

1 **Vaccination with UV-inactivated nodavirus partly protects European sea bass**
2 **against infection, while inducing few changes in immunity**

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4 Yulema Valero^{1,2†}, Mokrani Djamel^{3†}, Elena Chaves-Pozo¹, Marta Arizcun¹, Mustapha
5 Oumouna⁴, José Meseguer⁵, M. Ángeles Esteban⁵, Alberto Cuesta^{5*}

6
7 ¹Centro Oceanográfico de Murcia, Instituto Español de Oceanografía (IEO), Carretera de la Azohía s/n,
8 Puerto de Mazarrón, 30860 Murcia, Spain

9 ²Grupo de Marcadores Inmunológicos, Laboratorio de Genética e Inmunología Molecular, Instituto de
10 Biología, Pontificia Universidad Católica de Valparaíso, Valparaíso, Chile

11 ³Institut des Sciences Vétérinaires, Unniversité de Blida 1, Algérie

12 ⁴Faculty of Natural Science and Life, University Dr. Yahia Fares, Medea, Algeria.

13 ⁵Fish Innate Immune System Group, Department of Cell Biology and Histology, Faculty of Biology,
14 *Campus Regional de Excelencia Internacional "Campus Mare Nostrum"*, University of Murcia, 30100
15 Murcia, Spain

16
17 **Correspondence and reprint requests:** *To whom correspondence should be
18 addressed to ⁴Department of Cell Biology and Histology, Faculty of Biology, Regional
19 Campus of International Excellence "Campus Mare Nostrum", University of Murcia,
20 30100 Murcia, Spain. alcuesta@um.es. Tel.: +34 868884536; Fax: +34 868883963.

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22 Footnote

23 [†]Both authors contributed equally.

25 **Abstract**

26 Developing viral vaccines through the ultraviolet (UV) inactivation of virus is
27 promising technique since it is straightforward and economically affordable, while the
28 resulting viruses are capable of eliciting an adequate antiviral immune response.
29 Nodavirus (NNV) is a devastating virus that mainly affects European sea bass juveniles
30 and larvae, causing serious economic losses in Mediterranean aquaculture. In this work,
31 a potential vaccine consisting on UV-inactivated NNV (iNNV) was generated and
32 administered to healthy juveniles of European sea bass to elucidate whether it triggers
33 the immune response and improves their survival upon challenge. First, iNNV failed to
34 replicate in cell cultures and its intraperitoneal administration to sea bass juveniles also
35 failed to produce fish mortality and induction of the type I interferon (IFN) pathway,
36 indicating that the NNV was efficiently inactivated. By contrast, iNNV administration
37 induced significant serum non-specific antimicrobial activity as well as a specific
38 antiviral activity and immunoglobulin M (IgM) titres against NNV. Interestingly, few
39 changes were observed at transcriptional level in genes related to either innate or
40 adaptive immunity, suggesting that iNNV could be modulating the immune response at
41 protein or functional level. In addition, the iNNV vaccinated group showed improved
42 survival, reaching a relative survival percentage of 57.9%. Moreover, challenged fish
43 that had been vaccinated presented increased serum antibacterial, antiviral and IgM
44 titres, as well as the higher transcription of *mhc1a*, *ifn*, *isg15* and *cd8a* genes in brain,
45 while in the head-kidney the transcription of *mhc1a*, *mhc2b* and *cd8a* was down-
46 regulated and *mx*, *isg15* and *tcrb* was up-regulated. Although the UV-inactivated
47 vaccine against NNV showed promising results, more effort should be addressed to
48 improving this prophylactic method by increasing our understanding of its action
49 mechanisms, thus enabling the mortality rate of NNV to be further reduced.

50 **Keywords:** UV, inactivated vaccine, nodavirus, European sea bass, cell-mediated
51 cytotoxicity, immune response

52

53 1. Introduction

54 For viral vaccine development purposes, viruses can be inactivated by several
55 chemical or physical methods; formaldehyde, β -propiolactone (BPL) or binary ethylene
56 imine (BEI) being the most widely used chemicals. However, physical inactivation is
57 more practical since the resulting vaccines could be considered chemical-free products.
58 Thus, viral inactivation by irradiation, including ultraviolet (UV), mainly UVC (200-
59 280 nm), offers a promising tool for vaccine development since it is easy, affordable
60 and fast. UV induces dimer formation between adjacent pyrimidines in RNA, blocking
61 the RNA molecule as a transcription template, but can also produce significant
62 alterations in the coat proteins (Delrue et al., 2014). However, although virus
63 inactivation by UV is obviously feasible, its potential use and efficacy for vaccination is
64 controversial since it is unclear whether these kinds of vaccine induce proper immunity,
65 and any protection greatly depends on the virus isolate and the severity of the UV-
66 exposure. Preliminary studies in mammals indicated that eastern equine
67 encephalomyelitis (EEE) and rabies (CVS) virus inactivated by UV were not suitable
68 for vaccinating mice since no protection was conferred (LoGrippo, 1958). More
69 recently, UV-inactivation of the murine leukemic virus (Cas) strain Cas-Br-M was seen
70 to induce a strong cytotoxic T-lymphocyte (CTL) response in mice, protecting them
71 against disease and inhibiting viral replication (Sarzotti et al., 1994). Furthermore, UV-
72 inactivated porcine reproductive and respiratory syndrome virus (PRRSV) induces
73 virus-specific and neutralizing antibody responses (Vanhee et al., 2009). By contrast,
74 UV-inactivated vaccines consisting of foot and mouth disease virus (FMDV) failed to
75 induce correct antibody response (Mahdy et al., 2015), while, in the case of influenza A,
76 the vaccine failed to induce protection or an antibody and CTL response (Furuya et al.,
77 2010). Therefore, although UV is useful for inactivating a virus, its potential use for
78 vaccine development needs to be cautiously evaluated.

79 Aquaculture is a fast and highly growing industry worldwide. For this sector,
80 diseases triggered by viruses and the lack of effective vaccines against them are
81 bottleneck factors for its success. Among such viruses, nodavirus (NNV) is the causal
82 agent of viral encephalopathy and retinopathy (VER), which mainly alters brain and
83 retina structure and function, causing mortality rates of up to 100 % in more than 50 fish
84 species (Munday et al., 2002; OIE, 2013). NNV is a non-enveloped bipartite single
85 stranded RNA virus composed of 2 RNA strands in positive sense, RNA1 coding for
86 viral RNA-dependent RNA polymerase (RdRp), and RNA2 coding for the capsid
87 protein (CP), which composes the virus coat by assembling multiple units of the single
88 protein (Delsert et al., 1997; Munday et al., 2002; Sommerset and Nerland, 2004; Tan et
89 al., 2001). European sea bass (*Dicentrarchus labrax*) is a very susceptible species to this
90 virus which can induce up to 100% mortality, mainly in juveniles and larvae stages
91 (Breuil et al., 1991), affecting negatively the Mediterranean aquaculture. To date, much
92 effort has been put into obtaining a deeper knowledge of European sea bass immunity,
93 cell-mediated cytotoxicity (CMC), antimicrobial peptides (AMPs) and interferon (IFN)
94 responses having been identified as pivotal mechanisms against NNV (Chaves-Pozo et

95 al., 2012; Novel et al., 2013; Scapigliati et al., 2010; Valero et al., 2015a,b,c; Valero et
96 al., 2016a). Despite the great negative impact of NNV in fish farms, all vaccine types
97 tested so far have failed to totally eradicate the mortalities elicited by this virus. Thus,
98 recent studies have reported different types of vaccine against NNV, such as
99 live/inactivated NNV, virus-like particles (VLPs), DNA or recombinant proteins, all of
100 which only produced partial protection in fish (Kai and Chi, 2008; Kai et al., 2014; Lin
101 et al., 2016; Luu et al., 2017; Nishizawa et al., 2012; Núñez-Ortiz et al., 2016; Oh et al.,
102 2013; Sommerset et al., 2003; Valero et al., 2016b; Vimal et al., 2014). Most studies
103 have focused on inactivated vaccines but always using chemicals such as formalin or
104 BEI (Kai and Chi, 2008; Kai et al., 2014; Núñez-Ortiz et al., 2016; Pakingking et al.,
105 2010,2011), but no study has addressed the efficacy of UV-inactivated vaccines on
106 NNV infection. For practical purposes there is only a single commercial vaccine
107 (ALPHA JECT micro[®] 1 Noda; PharmaQ), consisting on inactivated NNV, with limited
108 application to sea bass in some Mediterranean countries but its effectiveness is not
109 reported yet.

110 UV inactivation of aquatic virus has been widely evaluated (Lytle and
111 Sagripanti, 2005). Interestingly, viruses of the family *Rhabdoviridae* (VHSV) are the
112 most susceptible to UVC radiation, while viruses of the families *Birnaviridae* (IPNV) a
113 *Nodaviridae* (NNV) are the most resistant (Frerichs et al., 2000; Oye and Espen, 2001).
114 In fact, while the World Organization for Animal Health (OIE) recommends the use of
115 10 mJ/cm² of UV to inactivate most aquatic viruses and bacteria the dosage is increased
116 to 125-200 mJ/cm² for IPNV and NNV. Unfortunately, even considering its potential
117 application, little effort has been directed towards generating and testing UV
118 inactivation for fish virus vaccines. Only one study has tested a vaccine against
119 infectious Salmon anaemia virus (ISAV) in Atlantic salmon (*Salmo salar*) (Rivas-
120 Aravena et al., 2015). In this case, UV-inactivated ISAV was encapsulated in chitosan
121 and administered orally. Upon challenge, the vaccine elicited a partial relative
122 protection (RPS) of 39%, which increased to 77% when the vaccine contained a DNA
123 adjuvant. Interestingly, when the immunity was evaluated, no antibodies were detected
124 in serum and the expression of immune-related genes suggested that the vaccine is
125 capable of stimulating the innate immune response through IFN α and IFN γ , but not
126 cellular immunity, and regulated by the stimulation of interleukin (IL)-10 and tumour
127 growth factor (TGF)- β (Rivas-Aravena et al., 2015). Given the lack of knowledge about
128 the efficiency of viral UV-inactivated vaccines for fish this work looks at the
129 inactivation of NNV by UV irradiation and studies the immune response triggered in
130 healthy European sea bass juveniles by vaccination and challenge with NNV, and the
131 rates of protection offered.

132

133 **2. Material and methods**

134 *2.1. Animals*

135 European sea bass juveniles (*Dicentrarchus labrax*; 10-12 g body weight) were
136 bred in the facilities of *Instituto Español de Oceanografía* in Mazarrón (COM-IEO,
137 Spain) and transported to the University of Murcia (Spain). Fish were kept in 250 L
138 running seawater (28‰ salinity) aquaria at 24±2°C, with a 12 h light:12 h dark
139 photoperiod and fed daily with 3% biomass of a commercial pellet diet (Skretting).
140 Before sampling, all specimens were anesthetized with 40 µL/L of clove oil, completely
141 bled and immediately beheaded and weighed. All animal studies were carried out in
142 accordance with the Guidelines of the European Union Council (2010/63/UE), the
143 Bioethical Committee of the University of Murcia (Permit Number: A13150104) and
144 the *Instituto Español de Oceanografía* (Permit Number: 2010/02) for the use of
145 laboratory animals.

146 2.2. *Nodavirus (NNV) stocks*

147 Nodavirus (NNV; strain It/411/96, genotype RGNNV) was propagated in the E-
148 11 cell line. Cells were inoculated with NNV and incubated at 25°C until the cytopathic
149 effect (CPE) was extensive. The supernatant was harvested and centrifuged to eliminate
150 cell debris. Virus stocks were titrated in 96-well plates before use in the experiments
151 (Reed and Muench, 1938).

152 2.3. *Preparation of vaccine*

153 A previous study demonstrated that UV exposure to 254 nm with a dose of 440
154 µW/cm² for 10 min (equivalent to 264 mJ/cm²) resulted in the complete inhibition of
155 NNV infectivity (Frerichs et al., 2000). Based on this, and to ensure complete NNV
156 inactivation, 100 µl of a NNV batch of 10¹⁰ TCID₅₀/mL were diluted 100-fold with
157 phosphate buffer (PBS) and exposed to UV-C (254 nm; Bio-Link) with a total dose of
158 800 mJ/cm². To verify the NNV infectivity, inactivated NNV (iNNV) was cultured by 2
159 successive passages on E-11 cultures at 25°C for 10 days. In addition, cell cultures were
160 processed for RNA isolation and NNV detection by PCR as described below.

161 2.4. *Fish vaccination*

162 European sea bass fish specimens were randomly divided into 4 aquaria (250 L
163 each) forming two experimental groups in duplicate. Fish were gently sedated by 20
164 µL/L of clove oil and vaccinated as follows: one group was intraperitoneally (ip)
165 injected with 100 µl per fish of PBS (Control) while the other group received a single ip
166 injection with 10⁷ TCID₅₀/fish (iNNV). After vaccination, fish (n = 6 fish/group and
167 time) were sampled 1, 15 and 30 days post-vaccination (dpv). Blood was obtained from
168 the caudal peduncles and serum samples by centrifugation at 10,000 g for 10 min at 4°C
169 and immediately stored at -80°C until use. Head-kidney was removed by dissection,
170 immediately frozen in TRIzol Reagent (Life Technologies) and stored at -80°C until
171 use.

172 2.5. *NNV challenge*

173 Thirty days after vaccination, the remaining fish (20 per aquaria) received a
174 single intramuscular injection of 100 µL culture medium containing 10⁶ TCID₅₀/fish of
175 the same NNV isolate since this route of infection has been proven to be the most
176 effective (Aranguren et al., 2002). Mortality was recorded daily as the cumulative
177 mortality and relative percentage of survival (RPS) determined. Samples of serum, brain
178 and head-kidney (n = 6/group and time) were also taken 2 days post-infection (dpi) and
179 processed as described above.

180 2.6. Antimicrobial activities

181 The presence of both innate and specific humoral factors in the serum of
182 vaccinated fish specimens was determined.

183 2.6.1. Bactericidal activity

184 The bactericidal activity was determined against the pathogenic *Photobacterium*
185 *damselae* subsp. *piscicida* (*Phdp*) in order to determine whether the vaccine confers
186 non-specific antimicrobial protection due to humoral factors such as complement or
187 AMPs. Thus, *Phdp* strain PP3 was cultivated as described elsewhere (Machado et al.,
188 2015). Exponentially growing bacteria were resuspended in sterile tryptic soy broth
189 (TSB; Laboratorios Conda) and adjusted to 10⁶ colony-forming units (cfu)/mL.
190 Bactericidal activity was then determined in serum samples following the method
191 previously described (Roszell and Anderson, 1996) but with slight modifications.
192 Briefly, 20 µL of serum samples were added to duplicate wells of a U-shaped 96-well
193 plate. To each well, 20 µL of *Phdp* (10⁶ cfu/mL) were added and the plate incubated for
194 5 h at 25°C. Twenty-five µL of 3-(4,5 dimethyl-2-yl)-2,5-diphenyl tetrazolium bromide
195 (MTT; 1 mg/mL; Sigma-Aldrich) were added and incubated for 10 min at 25°C. Plates
196 were centrifuged at 2,000 g for 10 min and the precipitate dissolved in 200 µL of
197 dimethyl sulfoxide (DMSO; Sigma-Aldrich). Negative controls (maximum bacterial
198 growth) consisted of replacing the fish serum by TSB, while in blanks the bacteria were
199 omitted (minimum bacterial growth). The absorbance was measured at 560 nm in a
200 plate reader. Bactericidal activity is expressed as the percentage of bacteria surviving in
201 relation to the number of bacteria from the blanks.

202 2.6.2. Antiviral activity

203 Antiviral activity in terms of lysis, neutralization and/or inhibition of NNV
204 replication was assayed against NNV. This was determined by incubating 20 µl of
205 serum samples with 100 µl of NNV (10⁷ TCID₅₀/mL) for 16 h at 4°C. Positive controls
206 consisted of replacing the fish serum by culture medium. After incubation, NNV
207 infectivity was titrated using E-11 cells in 96-well plates after 10 days as described
208 above (Reed and Muench, 1938).

209 2.7. Specific anti-NNV IgM levels

210 Serum specific immunoglobulin M (IgM) levels against NNV were analysed
211 following a previously used protocol (Valero et al., 2016b). Briefly, 100 µL of purified
212 NNV preparation diluted 1:5 with 50 mM carbonate-bicarbonate buffer pH 9.6 was used
213 to coat flat-bottomed 96-well plates overnight at 4°C. After three rinses with PBS-T
214 (PBS with 0.05% Tween-20), the plates were blocked for 2 h at room temperature with
215 PBS containing 3% bovine serum albumin, followed by four rinses with PBS-T. Then,
216 100 µl of 1:100 serum dilutions in PBS-T were incubated for 2 h at room temperature,
217 followed by five rinses with PBS-T. The plates were then incubated with the optimal
218 dilutions of mouse anti-sea bass IgM monoclonal antibody (Aquatic Diagnostics Ltd.)
219 and secondary anti-mouse IgG-HRP (Sigma-Aldrich). The absorbance was read at 450
220 nm. Negative controls consisted of samples without serum or without coating. Sera
221 from NNV-infected sea bass were also used as positive control.

222 2.8. Gene expression

223 Total RNA was isolated from TRIzol Reagent frozen samples following the
224 manufacturer's instructions. One microgram of total RNA from E-11 cultures or
225 individual fish brain or head-kidney samples were treated with DNase I to remove
226 genomic DNA, and the first strand of cDNA was synthesized by reverse transcription
227 using the SuperScript IV Reverse Transcriptase (Thermo Fisher) with random hexamers
228 (Thermo Fisher).

229 For NNV detection in E-11 cell cultures, we used conventional PCR with the F2
230 and R3 primers described elsewhere (Nishizawa et al., 1994). PCR products were
231 separated in a 1.5% agarose gel (Pronadisa) containing 0.01% of Red Safe® (Life
232 Technologies) and visualized under UV light in brain or head-kidney. The expression of
233 the genes coding for (i) type I and II IFN pathway, (ii) antigen recognition, (iii) B cell
234 markers and immunoglobulins, (iv) T cell markers and (v) cell-mediated cytotoxicity
235 proteins were analysed by real-time PCR, performed with an ABI PRISM 7500
236 instrument (Applied Biosystems) using SYBR Green PCR Core Reagents (Applied
237 Biosystems) as previously described (Valero et al., 2016a). The specific primers used
238 were designed using the Oligo Perfect software tool (Invitrogen) and are shown in Table
239 1. Prior to the experiments, the specificity of each primer pair was studied using
240 positive and negative samples. A melting curve analysis of the amplified products
241 validated the primers for specificity. Negative controls with no template were always
242 included in the reactions. For each mRNA sample, gene expression was corrected by the
243 geometric average of the expression of two endogenous genes [elongation factor 1 alpha
244 (*ef1a*) and ribosomal protein L13 alpha (*l13a*)] in each sample and expressed as $2^{-\Delta Ct}$,
245 where ΔCt is determined by subtracting the endogenous Ct geometric average value
246 from the target Ct.

247 2.9. Statistical analysis

248 Data were analysed by a t-Student test to establish differences between control
249 and vaccinated groups at each time point ($P \leq 0.05$). Data are represented as the mean \pm

250 standard error of the mean (SEM). Cumulative mortality was represented for both
251 groups as mean \pm SEM (n = 2 replicates) and analysed by a one-way ANOVA followed
252 by Tukey's post-hoc analysis. A non-parametric Kruskal–Wallis test, followed by a
253 multiple comparison test, was used when data did not meet parametric assumptions. All
254 statistical analyses were conducted using StatGraphics software.

255

256 **3. Results**

257 *3.1. NNV was effectively inactivated by UV irradiation*

258 After UV-exposure it was determined whether the iNNV was infective and
259 could be used as a safe vaccine. Thus, iNNV was cultured on E-11 cell line monolayers
260 and CPE was absent for two passages as can be seen from the cell monolayer images
261 (Fig. 1A), where extensive CPE of NNV-infected E-11 cells is also shown (Fig. 1A
262 inset). PCR analysis of the cultures was also negative for the presence of NNV mRNA
263 (Fig. 1B). In addition, we evaluated whether iNNV is able to activate the type I IFN
264 pathway once injected into a healthy fish, as the activation of the type I IFN pathway is
265 the most prominent hallmark of fish immunity following viral infection (Robertsen,
266 2006). Thus, we evaluated the expression levels of *ifn* (data not shown), *mx*, *stat1* and
267 *isg15*, none of which was stimulated (Fig. 1C-E). Moreover, no mortalities were
268 observed during the 30 days following iNNV vaccination. Therefore, our results clearly
269 demonstrate that UV exposure completely inactivated NNV. Furthermore, the iNNV
270 was not infective and was seen to be safe.

271 *3.2. iNNV vaccination increases both innate and adaptive humoral immunity*

272 When the presence of both non-specific and specific (for NNV) soluble serum
273 factors after iNNV vaccination was analysed, the results showed that the iNNV vaccine
274 significantly increased the innate bactericidal activity in serum at 30 dpv but decreased
275 the same at 1 dpv (Fig. 2A). Furthermore, the serum antiviral activity increased, as
276 indicated by the decrease in NNV titres at all the assayed times, reaching significance at
277 15 and 30 dpv, respectively, compared with the control group (Fig. 2B), suggesting the
278 presence of neutralizing antibodies. Thus, ELISA determination of specific anti-NNV
279 IgM sera titres resulted in increased IgM levels that reached significance after 30 dpv
280 compared with controls and unvaccinated fish (Fig. 2C).

281 *3.2. iNNV down-regulates the transcription of immune-related genes*

282 Few effects and little down-regulation of the genes studied were observed in the
283 head-kidney (Fig. 3). Firstly, *mhc1a* and *mhc2b* genes, both related to antigen
284 presentation, were down-regulated at 15 and 30 dpv, respectively (Fig. 3A,B).
285 Moreover, the transcript levels in head-kidney of *ighm* and *cd8a* were down-regulated
286 from 15 dpv onwards (Fig. 3C,G) while *ight*, *tcrb*, *cd4*, *prf*, *gzma* and *ifng* transcription
287 levels kept steady after vaccination (Fig. 3D,E,F,H,I,J).

288 3.3. *iNNV* improves fish survival by increasing immunity after challenge

289 Fish were challenged at 30 dpv (Fig. 4) and the mortalities recorded. In the
290 control group, fish deaths were recorded from day 5 until day 18, reaching a 20.8%
291 survival rate (Fig. 4) and showing typical disease symptoms (data not shown), while in
292 the *iNNV* vaccinated group deaths were recorded from 2 to 13 dpi (Fig. 4) while the
293 survival rate rose to 66.7%. This means that the *iNNV* elicited a RPS (relative
294 percentage of survival) of 57.9%.

295 At functional level, 2 days after challenge, increased levels of antibacterial
296 activity were observed in serum from *iNNV* vaccinated fish compared with controls
297 (Fig. 5A). The specific antiviral activity against NNV was enhanced (as determined by a
298 30.8-fold decrease in the virus titre) (Fig. 5B). Similarly, the specific anti-NNV IgM
299 levels (Fig. 5C) increased in the serum of *iNNV* vaccinated fish upon NNV infection.
300 The gene expression levels of *mhc1a*, *ifn*, *isg15* and *cd8a* in the brain of *iNNV*
301 vaccinated and challenged fish increased, whereas *mhc2b*, *mx*, *stat1*, *ighm*, *ight*, *tcrb*,
302 *cd4*, *prf*, *gzma* and *ifng* gene expression levels remained unchanged (Fig. 6A). However,
303 in the head-kidney, the expression of *mx*, *isg15* and *tcrb* genes was up-regulated in
304 *iNNV* vaccinated and challenged sea bass specimens, while *mhc1a*, *mhc2b* and *cd8a*
305 was down-regulated (Fig. 6B). No alterations in the expression of *ifn*, *stat1*, *ighm*, *ight*,
306 *cd4*, *prf*, *gzma* and *ifng* genes was observed in the head-kidney.

307

308 4. Discussion

309 The generation of successful vaccines could represent the best solution to the
310 economic losses produced by NNV in aquaculture. Thus, several types of vaccines
311 against NNV have been tested (Kai and Chi, 2008; Kai et al., 2014; Lin et al., 2016;
312 Luu et al., 2017; Nishizawa et al., 2012; Núñez-Ortiz et al., 2016; Oh et al., 2013;
313 Sommerset et al., 2003; Valero et al., 2016b; Vimal et al., 2014), their origins, based on
314 chemical or genetic modification, has led to certain public rejection of the same. In a
315 society increasingly inclined to consume natural food, new approaches are clearly
316 needed to overcome these concerns in the sector, which produces more than 50% of the
317 fish destined for human consumption (FAO, 2016). Taking this into account, we
318 generated and tested for the first time a vaccine consisting of NNV inactivated by means
319 of UV radiation administrated without any adjuvant guarantying a chemical-free
320 protocol of immunization.

321 The first observation was that the inactivation of NNV by UV was complete
322 since inactivated virus failed to produce CPE in cell cultures or the induction at
323 transcriptional levels of type I IFN and mortality was zero. NNV was inactivated by an
324 irradiation dose of 800 mJ/cm², which was much higher than the dose suggested by the
325 OIE, 125-200 mJ/cm². Our aim was to ensure complete NNV inactivation so that, once
326 delivered to cultured live fish the virus would not escape and be disseminated in the
327 wild. A previous study demonstrated that NNV exposure to 211 mJ/cm² was insufficient

328 for this purpose and only the dosage of 264 mJ/cm² (440 μW/cm² for 10 min) was
329 effective (Frerichs et al., 2000). The IFN response is used as the most important
330 hallmark of virus infection (Robertsen, 2006). In the case of sea bass infected with
331 NNV, several genes of the I IFN pathway type were up-regulated including *ifn*, *mx*,
332 *stat1*, *isg15*, *pkr* (Carballo et al., 2016; Poisa-Beiro et al., 2008; Scapigliati et al., 2010;
333 Valero et al., 2015c). Our results show no alterations in the transcription of *ifn*, *mx*,
334 *stat1* and *isg15*, confirming the lack of NNV replication in sea bass cells. In fact, this is
335 in agreement with other formalin-inactivated ISAV vaccine that failed to induce the
336 transcription of both type-I and-II IFN related genes (Lauscher et al., 2011). These
337 findings go against those concerning other non-replicative viral vaccines. For example,
338 vaccination with BEI-inactivated NNV upregulated *mx* gene in groupers (*Epinephelus*
339 *coioides*) (Kai et al., 2014) even this was completely inactivated. Interestingly, GB cells
340 incubated with the same vaccine failed to produce Mx protein, whereas incubation with
341 NNV isolated RNA did so. Thus, it seems that UV-inactivation results in whole and
342 completely inactivated virus, but not in the case of chemically-inactivated vaccines,
343 leading to a complete abrogation of the IFN pathway suggesting that contact with the
344 viral RNA in its replicative form is necessary to stimulate this pathway. Moreover, the
345 data showed that iNNV elicited both innate and acquired immune responses but at low
346 levels. Thus, at humoral level, a substantial increase in innate antimicrobial activities
347 and specific NNV-IgM serum levels was recorded 30 dpv, with the exception of the
348 serum antiviral function, which increased from 15 dpv onwards. Both bactericidal and
349 antiviral activities demonstrate the existence of unspecific factors that were induced by
350 the iNNV vaccine, probably complement, AMPs or even natural IgM. Similarly, NNV
351 infection has been demonstrated to increase bactericidal and/or alternative complement
352 activities in European sea bass (Mauri et al., 2011; Valero et al., 2015b), while some
353 AMPs have also shown direct lytic/agglutinating activity against NNV *in vitro* (Chia et
354 al., 2010). Unfortunately, such observations have never been described after the
355 vaccination of fish with NNV vaccines. In addition, ELISA demonstrated that serum
356 IgM specific to NNV had increased by 30 dpv, which could also have contributed to the
357 anti-viral activity by activating the classical complement system, agglutinating or
358 neutralizing infective NNV viral particles. Furthermore, anti NNV-IgM production and
359 the antiviral function observed after iNNV vaccination suggest that the alterations
360 produced by UV affected viral replication but not the capsid conformation or epitopes
361 needed to induce the generation of neutralizing specific antibodies, as happens with
362 heat-denaturalized NNV vaccines, since the NNV structure of the capsid protein, or at
363 least the major epitopes, are thought to be heat-sensitive (Gye et al., 2018). All these
364 data taken together demonstrate that iNNV vaccine increased both innate and specific
365 humoral immunity against NNV and the search for these factors would help to
366 understand the vaccination process and efficacy.

367 At transcriptional level, most of the immune-related genes related to humoral
368 and cellular immunity in head-kidney were down-regulated or remained unaltered after
369 iNNV vaccination. Thus, apart from the absence of any up-regulation of IFN related
370 genes, the transcription levels of genes involved in antigen recognition (*mhc1a* and

371 *mhc2b*) and participating in T and B cell responses (*cd8a* and *ighm*, respectively)
372 decreased at different time points post-vaccination, while those related to CMC and type
373 II IFN were unaltered. These could indicate that cells presenting iNNV antigen and T
374 and B cells are trafficked from the haematopoietic tissue to other tissues, as occurs upon
375 bacterial infection (Chaves-Pozo et al., 2005), a hypothesis that merits further
376 investigation. Similarly, inactivation of ISAV resulted in the limited or no activation of
377 salmon immunity at gene level of transcripts related to IFN, MHC, B and T cells, while
378 specific antibodies were or were not generated with the formalin- or the UV-inactivated
379 vaccines, respectively (Lauscher et al., 2011; Rivas-Aravena et al., 2015). When the
380 European sea bass juveniles were challenged after iNNV vaccination, survival was
381 significantly higher at the end of the challenge.

382 Our data reflect a noticeable degree of protection from the disease (RPS of
383 57.9%). Although this RPS is not optimal it is comparable to that obtained in other
384 studies using NNV-inactivated particles, VLPs or even DNA vaccines (Kai and Chi,
385 2008; Núñez-Ortiz et al., 2016; Thyéry et al., 2006; Valero et al., 2016b; Vimal et al.,
386 2014). Interestingly, upon vaccination and challenge, bactericidal, antiviral and NNV
387 specific IgM serum levels were further improved compared to unchallenged specimens,
388 suggesting an immune response consisting of both innate and specific responses. In
389 addition, upon NNV challenge of iNNV vaccinated fish, apart from the up-regulation of
390 some type I IFN genes (*ifn*, *mx* and/or *isg15*) in brain and head-kidney, antigen
391 presentation and *cd8a* genes were up-regulated in the brain and down-regulated in the
392 head-kidney, which is in sharp contrast to what happened in salmon vaccinated and
393 challenged with ISAV (Lauscher et al., 2011; Rivas-Aravena et al., 2015). This could be
394 responsible for i) a lower viral load in the central nervous tissue due to a more rapid
395 immune response that lead to a low level of disease symptoms and increased survival;
396 and ii) cell trafficking from head-kidney to the brain tissue of antigen presenting cells
397 and CTLs. This suggests that T cytotoxic lymphocytes are recruited from the head-
398 kidney to the brain, where the local CMC is activated. However, the transcription of
399 important genes related to CMC such as perforin, granzyme A or the type II IFN (IFN γ)
400 was not up-regulated in brain or head-kidney. This suggests that CTLs are recruited to
401 the brain, although they are not able to kill NNV-infected cells as their specific
402 mechanism is in some way impaired, an observation that has been already been
403 documented in the case of sea bass leucocytes *in vitro* and which seems to be the reason
404 for the high susceptibility of sea bass compared with other fish species (Chaves-Pozo et
405 al., 2017). The up-regulation of *cd8a* and *mhc1a* in the brain suggests an enhancement
406 of the brain's potential to process the virus and recruit CTLs in vaccinated fish
407 compared to non-vaccinated fish. These observations support the hypothesis of the
408 CMC, either innate or adaptive, being one of the most relevant immune responses
409 against NNV. Thus, the transcription of CMC-related genes is increased upon NNV
410 challenge (Chang et al., 2011; Valero et al., 2018) or vaccination (Kai et al., 2014;
411 Valero et al., 2016b). Interestingly and in agreement with our data, specific CMC
412 activity has been described as acting in an MHC-I restricted form and is stimulated
413 upon reinfection in groupers (Chang et al., 2011). This would explain why *mhc1a* and

414 *cd8a* gene expression was up-regulated in vaccinated fish upon challenge and not upon
415 vaccination. Although there are no other studies in fish relating UV-inactivated vaccines
416 with CMC function, UV-inactivated Cas virus has been seen to stimulate T-cell
417 responses in mice (Sarzotti et al., 1994), as seems to be the case in European sea bass.
418 Thus, this work reports the safety of UV as a viable method for developing inactivated
419 vaccines for fish.

420 In conclusion, the UV-inactivated vaccine developed against NNV, stimulated
421 the anti-NNV function, specific antibodies and disease protection when administered
422 intraperitoneally to healthy European sea bass juveniles, with little changes in the
423 transcription of important immune-related genes. Interestingly, upon NNV challenge,
424 iNNV vaccination of fish further increased antiviral activity and up-regulated the
425 transcription of type I IFN-related genes and CTL marker accompanied by an increase
426 in NNV-specific IgM levels in serum, resulting in an improvement of fish survival rates.
427 Further studies on the immunity primed by UV-inactivated viral vaccines would help to
428 improve their efficacy in fish and against NNV in particular.

429

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437

438 **Conflict of interest**

439 The authors declare no conflict of interests.

440

441 **7. References**

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622

623 **Figure legends**

624 **Figure 1:** NNV was completely inactivated by UV exposure (iNNV). **A)** iNNV was
625 cultured for 2 consecutive passages on E-11 cell cultures and observed under a phase
626 contrast microscope. Inset corresponds to the positive control incubated with NNV.
627 Bars correspond to 100 μ m. **B)** PCR detection of NNV after the first and second
628 passage of iNNV on E-11 cell cultures. NT, no template; C+, NNV positive culture. **C-**
629 **E)** Expression of *mx*, *stat1* and *isg15* genes in the head-kidney of European sea bass
630 specimens 1, 15 and 30 days after intraperitoneal injection (dpv) with PBS (Control) or
631 UV-inactivated vaccine (iNNV). Data represent the mean \pm SEM (n = 6/group and
632 time).

633 **Figure 2:** iNNV vaccine induces both innate and specific humoral immunity.
634 Bactericidal activity (A), antiviral activity against NNV (B) and specific anti-NNV IgM
635 levels (C) in the serum of European sea bass specimens 1, 15 and 30 days after
636 intraperitoneal injection with PBS (Control) or UV-inactivated vaccine (iNNV). Data
637 represent the mean \pm standard error of the mean (SEM; n = 6/group and time). Asterisks
638 represent statistical differences between control and iNNV groups according to the t-
639 Student test (P<0.05).

640 **Figure 3:** iNNV vaccination produces little changes at transcriptional level. Expression
641 of immune-related genes in the head-kidney of European sea bass specimens 1, 15 and
642 30 days after intraperitoneal injection (dpv) with PBS (Control) or UV-inactivated
643 vaccine (iNNV). Data represent the mean \pm SEM (n = 6/group and time). Asterisks

644 represent statistical differences between control and iNNV groups according to the t-
645 Student test ($P < 0.05$). ND, not detected.

646 **Figure 4:** Vaccine iNNV produces partial protection. Cumulative mortality in
647 intraperitoneally vaccinated European sea bass juveniles after intramuscular injection
648 with 10^6 TCID₅₀ NNV per fish 30 days after intraperitoneal injection with PBS
649 (Control) or UV-inactivated vaccine (iNNV). Curves show the mean mortality \pm SEM
650 ($n = 2$ replicates). Statistical analysis to determine differences between curves was
651 performed by one-way ANOVA followed by Tukey's post-hoc analysis. Different
652 letters indicate significant differences ($P < 0.05$) among groups.

653 **Figure 5:** Challenge elicits both innate and specific humoral immunity in vaccinated
654 sea bass. Bactericidal activity (A), anti-NNV activity (B) and specific anti-NNV IgM
655 levels (C) in the serum of European sea bass specimens 2 days after intramuscular
656 injection with 10^6 TCID₅₀ NNV per fish [32 days after intraperitoneal vaccination with
657 PBS (Control) or UV-inactivated vaccine (iNNV)]. Data represent the mean \pm SEM (n
658 = 6/group and time). Asterisks represent statistical differences between control and
659 iNNV groups according to the t-Student test ($P < 0.05$).

660 **Figure 6:** iNNV vaccination produce little changes at transcriptional level upon
661 challenge. Expression of immune-related genes in the brain (A) and head-kidney (B) of
662 European sea bass specimens 2 days after intramuscular injection with 10^6 TCID₅₀
663 NNV per fish [32 days after intraperitoneal vaccination with PBS (Control) or UV-
664 inactivated vaccine (iNNV)]. Data represent the mean \pm SEM ($n = 6$ /group and time).
665 Asterisks represent statistical differences between control and iNNV groups according
666 to a t-Student test ($P < 0.05$). ND, not detected.

667

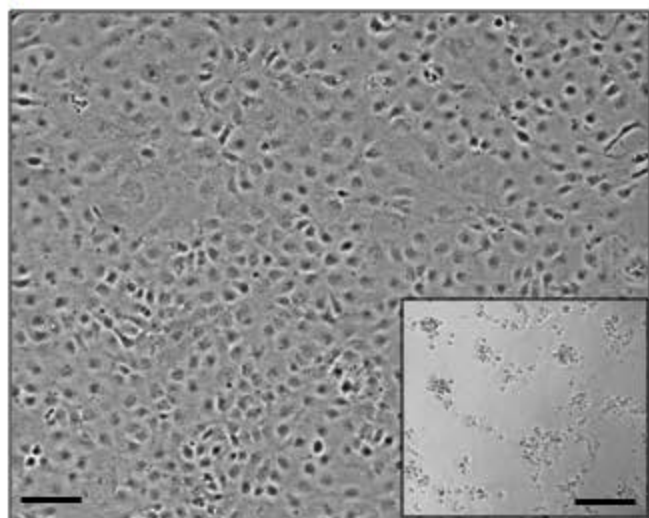
668 **Table 1:** Gene accession numbers and primer sequences used for gene expression
 669 analysis.

Immune function	Name	Gene	Ac. number	Sequence (5'3')
-	Nodavirus capsid	<i>cp</i>	D38636	CGTGTCAGTCATGTGTCGCT CGAGTCAACACGGGTGAAGA
Type I and II IFN pathway	Type-I interferon	<i>ifn</i>	AM765847	GGCTCTACTGGATACGATGGC CTCCCATGATGCAGAGCTGTG
	IFN-induced GTP-binding protein	<i>mx</i>	AM228977, HQ237501, AY424961	GAAGAAGGGCTACATGATCGTC CCGTCATTGTAGAGAGTGTGGA
	Signal transducer and activator of transcription 1	<i>stat1</i>	DLAgn_00136580	ACCGTCCGCTGTCTATTGACTA CAGATGCCCCAGCGAAACC
	Interferon-stimulated gene 15	<i>isg15</i>	HG916840	CGACATCATCCGCACCTACA AGGCCTTGTCTTTGGGGATG
	Interferon gamma	<i>ifng</i>	KJ818329	TCAAGATGCTGAGGCAACAC AGTGCTTTGCTCTGGACGAC
Antigen presentation	Major histocompatibility complex 1a	<i>mhc1a</i>	AM943118	GGACAGACCTTCCCTCAGTG TCCAGATGAGTGTGGCTTTG
	Major histocompatibility complex 2b	<i>mhc2b</i>	AM113466	CAGAGACGGACAGGAAG CAAGATCAGACCCAGGA
B cell markers and immunoglobulins	Immunoglobulin mu heavy chain	<i>ighm</i>	FN908858	AGGACAGGACTGCTGCTGTT CACCTGCTGTCTGCTGTTGT
	Immunoglobulin tau heavy chain	<i>ight</i>	FM010886	TCACTTGGCAAATTGATGGA AGAACAGCGCACTTTGTTGA
T cell markers	T-cell receptor beta chain	<i>trcb</i>	FN687461	GACGGACGAAGCTGCCCA TGGCAGCCTGTGTGATCTCA
	Cluster of differentiation 8a	<i>cd8a</i>	AJ846849	CTGTCCTCCGCTCATACTGG TTGTAATGATGGGGGCATCT
	Cluster of differentiation 4	<i>cd4</i>	AM849812	ATTCTTTGCTAAGCCAGGCG CATTGTCTTGGTCTGGCGTC
Cell-mediated cytotoxicity	Perforin	<i>prf</i>	KY801204	CTGTACAACGGGCTTCTGGT ACTGGAGAACGTTGGACCAC
	Granzyme A	<i>gzma</i>	KJ818347	TCCCTGCTATGATGCAACTG ATTTACCGTCTTGGTTTGC
Housekeeping genes	Elongation factor 1 alpha	<i>ef1a</i>	FM019753	CGTTGGCTTCAACATCAAGA GAAGTTGTCTGCTCCCTTGG
	Ribosomal protein L13 alpha	<i>l13a</i>	DT044539	GCGAAGGCATCAACATCTCC AGACGCACAATCTTGAGAGCAG

670

FIGURE 1

A)



B)

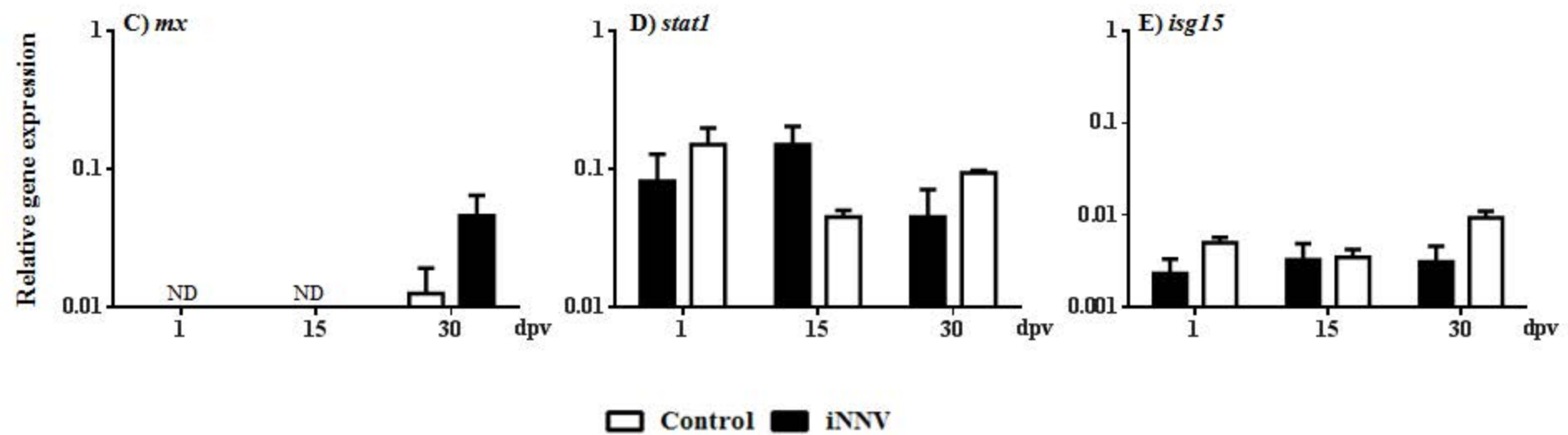
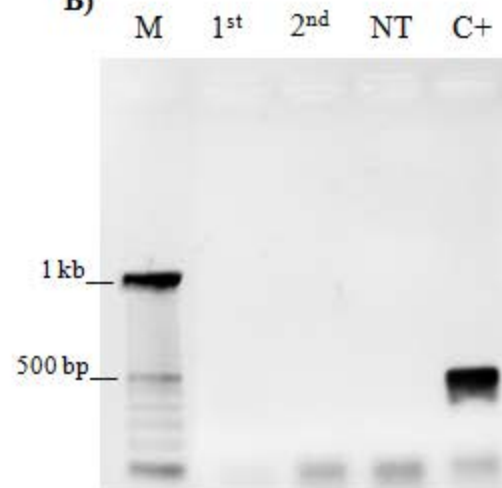


FIGURE 2

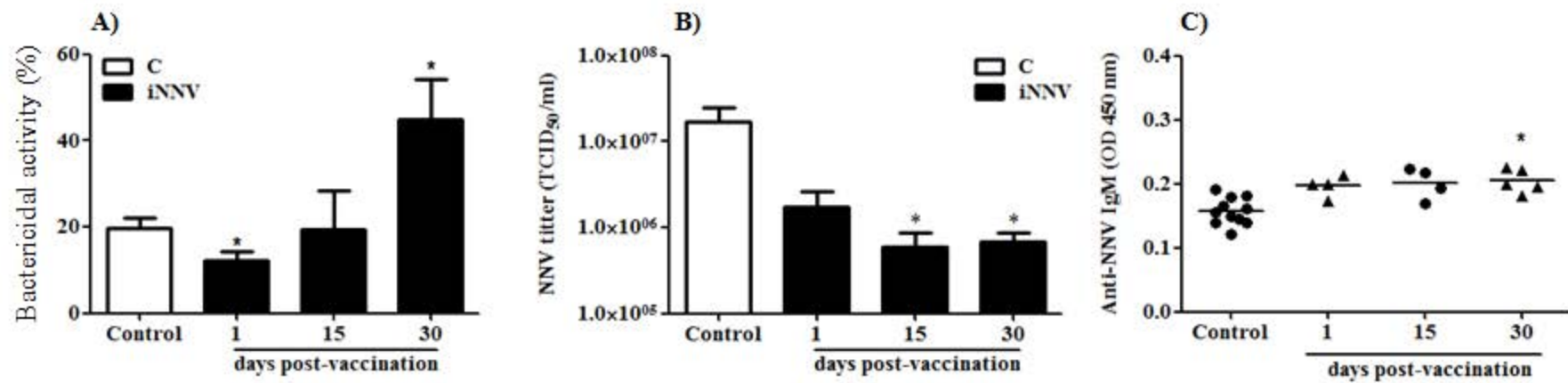


FIGURE 3

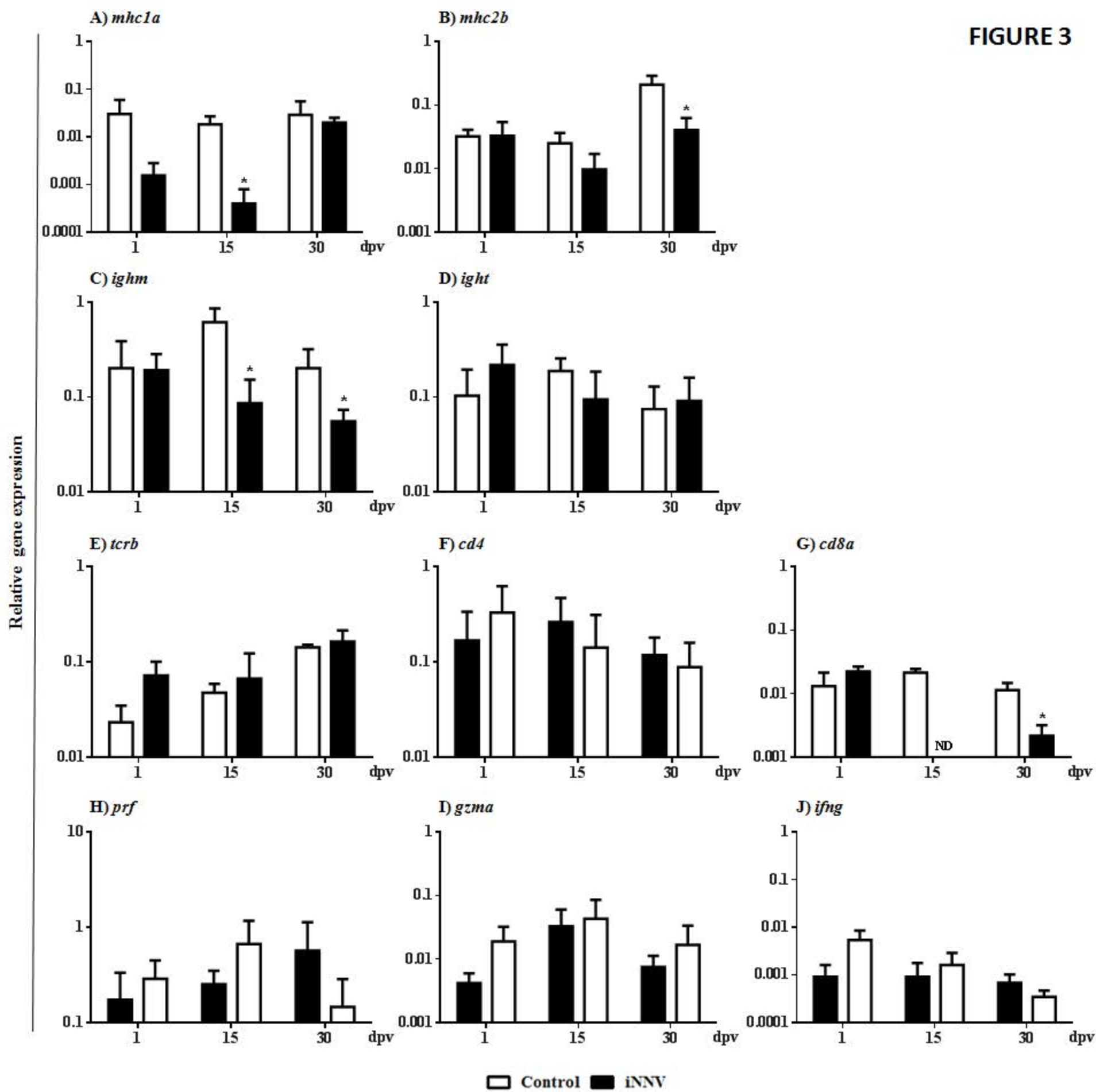


FIGURE 4

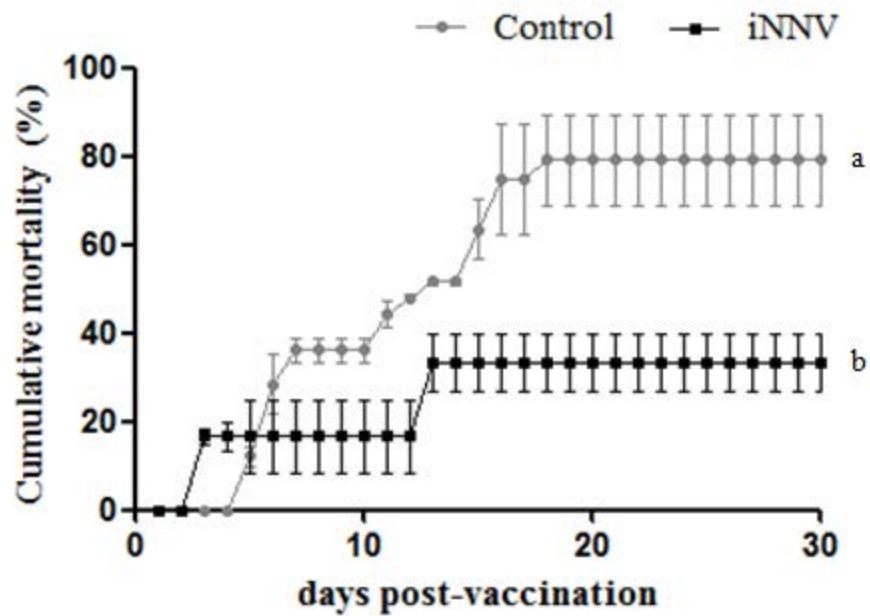


FIGURE 5

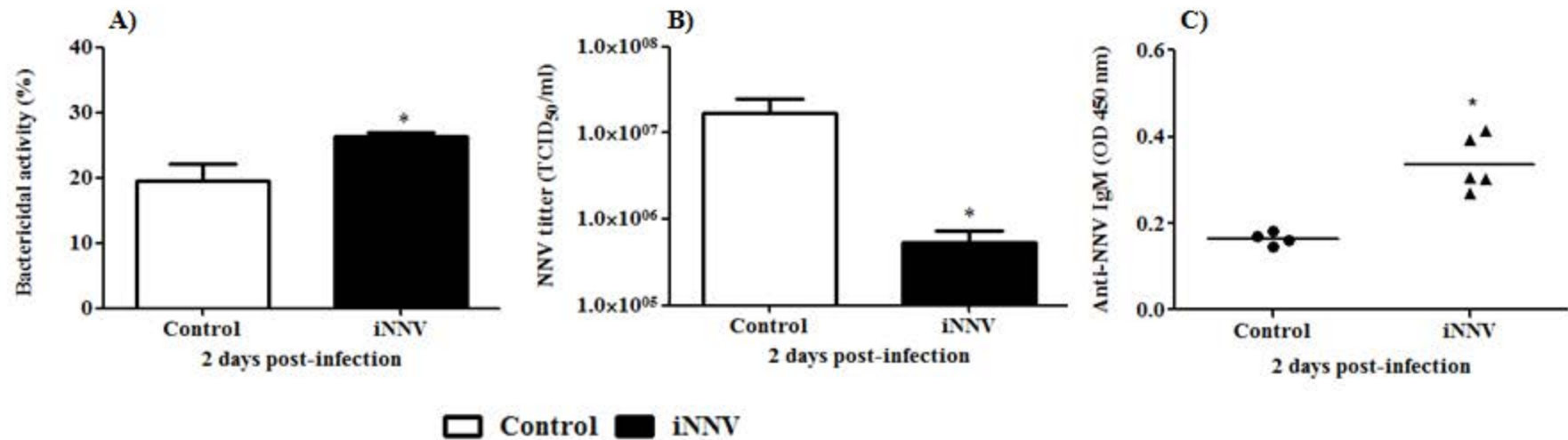


FIGURE 6

