

1 **Field Phenotyping for the Future**

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20 **Abstract**

21 Global agricultural production has to double by 2050 to meet the demands of an increasing
22 population and the challenges of a changing climate. Plant phenomics (the characterization
23 of the full set of phenotypes of a given species) has been proposed as a solution to relieve the
24 “phenotyping bottleneck” between functional genomics and plant breeding studies. In this
25 review, we survey current approaches and describe recent technological and methodological
26 advances for phenotyping under field conditions and discuss the prospects for these emerging
27 technologies in addressing the challenges of future plant research.

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30 **Keywords**

31 Field phenotyping, phenomics, sensors, phenotyping platforms

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33 **1.1 Introduction**

34 A doubling of global agricultural production is required by 2050 to meet the demands of an
35 increasing population and the challenges of a changing climate (Alexandratos and Bruinsma,
36 2012). This production increase will need to be met by more intensive use of the same land
37 area through the priority process of sustainable intensification. An aspect of this requires a
38 hastening of the plant breeding effort to deliver increased potential yields. However,
39 potential yields are not always achieved on farm, and growing attention is paid to the
40 stagnation of crop yields on farm (Ray et al., 2012). Elements of understanding and driving
41 both plant breeding gain and on-farm productivity rely on the accurate capture of the
42 phenotypic response and performance. At its broadest this encompasses harvestable yield
43 and crop quality, as well as agronomic, biotic and abiotic stress tolerance characters. At a finer
44 scale it involves the precision analysis of phenotypes for the dissection of underlying plant
45 processes.

46 Much progress has been made over the past decade in decoding and describing the genetics
47 of key plant species. This encompasses the development of molecular markers for use in
48 marker-assisted selection to accelerate breeding gain through to the generation of whole
49 genome assemblies giving unprecedented insight into the genomes of crop species. These
50 advances have also been enabled with rapid advances in bioinformatics and the development
51 of software tools and other computational resources to allow the extraction and application
52 of genetic and genomic data.

53 In comparison, developments in the detailed understanding of plant phenotypes has been
54 slow. The field of plant phenomics (the characterization of the full set of phenotypes of a
55 given species) has been proposed as a solution to relieve the so-called “phenotyping
56 bottleneck” between functional genomics and plant breeding studies (Furbank and Tester,
57 2011). This encompasses both the capture of plant phenotypes using a range of
58 methodologies and the accurate and timely extraction, analysis and application of the
59 resulting data. Although relatively well developed in controlled conditions (Bai et al., 2016),
60 progress in understanding and interrogating complex phenotypes at the field level remains
61 slow. In this review, we survey recent advances in phenotyping under field conditions and
62 discuss the prospects for these emerging technologies in addressing the challenges of plant
63 research for the future.

65 **1.2 Traditional field phenotyping approaches**

66 Through the empirical selection of favourable individuals for harvest, consumption and
67 replanting, early farmers employed the most traditional of phenotyping approaches: physical
68 appearance of a plant in its environment. Early selection led to the subsequent domestication
69 of many crop species. Studies in barley have shown the spread of favourable mutations
70 modifying response to the seasonal daylength cue for the initiation of reproductive
71 development supported the Neolithic spread of the crop for cultivation (Jones et al., 2008).
72 Farmers and breeders have continued to use phenotypic selection in an environment, or
73 series of environments, through time. Beyond domesticating wild species this has allowed in
74 particular, for the optimisation of key adaptive traits, including flowering time to ensure
75 maximum yields in a given region. Driven by physical appearance as the result of both genetic
76 and environmental effects, this process has indirectly selected a complex of underlying
77 genetic controllers. Modern plant science and breeding still rely on traditional phenotyping
78 tools. This ranges from simple measurements of growth (e.g., height), time series
79 measurements of the appearance of development stages (e.g., vegetative and reproductive
80 development), comparative numerical scores or indices (e.g., for assessment of pest or
81 pathogen infection) through to assessment of agronomic performance (e.g., yield, biomass)
82 and predictive tests (e.g., for end-use quality traits). When employed at scale and with
83 sufficient replication, many of these traits can be assessed reliably, supporting subsequent
84 selection or analysis. This is particularly true for simple traits that have a high heritability
85 (Hallauer et al., 2010).

86 Estimation of the genotypic value of a large number of selection candidates (in plant breeding)
87 or cultivars to be recommended to farmers (in variety testing and agronomy) is central to
88 breeding and/or crop production (Piepho et al., 2008). Heritability is a driver of this
89 estimation: defining the degree to which phenotypic variance is a result of genetic variation.
90 Irrespective of the means of generating phenotypic data, its heritability will impact the degree
91 to which it can be used as a selection or trait discovery tool.

92 Confounding this is genotype-by-environment interactions, which have been reviewed
93 extensively elsewhere (e.g. Yan & Hunt, 2010). The magnitude of climate change effects on
94 crops are likely to be, in part, cultivar dependent, necessitating practical solutions to tailor

95 selective breeding to changing regional patterns (Trnka et al 2014). In order to reliably devise
96 production strategies under future climatic uncertainty, trade-offs to physiologically-based
97 plant processes and productivity across environments need to be accurately characterised
98 which expands the need for development and application of accurate and high-throughput
99 field phenotyping capabilities.

100

101 **1.3 High-throughput field phenotyping platforms**

102 High-throughput plant phenotyping can be delivered in the field via a variety of platforms,
103 across a range of scales (see Figure 1 for examples) and using a diverse array of sensor
104 modalities (Araus and Cairns, 2014). Platforms can be broadly classified as those operating at
105 ground-level (both above and below the soil surface) and those operating aerially (air- or
106 space-borne). The appeal of these platforms is the increased throughput and impartiality with
107 which they collect data when compared to traditional field approaches.

108 **1.3.1 Above-ground phenotyping platforms**

109 In comparison to phenotyping under controlled conditions, where the movement of either
110 sensors or plants can be automated to increase throughput, ground-based plant field
111 phenotyping requires either a network of fixed sensors or a system to move sensors over the
112 crop. The simplest systems operate at the lowest spatial resolution (single plants or
113 experimental plots) and consist of fixed platforms typically monitoring the local environment
114 and imaging crop development using visible light cameras (Naito et al., 2017; Zhou et al.,
115 2017). These systems have the advantage of being relatively inexpensive, allowing
116 deployment of multi-unit networks to increase throughput to the whole-field level (Zhou et
117 al., 2017).

118 Multiple plots can be assessed using mobile platforms, the simplest of which are wheeled
119 buggies or “phenocarts”- hand-propelled platforms capable of deploying heavier sensor
120 payloads than can be carried by an individual user (White and Conley, 2013). Motorised
121 versions of the cart design have been developed that, although still requiring an operator,
122 allow high-throughput positioning of sensor arrays across an experimental field (Deery et al.,
123 2014; Jimenez-Berni et al., 2018). Fully-autonomous ground vehicles (ranging in size from
124 small robots capable of navigating between row crops to tractor-sized vehicles) offer the

125 promise of unattended field monitoring and have been the focus of much recent research
126 (Shafiekhani et al., 2017; Underwood et al., 2017; Burud et al., 2017; Grimstad and From,
127 2017). Trailer or tractor-mounted systems have the benefit of utilising precision agriculture
128 platforms already present at most field sites and have been extensively used for row crops
129 (Comar et al., 2012; Busemeyer et al., 2013; Fernandez et al., 2017; Tanger et al., 2017).
130 Drawbacks of tractor-based systems (and heavier autonomous ground vehicles) are that they
131 cannot be deployed in adverse weather or soil conditions and that repeated traversing of the
132 field may lead to unwanted soil compaction, impacting plant development (Virlet et al., 2017).
133 Compaction can be avoided by use of larger versions of fixed platforms (often termed
134 “phenotyping towers” or “phenotowers”, Figure 1) which can be either installed on a
135 temporary basis or fixed in position (Ahamed et al., 2012; Shafiekhani et al., 2017; Naito et
136 al., 2017). Crane or gantry installations can accurately and repeatedly position heavy sensor
137 payloads along the three axes of a research field (Virlet et al., 2017). However, the size of
138 field used in such systems is relatively small, making this an expensive approach for multi-site
139 trials (Fernandez et al., 2017). Cable- or zip-line platforms (Kirchgessner et al., 2017) generally
140 have a lower payload than fixed gantries, but may be repositioned across multiple sites as
141 required.

142 **1.3.2 Aerial phenotyping platforms**

143 There are four main platforms for aerial deployment of phenotyping sensors: dirigibles
144 (airships and blimps), drones (unmanned aerial vehicles), manned aircraft, and satellites; each
145 having its own benefits and drawbacks. Dirigibles, whilst able to carry a heavy payload, have
146 slow airspeeds and a lack of stability in high winds (Leibisch et al., 2015). Drones, both rotor
147 and fixed wing, have the ability to fly at lower altitudes and speeds allowing for higher
148 resolution images, making them suited for trials with smaller plots (~1m²) such as wheat
149 nursery trials (Herwitz et al., 2004; Link et al., 2013). Drones are less expensive than other
150 aerial systems and require smaller landing/take off areas, allowing them to be used in
151 numerous locations. The disadvantage of drones is a limited payload capacity (<20 kg and
152 much lower in most models) and flight time, reducing the type and number of sensors that
153 can be carried (Yang et al., 2017). Drone flights are also limited by weather conditions, with
154 flights ideally performed in good weather (clear, still, dry days) similar to the conditions

155 required for application of agronomic inputs. As such, drone flight days can be limited for field
156 trials in temperate climates and have to be organised so as not to disrupt other field activities.

157 Manned aircraft have a far greater carrying capacity compared to drones and can cover larger
158 areas in comparable flight times. This allows data from entire trial stations to be collected in
159 one flight with numerous sensors. Manned aircraft can also operate in more challenging
160 conditions than drones. Whilst conditions should ideally still be cloudless, manned aircraft are
161 more stable and therefore less affected by the wind. They also fly at higher altitudes and thus
162 do not interfere with other farming practices occurring at the same time. These advantages,
163 however, come at the cost of resolution with most aircraft-mounted sensors operating at one
164 pixel per 1 m² compared to 0.05 to 0.15 pixels per m² for drones. As the aircraft is travelling
165 at a higher speed, image blur can be an issue making image stitching for orthomosaics more
166 challenging (Herwitz et al., 2004; Link et al., 2013). This limits the type of trial aircraft can be
167 used for (a maximum plot size of ~8m² which precludes nursery trials) and lessens the ability
168 to capture within-plot variations which can be key to explaining some results. The initial set
169 up cost and logistics of deploying manned aircraft make it unlikely that many organisations
170 will develop in-house solutions but for larger scale field trials (>1 ha) data from subcontracted
171 manned aircraft is now comparable in cost to subcontracted data collected using drones.

172 Satellites are not ideally suited for plant field phenotyping research despite being the
173 cheapest source of data on the market (Lelong et al., 2008). Satellite imagery is lower-
174 resolution than other aerial techniques and as the platforms are in orbit, sensor choice is
175 fixed. The WorldView-3 Earth observation satellite (worldview3.digitalglobe.com), which
176 provides publicly-available data, carries a multispectral camera with a resolution of 1.24 m².
177 For most satellite-deployed sensors, cloud cover prevents effective capture of trials data.
178 Despite these current limitations, satellite-based phenotyping platforms will probably be a
179 viable option in the future as sensor resolution increases and cloud penetrating sensors are
180 deployed allowing for regular, reliable collection of high quality data.

181

182 **1.4 Sensors for phenotyping**

183 The characteristics of each platform determine the sensors that can be employed (Table 1).
184 For example, sensors utilising line-scanning for data acquisition obviously cannot be used on

185 static platforms. Whilst most sensors have models that can be deployed on both ground and
186 aerial based platforms, the quality of sensor and the information collected can vary
187 dramatically. Features such as maximum payload, positioning precision, field of view, and
188 distance above crop will determine the appropriate sensors for each scale of platform.

189 <Table 1 here>

190 The simplest sensors are visible light (400-700 nm) cameras (often termed RGB imaging) that
191 can be deployed at every scale of platform, producing two-dimensional (2D) colour images.
192 Using visible light cameras on ground based platforms allows the analysis of individual plants
193 and plots. A drawback of 2D imaging is occlusion caused by overlapping leaves in older plants
194 and difficulties in image segmentation of plant material from soil, making estimations of
195 biomass inaccurate (Fiorani and Schurr, 2013). Imaging using multiple cameras allows
196 reconstruction of three-dimensional (3D) features, though rarely at the resolution seen in
197 controlled condition platforms. A high resolution RGB camera mounted on a drone or a
198 manned aircraft can provide a range of useful phenotypic data at plot level (1 m² and above).
199 As with ground based platforms, images from drone-mounted RGB cameras can be used to
200 measure basic traits such as height and crop cover, allowing assessment of traits such as
201 lodging and leaf area index (Bendig et al., 2014). As drones can cover large areas quickly, RGB
202 cameras can be deployed as agronomic tools in field phenotyping trials. Visual assessments
203 of the previous crop before the trial crop is planted will identify any areas of the field that are
204 performing badly or are lacking nutrients allowing researchers to intervene to provide the
205 most homogeneous trial environment possible and limiting any confounding effect of
206 environment (Zaman-Allah et al., 2015).

207 A key step in the analysis of image sensor data from aerial (and some ground based platforms)
208 is the production of an orthomosaic image (“orthoimage”), also termed a digital
209 elevation/surface model depending on the sensor. Obtaining an orthoimage is a multi-stage
210 process. Firstly the inspection and distortion characteristics of the camera and lens is required
211 before images can then be manipulated to ensure consistency of brightness, grayscale, and
212 texture (Yang et al., 2017). This is usually achieved by placing ground control points at fixed
213 points in the field of known colour and texture (Richards, 1999). Finally, images are stitched
214 together based on feature points within the images, in combination with aerial triangulation
215 data (Colomina and Molina, 2014) to produce a mosaic. It is from these mosaics that the

216 reflectance of certain light bands can be extracted from pixels in specific locations and
217 compared over a large area (Figure 2).

218

219 By combining RGB and near infrared (780 – 2500 nm) cameras (or by using a dedicated
220 multispectral camera), various vegetation indices (VIs) can be determined (Yang et al, 2017).

221 The accuracy of phenotype prediction using these indices varies depending on the stringency
222 during VI development and the population being assessed. In many cases of VI development,

223 the accuracy of phenotype prediction is assessed by correlating against a phenotype
224 quantified using traditional methods. Whilst this method can be effective, it can be

225 confounded by issues facing all correlations; sample size, measurement errors, homogeneity
226 of the sample, identification of outliers and hidden variables. It is for this reason that trials

227 phenotyped using VIs should initially have a subset of plots assessed by traditional methods
228 for validation. Multispectral sensors represent the next level of technology from RGB cameras

229 and are widely used in both academic and commercial field trials as they are more effective
230 at segmenting green plant material from soil. As a result, multispectral cameras are better at

231 predicting plant height, crop cover and predicting crop yield than RGB cameras (Yang et al,
232 2017). True hyperspectral cameras (those which measure continuous and contiguous ranges

233 of wavelengths) have traditionally been very expensive line-scanning devices more suited for
234 laboratory use (Fiorani and Schurr, 2013). A new generation of lighter, relatively cheaper

235 devices has made incorporation into field phenotyping platforms possible, although the large
236 amounts of data such cameras produce pose an analysis bottleneck when mounted on ground

237 based platforms. On aerial platforms, hyperspectral cameras present a step-change in
238 information and quality of prediction compared to multispectral models. Currently,

239 hyperspectral cameras are mainly used to identify and accurately measure traits that could
240 potentially be identified using a multispectral camera, e.g., nitrogen content and biomass,

241 chlorophyll content, water content and photosynthetic parameters (Yang et al., 2017).

242 Researchers have also developed novel assessment methods that previously were not
243 possible with multispectral sensors. For example, Zarco-Tejada et al. (2013) has identified leaf

244 carotenoid content in vineyards whilst Uto et al. (2013) were able to identify chlorophyll
245 density, not just chlorophyll content, in rice paddies.

246

247 Thermal imaging in the field, whether deployed on the ground or in the air, usually employs
248 long-infrared (9000 – 14000 nm) sensors and can quantify useful functional traits such as
249 water stress (Gonzalez-Dugo, 2013), disease (Nilsson, 1991), stomatal conductance, and
250 transpiration rate (Baluja et al., 2012). Thermal sensors require calibration and correction for
251 ambient temperature, wind speed and solar radiation which may confound time course
252 imaging (Sugiura et al., 2007; Deery et al., 2014). As with RGB imaging, segmentation of plant
253 thermal signals from that of the soil is difficult in sparse canopies (Li et al., 2014) which can
254 be problematic as most thermal phenotyping requires a high accuracy (< 0.5°C).

255

256 LiDAR (light detection and ranging) is an active sensor technology that can quantify ground
257 cover, canopy height and above-ground biomass. Modern LiDAR units are light enough to be
258 used on most ground and aerial platforms (Grimstad and From, 2017; Virlet et al., 2017).
259 Despite its expense and relative complexity, LiDAR offers several advantages over RGB
260 imaging - it is insensitive to ambient light changes and produces a direct measurement of
261 canopy architecture (Jimenez-Berni et al., 2018). LiDAR mounted on aerial platforms lacks the
262 accuracy to correctly measure canopy architecture of short crops, limiting its utility during
263 earlier growth stages when assessing architecture is important. This limitation, coupled with
264 the high cost and image processing requirements has meant that LiDAR has not yet been
265 extensively deployed on aerial based platforms for crop phenotyping.

266

267 Synthetic-aperture radar (SAR) is a promising technology based on detection of radar echoes
268 to produce high-resolution three-dimensional images even in bad weather (Wang et al.,
269 2014). SAR sensors are currently too large and expensive to readily be deployed on drones
270 and manned aircraft and as such are mainly used on satellites making the resolution currently
271 too low for monitoring small plot crop trials.

272

273 **1.5 Below-ground phenotyping**

274 Phenotyping for below-ground traits in the field has seen comparatively less advancement
275 than above ground sensors and platforms, largely due to the difficulties associated with
276 imaging and data capture (Atkinson et al., 2018).

277 Classical destructive techniques such as digging trenches to directly observe and quantify
278 roots (Voss-Fels et al., 2018), soil coring and root washing (Frasier et al., 2016) or soil monolith
279 sampling (Kuchenbuch et al., 2009) are still widely used. Although these methods provide high
280 levels of detail, the time taken to physically remove soil and quantify samples makes them
281 inherently low throughput. The core-break method, another longstanding technique,
282 increases the throughput of coring and root washing by breaking/slicing soil core samples at
283 set intervals and only quantifying the visible roots revealed by each break, as a representation
284 of root biomass at each interval (Kuecke et al., 1995). This method has recently been
285 improved and partially automated by employing UV illumination and fluorescence
286 spectroscopy. The fluorescence images have significantly enhanced soil-root contrast when
287 compared to RGB, allowing for automated image processing and quantification (Wasson et
288 al., 2016).

289 Rhizotrons, usually defined as any type root observation chamber with a transparent window,
290 come in a variety of forms and sizes. Traditionally, a rhizotron refers to an underground
291 laboratory dug into a field with transparent viewing windows such as the EMR Rhizolab (NIAB
292 EMR, 2018), allowing the soil profile and any roots contacting the observation window to be
293 studied and quantified. The term is also used for lab installations where roots are grown in
294 artificial soil-filled boxes or between plates with transparent or removable covers such as the
295 GROWSCREEN-Rhizo platform (Nagel et al., 2012). Minirhizotrons are the most common type
296 of field-deployed rhizotron consisting of a transparent cylinder inserted into the soil, into
297 which an imaging device can be lowered to quantify the soil and roots contacting the cylinder
298 walls (Chen et al., 2018; Liu et al., 2018a; Herbrich et al., 2018). The main advantage of a
299 minirhizotron is that a single imaging device can be used in multiple tubes, with the limitation
300 on throughput being deployment of the tubes themselves rather than imaging/data
301 acquisition. Their main disadvantage is that tube installation often causes artefacts in the soil,
302 with a period of 6-12 months between installation and data capture being recommended to
303 allow some of the disturbances to dissipate (Johnson et al., 2001).

304 The crown root phenotyping technique “shovelomics” (Trachsel et al., 2011) is becoming a
305 widely adopted method due to its relatively high throughput. The protocol, originally
306 designed for maize, involves manual excavation of the crown root system and quantification
307 of a number of key root architectural traits such as crown root number and angle. These traits

308 can be quantified directly from the excavated crown, or from images using automatic image
309 analysis software such as DIRT (Bucksch et al., 2014) and REST (Colombi et al., 2015). Although
310 automated image analysis has increased overall throughput of the method, the rate-limiting
311 step is still the manual excavation of the crown root system. Automation of this process is
312 being addressed by the DEEPER project at Pennsylvania State University, part of the ARPA-E
313 funded ROOTS program. Field-deployable systems for root phenotyping using several other
314 sensor technologies (X-ray computed tomography, magnetic resonance imaging,
315 thermoacoustic imaging) are also being developed as part of the same program (ARPA-E,
316 2018).

317 Geophysical sensors, more commonly utilised in archaeology and engineering, have seen
318 significant advancement in recent years and are now commonly used in soil and root profile
319 phenotyping. Electrical Resistance Tomography (ERT) can be used to quantify soil structure
320 and water profiles by measuring electrical resistivity via arrays of probes inserted into the soil.
321 ERT is an indirect method to quantify root activity via mapping soil drying caused by plant
322 water uptake (Srayeddin and Doussan, 2009). ERT has been employed to analyse large
323 diameter root profiles (e.g. trees (Amato et al., 2008)) but is starting to see adoption in crop
324 phenotyping (Srayeddin and Doussan, 2009; Whalley et al., 2017). Although ERT has
325 advantages such as non-destructive data collection, its throughput is limited by the number
326 of probe arrays that can be placed and maintained in the field throughout the season.

327 Electromagnetic inductance (EMI) measures soil electrical conductivity and can be used to
328 quantify root activity by measuring soil water profiles in a similar fashion to ERT. EMI collects
329 data at a significantly higher throughput compared to ERT as it does not require probe arrays
330 or direct contact with the soil (Shanahan et al., 2015), requiring a single sensor for
331 measurement of multiple plots (or even fields) in reasonably quick succession. However, EMI
332 has a lower spatial resolution than ERT, and also requires data calibration using a second
333 method such as penetrometer mapping (Whalley et al., 2017).

334 Neutron probes also quantify soil water content and are used as an indirect measure of root
335 activity in a similar fashion to EMI and ERT. A radioactive source is placed on the soil surface
336 or lowered into an access tube and emits fast neutrons into the soil which interact with
337 hydrogen atoms in water, thermalizing and scattering the neutrons. These thermalized
338 neutrons can then be quantified as an estimate of water content. Neutron probes are a widely

339 accepted method for measuring soil water content (Whalley et al., 2017) and are frequently
340 used in root phenotyping e.g. (Zhang et al., 2016), but are limited in terms of throughput as
341 they require access tubes in the soil and extra handling precautions associated with the use
342 of a radioactive source.

343 Ground penetrating radar (GPR) maps sub-surface structures by measuring reflection,
344 refraction, and scattering of pulses of high-frequency radio waves, with a similar data
345 collection throughput to EMI. GPR does not currently have the resolution to detect individual
346 objects less than 2 mm in diameter, but has previously been used to quantify larger diameter
347 tree roots (Liu et al., 2016). Despite spatial resolution limitations, it has recently been
348 demonstrated that GPR can detect bulk root biomass in wheat and sugarcane, although with
349 limited ability to detect differences between genotypes (Liu et al., 2018b).

350

351 **1.6 Conclusions and Future Perspectives**

352 The adoption of high-throughput technologies has generated a potential new bottleneck in
353 the phenotyping pipeline – the handling, management and analysis of very large amounts of
354 data. Whilst challenging to manage, such large datasets also offer opportunities for modelling
355 and machine learning analyses (Coppens et al., 2017). Machine learning represents a solution
356 to the problem of analysing large image datasets, with automated feature detection capable
357 of producing highly accurate results. For example using a deep machine learning approach,
358 wheat spikes and spikelets have been identified in complex images with >95% accuracy
359 (Pound et al., 2017). As more datasets are produced and made publically available, the
360 accuracy of such techniques will increase. Modelling approaches are capable of fully utilising
361 the large amount of sensor data to provide more reliable phenotype predictions than
362 vegetation indices (Jin et al., 2018). Crop modelling describes phenotypes or crop growth
363 traits as functions of various metadata, both genetic and environmental. One of the main
364 limitations of these models has been a lack of (or unreliable) spatial data. Field-deployed
365 sensors offer the opportunity to collect reliable and accurate spatial descriptors of soil
366 properties and canopy phenotypes of crops (reviewed in Jin et al., 2018 and Kasampalis et al.,
367 2018). From this data predictive models for the phenotype of interest can be developed for
368 use in future studies. As with machine learning, subsequent trials will provide more data to
369 further improve model accuracy and predictive power.

370 The recent advances in plant phenotyping approaches under field conditions reviewed above
371 offer the promise of high-throughput collection of phenotypic data and unbiased
372 quantification of novel traits for functional analyses and assessment of field performance.
373 Such platforms have been widely adopted by research organisations and are being more
374 slowly adopted by plant breeders as the technology matures and the benefits are proven.
375 Ground based platforms with new sensor modalities allow researchers to study many aspects
376 of plant development at a level of detail not previously possible. Aerial sensors offer the
377 opportunity to non-destructively assess traits such as photosynthetic activity and water stress
378 at regular intervals over large scale field trials. For many years, root system traits have been
379 less studied by field researchers due to a lack of suitable techniques; new below-ground
380 techniques and sensors have made it possible to assess various aspects of root growth *in agri*,
381 informing new selection criteria for crops for sustainable farming systems.

382

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392 **Figure Legends**

393 **Figure 1.** Field phenotyping platforms. Adapted from (Shakoor et al., 2017).

394 **Figure 2.** Orthoimage of a large-scale wheat field trial compiled from images captured by a
395 drone using RGB and multispectral cameras (NIAB, *unpublished*). (a) RGB camera image. (b)
396 Plots overlaid with a heat map showing Normalized Difference Vegetation Index (NDVI) for
397 each plot calculated from multispectral camera data. Scale bar: 20m.

398

399

400 **Table 1.** Sensors deployed in field phenotyping (adapted from Yang et al., 2017).

Sensor	Spectral bands	Wavelength range (typical)	Potential applications	Advantages	Disadvantages
Digital camera	Red Green Blue	400 – 700 nm	Leaf colour, plant height, lodging, canopy cover, intercepted radiation, LAI, 3D structure, leaf angle	Low cost, light weight, convenient operation, simple data processing	Low radiometric resolution, lack of proper calibration
Multispectral camera	Red Green NIR	490 ~920 nm	See above and leaf nitrogen content, yield, chlorophyll, biomass, weed emergence	Low cost, flexibility	Fewer bands, low spectral resolution, discontinuous spectra
Hyperspectral camera	100-1600	250-2500 nm	See above and net photosynthesis, nitrogen, chlorophyll, disease detection	More bands, higher spectral resolution	Expensive, complex data processing, sensitive to weather
Thermal imager	Long IR	7.5–13 μ m	Canopy temperature, stomatal conductance, water potential	Indirect determination of crop growth status under abiotic and biotic stress	Sensitive to weather, frequent calibration, difficult to eliminate the influence of soil
LIDAR	UV Visible NIR	532-1550	Plant height, biomass	Rich point cloud information, acquisition of high precision 3D canopy structure	High cost, data processing
Synthetic Aperture Radar	-	1-1000 mm	Crop identification, crop acreage monitoring, key crop trait estimation and yield prediction	Collects data even in cloudy weather	High cost, data processing, Mainly limited to satellites therefore only used for large plot work
Ground Penetrating Radar	Ultrawideband 0 – 1000 MHz	-	Detection of root bulk root biomass or large diameter tree roots	High throughput	Cannot detect fine roots, limited ability to detect genotypic differences

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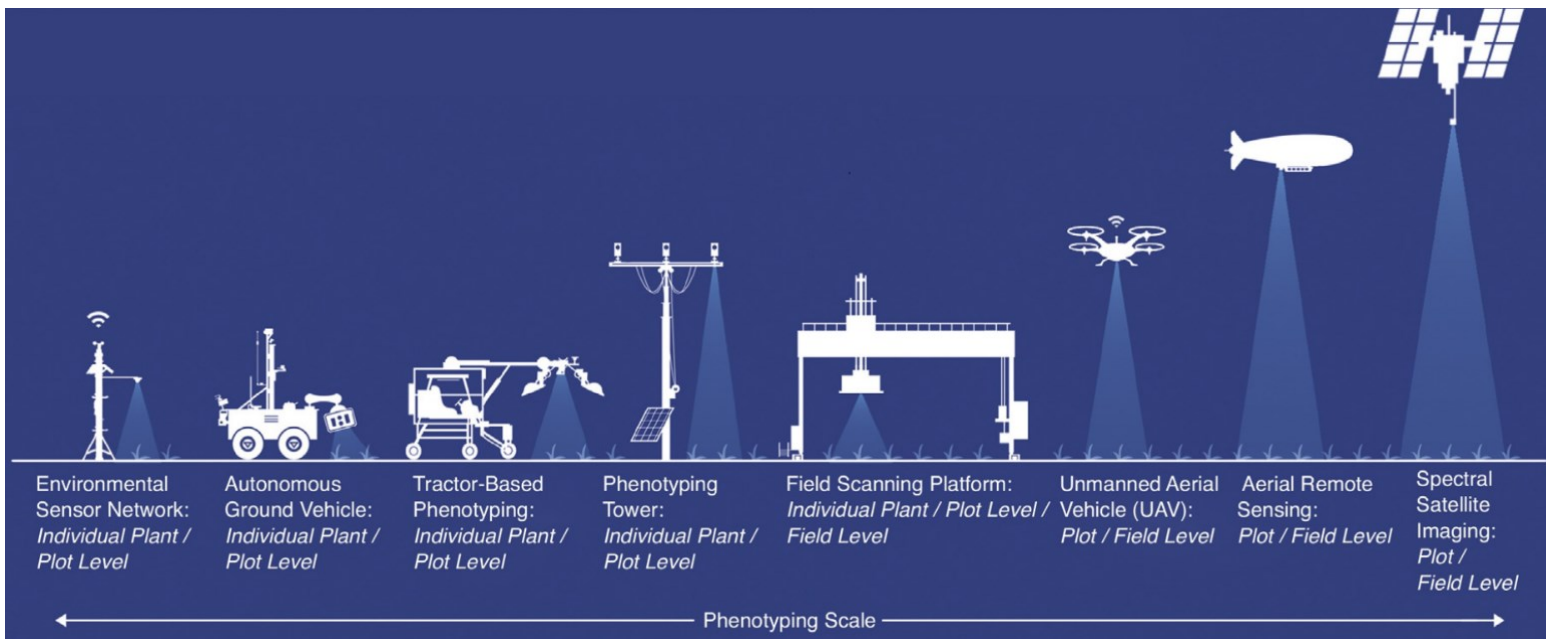
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603 Figure 1.



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613 Figure 2.

