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# Isotope tracers of core formation

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## Abstract

The study of siderophile element isotope compositions in planetary mantles offers a new methodology to constrain the temperatures of core formation, provided there is an appropriate calibration of the temperature-dependence and possibly pressure-dependence of isotope fractionation between metal and silicate and of the metal-silicate partitioning for this element. In this review, we examine recent studies that have shown that Si, Fe, Mo, Cr, Cu, Ni, N and C could potentially be used to constrain the temperature of metal-silicate equilibration using single stage or continuous models of core formation, yielding contrasted results. Such an approach requires assumptions about the building blocks of the Earth and it is generally considered that the composition of some chondrites is representative of bulk Earth. This is obviously more complex for volatile elements such as Cu, N or C, as the isotope composition of the building blocks of the Earth could have been affected by thermal processing. On the basis of a chondritic bulk composition, one can estimate a temperature of core formation assuming a model for this process. If the metal-silicate equilibration is incomplete, as is likely the case for giant impacts, then the composition of the mantle of the impactor and the fraction of metal that equilibrate needs to be assessed carefully. It has been shown recently that the degree of equilibration will be a function of the metal-silicate partition coefficient and will be hence very different for Si, Cr, or Mo, an aspect that has not been considered in previous studies and may help explain differences in interpretation. In this context, the expected temperatures of equilibration are quite variable and are a function of the impactor's conditions of metal-silicate segregation. Another complication arises when considering continuous models of core formation: the most siderophile elements will be sensitive to the last episodes of core formation, while the budget of less siderophile elements will reflect its integrated accretion history (e.g. Cr or Si). A model including Si, Cr and Mo isotope data that takes into account these aspects

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62 38 has been constructed and shown to be consistent with scenarii that were derived from  
63 siderophile element data.  
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67 41 **Keywords: Stable isotope, core formation, isotope fractionation**  
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## 70 43 **1. Introduction**

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72 44 The formation of the core of planetesimals and planets is arguably the most dramatic event  
73 of planetary differentiation. Yet, it is also one of the most difficult processes to constrain as it  
74 is an early process whose direct signature is not always straightforward to disentangle and the  
75 inaccessibility of the core makes it difficult to constrain its composition. It is possible to provide  
76 indirect constraints on core formation by determining the abundance of refractory siderophile  
77 elements in the silicate portion of a planet. If one then assumes that the abundance of these  
78 refractory elements is roughly chondritic in the bulk planet, then one can directly infer the  
79 relative depletion of these elements in the mantle. This depletion may be explained by  
80 extraction of these elements to the metallic core. The next step is to make assumptions about  
81 how the metal segregated from the silicate and whether it equilibrated and at what pressures  
82 and temperatures. This commonly used approach has triggered a large number of experimental  
83 studies aimed at measuring directly the metal-silicate partition coefficients for a number of  
84 siderophile elements (e.g. Drake et al., 1989; O'Neill et al., 1998; Righter and Drake, 2000;  
85 Corgne et al., 2008; Mann et al., 2009; Siebert et al., 2011; Rubie et al. 2011; Fischer et al.,  
86 2015). For volatile elements, it is more difficult to constrain the bulk abundance, which  
87 complicates the determination of the degree of depletion in the silicate planet.  
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91 60 This approach has been very fruitful in attempting to determine the approximate pressure,  
92 temperature and oxygen fugacity ( $f_{O_2}$ ) conditions of core formation (e.g. Wade and Wood 2005;  
93 Siebert et al., 2013). However, there is a growing number of variables used in parameterizing  
94 experimentally determined partition coefficients and the models for core formation have grown  
95 in complexity. As core formation is a continuous process in large planetary bodies such as the  
96 Earth rather than a single discrete event, it is sensible to take this into account in models as the  
97 pressure and temperature of core formation are constantly evolving. This has been the case in  
98 most models produced over the past ten years (e.g. Wade and Wood, 2005; Rubie et al. 2011,  
99 2015, Rudge et al. 2010) where the temperature, pressure and oxygen fugacity were chosen to  
100 evolve with time. The main conclusions of these studies is that the final pressure of metal-  
101 silicate separation in the Earth ranged between 30 and 70 GPa based mainly on Ni and Co data  
102 (Fischer et al. 2015) and that the  $f_{O_2}$  could have evolved from more reducing (IW-4) to more  
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121 72 oxidized conditions (IW-2) towards the end of accretion (e.g. Wade and Wood 2005; Rubie et  
122 al. 2011, Fischer et al. 2015) to match the V and Cr data in particular but this last conclusion is  
123 73 al. 2011, Fischer et al. 2015) to match the V and Cr data in particular but this last conclusion is  
124 74 disputed (e.g. Siebert et al. 2013). Unfortunately, there is no simple method to prescribe the  
125 74 variations of these parameters during accretion and these parameters are more often chosen  
126 75 using simple reasoning than carefully determined. One other key parameter is to determine the  
127 76 degree of equilibration of the metal and the silicate. As the Earth grows, the probability of large  
128 77 impacts generating high temperatures in the Earth increases and the large impacts are generally  
129 78 associated with ‘core merging’ whereby the metallic core of the differentiated impactor  
130 79 interacts little with the silicate mantle of the proto-Earth (Dahl and Stevenson 2010; Canup  
131 80 2008; Deguen et al. 2014). In this case, models for core formation need to take into account this  
132 81 lack of equilibration (Halliday 2004; Rudge et al. 2010; Rubie et al. 2011; Deguen et al. 2014).  
133 82 For modelling large impacts with core merging, key input parameters are the temperatures and  
134 83 pressures of core formation in the embryos that accrete to the Earth (Rudge et al. 2010). When  
135 84 there is core merging, the effect of core formation on the accreting Earth can be viewed as  
136 85 transparent and it is the conditions in the embryos that determine the siderophile element  
137 86 contents of the Earth’s mantle.  
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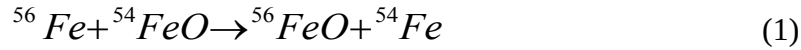
139 88 Despite the fact that core formation is a multi-step process, single stage models of core  
140 89 formation have still been presented and they have been argued to provide useful information.  
141 90 However, for elements with temperature-dependent metal-silicate partition coefficients, one  
142 91 should take into account that the recorded temperature is a function of the partition coefficients.  
143 92 This feature has been emphasized by Yu and Jacobsen (2012) who developed the concept of  
144 93 ‘memory’ for siderophile elements, expliciting the idea that more siderophile elements only  
145 94 record the last stages of accretion while less siderophile elements are sensitive to early stages  
146 95 as well. This was emphasized by a recent study of Dauphas (2017) focusing on the building  
147 96 blocks of the Earth during its accretion.

148 97 An independent means for constraining the temperature of core formation may be found in  
149 98 isotopes. Recently, it has been shown experimentally and sometimes theoretically that the stable  
150 99 isotopes of siderophile elements could fractionate between the metal and silicate phases (e.g.  
151 100 Roskosz et al., 2006; Georg et al. 2007; Roskosz et al., 2009; Shahar et al. 2011; Hin et al. 2012;  
152 101 2013; 2014). Based on the temperature dependence of isotope fractionation, one could in  
153 102 principle infer an integrated temperature of metal-silicate fractionation over the period of core  
154 103 formation provided the element’s concentration could be estimated independently. Unlike  
155 104 elemental partitioning that depends on temperature, pressure, oxygen fugacity, melt  
156 105 compositions and many other parameters, isotope fractionation depends on  $1/T^2$  at temperatures

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180 106 relevant to core formation and is seldomly influenced by other parameters. Thus, it should be a  
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182 107 more specific temperature indicator. In this contribution, we shall review how this new tool  
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184 108 could be used and the recent studies in this field are critically examined. A new quantitative  
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186 109 model with which the current set of observations can be examined is then proposed.  
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## 188 111 **2. Basic principles**

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190 112 Under equilibrium conditions, there can be a small yet measurable isotope fractionation for  
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192 113 elements that partition between a metallic and a silicate phase. This fractionation could arise,  
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194 114 among other effects, due to the fact that the degree of oxidation of that element is generally  
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196 115 positive in the silicate while it is zero in the metallic phase. For example, Fe should have the  
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198 116 +II or +III degree of oxidation while it is in the form Fe 0 in the metal. Thus, the following  
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200 117 isotope exchange reaction can take place:



201  
202 119 The equilibrium conditions can be written as :

$$203 120 \quad \alpha = \frac{\left( {}^{56}\text{FeO} \right) \left( {}^{54}\text{Fe} \right)}{\left( {}^{54}\text{FeO} \right) \left( {}^{56}\text{Fe} \right)} \quad (2)$$

204  
205  
206 121 where brackets indicate activities. This equilibrium constant has been shown by theoretical  
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208 122 work to be temperature dependent (Bigeleisen and Mayer 1947; Urey, 1947) and the  
209  
210 123 temperature dependence of isotope fractionation between metal and silicate at high temperature  
211  
212 124 is generally expressed as:

$$213 125 \quad \ln(\alpha) = \frac{A}{T^2} \quad (3)$$

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215 126 The parameter A is a constant that can be estimated either theoretically or using high  
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217 127 temperature experiments. If one assumes that the bulk composition of the planet is known  
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219 128 (usually one assumes a chondritic composition), then based on mass balance considerations,  
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221 129 one can determine the temperature of metal-silicate equilibration by measuring the isotopic  
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223 130 composition of iron in the mantle. A classical method to define Fe isotope composition is via  
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225 131 the  $\delta^{56}\text{Fe}$  notation which can be written as:

$$226 132 \quad \delta^{56}\text{Fe} = \left( \frac{({}^{56}\text{Fe}/{}^{54}\text{Fe})_{\text{sample}}}{({}^{56}\text{Fe}/{}^{54}\text{Fe})_{\text{reference}}} - 1 \right) \times 1000$$

227  
228 133 First, the bulk Fe isotope composition of the Earth can be written as:

$$229 134 \quad \delta^{56}\text{Fe}_{BE} = \delta^{56}\text{Fe}_{BSE} (1 - X_C^{Fe}) + \delta^{56}\text{Fe}_C X_C^{Fe} \quad (4)$$

237  
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 239 135 where  $X_C^{Fe}$  is the mass fraction of iron in the metallic core and the subscript BE and BSE  
 240  
 241 136 indicate the bulk Earth and bulk Silicate Earth, respectively. This equation can be rewritten by  
 242  
 243 137 including the hypothesis that the silicate mantle and the metallic core reached isotope  
 244 138 equilibrium as stated in equation (1) and the fact that there is *chemical* equilibration between  
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 246 139 metal and silicate:  
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$$249 \quad 141 \quad \delta^{56}Fe_{BE} - \delta^{56}Fe_{BSE} = \frac{X_C D_{Fe}}{1 - X_C + X_C D_{Fe}} \Delta^{56}Fe_{metal\_silicate}(T) \quad (5)$$

251 142  
 252  
 253 143 where  $X_C$  represents the mass fraction of the core,  $D_{Fe}$  is the metal-silicate partition  
 254 144 coefficient of Fe, and  $\Delta^{56}Fe_{metal\_silicate}$  is the isotopic difference between metal and silicate  
 255 145 ( $\delta^{56}Fe_{metal} - \delta^{56}Fe_{silicate}$ ) which is approximately equal to  $1000 \ln \alpha$ . This equation shows that the  
 256 146 net isotope fractionation between bulk Earth and bulk silicate Earth is a strong function of  
 257  
 258 146 metal-silicate partitioning. For higher values of  $D_{Fe}$ , the isotope fractionation increases up to a  
 259 147 maximum equal to  $\Delta^{56}Fe_{metal\_silicate}(T)$ . With this expression it becomes obvious that if one  
 260 148 can determine the value of  $D_{Fe}$  independently, it is possible to determine the temperature of  
 261 149 metal-silicate equilibration during core formation. This approach makes the simplistic  
 262 150 assumption that core formation is well described by a single equilibration temperature  
 263 151 corresponding to a single stage process. If it was a multiple stage process, then the derived  
 264 152 temperature represents an integral of temperature over the duration of core formation.  
 265 153

266 151 This short introduction highlights the basic requirements for using an isotope approach to  
 267 152 determine the conditions of core formation. First, one needs to make an hypothesis about the  
 268 153 building blocks of a planet and it is generally assumed that chondrites are representing the most  
 269 154 likely building blocks. Since the composition of chondrites is not always homogeneous among  
 270 155 chondrite groups, this introduces an uncertainty and can be a matter of controversy (see section  
 271 156 4 for examples). For example, if chondrites have identical compositions, it is more likely that  
 272 157 bulk planets are well represented by chondrites. One can also distinguish the case of refractory  
 273 158 and volatile elements. For refractory elements, the isotope composition is more likely to be  
 274 159 identical since thermal processes affecting the bulk composition of chondrites are less likely to  
 275 160 play a role. There are various discussions regarding the building blocks of the Earth and it has  
 276 161 been shown that the Earth's isotope composition could be well represented by enstatite  
 277 162 chondrites for nucleosynthetic anomalies or by a mixture of chondrites and achondrites.  
 278 163 However, this does not mean that the stable isotope compositions of bulk planets can be easily  
 279 164 derived from these (a)chondrites, especially for volatile elements, which can be lost during  
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168 planetary accretion or during the protoplanetary stage. In addition to the bulk planetary  
169 composition, one needs to have a determination of the isotope composition of the bulk silicate  
170 Earth (BSE). In some cases, there is no or little isotope heterogeneity in all mantle-derived rocks  
171 while in other cases, it is necessary to understand the processes underlying the observed isotope  
172 variability to derive a BSE composition. Similar issues could arise in the case of siderophile  
173 element approach outlined above. Last, one needs to estimate the metal-silicate partition  
174 coefficient of the element of interest and this parameter is itself a function of temperature. This  
175 requires a degree of self consistency that can be checked a posteriori.

### 177 **3. Determination of isotope fractionation between metal and silicate**

#### 178 **3.1 Ab initio methods**

179 Pioneering works by Urey (1947) and Bigeleisen and Mayer (1947) have shown that mass-  
180 dependent fractionation of isotopes is a function of their vibrational frequencies. More  
181 specifically, the equilibrium constant of an isotope exchange reaction (see equations (1) and (2))  
182 for an example reaction involving Fe) can be written in terms of the partition functions of the  
183 isotope species appearing in the reaction. Without going into the details of the derivation that  
184 can be found in numerous reviews (e.g. Schauble 2004; Young et al. 2015), it can be shown  
185 that the isotope fractionation factor relative to the atomic species (generally denominated  $\beta$ -  
186 factors) can be expressed as (Urey, 1947; Bigeleisen and Mayer, 1947):

$$187 \quad \beta = 1 + \frac{h^2 \sum_i \nu_{light}^2 - \nu_{heavy}^2}{24nk^2T^2} \quad (6)$$

188 in which  $h$  is Plank's constant,  $n$  is the number of atoms being substituted in the compound of  
189 interest,  $k$  is Stefan's constant,  $T$  is temperature in Kelvin and  $\nu$  is the vibrational frequency of  
190 the isotope of interest. On this basis, theoretical estimates of isotope fractionation were made  
191 by Urey (1947) or Kieffer (1982) based on existing vibrational spectroscopic data. These data  
192 were not always available for other elements, thus other approaches are necessary. On the most  
193 general level, the idea is therefore to develop models that can predict the vibrational frequencies  
194 of minerals and melts that are substituted by isotopes. Once the frequencies have been estimated  
195 one can directly derive the isotope fractionation factor using equation (6).

196 The basic approach is to use density functional theory to determine the phonon density of  
197 states (PDOS) of the sublattice of the element of interest. This function describes the energy  
198 distribution of collective sub-lattice vibrations. The main goal is to calculate from first  
199 principles, the electronic structure of a molecule. The force constants that determine the

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200 frequency of vibrations are then calculated as the second derivatives of the electronic energy as  
201 a function of atom displacement. It is not the purpose of this review to discuss the merits of  
202 various algorithms as such material can be found in Schauble (2004).

203 These calculations generally require a number of approximations which are briefly described  
204 here. First it is assumed that the electron density is sufficient to represent the wave function that  
205 is used in the Schrödinger equation. In this case, one makes use of a ‘functional’ (i.e. a function  
206 of a function) to represent the electron density. The energy of electrons is a function of the  
207 charge density and the charge density is itself a function of position. This energy is then  
208 calculated as a function of different terms:

$$E = E_{\text{kin}}(\rho) + E_{\text{nuc}}(\rho) + E_{\text{e-e}}(\rho) + E_{\text{exc}}(\rho) \quad (7)$$

209 where  $\rho$  is the electron density which is itself a function of the distance to the nucleus  $r$ ,  $E_{\text{kin}}$   
210 is the kinetic energy,  $E_{\text{nuc}}$  the energy of interaction between the nucleus and the electrons,  $E_{\text{e-e}}$   
211 the interaction energy between electrons and  $E_{\text{exc}}$  is the exchange correlation energy. This  
212 decomposition of the energy terms is made to avoid using direct electron interaction terms that  
213 make the equation insoluble. By expliciting the value of these functionals it is possible to solve  
214 the Schrödinger equation and derive the force constant for condensed phases. The difference in  
215 the various types of *ab initio* methods lie in the choice of expressions for the exchange  
216 correlation energy.

217 The applications of these *ab initio* methods for the case of metal-silicate differentiation have  
218 actually been rather limited, but they may expand in the future as their applications in the Earth  
219 Sciences become more widespread (see for example Blanchard et al., 2015; Shahar et al. 2016).  
220 The case of the metal-silicate equilibrium is a specific case as both phases of consideration are  
221 liquids. To a first approximation, the first published studies have used solid equivalents to  
222 represent the liquids (Georg et al. 2007; Shahar et al. 2016). For example, Georg et al. (2007)  
223 used  $\text{Mg}_2\text{SiO}_4$  to represent the molten silicate and  $\text{Fe}_3\text{Si}$  to represent the molten alloy. In order  
224 to determine the energy shift associated with isotope substitution one calculates the phonon  
225 density of states (PDOS) of relevant phases. For the sake of simplicity, Georg et al. (2007)  
226 assumed that Si vibrated at the highest frequency of the observed spectrum, i.e. 10 THz in the  
227  $\text{Fe}_3\text{Si}$  structure, corresponding to a Debye temperature of 366 K (Randl et al. 1995). In the case  
228 of metal silicate isotope exchange reactions, there are so far few cases where the isotope  
229 fractionation factors have been calculated with *ab initio* methods. It is obvious that these  
230 methods will provide a useful point of comparison with experimental approaches detailed in  
231 section 3.3.



### 3.2 Semi-empirical methods to estimate isotope fractionation factors

Alternative approaches that are less mathematically involved than *ab initio* methods are sometimes desirable. Young et al. (2009, 2015) and Sossi and O'Neill (2016) have used such an approach for Mg and Fe isotope fractionation among silicate minerals acknowledging that the mean value of the force constant associated with each bond suffices to estimate an approximate value of the isotope fractionation factor. This approach is valid only for crystalline solids but is very valuable as it can be cross-checked with experimental determinations. It is by no means universal but may prove useful if more reliable *ab initio* calculations or experiments have not been performed. For a crystalline solids, it is possible to calculate a value of the force constant as:

$$K_f = \frac{z_i z_j e^2 (1-n)}{4\pi\epsilon_0 r_0^3} \quad (8)$$

where  $z_i$  and  $z_j$  represent the valence of a compound including a cation  $i$  and an anion  $j$ ,  $e$  the charge of the electron,  $n$  is a Born-Mayer constant for repulsion (Young et al., 2015, adopted a value of 12),  $r_0$  is the equilibrium interatomic distance, and  $\epsilon_0$  the vacuum permittivity. Various attempts have been made to take into account the fact that the bonds can be partly covalent in which case the value of the valence is lower than  $z_i$  or  $z_j$ . Similarly, since the charge is distributed between a number of nearest neighbors, the charge can be divided by the coordination for a given site (Young et al. 2009). It turns out that from an empirical viewpoint (Young et al. 2015), the equation gives more reliable estimates of the force constant than more complex formulations.

The approach above is valid for an ionic crystal but it fails when metals are considered. In this case, an alternative approach is possible, although it only applies to pure metals. It is based on a simplified treatment of vibrations in solids as defined in the Debye model. This model assumes that solids can be treated as coupled harmonic oscillators whose mean vibration frequency can be calculated. The parameter used to characterize the system of oscillators is the Debye temperature. Based on this temperature, it is possible to estimate the force constant (Gupta 1972):

$$K_f = \frac{mk^2\theta_D^2}{4h^2} \quad (9)$$

Where  $\theta_D$  is the Debye temperature of the metal,  $k$  the Boltzmann constant,  $h$  the reduced Planck constant, and  $m$  is the mass in kg. Polyakov (2005) used this approach for tin isotopes to directly relate its Debye temperature to a force constant and thereby calculate the tin isotope

fractionation factor. The force constant is then directly related to the maximum vibration frequency by:

$$\omega_{\max}^2 = \frac{4K_f}{m} \quad (10)$$

Thus, a knowledge of this frequency is sufficient to estimate the force constant. If one assumes that the nearest neighbors are the most important in defining an effective force constant the simplified approach described above should help in determining an approximate value of isotope fractionation factors between an oxide containing the metal of interest and its metallic counterpart (see example with Fe isotopes, Figure 1). This example shows that this approach is valid but the element of interest will be a trace in iron rather than in the pure metal and this may introduce some bias.

### 3.3 Metal-silicate equilibrium experiments

#### 3.3.1 Basic requirements and techniques

In order to determine the equilibrium isotope fractionation factors for the elements of interest, it is important to design experiments that will reproduce reliably the conditions of metal-silicate equilibration in terms of chemical composition, while allowing for practically manageable experiments. Most experiments are performed in a one-atmosphere furnace or piston cylinder apparatus (e.g. Roskosz et al., 2006; Poitrasson et al., 2009; Hin et al. 2013, 2014, Shahar et al., 2015; Lazar et al., 2012; Bonnand et al., 2016), although multi-anvil assemblies have also been used (e.g. Poitrasson et al. 2009 ; Williams et al. 2012). There are several potential problems in designing such experiments: (1) the capsule or loop materials used for the experiments can react with the charge, thereby creating open system behaviour. (2) The duration and/or temperature of the experiments have to be adjusted so as to reach chemical and isotopic equilibrium. However, the choices for temperature (and thereby duration) are influenced by the trade-off that higher temperatures lead to faster equilibration, but also to smaller isotope fractionation (see equation (6)). (3) There must be an easy method to separate the metal from the silicate if the isotope composition of the tracer is not measured in situ. Alternatively, if the isotope composition is determined in situ, then the metal and silicate must have well separated domains, with no inclusions. This problem is particularly acute when the metal-silicate partition coefficient is very different from unity, such that there are large difference in concentrations between the two phases, leading to high sensitivity to cross-contamination. (4) In order to reliably calibrate the temperature dependence of isotope

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298 fractionation, it is desirable to design experiments at different temperatures, although in  
299 principle a single datum obtained at a given temperature is sufficient to determine the value of  
300 A in equation (3).

301 As in standard petrology experiments, it is important to control the temperature, the oxygen  
302 fugacity, or other relevant parameters as any change in the parameters affecting the partitioning  
303 may lead to a diffusive fractionation that may further delay the attainment of the isotopic  
304 equilibrium (e.g. Richter et al., 2009; Roskosz et al., 2006).

305  
306 As core formation in terrestrial planetary bodies frequently involves temperatures  $>1000^{\circ}\text{C}$   
307 and pressures  $>0.5$  GPa (unless core formation took place in planetesimals that accreted with  
308 no further metal-silicate equilibration), experimental equipment needs to reach such  
309 temperature and pressure conditions. High pressures are generally obtained by exerting a force  
310 on a relatively small area in which the sample resides, so the higher the obtained pressures, the  
311 smaller the samples. This feature currently limits our pressure range for calibrating mass-  
312 dependent isotope fractionation factors, because solution MC-ICPMS (multi-collector  
313 inductively coupled plasma mass spectrometry) is generally the preferred technique for  
314 analysis. This is a ‘bulk’, as opposed to *in situ* analysis technique that mostly requires tens to  
315 hundreds of nanogram of the element of interest. Most studies have therefore performed high  
316 pressure experiments with a piston cylinder apparatus (Poitrasson et al., 2009; Shahar et al.,  
317 2009; Shahar et al., 2011; Hin et al., 2012; Lazar et al., 2012; Hin et al., 2013; Hin et al., 2014;  
318 Shahar et al., 2015), which generally reaches a pressure range of 0.5-3.8 GPa and temperatures  
319 up to  $\sim 2700^{\circ}\text{C}$  with sample volumes commonly  $<160$  mm<sup>3</sup>, which is equivalent to  $<500$  mg  
320 sample. Experiments have also been performed with a multi-anvil apparatus (Poitrasson et al.,  
321 2009; Shahar et al., 2011; Williams et al., 2012; Kempl et al., 2016), which can achieve  
322 pressures of  $\sim 3$ -25 GPa and temperatures similar to those in piston cylinders with sample  
323 volumes  $<16$  mm<sup>3</sup>, or  $<50$  mg. Laser-heated diamond anvil cell ( $>200$  GPa;  $>3000^{\circ}\text{C}$ ; samples  
324  $<0.14$  mm<sup>3</sup> or  $<0.4$  mg) have thus far only been used for *in situ* analysis (see below).  
325 Alternatively, controlled-atmosphere furnaces (1-atm pressure, generally up to  $\sim 1800^{\circ}\text{C}$ ) have  
326 been used (Cohen et al., 2006; Roskosz et al., 2006) because they uniquely provide controlled  
327 oxygen fugacity and, from an isotope geochemists point of view, virtually unlimited sample  
328 material. It should be mentioned also that alternative methods based on industrial blast furnaces  
329 have also been used to attempt to determine isotope fractionation factors (Kempl et al. 2013;  
330 Milot et al. 2016). These results are probably indicative of the order of magnitude and direction  
331 of isotope fractionation but it is difficult to use them as firm determinations due to a lack of

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332 control on the experimental conditions associated with chemical disequilibrium and poorly  
333 controlled reservoir compositions.

334 Controlled-atmosphere furnaces are relatively straightforward to use (e.g. Edgar 1973;  
335 Huebner 1987). For metal-silicate experiments, the sample powder is commonly placed in a  
336 crucible and hung from the insertion rod on a metal wire or ceramic ring inside a tubular  
337 furnace. At the end of the experiment, this ring or wire is released from the rod so the sample  
338 can drop through an opening into a cold environment (e.g. a water bath) to quench the sample.  
339 Oxygen fugacity in these furnaces is controlled by flushing a desired mixture of gases (most  
340 commonly CO<sub>2</sub>-H<sub>2</sub> or CO<sub>2</sub>-CO mixtures; fluxes commonly of the order of mL min<sup>-1</sup>) through  
341 the alumina gas-tight tube located inside the heated zone of the furnace. Oxygen abundance in  
342 the chosen flux sets the oxygen fugacity of the experiment. A relative fugacity between ~4 log  
343 units below the iron-wüstite buffer (or  $f_{O_2}$  of  $\sim 10^{-13}$  at 1500°C) and ~7 log units above this  
344 buffer ( $f_{O_2} \sim 10^{-2}$  at 1500°C) can routinely be achieved by varying the flux ratios of those gases.  
345 A deleterious consequence of this setup is that experimental charges are usually open systems  
346 with respect to gases. This effect may be exacerbated by the low  $f_{O_2}$  required to stabilize Fe  
347 metal, because many elements (e.g. Cu and Zn) become increasingly volatile at lower  $f_{O_2}$ . This  
348 feature can lead to partial vaporization and thereby kinetic Rayleigh isotope fractionation,  
349 which needs to be considered if the element of interest is volatile under the chosen experimental  
350 conditions.

351 Piston cylinder and multi-anvil methods are more laborious because in addition to sample  
352 capsules, non-reusable heaters and fillers need to be assembled for each experiment and then  
353 carefully placed inside the stacked metals of the apparatus. Additionally, the smaller materials  
354 become increasingly difficult to handle and sample capsules need to be sealed because gases  
355 cannot be fluxed through these apparatuses to control oxygen fugacity. This fugacity is  
356 therefore set by the oxides and metals inside the capsule and/or their exchange with the capsule  
357 material (e.g. Eugster, 1957; Chou, 1987; Matjuschkin et al. 2015). It is therefore difficult to  
358 attain a predetermined value of this thermodynamical parameter in contrast to controlled-  
359 atmosphere furnaces. Heat is produced by running an electrical current through a heater that is  
360 usually made of graphite, or of LaCrO<sub>3</sub> in experiments above the graphite stability field.  
361 Quenching then occurs by switching off the power.

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363 **3.3.2 Pitfalls: Unwanted reactions, open system behavior, thermal diffusion**

364 During an experiment, separation of immiscible phases such as metal and silicate occurs due  
365 to surface tension and gravitational sorting. In conventional static experiments these processes

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652 366 rarely generate a single sphere containing 100% of the metal present in the sample. A word of  
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654 367 caution may be necessary here. Even if complete separation appears achieved, very small  
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656 368 nuggets of metal commonly persist suspended in the silicate phase (e.g. see discussion in  
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658 369 Médard et al., 2015), although their abundance appears restricted when using starting powders  
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660 370 with equilibrium metal and silicate weight ratios and compositions (Médard et al., 2015).  
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662 371 Nonetheless, these nuggets are mostly problematic for highly siderophile elements but not for  
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664 372 moderately siderophile elements (which are the focus of this study) because cross-  
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666 373 contamination is a mass balance effect. It thus depends on the distribution of isotopes as well  
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668 374 as of the element as a whole: a strong contrast in both types of distributions will readily  
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670 375 contaminate the phase with the low elemental abundance generating an underestimation of the  
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672 376 isotope fractionation factor beyond analytical errors. Obviously, when two phases have  
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674 377 identical elemental and isotopic abundances, cross contamination plays no role, but the isotope  
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676 378 fractionation factor is often investigated without prior knowledge of its magnitude. Monitoring  
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678 379 of cross-contamination could be done by doping the experiment with elements that have a near-  
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680 380 quantitative affinity for either silicate or metal. Nonetheless, owing to cross-contamination  
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682 381 issues, conventional experiments require laborious phase separation procedures (e.g. Poitrasson  
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684 382 et al., 2009). Micro-sampling techniques such as micro-drilling (e.g. Charlier et al. 2006) can  
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686 383 be employed. Alternatively, the entire sample containing mingled metal and silicate phases  
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688 384 needs to be removed from the capsule material, followed by crushing and magnetic separation  
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690 385 and/or hand-picking under a binocular microscope. It may be possible to correct a posteriori for  
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692 386 the effect of contamination of one phase by another if the isotope composition of the  
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694 387 contaminating phase can be determined separately.

687 388       Additionally to physical phase separation, chemical separation is principally also possible,  
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689 389 e.g. by using dilute hydrofluoric acid to dissolve silicate glass without attacking the metal phase.  
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691 390 Such preferential dissolution has been quite common in studies of mass-independent isotope  
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693 391 compositions, e.g. to investigate carrier phases of isotopic anomalies (e.g. Rotaru et al., 1992).  
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695 392 Chemical separation (e.g. Williams et al., 2012), however, is not as common for mass-  
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697 393 dependent isotope analyses, because of the potential for mass-dependent isotope fractionation  
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699 394 due to leaching or partial dissolution of the contaminant phase. Since these can result in kinetic  
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701 395 or low temperature equilibrium isotope fractionation (e.g. see discussion in Poitrasson et al.  
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703 396 2009), it potentially overwhelms the much smaller high temperature metal-silicate  
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705 397 fractionation. Chemical separation therefore requires careful investigation of such isotope  
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707 398 effects for the investigated isotope system (see Poitrasson et al., 2009 for an example with Fe  
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709 399 isotopes).

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711 400 To aid the physical separation of immiscible phases, a centrifuging furnace (Roeder and  
712 401 Dixon, 1977) and piston cylinder (Schmidt et al., 2006) have been designed in some  
713 402 experimental petrology laboratories. This is particularly effective for liquid systems, as is often  
714 403 the case in metal-silicate experiments mimicking core formation. Despite lacking a gas  
715 404 controlled environment, a centrifuging furnace has proven particularly useful for studying  
716 405 highly siderophile element partitioning (Brenan, 2008). Unlike the centrifuging furnace, the  
717 406 centrifuging piston cylinder has been used to study mass-dependent isotope fractionation  
718 407 factors between metal and silicate. Since the metal and silicate can be physically separated it is  
719 408 possible to use a ‘bulk’ analysis technique for determining isotope ratios (which is easier to  
720 409 calibrate than in situ methods) and it has proven particularly useful for the moderately  
721 410 siderophile element molybdenum (Hin et al., 2013). However, compared to static experiments,  
722 411 it also helps separation of metal and silicate after the experiment prior to dissolution. As detailed  
723 412 in Hin et al. (2012) two bulk pieces can be removed by sawing and once cleaned from surface  
724 413 contamination, silicate-free metal and metal-free silicate samples have thus been obtained for  
725 414 dissolution, ion exchange chromatography and analysis.  
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737 416 The nature of the crucibles in which metal-silicate samples are held, are major issue when  
738 417 investigating equilibrium isotope fractionation factors. There are several materials that are  
739 418 (virtually) inert to either silicate or metal, but none that are inert to both. Graphite, MgO and to  
740 419 lesser extent boron nitride (BN) have become the most popular choices of capsule material,  
741 420 choices that have mostly been adopted from element partitioning experiments between metal  
742 421 and silicate liquids. Graphite, for instance, has limited solubility of usually <10 wt% in metal  
743 422 (Lord et al., 2009) and silicate (as CO<sub>2</sub>) (Mysen et al., 1976). Similarly, nitrogen solubility  
744 423 remains below ~10 wt% in liquid Fe-Ni alloy and below ~0.5 wt% in basaltic silicate liquid  
745 424 (Roskosz et al., 2013). In contrast, boron and MgO are virtually inert to metal yet react with  
746 425 silicate. While B is a trace element in magmatic system, its addition from capsule material may  
747 426 modify the bonding environment of metal oxides (Wang and Stebbins 1998). This reactivity  
748 427 can be limited by increasing their contents in the starting mixture and reducing run durations of  
749 428 the experiment to a minimum. However, as suggested in Hin et al. (2013) there can be  
750 429 conditions under which the continually changing silicate composition during an experiment  
751 430 continuously affects the solubility of the element of interest. This transient state inhibits isotopic  
752 431 equilibration if the rate of element transfer from metal to silicate (or vice versa) exceeds the  
753 432 rate of isotope equilibration. This issue is probably particularly relevant to elements whose  
754 433 solubility is sensitive to compositional changes and characterized by low diffusivities, such as  
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770 434 for highly charged elements in silicate liquids (Ellison and Hess, 1986; Hillgren et al., 1996).  
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772 435 While we draw the attention to these features, a quantitative assessment remains difficult due  
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774 436 to poor knowledge of kinetic parameters. Similar equilibration issues may arise if the element  
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776 437 of interest interacts with the capsule material, e.g. Fe diffusion into an MgO capsule to form  
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778 438 ferroperricite. Such setups require careful investigation of isotope equilibration (see below).

779 439 Capsule solubility in metal or silicate and hence the choice of capsule material is also a  
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781 440 matter of concern if isotope fractionation factors are dependent on composition. The extent of  
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783 441 such compositional dependence is still a matter of debate. There is ample evidence (Ma, 2001;  
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785 442 Wagner, 1952) that elemental distributions between metal and silicate are strongly affected by  
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787 443 the composition of either phase (e.g. carbon solubility in metal due to the use of graphite  
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789 444 capsules). Initial investigations showed a remarkable resilience of isotope fractionation factors  
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791 445 towards compositional variations in Fe-based metals and (ultra)mafic silicates. For instance,  
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793 446 multiple studies observed no change in Si isotope fractionation between metal and silicate  
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795 447 liquids in C-bearing and C-free systems (Hin et al., 2014; Shahar et al., 2011). Similarly,  
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797 448 comparison of Sn-bearing and Sn-free systems yielded identical Mo and Si isotope fractionation  
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799 449 factors (Hin et al., 2013; Hin et al., 2014). A study of a wide range of silicate melt compositions  
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801 450 from basaltic to rhyolitic, however, has shown that over that compositional range, iron isotope  
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803 451 fractionation is affected by changes in coordination state as well as changes in the relative  
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805 452 mineral-melt partitioning of  $\text{Fe}^{3+}$  and  $\text{Fe}^{2+}$  (Dauphas et al. 2014). Additionally, recent  
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807 453 contributions (e.g. Shahar et al., 2015, Liu et al., 2017) that investigated metal composition  
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809 454 effects on Fe isotope fractionation in more detail imply that such effects occur, although some  
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811 455 findings are still a matter of debate (see section 4.5 on Fe isotopes for more details).  
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814 457 Another potential issue when experimentally determining isotope fractionation factors is that  
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816 458 isotopes can fractionate along temperature gradients due to the Soret effect (Kyser et al., 1998):  
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818 459 for example, Mg isotope variations of several ‰ have been observed in piston cylinder  
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820 460 experiments with a temperature gradient of  $\sim 150^\circ\text{C}$  between the hot and cold ends of the capsule  
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822 461 (Richter et al., 2008). Temperature gradients in the hot part of (gas controlled) furnaces are so  
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824 462 small that such a thermal effect is not a matter of concern over the dimensions of the sample.  
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826 463 Temperature calibrations in piston cylinders show substantially steeper temperature gradients.  
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828 464 However, these calibrations also show that temperature differences between the hottest and  
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830 465 coldest part of a capsule can be reduced to a likely insignificant  $< 9^\circ\text{C}$  by carefully centering the  
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832 466 capsule in the hotspot of the assembly and by restricting the sample length to  $< \sim 4$  mm (e.g.  
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834 467 Poitrasson et al., 2009; Hin et al., 2012; Watson et al., 2002). The temperature gradients in

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829 468 multi-anvil experiments, however, are more than double for the lowest pressure (18/11)  
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831 469 assemblies and rapidly steepen for higher pressure assemblies (van Westrenen et al., 2003).  
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833 470 Unless technical advances reduce these gradients, multi-anvil experiments will have to be  
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835 471 performed keeping in mind the possibility of isotope fractionation by thermal diffusion. The  
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837 472 short run durations (minutes) required for equilibration of liquid metal – liquid silicate  
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839 473 experiments at temperatures >2000°C may fortuitously minimise Soret isotope effects because  
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841 474 such effects appear to need tens of hours to develop (Richter et al., 2009). Otherwise, multi-  
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843 475 anvil run products require careful sampling of adjacent metal and silicate pairs. Such sampling  
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845 476 should avoid the effects of thermal diffusion as locally metal and silicate should be equilibrated  
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847 477 and not affected by temperature gradients.

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849 478 Equilibration is an important aspect of experiments aimed at calibrating equilibrium isotope  
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851 479 fractionation factors. Time series are the most common approach to ensure equilibrium is  
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853 480 achieved, i.e. if the distribution of isotopes or elements between the studied phases does not  
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855 481 change with increased experimental run duration, equilibrium ought to have been achieved  
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857 482 (Figure 2). Observations show that run durations of five seconds suffice to achieve elemental  
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859 483 equilibrium in liquid metal – liquid silicate experiments at 2300 K (Thibault and Walter, 1995).  
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861 484 Such equilibration times need not be identical for isotopic studies because isotopes may have  
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863 485 slightly different chemical potentials driving the rates of their reactions (Leshner, 1990; Van der  
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865 486 Laan et al., 1994). However, various studies on a variety of elements indicate similarly short  
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867 487 isotopic equilibration times of the order of minutes to <2 hours, depending on temperature (Hin  
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869 488 et al., 2013; Hin et al., 2014; Hin et al., 2012; Poitrasson et al., 2009; Shahar et al., 2011). Such  
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871 489 fast equilibration is likely related to high diffusion coefficients in liquids at high temperatures  
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873 490 and to short diffusion distances, particularly at the onset of melting of the finely ground and  
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875 491 homogenised samples.

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877 492 If one or more of the phases is in solid state, reaching equilibrium within reasonable  
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879 493 experimental run durations may be difficult because of slower diffusion. This issue can be  
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881 494 circumvented by designing the experiment such that the faster process of (re-)crystallization of  
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883 495 the solid phase(s) occurs. Altogether this can force isotope equilibrium to take place despite the  
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885 496 presence of a solids with slow exchange kinetics. This process can be induced by exceeding the  
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887 497 liquidus temperature prior to dropping the temperature to that desired for the experiment or by  
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889 498 using starting material that has a different crystal structure than at the pressure and temperature  
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891 499 of the experiment, by phase reactions that form the mineral phase used for equilibration by  
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893 500 reaction with a silicate melt (e.g. Fe isotopes between pyrrhotite and silicate melt, Schuessler



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501 et al., 2007), or by equilibration with a fluid to speed up isotope exchange kinetics (see Sossi  
502 and O’Neill, 2017, for an example with silicate minerals).

503  
504 **3.3.3 Demonstrating isotopic equilibrium and a discussion of the three- isotope method**

505 Alternatively to time series, a potentially very powerful technique, referred to as the “three-  
506 isotope method” (Matsuhisa et al., 1978; Northrop and Clayton, 1966; Shahar et al., 2008) has  
507 been used to extrapolate isotopic compositions of a series of unequilibrated experiments to their  
508 equilibrium isotope compositions and eventually to obtain the equilibrium fractionation factors.  
509 Although this method of adding an isotope spike to one of the phases in the starting material  
510 has also been used in liquid metal – liquid silicate experiments, its use in such experiments is  
511 found mostly in investigating whether chemical exchange indeed occurred and whether loss or  
512 gain of isotopes occurred during the experiment, e.g. by exchange with the capsule material.  
513 This is more readily investigated with an isotope spike because it induces easily detected  
514 differences between the phases in the starting material, which may not be present when natural  
515 isotope compositions are used. The three-isotope method has recently been discussed in a  
516 review by Young et al. (2015), so the reader is referred to that work and original contributions  
517 cited above for more details. With the three-isotope approach it is possible to infer the isotopic  
518 difference between two phases ( $\Delta_{x-y}$  defined as  $10^3 \times \ln(\alpha_{x-y})$ , where  $\alpha$  is the fractionation factor  
519 between phases x and y, see equation (2)) by using the trajectory of isotope composition in  
520 isotope space. The trajectories are assumed to be linear and provided the bulk composition of  
521 the system is known, one can infer the value of  $\Delta_{x-y}$  by calculating the intersection of the isotope  
522 trajectory of the metal phase (or the silicate phase) with the mass-dependent fractionation line  
523 that is pinned to the bulk composition, which is itself known from measurements of the bulk  
524 starting material. For example, Shahar et al. (2011) and Lazar et al. (2012) used this technique  
525 to determine the  $\Delta_{x-y}$  -value starting from compositions that had been doped in a specific  
526 isotope.

527 A recent study focusing on O and C isotopes (Cao and Bao 2017) has also reviewed the three  
528 isotope technique and pointed out numerous artifacts related to kinetic effects for these systems.  
529 In this review, we have examined the validity of this approach from a theoretical viewpoint.  
530 We have used two theoretical models to investigate the shape of the trajectories. First, Criss et  
531 al. (1987) have shown that the isotope composition of a two-phase system would follow first  
532 order kinetics:

$$\frac{dR_{met}}{dt} = k_1(\alpha_{eq}R_{sil} - R_{met}) \quad (11)$$

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947 534 where  $k_1$  is kinetic constant for a metallic phase,  $R_{met}$ ,  $R_{sil}$  are the isotope ratios in a metallic  
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949 535 phase and silicate phase respectively and  $\alpha_{eq}$  is the isotope fractionation factor between metal  
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951 536 and silicate. The solution of this equation is:

$$952 \quad R_{met}(t) = R_{met}^{eq} + (R_{met}^0 - R_{met}^{eq}) \left( 1 - e^{-\left(\frac{\alpha_{eq}}{1-f_{met}} + 1\right) k_1 t} \right) \quad (12)$$

956 538 where  $f_{met}$  is the fraction of the element of interest in the metallic phase and superscripts 0 and  
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958 539 eq refer to initial state and equilibrium, respectively. The equation for  $R_{sil}$  can be deduced by  
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960 540 mass balance using the following equation:

$$961 \quad R_{sil} = (R_{mix} - f_{met} R_{met}) / (1 - f_{met}) \quad (13)$$

963 542 These equations are valid only if the fraction of the element in the metallic phase is fixed, which  
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965 543 is generally not the case. If  $f_{met}$  evolves during the isotope equilibration reaction, indicating that  
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967 544 the metal and silicate are initially out of *chemical* equilibrium, then the evolution of  $R_{met}$  and  
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969 545  $R_{sil}$  can be non-linear and their time evolution needs to be integrated numerically. In this case,  
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971 546 as shown by Cao and Bao (2017), the following equation can be used:

$$972 \quad \frac{dR_{met}}{dt} = \frac{k_f \alpha_{eq}}{1 - f_{met}} R_{mix} - \frac{k_f (f_{met} \alpha_{eq} + 1 - f_{met})}{1 - f_{met}} R_{met} \quad (14)$$

974 548 where  $k_f$  is a kinetic constant for the forward reaction. These equations make no assumption  
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976 549 about the driving mechanism for isotope equilibration. A simplistic method is to scale the value  
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978 550 of the kinetic constants with the square root of the mass of isotope ratios to simulate the effect  
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980 551 of kinetic fractionation. We have integrated numerically equation (14) assuming that the value  
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982 552 of  $f_{met}$  evolved with the following equation (corresponding to first-order kinetics):

$$983 \quad f_{met} = f_{met}^0 + (f_{eq} - f_{met}^0) e^{-t/\tau} \quad (15)$$

984 554 Where  $\tau$  is a time scale for chemical equilibration. The linearity of trajectories is a function of  
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986 555 how far from equilibrium the initial system is. As shown in Figure 3, when the system is close  
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988 556 to equilibrium  $f_{met}^0 \sim f_{eq}$ , then the trajectories are linear, whereas they can be non linear when the  
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990 557 system is initially out of chemical equilibrium (Figure 3). The non-linearity is also a function  
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992 558 of how far from the mass fractionation line of the system the initial metal and silicate phases  
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994 559 are. These features are also discussed semi-quantitatively in Cao and Bao (2017) for oxygen  
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996 560 isotope chemical reactions. This simplistic approach further highlights the conclusions of Cao  
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998 561 and Bao (2017) regarding the three isotope methods and suggests a good characterization of  
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1000 562 reaction kinetics is necessary.

In the case of metal-silicate experiments the most likely process for equilibration, in the absence of reactions ( $f_{\text{met}} = \text{constant}$  in this case) will be diffusion. Thus, we have examined the isotope trajectories in the case of equilibration via diffusion. Cole et al (1983) have shown that in the case of a phase with a slower diffusion (i.e. in the silicate), the equation for the isotope ratio of interest in the silicate phase as a function of time should be:

$$\frac{R_{\text{sil}}(t) - R_{\text{sil}}^{\text{eq}}}{R_{\text{sil}}^0 - R_{\text{sil}}^{\text{eq}}} = \sum_{n=1}^{\infty} \frac{6\beta(\beta+1)e^{-Dq_n^2 t/a^2}}{9 + 9\beta + q_n^2 \beta^2} \quad (16)$$

where  $\beta$  is the metal to silicate volume ratio,  $D$  the diffusion coefficient of the isotope in the silicate,  $a$  the radius of spherical grains and  $q_n$  are the non-zero values of the following equation:

$$\tan(q_n) = \frac{3q_n}{3 + \beta q_n^2} \quad (17)$$

The value of  $R_{\text{sil}}^{\text{eq}}$  is calculated with the following equation:

$$R_{\text{sil}}^{\text{eq}} = \frac{R_{\text{bulk}}}{\alpha_{m\_sil}(1 - X_{\text{sil}}) + X_{\text{sil}}} \quad (18)$$

with  $X_{\text{sil}}$  being the mass fraction of the element in the silicate. Assuming that the system is closed, one can infer the isotope composition of the metal phase ( $R_m$ ) as:

$$R_m(t) = \frac{R_{\text{bulk}} - X_{\text{sil}} \times R_{\text{sil}}(t)}{1 - X_{\text{sil}}} \quad (19)$$

If we assume that diffusion for a given isotope in the silicate melt scales as a power  $m$  of the mass of this isotope (previously assumed to be equal to 0.5), then the trajectories of equilibration between metal and silicate can be calculated. The trajectories for diffusive equilibration are shown for various values of  $m$  (Figure 4) and it is obvious that they are linear unless the values of  $m$  are unrealistically large (e.g. 5). This means that diffusive equilibration should lead to conditions where the three isotope method is valid. A good check is that the slope of the metal silicate pair should be approximately  $(57-54)/(56-54)=1.5$  in the case of iron isotopes.

In summary, the application of the three isotope method to metal-silicate equilibrium is not so straight forward as non-linear trajectories can potentially be obtained in which case a linear extrapolation of the equilibrium isotope fractionation factor is difficult. The conditions for having non-linear trajectories have been outlined in Bao and Cao (2017) and we have shown quantitatively here that in the particular case of a reaction leading to a net transfer from one phase to another could produce such effect. This does not mean that this approach is invalid but that special care must be taken.

### 3.4 The application of NRIXS to constrain metal-silicate isotope equilibria

Instead of using *ab initio* calculations to model the phonon density of states of a phase, it can also be measured in some cases. The Nuclear Resonant Inelastic X-ray Scattering (NRIXS) technique provides direct access to the partial and projected phonon DOS for the resonant isotopes only (Kohn et al., 1998, Sturhahn and Kohn, 1999). This complete isotope selectivity is truly unique among techniques for the study of vibrational properties of solids. It can be a disadvantage to be restricted to vibrational information only from nuclear resonant isotopes in a multicomponent system. But this is also precisely what makes NRIXS suitable for isotope geochemistry applications because the derived properties, such as the mean force constant ( $K_f$ ), fully yet selectively characterize the vibrational behavior of the element of interest. Equilibrium isotope fractionation factors characterizing metal-silicate equilibrium can be derived from the value of  $K_f$  measured on nuclear resonant isotopes because  $K_f$  is the second-order derivative of the interaction potential, which is constant and does not depend on the mass of the isotope for a harmonic oscillator (Lipkin, 1995). Therefore, the  $\beta$ -factors are related to the mean force constant of the harmonic oscillators holding the element in place (Bigeleisen and Mayer, 1947),

$$1000\ln\beta_{i_2/i_1} = 1000\frac{\hbar^2}{8(kT)^2}K_f\left(\frac{1}{m_1} - \frac{1}{m_2}\right) \quad (20)$$

where  $\hbar$  is the reduced Planck constant ( $h/2\pi$ ),  $k$  is the Boltzmann constant,  $T$  is the temperature,  $K_f$  is the average force constant ( $\text{N}/\text{m}^2$ ), and  $m_1$  and  $m_2$  are the masses of the two isotopes  $i_1$  and  $i_2$ , respectively. Note that the force constant simply corresponds to the force constant of a harmonic spring that is used to represent vibrations in solids.

Practically-speaking, NRIXS relies on the fact that some nuclei such as  $^{57}\text{Fe}$  or  $^{119}\text{Sn}$  have low lying nuclear excited states that can be populated by X-ray photons at a nominal resonance energy (14.42125 keV in the case of iron). This elastic transition itself does not provide the necessary information about the sublattice. However, when the energy of the incident X-ray beam is slightly lower than the nominal resonance, some lattice vibrations can fill the gap and provide some extra energy (in the form of phonons) to allow the nuclear transition to an excited state to occur. Conversely, when the energy of the incident X-rays is slightly higher than the resonance, the transition occurs and the extra energy is transferred to the target (in the form of

phonons). These processes are known as phonon annihilation and creation respectively. Consequently, by measuring the flux of scattered X-rays as a function of the incident X-ray energy (the scattering probability,  $S(E)$ ), the vibrational properties of the sublattice is probed. This is precisely what is required to extract equilibrium fractionation factors (Bigeleisen and Mayer, 1947; Urey, 1947; Dauphas et al., 2012).

Two approaches have been proposed to retrieve the  $\beta$ -factors once scattering probabilities have been obtained. Polyakov et al. (2007) initially used the kinetic energy and first order perturbation theory to calculate the  $\beta$ -factors from the PDOS of the iron sublattice. Dauphas et al. (2012) derived instead the mean force constant of the sublattice from the raw scattering probabilities ( $S(E)$ ). The two approaches have been found mathematically equivalent but the error propagation is more straightforward when the second approach is followed. The details of the data processing is discussed elsewhere (Dauphas et al., 2014; Blanchard et al., 2015). Nonetheless, from a theoretical viewpoint, in the context of quadratic potentials, the mean force constant can be derived from the third order moment of the scattering probability (Lipkin, 1995; Sturhahn, 2004):

$$\langle F \rangle = \frac{M}{E_R \hbar^2} \int_{-\infty}^{+\infty} (E - E_R)^3 S(E) dE, \quad (21)$$

where  $E_R = E_0^2/2Mc^2$  is the free recoil energy ( $E_0$  is energy of nuclear resonance and  $c$  is the speed of light in vacuum),  $M$  is the mass of the nuclide,  $S(E)$  is the scattering probability (*i.e.*, the raw NRIXS spectrum), and  $E$  stands for energy. Since the force constants are integrals that are weighted by the cube of the energy, even small bumps in the high-energy tails of  $S(E)$  or in the PDOS can have sufficient weight to affect the value of the force constant and hence the  $\beta$ -factors. As these tails are characterized by low counting statistics, determining  $K_f$  for applications to isotope geochemistry is therefore particularly challenging as this requires broad energy scans and long acquisition times.

Experimental details are beyond the scope of this review (see for instance Dauphas et al., 2012; 2014). However, one needs to provide words of caution when NRIXS spectra are collected for geochemical applications. Before 2012, geochemical applications of this spectroscopy essentially focused on the determination of the Debye sound velocity from which compression and shear velocities were extracted (Sturhahn and Jackson 2007). For such applications only the low energy range of the spectrum (where the signal is intense) was needed. For isotope geochemistry, the entire energy range needs to be accurately sampled. The experimental

1181  
1182  
1183 658 consequence is that the energy of the incident beam has to be varied within a typical range of  
1184 -200 to +200 meV around the nominal resonance energy. Previously published spectra are often  
1185 659 very noisy at high energies and sometimes, the measurements were truncated before the signal  
1186 660 reached zero at the high energy tail. Therefore, these spectra must be considered with caution  
1188 661 as discussed below.  
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1191 663  
1192  
1193 664 Thus far, the application of NRIXS spectroscopy in isotope geochemistry has been restricted  
1194 665 to iron. The following review therefore only focuses on this isotopic system. Recent studies of  
1195 666 Fe isotope fractionation between minerals can be found in Roskosz et al., (2015) and Blanchard  
1197 667 et al. (2015). Direct comparison between *ab initio* and experimental studies was performed. For  
1199 668 goethite, the  $\beta$ -factor calculated from the revised NRIXS data of Dauphas et al. (2012) was  
1200 found to be overall consistent yet slightly higher than the first principle calculations (Blanchard  
1201 669 et al., 2015). The system olivine-spinel was also tested (Roskosz et al., 2015). Here, NRIXS  
1202 670 data collected on an olivine  $\text{Fo}_{82}$  and a spinel with a  $\text{Fe}^{3+}/\text{Fe}_{\text{tot}}$  relevant to magnetite were  
1204 671 compared with experimental data collected on the magnetite-fayalite couple (Shahar et al.,  
1205 672 2008) and with  $\beta$ -factors extracted from Mössbauer spectroscopy (e.g. Polyakov and Mineev  
1207 673 2000). The NRIXS results were within error of the experimental data (0.04-0.08 ‰). There was  
1209 674 also good agreement with previous spectroscopic studies as far as equilibrium fractionation  
1210 675 factors (i.e. the difference between two  $\beta$ -factors) were concerned but the individual  $\beta$ -factors  
1212 676 were found to be very different. Such disagreements between  $\beta$ -factors of a given mineral is  
1214 677 also common in the field of *ab initio* calculation studies. Without knowledge of the true value,  
1215 678 this observation demonstrates that self-consistent datasets are needed to derive reliable isotope  
1217 679 fractionation factors. Combining data collected by very different methods must be done with  
1219 680 extreme caution.  
1220 681  
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1223 683 The first prediction of metal-silicate iron isotope partitioning based on NRIXS spectra,  
1224 684 suggested that core-mantle differentiation would leave an imprint on the iron isotope signature  
1225 685 of the Earth (Polyakov, 2009). This study suggested that the enrichment of terrestrial and lunar  
1226 686 basalts in heavy iron isotopes relative to those from Mars or Vesta is due to high-pressure  
1227 687 equilibrium iron isotope fractionation between silicates (dominated by  $\text{Fe}^{2+}$  bearing post-  
1228 688 perovskite) and metal (0.1‰ at 3000°C). However, this important conclusion was derived from  
1230 689 available literature spectra of samples synthesized at high pressure in a diamond anvil cell in  
1231 688 the stability field of post-perovskite. These measurements were carried out to derive sound-  
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1242 691 velocities of post-perovskite. Consequently, the high-energy tail of the spectra was not resolved  
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1244 692 enough to allow these data to be safely used to derive equilibrium isotope fractionation factors.  
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1246 693 Later, a comprehensive compilation of available data on metals, silicates and sulfides was  
1247 694 published (Dauphas et al., 2012). Keeping in mind that some of these results may also suffer  
1248  
1249 695 from a poor spectral resolution, the authors demonstrated that no significant fractionation  
1250 696 should be expected at high temperature and low pressure between iron metal and silicates  
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1252 697 (Dauphas et al., 2014), in agreement with Poitrasson et al. (2009) and Hin et al. (2012). This  
1253 698 compilation did not consider the hypothetical effect of pressure on the fractionation factors as  
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1255 699 in the earlier work of Polyakov (2009).

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1258 701 More recently, two experimental studies tackled the question of the isotopic imprint of metal-  
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1260 702 silicate equilibration during core formation by measuring, *in situ*, at high-pressure, the effect of  
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1262 703 alloying elements on the  $\beta$ -factors of metallic iron-based alloys. Shahar et al. (2016) measured  
1263 704 the NRIXS spectra of FeO, Fe<sub>3</sub>C and FeH<sub>x</sub>. Combined with first-principle calculations on Fe-  
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1265 705 bearing bridgmanite, these results suggested that pressure may have a direct effect on the  
1266 706 fractionation factors because of the contrasted stiffening of bonds from one mineral to another  
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1268 707 with increasing pressure. This theoretically expected result provided the basis to conclude that  
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1270 708 FeH<sub>x</sub> and Fe<sub>3</sub>C were probably not major components making the Earth' core. Liu et al. (2017)  
1271 709 analyzed more realistic metal alloys in the context of planetary cores (namely, Fe<sub>86.8</sub>Ni<sub>8.6</sub>Si<sub>4.6</sub>,  
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1273 710 Fe<sub>92</sub>Ni<sub>8</sub>, Fe<sub>85</sub>Si<sub>15</sub> and Fe<sub>3</sub>S) and a fully reduced basaltic glass up to 65 GPa (and 135 GPa for  
1274 711 alloys). Considering their data set for the basaltic glass instead of the calculation for  
1275  
1276 712 bridgmanite, Liu et al. provided a self-consistent dataset including previous NRIXS results from  
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1278 713 Shahar et al. (2016). Although their results were generally consistent with Shahar et al. (2016),  
1279 714 in detail the pressure effects on the fractionation factors were found to be much smaller and  
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1281 715 even smaller fractionation factors were found between alloys and melts. They could not resolve  
1282 716 any significant pressure effects on the equilibrium fractionation factors at pressures and  
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1284 717 temperatures relevant for the Earth's core formation. These NRIXS data imply that iron isotopes  
1285 718 may not be a good proxy for determining the composition of Earth's core or the thermodynamic  
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1287 719 conditions under which it formed. Nonetheless, the present limitations of the spectroscopic  
1288  
1289 720 methods are such that more subtle effects of anharmonicity, of spin transitions or of the  
1290 721 difference between molten and quenched materials may have remained unresolved so far.

#### 1291 722 1292 1293 723 **4. A review of recent applications of metal isotopes to constrain core formation**

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1301 724 In this section, the results obtained for a number of isotope systems are examined by order of  
1302 increasing atomic numbers. Although some other elements (e.g. V, S, W, Ga and Ge) have been  
1303 725  
1304 726 also utilized as tracers of core formation, they have not been included in this review because  
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1306 727 each of these elements has currently only been investigated once.  
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1308 728

#### 1309 729 **4.1 Carbon isotopes**

1310  
1311 730 Carbon is of particular interest in this list as carbon is a light element whose presence has  
1312 731 been suggested to explain the density deficit of the outer core (e.g. Poirier 1994). The carbon  
1313 isotope composition of the mantle has been the focus of much research as it has been used as a  
1314 732 tool to understand the formation of diamonds. There is a bimodal distribution in the isotope  
1315 733 composition of C in the mantle. It has been argued that the value representative of the primitive  
1316 734 mantle is  $\delta^{13}\text{C} = -5 \pm 5\%$  while the other mode ( $-25 \pm 6\%$ ) is due to subduction recycling (Wood  
1317 735 et al. 2013). In contrast, there is a large range in  $\delta^{13}\text{C}$  in chondrites with values ranging between  
1318 736  $-25$  to  $+4\%$  (Grady and Wright 2003). A comparison with other planetary bodies (Mars, Vesta,  
1319 737 Moon) suggested that the C isotope composition of the bulk Earth is  $\sim -20\%$  (Grady and Wright  
1320 738 2003; Grady et al. 1997). To infer the possibility that this composition could be different from  
1321 739 that of the bulk Earth, one needs to assume that observed volatile depletion in C in the Earth  
1322 740 does not yield any isotope fractionation. There has been a few experimental determinations of  
1323 741 C isotope fractionation between C and iron carbide ( $\text{Fe}_3\text{C}$ , Reutsky et al. 2008; Satish-Kumar  
1324 742 et al. 2011) or Fe-C molten alloy (Satish-Kumar et al. 2011) thought to represent metallic melt  
1325 743 forming the core. The magnitude of this fractionation was confirmed by theoretical calculations  
1326 744 by Horita and Polyakov (2015) who also concluded that the effect of pressure should be limited.  
1327 745 It turns out that even at high temperatures, the isotope fractionation factor is relatively large,  
1328 746 yielding a difference of up to 2-3% between carbon-bearing metallic melt and diamond (or  
1329 747 carbonate) for  $T=2400-2800^\circ\text{C}$ . A limitation of this calculation may be that the relevant species  
1330 748 is the C dissolved in the silicate melt (e.g. Armstrong et al. 2015) rather than diamond. Wood  
1331 749 et al. (2013) constructed a simple model to infer the  $\delta^{13}\text{C}$  value of the mantle after core  
1332 750 formation using existing data. By assuming a single stage model for core formation, they show  
1333 751 that for reasonable temperatures of equilibration ( $T > 2500\text{ K}$ ), the isotope fractionation would  
1334 752 be too small (2.4%) compared with the observed difference between BSE and bulk Earth  
1335 753 (15%). As a result they called upon a Rayleigh distillation process corresponding to repeated  
1336 754 episodes of equilibration during Earth accretion at weakly constrained temperatures of  
1337 755 equilibration between 1500-1900 K. These seemed too low compared with what has been  
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1360 757 inferred based on siderophile element partitioning, which implies a lack of constraints on the  
1361  
1362 758 exact process that led to the observed carbon isotope fractionation.  
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## 1364 759 **4.2 Nitrogen isotopes**

1366  
1367 760 Nitrogen is another light element of interest in the context of the core formation. First, the N  
1368  
1369 761 content of the BSE seems lower than chondritic and more depleted than other volatiles such as  
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1371 762 C, leading to a non-chondritic C/N of the Bulk Silicate Earth (Marty, 2012). This depletion may  
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1373 763 reflect large-scale metal-silicate equilibration during core formation, a massive blow-off of the  
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1375 764 early atmosphere or finally a consequence of the nature of the material accreted as a late veneer.  
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1377 765 Surprisingly, the partitioning of nitrogen was poorly characterized until recently. Now, it has  
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1379 766 been the focus of several studies aiming at determining its speciation (Roskosz et al. 2006b;  
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1381 767 Mysen et al.; 2010, Li et al., 2016) and its partitioning between metallic alloys, silicates and  
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1383 768 fluids at elevated pressures (Roskosz et al., 2013, Kadik et al., 2015; Li et al., 2016, Dalou et  
1384  
1385 769 al., 2017). All these studies concluded that nitrogen was less siderophile than what would be  
1386  
1387 770 anticipated from partitioning data at ambient pressure. Above an oxygen fugacity of IW-2.2,  
1388  
1389 771 nitrogen is moderately siderophile. Furthermore, in more reducing conditions (below IW-2.2,  
1390  
1391 772 the oxygen fugacity inferred for that of core-mantle equilibration on Earth), nitrogen may even  
1392  
1393 773 become a lithophile element (Roskosz et al., 2013, Dalou et al., 2017). Yet, in any case, a  
1394  
1395 774 significant fraction of nitrogen accreted on Earth may have been scavenged into the core  
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1397 775 forming alloys and the Earth's core is a major sink for nitrogen at the planetary scale.  
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1399 776 Consequently, if significant isotope fractionation occurred during metal-silicate equilibration,  
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1401 777 then the mantle and atmospheric N isotope compositions may have been modified relative to  
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1403 778 the pristine signature of the elusive building blocks of the planet.  
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1405 779 To date only one experimental dataset has been published on this topic (Li et al., 2016).  
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1407 780 Experiments were performed in both piston cylinder and multianvil apparatus at 1.5 and 7 GPa,  
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1409 781 and temperatures ranging from 1600 to 1800°C. In all experiments, a significant metal-silicate  
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1411 782 isotope fractionation was measured. The metal was on average found to be  $3.5 \pm 1.7\%$  lighter in  
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1413 783  $^{15}\text{N}$  than the silicate reservoir. No temperature dependence between 1600 and 1800°C was  
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1415 784 found, whereas the fractionation factors should decrease as a function of  $1/T^2$ . However, the  
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1417 785 uncertainties in the isotope fractionation factors (typically 2.5‰) may preclude the resolution  
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1419 786 of this effect. The authors proposed that this temperature effect could be counterbalanced by a  
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1421 787 positive pressure effect, however, such a large pressure effect has never been predicted or  
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1423 788 observed at such moderate pressures. These results should obviously be confirmed by future  
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1419 789 experimental studies. Nonetheless, assuming that at least the order of magnitude and the  
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1421 790 direction of the fractionation are real, then this would imply that the average isotopic  
1422  
1423 791 composition of the main carrier of nitrogen should be lighter by several ‰ than the BSE  
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1425 792 signature. In this context, only materials sharing isotopic similarities with the enstatite  
1426  
1427 793 chondrites may be good candidates because carbonaceous chondrites exhibit a significant  
1428  
1429 794 excess in  $^{15}\text{N}$  (Marty et al., 2012; Li et al., 2016).

### 1430 795 **4.3 Silicon isotopes**

1431 796 There is considerable controversy regarding the silicon isotope fractionation between metal  
1432  
1433 797 and silicate and its application to understanding core formation. First, an early study has shown  
1434  
1435 798 that there is a measurable difference between the silicon isotope composition of chondrites and  
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1437 799 that of the bulk silicate Earth (Georg et al. 2007). It was argued that this isotope difference of  
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1439 800 0.2‰ could be accounted for by the incorporation of Si in the core of the Earth and that  
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1441 801 assuming 7% Si in the core, the temperature of metal-silicate fractionation was approximately  
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1443 802 2000 K. The temperature estimate was based on an *ab initio* calculation of Si isotope  
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1445 803 fractionation between  $\text{Fe}_3\text{Si}$  and  $\text{Mg}_2\text{SiO}_4$  and it is clear that this was an estimate based on an  
1446  
1447 804 approximate solid composition of liquid Fe-Ni-Si alloy and silicate melt. The temperature of  
1448  
1449 805 metal-silicate equilibration was remarkably low and was therefore not realistic if one confronts  
1450  
1451 806 this information with temperatures derived from siderophile element modeling (e.g. Wade and  
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1453 807 Wood 2005; Badro et al. 2007; Siebert et al. 2011; Rubie et al. 2011, Fisher et al. 2015) or with  
1454  
1455 808 terrestrial magma ocean temperatures. A subsequent study by Fitoussi et al. (2009) showed that  
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1457 809 the difference between the Earth and carbonaceous chondrites was perhaps as low as 0.1‰ in  
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1459 810 which case the metal-silicate equilibration temperature could be as high as 2500 to 3000°C. The  
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1461 811 measurements of carbonaceous chondrites turned out to be difficult and did not yield consistent  
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1463 812 results with values ranging from -0.75‰ (Ziegler et al. 2010) to -0.36 ‰ (Armytage et al. 2011).  
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1465 813 As some of this scatter may be analytical, it seems difficult to determine precisely what the  
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1467 814 isotope composition of Si in the bulk Earth ought to be (Figure 5) based on chondrites.

1468 815 Furthermore, as summarized in Hin et al. (2014) it seems difficult to infer precise  
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1470 816 temperatures of core formation, assuming a given Si content in the Earth's core using existing  
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1472 817 Si isotope fractionation factors between metal and silicate reported in the literature. This is due  
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1474 818 to the significant discrepancy between the data reported by the UCLA group (Shahar et al. 2009;  
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1476 819 2011) and the data of Hin et al. (2014). The reason for this discrepancy is unresolved but it  
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1478 820 could be noted that the Si isotope data obtained by laser ablation in metals were not checked  
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1480 821 with a secondary standard and matrix effects in metals are undetermined. Similarly, data for Si

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1477  
1478 822 isotope fractionation in meteorites (Ziegler et al. 2010) is probably not an unambiguous method  
1479  
1480 823 to assess the equilibrium isotope fractionation factor as there is no independent method to  
1481  
1482 824 determine unequivocally whether the Si isotopes in these particular silicate and metallic phases  
1483  
1484 825 were in isotope equilibrium, the diffusion of Si being notably slow in silicates. Thus, the debate  
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1486 826 is currently unsettled and needs additional experimental or theoretical data. Finally, an  
1487  
1488 827 alternative view is that the Si isotope data would not be explained entirely by the effect of core  
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1490 829 formation but by the effect of vapor-condensate reactions in the protoplanetary disk (Fitoussi  
1491  
1492 830 et al. 2009; Dauphas et al. 2015), by impact vaporization during accretion of planetesimals  
1493  
1494 831 (Pringle et al 2014) or during giant impacts (Zambardi et al. 2013), or by volatilization from  
1495  
1496 832 magma pools/oceans on planetesimals (Hin et al. 2017). In this case, the Si isotope signature of  
1497  
1498 833 the bulk silicate Earth would only be partly affected by Si incorporation into the Earth's core.

#### 1497 834 **4.4 Chromium isotopes**

1499 835 Chromium is a refractory metal that is siderophile only under reducing conditions. There has  
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1501 836 been clear documentation of strong isotope fractionation between the +III and +VI oxidation  
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1503 837 state of chromium in surface environments (e.g. Ellis et al. 2002) so it was logical to look at Cr  
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1505 838 isotopes as a tracer of metal-silicate fractionation. The first measurements of Cr isotopes in  
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1507 839 terrestrial rocks were reported by Schoenberg et al. (2008) and yielded a  $\delta^{53}\text{Cr}$  value for  
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1509 841 BSE =  $-0.12 \pm 0.10\%$ . A study by Moynier et al. (2011) has shown a difference of up to  $+0.4\%$   
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1511 842 between the Cr isotope composition in terrestrial rocks and chondrites, which was interpreted  
1512  
1513 843 as reflecting isotope fractionation taking place during core formation. The calculated  
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1515 844 temperature of metal-silicate equilibration was surprisingly low  $<1500$  K, which was  
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1517 845 interpreted to reflect fractionation in small planetary bodies. This temperature was calculated  
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1519 846 using Cr isotope fractionation factor determined by *ab initio* calculations. Subsequent studies  
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1521 847 by Bonnand et al. (2016) and Schoenberg et al. (2016) showed that carbonaceous, ordinary and  
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1523 848 enstatite chondrites had  $\delta^{53}\text{Cr}$  values of  $-0.111 \pm 0.065\%$ ,  $-0.118 \pm 0.029\%$  and  $-0.076 \pm 0.078\%$   
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1525 849 (Figure 6). Thus, these new studies show no Cr isotope difference between terrestrial rocks and  
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1527 850 chondrites, a result which contradicts the conclusions of Moynier et al. (2011). The origin for  
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1529 851 the discrepancy is unknown but it should be mentioned that the analytical technique used in  
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1531 852 both sets of studies differed. Bonnand et al (2016) and Schoenberg et al. (2016) used a double  
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1533 853 spike approach, while Moynier et al. (2011) did their measurements using a standard  
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1535 854 bracketing technique, which is more susceptible to analytical artefacts. Another point of  
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1537 855 interest is that Bonnand et al. (2016) also reported metal-silicate experiments used to determine  
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1539 the isotope fractionation factor of Cr between metal and silicate at  $1650^\circ\text{C}$ . The predictions of

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1537 856 Moynier et al. (2011) at this temperature for the equilibrium fractionation factor between an  
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1539 857 iron-chromium alloy ( $\text{Fe}_{15}\text{Cr}$ ) and a Cr bearing olivine (e.g.  $\text{MgCr}_2\text{O}_4$ ) agrees well with the  
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1541 858 average fractionation of  $<0.045\text{‰}$  reported by Bonnand et al. (2016). Schauble et al. (2004)  
1542 859 presented *ab initio* results for Cr-metal and  $\text{Cr}_2\text{O}_3$ , which differ by around  $0.1\text{‰}$  at  $1500^\circ\text{C}$ , but  
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1544 860 this is probably due to a larger differences in coordination and oxidation states. An additional  
1545 861 complexity stems from the fact that  $\text{Cr}^{2+}$  is likely to be the species of Cr in the silicate melt  
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1547 862 rather than  $\text{Cr}^{3+}$  (Berry et al. 2006) which means that the fractionation factor would be even  
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1549 863 smaller. Altogether, there is currently little evidence that Cr isotopes in the Earth reflect a core  
1550 864 signature due to the high temperature of core formation and the small magnitude of its isotopic  
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1552 865 fractionation.  
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#### 1555 867 **4.5 Iron isotopes**

1556 868 The development of iron isotopes as a tool to study planetary differentiation has triggered a  
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1558 869 series of studies to investigate whether the formation of metallic cores could induce a  
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1560 870 measurable isotope fractionation. The first approach was to look for a difference between the  
1561 871 iron isotope composition of chondrites and that of the silicate portion of the Earth. It was found  
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1563 872 that it was difficult to ascertain whether there is a resolvable difference in Fe isotopes between  
1564 873 them or not (Poitrasson et al., 2004; Weyer et al., 2005; Schoenberg and von Blanckenburg,  
1565  
1566 874 2006; Williams et al., 2006; Craddock and Dauphas, 2011). The Fe isotope composition of  
1567  
1568 875 basalts and peridotite xenoliths was found to be offset from that of chondrites by approximately  
1569 876  $0.1\text{‰}$  (Schoenberg and von Blanckenburg, 2006; Craddock et al. 2013; Teng et al. 2013). In  
1570  
1571 877 contrast, Craddock et al. (2013) reported that abyssal peridotites (that are arguably depleted)  
1572 878 have Fe isotope compositions similar to chondrites while Sossi et al. (2016) compiled all  
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1574 879 peridotite data and showed that fertile mantle appears to be resolvably heavier than chondrites,  
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1576 880 though significant scatter is still present. Since the Fe isotope fractionation during melting is  
1577 881 not fully accounted for, it is a question whether any of the above mentioned samples is fully  
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1579 882 representative of the bulk Earth.

1580 883 In parallel, a series of experimental and theoretical studies aimed at determining the isotope  
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1582 884 fractionation of iron between metal and silicate (Polyakov 2007; Polyakov and Mineev 2000;  
1583  
1584 885 Poitrasson et al. 2009; Hin et al. 2012; Shahar et al. 2015; 2016). Here the results are again  
1585 886 contrasted. Based on their experiments, Poitrasson et al. (2009) and Hin et al. (2012) concluded  
1586  
1587 887 that there was no resolvable isotope fractionation between metal and silicate at temperatures  
1588 888 that are relevant for the Earth's core formation. The experiments by Hin et al. (2012) were  
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1590 889 designed to investigate particularly low temperature ( $1250^\circ\text{C}$ ) to maximize the measured  
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1596 890 isotope fractionation. To reach this goal, the experimental starting materials were doped with  
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1598 891 Sn so as to lower the melting point of the metallic alloy. One disadvantage was that it has been  
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1600 892 argued that the presence of Sn could modify the isotope fractionation factor (Young et al. 2015).  
1601 893 This effect could indeed take place. However, Hin et al. (2012) showed that a tin-free and 30  
1602  
1603 894 wt% sulfur bearing experiment yielded exactly the same result within error. Poitrasson et al.  
1604 895 (2009) had also found that the presence of 8 wt% did not change Fe isotope fractionation  
1605  
1606 896 compared to a S-free system (Figure 7). These results were overall in agreement with the  
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1608 897 calculations of the beta factors from NRIXS measurements made by Polyakov et al. (2007) who  
1609 898 predicted that iron metal ( $\alpha$ -iron) should be heavier by 0.008‰ than FeO at 1250°C and  
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1611 899 0.0075‰ at 1300°C which are the temperatures of the Hin et al. experiments.

1612 900 More recently, however, Shahar et al. (2015) found a linear increase of the Fe isotope  
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1614 901 fractionation factor when increasing S contents from 0 to 17 wt% (Figure 7). Shahar et al.  
1615  
1616 902 (2015) explained the difference between their observations and the previous studies of S-  
1617 903 bearing systems by arguing that metal with 30 wt% S closely resembles sulphide and may  
1618  
1619 904 therefore not be comparable to the inter-atomic bonding in the S-bearing metal of their study.  
1620 905 In contrast with Shahar et al. (2015), an extensive study NRIXS of Liu et al. (2017) again found  
1621  
1622 906 no significant difference in iron isotope fractionation between pure iron metal and iron alloyed  
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1624 907 with ~16 wt% S at all pressures (Figure 7). In fact, they could not resolve any significant effect  
1625 908 of the alloying elements (H, C, O, Si, Ni, S) present in the metallic phase larger than 0.02‰.  
1626  
1627 909 In this context, the results of Elardo and Shahar (2017) pointing out an unexpected effect of the  
1628 910 Ni content in metal on the partitioning of Fe isotopes between Fe-alloys and molten silicates  
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1630 911 certainly need to be critically evaluated and experimentally or spectroscopically confirmed.

1631 912 Either way, the observations described above highlight the need for more thorough  
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1633 913 investigations into compositional effects on mass-dependent isotope fractionation between  
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1635 914 metal and silicate, as well as highlighting the need for a better understanding of how bonding  
1636 915 environments in metallic alloys affect their isotopic compositions (see Young et al., 2015, on  
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1638 916 the effect of the bonding environments on the isotope compositions in silicates and oxides). If  
1639 917 the Earth's Fe isotope composition is indeed enriched in heavy isotopes relative to that of  
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1641 918 chondrites, it is difficult to produce by core formation because experimental and NRIXS  
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1643 919 evidence imply that the Fe isotope fractionation between metal and silicate mantle should be  
1644 920 either (i) negligible (Hin et al. 2014; Poitrasson et al. 2009, Liu et al. 2017) or (ii) reversed  
1645  
1646 921 (Shahar et al. 2015; Elardo and Shahar 2017) and hence other processes possibly involving the  
1647 922 volatility of Fe may be required (e.g. Poitrasson et al., 2004).

#### 4.6 Nickel isotopes

As nickel is a major component of planetary cores, it seemed worth exploring the possibility of Ni isotope fractionation between metal and silicates. Lazar et al. (2012) have performed comprehensive experiments to determine the isotope fractionation factor between a Fe-Ni alloy and talc. While nickel talc ( $\text{Ni}_3\text{Si}_4\text{O}_{10}(\text{OH})_2$ ) does not represent the bulk composition of planetary mantle, it was a practical mineral for the experiments as talc can readily be separated from metal and is easily synthesized. Lazar et al. (2012) performed three-isotope experiments (see discussion above) to determine the metal-talc isotope fractionation factor for Ni. The experiments were performed in a piston cylinder to obtain temperatures ranging between 500°C and 900°C inside gold capsules for durations between 1 and 4000 minutes. There were several issues in these experiments including the loss of Ni to the Au container, inducing kinetic isotope fractionation during the experiments. Despite this difficulty, the authors extracted an equilibrium isotope fractionation factor that is described with the following equation:

$$\Delta^{62}\text{Ni}_{\text{metal\_talc}} = \frac{0.25 \pm 0.02 \times 10^6}{T^2} \quad (22)$$

It is arguable that this system is a good representation of the liquid metal-liquid silicate system but it is currently the only existing data set. With this fractionation factor it is possible to predict that the difference between the bulk Earth and bulk Silicate Earth should be less than 0.04‰ assuming that the temperature of metal silicate fractionation should be greater than 2500K. While the application of Ni isotopes to the Earth may be of limited interest, it may find more applications for studying the differentiation of small bodies where the highest temperature reached during core formation/metal segregation was smaller than that of the Earth.

#### 4.7 Cu isotopes: evidence for the presence of sulfur?

Another important potential application of metal isotopes for constraining core formation is the case of chalcophile elements. There is considerable debate about the exact content of S in the core (O'Neill 1991; Badro et al. 2007; Wood et al. 2014; Suer et al. 2017) and it has been difficult to determine directly the S content of the core since S is a volatile element whose abundance in the core depends on the abundance of other light elements. Instead, chalcophile elements provide an indirect means of determining the sulfur content of the core as the metal/sulfide-silicate partitioning of these elements depend strongly on the presence of S. For example, Wood et al. (2014) have shown that there is a reasonable volatility trend for

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1713  
1714 957 chalcophile elements when one considers the sulfide-silicate partition coefficient rather than  
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1716 958 the S-free metal-silicate partition coefficient. Similarly, the presence of S explains the terrestrial  
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1718 959 value for the Mo/W ratio (Wood et al. 2014). This was taken as evidence for the presence of a  
1719 960 sulfide matte, as had been argued in the early work of O'Neill (1991). The case of copper is of  
1720  
1721 961 particular interest since it does not lie on the trend defined by Wood et al. (2014) and hence its  
1722 962 behavior remains enigmatic. Savage et al. (2015) have measured the copper isotope  
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1724 963 composition of various chondrites as well as numerous terrestrial rocks and derived a  $\delta^{65}\text{Cu}$   
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1726 964 value of  $+0.07\pm 0.10\%$  for the bulk silicate Earth. Liu et al. (2015) obtained a similar value of  
1727 965  $+0.06\pm 0.20\%$  for the bulk silicate Earth. Savage et al. (2015) used the Cu isotope composition  
1728  
1729 966 of chondrites to determine a putative composition for the bulk Earth using mixtures of  
1730 967 chondrites as in Fitoussi and Bourdon (2012) and obtained a value of  $\delta^{65}\text{Cu} = -0.19\pm 0.10\%$ .  
1731  
1732 968 This value was reasonably close to another estimate using a mixture of EL and EH chondrites,  
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1734 969  $\delta^{65}\text{Cu} = -0.24\pm 0.09\%$ . One issue in this approach is that Cu is also a moderately volatile element  
1735 970 (50% condensation temperature of 1037 K; Lodders 2003). Hence, the bulk Earth composition  
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1737 971 may not be simply inferred from that of chondrites (see for example the case of Zn isotopes,  
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1739 972 Luck et al. 2005). While it is not the purpose of this review to establish the validity of this  
1740 973 approach for refractory elements, it may be riskier for volatile elements as the process causing  
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1742 974 volatile depletion could lead to isotope fractionation.

1743 975 In addition, Savage et al. (2015) also attempted to determine Cu isotope fractionation factors  
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1745 976 between metal and silicate on the one hand and sulfide and silicate on the other hand using  
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1747 977 experiments with duration ranging between 30 seconds and a few minutes. At  $1650^\circ\text{C}$ , their  
1748 978 metal-silicate fractionation factor varied between  $0.06\pm 0.06\%$  and  $0.15\pm 0.09\%$ . For the  
1749  
1750 979 sulfide-silicate experiments, the calculated  $\Delta^{66}\text{Cu}$  value ranged between -1 to -20‰ due to  
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1752 980 uncertainties in mass balance calculations. The observed  $\delta^{65}\text{Cu}$  in the bulk silicate Earth is  
1753 981 higher than that predicted assuming only the separation of metallic iron as  $\Delta^{66}\text{Cu}_{\text{metal-silicate}}$   
1754  
1755 982 precludes large isotope effects. In contrast, the separation of even a limited amount of sulfide  
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1757 983 as a sulfide matte results in large effects on the  $\delta^{66}\text{Cu}$  of the BSE. This model cannot be fully  
1758 984 quantified as the value of  $\Delta^{66}\text{Cu}_{\text{sulfide-silicate}}$  remains too poorly calibrated but it illustrates the  
1759  
1760 985 potential of this isotope tracer to investigate poorly known aspects of core formation.

#### 1761 986 1762 1763 987 **4.8 Molybdenum isotopes**

1764 988 In contrast with Fe, Si, Cr and Cu, the siderophile character of Mo is more pronounced,  
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1766 989 hence it is expected based on mass balance constraints that the isotope fractionation of Mo  
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1773 990 associated with metal segregation should be enhanced in the silicate mantle. In the case of Mo  
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1775 991 isotopes, the expected isotope fractionation should be smaller than the other elements in  
1776 992 principle because the relative difference in mass should scale with  $1/m_2-1/m_1$  (see equation 20).  
1777  
1778 993 Thus, if we compare  $^{57}\text{Fe}/^{54}\text{Fe}$  and  $^{98}\text{Mo}/^{95}\text{Mo}$ , the fractionation factor of Mo should be smaller  
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1780 994 by a factor of 3, assuming that the force constants are of the same order of magnitude. In the  
1781 995 case of Mo, however, there is possibly a component of nuclear volume fractionation that can  
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1783 996 increase the magnitude of the mass-dependent fractionation factor. There has been considerable  
1784 997 work in recent years to determine precisely the Mo isotope composition of mantle-derived rocks  
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1786 998 (Greber et al. 2015, Liang et al. 2017; Willbold and Elliott 2017) and the value of  $\delta^{98}\text{Mo}$  is  
1787  
1788 999 thought to range between  $-0.21\pm 0.06\text{‰}$  to  $-0.16\pm 0.06\text{‰}$  (Figure 8) according to these studies  
1789 1000 (recalculated relative to the NIST SRM 3134 Mo standard). One degree of complexity is that  
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1791 1001 there seems to be a small level of isotope fractionation between basaltic rocks and peridotites,  
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1793 1002 possibly indicating a role for sulfides. In contrast, positive  $\delta^{98}\text{Mo}$  values were obtained on  
1794 1003 average for continental rocks ( $<0.15\text{‰}$ , Willbold and Elliott 2017). In parallel, Burkhardt et al.  
1795  
1796 1004 (2014) have determined the  $\delta^{98}\text{Mo}$  value of chondrites and their mean value is equal to -  
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1798 1005  $0.16\pm 0.02\text{‰}$  (relative to the NIST SRM 3134 Mo standard). Owing to uncertainties in the  
1799 1006  $\delta^{98}\text{Mo}$  of the BSE, it is possible that there is a slight isotope fractionation between the Bulk  
1800  
1801 1007 Earth represented by chondrites and the bulk silicate Earth.

1802 1008 Hin et al. (2013) have determined the isotope fractionation factor between metal and silicate  
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1804 1009 using high temperature experiments under various conditions. It turns out that there is a  
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1806 1010 measurable silicate-metal isotope fractionation factor of  $0.19\pm 0.03$  at  $1400^\circ\text{C}$  while it is only  
1807 1011  $0.12\pm 0.02\text{‰}$  at  $1600^\circ\text{C}$ , which is consistent with a temperature dependent isotope fractionation.  
1808  
1809 1012 One question that arises is the oxidation state of Mo in the silicate (+VI or +IV). The transition  
1810 1013 from Mo(+VI) to Mo(+IV) occurs near IW-1 for CMAS melts (Holzheid et al., 1994; O'Neill  
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1812 1014 and Eggins 2002; Leitzke et al. 2017) and possibly at higher  $f_{\text{O}_2}$  for Fe-bearing melts. As core  
1813  
1814 1015 formation in the Earth took place at an oxygen fugacity of IW-2.2, Mo should be mostly present  
1815 1016 as  $\text{Mo}^{4+}$ . The redox conditions of the experiments were controlled with  $\Delta\text{IW}$  ranging between -  
1816  
1817 1017 1.8 and +0.5. Given that the isotope fractionation factor was constant, this suggests that the  
1818  
1819 1018 oxidation state was either not highly variable or that it did not affect the isotope fractionation.  
1820 1019 Although the results show that the metal phase is enriched in light isotopes relative to the silicate  
1821  
1822 1020 phase, the relatively high temperatures expected for metal-silicate ( $2500\text{--}3000^\circ\text{C}$ ) combined  
1823 1021 with the siderophile properties of Mo lead to a maximum  $\Delta^{98}\text{Mo}$  value of  $0.05\text{‰}$  approximately.  
1824  
1825 1022 Willbold and Elliott (2017) estimated that the maximum value of the BSE would be  $-0.1\text{‰}$



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1831  
1832 1023 based on mass balance, which is currently barely resolvable from the chondritic mean with  
1833 existing analytical techniques. The slight offset of the  $\delta^{98}\text{Mo}$  value for BSE suggested by Greber  
1834 1024 et al. (2015) and Liang et al. (2017) to  $-0.21\%$  relative to chondrites would indicate that the  
1835 1025 BSE would have an enrichment in light isotope that be related to the presence of sulfides during  
1836 1026 core formation (Liang et al. 2017). Alternatively, as argued by Willbold and Elliott (2017), the  
1837 1027  $\delta^{98}\text{Mo}$  value for BSE can be estimated based on the Moon composition ( $-0.05\pm 0.03\%$ ,  
1838 1028 Burkhardt et al. 2014), assuming that the BSE and Moon were isotopically equilibrated at the  
1839 1029 time of Moon formation. In this case, the BSE would be identical to the chondritic value,  
1840 1030 provided the lunar core formed at a temperature of 2070 K, based on the isotope fractionation  
1841 1031 factor obtained by Hin et al. (2013), corresponding to a metal silicate fractionation factor of  
1842 1032  $-0.11\%$ . The conclusion would be that core formation on Earth has not caused significant Mo  
1843 1033 isotope fractionation.  
1844 1034  
1845 1035  
1846 1036

## 1853 1037 **5. Quantitative modelling of isotope fractionation during core formation**

1854 1038 Most models interpreting the stable isotope compositions of elements relevant to understanding  
1855 1039 of core formation considered each isotope system separately. In the simplest situation, it is  
1856 1040 possible to interpret the observations using a single stage model for core formation, assuming  
1857 1041 a single value for the temperature of core formation. In this case, if the partition coefficient of  
1858 1042 the element can be estimated based on experimental data, it is possible to use equation (5) to  
1859 1043 calculate the effect of core formation on the final silicate portion of the planet. More  
1860 1044 sophisticated models have considered the effect of a two-stage accretion (Fitoussi et al. 2009;  
1861 1045 Savage et al. 2015) or of continuous accretion process with a range of temperature as described  
1862 1046 in Ziegler et al. (2010), Zambardi et al.(2013) or Hin et al. (2014).

1863 1047 In most cases, single stage models have been considered despite the fact that it has been known  
1864 1048 for some time that varying conditions of core formation (Wade and Wood 2005, Rubie et al.  
1865 1049 2011, 2015, Siebert et al. 2011, Fischer et al. 2015, Righter et al. 2016) or of the nature of  
1866 1050 accreting material (Rubie et al. 2011; Schönbächler et al. 2010) need to be considered in the  
1867 1051 case of the Earth. For example, the models of Hin et al. (2014) considering a continuous  
1868 1052 accretion and a mean temperature of 2577 K correspond to a difference between the bulk Earth  
1869 1053 and Bulk silicate Earth for  $\delta^{30}\text{Si}$  of  $0.14\%$ , while a single stage model for the same temperature  
1870 1054 yields a difference equal to  $0.10\%$  for the same Si concentration in the core. These differences  
1871 1055 can be understood in a broader context as the isotope record of core formation is strongly  
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1891 1056 dependent on the history of accretion (Yu and Jacobsen 2012, Dauphas 2017). In this  
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1893 1057 framework, the overall history is well recorded by the least siderophile elements whereas the  
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1895 1058 more siderophile elements only record the last part of accretion. When the partition coefficient  
1896 1059 is constant, it is possible to derive a simple form for the probability density function that an  
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1898 1060 atom was delivered in the mantle at a given mass fraction of accreted Earth (Dauphas 2017).  
1899 1061 The situation is more complex when the partition coefficient is variable. Such is the case for  
1900 1062 silicon isotopes where the partition coefficient of Si depends highly on the oxygen fugacity as  
1901 1063 well as temperature and pressure. Thus, the larger Si isotope fractionation obtained with a  
1902 1064 continuous growth model reflects the fact the earlier part of accretion took place at lower  
1903 1065 temperatures, which in turn enhances isotope fractionation while the later part of accretion took  
1904 1066 place at higher temperatures with a higher metal-silicate partition coefficient.

1905  
1906  
1907 1067 To account for this complex behavior, we have implemented a new model derived from the  
1908 1068 general framework of Rudge et al. (2010) designed to describe the continuous chemical  
1909 1069 evolution of the mantle and core during accretion using mass balance equations. This model  
1910 1070 can take into account the variation of metal-silicate partition coefficients and also includes the  
1911 1071 possibility of incomplete equilibration between incoming metal and silicate mantle. This  
1912 1072 framework has been adapted to take into account the isotope fractionation taking place during  
1913 1073 metal-silicate fractionation. The mass fraction of accreted Earth is  $M(t)$  and it is a function of  
1914 1074 time. For the purpose of this study we have assumed a simple exponential function:

$$1915 1075 \quad M(t) = M_0(1 - e^{-t/t_a}) \quad (23)$$

1916 1076 where  $M_0$  is the final accreted mass fraction and  $t_a$  the accretion timescale. The fraction of metal  
1917 1077 accreted is  $F$  and it is assumed to be constant. The following basic equations were then solved  
1918 1078 for each isotope species separately:

$$1919 1079 \quad \frac{dc_m^i}{dt} = \left[ c_{me}^i + \frac{kF}{1-F} c_{ce}^i - \left( 1 + \frac{kD_c^i(t)F}{1-F} \right) c_m^i \right] \frac{1}{M} \frac{dM}{dt} \quad (24)$$

$$1920 1080 \quad \frac{dc_c^i}{dt} = \left[ kD_c^i(t)c_m^i + (1-k)c_{ce}^i - c_c^i \right] \frac{1}{M} \frac{dM}{dt} \quad (25)$$

1921 1081  $D_c^i$  represents the metal silicate partition coefficient for isotope  $i$ ,  $k$  is a parameter describing  
1922 1082 degree of equilibration ( $k=1$  being full equilibrium)  $c_{ce}^i$ ,  $c_{me}^i$ ,  $c_c^i$  and  $c_m^i$  representing the  
1923 1083 concentration of isotope  $i$  in the core and mantle of embryo, and in the core and mantle of the  
1924 1084 accreting planet, respectively. The variation of an isotope ratio can be written with the following  
1925 1085 equation:

$$\frac{dr_m}{dt} = \frac{d(c_m^2 / c_m^1)}{dt} = \frac{1}{c_m^1} \frac{dc_m^2}{dt} - \frac{c_m^2}{(c_m^1)^2} \frac{dc_m^1}{dt} \quad (26)$$

where  $r_m$  designates the isotope ratios in the mantle. If one considers equations (24-25) for two isotopes, it is possible to combine these equations into a single equation giving the variations of isotope ratios as a function of time in a more compact form:

$$\frac{dr_c}{dt} = (1-k) \frac{c_{ce}^1}{c_c^1} (r_{ce} - r_c) \frac{1}{M} \frac{dM}{dt} \quad (27)$$

$$\frac{dr_m}{dt} = \left[ (1-k) \frac{c_{me}^1}{c_m^1} (r_{me} - r_m) + \frac{kF}{1-F} \frac{c_{ce}^1}{c_m^1} (r_{ce} - r_m) + r_m D (1 - \alpha_{21}) \right] \frac{1}{M} \frac{dM}{dt} \quad (28)$$

where  $r_m$  and  $r_c$  designate the isotope ratios in the mantle and the core and with  $\alpha_{ij}$  given by:

$$\alpha_{ij} = \frac{D_c^i}{D_c^j} = \frac{c_c^i}{c_c^j} \bigg/ \frac{c_m^i}{c_m^j} \quad (29)$$

This equation is equivalent to equation (2) which is the definition of the equilibrium fractionation factor between metal and silicate. The equations written above have the advantage of being integrated numerically more readily than equations (24-25). The equations were also modified to take into account that the metal does not necessarily equilibrate with the entire silicate fraction upon accretion. This effect has been parameterized by Deguen et al. (2014) who showed that the parameter  $k$  could be replaced by a parameter  $k' = k / (1 + D / \Delta m)$ , where  $\Delta m$  represents the mass fraction of silicate equilibrated relative to the mass of the incoming metal. In this context, the value of  $k$  depends on the elements, which means that highly siderophile element ( $D \gg 1$ ) are strongly influenced by the value of  $k$ , whereas less siderophile elements ( $D < 1$ ) such as Si or Cr are less affected. The value of the metal-silicate partition coefficients for each chemical species (i.e. Si and Cr) can be parameterized using the approach of Rudge et al. (2010) for an isotope  $i$  (the value for isotope  $j$  is rescaled from isotope  $i$ ) using the equation:

$$\log_{10} D = a + b \frac{1}{T} + c \frac{P}{T} + dN - \frac{\nu}{4} \Delta IW - \frac{T_0}{T} \log_{10} \gamma_M^{met}(T_0) + \frac{\nu}{2} \log_{10} \gamma_{FeO}^{sil} \quad (30)$$

where  $T$  is the temperature in K,  $P$  the pressure in GPa,  $\nu$  the valence,  $N$  the molar ratio of non bridging oxygens to tetrahedral cations in the silicate melt,  $T_0 = 1873$  K, and  $\gamma_M^{met}$  and  $\gamma_{FeO}^{sil}$  are the activity coefficients of element  $M$  in the metal and the activity coefficient of FeO in the silicate, and  $a-d$  are empirical regression parameters. The temperature is assumed to be a function of the pressure of equilibration which is taken as the liquidus pressure and the following equation was used as in Rudge et al. (2010):

$$T = 1973 + 28.57P \quad (31)$$

2007  
2008  
2009 1115 The pressure of metal-silicate equilibration is then assumed to increase as the planet grows with  
2010  
2011 1116 the following function:

$$2012  
2013 1117 \quad P = P_0(1 - e^{-t/\tau})^{\frac{2}{3}} \quad (32)$$

2014 1118  
2015 1119 where  $P_0$  is a fixed value. The set of four ordinary differential equations (27-28, two for each  
2016  
2017 1120 isotope) corresponding to the metal and silicate phase were solved numerically using the  
2018  
2019 1121 Matlab™ software. For the case of molybdenum, the parameterization of the metal-silicate  
2020 1122 partition coefficient given in Righter et al. (2010) was used, with a bulk silicate Earth  
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2022 1123 composition taken from Rubie et al. (2011). In this model we have tried to reproduce the P, T  
2023  
2024 1124 and  $f_{O_2}$  conditions of core formation in the models of Fischer et al. (2015) and Rudge et al.  
2025 1125 (2010) with variable  $f_{O_2}$ . It was assumed that the oxygen fugacity increases starting from an  
2026  
2027 1126 initial value  $IW_1$ , with the following dependence on t:

$$2028 1127 \quad IW(t) = IW_1 + \Delta IW \times (1 - e^{-t/\tau})^n \quad (33)$$

2029  
2030 1128 where  $\Delta IW=1.5$  and  $n=3$ . The results of the models are plotted in Figures 9-13. For Si and Cr,  
2031  
2032 1129 a decrease in oxygen fugacity corresponds to a greater fraction of Si or Cr partitioned into the  
2033  
2034 1130 metal phase and this leads to a greater isotope fractionation in the silicate phase (Figures 9 and  
2035 1131 10). Such an effect would also be predicted from equation (5). This effect is also seen for Mo  
2036  
2037 1132 which is always strongly partitioned into the liquid metal especially at low oxygen fugacities  
2038 1133 (Figure 11). As the metal-silicate partition coefficient for Si increases with increasing P and T,  
2039  
2040 1134 the isotope fractionation in the silicate also increases due to increasing partitioning of Si in the  
2041 1135 metal compensating the decrease in isotope fractionation factor with T (Figure 9). The reverse  
2042  
2043 1136 effect is observed for Cr as the metal-silicate partition coefficient decreases as accretion  
2044  
2045 1137 proceeds (e.g. Corgne et al. 2008). The model predicts that under similar T, P  $f_{O_2}$  conditions,  
2046 1138 the Si isotope fractionation between the bulk silicate Earth and the bulk Earth is measurable  
2047  
2048 1139 while that for Cr and Mo cannot be resolved, which is overall consistent with the existing data  
2049 1140 (see discussion above). In detail, the best fit is obtained for a starting  $f_{O_2}$  ( $IW_1$ ) equal to  $IW-$   
2050  
2051 1141 3.5. This matches the Si, Cr and Mo isotope observations and is within the right order of  
2052  
2053 1142 magnitude for Si and Cr depletion in the Earth's mantle. The advantage of this model presented  
2054 1143 here is to be able to reach these conclusions with a common modelling approach.

2055 1144 This model also takes into account the effect of incomplete equilibration between metal and  
2056  
2057 1145 silicate corresponding to the extreme cases of core merging with no equilibration ( $k=0$ ) and full  
2058  
2059 1146 equilibration ( $k=1$ ). In this context, the conditions of core formation in the embryos play a role.  
2060 1147 The more contrasted the conditions are during embryo core formation relative to the case of the  
2061  
2062 1148 Earth, the more effect incomplete equilibration has on the final isotope composition in the  
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2067  
2068 1149 silicate Earth. To illustrate this, we have considered a case where core formation in the embryos  
2069  
2070 1150 took place at an oxygen fugacity of IW-3.5 (corresponding for example to reducing conditions  
2071  
2072 1151 as observed in aubrites or enstatite chondrites) with a fixed P and T of equilibration (5 GPa,  
2073 1152 2115 K), while it followed an evolution from IW-3.5 to IW-1.5 in the accreting planet. As  
2074  
2075 1153 shown in Figure 12, for  $k=0.1$ , the Si isotope fractionation is minimal, as the isotope  
2076 1154 fractionation due to metal-silicate fractionation is smaller in embryos, due to low metal-silicate  
2077  
2078 1155 partition coefficient for Si in embryos. However, when equilibration is larger ( $k=0.9$ ), the net  
2079 1156 Si isotope fractionation is greater because the larger incorporation of Si in the metal yields a  
2080  
2081 1157 large  $\delta^{30}\text{Si}$  value in the silicate mantle. Finally, one can also examine the case of imperfect  
2082  
2083 1158 equilibration using the formalism developed by Deguen et al. (2014) who took into account the  
2084 1159 fact that the equilibration volume of the metal did not represent the whole mantle volume. As  
2085  
2086 1160 shown in Figure 13, for large values of  $\Delta m$  (100), the results for Si isotopes are identical to the  
2087 1161 case shown above in Figure 9b with initial oxygen fugacity IW-3.5. For smaller values of  $\Delta m$ ,  
2088  
2089 1162 the equilibration is less extensive and this is equivalent to reducing the value of  $k$ , in which case  
2090  
2091 1163 the  $\delta^{30}\text{Si}$  is lower, as explained above. Again, for low values of  $\Delta m$ , the conditions of core  
2092 1164 formation in the embryos become more predominant.  
2093  
2094 1165 In summary, these model results are overall consistent with the observations for Si, Cr and Mo  
2095  
2096 1166 in the Earth. The limited isotope fractionation observed for Cr cannot be explained by low T of  
2097 1167 core formation in embryos, if we explain the data jointly with the Si isotope data. The Mo  
2098  
2099 1168 isotope signature reflects the overall high temperature of core formation in the Earth and the Si  
2100 1169 isotopes could reflect low temperature of core formation in embryos but this would require little  
2101  
2102 1170 equilibration ( $k$  small) in contrast with the conclusions of Rudge et al. (2010). As shown above,  
2103  
2104 1171 low oxygen fugacity (IW-3.5) during the initial stages of accretion followed by more oxidizing  
2105 1172 conditions (IW-1.5) can explain the Si isotope observations, while matching the siderophile  
2106  
2107 1173 element data (e.g. Fischer et al. 2015) and the Mo and Cr isotope observations. More systematic  
2108 1174 exploration of the parameter space would be useful but is beyond the scope of this review.  
2109  
2110 1175 Altogether this modelling approach provides a useful means of testing the key parameters  
2111 1176 controlling the isotope fractionation of metals incorporated into the core, while including the  
2112  
2113 1177 most up to date processes.  
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2115 1178

## 2116 1179 **7. Conclusions**

2117  
2118 1180 Constraining the conditions of core formation in planets has become a key target for  
2119 1181 understanding the accretion process and the early evolution of planets. The development of new  
2120  
2121 1182 isotope tools that could provide new views on these processes is thus most welcome. As  
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2127 1183 outlined in this review, the magnitude of isotope fractionation between metal and silicate at the  
2128  
2129 1184 temperature of Earth core formation is small, which limits the applicability of these systems to  
2130  
2131 1185 understand the formation of the Earth's core. If anything, the isotope data confirm that the core-  
2132 1186 metal separation took place at temperature >2500 K. Current analytical methods do not always  
2133  
2134 1187 allow a resolution of these isotope effects. An increase in analytical precision and a better  
2135 1188 characterization of the isotope fractionation factors would be desirable to extend the  
2136  
2137 1189 applications of this method. There is, however, a scope in applying these tools to planets or  
2138  
2139 1190 planetesimals where the temperature of metal-silicate separation was smaller. For the case of  
2140 1191 volatile elements, one must first constrain the bulk isotope composition of the planet which is  
2141  
2142 1192 a difficult problem to tackle but the examination of achondrite bodies with volatile element  
2143 1193 depletion or of lithophile elements with similar volatility may offer a solution. There are still  
2144  
2145 1194 clear gaps in being able to fully use these tools in particular since the isotope fractionation  
2146  
2147 1195 factors are not always available. With increasingly more precise measurements, this approach  
2148 1196 for constraining core formation will certainly provide important clues in the future.  
2149  
2150 1197

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Figure 1. Comparison of Fe isotope fractionation factor between metal and olivine calculated using the empirical method (blue line, see text) and nuclear spectroscopy measurements using the NRIXS method (red line). The empirical estimate of the  $\beta$ -factor for metal was calculated using the Debye temperature of pure iron while the  $\beta$ -factor for silicate was estimated using the approach described in Young et al. (2015).

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Figure 2. Schematic diagram illustrating the trajectories of Si isotope compositions for the metal and silicate during a high temperature experiment. The yellow line indicates the mass fractionation line. The starting composition of the metal and silicate are marked with a dark red and green squares respectively. The final compositions of metal and silicate are offset by a value corresponding to the isotope fractionation factor  $\Delta^{30}\text{Si}$ .

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Figure 3. Three-isotope diagrams illustrating the trajectories of metal and silicate isotope composition using a standard isotope equilibration model for Si isotopes (see text for details). (a) Si isotope trajectories for metal and silicate for variable values of the timescale of chemical equilibration ( $\tau=600$  (curve a), 1200 (curve b) 1800 minutes, (curve c)). The initial fraction of Si in the metal is assumed to be 0.1 ( $f_{\text{met}}^0$ ) while the equilibrium value is 0.5. (b) Si isotope trajectories for metal and silicates assuming constant time scale and variable final values of the fraction of Si in the metal (0.1, 0.2, 0.3 and 0.4), as labeled on the curves. The initial fraction of Si in the metal is assumed to be 0.1. A straight line is obtained for  $f_{\text{eq}}=f_{\text{met}}^0$ . Other parameters:  $k_f=0.01 \text{ min}^{-1}$ ,  $\alpha_{\text{met}_{\text{sil}}}=0.9997$  for the  $^{29}\text{Si}/^{28}\text{Si}$  ratio.

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Figure 4. Three-isotope diagram illustrating the trajectories of metal and silicate Fe isotope composition using a diffusive equilibration model (see text for details). The trajectories of the metal (red line) and silicate (blue line) are quasi-linear in this diagram in the context of a diffusive model with a  $\beta$  value equal to 0.2. A non-linear trajectory is observed when  $\beta=5$  which is unrealistically high. See text for details. The slope of the metal and silicate pair should be equal to 3/2 in this diagram.

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Figure 5. Compilation of silicon isotope data ( $\delta^{30}\text{Si}$  relative to the NBS 28 standard) for the bulk silicate Earth (blue squares) and chondrites from the literature (req squares). The data is



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1652 shown with 2SD error bars. There is a clear distinction between the bulk silicate Earth and  
1653 chondrites (ordinary or carbonaceous), except for the data set of Chakrabarti and Jacobsen  
1654 (2010). Data from Georg et al. 2007; Fitoussi et al. 2009; Savage et al. 2010; Chakrabarti and  
1655 Jacobsen 2010; Armytage et al. 2011; Savage and Moynier 2013; Zambardi et al. 2013.

1656  
1657 Figure 6. Compilation of chromium isotope data ( $\delta^{53}\text{Cr}$ ) for the bulk silicate Earth (blue  
1658 squares) and chondrites (req squares) from the literature. The data is shown with 2SD error  
1659 bars. There is no difference between the BSE and chondrites, except for the data set of Moynier  
1660 et al. (2011).

1661  
1662 Figure 5. Iron isotope fractionation factors between liquid metal and liquid silicate at 1650°C  
1663 against atomic fraction of sulphur in the liquid metal. Unless otherwise indicated, experiments  
1664 were performed at 1 GPa and only contained metallic Fe, Ni and S in the metallic form in the  
1665 starting mixture. Hin=Hin et al. (2012), S-free experiment in graphite capsule, S-bearing  
1666 experiment in  $\text{SiO}_2$  glass capsule; Poitrasson=Poitrasson et al. (2009), all experiments in  
1667 graphite capsules; Shahar=Shahar et al. (2015), all experiments in BN capsules. Liu=Liu et al.  
1668 (2017), based on NRIXS data (in diamond anvil cell) for  $\text{Fe}_3\text{S}$  and basaltic glass.

1669  
1670 Figure 8 Compilation of molybdenum isotope data ( $\delta^{98}\text{Mo}$ ) for the bulk silicate Earth (blue  
1671 squares) and chondrites (req squares) from the literature. The data is shown with 2SD error  
1672 bars.

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1674 Figure 9 (a) Model calculations of the Si metal-silicate partition coefficient during accretion.  
1675 The mean value of Si metal-silicate partition coefficient is approximately 0.3 for the Earth,  
1676 which corresponds to  $f_{\text{O}_2}$  conditions ranging between IW-3.5 and IW-3.7 (starting composition,  
1677 depending on the Earth's core composition 3 to 7 wt%). (b) Model calculations for the  
1678 difference between the  $\delta^{30}\text{Si}$  of the bulk silicate Earth and the  $\delta^{30}\text{Si}$  of the bulk Earth using the  
1679 model described in section 5 for variable starting  $f_{\text{O}_2}$  values relative to the IW buffer, as labelled  
1680 on the curves. The model uses a variable  $f_{\text{O}_2}$  throughout accretion and assumes that the mass  
1681 fraction of equilibrated core material (k) is 0.5. The final  $f_{\text{O}_2}$  of the accreted material is 1.5 log  
1682 units higher than the starting value and the evolution of  $f_{\text{O}_2}$  follows equation (33). The vertical  
1683 arrow indicates the range of values of  $\Delta^{30}\text{Si}_{\text{BSE\_BE}}$  from the literature as compiled in Hin et al.

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1684 (2014). The oxygen fugacity in the embryos is IW-3.5 and the pressure of metal silicate  
1685 equilibration is 5 GPa for a temperature of 2115 K.

1686  
1687 Figure 10. (a) Model calculations of the Cr metal-silicate partition coefficient during  
1688 accretion. The mean value of Cr metal-silicate partition coefficient is approximately 3.5 for the  
1689 Earth, which corresponds to  $f_{O_2}$  conditions between IW-3 and IW-3.5 (starting composition). (b)  
1690 Model calculations for the difference between the  $\delta^{52}\text{Cr}$  of the bulk silicate Earth and the  $\delta^{52}\text{Cr}$   
1691 of the bulk Earth using the model described in section 5 for variable starting  $f_{O_2}$  values relative  
1692 to the IW buffer, as labelled on the curves. The model uses a variable  $f_{O_2}$  throughout accretion  
1693 and assumes that the mass fraction of equilibrated core material ( $k$ ) is 0.5. The final  $f_{O_2}$  of the  
1694 accreted material is 1.5 log units higher than the starting value and the evolution of  $f_{O_2}$  follows  
1695 equation (33). Based on existing uncertainties, the maximum value of measured  $\Delta^{53}\text{Cr}_{\text{BSE\_BE}}$  is  
1696 well above ( $>0.1\%$ ) the final value calculated in the models (Bonnand et al. 2016; Schoenberg  
1697 et al. 2016, Qin et al. 2015). The oxygen fugacity in the embryos is IW-3.5 and the pressure of  
1698 metal silicate equilibration is 5 GPa for a temperature of 2115 K.

1699  
1700 Figure 11. (a) Model calculations of the Mo metal-silicate partition coefficient during  
1701 accretion. (b) Model calculations for the difference between the  $\delta^{98}\text{Mo}$  of the bulk silicate Earth  
1702 and the  $\delta^{98}\text{Mo}$  of the bulk Earth using the model described in section 5 for variable starting  $f_{O_2}$   
1703 values relative to the IW buffer, as labelled on the curves. The model uses a variable  
1704  $f_{O_2}$  throughout accretion and assumes that the mass fraction of equilibrated core material ( $k$ ) is  
1705 0.5. The final  $f_{O_2}$  of the accreted material is 1.5 log units higher than the starting value and the  
1706 evolution of  $f_{O_2}$  follows equation (33). Based on existing uncertainties, the maximum value of  
1707 observed  $\Delta^{95}\text{Mo}_{\text{BSE\_BE}}$  is not greater than 0.1‰ and could be as low as 0‰, which is consistent  
1708 with the models. The oxygen fugacity in the embryos is IW-3.5 and the pressure of metal silicate  
1709 equilibration is 5 GPa for a temperature of 2115 K.

1710  
1711 Figure 12. Model curves illustrating the effect of the degree of metal-silicate equilibration  
1712 for various values of the parameter  $k$ , as labeled on the curves. The starting value of the  
1713  $f_{O_2}$  relative to IW is -3.5 while the final value is -2. The corresponding metal-silicate partition  
1714 coefficient is shown in Figure 9. The oxygen fugacity in embryos is IW-3.5 and the pressure  
1715 of metal silicate equilibration is 5 GPa for a temperature of 2115 K. The greatest fractionation  
1716 is observed for the largest value of  $k$ , as there is a strong dependence of the metal-silicate

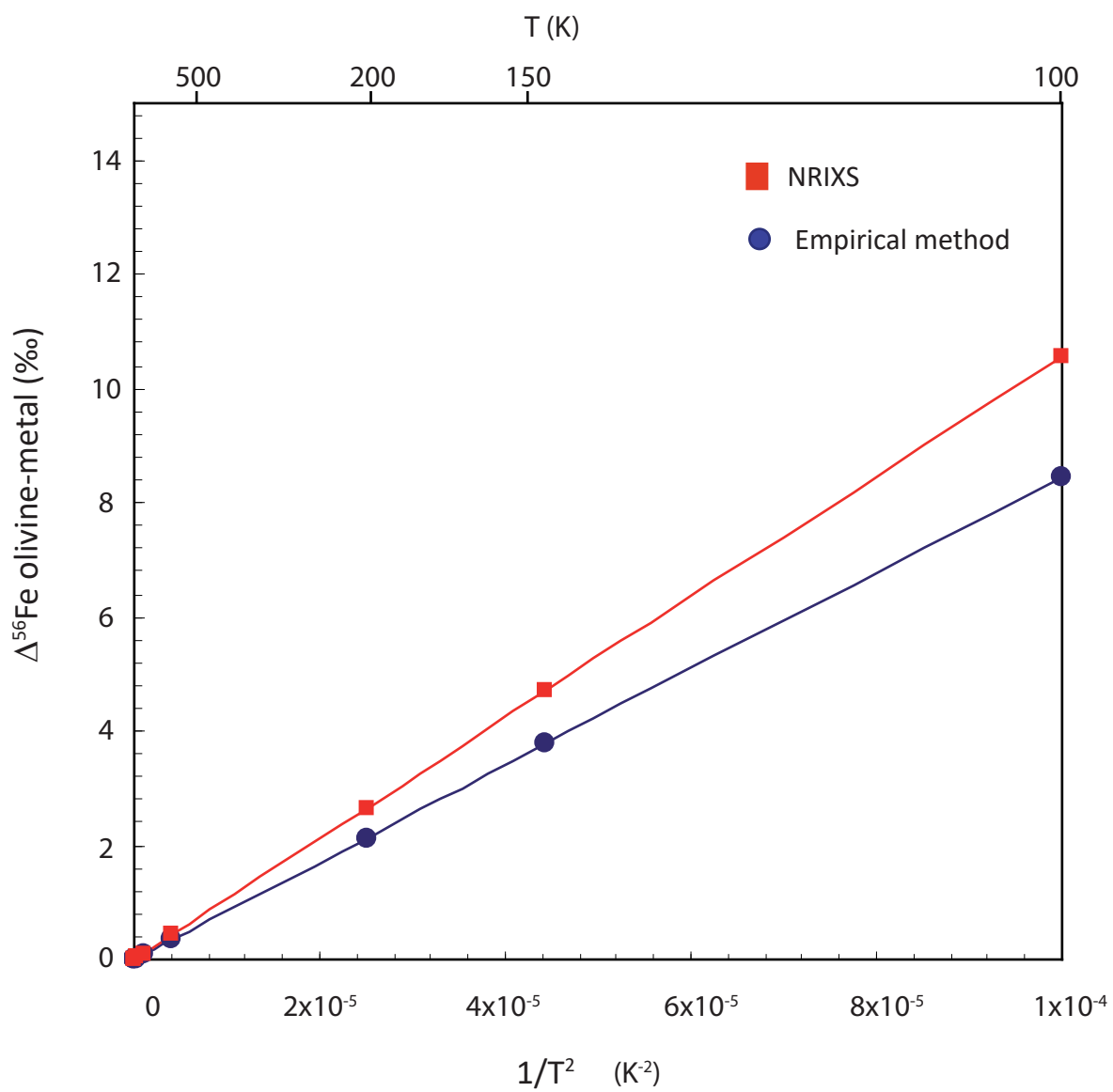
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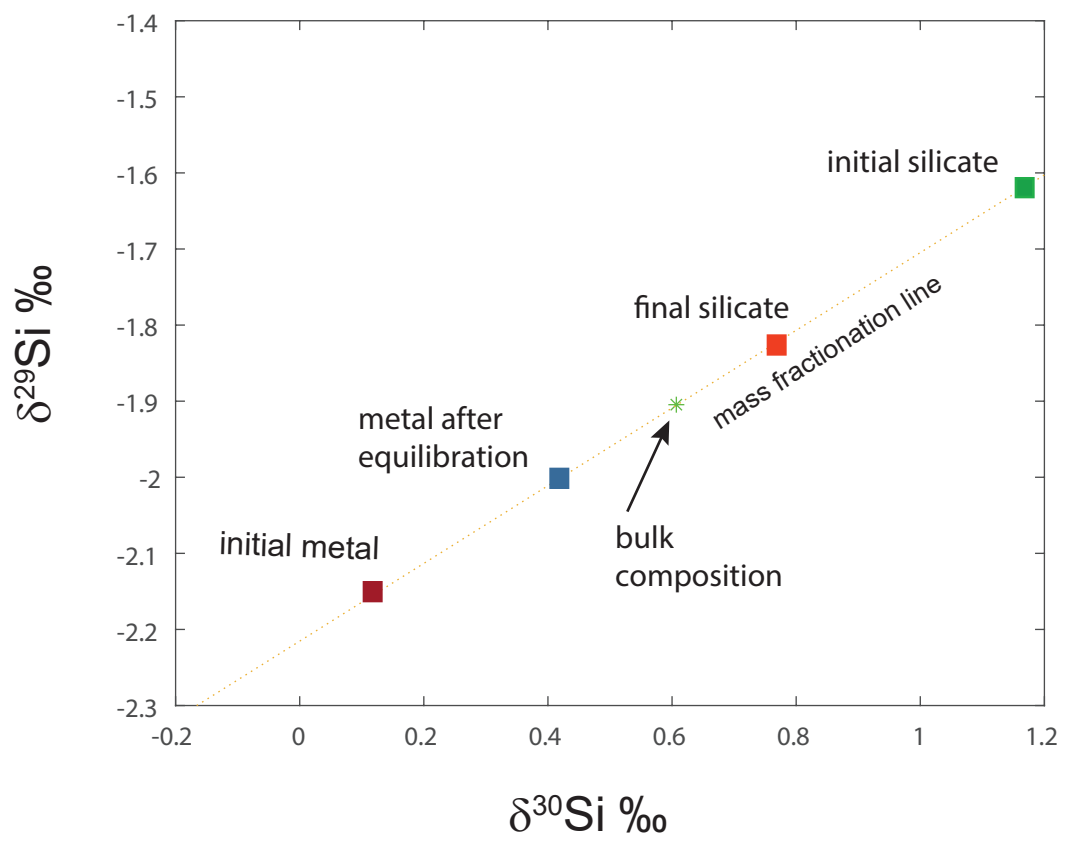
1717 partition coefficient to T and P, which means that the terrestrial conditions are better to produce  
1718 fractionation relative to the embryos. Vertical double arrow corresponds to the observed range.

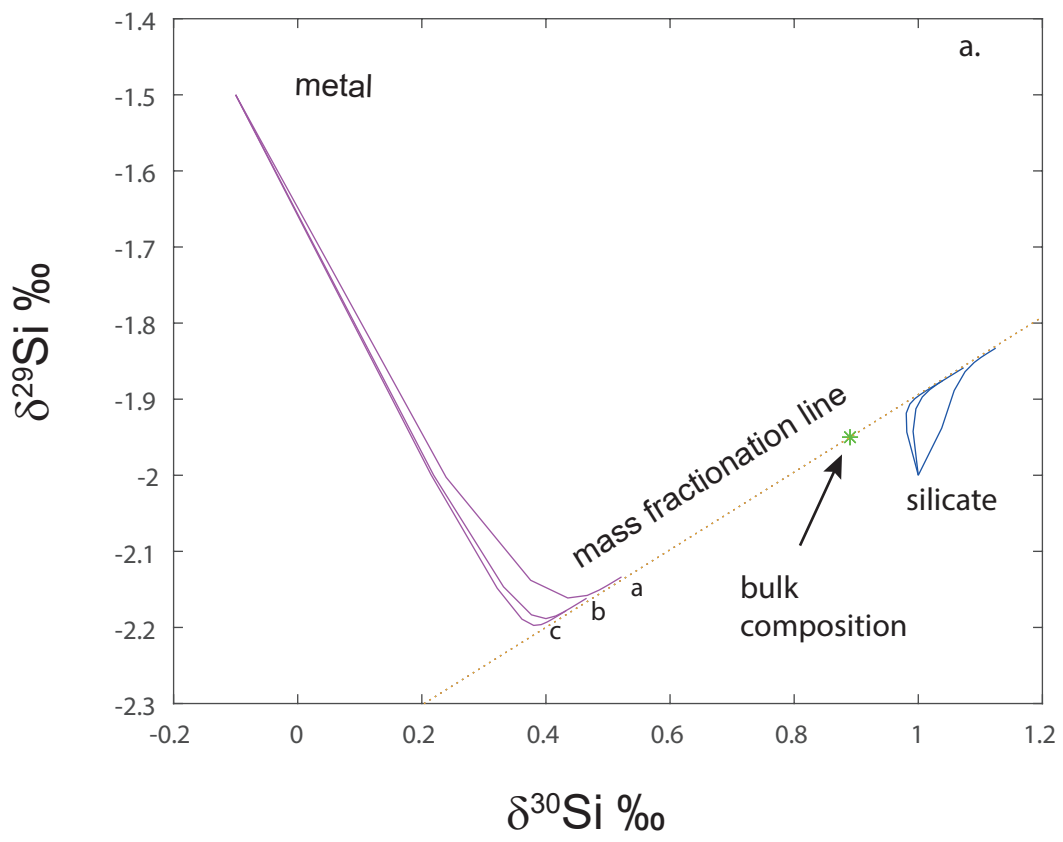
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1720 Figure 13. Model curves for illustrating the effect of partial equilibration using the  
1721 parameterization of Deguen et al. (2014) for various values of the parameter  $\Delta m$  (see text for  
1722 details), as labeled on the curves. All other parameters are identical to the conditions in Figure  
1723 9 with a starting  $f_{O_2}=IW-3.5$  and  $k=0.5$ . The largest Si isotope fractionation is obtained for large  
1724 values of  $\Delta m$ , corresponding to more efficient equilibration of metal and silicate. The oxygen  
1725 fugacity in the embryos is  $IW-3.5$  and the pressure of metal silicate equilibration is 5 GPa for a  
1726 temperature of 2115 K.

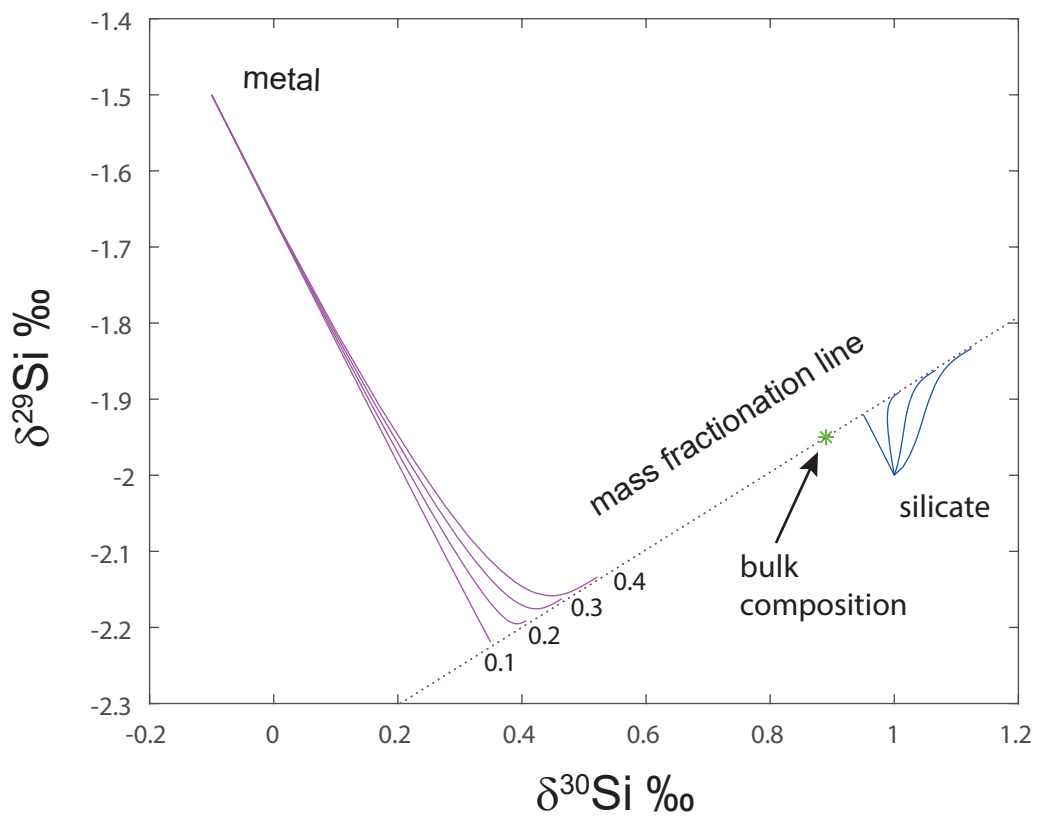
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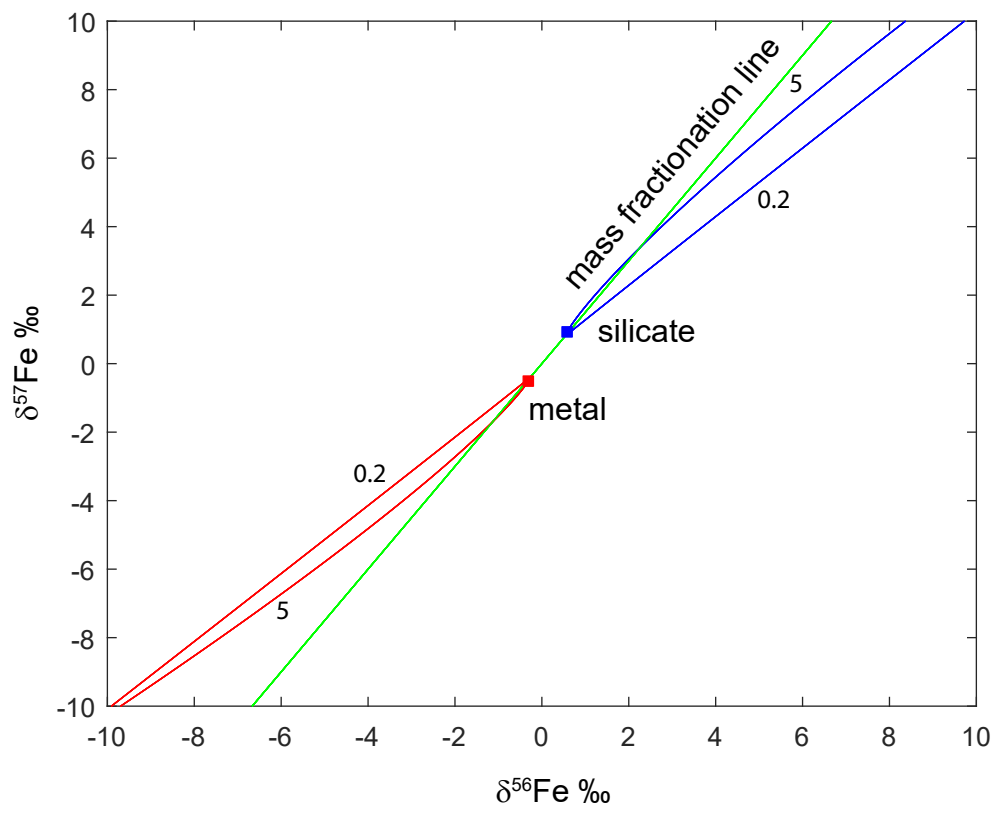


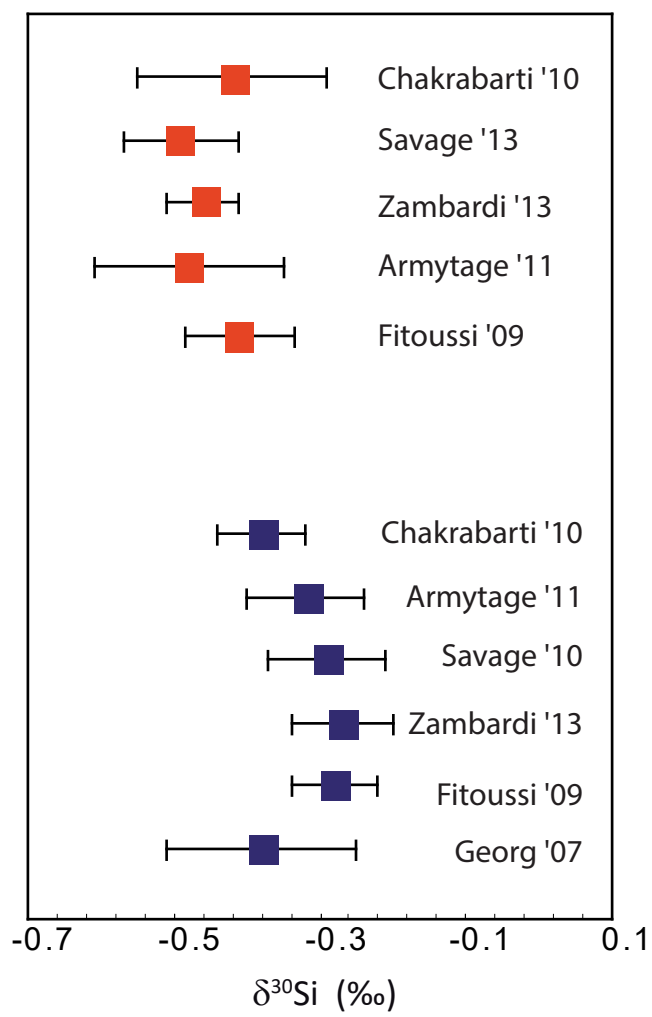


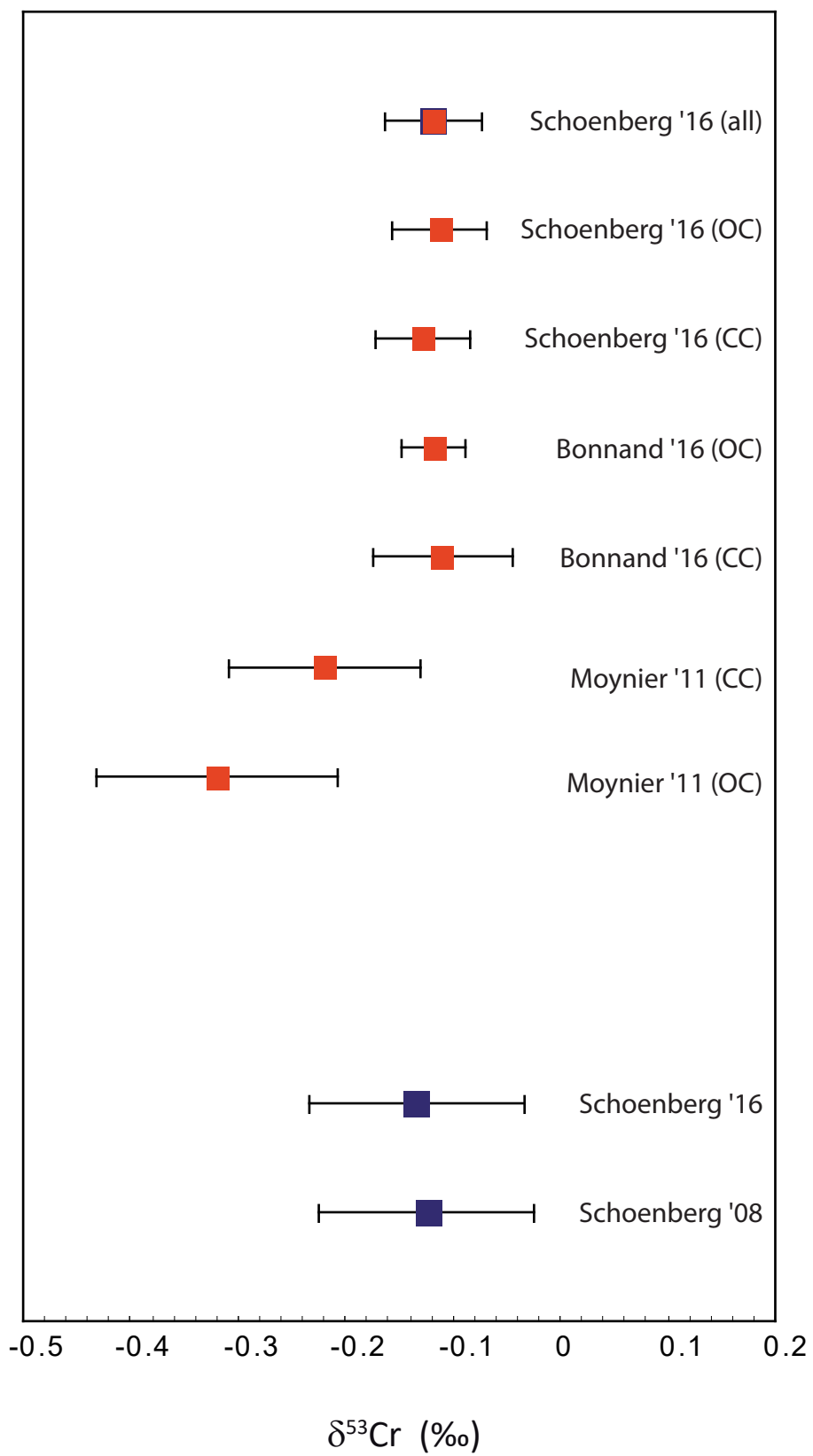












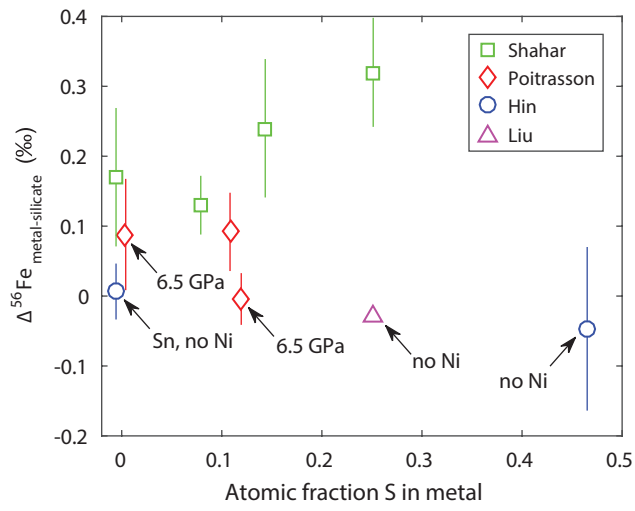


Figure 2. Iron isotope fractionation factors between liquid metal and liquid silicate against atomic fraction of sulphur in the metal liquid. Unless otherwise indicated, experiments were performed at 1 GPa and only contained metallic Fe, Ni and S in metallic form in the starting mixture. Hin = Hin et al. (2012), S-free experiment in graphite capsule, S-bearing experiment in SiO<sub>2</sub> glass capsule; Poitrasson = Poitrasson et al. (2009), all experiments in graphite capsules; Shahar = Shahar et al. (2015), all experiments in BN capsules.

