1	Title: Balanced levels of nerve growth factor are required for normal pregnancy progression
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3	Running head: Disturbances in NGF levels compromise pregnancy
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27 Abstract

28 Nerve growth factor, the first identified member of the family of neurotrophins, is thought to play a 29 critical role in initiating the decidual response in stress-challenged mouse pregnancies. However, the 30 contribution of this pathway to physiological events during the establishment and maintenance of 31 pregnancy remains largely elusive. Using alternatively NGF depletion and supplementation 32 strategies, we here show that successful mouse pregnancy is sensitive to disturbances in NGF 33 concentrations. Administration of NGF further boosted fetal loss rates in the high abortion CBA/J x 34 DBA/J mouse model by amplifying a local inflammatory response through recruitment of NGF-35 expressing immune cells, increased decidual innervation with substance P⁺ fibers and a Th1 cytokine 36 shift. Likewise, treatment with an NGF neutralizing antibody in BALB/c mated CBA/J mice, a normal 37 pregnancy model, also induced abortions associated with increased infiltration of tropomyosin kinase 38 receptor A expressing NK cells to the decidua. Importantly, in neither of the models pregnancy loss 39 was linked to defective ovarian function, angiogenesis or placental development. We further 40 demonstrate that spontaneous abortion in humans is associated with up-regulated synthesis and an 41 aberrant distribution of NGF in placental tissue. Thus, a local threshold of NGF expression seems to 42 be necessary to ensure maternal tolerance in healthy pregnancies, but when surpassed may result in 43 fetal rejection due to exacerbated inflammation.

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53 Introduction

54 Neurotrophins (NTs) are a family of peptide growth factors sharing structure homology and 55 physiological function which are essential for the development of the mammalian nervous system by 56 virtue of their trophic effects on neuronal cells (Lindsay, et al. 1994). Among NTs, nerve growth factor 57 (NGF) plays a pivotal role controlling the differentiation and survival of peripheral sympathetic and 58 sensory nerve fibers as well as the functionality of cholinergic neurons (Aloe, et al. 2012, Lindsay, et 59 al. 1994). The mature NGF molecule results from proteolysis of a precursor form (proNGF), which is 60 also bioactive and exerts both pro-apoptotic and neurotrophic effects during development and adult 61 life (Fahnestock, et al. 2001, Fahnestock, et al. 2004). Both forms exert their biological activities upon 62 ligation of the specific tropomyosin kinase receptor A (TRKA), which is a typical tyrosine kinase 63 receptor (Huang and Reichardt 2003); as well as of the low-affinity and non-selective p75 pan-64 neurotrophin receptor (p75NTR). Neurotrophic effects of NGF boosted upon cobinding of TRKA and 65 p75NTR, whereas the latter receptor has been found to promote apoptosis especially when bound to 66 proNGF (Friedman and Greene 1999, Schor 2005).

67 Originally studied in neuronal cells, it has now become evident that NTs exert important functions in 68 a variety of tissues including the endocrine, immune and reproductive systems (Tessarollo 1998). 69 Pleiotropic effects of this pathway include, for instance, the control of foliculogenesis and ovarian 70 function (Chaves, et al. 2013) and the regulation of physiological and pathological angiogenesis 71 through interactions with the vascular endothelial growth factor (VEGF) system (Hansen-Algenstaedt, 72 et al. 2006, Nico, et al. 2008). Additionally, studies analysing the expression profile of NGF and its 73 receptors at the fetal-maternal interface point out to a pivotal role of this pathway in the 74 establishment of balanced immune-endocrine interactions during pregnancy. The most important 75 insights on this role arise from studies in mice, in which NGF expression occurs mainly in decidual 76 tissue, peaking at early post-implantation stages (i.e., E7.5) and declining thereafter (Kanai-Azuma, et 77 al. 1997). Interestingly, decidual NGF and TRKA expression is markedly up-regulated in the CBA/J x 78 DBA/2J model of stress-induced immunological abortion (Tometten, et al. 2004), and the detrimental

79 effects of stress exposure during early pregnancy can be abolished in these mice by specific blocking 80 of NGF signalling with an anti-NGF antibody (Tometten, et al. 2006). This local increase of NGF in 81 stress-challenged pregnancies is associated with neurogenic inflammation involving two stages: i) 82 stress exposure is translated in the increase of local NGF production and release of inflammatory 83 neuropeptides (i.e. substance P, SP) from decidual sensory nerves, enhancing leukocyte trafficking 84 and ii) NGF levels are amplified through the recruitment of NGF-producing immune cells, promoting 85 an increase in SP⁺ nerve fibers and ultimately leading to an inflammatory environment characterized 86 by up-regulation of Th1 cytokines and adhesion molecules which causes fetal resorption (Tometten, 87 et al. 2006).

88 Besides its role mediating the stress response to disrupt pregnancy maintenance, little information is 89 currently available on the influence of NGF signalling in physiological events at the maternal-fetal 90 interface. In mice, maximal levels of NGF expression are detected on E7.5 coinciding with the onset 91 of placentation, and it was indeed demonstrated that NGF could promote the differentiation of 92 trophoblast giant cells in vitro presumably by a p75NTR-mediated mechanism (Kanai-Azuma, et al. 93 1997). A similar role may be anticipated in humans, since expression of NGF peptide is detected both 94 in the decidua and the placenta, localizing to syncytiotrophoblast cells, the chorionic mesoderm and 95 maternal endothelial cells (Toti, et al. 2006). Thus, controlled NGF expression at the fetal-maternal 96 interface seems to be important for physiological events such as decidualization and placentation 97 that determine successful pregnancy outcomes. To investigate this hypothesis, we analysed the 98 effects of either NGF administration or deprivation in two mouse pregnancy models and assessed 99 NGF expression in human normal pregnancy and spontaneous abortion patients. Our data suggest 100 that disturbances in NGF concentrations at the fetal-maternal interface can compromise the 101 maintenance of healthy pregnancies.

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105 Materials and Methods

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107 Animals

Mice (6 to 8 weeks old) were purchased from Charles River (Sulzfeld, Germany) and maintained in a
barrier animal facility with a 12 h light/dark cycle. Animal care and experimental procedures were
followed according to institutional guidelines and conformed to requirements of the state authority
for animal research conduct (LaGeSo, G0134/07, Berlin). In this study, two animal models were used:
1) normal allogeneic pregnancy CBA/J females mated with BALB/c males and 2) a high abortion rate
mouse model DBA/2J mated CBA/J female mice. The presence of a plug was designated as embryonic
day (E) 0.5.

115

116 *NGF* treatment

DBA/2J or BALB/c mated CBA/J female mice were treated with NGF (20µg/mouse/day, Sigma Aldrich, Germany; (Joachim, et al. 2007)) administered i.p. on E5.5 and 6.5. On E7.5 and 13.5 mice from the respective groups (n=6 animals/E) were sacrificed and uterine tissue from whole implantation sites was processed for histological sectioning. In addition, some of the tissues on E7.5 were used for isolation of different leukocytes subsets. Gestation day matched control animals were treated likewise receiving single i.p. injections of vehicle (phosphate buffered saline , PBS).

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124 NGF neutralization

After overnight cohabitation with BALB/c males, CBA/J females with vaginal plugs (E0.5) were segregated and randomized to two different treatment groups. The control group (n=6) received i.p. injections of 200 µl non-immune rabbit serum (3.2µg/Kg BW, Sigma Aldrich, Germany) in PBS from E2.5 to 6.5. A second group (n=6) was injected i.p. with neutralizing antiserum against NGF (3.2 µg/kg BW, Sigma Aldrich, Germany) daily between E2.5 and 6.5 as previously described (Tometten, et al. 2006). On E7.5 and 13.5 mice from the respective groups (n=6/E) were sacrificed and uterine tissue 131 from the implantation sites was processed for histological sectioning. In addition, some of the tissues

132 on E7.5 were used for isolation of different leukocytes subsets.

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134 Fetal resorption rate

Mice sacrificed on E13.5 were analysed and the total number of implantations and resorption sites (= abortions) were recorded. The resorption sites were identified by their small size and necrotic hemorrhagic appearance compared to normal embryos and placentas. The fetal resorption rate was calculated as the ratio of resorption sites and total implantation sites (resorptions + normal implantation sites), as described previously (Tometten, et al. 2006).

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141 Study patients

For the analysis of NGF, TRKA, p75NTR expression, placental tissue was obtained from patients undergoing elective termination of pregnancy during the first trimester (8–12 weeks of gestation, NP samples) and from spontaneous abortions (SA samples). Characteristics of the recruited participants are summarized in (Table 1). Samples were processed immediately after collection for the isolation of trophoblast cells and histological sectioning. Informed written consent was obtained from all patients before their inclusion in the study, which was approved by the local ethics committees of Geneva University Hospital.

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150 Histology

For histological analysis, ovaries on E7.5 and whole implantations on E13.5 were fixed with 10% buffered formalin, dehydrated in ethanol, embedded with paraffin, and stained following Hematoxylin and Eosin (H&E) protocol. Briefly, samples were washed 5 min in TBS buffer followed by incubation in Mayer's Haematoxylin for 12 min at room temperature (RT). Slides were then washed in tap water for 15min and incubated in Eosin for 20min. This was followed by dehydration through ethanol 100% (2 times, 2min each) and xylene (2 times, 5 min each) and mounting in Vitro-Clud (R.

157	Langenbrinck, Germany). Tissue sections were examined using a light microscope (Axiophot) and
158	photographs taken with Axio Cam HRc. Photo documentation was performed using the digital image
159	analysis system Spot advanced software, version 8.6 (Visitron Systems).
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161	Purification of Cytotrophoblast (CTB) and extravillous cytotrophoblast (EVT) cells
162	Trophoblast cells were isolated by immunopurification as described previously (Tirado-Gonzalez, et
163	al. 2013). Identification of CTB was based on cytokeratin 7 positivity and absence of vimentin
164	expression. Isolated EVT were identified as cytokeratin 7 and HLA-G positive, vimentin negative cells.
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166	Enzyme-Linked Immunosorbent Assay (ELISA)
167	Serum samples from E7.5 were tested in competitive ELISA using kits obtained from R&D Systems to
168	quantify VEGF-A (Duoset mouse VEGF, cat DY493) following the manufacturer's recommendations.
169	The quantification of progesterone levels in serum were determined using rat/mouse progesterone-
170	EIA kit (DRG Diagnostics, Germany, cat EIA-5486) following the manufacturer's recommendations.
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172	Endoglin staining
173	Uterine tissue sections from E7.5 were stained following our standard protocol (Blois, et al. 2007).
174	Briefly, slides were washed 3 times in TBS for 5 min, blocked with 2% normal serum for 20 min and
175	incubated overnight at 4°C with the primary anti-endoglin Ab (1:100, Santa Cruz Biotechnology).
176	Negative controls were established by replacing the primary Ab with irrelevant IgG. After washing,
177	endoglin stained sections were incubated 1h at RT with TRITC-conjugated secondary antibodies
178	(Jackson ImmunoResearch). Sections were analyzed using a confocal laser scanning microscope (cLSM
179	510, Carl Zeiss).
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181 RNA Isolation and Quantitative Real-Time PCR

182 Total RNA was extracted from isolated CTB (first and third trimester) and EVT using the RNeasy mini 183 kit (Qiagen, Germany), whereas total RNA from mouse implantation site tissues on E7.5 was 184 extracted using the Nucleospin RNA/protein isolation kit (Macherey-Nagel). After DNase digestion 185 (Invitrogen, Germany), cDNA was generated using random primers (Invitrogen) followed by 186 quantitative real-time RT-PCR performed on the TaqMan 7500 System (Applied Biosystems). For each 187 reaction, 1µL cDNA, synthesized from 1µg RNA in 25µL, was used in a total volume of 12µL 188 containing 6.25µL of Power SYBR Green PCR mastermix (Applied Biosystems), 3.75µL DEPC water and 189 450nM of the appropriate forward and reverse primer. Primer sequences were as follows: NGF 190 forward 5'-TGAAGCTGCAGACACTCAGG-3'; NGF reverse 5'-CTCCCAACACCATCACCTCC-3'; TRKA 191 forward 5'-CATCGTGAAGAGTGGTCTCCG-3'; TRKA reverse 5'-GAGAGAGACTCCAGAGCGTTGAA-3'; 192 P75NTR forward 5'-TGGGCAGGACCTCAGAGTCC-3'; P75NTR reverse 5'-TTCCTCCCTCTGAGTCTCTG-3'; 193 5'-TACGGGTCCTGGCATCTTGT-3'; CYCLOPHILINA forward CYCLOPHILINA reverse 5′-194 CCATTTGTGTGGGTCCAGC-3'; Vegf forward 5'-ATCTTCAAGCCGTCCTGTGT-3'; Vegf reverse 5'-195 GCATTCACATCTGCTGTGCT-3', Flt1 forward 5'-CGGAAGGAAGACAGCTCATC -3'; Flt1 reverse 5'-196 CTTCACGCGACAGGTGTAGA-3'; Hprt forward 5'- GTTGGATACAGGCCAGACTTTGT-3' and Hprt reverse 197 5'-CACAGGACTAGAACACCTGC-3'. Relative expression of NGF, TRKA, p75NTR, Vegf and Flt1 was 198 calculated according to the equation Rel. Exp (RE)= 2-DCt. The obtained Ct value of each gene of 199 interest was normalized to the Ct of the reference genes (Human: CYCLOPHILINA) or (Mouse: Hprt) 200 as follows: Ctnorm = Ctgoi - Ctref with norm = normalized, goi = gene of interest, and ref = reference 201 gene.

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203 Immunofluorescence staining for NGF, TRKA and p75NTR

204 Cytospins or mouse and human cryostat sections (8μm) were stained using a standard 205 immunofluorescence protocol. Primary polyclonal antibodies [anti- NGF (cat. sc-549; 1:100), anti-206 TRKA (cat. sc-118; 1:100) and anti-p75NTR (cat. sc-5634; 1:100) acquired from Santa Cruz, 207 Biotechnology, Germany] were incubated overnight at 4° C in a humidity chamber, after which 208 binding was detected using a rhodamine-labeled secondary antibody (Dianova, Hamburg, Germany; 209 1:200). Nuclei were counterstained with 4',6-Diamidino-2-phenylindole (DAPI). After washing, all 210 sections were mounted and stored at -20°C until analyzed. Negative controls in which the primary 211 antibody was replaced with irrelevant goat IgG showed no specific immunoreactivity. Sections were 212 examined by two independent persons blinded with regard to the treatment of the mice at x400 213 magnification under a Zeiss Axioscope fluorescence microscope. Photo documentation was 214 performed using digital image analysis system (Spot advanced software, version 3.5.2; Visitron 215 Systems; Puchheim, Germany).

216

217 NGF and TRKA immunohistochemistry in Human specimens

218 Sections of paraffin-embedded tissue (n=16 normal pregnancy and n=15 spontaneous abortion) were 219 cut at 4µm, deparaffinised, rehydratated and washed in Tris-buffered saline (TBS), followed by 220 blocking of endogenous peroxidase through incubation with 3% H₂O₂ in methanol for 30 min at RT. 221 After incubation with 2% normal serum for 20 min, rabbit anti-human NGF or TRKA IgG (1:200, Santa 222 Cruz Biotechnology, Heidelberg, Germany) were incubated overnight (ON) at 4°C. The slides were 223 then washed and incubated with goat anti-rabbit HRP-conjugated secondary Ab (1:200, Jackson 224 ImmunoResearch, Germany) for 1h at RT followed by detection with 3,3'-diaminobenzidine (DAB) 225 chromogen (DAKO, Germany). After washing, nuclei were counterstained with 0.1% Mayer's 226 hematoxylin followed by a standard dehydration procedure and mounting in Vitro-Clud medium (R. 227 Langenbrinck, Germany). Negative controls were established by replacing the primary antibody with 228 an equal concentration of irrelevant rabbit IgG.

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230 SP and CGRP Staining

DBA/2J or BALB/c mated CBA/J female were perfusion-fixed using a mixture of paraformaldehyde
 and picric acid (Peters, et al. 2002). SP⁺ and CGRP⁺ nerve fibers were determined in 14μm thick
 sections. Primary antibody binding (SP antiserum, monoclonal; Chemicon, Temecula, CA, 1:100, CGRP

234	antiserum, monoclonal, Chemicon, Temecula, CA) was detected by a rhodamine-labelled secondary
235	antibody (Dianova, Hamburg, Germany, dilution, 1:200). Nuclei were counterstained with DAPI and
236	mast cells with fluorescein-labelled streptavidin (Botchkarev, et al. 1997).

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238 **Preparation of uterine cell suspensions**

239 In order to obtain suspensions of uterine leukocytes for NGF and TRKA characterization by 240 immunofluorescence, a method described previously (Tometten, et al. 2006) was used. Briefly, uteri 241 were collected, washed with sterile PBS, carefully cut into small pieces, collected in tubes containing 242 HBSS and digested for 20 min at 37°C under slight agitation with 200 U/ml hyaluronidase, 1 mg/ml 243 collagenase, 1 mg/ml BSA/fraction V (all Sigma, Germany) and 0.2 mg/ml DNase I (Boehringer 244 Mannheim GmbH, Germany). The isolated cells were then collected in a fresh tube through a 100 μ m 245 net (Becton Dickinson, San Francisco, USA) and washed with RPMI 1640 containing 10% fetal bovine 246 serum (FBS). The procedure was repeated twice, with HBSS medium containing no cocktail of 247 enzymes. Individual leukocyte populations were isolated using Miltenyi Biotec immunomagnetic kits 248 $(CD45^{+}, CD4^{+}, CD8^{+}, CD11c^{+} \text{ or } CD49b^{+}).$

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250 **NGF stimulation in vitro**

Leukocyte subsets from uterine cell suspensions from BALB/c or DBA/2J mated CBA/J females obtained on E8.5 were seeded (2×10⁵ cells per well) in 96-well plates and stimulated with NGF (0, 10 and 20ng/ml, Sigma Aldrich, Germany) for 48h. Cultures were performed at 37°C in a 5% CO2 atmosphere in RPMI 1640 supplemented with antibiotic (50U/ml penicillin and 50 µg/ml streptomycin), 2g/L sodium bicarbonate, 2mM L-glutamine, 1mM pyruvate and 10% fetal calf serum (FCS). Supernatants were stored at -80°C until cytokines analysis by cytometric bead array (CBA).

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258 Cytokine determination

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Cytokines (TNFA, IFNG, IL6 and IL10) were analyzed in cell culture supernatants using cytometric
bead arrays (BD Biosciences, Heidelberg, Germany) as previously described (Blois, et al. 2007).
Statistical analysis
The number of animals included in each experimental group was indicated accordingly. Data are
presented as median from three replicate experiments. Statistical significance was determined using
the nonparametric Mann-Whitney U test, with a P value of less than 0.05 being considered as
significant. Statistical analysis was carried out with GraphPad Prim 5.0 (GraphPad Software Inc.).
Results
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DBA/J mouse model In the CBA/J x DBA/J mating combination, exposure to stress (i.e., to sonic stimulation) on E5.5 provokes a spontaneous abortion syndrome previously shown to be associated with up-regulation of NGF signalling and features of neurogenic inflammation (Tometten, et al. 2006, Tometten, et al. 2004). Thus, our first aim was to analyse the effect of NGF treatment during early stages of pregnancy (i.e., E5.5) in DBA/J mated CBA/J female mice (Fig. 1A). As shown in Fig 1B, NGF treated females displayed significantly increased abortion rates with respect to controls, accompanied by a reduction in the number of total implantation sites as evidenced on E13.5. By contrast, administration of NGF to BALB/c mated CBA/J mice (which represent a normal allogeneic pregnancy

dysregulated angiogenic growth factor expression during the peri-implantation period, as local

expression of VEGF/Flt1, serum VEGF levels (Fig. 1D) and the distribution of the endothelial
activation marker endoglin (Fig. S1A) recorded on E7.5 were similar in NGF treated and control mice.
Likewise, no signs of defective ovarian function were apparent upon administration of NGF, with

similar progesterone levels and ovarian histology in control and NGF treated mice on E7.5 (Fig. S1B).
Histological analysis of E13.5 implantation sites further showed that administration of NGF did not

289 cause significant alterations in placental structure (Fig. S1C).

290 We next evaluated decidual immune cell subsets to further investigate if, as reported for stress-291 challenged CBA/J x DBA/J mice, NGF induced abortions were related to local neurogenic 292 inflammation. As shown in Fig. 1E, NGF treatment led to a significant increase in the frequency of 293 decidual lymphocytes expressing NGF (CD45⁺ NGF⁺ cells) on E7.5, particularly of the CD8⁺ NGF⁺ (Fig. 294 1E, right panel) and CD4⁺ NGF⁺ T cell subsets (Fig. S1D, left panel). In contrast, no differences were 295 observed in the abundance of decidual NGF-expressing DC (CD11c⁺) and NK cells (CD49b⁺) (Fig. S1D, 296 middle and right panels). Furthermore, treatment with NGF led to a significant up-regulation of TRKA 297 expression in decidual lymphocytes (both CD4⁺ and CD8⁺ cells, Fig. S1E). Regarding NGF receptor 298 expression, increased TRKA levels were observed on decidual DC, NK cells and CD45⁺ lymphocytes 299 from NGF-treated mice (Fig. 1F, right panel), whereas p75NTR expression levels in the decidua did 300 not differ from controls (Fig. S1F).

301 To gain insight into the mechanisms involved in the pathogenesis of NGF induced abortions, we next 302 analysed the profile of Th1/Th2 cytokines secreted by uterine cells upon NGF stimulation in vitro. As 303 displayed in Fig. 1G, uterine cells secreted significantly increased levels of IFNG and IL6 in response to 304 NGF, whereas levels of TNFA showed no differences with respect to control cells (Fig. 1G, left and 305 middle panels). In contrast, stimulation with NGF led to a dose-dependent decrease in the secretion 306 of Th2 IL10 by isolated uterine cells (Fig. 1G, right panel). This shift towards Th1 cytokines was 307 associated with signs of neurogenic inflammation in the decidua of NGF treated females, namely an 308 increased density of SP⁺ nerve fibers (Fig. 1H, left panels) and increased percentage of degranulated 309 mast cells (Fig. S1G) compared to controls. Strikingly, the density of sensory nerve fibers expressing

310 calcitonin gene related peptide (CGRP), which mediates vasodilatory effects during stress-induced 311 neurogenic inflammation (Joachim, et al. 2007), was significantly decreased in the uterus of NGF 312 treated female mice (Fig. 1H, right panels).

313

314 Neutralizing NGF disrupts normal pregnancy progression in low-abortion mating combinations

315 Based on the above mentioned findings and previous results showing that NGF neutralization 316 prevents stress-triggered abortions in the CBA/J x DBA/2J model (Tometten, et al. 2006), we next 317 aimed to investigate the physiological role NGF plays in the maintenance of pregnancy. We therefore 318 examined the effects of a NGF-neutralizing antibody administered to BALB/c mated CBA/J female 319 mice (Fig. 2A). In this model, a four-day course of anti-NGF administration showed no effect in the 320 number of total implantations registered on E13.5, but led to a significant up-regulation of the 321 abortion rate with respect to isotype-control injected female mice (Fig. 2B). Abortions triggered by 322 NGF neutralization were not related to differences in ovarian histology or systemic progesterone 323 levels on E7.5 (Fig S2A), nor with significant disturbances in the expression of pro-angiogenic 324 VEGF/Flt1 (Fig. 2C) and endoglin (Fig. S2B). Furthermore, placental structure (as analysed on 325 haematoxylin-eosin stained sections) on E13.5 was not altered upon treatment with the anti-NGF 326 (Fig. S2C).

Immunofluorescence analysis of sorted decidual immune cells on E7.5 revealed no differences in the frequency of total CD45⁺, CD4⁺, CD8⁺ and NK cells expressing NGF (Fig. S2D), but a significant downregulation of CD11c⁺NGF⁺ DC was observed in response to NGF neutralization (Fig. 2D). Additionally, anti-NGF treated females displayed an increased frequency of TRKA⁺ decidual NK cells (Fig. 2E), whereas expression of this receptor in other immune cell subsets analysed (i.e., lymphocytes, DC, Fig S2E) as well as that of p75NTR (Fig. S2F) did not differ from controls.

When analysing the profile of Th1/Th2 cytokines secreted by uterine cells isolated from control DBA/2J mated CBA/J females, no differences were observed in the levels of IL6 and IFNG in response to NGF *in vitro* (Fig. 2F). However, a striking finding was that NGF induced a shift towards a Th2

response on isolated uterine cells, namely a dose dependent decrease in TNFA secretion (Fig 2F, left panel) and significantly increased levels of IL10 (Fig. 2F, right panel). In addition, anti-NGF treated females displayed an increased density of decidual SP⁺ nerve fibers (Figure 2G, left panels) whereas the frequency of CGRP⁺ fibers and degranulated mast cells (Fig S2G) were decreased with respect to controls.

341

Human spontaneous abortion is associated with increased NGF expression at the fetal-maternal interface

344 Knowing that the decidua and placental trophoblasts are a source of NGF synthesis throughout 345 human pregnancy (Toti, et al. 2006), we next aimed at analysing the expression levels of NGF and 346 TRKA on isolated trophoblast cells. As shown in Fig. 3A, expression of NGF was detected both on CTB 347 and EVT isolated during the first trimester and also at term. During the first trimester, NGF 348 expression in the CTB was significantly higher than in EVT (P<0.01); remaining at similar levels at 349 term. In contrast, no differences were observed regarding the expression of the TRKA (Fig. 3B) or 350 P75NTR (Fig. 3C) receptors, which exhibited high mRNA levels in EVT and CTB both during the first 351 trimester and at term. To further dissect the association between the NGF pathway and pregnancy 352 outcome, we next assessed the expression of NGF and its receptors in choriodecidual samples from 353 spontaneous abortion (SA) patients and normal pregnant (NP) women. Real-time qPCR analysis 354 showed that NGF levels were significantly up-regulated in SA patients respect to controls (Fig. 3D, left 355 panel); whereas no differences were detected in the expression of TRKA (Fig 3D, middle panel) or the 356 P75NTR receptor (Fig. 3D, right panel). In NP samples, expression of the NGF peptide as analysed by 357 immunohistochemistry was localized mainly in decidual tissue and the CTB layer of the placenta (Fig 358 4A, left panels), whereas SA patients exhibited an increased immunoreactivity signal in the decidua 359 and additional staining in the placental syncytiotrophoblast. However, both groups displayed a 360 similar distribution pattern for TRKA expression, which localized to the decidua and the placental CTB 361 and EVT partially overlapping NGF expression (Fig 4B). Expression of the p75NTR receptor, as

362 analysed by immunofluorescence, was detected on single decidual cells and on villous CTB and

363 syncytiotrophoblasts showing a similar distribution pattern in both groups (Fig. 4C)

364

365 **Discussion**

366

367 The establishment of pregnancy is a complex process involving balanced interactions between the 368 immune, endocrine and reproductive systems. We here demonstrate the physiological importance of 369 NGF signalling in this process by showing that a normal progression of pregnancy is largely sensitive 370 to disturbances in systemic NGF concentrations that appear to have impact on local adaptation 371 processes that take place at the maternal-fetal interface. A variety of pleiotropic effects of the NGF 372 pathway; including the control of ovarian function, inflammation and angiogenesis, are most likely to 373 influence the outcome of pregnancy (Tometten, et al. 2005). In particular, decreased progesterone 374 levels in diestrus and an impaired response to hCG-like activity have been observed upon NGF 375 overexpression in mouse ovaries (Dissen, et al. 2009). However, results from our mouse studies 376 showed no overt defects in progesterone levels and luteal structure, suggesting that disruption of 377 pregnancy caused by deregulation of NGF levels does not result from alterations in ovarian 378 physiology. The lack of effects in NGF treated mice may obey to the doses, route and time frame of 379 administration in our study, as treatment was conducted post-ovulation (E1.5) which is one of the 380 main events in the ovarian cycle influenced by this pathway (Dissen, et al. 2000).

Our results showed that disruption of the NGF pathway (i.e., by treatment with an anti-NGF Ab) *in vivo* at early post-implantation stages induced abortion, which is consistent with a protective role of this NT in the context of a normal pregnancy. Since effects on ovarian function, decidual angiogenesis and placental morphology were ruled out in this study, such a requirement for NGF may most probably be related to the reported immunomodulatory properties of this molecule, namely in the regulation of T cell responses (Aloe, et al. 1999). Indeed, our *in vitro* findings showed that NGF is able to promote a Th2 cytokine shift (i.e., decreased TNF- α and increased secretion of IL10) on isolated

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388 uterine lymphocytes, which is consistent with previous studies demonstrating a selective expression 389 of NGF and TRKA in Th2 cells (Arredondo, et al. 2001, Sekimoto, et al. 2003). Thus, physiological 390 levels of NGF may function to support Th2 cells and suppress Th1 function at the fetal-maternal 391 interface modulating a cytokine environment compatible with pregnancy maintenance.

392 Interestingly, we also found an increased frequency of TRKA⁺ decidual NK cells and decreased NGF-393 expressing CD11c⁺DC following NGF neutralization, which may imply that the functions of these 394 innate immune subsets are also deregulated in the absence of NGF signaling at the maternal 395 interface. Among other functions, decidual NK cells are of utmost importance for the control of 396 trophoblast invasion, vascular remodeling and immune tolerance at the maternal-fetal interface 397 (Ashkar, et al. 2000, Fu, et al. 2013, Gonzalez, et al. 2012). Recent studies have demonstrated that 398 TRKA expression is dynamically regulated on mouse NK cell subsets and is further enhanced upon 399 activation, whereas NGF has been shown to act as a negative modulator of NK cell degranulation 400 (Ralainirina, et al. 2010). Thus, it is conceivable that the up-regulated frequency of TRKA⁺ NK cells 401 observed in our study represents an aberrant activation of this subset in the context of NGF 402 deprivation at the fetal-maternal interface. Since NK cell derived signals have been shown to be 403 important for the control of immunogenic activation of DC (Gonzalez, et al. 2012), aberrant NK cell 404 activation upon neutralization of NGF is also likely to influence DC functions at the fetal-maternal 405 interface. DC in turn are known regulators of NK cell differentiation and function at the uterine lining 406 (Karsten, et al. 2009, Krey, et al. 2008), and have been shown to increase their NGF expression in 407 response to immunogenic maturation signals (i.e., LPS) (Jiang, et al. 2008). In this context, it is 408 tempting to speculate that physiological levels of NGF may be necessary for the establishment of an 409 effective immunoregulation (i.e. cooperation between DC and NK cells) at the early fetal-maternal 410 interface. Though we found no overt defects in angiogenic growth factor expression at E7.5 or 411 placental structure at E13.5, it cannot be completely discarded that direct effects of the NGF 412 pathway in the control of developmental processes occurring post-implantation (i.e., decidualization 413 and placentation) contribute to the increased abortion rates observed following NGF neutralization.

414 Indeed, such a role has already been demonstrated in mice, where decidual derived NGF functions as 415 a growth factor promoting promoting the differentiation of trophoblast giant cells (Kanai-Azuma, et 416 al. 1997), which constitute one of the main sources of pro-angiogenic factors during mouse 417 placentation (Hemberger, et al. 2003). Evidence on a similar role in human placentation is still 418 elusive, but may be anticipated based on previous studies reporting NGF expression in the decidua 419 and the placenta during the first trimester (Toti, et al. 2006). Indeed, we here showed a differential 420 expression of NGF on CTB and EVT cells isolated from normal first trimester placental tissue, which 421 may be related to possible autocrine/paracrine effects of NGF in the control of trophoblast lineage 422 differentiation. A thorough examination of the influence of the NGF and other NT mediated 423 pathways in trophoblast cells isolated from human placentas would greatly improve our 424 understanding of their association with pregnancy complications.

425

426 On the other hand, we found that exposure to supraphysiological levels of NGF during the early post-427 implantation period (i.e., in NGF treated female mice) also induced a spontaneous abortion 428 syndrome, with features resembling those observed in stress-challenged pregnant mice. Typical signs 429 of neurogenic inflammation were observed in such NGF-treated mice including increased infiltration 430 of NGF-producing CD4⁺ and CD8⁺ T cells, increased innervation with SP⁺ fibers, enhanced mast cell 431 degranulation and a Th1 cytokine shift in decidual lymphocytes characterized by increased secretion 432 of IL6 and IFNG and decreased IL10. Thus, in a manner similar to stress-triggered abortions 433 (Tometten, et al. 2006), increased NGF levels at the fetal-maternal interface skew the immune 434 system towards an inflammatory Th1 response, which is further amplified through the recruitment of 435 NGF-expressing immune cells ultimately resulting in disruption of maternal tolerance and fetal loss. 436 Interestingly, increased innervation with SP⁺ and CGRP⁺ fibers has been associated with the skin 437 response to stress, provoking a typical neurogenic inflammation reaction in which SP promotes 438 immune cell recruitment further amplified by vasodilatory effects of CGRP (Joachim, et al. 2007). Our 439 finding that only SP⁺ innervation and not the density of CGRP⁺ fibers was increased upon NGF

440 treatment may imply that the inflammatory response causing fetal rejection is maintained and 441 prolonged by other yet unknown mechanisms instead of CGRP- mediated vasodilation. For instance, 442 our previous studies have shown that the decidual up-regulation of adhesion molecules ICAM1 and 443 P-selectin in response to stress was abrogated in NGF-neutralized mice (Tometten, et al. 2006), 444 suggesting that stress- and NGF-induced abortions are dependent on adhesion molecule mediated 445 inflammatory pathways. Accordingly, we found a significant increase in NGF synthesis at the fetal-446 maternal interface of spontaneous abortion patients accompanied by up-regulated NGF expression 447 in the placental syncytiotrophoblast with respect to controls. While it remains to be determined 448 whether NGF deregulation is causally linked to human spontaneous abortions, these findings agree 449 well with our previous studies demonstrating an up-regulation of decidual NGF expression and a 450 pregnancy protective effect of anti-NGF treatment in stress-challenged mice (Tometten, et al. 2006, 451 Tometten, et al. 2004). Interestingly, the beneficial effects of anti-NGF therapy were abrogated in 452 animals treated with a high antibody dose, in which the fetal loss rates were further boosted with 453 respect to stressed mice (Tometten, et al. 2006) suggesting that NGF deprivation beyond a certain 454 threshold also compromises pregnancy maintenance. Taken together, our results imply that healthy 455 gestations are dependent on a balanced expression of NGF to ensure adequate maternal 456 immunemodulation and developmental processes at the fetal-maternal interface. We anticipate that 457 these results may have important implications for the understanding of human pregnancy 458 complications related to immunological disbalances such as spontaneous abortion.

459

460 Author contributions

461 M.T. and S.M.B. designed research; P.F., G.B., I.T-G., M.C., M.T. and S.M.B. performed research; P.F.,

462 G.B., and I.T-G analyzed data; P.M. assisted research; E.M.P., B.F.K. and M.R. gave input on writing

the manuscript; G.B. and S.M.B. wrote the manuscript.

464

465 **Declaration of interest**

466 The authors declare that no conflicts of interest exist.

467

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561 Tometten, M, S Blois, A Kuhlmei, A Stretz, BF Klapp, and PC Arck 2006 Nerve growth factor 562 translates stress response and subsequent murine abortion via adhesion molecule-563 dependent pathways. Biol Reprod 74 674-683. 564 Tometten, M, BF Klapp, R Joachim, S Fest, AC Zenclussen, EM Peters, K Hertwig, and PC Arck 2004 565 Nerve growth factor and its functional receptor TrkA are up-regulated in murine decidual 566 tissue of stress-triggered and substance P-mediated abortion. Am J Reprod Immunol 51 86-567 93. 568 Toti, P, P Ciarmela, P Florio, N Volpi, R Occhini, and F Petraglia 2006 Human placenta and fetal 569 membranes express nerve growth factor mRNA and protein. J Endocrinol Invest 29 337-341. 570 571 572 573 **Figure legends** 574 575 Figure 1. NGF treatment during early post-implantation stages disrupts pregnancy maintenance in 576 the abortion prone CBA/J x DBA/2J mating combination. (A) Experimental design: DBA/2J mated 577 CBA/J females received two consecutive doses of NGF starting at E5.5, as stated in Methods. Females 578 were sacrificed on E7.5 for the analysis of decidual immune cells and in vitro experiments and on 579 E13.5 for assessment of fetal loss rates and total implantations. (B) Fetal loss rates (calculated as 580 R/V+R, where R=resorptions and V= viable implants; left panel) and total number of implantations 581 (right) observed in NGF treated mice. Fetal loss rates were significantly increased in response to NGF 582 treatment. (C) Summary of the experimental design and results for the assessment of effects of NGF 583 supplementation in the CBA/J x BALB/c mouse pregnancy model. NGF treated females showed no 584 differences in fetal loss rates (middle panel) and total number of implantation sites (right panel) 585 recorded on E13.5 respect to controls. (D) Evaluation of the angiogenic status in DBA/J mated CBA/J 586 females upon treatment with recombinant NGF during early stages of pregnancy. Circulating levels of 587 free VEGF (left) and decidual mRNA levels of Vegf (middle) and Flt1 (right panel) analysed on E7.5 588 showed no differences compared to control mice. (E) Immunofluorescence analysis of NGF-589 expression on sorted decidual immune cells isolated at E7.5. Representative cytospins are displayed 590 for CD45⁺NGF⁺ cells (left panel) and CD8⁺ NGF⁺ cells (right). (F) Quantification of TRKA and p75NTR 591 expression on immune cell subsets and decidual cells isolated on E7.5, as analysed by 592 immunofluorescence. NGF induced abortions were associated with a significant increase in CD45⁺,

593 $CD11c^{+}$ and $CD49b^{+}$ cells expressing TRKA (left), whereas no differences were observed in decidual 594 p75NTR expression (right panel). (G) Th1 and Th2 cytokine secretion by isolated uterine leukocytes in 595 response to NGF. No differences were observed in TNFA levels (left panel), but NGF significantly 596 increased IFNG and IL6 (middle panels) and decreased levels of the Th2 cytokine IL10 (right panel). 597 (H) Immunofluorescence analysis of SP (left panels) and CGRP (right panels) expression at the 598 decidua on E7.5. NGF treated mice displayed increased innervation with SP⁺ fibers, whereas the 599 density of CGRP⁺ fibers was decreased. In all figures, * and ** denote p<0.05 and p<0.001 as 600 assessed by the Mann-Whitney U test.

601

602 Figure 2. NGF neutralization induces abortion in the CBA/J x BALB/c mating combination. (A) 603 Experimental design: BALB/c mated CBA/J females with vaginal plugs were treated daily with a 604 neutralizing NGF antibody starting at E2.5, as detailed in Methods. Females were sacrificed on E7.5 605 for the analysis of decidual immune cells and in vitro experiments and on E13.5 for assessment of 606 fetal loss rates. (B) Fetal loss rates (left) and total number of implantations (right panel) observed in 607 response to NGF neutralization. Treatment with anti-NGF significantly increased the fetal loss rate in 608 BALB/c mated CBA/J mice. (C) Evaluation of the systemic and local angiogenic status in anti-NGF 609 treated CBA/J female mice. Levels of free VEGF in serum (left) and decidual Vegf and Flt1 mRNA 610 (middle and right panels) on E7.5 did not differ from those recorded in isotype-treated control mice. 611 (D) Analysis of NGF expression, as assessed by IF on sorted decidual immune cells at E7.5. 612 Representative cytospins are displayed for NGF⁺ DC (CD11c⁺ cells, left panel), which were significantly</sup>613 increased upon NGF neutralization. (E) Summary of IF analysis of decidual TRKA (left) and p75NTR 614 expression (right panel) recorded on E7.5 in anti-NGF treated female mice. Neutralization of NGF led 615 to a significant increase in decidual TRKA⁺ NK cells (CD49b⁺ cells, left panel) respect to controls, 616 whereas no differences were observed in the expression of p75NTR. (F) Th1 and Th2 cytokine 617 secretion by NGF-stimulated uterine leukocytes in vitro. NGF caused a Th2 shift by significantly 618 decreasing TNFA levels (left panel) and enhancing IL10 secretion (right panel). (G) Decidual SP (left

619 panels) and CGRP (right panels) expression, as analysed by IF on E7.5. Anti-NGF treated mice showed 620 a reduced density of SP⁺ nerve fibers, whereas no differences were observed regarding CGRP⁺ 621 innervation. In all figures, * and ** denote p<0.05 and p<0.001 as assessed by the Mann-Whitney U 622 test.

623

624 Figure 3. Human spontaneous abortion is associated with increased NGF expression at the fetal-625 maternal interface. (A) Real-time PCR analysis of NGF on isolated trophoblast cells during normal 626 human pregnancy. First trimester CTB express significantly increased levels of NGF compared to EVT, 627 and this expression remains high at term. (B) TRKA expression on isolated trophoblast from normal 628 pregnancy, as analysed by qPCR. No differences were observed between expression levels at the first 629 trimester and at term, or between the different trophoblast lineages analysed. (C) Quantification of 630 P75NTR mRNA levels expressed by normal trophoblast cells isolated during the first trimester and at 631 term. Villous CTB expression levels of P75NTR, as assessed by qPCR, remained unaltered throughout 632 pregnancy and showed no differences compared to first trimester EVT. (D) qPCR analysis of NGF (left 633 panel), TRKA (middle) and P75NTR (right) expression in normal pregnancy and spontaneous abortion 634 patients. Human spontaneous abortion is characterized by increased choriodecidual expression of 635 NGF mRNA, whereas no differences were observed in the TRKA and P75NTR receptors. In all figures, 636 * and ** denote *p*<0.05 and *p*<0.001 as assessed by the Mann-Whitney U test.

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Figure 4. An aberrant pattern of NGF expression at the maternal-fetal interface characterizes human spontaneous abortions. (A) Immunohistochemical analysis of NGF in choriodecidual biopsies obtained from normal pregnancy (NP, left) and spontaneous abortion patients (SA, right panels). NGF expression was localized mainly in decidual tissue (upper panels) and the CTB and EVT layers of NP placentas (lower panels), whereas SA samples showed additional staining in the syncytiotrophoblast. (B) Tissue distribution of TRKA expression at the maternal fetal interface, as analysed by IHC in choriodecidual samples of normal pregnancies (left) and spontaneous abortions (right panels). Both

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645 groups displayed a similar distribution pattern for TRKA expression, localizing to the decidua and the 646 CTB and EVT. (C) Immunofluorescence analysis of p75NTR expression in choriodecidual biopsies 647 obtained from NP and SA patients. In both groups, p75NTR was detected on single decidual cells 648 (upper panels) and strongly staining the placental CTB and syncytium (lower panels).

649

650 Figure S1. NGF treatment boosts the abortion rate in the CBA/J x DBA/2J combination. (A) 651 Representative examples of IF stainings for endoglin (red) on E7.5 implantation sites from NGF-652 treated and control mice. Endoglin showed a similar distribution in both groups, localizing to 653 endothelial cells of the vascular zone (VZ) and mesometrial decidua (MD) adjacent to the embryonic 654 cavity (E). (B) Evaluation of the effect of NGF supplementation on serum progesterone levels (left) 655 and ovarian histology (right panels) in DBA/J mated CBA/J female mice. NGF treatment did not 656 produce significant alterations in ovarian functions on E7.5, as both groups displayed similar 657 progesterone levels and a normal ovarian structure, with multiple follicular images and corpora lutea 658 (CL). (C) Representative pictures of H&E stained whole E13.5 implantation sites, showing that normal 659 placental structure was conserved upon NGF administration in the CBA/J x DBA/J model. 660 Abbreviations: PL, placenta; DB, decidua basalis; F, fetus. (D) Immunofluorescence analysis of NGF 661 expression on sorted decidual immune cells isolated at E7.5. CD4⁺ NGF⁺ cells showed a significantly 662 increased frequency in NGF treated mice (right panel), whereas no differences were observed on 663 CD11c⁺ DC and NK cells expressing NGF. (E) TRKA expression on isolated decidual leukocytes, as 664 analysed by IF. NGF significantly increased the frequency of CD4⁺ TRKA⁺ and CD8⁺ TRKA⁺ cells on E7.5. 665 (F) Decidual p75NTR expression, as assessed by IF on E7.5. NGF treated mice showed no differences 666 in the distribution or expression levels of p75NTR respect to PBS treated controls. (G) Mast cell 667 degranulation in the decidua, as analysed by IF at E7.5. The frequency of degranulated mast cells was 668 significantly increased in NGF treated mice. Left pictures show representative examples of cytospins 669 from NGF- (up) and PBS-treated mice (low). In all figures, * and ** denote p<0.05 and p<0.001 as 670 assessed by the Mann-Whitney U test.

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672 Figure S2. Fetal loss rates are increased in the CBA/J x BALB/c combination following NGF 673 neutralization. (A) Systemic progesterone levels and ovarian histology in BALB/c mated CBA/J female 674 mice are conserved upon NGF neutralization. Both groups displayed similar progesterone levels (as 675 assessed by ELISA, left panel) and corpora lutea (CL) with a normal histology on E7.5, indicative of 676 unaltered ovarian functions upon treatment with the anti-NGF. (B) Endoglin expression on E7.5 677 implantation sites from anti-NGF treated and control mice, as assessed by IF. Endoglin (red) showed a 678 normal localization in both groups in endothelial cells of the vascular zone (VZ) and spreading 679 towards the mesometrial pole (MD). (C) Histological analysis (H&E) of E13.5 whole implantation sites, 680 showing that placental structure was unaltered upon anti-NGF treatment in the CBA/J x BALB/c 681 model. Abbreviations: PL, placenta; DB, decidua basalis; F, fetus. (D) Immunofluorescence analysis of 682 NGF on sorted decidual immune cells isolated at E7.5. No differences were observed in the frequency 683 of CD45⁺, CD4⁺, CD8⁺ and CD49b⁺ cells expressing NGF with respect to controls (E) TRKA expression on 684 isolated decidual leukocytes, as analysed by IF. Anti-NGF treated mice showed no differences in the 685 frequency of $CD4^+$, $CD8^+$ and $CD11c^+$ cells expressing TRKA. (F) Decidual p75NTR expression, as 686 assessed by IF on E7.5. No differences were observed in the distribution or expression levels of 687 p75NTR between anti-NGF and isotype control treated females. (G) IF assessment of degranulated 688 mast cells in decidual tissue at E7.5. The frequency of degranulated mast cells was significantly 689 increased in anti-NGF treated mice. Left pictures show representative examples of cytospins from 690 anti-NGF- treated (up) and control mice (low). In all figures, * and ** denote p<0.05 and p<0.001 as 691 assessed by the Mann-Whitney U test.

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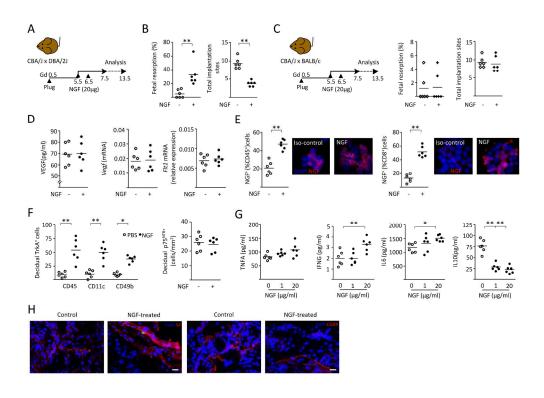
Table 1. Characteristics of the recruited participants

Parameters	Normally progressing pregnancy (n=16)	Spontaneous abortion (n=15)
Age	29.7 ± 2.80	30.5 ± 3.50
GW	8-12	8-12

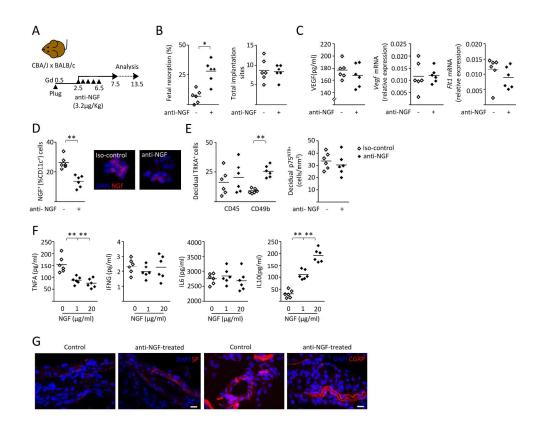
Abbreviations: GW: gestational age in weeks.

Note: Inclusion criteria: week of gestation 8-12, no fertility treatment, no hepatitis B/C or HIV infection; no signs of an imminent miscarriage such as vaginal bleeding, low ßHCG, missing embryonic/fetal heart rate during ultrasound screening.

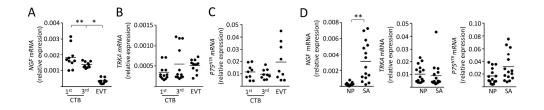
Exclusion criteria for the spontaneous abortions group: molar pregnancies, abnormal fetal karyotype or infection induced abortion.



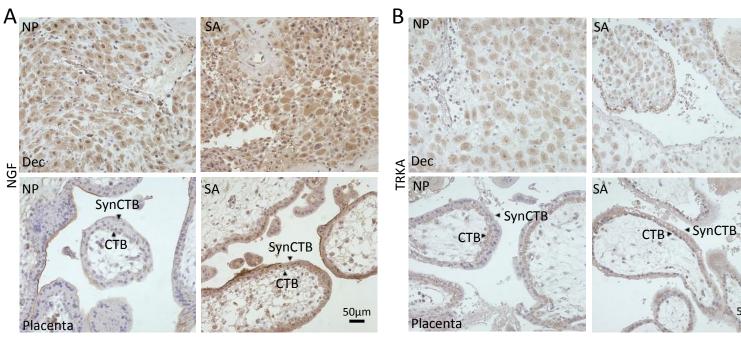
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224x176mm (300 x 300 DPI)



229x48mm (300 x 300 DPI)



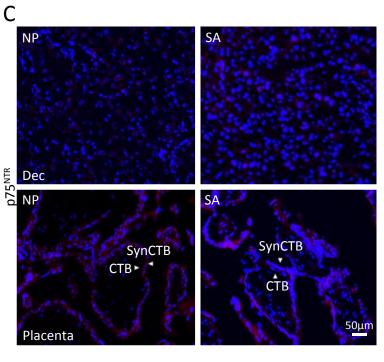


Figure 4

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