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# Microalgae as substrates for fermentative biogas production in a combined biorefinery concept

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### ABSTRACT

Most organic matter can be used for bioenergy generation via anaerobic fermentation. Today, crop plants like maize play the dominant role as substrates for renewable biogas production. In this work we investigated the suitability of six dominant microalgae species (freshwater and saltwater algae and cyanobacteria) as alternative substrates for biogas production. We could demonstrate that the biogas potential is strongly dependent on the species and on the pretreatment. Fermentation of the green alga *Chlamydomonas reinhardtii* was efficient with a production of 587 ml ( $\pm$ 8.8 SE) biogas g volatile solids<sup>-1</sup> (VS<sup>-1</sup>), whereas fermentation of *Scenedesmus obliquus* was inefficient with only 287 ml ( $\pm$ 10.1 SE) biogas g VS<sup>-1</sup> being produced. Drying as a pretreatment decreased the amount of biogas production to ca. 80%. The methane content of biogas from microalgae was 7–13% higher compared to biogas from maize silage. To evaluate integrative biorefinery concepts, hydrogen production in *C. reinhardtii* prior to anaerobic fermentation of the algae biomass was measured and resulted in an increase of biogas generation to 123% ( $\pm$ 3.7 SE). We conclude that selected algae species can be good substrates for biogas production and that anaerobic fermentation can seriously be considered as final step in future microalgae-based biorefinery concepts.

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#### 20 1. Introduction

The global energy demand keeps rising at a dramatic speed since 21 the beginning of the industrial revolution in the late 18th century. In 22 contrast, easy accessible fossil fuel reserves rapidly decrease which 23 leads to increasing energy prices. For these reasons, one of the major 24 challenges for industrialized countries today is it to ensure the 25 energy supply for the future. Combustion of fossil energy carriers 26 like petrol, natural gas or coal leads to the release of CO<sub>2</sub> and there-27 fore to environmental problems which are projected to manifest in 28 problematic climate changes (IPCC, 2007). In recent years, numer-29 ous ideas have been considered to develop environmentally more 30 friendly alternatives. The energy sources which are tapped include 31 wind energy, geothermal temperature differences, kinetic energy 32 stored in water (e.g. wave and tidal movements of the oceans or 33 river dams) and the irradiation of the sun. From these, by far the 34 biggest energy source is the solar irradiation. It has been calculated 35 that the energy which reaches the earth's surface equals to around 37 5600 times the global energy demand today (Schenk et al., 2008). A variety of methods have been developed to harvest this huge 38 energy source, which is technically challenging because of the dis-39

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persed and strongly fluctuating nature in which solar irradiation reaches the planet. These methods include photovoltaics, the collection of solar heat and the biological production of plant biomass and subsequent conversion. Biomass can be converted into a number of different products, e.g. into bioethanol, biodiesel or biogas. In recent years it has increasingly become clear that "first generation" biofuels such as ethanol production from plant sugars or biodiesel production from plant lipids have got comparably bad energy balances and therefore most likely can never play a major role in global energy supply, whereas "second generation" biofuels, which convert the whole plant (e.g. biomass-to-liquid or biogas fermentation) offer far greater potentials (IEA, 2010).

In general, the use of plant biomass for energy generation today is problematic because of the competition with food or feed production. This is because most of the plants used for energy generation today (crop plants, sugar cane, sugar beets, canola, etc.) have to be grown on arable land. Low demand alternatives like switchgrass are only beginning to emerge.

Algae have got a number of potential advantages compared to higher plants because of faster growth rates and the possibility of cultivation on non-arable land areas or in lakes or the ocean, therefore attenuating food and feed competition (Rittmann, 2008; Stephens et al., 2010). A promising approach therefore seems to be the use of fast-growing algae species for anaerobic fermentation to produce biogas, which then can substitute natural gas resources. Research on anaerobic fermentation of algae biomass goes back

Abbreviations: SE, standard error; VS, volatile solids.

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66 Q2 to more than 50 years ago (Goluke and Oswald, 1956). Since then quite a number of research projects have been carried out. The 67 early research efforts peaked in the late 1970th and 1980th as 68 a consequence of the first oil crises. Species under investigation 60 included several macroalgae such as Macrocystis, Gracilaria, Hyp-70 nea, Ulva, Laminaria and Sargassum (Chynoweth, 2002). Recently, 71 the identification of microalgal strains with promising character-72 istics (Eroglu and Melis, 2010), progress in microalgae cultivation 73 (Posten, 2009) and harvesting techniques (Brennan and Owende, 74 2010) as well as the potential of some strains to produce valu-75 able co-products (Spolaore et al., 2006) has raised the interest to 76 use these organisms for bioenergy generation. In contrast to higher 77 plants and macroalgae, some microalgae like the green microalga 78 Chlamydomonas reinhardtii have the remarkable ability to produce 79 hydrogen via hydrolysis of water during illumination (Kruse et al., 80 2005b; Melis et al., 2000), which represents an additional envi-81 ronmentally friendly gaseous fuel. This potential has stimulated 82 the research interest in recent years (Doebbe et al., in press, 2007; 83 Hemschemeier et al., 2009; Nguyen et al., 2008; Ruhle et al., 2008; 84 Timmins et al., 2009). Hydrogen generation is a two-phase pro-85 cess with an aerobic and an anaerobic stage, during which the cells 86 87 undergo major physiological changes. After hydrogen production, algal biomass remains as a waste product. In the context of bioen-88 ergy production with microalgae it has been suggested that residual 89 algal biomass should be converted into biogas via anaerobic fer-90 mentation (Chisti, 2007; De Schamphelaire and Verstraete, 2009). 91 Although research in the field of microalgae as substrates for bio-92 gas production is very limited (Golueke et al., 1957; Hernandez and 93 Cordoba, 1993; Legros et al., 1983; Samson and LeDuy, 1986; Yen 94 and Brune, 2007), recent theoretical calculations (Sialve et al., 2009) 95 indicated their potential. 96

In this study we determined the potential of six dominant microalgal species as a substrate for biogas production. In addition, we tested the influence of drying as a pre-treatment. The application of microalgae in a two-step biorefinery process (1st step hydrogen production, 2nd step fermentative biogas production) was investigated with the green microalga C. reinhardtii.

#### 2. Materials and methods 103

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#### 2.1. Growth and culture conditions

C. reinhardtii strain cc124 was obtained from the Chlamydomonas Center (Duke University, Durham NC, USA). All other microalgal strains used in this study were obtained from the SAG algae collection (Goettingen University, Germany). Liquid cultures were grown in continuous white light ( $40 \,\mu mol \, m^{-2} \, s^{-1}$ ), TAP medium (Harris, 2009) was used for C. reinhardtii, C. kessleri and E. gracilis (in the latter case, Thiamin (0.1 mg/l), Biotin (0.5  $\mu$ g/l) and vitamin B12 (0.5 µg/l) were added), Spirulina medium (Aiba and Ogawa, 1977) was used for A. platensis, ProF medium (Provasoli et al., 1957) was used for S. obliquus and 2 M NaCl medium (Pick et al., 1986) was used for D. salina. Algae cells were harvested by centrifugation (6 min at  $3.100 \times g$ ) and the content of organic dry biomass 116 of the pellets was determined by drying at 105 °C for 24 h. For comparative fermentation tests, fresh or dried cells corresponding to 118 equal organic dry biomass were applied as substrates.

2.2. Hydrogen production in C. reinhardtii

Hydrogen production in C. reinhardtii was induced via the sulfur 121 deprivation method established by Melis et al. (2000) as described 122 in detail elsewhere (Doebbe et al., 2007). Briefly, cells were grown 123 124 in sulfur-containing medium until they reached the early stationary 125 growth phase and then harvested by centrifugation. The cell pellets were washed and re-suspended in sulfur-free medium. The culture was then sealed and incubated in the light (600  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) at room temperature. Under these conditions, photosystem II is progressively inhibited while mitochondrial respiration stays active, leading to anaerobic culture conditions and subsequent hydrogen production approximately 24 h after cell transfer into sulfur-free medium (Melis et al., 2000). Cells were harvested when hydrogen production had stopped (192 h after the transfer) and the biomass was used for fermentation tests.

#### 2.3. Anaerobic substrate fermentation and biogas analysis

Substrate fermentation was conducted in 250 ml batch tests at 38 °C according to the guideline VDI 4630 of the Verein Deutscher Ingenieure (VDI, 2004). 250 ml biogas batch fermenters were filled with 60 ml sludge from a local sewage plant, cellular material corresponding to 0.5 g of dried biomass per test was loaded and the fermenter then sealed with a rubber septum. The amount of biogas produced was determined by measurements of the pressure (WAL-BMP-Test system 3150, WAL, Germany) building up in the fermenter head space. Fermenters without addition of substrates were used as negative controls. Biogas composition was determined with an ATEX biogas monitor BM2000 (Ansyco, Germany). The individual biogas production curves were analyzed with the curve fitting software at Zunzun.com to derive the mathematical description of the curves and obtain specific values for each time point. Cell degradation rates were determined by light microscopy (Motic BA310, Motic, China) of fermenter samples and subsequent cell counting.

#### 3. Results and discussion

3.1. Microalgal biogas production is strongly dependent on the selected strain

The microalgal species selected for this approach are all common in moderate climate zones and show fast growth rates in the nature and under standard growth condition in the laboratory, therefore they represent a selection of dominant strains. Five eukaryotic microalgal species were selected; four green algae (C. reinhardtii, Dunaliella salina and Scenedesmus obliquus from the class Chlorophyceae and Chlorella kessleri from the class Trebouxiophyceae) and one euglenoid species (Euglena gracilis from the class *Euglenoidea*) as well as the prokaryotic cyanobacterium Arthrospira platensis (class Cyanophyceae). D. salina and A. platensis are halophilic species; all other species tested are fresh water microalgae.

The suitability of fresh microalgal biomass as substrate for the production of biogas was assessed in anaerobic fermentation batch tests over a period of 32 days (Fig. 1). Equal amounts of biomass (on the basis of dry biomass) were loaded.

As a first important result, the experiments revealed that the biogas quantity produced in the fermenters was strongly dependent on the species. The green freshwater alga C. reinhardtii was identified as the most efficient biogas substrate  $(587 \text{ ml} \pm 8.8 \text{ SEg VS}^{-1})$ , followed by the halophilic green alga D. salina (505 ml $\pm$  24.8 SEgVS<sup>-1</sup>). Compared to the standard substrate control Z. mays silage (653 ml  $\pm$  37.7 SE gVS<sup>-1</sup>), these two algae produced 90% (C. reinhardtii) and 77% (D. salina) of the biogas amount (Fig. 1), respectively. Application of biomass from the prokaryotic cyanobacterium A. platensis or the euglenoid alga E. gracilis as substrates also resulted in comparably high biogas production (both 74% of the control) with 481 ml  $\pm$  13.8 SE gVS<sup>-1</sup> for A. platensis and 485 ml  $\pm$  3 SE g VS<sup>-1</sup> for E. gracilis, respectively. Biogas production from C. kessleri was significantly lower (335 ml  $\pm$  7.8

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Table 1

Summary of the microalgal strains used and the fermentative biogas production characteristics. The biogas yield is calculated relative to the control substrate maize silage.

(P)ro- or (E)ukaryotic species	Fresh (F) or salt (S) water	Biogas production (ml g $VS^{-1}$ )	CH <sub>4</sub> content	Methane yield (% control)
Arthrospira platensis (P)	S	481 ± 13.8	61%	83%
Chlamydomonas reinhardtii (E)	F	$587 \pm 8.8$	66%	111%
Chlorella kessleri (E)	F	$335 \pm 7.8$	65%	62%
Dunaliella salina (E)	S	$505 \pm 24.8$	64%	93%
Euglena gracilis (E)	F	$485 \pm 3$	67%	93%
Scenedesmus obliquus (E)	F	$287 \pm 10.1$	62%	51%
Zea mays (E)	F	$653 \pm 37.7$	54%	100%

SEgVS<sup>-1</sup>, 51% of the control), but still superior compared to S. 186 obliguus (287 ml  $\pm$  10.1 SE g VS<sup>-1</sup>, 44% of the control), which repre-187 sented the worst strain in terms of anaerobic degradability (Fig. 1). 188 These results clearly showed that the suitability of microalgae for 189 anaerobic fermentation and biogas production cannot be predicted 190 from the classification of the organism and indicates that the biogas 191 potential is strain-specific and always needs to be tested individu-192 ally. 193

The main components of biogas are methane and carbon diox-194 ide. The variable, relative amount of methane determines the biogas 195 quality and depends on the substrate and the fermentation con-196 ditions (Sialve et al., 2009). All microalgae tested showed higher 197 specific methane contents (ranging from 61% to 67%) compared to 198 the standard substrate maize silage (54%; Table 1). This result is in 199 good agreement with theoretical considerations and previous stud-200 ies (Sialve et al., 2009) and indicates the potential of algal substrates 201 for superior biogas quality compared to traditionally used higher 202 plants. Taking this higher specific methane content into account, 203 fresh biomass from C. reinhardtii produced 11% more pure methane 204 when compared to fresh biomass derived from Z. mays (Table 1). 205 Hydrogen sulfide (H<sub>2</sub>S) is commonly found in biogas produced from 206 organic substrates in small amounts. Because of its toxic and corro-207 sive nature, low amounts of H<sub>2</sub>S are desirable. Although we did not 208 determine H<sub>2</sub>S levels within the biogas from microalgal substrates, 209 it has been suggested that the H<sub>2</sub>S levels should be low because of 210 the comparably low amount of sulfurated amino acids in microal-211 gae (Sialve et al., 2009). However, future studies on the combustion 212 and purification characteristics of biogas from microalgae will be 213 necessary to exclude unknown and potentially detrimental aspects 214 before large scale application can be considered. 215

## 3.2. The biogas potential correlates with the level of cellular disintegration



The degree of cell degradation is crucial for the conversion efficiency from algae biomass to biogas. Consequently, we inves-

**Fig. 1.** Net biogas production of six microalgal strains. Fresh algal biomass was subjected to fermentation on the basis of equal dry biomass content. Maize silage was used as a positive control. The gas amount produced by fermenters without substrate addition (negative control) was subtracted. Error bars represent standard errors.

tigated the cellular disintegration of the algal substrate by light microscopy. Fresh algal substrate was centrifuged and added to batch fermenters and the kinetics of cell disintegration determined by cell counting. Interestingly, the salt water species disintegrated very fast after addition to the fermenter sludge (*A. platensis* and *D. salina*; Fig. 2).

Here, very few (Fig. 3B, arrow) or no (Fig. 3C) indigestible residues of the cells were detected via light microscopy. In contrast, all fresh water microalgae generally showed slower decomposition rates (Fig. 2) with some indigestible residues remaining (Fig. 3A, D–F).

In general, the decrease of the cell degradation correlated well with the amount of biogas produced. The species with a high degree of decomposition and low amount of indigestible residues (*C. reinhardtii*, *D. salina*, *A. platensis* and *E. gracilis*) showed higher amounts of biogas production compared to the species with a lower degree of decomposition and higher amount of indigestible residues (*C. kessleri* and *S. obliquus*) (Figs. 1 and 2; Table 1). Consequently, our results indicate that without a pretreatment, the accessibility to cell disintegration is most likely a major factor for the efficiency of fermentative biogas production.

It should be noted that all easy degradable species investigated in this study have got no cell wall (*D. salina* (Sheffer et al., 1986)) or a protein-based cell wall containing no cellulose or hemicellulose (*C. reinhardtii* (Miller et al., 1972), *A. platensis* (van Eykelenburg et al., 1980), *E. gracilis* (Nakano et al., 1987)). In contrast, *C. kessleri* and *S. obliquus* are characterized by having carbohydrate-based cell walls containing hemicellulose (Takeda, 1991, 1996). The cell wall of *S. obliquus* has been described as particular rigid because it contains a sporopollenin-like biopolymer (Burczyk and Dworzanski, 1988) which explains why no cell degradation of this strain could be detected (Figs. 2 and 3F). It is worth noting that we were able to detect intact *Scenedesmus* cells (as assessed from microscopic images) more than six months after the transfer into the fermenter (data not shown). During this time, the fermenter was kept in darkness, therefore preventing photosynthetic reactions. It has been





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**Fig. 3.** Light microscopic images of microalgal cells before (–) and after (+) incubation in the fermenter sludge for 28 days in darkness at mesophilic temperatures (38° C). (A) *C. reinhardtii*; (B) *D. salina*; (C) *A. platensis*; (D) *E. gracilis*; (E) *C. kessleri*; (F) *S. obliquus*. Scale bars represent 10 μm.

shown that Scenedesmus can utilize a wide variety of sugars (e.g. glucose, fructose or galactose) and organic acids (e.g. acetate or pyruvate) for heterotrophic growth (Dvorakov, 1966). Therefore our results indicate that the cells, protected from bacterial disintegration, were indeed able to survive by uptake of fixed carbon compounds from the fermenter sludge. However, we did not see any evidence for algal cell growth or division within the fermenter. Interestingly, a comparably low, but significant biogas production was measured with S. obliguus substrate despite the fact that the cell number remained constant. A possible explanation for the biogas production could be that to a certain extent, dead/broken cells originating from the cell cultivation were transferred to the fermenter and, in contrast to the living cells, served as substrate for biogas production. Another explanation could be that the surviving Scenedesmus cells actively promoted degradation of organic compounds present in the fermenter sludge, which were not accessible to the bacterial community.

In conclusion, our data indicate that the presence and composition of the cell wall is the main reason for the differences observed in the cell disintegration characteristics and subsequent biogas production. In terms of biogas production efficiency, strains with no cell wall or a protein-based cell wall should be preferred because disruptive, energy consuming pretreatments can be avoided. However, we cannot exclude the possibility that even microalgae without a rigid cell wall could be bad substrates for fermentative biogas production. This is because it is likely that some microalgae will produce compounds which exert detrimental effects on the bacterial biocenosis of the fermenter (Klocke et al., 2007; Schlüter et al., 2008), e.g. by inhibition of the methanogenic archaea. This could explain why *D. salina* and *A. platensis* substrates, although rapidly and completely degraded, resulted in less biogas production than the *C. reinhardtii* substrate (Figs. 1 and 2).

## 3.3. Drying as a pretreatment decreases the fermentative potential of the substrates

Microalgae are grown in liquid medium for mass cultivation and the dry matter content usually is below 15 g/l culture, although up to 84 g/l have been reported (Hu et al., 1998). Efficient biogas production will therefore require a concentration step, e.g. by filtration or centrifugation. Depending on the concentration method, the fresh algal biomass still contains a high degree of water, e.g. in our case a typical *Chlamydomonas* pellet after centrifugation contained ca. 6% VS and 94% water. For transportation and storage it could be desirable to use dry algal biomass instead of algal biomass concentrate. We therefore tested the effect of drying of the substrate on biogas fermentation. As can be seen in Fig. 4, drying of the biomass resulted in a general decrease of around 20% of the biogas production potential. This was true for the control, *Z. mays*  $(-21 \pm 2.4\%)$  and also for the two algal cell lines tested, *C. kessleri*  $(-23 \pm 2.8\%)$  and *C. reinhardtii*  $(-20 \pm 2.7\%)$ . The most likely reasons for the decreased biogas production are the loss of volatile organic compounds of high fermentation potential and/or a decreased accessibility of the dried organic compounds for the bacterial biocenosis within the fermenter sludge. In any case, our results demonstrate that drying is detrimental in terms of biogas production and should be avoided. Since drying of the biomass would require energy of some sort it can be concluded that the most energy efficient way of using algal biomass for fermentation is to use fresh biomass and avoid transportation if possible. This could be achieved by building and operating the algal production facility in close proximity to the biogas fermentation plant.

## 3.4. Hydrogen production in C. reinhardtii leads to higher subsequent biogas production levels

Industrial large scale growth of microalgae still is in its infancy and the algae biomass therefore rather expensive. The general consensus today seems to be that biorefinery concepts have to be adopted to achieve economical feasibility, where algae are used to produce a valuable substance prior to being subjected to fermentation (Chisti, 2007; Schenk et al., 2008; Spolaore et al., 2006; Stephens et al., 2010). The green microalga *C. reinhardtii* has the ability to produce biosolar hydrogen (H<sub>2</sub>) under anaerobic conditions (Doebbe et al., 2007; Hemschemeier et al., 2009; Kruse



**Fig. 4.** The influence of drying and hydrogen production as pretreatments on the biogas production potential of the substrate. Fresh biomass (F) was directly used for fermentation or dried (D) at  $105 \,^{\circ}$ C for 24 h prior to fermentation. In addition, *C. reinhardtii* cells were subjected to hydrogen production and fresh biomass subsequently used for fermentation (F/H<sub>2</sub>). Equal amounts on the basis of dry biomass were loaded. The gas amount produced by fermenters without substrate addition (negative control) was subtracted. *Z.m. Zea mays*; *C.k.*, *Chlorella kessleri*; *C.r.*, *Chlamy-domonas reinhardtii*. Error bars represent standard errors.

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et al., 2005a; Melis et al., 2000), which has the potential to be a 328 first step within an energetic biorefinery concept. Similar to bio-329 gas production, harvesting of gaseous H<sub>2</sub> as a product does not 330 depend on energy consuming downstream processes. In addition, 331 the H<sub>2</sub> production is driven by photosynthesis, therefore directly 332 converting sun light energy into H<sub>2</sub>. To evaluate the viability of the 333 outlined biorefinery concept, we investigated if biosolar H<sub>2</sub> produc-334 tion prior to fermentation of the residual biomass has an influence 335 on the fermentative potential of the substrate. Most interestingly 336 we found that the biogas yield increased to 123% ( $\pm 3.7$ ) compared 337 to fresh algal biomass when biomass after the hydrogen produc-338 tion cycle was used (Fig. 4). As has been shown previously, storage 339 compounds with high fermentative potential like starch and lipids 340 strongly increase within the cells as a response to the induction of 341 the hydrogen production cycle (Doebbe et al., in press; Timmins 342 et al., 2009). The increased content of easy degradable storage 343 compounds is a good explanation why the residual biomass after 344 hydrogen production is a better substrate for biogas production 345 compared to fresh biomass. It should also be noted that hydrogen 346 production experimentally is induced by sulfur starvation, there-347 fore decreasing the risk of H<sub>2</sub>S accumulation in the biogas. 348

#### 4. Conclusions 349

In this work we investigated the potential of six dominant 350 351 microalgal strain for biogas production and evaluated drying and hydrogen production as pretreatments prior to the anaerobic fer-352 mentation. As a general conclusion, our results indicate that certain 353 microalgal species can be good substrates for anaerobic fermen-354 tation, resulting in the production of biogas with relatively high 355 methane content and in this respect have the potential to replace 356 higher plant material like maize which is generally used today. 357 However, the biogas production potential is strongly dependent 358 on the algal strain used. From our data we cannot draw the simple 359 conclusion that certain algal genera are more suitable than others. 360 In our study, the best and the worst biogas substrates actually were 361 phylogenetically fairly closely related (both belonging to the class 362 Chlorophyceae). Our results therefore indicate that strain specific 363 factors like cell wall composition or the production of compounds 364 directly (e.g. bacteriostatic or bactericidal compounds) or indirectly 365 (e.g. high relative protein content leading to the release of toxic, free 366 ammonia (Sialve et al., 2009)) detrimental to the bacterial com-367 munity in the fermenter strongly influence the suitability of the 368 individual strains. If the inhibiting factors are identified, pretreat-369 370 ment strategies (e.g. physical disruption of the cell wall, lowering the relative protein content by induction of lipid production by 371 nutrient starvation) could be applied to alleviate the inhibitory 372 effects. Algal substrates should be concentrated, but complete 373 drying at high temperatures should be avoided since the biogas 374 potential decreases significantly. In contrast, hydrogen production 375 in C. reinhardtii was shown to increase the biogas production poten-376 tial which leads us to the conclusion that biorefinery concepts in 377 some cases can indeed result in unexpected synergistic effects. 378

### 379 Q3 Uncited reference

Takeda (1996).

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