 5, 7.5, 10 mM, immediately vortex 5 sec, transfer to a disposable cuvette and immediately start the DLS measurement. The whole process duration, from ultrasonication 225 until the start of the first measurement, was 70 ± 20 sec. Standard sample volume of 3 mL 226 resulted in a measurement depth of ~2.33 cm. We also investigated the impact of the 227

measurement depth by varying the sample volume as 1 mL, 3 mL, and 4 mL, corresponding to approximate measurement depths of 0.33 cm, 2.33 cm, and 3.33 cm, respectively. The DCR, which is the measured DLS count rate divided by the attenuation factor was extracted from the DLS data and used as an indicator of mass concentration.⁵⁶⁻⁵⁸ To assess the significance of the relationship between DCR and the mass concentration, DCR was measured at different concentrations (1, 5, 10, 50, 100, 250, and 500 mg/L) of HAp NP.

234 **Results and Discussion**

235 *Experimental results*

Figure 1 shows averaged D_H and DCR for HAp at various solution chemistries over 5 hours. 236 DLS measurements of aggregate D_H evolution showed low noise and good reproducibility as 237 238 indicated by small standard deviations of duplicate experiments (error bars, Fig. 1). However, stability of DCR measurements tended to decrease over time. At constant pH 6, below 3 mM 239 240 $CaCl_2$ the slope of D_H versus time is near linear on a semi-log plot throughout the experiment; 241 above 3 mM the slope asymptotes from a steep slope at < 40 min to a gentle slope at greater times, revealing both early and late stages of aggregation/sedimentation (Fig. 1a). This also 242 suggests that the CCC lies between 3 and 5 mM CaCl₂. Similar behaviour is observed for 243 various pH when the ionic strength is held at 5 mM CaCl₂ (Fig. 1b). Figures 1c,d illustrate 244 DCR data normalized to the initial DCR value of each experiment. This quantity drops below 245 0.5 by the end of the experiments in most cases under the DLA regime (>4 mM CaCl₂). 246 However, normalized DCR increases over time for RLA regime (CaCl₂ concentrations < 4247 mM). This increasing trend might be interpreted as settling, slow-aggregating particles arriving 248 249 at the point of measurement from further up the water column, causing increase of mass concentration in this point to above the initial uniform concentration in the sample. Such an 250 increased concentration in the lower positions of the water column has been already reported.⁵⁹⁻ 251

⁶¹ Although DCR data have been used as proxy for colloid mass concentration in multiple 252 studies,^{57, 58, 62, 63} its application in NP studies is less common,⁵⁶ and there have been indications 253 that DCR can be affected by size⁶⁴ or the number of particles⁶¹ in addition to the mass. We 254 assessed the relationship between the DCR and HAp concentration in the ranges 1 to 100, 250, 255 or 500 mg/L in DI water. The result of this investigation revealed a linear correlation ($r^2 > 0.98$ 256 and $P \gg 0.05$) between these two factors (Fig. S1, SI). We should note that the use of DLS 257 258 data like any experimental technique has inherent measurement uncertainties, e.g., mean hydrodynamic size might be affected by the larger fraction of PSD.⁶⁵ However, as shown in 259 260 PSD results (Figs. S2 and S3) developing a monodisperse PSD toward later times suggests that this impact might not be significant here. 261

262 Model screening

A detailed discussion of the screening of the 24 model combinations is provided as SI (Figs. 263 S4-S6 and Tables S1-S4). Briefly, for both FP and MP models, calculation of collision 264 frequencies using the simple fractal approach or Davies permeability correlation led to poorer 265 matches between the experimental and modelled D_H values (Fig. S4) than when permeability 266 collision frequencies were based on the Brinkman model. In the two former collision models, 267 R^2 of D_H data was below 0.5, whereas for the Brinkman permeability model R^2 increased up to 268 0.71 (Tables S1 and S2). Fits to DCR data were relatively insensitive to model type (Table S3). 269 The simple fractal and Davies permeability correlation approaches led to D_H curve shapes 270 inconsistent with the experimental data. Therefore, we discarded these two collision frequency 271 models. The best among the settling velocity models, using the FP numerical approach, was 272 the power-law formulation in terms of fitting for D_H data ($R^2 = 0.71$). Using the MP scheme, 273 the power law, Brinkman permeability, and size distribution models gave close fitting results 274

with R^2 equal to 0.68, 0.71, and 0.70, respectively, against D_H data and 0.62, 0.58, and 0.87, respectively against DCR data (Table S2).

The FP model performs much faster than the MP method in simulating both early and late 277 stages of aggregation. In fact, once the initial stage of the aggregation is passed, a significant 278 increase (e.g., 500 times) in the time step length can be adopted for the FP model without 279 affecting model stability. The MP method, in spite of being originally faster than FP,⁵³ is not 280 281 as flexible as FP in reducing the number of time steps in the late stage, potentially because of sharp gradients at longer times caused by the additional volume-based equation in the MP 282 model. The final selected model set (FP, Brinkman-permeability-based collision frequency, 283 and power-law settling velocity) based on a case-specific initial PSD (approach A, Table 1) fit 284 the experimental data with mean R^2 of 0.795 (D_H) and 0.670 (DCR) for all cases with various 285 pH and IS except the cases under the RLA regime (Fig. 1, Table S3). The model matched to 286 D_H data could well describe normalized DCR trends under the DLA condition, suggesting that 287 in this regime DCR is an appropriate representative of mass concentration. 288

289 Aggregate structure and sedimentation velocity

The model fit results indicate that models assuming bulk density-controlled sedimentation tend 290 291 to outperform models assuming permeability-controlled sedimentation. The impact of aggregate structure on settling velocity is a disputed subject.⁶⁶ Enhanced sedimentation 292 velocities compared to Stokes' law based on hydrodynamic size have been reported and 293 interpreted as flow through the aggregates reducing the aggregate drag.^{40, 42, 43, 67} Other 294 studies^{47, 68, 69} point to the overestimation of Stokes' law for floc sedimentation velocity. We 295 296 compared trends in terminal settling velocity versus the size of aggregates resulted from each sedimentation model used in the present study. 297

Figure 2 illustrates, for three values of D_f (1.6, 2.0, and 2.3), that the highest sedimentation 298 velocity is predicted by the Davies permeability model, followed by the Brinkman, size-299 distribution-based and power-law models, respectively. The Davies permeability model 300 predicts an increase in sedimentation velocity with decrease of D_f in agreement with other 301 studies.^{40, 43, 67} However, this model yields an estimate of settling velocity that is much higher 302 than all the other models.⁷⁰ The Brinkman model exhibits a slight increase of velocity with D_f 303 which is only discernible for particles of less than 1 μm in size—not considerable in the 304 sedimentation process.^{17, 71} This low sensitivity of settling velocity to the aggregate structure 305 contradicts experimental observations.^{40, 42, 43, 47, 67, 68} However, both power-law and size-306 distribution-based models predict increased velocity with D_f due to the greater bulk density of 307 aggregates (Fig. 2). This variation is more significant for the power-law model compared to 308 the size-distribution-based model, suggesting that the power-law expression is more sensitive 309 to D_{f} . Overall, simulations incorporating these bulk density-controlled sedimentation terms 310 311 yielded the best fits against our experimental data.

Figure 2 shows that for particles of the same matter and with constant diameter, reducing D_f 312 (i.e. increasing porosity and decreasing mass) results in considerable reduction in the settling 313 velocity according to power-law and size-distribution-based models. Recently, in an insightful 314 study, Emadzadeh and Chiew⁷² showed that for large synthetic particles (> 1 cm in size and 315 density $>> 1 \text{ g cm}^{-3}$) with identical matter and diameter, increasing porosity corresponding to 316 a decreased mass caused reduction of the terminal settling velocity. However, when particles 317 of different matter were used to maintain the mass constant too, the particles with higher 318 porosity exhibited higher settling velocity. This implies for homogeneous NP aggregates with 319 density of primary particles >> 1 g cm⁻³, the impact of the bulk density of aggregates far 320 outweighs permeability or drag effects, in agreement with our analysis based on best fits to 321 early and late stage HAp aggregation data and in contrast to Johnson et al.⁴³ 322

323 Attachment efficiency and fractal dimension

Table 1 shows the parameters determined from the fitting (optimisation) procedure, 324 demonstrating that with the increase of CaCl₂ concentration above 5 mM the model parameter 325 α increases above one. Classical theories of aggregation^{18, 22} prescribe that attachment 326 efficiency increases until the double layer repulsion is completely screened, where the CCC is 327 met and α is equal to one. Calculation of DLVO profiles, shown in Fig. S7, confirms that at 328 329 $[CaCl_2] \ge 5$ mM there is no energy barrier against aggregation. We interpret values greater than one in this model parameter as indicating a mechanism associated with the late-stage 330 aggregation which enhances the *effective* attachment efficiency above its classical limit. 331 Although there have been cases in the literature reporting α above unity,^{67, 73-76} to investigate 332 this further, we fitted the model to D_H data only at the early stage (first 20 min) of the processes. 333 Since we found including D_f as an estimating parameter was not necessary for early-stage 334 fitting, we fixed this at 1.6 (close to the common DLA range) for all cases of pH and high IS. 335 336 Results, presented in Table S5, reveal that for most of the cases α reduces to below one. The only remaining case with $\alpha > 1$ also reduces to below one if D_f is considered as a free parameter 337 in the optimization process. 338

To scrutinize this issue further, we fixed the initial PSD in all cases based on a single-peaked 339 PSD extracted from the experimental case with no aggregation (approach B, Table 1). In this 340 approach, the very first moments of the aggregation (~70 s), which are not captured in 341 experiments, are considered by the model with shear coagulation operating, and the 342 sedimentation process turned off as described in the SI. This approach yields slightly poorer 343 goodness-of-fit (mean R^2 0.736 for D_H and 0.513 for DCR) than approach A (mean R^2 0.763 344 for D_H and 0.64 for DCR) for cases of IS > 5 mM and various pH (Figs. 1, S8 and Table S3). 345 346 In contrast to approach A, approach B yields α closer to one in all cases of high IS (maximum

 α is 1.65 which occurs at pH 7, Table 1). We furthermore investigated the condition in which α 347 varies from 0 to 1 with particle/aggregate size according to varying DLVO interaction energies, 348 using a fixed-PSD initial condition (approach C, Table 1). The results (Fig. S8 and Table S3) 349 show that for cases of IS higher than 5 mM and for various pH, mean model fit R^2 is rather 350 lower than that of previous approaches—0.687 and 0.429 for D_H and DCR, respectively. 351 Although this approach could fit experimental data cases at 5, 7.5, and 10 mM CaCl₂, with only 352 353 one adjustable parameter (D_f) , there was a need for adding another adjustable parameter in other cases, due probably to uncertainties in measurement of factors like zeta potential (with 354 common accuracy on the order of $\pm 10\%$)⁷⁷ as reported in Fig. S9 and Table S3. Even 355 considering two adjustable parameters (D_f and zeta potential), approach C was still unable to 356 fit the case at 3 mM CaCl₂. Other non-DLVO factors such as specific-ion effects⁷⁸ which have 357 not been considered in this study or some basic assumptions of the DLVO such as perfect 358 sphere⁷⁹ ignored for nonuniform-shaped porous aggregates might be reasons for the 359 discrepancies. 360

Conventionally, open aggregate structures (D_f tends to 1.8) are formed in DLA regime where 361 every contact results in attachment, while more compacted structures (D_f tends to 2.1) are 362 formed under the more selective RLA condition.^{17, 80} As shown in Table 1, models fitted across 363 364 both early and late stage data indicate that at CaCl₂ concentrations $\leq 3 \text{ mM}$ (RLA) D_f is lower than 1.8 while at \geq 5 mM (DLA) D_f ranges is higher than 2.03 and further increases with pH 365 (up to 2.7 at pH 11). Approach B yielded even larger D_f on average by 11% compared to 366 approach A. This difference was 8% for approach C. Strongly overlapping ranges of D_f for 367 RLA and DLA regimes have been frequently reported in the literature,^{47, 81-85} especially for 368 particles subject to mechanisms other than Brownian diffusion, such as 'ballistic' 369 aggregation,¹⁷ orthokinetic aggregation,^{81, 86} and differential sedimentation.¹⁹ These can be the 370 result of linear trajectories¹⁷ or restructuring.^{19, 41} It has also been indicated in the literature^{87,} 371

⁸⁸ that rapid particle-cluster aggregation leads to a denser aggregate ($D_f = 2.5$) than clustercluster aggregation ($D_f = 1.8$). Allain et al.¹⁹ reported a D_f value of 2.2 for calcium carbonate colloids within the DLA regime under quiescent sedimentation, and others⁸⁶ reported D_f in range of 2.3 to 2.8 for calcium phosphate in a system with settling and shear. Experimentallymeasured D_f reported in the literature are typically limited to the early stage of aggregation or lower aggregation rates than those investigated in the present study.

Fitting the model to only the early stage of experiments showed ranges of D_f consistent with 378 conventional expectations (Table S5) under the DLA regime. The contrasting trends obtained 379 when fitting to both stages together suggests the crucial role of late-stage aggregation process 380 in modifying this parameter. Our observation of an increase in the DCR curves under the RLA 381 regime versus decreasing DCR in the DLA regime indicates that sedimentation under the RLA 382 regime is slower than that under the DLA regime (Fig. 1c). This implies that the bulk density 383 and therefore D_f of aggregates in the RLA regime should be lower than that under the DLA 384 condition. 385

We deduce that in the late stage, evolved aggregates formed from mixed particle-cluster 386 populations under the RLA condition are less compact than early-stage aggregates, potentially 387 as a result of irregular packing geometries and inaccessibility of internal pore spaces to incident 388 particles in unfavourable interaction conditions.⁸⁹ Decreasing D_f over the course of experiments 389 has been observed already for fullerene NP under both DLA and RLA regimes.⁹⁰ However, 390 due to uncertainties associated with the DCR data obtained under the RLA regime (discussed 391 below), further experimental/modelling investigations are required to confirm this explanation. 392 Conversely, aggregates formed in late-stage DLA conditions may have higher D_f (compared to 393 less-compact early stage aggregates) as a result of internal reorganisation of particles within 394 each aggregate, aggregate-aggregate collisions, and trapping individual particles in voids of 395

large, open clusters during their downward sedimentation.^{19, 89} It should be mentioned that model-fit parameter values might bear both experimental and model uncertainties. Direct experimental measurement of D_f under the late-stage conditions used in this study is a priority for future work to confirm the model predictions reported here.

Although all three modelling approaches are reasonably successful in fitting the experimental 400 data, none of final models does so while maintaining all parameter values simultaneously 401 402 within the ranges expected from previous literature. The model formulation applied in the present study is not able to reproduce the experimentally observed rise in DCR data under the 403 RLA regime, because the sedimentation term used in these models is a simple decay term (last 404 term in Eqs. 1,3) which avoids the computational expense and potential numerical dispersion 405 problems of solving a spatio-temporal partial-differential equation.⁹¹ Although it was shown 406 that DCR is an appropriate indicator of the mass concentration under DLA, it remains for future 407 studies to validate this conclusion under the RLA regime. The modelled PSDs are shown in 408 Figs. S2 and S3 and discussed in detail in the SI. Overall, approach B demonstrates the best 409 overall match between observed PSD and modelled PSD through late-stage conditions. 410

411 Mass removal rates and measurement depth

Table 1 shows the predicted mean percentage mass removal after 5 h within a 3 cm modelled 412 water column for different pH and IS, using parameter values estimated in both early and late 413 stages. Under the RLA regime (2.5 and 3 mM CaCl₂) a negligible ($\leq 0.5\%$) decrease in mass 414 concentration indicates that sedimentation is minimal, in agreement with our experimental 415 observations (Fig 1c). Under the DLA regime (5-10 mM CaCl₂) and at various pH, for the three 416 approaches A, B and C predicted mass removal reaches 85.4%, 74.5%, and 71.0%, 417 respectively. Figure 3 shows that predicted mass removal is greater in the upper part of the 418 water column. Removal percentage is maximum at pH 7 (86.4%, average of the three modelling 419

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420 approaches, Table 1). With increasing pH above pH 7, mass removal decreases (down to 63.6% 421 on average), consistent with the decrease of α and increase of D_f (Table 1). Surprisingly, as 422 illustrated in Fig. 3 under the DLA condition, the percentage mass removal consistently (under 423 almost all modelling approaches) decreases with increase in the IS from on average 79.5% at 424 5 mM CaCl₂ to 78.3% and 68.9% at 7.5 and 10 mM CaCl₂, respectively (Table 1, Fig. 3).

We examined experimentally how the depth of measurement from the surface of the liquid 425 426 affects the parameter values obtained using approach A. The results for depths of 0.33, 2.33, and 3.33 cm are presented in Table S6 and Fig. S10. For measurements at short distance below 427 the water surface (0.33 cm), the aggregation is significantly lower than when measured at 428 greater depths (2.33 and 3.33 cm). This might indicate the significance of differential 429 sedimentation in aggregation of HAp NP. This also indicates that the late stage of coupled 430 aggregation-sedimentation processes is less noticeable in the regions just below the surface of 431 water. The model fit results (Table S6) confirm that late-stage influences on both α and D_f 432 increase with the measurement depth. Crucially, α determined for the smallest depth (0.33 cm) 433 434 assumes a value lower than one and D_f is relatively closer to the common threshold of DLA 435 regime which agrees with fit result of early stage alone (Table S5). This strongly associates parameter values $\alpha > 1$ and D_f outside expected ranges of DLA regime, with the complications 436 437 of interacting late-stage processes during coupled aggregation and sedimentation.

438 Implications for the fate of NP in groundwater and aquatic environments

The combination of experiment and modelling approaches presented here has enabled the complex interactions between aggregation and sedimentation to be investigated in NP systems well inside the late-stage at which the particle-aggregate population has evolved significantly from its initial PSD. These conditions are likely to be the norm in most environmental systems in which NP have been resident for any length of time. We demonstrate that population balance

models can be applied to systems at both stages of aggregate evolution. Although the best-444 performing model suite included permeability-based models for describing collision 445 446 frequencies, the empirical, density-controlled descriptions for settling velocity were able to better describe the observed trends in experimental data over 5 h of coupled aggregation and 447 sedimentation. Allowing the attachment efficiency to vary with aggregate size did not 448 significantly alter model outcomes compared to assumption of a constant attachment 449 450 efficiency, but fitted parameters were much more sensitive to the specification of the initial PSD. In terms of reduction in computational effort over the late-stage of the processes, we 451 452 found the FP aggregation model has a greater capability than the MP technique. These results have significance in developing practical, computationally-efficient models for the fate and 453 transport of NP in the environment. 454

While models fitted to only early stage data showed trends in attachment efficiency and fractal 455 dimension consistent with classical theory, these trends varied as the systems evolved. Our 456 results demonstrate that at lower IS (RLA), aggregation is slow, and sedimentation is negligible 457 458 in the timescales considered here. At intermediate IS (5 mM CaCl₂) near the CCC, a moderate-459 rate DLA develops a population of NP clusters which are optimally more efficient at collecting (by aggregation) and removing (by sedimentation) large numbers of smaller particles and 460 461 aggregates than that at higher IS (7.5 and 10 mM CaCl₂) where the system moves rapidly to fewer, larger, but less-compact aggregates, and overall mass removal rates are reduced due to 462 slower sedimentation. These lower removal rates within high-IS solutions may bring about 463 lifetimes and potential transport distances of NP aggregates in aqueous suspension in settings 464 such as marine environments, coastal aquifers, or in soil pore microenvironments that are 465 longer than expected based on conventional models or extrapolations from experimental data 466 obtained only in early-stage conditions. Although the population balance techniques applied in 467 the present study alleviate computational expenses of using an arithmetic particle size 468

469	discretization well with a geometric discretization when applied to small-scale laboratory
470	conditions, it remains a question whether such models are efficient when coupled to fate and
471	transport models at larger environmental scales, e.g., aquifer and watershed.

472

473 Supporting Information.

474 <u>PDF File:</u> Full model equations; details of MATLAB code; DVLO calculations and results;
475 development of the parameter estimation algorithm; results of the comparison between
476 numerical and analytical solutions; further investigations of sedimentation models; fit results
477 to PSD; graphs of approaches B and C, etc.

478 Electronic Supporting Information: MATLAB codes developed for solution of FP and MP
479 techniques and for parameter estimation of population balance models

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488

489 Figures and Tables





derived count rate (DCR) normalized to the initial derived count rate (DCR₀) (c) for HAp NPs
at the point of measurement at various IS with a fixed pH at 6 (a,c) and various pH with a fixed
IS at 5 mM CaCl₂ (b). The model used here was FP with power law formulation and Brinkman
collision model. The modelling approach includes a fixed initial PSD with considering the preearly stage of aggregation and a constant attachment efficacy (approach B).

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Figure 2. Trends of settling velocity, U, normalized to the settling velocity of primary particles, U_0 , versus particle radius of each size class, a, normalized by the primary particle radius (smallest size class), a_0 , calculated by four different types of velocity models. Fractal dimension is set as 1.6, 2, and 2.3. The primary particle radius used in these models is 40 nm.

503



Figure 3. Modelled mass concentration, *C*, profiles normalized by the initial mass concentration, C_0 , versus the water column depth at (a) 5 mM CaCl₂ and (b) 10 mM CaCl₂ after 506 50, 150, and 300 min. The continuous lines represent approach A (case-specific initial PSD) and dashed lines represent approach C (fixed initial PSD combined with variable α computed 508 by DLVO).

509

Table 1. Model parameters estimated by fitting FP models to both early and late stages of
aggregation under different electrolyte concentrations (at fixed pH 6) and different pH (at fixed
electrolyte concentration, 5 mM CaCl₂) over 5 h, based on three modelling approaches (A, B,
C), and mass removal results estimated by the models for a sample volume of 3 mL (3 cm water
depth) after 5 h. The power-law model and Brinkman-based permeability model are used to
calculate the sedimentation velocity and collision frequencies, respectively.

Parameter	Modelling	Electrolyte Concentration (mM)						pH		
	approach	2.5	3	5	7.5	10	7	10	11	
α	Α	1.1×10 ⁻⁴	7.1×10 ⁻³	11.60	8.10	1.10	15.40	6.33	1.59	
	В	2.0×10 ⁻⁶	1.0×10 ⁻⁵	1.13	1.13	1.23	1.65	1.37	0.21	
	С	NA	NA	NA	NA	NA	NA	NA	NA	
Df	Α	1.53	1.48	2.03	2.12	2.27	2.26	2.32	2.70	
	В	1.71	1.38	2.53	2.53	2.45	2.69	2.63	2.66	
	С	1.50	1.50	2.50	2.42	2.25	2.60	2.61	2.70	
Removal %	Α	0.4	1.0	86.1	86.9	70.0	94.9	88.8	84.7	
	B	0.1	0.1	77.5	75.8	71.8	86.3	81.6	50.9	
	С	0.1	0.1	75.0	72.2	65.0	78.0	76.8	55.1	

517 A: initial PSD; B: identical PSD; C: identical PSD, DLVO; NA: not applicable

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