Predictors of Pericardial Effusion in Patients Undergoing Pulmonary Artery Banding

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requiring drainage after PAB which is in line with translational research findings. Pressure overload from PAB may play a role in the formation of severe PE that is exacerbated by cardiac structural defects commonly associated with trisomy 21. Surgical teams should therefore use caution and plan to implement drainage in PAB cases, and postoperative serum albumin may serve as a useful biomarker for PE formation.

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Title.

Predictors of pericardial effusion in patients undergoing pulmonary artery banding

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1

Keywords

Pericardium, AVSD, surgery, complications, Pulmonary artery banding, pericardial effusion,

21trisomy, Down syndrome

Word Count

<mark>3200 words</mark>

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2

Abstract

Background.

Although pulmonary artery banding (PAB) is a common palliative procedure for pediatric heart malformation, there are concerns of pressure overload and concomitant immune reactions in the right ventricle causing post-surgical complications such as pericardial effusion. At this time, no clear guidelines as to potential risk factors or procedural contraindications have been widely disseminated. Therefore, a study was undertaken to examine wide-ranging factors to find potential biomarkers for post-surgical pericardial effusion formation risk.

Methods.

A retrospective study was conducted on all cardiac surgeries conducted over an 8-year period and the main inclusion criterion was pericardial effusion development after PAB that required surgical drainage. 9 cases were then analyzed against a control group of 45 cases with respect to body measurements, concomitant surgeries, genetic screens, lab tests and cardiac function parameters.

Results.

Trisomy 21 was strongly associated with development of severe pericardial effusion after PAB and postoperative serum albumin levels in trisomy 21 patients were associated with pericardial effusion development. Other parameters showed no significant correlation with pericardial effusion development.

3

Conclusions.

Our data indicates a strong association between trisomy 21 and pericardial effusion requiring drainage after PAB which is in line with translational research findings. Pressure overload from PAB may play a role in the formation of severe pericardial effusion that is exacerbated by cardiac structural defects commonly associated with trisomy 21.   Surgical teams should therefore use caution and plan to implement drainage in PAB cases, and postoperative serum albumin may serve as a useful biomarker for pericardial effusion formation.

(249words)

4

Introduction:

Pulmonary artery banding (PAB) is a common palliative procedure for cardiac malformations with shunt-related pulmonary overflow, especially in low birth weight infants. However, animal modeling has shown that pressure overload as a consequence of PAB accelerates inflammation in the right ventricular myocardium which may lead to pericardial effusion production [1-2]. Such a result may lead to undesirable surgical outcomes if drainage is delayed [3-5]. As its etiology is poorly understood, postoperative pericardial effusion is often reported as post pericardiotomy syndrome (PPS) and the reported incidence varies widely [6]. As PPS describes any immune-mediated reaction to post-surgical trauma to the pericardium, precise PPS diagnoses are therefore difficult because of overlapping definitions [7-8]. A preliminary analysis of our institutional experience showed that the most prevalent procedure related to pericardial effusion was PAB. Therefore in this study, we looked exclusively into PAB cases to elucidate the predictors of postoperative pericardial effusion requiring drainage and tested multiple factors associated with the surgeries, including genomic screening, operation time and blood testing data.

Materials and Methods:

As a preliminary analysis, we reviewed all cardiac surgeries (532 cases) performed at the University of Tsukuba Hospital for structural congenital heart disease between April 2008

5

and July 2016. As a need for drainage can be taken as a measure of pericardial effusion severity, the main inclusion criterion was pericardial effusion after PAB which required drainage. From a safety and reliable outcome perspective, our first choice for pericardial effusion after cardiac surgery is surgical drainage. In these cases, especially when the effusion envelops the heart in the pericardial cavity, we prefer to create a small reopening of the surgical site incision rather than use other interventional drainage techniques (such as pericardiocentesis). Nineteen cases were selected from the larger patient pool with 45 non-drainage cases serving as control. Clinical data were reviewed retrospectively. Data collected for comparison included gender, age, height, weight at PAB, and trisomy 21 for patient characteristics. Detailed data for concomitant procedures (arch repair for coarctation or interruption of the aortic arch), approach (lateral thoracotomy or median sternotomy), site of PAB (main or bilateral pulmonary arteries), and, if main, length of the banding tape were collected for analysis. Operation time, amount of bleeding, and in/out water balance per body weight during PAB were collected as surgical data. Daily water balance (per body weight), urine amount, and amount of chest tube drainage were also investigated for the first through third postoperative days. Maximum postoperative doses of dopamine and/or epinephrine, and peak serum lactate levels (LAC) were collected to assess cardiac function. Minimum values of serum albumin (ALB) as well as maximum values of blood urea nitrogen (BUN), creatinine (CRE), white blood cell count (WBC), and C-reactive protein (CRP) were also

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investigated.

Standard descriptive statistics were used to summarize the data and are expressed as

median with range. Group comparisons were performed using Mann-Whitney U testing since

the data were not normally distributed. Categorical variables are expressed as frequency with

percentage. A p value < 0.05 was taken to indicate statistical significance. Statistical analysis

was performed using SPSS statistical software (version 22, IBM SPSS Inc., Armonk, NY).

Informed consent was waived because of our retrospective study design and the study was

approved by the Institutional Review Board for Ethics in Human Subject Research of the

University of Tsukuba Hospital (Approval No. H29-84).

Results:

Postoperative pericardial effusion which required drainage occurred in 19 out of 532

cases (3.6%) and among these, 10 pericardial effusion incidences were after PAB (10/60 cases,

16.7%) held during the same period. Five incidents were after closures of isolated ventricular

septal defects (5/90cases, 5.6%) with the other 4 incidents concomitant with 4 different

procedures (repair of atrial septal defect, atrioventricular septal defect, systemic-pulmonary

shunt for tetralogy of Fallot, and mitral valve replacement). One case with trisomy 18, one

case with massive pleural effusion and ascites since the fetal period, and one case that had

mediastinitis after PAB were excluded from the study. Three cases that underwent

7

cardiopulmonary bypass for concomitant procedures were also excluded. In total, we experienced 54 cases of PAB and all procedures were performed as the first palliative surgery without using cardiopulmonary bypass. Among 54 cases, 9 developed eventual moderate to severe amount of pericardial effusion after removing the chest tube and required surgical drainage. We compared the perioperative factors between these 9 cases (pericardial effusion group) with 45 other cases that did not develop pericardial effusion (No pericardial effusion group).

The perioperative factors between 9 cases that required surgical drainage for pericardial effusion and 45 cases that did not develop pericardial effusion were compared. All absolute data for each factor are shown in Table 1-2. The most notable finding was the higher incidence of trisomy 21 within the pericardial effusion group, with 6 out of 9 cases in the pericardial effusion group and 10 out of 45 in the No pericardial effusion group (p = 0.008) (Table 1). Minimum values of ALB after PAB were lower in the pericardial effusion group compared to the No pericardial effusion group (p = 0.009) while pre-PAB baseline values did not differ between the groups. This difference in minimum ALB after PAB disappeared when we isolated values from the 38 cases without trisomy21 (Table 2). Other perioperative parameters did not differ between groups. Taken together, this data indicates that genetic screening for trisomy 21 may be an important pre-surgical biomarker for potential development of pericardial effusion after PAB and that postoperative serum albumin may be a

8

useful indicator of <mark>pericardial effusion</mark> for trisomy 21 patients who must undergo PAB. Two out of the 16 cases with trisomy 21 had hypothyroidism before PAB and 6 cases developed hypothyroidism soon after PAB. Three of the cases with hypothyroidism were in the <mark>pericardial effusion</mark> group (3/6 cases), and the other 5 were in the No <mark>pericardial effusion</mark> group (5/10 cases).

Comment:

PAB has been largely abandoned in leading cardiac centers since the advantages of early primary repair for congenital heart disease became clear. Nevertheless, for immature patients with low birth weight and serious conditions due to complex cardiac malformations, the relatively low invasiveness of PAB makes it a singular choice for palliative control of pulmonary blood flow that also prevents pulmonary vascular obstructive disease. According to the 2014 annual report by the Japanese Association for Thoracic and cardiovascular Surgery (JATS), there were 661 PAB performed mostly on newborns and infants, and within the "main procedure" classification, it was the most frequently selected in Japan for congenital heart disease even surpassing the second-place (549 cases) systemic-pulmonary (SP) shunt procedure [9].

However, frequent use may not be indicative of superior outcome. Our experience showed a high incidence of postoperative <mark>pericardial effusion</mark> with PAB. While no literature

9

has clearly described PAB as a risk factor of postoperative pericardial effusion, hemodynamic and mechanical stress changes accompanying PAB might play a key role in formation of postoperative pericardial effusion. Recently, the literature reports an activated inflammatory process in the RV myocardium after pressure overload from animal experiments [1-2]. Luite and colleagues suggested from their murine PAB model that pressure overload leads to right ventricular accumulation and increased activity of cardiac mast cells which are known as a component of the inflammatory response in adverse ventricular remodeling [1]. Earlier animal experiments also suggest that multiple molecular mechanisms (such as proteasomal changes and proteins like CYLD) play a synergistic role in this adverse remodeling [10]. Dewachter and colleagues concluded from their canine PAB model that acute afterload-induced RV failure from PAB is associated with increased myocardial expression of inflammatory cytokines and infiltration of neutrophils and macrophages [2]. Although the exact pathogenesis of postoperative pericardial effusion still remains unclear, underlying inflammation, autoimmune processes and molecular mechanisms may play a pivotal role in this clinical presentation [7-8].

Interestingly, the proportion of patients with trisomy 21 was high in the pericardial effusion group. Since there was no difference between groups when we investigated the values exclusively on patients without trisomy 21, postoperative minimum ALB values became a trisomy-associated risk factor instead of an independent one. Therefore, we could

10

conclude that the presence of trisomy 21 is associated with increased likelihood of PAB-induced pericardial effusion that requires surgical drainage. Among various risk factors which have been reported [11-13], a recent high volume cohort study first pointed out trisomy 21 as an independent risk factor predicting postoperative pericardial effusion [14]. In trisomy 21 cases, more popularly known as Down syndrome (DS), pericardial effusion had often been reported with co-morbidties such as hypothyroidism, celiac disease, infectious disease, and transient abnormal myelopoiesis [15-16]. In a critical study, however, Concolino and colleagues showed a significant increase in the prevalence of isolated pericardial effusion in DS individuals apart from any associated disease or cardiac malformations [17]. From this finding, Elias and colleagues speculated that cardiac surgery and the resulting inflammatory process may provide the trigger to develop a significant postoperative pericardial effusion in children with DS who suffer from a greater propensity to develop pericardial effusion, in general [14].

It is well known that DS, in addition to cardiac malformations with shunt-related pulmonary overflow, contains a higher potential for developing pulmonary vascular obstructive disease [18]. One of the most common shunt-related cardiac malformations associated with DS is the atrioventricular septal defect (AVSD) so our therapeutic strategy in this case is to achieve precision repair via PAB as an initial palliative in the neonate period to improve immediate condition and prevent the development of pulmonary vascular obstructive

11

disease. We then perform full cardiac repair within the infancy period. Therefore, our experience with high pericardial effusion incidence after PAB might be due to the fact that we are performing PAB on DS-associated AVSD which biases outcomes to pericardial effusion (Figure 1).

There are several limitations to this study, including the small number of patients with pericardial effusion and retrospective nature of the study. There is a potential for selection bias in exclusively analyzing severe cases (which may exclude true prevalence) since we limited the study cohort to pericardial effusion cases that required drainage. In less severe cases, pericardial effusion can be self-limiting or spontaneous resolution can occur while first-line treatments such as aspirin, non-steroidal anti-inflammatory agents, and corticosteroids are commonly used in less severe cases when hemodynamics are not threatened [12].

In conclusion, based on our observations and those of other investigators, patients with DS are prone to develop pericardial effusion after PAB that requires surgical drainage. Postoperative serum albumin may play a role as a biomarker of pericardial effusion formation in DS patients and should be considered in the recovery plan as an essential lab test.

Conflicts of interest

The authors declare that they have no conflict of interest.

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14

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15

Table 1. Comparison of clinical characteristics and perioperative parameters in patients with or without pericardial effusion who underwent PAB

	pericardial effusion (n=9)	No pericardial effusion (n=45)	p value
patient characteristics			
Male sex, n (%)	4(44.4%)	19(42.2%)	0.90
Age at PAB (days)	24(6-53)	13(3-147)	0.60
height at PAB (cm)	48(43.8-54.0)	48.0(36.0-61.3)	0.81
weight at PAB (g)	2935(2250-3420)	2909(1162-5495)	0.08
trisomy21, n (%)	6(66.7%)	10(22.2%)	**0.008**
cardiac diagnosis		CoA with VSD 12	
		VSD 9	
	AVSD 4	IAA 7	
	CoA with VSD 3	HLHS 7	
	VSD 1	AVSD 6	
	Tricuspid atresia 1	Single ventricle 3	
		Truncus arteriosus 1	
operation details			
concomitant procedure of arch repair, n (%)	3(33.3%)	15(33.3%)	1.00
lateral thoracotomy approach, n (%) (not median sternotomy)	4(44.4%)	21(46.7%)	0.90
main PAB, n (%) (not bilateral PAB)	9 (100%)	33(73.3%)	0.08
length of banding tape for main PAB (mm)	21.0(18.0-26.0) (n=9)	21.5(19.0-23.0) (n=33)	0.88
operative parameters			
operation length (mins)	139(118-226)	145(101-347)	0.88
bleeding (ml/kg)	3.4(0.0-30.4)	3.0(0.0-25.2)	0.50
In/out water balance (ml/kg)	+28.9(-15.5- +35.9)	+27.6(-28.4- +145.2)	0.52
postoperative parameters			
1st POD (ml/kg)			
In/out water balance	+40.7(-28.8- +91.5)	+37.8(-76.7- +133.6)	0.60
urine	90.4(46.1-168.0)	83.2(12.6-201.8)	0.44
drainage of chest tube	3.4(0.0-16.4)	4(0.0-26.6)	0.54
2nd POD (ml/kg)			
In/out water balance	+0.7(-123.3- +34.8)	-0.8(-87.9- +111.3)	0.70
urine	111.3(54.7-222.8)	96.1(5.3-203.5)	0.16
drainage of chest tube	1.5(0.0-23.9)	1.5(0.0-24.1)	0.73
3rd POD (ml/kg)			
In/out water balance	-16.3(-85.8- +88.4)	+0.8(-60.6- +66.9)	0.77
urine	109.4(30.3-194.2)	103.9(9.2-165.2)	0.95
drainage of chest tube	0.8(0.0-20.4)	0.0(0.0-12.4)	0.41
total drainage of chest tube (ml/kg)	15.9(0.0-114.8)	15.0(0.0-302.0)	0.63
max dopamine (γ)	7(4.0-9.0)	5(0.0-8.5)	0.25
usage of epinephrine, n (%)	3(33.3%)	10(22.2%)	0.48
max LAC (mmol/dl)	2.0(1.4-5.5)	2.3(0.9-8.0)	0.82
min ALB (g/dl)	2.2(1.5-2.5)	2.5(1.7-3.6)	**0.009**
max BUN (mg/dl)	15.3(8.6-39.1)	15.6(5.5-37.3)	0.55
max CRE (mg/dl)	0.50(0.32-0.90)	0.60(0.29-1.35)	0.61
max WBC (/μl)	14700(5500-21400)	15400(5000-23500)	0.31
max CRP (mg/dl)	10.17(4.83-12.98)	9.99(1.08-18.80)	0.74

Results reported as median (range) or frequency (%). PAB = pulmonary artery banding; POD = post operative day; ALB = albumin; LAC = lactate; BUN = blood urea nitrogen; CRE = creatinine; WBC = white blood cell count; CRP = C-reactive protein.
AVSD = atrioventricular septal defect; CoA = coarctation of the aorta; VSD = ventricular septal defect; IAA = interruption of the aortic arch; HLHS = hypoplastic left heart syndrome

16

Table 2. Comparison of serous ALB in patients with or without pericardial effusion who underwent PAB

			pericardial effusion	No pericardial effusion	*p* value
pre-PAB	ALB (g/dl)	in total patients	3.0 (2.5-3.5) (n=9)	3.1 (2.0-4.6) (n=45)	0.78
post-PAB	min ALB (g/dl)	in total patients	2.2 (1.5-2.5) (n=9)	2.5 (1.7-3.6) (n=45)	**0.009**
		patients without trisomy21	2.4 (2.2-2.5) (n=3)	2.6 (1.7-3.6) (n=35)	0.32
		patients with trisomy21	2.1 (1.5-2.3) (n=6)	2.4 (2.0-2.9) (n=10)	**0.04**

Results reported as median (range) or frequency (%). Minimum values of ALB after PAB were lower in the pericardial effusion group compared to the **No** pericardial effusion group while pre-PAB baseline values did not differ between the groups. This difference in minimum ALB after PAB disappeared when we isolated values from the patients without trisomy 21. PAB = pulmonary artery banding; ALB = albumin.

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Figure Legends

Figure 1.

Schematic diagram showing the relation between the contents.

18

Title.

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Abstract

Background.

Although pulmonary artery banding (PAB) is a common palliative procedure for pediatric

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reactions in the right ventricle causing post-surgical complications such as pericardial effusion.

At this time, no clear guidelines as to potential risk factors or procedural contraindications

have been widely disseminated. Therefore, a study was undertaken to examine wide-ranging

factors to find potential biomarkers for post-surgical pericardial effusion formation risk.

Methods.

A retrospective study was conducted on all cardiac surgeries conducted over an 8-year period

and the main inclusion criterion was pericardial effusion development after PAB that required

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Results.

Trisomy 21 was strongly associated with development of severe pericardial effusion   after

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Our data indicates a strong association between trisomy 21 and pericardial effusion requiring

drainage after PAB which is in line with translational research findings. Pressure overload

from PAB may play a role in the formation of severe pericardial effusion that is exacerbated

by cardiac structural defects commonly associated with trisomy 21.   Surgical teams should

therefore use caution and plan to implement drainage in PAB cases, and postoperative serum

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(249words)

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Pulmonary artery banding (PAB) is a common palliative procedure for cardiac malformations with shunt-related pulmonary overflow, especially in low birth weight infants. However, animal modeling has shown that pressure overload as a consequence of PAB accelerates inflammation in the right ventricular myocardium which may lead to pericardial effusion production [1-2]. Such a result may lead to undesirable surgical outcomes if drainage is delayed [3-5]. As its etiology is poorly understood, postoperative pericardial effusion is often reported as post pericardiotomy syndrome (PPS) and the reported incidence varies widely [6]. As PPS describes any immune-mediated reaction to post-surgical trauma to the pericardium, precise PPS diagnoses are therefore difficult because of overlapping definitions [7-8]. A preliminary analysis of our institutional experience showed that the most prevalent procedure related to pericardial effusion was PAB. Therefore in this study, we looked exclusively into PAB cases to elucidate the predictors of postoperative pericardial effusion requiring drainage and tested multiple factors associated with the surgeries, including genomic screening, operation time and blood testing data.

Materials and Methods:

As a preliminary analysis, we reviewed all cardiac surgeries (532 cases) performed at the University of Tsukuba Hospital for structural congenital heart disease between April 2008

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patient characteristics. Detailed data for concomitant procedures (arch repair for coarctation

or interruption of the aortic arch), approach (lateral thoracotomy or median sternotomy), site

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weight during PAB were collected as surgical data. Daily water balance (per body weight),

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investigated.

Standard descriptive statistics were used to summarize the data and are expressed as median with range. Group comparisons were performed using Mann-Whitney U testing since the data were not normally distributed. Categorical variables are expressed as frequency with percentage. A p value < 0.05 was taken to indicate statistical significance. Statistical analysis was performed using SPSS statistical software (version 22, IBM SPSS Inc., Armonk, NY). Informed consent was waived because of our retrospective study design and the study was approved by the Institutional Review Board for Ethics in Human Subject Research of the University of Tsukuba Hospital (Approval No. H29-84).

Results:

Postoperative pericardial effusion which required drainage occurred in 19 out of 532 cases (3.6%) and among these, 10 pericardial effusion incidences were after PAB (10/60 cases, 16.7%) held during the same period. Five incidents were after closures of isolated ventricular septal defects (5/90cases, 5.6%) with the other 4 incidents concomitant with 4 different procedures (repair of atrial septal defect, atrioventricular septal defect, systemic-pulmonary shunt for tetralogy of Fallot, and mitral valve replacement). One case with trisomy 18, one case with massive pleural effusion and ascites since the fetal period, and one case that had mediastinitis after PAB were excluded from the study. Three cases that underwent

7

cardiopulmonary bypass for concomitant procedures were also excluded. In total, we

experienced 54 cases of PAB and all procedures were performed as the first palliative surgery

without using cardiopulmonary bypass. Among 54 cases, 9 developed eventual moderate to

severe amount of pericardial effusion after removing the chest tube and required surgical

drainage. We compared the perioperative factors between these 9 cases (pericardial effusion

group) with 45 other cases that did not develop pericardial effusion (No pericardial effusion

group).

The perioperative factors between 9 cases that required surgical drainage for pericardial

effusion and 45 cases that did not develop pericardial effusion were compared. All absolute

data for each factor are shown in Table 1-2. The most notable finding was the higher

incidence of trisomy 21 within the pericardial effusion group, with 6 out of 9 cases in the

pericardial effusion group and 10 out of 45 in the No pericardial effusion group (p = 0.008)

(Table 1). Minimum values of ALB after PAB were lower in the pericardial effusion group

compared to the No pericardial effusion group (p = 0.009) while pre-PAB baseline values did

not differ between the groups. This difference in minimum ALB after PAB disappeared when

we isolated values from the 38 cases without trisomy21 (Table 2). Other perioperative

parameters did not differ between groups.   Taken together, this data indicates that genetic

screening for trisomy 21 may be an important pre-surgical biomarker for potential

development of pericardial effusion after PAB and that postoperative serum albumin may be a

8

useful indicator of pericardial effusion for trisomy 21 patients who must undergo PAB. Two

out of the 16 cases with trisomy 21 had hypothyroidism before PAB and 6 cases developed

hypothyroidism soon after PAB. Three of the cases with hypothyroidism were in the

pericardial effusion group (3/6 cases), and the other 5 were in the No pericardial effusion

group (5/10 cases).

Comment:

PAB has been largely abandoned in leading cardiac centers since the advantages of

early primary repair for congenital heart disease became clear. Nevertheless, for immature

patients with low birth weight and serious conditions due to complex cardiac malformations,

the relatively low invasiveness of PAB makes it a singular choice for palliative control of

pulmonary blood flow that also prevents pulmonary vascular obstructive disease. According

to the 2014 annual report by the Japanese Association for Thoracic and cardiovascular

Surgery (JATS), there were 661 PAB performed mostly on newborns and infants, and within

the "main procedure" classification, it was the most frequently selected in Japan for

congenital heart disease even surpassing the second-place (549 cases) systemic-pulmonary

(SP) shunt procedure [9].

However, frequent use may not be indicative of superior outcome. Our experience

showed a high incidence of postoperative pericardial effusion with PAB. While no literature

9

has clearly described PAB as a risk factor of postoperative pericardial effusion, hemodynamic

and mechanical stress changes accompanying PAB might play a key role in formation of

postoperative pericardial effusion. Recently, the literature reports an activated inflammatory

process in the RV myocardium after pressure overload from animal experiments [1-2]. Luite

and colleagues suggested from their murine PAB model that pressure overload leads to right

ventricular accumulation and increased activity of cardiac mast cells which are known as a

component of the inflammatory response in adverse ventricular remodeling [1]. Earlier animal

experiments also suggest that multiple molecular mechanisms (such as proteasomal changes

and proteins like CYLD) play a synergistic role in this adverse remodeling [10].   Dewachter

and colleagues concluded from their canine PAB model that acute afterload-induced RV

failure from PAB is associated with increased myocardial expression of inflammatory

cytokines and infiltration of neutrophils and macrophages [2]. Although the exact

pathogenesis of postoperative pericardial effusion still remains unclear, underlying

inflammation, autoimmune processes and molecular mechanisms may play a pivotal role in

this clinical presentation [7-8].

Interestingly, the proportion of patients with trisomy 21 was high in the pericardial

effusion group. Since there was no difference between groups when we investigated the

values exclusively on patients without trisomy 21, postoperative minimum ALB values

became a trisomy-associated risk factor instead of an independent one. Therefore, we could

10

conclude that the presence of trisomy 21 is associated with increased likelihood of

PAB-induced pericardial effusion that requires surgical drainage. Among various risk factors

which have been reported [11-13], a recent high volume cohort study first pointed out trisomy

21 as an independent risk factor predicting postoperative pericardial effusion [14]. In trisomy

21 cases, more popularly known as Down syndrome (DS), pericardial effusion had often been

reported with co-morbidties such as hypothyroidism, celiac disease, infectious disease, and

transient abnormal myelopoiesis [15-16]. In a critical study, however, Concolino and

colleagues showed a significant increase in the prevalence of isolated pericardial effusion in

DS individuals apart from any associated disease or cardiac malformations [17]. From this

finding, Elias and colleagues speculated that cardiac surgery and the resulting inflammatory

process may provide the trigger to develop a significant postoperative pericardial effusion in

children with DS who suffer from a greater propensity to develop pericardial effusion, in

general [14].

It is well known that DS, in addition to cardiac malformations with shunt-related

pulmonary overflow, contains a higher potential for developing pulmonary vascular

obstructive disease [18]. One of the most common shunt-related cardiac malformations

associated with DS is the atrioventricular septal defect (AVSD) so our therapeutic strategy in

this case is to achieve precision repair via PAB as an initial palliative in the neonate period to

improve immediate condition and prevent the development of pulmonary vascular obstructive

11

disease. We then perform full cardiac repair within the infancy period. Therefore, our experience with high pericardial effusion incidence after PAB might be due to the fact that we are performing PAB on DS-associated AVSD which biases outcomes to pericardial effusion (Figure 1).

There are several limitations to this study, including the small number of patients with pericardial effusion and retrospective nature of the study. There is a potential for selection bias in exclusively analyzing severe cases (which may exclude true prevalence) since we limited the study cohort to pericardial effusion cases that required drainage. In less severe cases, pericardial effusion can be self-limiting or spontaneous resolution can occur while first-line treatments such as aspirin, non-steroidal anti-inflammatory agents, and corticosteroids are commonly used in less severe cases when hemodynamics are not threatened [12].

In conclusion, based on our observations and those of other investigators, patients with DS are prone to develop pericardial effusion after PAB that requires surgical drainage. Postoperative serum albumin may play a role as a biomarker of pericardial effusion formation in DS patients and should be considered in the recovery plan as an essential lab test.

Conflicts of interest

The authors declare that they have no conflict of interest.

12

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15

Table 1. Comparison of clinical characteristics and perioperative parameters in patients with or without pericardial effusion who underwent PAB

	pericardial effusion (n=9)	No pericardial effusion (n=45)	*p* value
patient characteristics			
Male sex, n (%)	4(44.4%)	19(42.2%)	0.90
Age at PAB (days)	24(6-53)	13(3-147)	0.60
height at PAB (cm)	48(43.8-54.0)	48.0(36.0-61.3)	0.81
weight at PAB (g)	2935(2250-3420)	2909(1162-5495)	0.08
trisomy21, n (%)	6(66.7%)	10(22.2%)	**0.008**
cardiac diagnosis	AVSD 4 CoA with VSD 3 VSD 1 Tricuspid atresia 1	CoA with VSD 12 VSD 9 IAA 7 HLHS 7 AVSD 6 Single ventricle 3 Truncus arteriosus 1	
operation details			
concomitant procedure of arch repair, n (%)	3(33.3%)	15(33.3%)	1.00
lateral thoracotomy approach, n (%) (not median sternotomy)	4(44.4%)	21(46.7%)	0.90
main PAB, n (%) (not bilateral PAB)	9 (100%)	33(73.3%)	0.08
length of banding tape for main PAB (mm)	21.0(18.0-26.0) (n=9)	21.5(19.0-23.0) (n=33)	0.88
operative parameters			
operation length (mins)	139(118-226)	145(101-347)	0.88
bleeding (ml/kg)	3.4(0.0-30.4)	3.0(0.0-25.2)	0.50
In/out water balance (ml/kg)	+28.9(-15.5- +35.9)	+27.6(-28.4- +145.2)	0.52
postoperative parameters			
1st POD (ml/kg)			
In/out water balance	+40.7(-28.8- +91.5)	+37.8(-76.7- +133.6)	0.60
urine	90.4(46.1-168.0)	83.2(12.6-201.8)	0.44
drainage of chest tube	3.4(0.0-16.4)	4(0.0-26.6)	0.54
2nd POD (ml/kg)			
In/out water balance	+0.7(-123.3- +34.8)	-0.8(-87.9- +111.3)	0.70
urine	111.3(54.7-222.8)	96.1(5.3-203.5)	0.16
drainage of chest tube	1.5(0.0-23.9)	1.5(0.0-24.1)	0.73
3rd POD (ml/kg)			
In/out water balance	-16.3(-85.8- +88.4)	+0.8(-60.6- +66.9)	0.77
urine	109.4(30.3-194.2)	103.9(9.2-165.2)	0.95
drainage of chest tube	0.8(0.0-20.4)	0.0(0.0-12.4)	0.41
total drainage of chest tube (ml/kg)	15.9(0.0-114.8)	15.0(0.0-302.0)	0.63
max dopamine (γ)	7(4.0-9.0)	5(0.0-8.5)	0.25
usage of epinephrine, n (%)	3(33.3%)	10(22.2%)	0.48
max LAC (mmol/dl)	2.0(1.4-5.5)	2.3(0.9-8.0)	0.82
min ALB (g/dl)	2.2(1.5-2.5)	2.5(1.7-3.6)	**0.009**
max BUN (mg/dl)	15.3(8.6-39.1)	15.6(5.5-37.3)	0.55
max CRE (mg/dl)	0.50(0.32-0.90)	0.60(0.29-1.35)	0.61
max WBC (/μl)	14700(5500-21400)	15400(5000-23500)	0.31
max CRP (mg/dl)	10.17(4.83-12.98)	9.99(1.08-18.80)	0.74

Results reported as median (range) or frequency (%). PAB = pulmonary artery banding; POD = post operative day; ALB = albumin; LAC = lactate; BUN = blood urea nitrogen; CRE = creatinine; WBC = white blood cell count; CRP = C-reactive protein. AVSD = atrioventricular septal defect; CoA = coarctation of the aorta; VSD = ventricular septal defect; IAA = interruption of the aortic arch; HLHS = hypoplastic left heart syndrome

16

Table 2. Comparison of serous ALB in patients with or without pericardial effusion who underwent PAB

			pericardial effusion	No pericardial effusion	*p* value
pre-PAB	ALB (g/dl)	in total patients	3.0 (2.5-3.5) (n=9)	3.1 (2.0-4.6) (n=45)	0.78
post-PAB	min ALB (g/dl)	in total patients	2.2 (1.5-2.5) (n=9)	2.5 (1.7-3.6) (n=45)	**0.009**
		patients without trisomy21	2.4 (2.2-2.5) (n=3)	2.6 (1.7-3.6) (n=35)	0.32
		patients with trisomy21	2.1 (1.5-2.3) (n=6)	2.4 (2.0-2.9) (n=10)	**0.04**

Results reported as median (range) or frequency (%). Minimum values of ALB after PAB were lower in the pericardial effusion group compared to the **No** pericardial effusion group while pre-PAB baseline values did not differ between the groups. This difference in minimum ALB after PAB disappeared when we isolated values from the patients without trisomy 21. PAB = pulmonary artery banding; ALB = albumin.

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Figure Legends

Figure 1.

Schematic diagram showing the relation between the contents.

18

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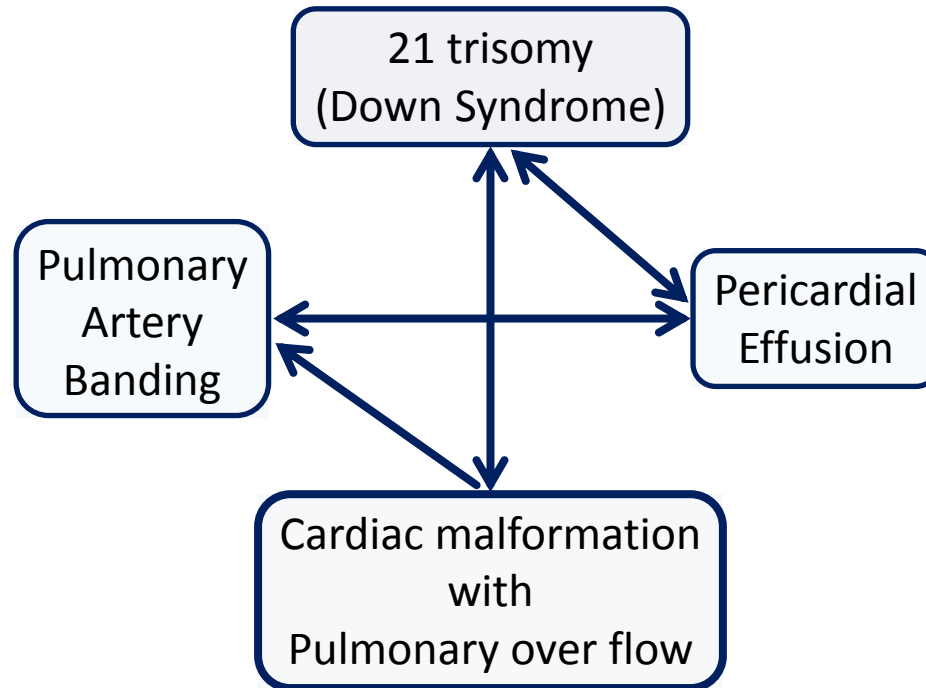31
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Figure 1. Schematic diagram showing the relation between the contents.