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2 **Hyperbiofilm Formation by *Bordetella pertussis* Strains Correlates with**  
3 **Enhanced Virulence Traits**

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19 Running title: Hyperbiofilms and enhanced *Bordetella* pathogenesis

20

21 **ABSTRACT**

22

23 Pertussis or whooping cough caused by the obligate human pathogen *Bordetella pertussis* is  
24 undergoing a world-wide resurgence. Majority of studies with this pathogen are conducted with  
25 laboratory-adapted strains which may not be representative of the species as a whole. Biofilm  
26 formation by *B. pertussis* plays an important role in its pathogenesis. We conducted a side-by  
27 side comparison of the biofilm forming ability of the prototype laboratory strains with currently  
28 circulating isolates from two countries with different vaccination programs. Compared to the  
29 reference strain, all strains examined herein formed biofilms at higher levels. Biofilm structural  
30 analyses revealed country-specific differences with strains from USA forming more structured  
31 biofilms. Hyper bacterial aggregation and reciprocal expression of biofilm-promoting and  
32 inhibitory factors were observed in clinical isolates. An association of increased biofilm  
33 formation with augmented epithelial cell adhesion and higher levels of bacterial colonization in  
34 the mouse nose and trachea was detected. To our knowledge, this work links for the first time  
35 increased biofilm formation in bacteria with a colonization advantage in an animal model. We  
36 propose that the enhanced biofilm forming capacity of currently circulating strains contributes to  
37 their persistence, transmission and continued circulation.

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## 43 INTRODUCTION

44 *Bordetella pertussis* is a human-restricted bacterial pathogen that causes whooping cough or  
45 pertussis. Pertussis has been re-emerging in industrialized countries and remains endemic in  
46 many parts of the world (1). Current pertussis vaccines while preventing the severe symptoms of  
47 the disease do not prevent colonization, transmission and circulation of the pathogen (2).  
48 Reasons suggested for the re-emergence of pertussis are: (i) heightened disease awareness; (ii)  
49 development of new clinical definitions; (iii) improved diagnostic ability; (iv) poor efficacy of  
50 the current commercial vaccines and (v) antigenic and genetic shifts in circulating strains (3).

51 Genetic changes in currently circulating strains of *B. pertussis* have been primarily  
52 observed in genes which encode vaccine antigens, such as pertussis toxin (PT), filamentous  
53 hemagglutinin (FHA), pertactin (PRN), and fimbriae (Fim2,3) (4-8). In addition, isolates  
54 deficient in the production of PRN, FHA and PT (9-11) and those showing increased production  
55 of PT have also been reported (12). These genetic and phenotypic alterations are hypothesized to  
56 confer an adaptive advantage to the circulating strains with respect to survival and transmission  
57 among vaccinated populations (12, 13). Based on these, it is proposed that the laboratory  
58 reference strains, after more than six decades of in-vitro passage, do not represent the circulating  
59 *B. pertussis* organisms (14). This accentuates the need for research on recently circulating strains  
60 not only with respect to uncovering genomic alterations but also on understanding phenotypic  
61 variations, an area that remains poorly studied.

62 Biofilms are sessile microbial communities which are enclosed in a self-produced or  
63 host-derived exopolymeric matrix (15). In some bacteria, biofilms promote environmental  
64 survival resulting in enhanced probability of host contact, while in others, biofilms are a critical

65 virulence determinant (16, 17). Many bacteria form biofilms during infection of non-mammalian  
66 and mammalian hosts and biofilms are in general less susceptible to anti-microbials and host  
67 immune components (18-20). Biofilms of *B. pertussis* have been observed on a variety of  
68 artificial surfaces and under static, shaking and fluid-flow conditions (21-25). Microscopically,  
69 *B. pertussis* biofilms are characterized by formation of spaced cell aggregates followed by the  
70 formation of three dimensional structures (pillars of bacteria separated by fluid channels or  
71 irregularly shaped microcolonies) encased in an opaque matrix composed of DNA and  
72 polysaccharide (23-27). In addition to laboratory settings, biofilms of *B. pertussis* have also been  
73 detected in the nose and trachea during experimental infections of mice (24, 25, 27). Correlation  
74 between biofilm forming ability of *B. pertussis* and pathogenesis is provided by the finding that  
75 mutants defective in biofilm formation on artificial surfaces fail to protect the bacterial cells from  
76 complement-mediated killing, attenuated for colonization of the mouse respiratory tract and are  
77 defective in biofilm formation on the respiratory tract (24, 27, 28). This has led to the hypothesis  
78 that biofilm formation in humans enables escape from immune defenses resulting in persistence,  
79 transmission and continued circulation of the bacteria (29). Support for this hypothesis is  
80 provided by microscopy of human tissue explants and respiratory tissues of patients which reveal  
81 biofilm-like structures similar to those formed on artificial surfaces and in mouse organs (30-32).

82       Very little is known about the mechanisms by which *B. pertussis* biofilm growth has  
83 adapted with respect to time, region and changing immunization regimens. While increased  
84 levels of biofilm formation by circulating strains from Argentina and Australia have been  
85 reported (33, 34), nothing is known about the biofilm forming ability of circulating isolates from  
86 the USA. It is also not known if there are differences in biofilm structure between strains from  
87 different countries. In this report, we performed a side-by side comparison of the biofilm forming

88 ability of currently circulating strains from the USA and Argentina with the objective of  
89 determining variations in biofilm forming capacity and structure. We have also examined the  
90 mechanistic bases for hyperbiofilm formation. Finally, we have investigated the relationship  
91 between enhanced biofilm formation and pathogenic phenotypes.

92

93 **RESULTS**

94 **Recently circulating strains of *B. pertussis* from USA and Argentina form a thick bacterial**  
95 **ring at the air-liquid interface and display a hyperbiofilm phenotype**

96 The biofilm forming ability of *B. pertussis* strains currently circulating in the USA is not known.  
97 During routine roller drum growth in glass tubes of one such strain (STO1-SEAT0004), we  
98 noticed a thick bacterial ring at the liquid–air interface. In comparison, the reference laboratory  
99 strains *B. pertussis* Tohama I and Bp536, a Tohama I derivative formed either a thinner ring or  
100 did not form a ring (Fig. 1A). We followed this observation with additional strains from the USA  
101 and Argentina and grew them side by side, for comparison purposes. The USA strains resulted in  
102 either compact rings at the air-liquid interface or diffused rings over the glass surface. For the  
103 strains that formed diffused rings (H973, S49560 and H897), very little bacterial growth was  
104 visible in the liquid phase (Fig. 1A). In comparison, all the Argentinean strains formed compact  
105 rings at the air-liquid interface.

106 We have previously reported a link between the formation of a ring at the air-liquid  
107 interface and biofilm formation in RB50, a *B. bronchiseptica* reference strain (35). Additionally,  
108 a cystic fibrosis isolate of *B. bronchiseptica* which formed a thicker ring than RB50, formed  
109 biofilms at higher levels (36). Thus, we hypothesized a hyperbiofilm phenotype for recent  
110 isolates of *B. pertussis*. To test this hypothesis, we quantified biofilms formed on polystyrene  
111 microtitre plates. After discarding bacteria from the planktonic phase and extensive washing, the  
112 attached biomass was quantified by staining adhered bacteria with crystal violet.

113 In comparison to Bp536 and BpTohama I, all recent isolates formed higher levels of  
114 biofilms on microtitre plates (Fig. 1C). The observed differences in biofilm levels cannot be

115 explained by enhanced growth, since none of the recent isolates displayed significantly higher  
116 growth in the planktonic phase of biofilm cultures compared to Bp536 (Fig. S1). In combination,  
117 these results suggest that recently circulating strains of *B. pertussis* form higher levels of  
118 biofilms than the model laboratory-adapted strains.

### 119 **Hyperbiofilm forming strains display hyper aggregative properties**

120 Very little is known about the mechanisms that contribute to hyperbiofilm formation in *B.*  
121 *pertussis*. A positive correlation between autoaggregation and biofilm formation has been  
122 reported in bacteria (36, 37). We compared the autoaggregation index (AI) of three randomly  
123 chosen recently circulating strains from Argentina (Bp462, Bp892 and Bp2751) and USA (H921,  
124 H973 and STO1-SEAT0004) with Bp536 (Fig. 2). AI represents the fraction of the aggregated  
125 bacterial cells. After two hours of static incubation, the AI of these six strains was 8 to 16-fold  
126 higher than that of Bp536. To determine the kinetics of cellular aggregation, the culture tubes  
127 were additionally incubated statically for 5 and 24h. While at 5 and 24h of incubation, the AI of  
128 Bp536 was higher than that at 2h, it never reached the values observed for the clinical strains. For  
129 the clinical strains, there was not a significant increase in AI at 5 and 24h compared to that at 2h.  
130 We conclude that the clinical strains form cellular aggregates faster and at higher levels than the  
131 reference strain. These results suggest that the clinical strains utilize hyperaggregation as a  
132 means to enhance their biofilm forming capacity.

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136 **Recently isolated strains of *B. pertussis* display increased aggregation during initial surface**  
137 **attachment and form biofilms with enhanced structural complexity**

138 The approaches used above do not provide detailed information on either the qualitative or  
139 quantitative strain-specific differences in biofilm structure. The objective of the next experiment  
140 was to conduct in situ visualization and analyses of differences in the biofilm 3D architecture of  
141 these strains. For this purpose, each of the six recently circulating strains and Bp536 was  
142 transformed with a GFP coding plasmid followed by culture on glass cover slips under agitating  
143 conditions and initial attachment and the biofilms formed were compared.

144 We first examined differences in initial attachment by incubating the strains on the  
145 substrate for 1h followed by microscopic observation. As shown in Fig. 3A, all six recently  
146 isolated strains adhered to the surface by forming aggregates, which were largely absent from  
147 Bp536. The formation of small clusters by these strains is consistent with their higher AI values.  
148 Quantification of bacteria attached to the glass cover slips revealed similar numbers of cells for  
149 all the strains including Bp536 (Fig. 3B). This suggests that the manner in which recently  
150 isolated strains attach to the surface is different than that of Bp536.

151 To observe and quantify the 3D structure of biofilms, the growth of biofilms was  
152 examined by Confocal Laser Scanning Microscopy (CLSM) at 24h intervals over a time period  
153 of 96h (Fig. 4). After 24h of growth, for Bp536, almost the entire surface area was completely  
154 covered with green cells which appeared to exist as a uniform monolayer. In contrast, all six  
155 recently isolated strains were present on the coverglass surface in the form of clustered cells and  
156 many areas of the coverglass were observed to be unoccupied. For these strains, small pillars of  
157 cells, a characteristic architectural feature of *Bordetella* biofilms were also found (23, 27). At



158 48h of growth, while minute cell-clusters and thin pillars were observed for Bp536, the recently  
159 isolated strains continued to increase in thickness and cell density resulting in the visualization of  
160 thicker and more structured biofilms. After 72 and 96h of culture, while Bp536 achieved a more  
161 complex biofilm structure involving the formation of some water channels, the recently isolated  
162 strains continued to form complex biofilm structures with large and irregularly shaped clusters  
163 and longer cell pillars.

164 Interestingly, in addition to structural differences, region-specific variations in the biofilm  
165 features were also observed among the recently isolated strains. At time-points later than 24h, for  
166 the strains isolated in the USA (H921, H973 and STO1-SEAT0004), large and irregularly shaped  
167 cell aggregates continued to be observed during the entire time course of biofilm formation  
168 whereas for the Argentinean strains (Bp462, Bp892 and Bp2751) almost the entire surface area  
169 was green revealing a thick uniform layer of cells.

#### 170 **Quantitative analysis of biofilm architecture**

171 In order to achieve a quantitative assessment of the observed microscopic differences in biofilm  
172 structure, CLSM-generated images were analyzed for four variables of biofilm architecture,  
173 biomass, maximum thickness, average thickness and roughness coefficient by the COMSTAT2  
174 image analysis program (Fig. 5) (38). Overall, compared to Bp536 and at all time-points of  
175 biofilm formation, maximum thickness and average thickness were significantly higher for the  
176 recently isolated strains. The only exception was Bp892 for which, the maximum biofilm  
177 thickness was not significantly different from that of Bp536 at 24h. Biomass was significantly  
178 higher for all clinical isolates at 96h. The roughness coefficient, a measure of how much the  
179 biofilm thickness varies and thus a measure of biofilm heterogeneity varied the greatest between

180 Bp536 and the clinical strains. In general, for the Argentinean strains, the roughness coefficient  
181 was lower than Bp536 whereas for the USA strains it was higher at many of the time points. The  
182 differences in roughness coefficient between the Argentinean and USA strains correlated with  
183 microcolonies separated by empty spaces as observed by CSLM. Overall, these results suggest  
184 that the *B. pertussis* clinical strains form biofilms differently than the reference strain and  
185 differences in biofilm structure are observed between strains isolated from USA and Argentina.

186

#### 187 **Dispersal of biofilms by pronase E, DNase I and sodium metaperiodate.**

188 Previously, we have shown that proteins, DNA and polysaccharides are components of the *B.*  
189 *pertussis* biofilm matrix and promote the stability of biofilms formed by Bp536 (21, 23-25). To  
190 address the functional roles of these components in stabilizing the biofilms of the recently  
191 isolated strains, we studied the effect of pronase E, DNase I and sodium metaperiodate (NaIO<sub>4</sub>)  
192 on dispersal of pre-formed mature biofilms. Ninety-six hour old biofilms were incubated either  
193 with these reagents or with the respective buffer solutions for 2h at 37°C followed by CV  
194 staining to quantitate the stained biomass. Compared to Bp536, for five of the six recently  
195 isolated strains, pronase E treatment led to lower levels of biofilm dispersal (50.3% for Bp536  
196 and varying between 25.3-32.3% for Bp462, Bp2751, H921, H973, STO1-SEAT0004,  
197 respectively). For the strain Bp892 however, pronase E treatment was sufficient to disperse the  
198 biofilms to similar levels as observed for Bp536 (Fig. 6A).

199 Sodium metaperiodate treatment resulted in two different levels of biofilm dispersal. For  
200 three of the recently isolated strains (Bp462, Bp2751 and H921), dispersion of biofilms was  
201 similar to that observed for Bp536 (varying between 31.7-37.6%). For the other three strains

202 (Bp892, H973 and STO1-SEAT0004) however, NaIO<sub>4</sub> treatment resulted in significantly higher  
203 levels (varying between 60.4-66.9%) of biofilm dispersal (Fig. 6B).

204 Similar to Bp536, for four of the recently isolated strains (Bp892, Bp2751, H973 and  
205 STO1-SEAT0004), greater than 50% of biofilms were dispersed by treatment with DNase I. For  
206 Bp892, incubation with DNase I led to greater than 85% dispersal. For two of the isolates  
207 (Bp2751 and Bp462), DNase I had somewhat of a moderate effect (35.4 and 40%, respectively)  
208 on biofilm dispersal (Fig. 6C). The varying levels of biofilm dispersal as a result of incubation  
209 with the above chemicals are probably because of the differences in biofilm formation between  
210 various strains. Taken together, these results suggest that similar to Bp536, recently isolated  
211 strains have protein, DNA and carbohydrate content in their biofilm matrix.

### 212 **Recently isolated strains exhibit differential expression of *Bordetella* factors involved in** 213 **biofilm formation and pathogenesis**

214 Critical among factors that contribute to robust biofilm formation in *B. pertussis* are FHA,  
215 adenylate cyclase (AC) toxin and Bps polysaccharide (24, 27, 39). FHA and AC toxin promote  
216 and inhibit *B. pertussis* biofilm formation, respectively (24, 39). Bps is critical for the stability  
217 and maintenance of the three-dimensional structure of *B. pertussis* biofilms (27). In addition to  
218 their roles in biofilm formation, FHA, AC toxin and Bps also function as critical virulence  
219 factors for *B. pertussis* (27, 28, 40-42). Thus, we quantitated the expression levels of these  
220 factors in the clinical strains. As a negative control, the Bvg<sup>-</sup> phase locked and the  $\Delta bps$  strain  
221 were used. These strains do not express FHA and AC toxin and Bps, respectively.

222 We performed a whole-cell ELISA, to determine the levels of cell-surface associated FHA. As  
223 shown in Fig. 7A, compared to Bp536, all the recently isolated strains produced significantly

224 higher amounts (between 2.6 and 3.3-fold) of FHA. The expression of FHA was at background  
225 levels in this strain. As shown in Fig. 7B, compared to Bp536, all recent isolates displayed lower  
226 AC toxin activity.

227 Changes in the expression of the *bps* locus were determined by qRT-PCR by comparing  
228 levels of the *bpsA* transcript in Bp536 and the recently circulating strains. In two of the six  
229 recently isolates, expression of *bpsA* was significantly higher (5.4 and 1.6-fold higher in H921  
230 and H973, respectively) (Fig. 7C). In four other strains, there were no significant differences in  
231 the expression levels of *bpsA* transcript. Bps production was detected by immunoblot in all of the  
232 recently isolated strains (Fig. 7D). Using ELISA, we failed to precisely and reproducibly  
233 quantitate Bps levels in the recently circulating isolates. Taken together, these results suggest  
234 that hyperbiofilm formation in recently isolated strains is associated with increased expression of  
235 genes/proteins that promote biofilm formation and decreased activity of the protein that inhibits  
236 biofilm formation.

237

### 238 **Recently isolated strains exhibit hyper adhesion to respiratory epithelial cells of human** 239 **origin**

240 The recently isolated strains attached and formed higher levels of biofilms on artificial surfaces.  
241 Additionally, FHA was produced at higher levels in the clinical strains. FHA promotes the  
242 adherence of *B. pertussis* to epithelial cells (43). We hypothesized that compared to Bp536, the  
243 recently circulating strains will exhibit increased cellular adherence to epithelial cells. To test  
244 this hypothesis, we compared attachment of these strains to human alveolar epithelial cells  
245 (A549). As shown in Fig. 8, all the recently isolated strains adhered to A549 cells to a greater

246 extent than did Bp536. However, these differences in cellular attachment were statistically  
247 significant only for the strains Bp462, H973 and STO1-SEAT0004. As expected, the Bvg<sup>-</sup> phase  
248 locked strain which does not express FHA and other *Bordetella* adhesins exhibited very low  
249 levels of attachment to the epithelial cells.

250

### 251 **Enhanced colonization of the mouse respiratory tract by recently isolated strains**

252 To determine the role of hyperbiofilm phenotype in affecting the outcome of infection, we  
253 compared the colonization of Bp536 to the mouse respiratory tract to Bp462 and STO1-  
254 SEAT0004. Groups of eight to ten week old male and female mice were intranasally inoculated  
255 separately with the strains, and the bacterial loads of the nose, trachea and lungs were determined  
256 at 4 and 7 days post-inoculation (dpi) (Fig. 9). Consistent with previously published results, high  
257 bacterial loads of Bp536 were recovered from all three organs at 4 dpi (Fig. 9A). When  
258 compared to Bp536, while the two clinical strains colonized the nose and trachea at higher  
259 numbers at 4 dpi, no significant differences were found in bacterial numbers harvested from the  
260 lungs between any of the strains at this time point. At 7 dpi, all the three strains continued to  
261 colonize the respiratory organs at high numbers and the two recent isolates colonized the nose at  
262 higher numbers than Bp536 (Fig. 9B). Previously we have shown the existence of biofilms of *B.*  
263 *pertussis* in the mouse nose and trachea (24, 25, 27) and found that mutants defective in biofilm  
264 formation invitro are defective in colonization of the respiratory tract (24, 27). Thus, we propose  
265 that the observed hyperbiofilm phenotype of recent isolates contributes to the enhanced  
266 respiratory tract colonization.

267

268 **DISCUSSION**

269 Majority of studies on the biology and pathogenesis of the obligate human pathogen *B. pertussis*  
270 have been conducted with the strain BpTohama I and its derivatives. This strain originally  
271 isolated in Japan in the 1950s is a major source of pertussis vaccines. It has been suggested that it  
272 does not represent *B. pertussis* species (14). Although considerable effort is currently being  
273 dedicated towards genome sequencing and categorization of genomic differences between  
274 circulating clinical strains and domesticated laboratory strains, very little is known regarding  
275 their physiological and pathogenic differences. Biofilm formation is considered to be a survival  
276 strategy that allows enhanced respiratory tract colonization, persistence, transmission and  
277 circulation of *B. pertussis* in humans (24, 27-29). Characterization of the underlying molecular  
278 mechanisms, factors involved and the assessment of the relationship between biofilms and  
279 pathogenesis in currently circulating clinical isolates is important for the development of more  
280 effective vaccines and therapeutic alternatives to stem the resurgence of pertussis.

281 In this study, we utilized *B. pertussis* strains isolated during the period of 2001-2012  
282 across two countries, Argentina and USA. While acellular vaccines are exclusively employed for  
283 immunization in the USA, whole-cell vaccines are used for the first five immunizations followed  
284 by the acellular vaccine as a booster for 11 year olds in Argentina. Despite having two different  
285 routine pertussis immunization programs, both these countries have experienced a steady  
286 increase in pertussis cases over the last decade. Thus, simultaneous comparison of recently  
287 circulating strains from these two countries is likely to shed light not only on variations in  
288 microbial pathogenic mechanisms but also on how bacterial pathogens evolve to evade and  
289 escape from vaccine-induced immunity.

290 In comparison to the reference strains, all the strains irrespective of the region and the  
291 year of isolation were characterized by hyperbiofilm formation. We propose that hyperbiofilm  
292 formation is a highly conserved strategy employed by *B. pertussis* for surface adherence and that  
293 this phenotype is maintained independent of the types of vaccines used for immunization.

294 The mechanisms underlying increased biofilm formation and strain-dependent  
295 differences in biofilm structure of *B. pertussis* was unknown until now. In this report, a positive  
296 correlation was found between hyper bacterial aggregation and enhanced biofilm formation in  
297 six of the selected currently circulating strains suggesting that both these processes depend on the  
298 same physical adhesive forces and that these strains may contain similar extracellular matrix that  
299 leads to enhanced cell-cell interactions. Structural analyses of biofilms by CLSM revealed  
300 significant regional differences in the biofilm architecture. In general, the Argentinean strains  
301 formed more compact and regularly shaped biofilms, while the USA strains developed distinct  
302 microcolonies and more structured and heterogeneous biofilms. The development of complex  
303 biofilm architecture has been linked to enhanced anti-microbial properties (44, 45). It remains to  
304 be determined if the differences in biofilm architecture between strains from USA and Argentina  
305 are due to bacterial adaptation to dissimilar vaccination programs and if these result in  
306 differential resistance to components of host immunity.

307 FHA and AC toxin have been shown to positively and negatively control biofilm  
308 formation in *B. pertussis*, respectively (24, 39). By promoting cell-surface and inter-bacterial  
309 adhesion, FHA promotes biofilm formation (24). AC toxin inhibits *B. pertussis* biofilm  
310 formation by directly interacting with FHA (39). We found an inverse correlation between FHA  
311 production and AC toxin activity in recently isolated clinical strains which were characterized by  
312 the production of higher levels of FHA and lower AC toxin activity. The observed differences in

313 FHA levels and AC toxin activity could also explain the hyperaggregating property of the  
314 clinical strains. FHA is responsible for autoaggregation in *B. pertussis* (46) and autoaggregation  
315 in *B. pertussis* is inhibited by addition of ACT (39). We propose that by inversely controlling the  
316 production of a biofilm inhibitory and promoting factor, the clinical strains are able to display  
317 higher levels of autoaggregation and biofilm formation. A similar link between production of  
318 FHA and AC toxin and hyperbiofilm formation was recently reported by us in a cystic fibrosis  
319 isolate of *B. bronchiseptica* which was characterized by higher expression of the *fhaB* and the  
320 absence of the *cyaA* gene from the genome (36). To our knowledge, this report is the first to  
321 document the lower AC toxin activity in recently circulating strains of *B. pertussis*. It will be  
322 highly informative to determine if this property is conserved in a larger number of strains and in  
323 strains isolated from other countries. The observed differences in the levels of FHA and AC  
324 toxin activity raise an interesting question regarding the mechanism by which the regulation of  
325 these two genes is maintained in the clinical strains.

326         The *Bordetella bpsABCD* locus required for the synthesis of the Bps polysaccharide is  
327 critical for the stability and maintenance of the complex architecture of biofilms (23, 47, 48).  
328 Compared to Bp536, two of the hyperbiofilm formers had higher levels of *bpsA* expression  
329 whereas in other four the expression of this gene was similar. All the strains produced Bps.  
330 Targeted mutagenesis will offer detailed insights on the relative contribution of individual genes  
331 in hyperbiofilm formation of these strains.

332         A striking result from the present study is the discovery of a link between hyperbiofilm  
333 forming ability of bacteria and enhanced pathogenic phenotypes. First, many of the hyperbiofilm  
334 forming strains from both Argentina and USA exhibited increased adherence to human epithelial  
335 cells. The increased cellular adherence of the recently isolated strains is most likely a direct



336 result of enhanced production of FHA. FHA facilitates attachment of *B. pertussis* to a variety of  
337 multiple cell types and extracellular structures in the respiratory epithelium (43, 49, 50).

338         Given the central role that biofilms play in promoting enhanced resistance to chemicals,  
339 antimicrobial compounds and components of host immunity, it is reasonable to hypothesize that  
340 a hyperbiofilm phenotype will result in better survival in host tissues and organs. A few studies  
341 have directly tested this hypothesis and the results obtained were generally not supportive.  
342 Bacterial mutants that display increased biofilm formation are either equally or significantly less  
343 virulent than wild type strains (51-57). Similarly, while the increased in vitro cellular adherence  
344 of the hyperbiofilm forming clinical strains should in theory lead to enhanced colonization in an  
345 animal model, previously we did not find this to be the case. A clinical strain of *B.*  
346 *bronchiseptica* despite exhibiting higher levels of biofilms and epithelial cell adherence than the  
347 laboratory strain was deficient in early colonization of the mouse respiratory tract (36). In this  
348 study, two of the recently isolated strains that displayed hyperbiofilm and hyper adherence  
349 phenotypes colonized the mouse nose and trachea at higher numbers. Whether the hyperbiofilm  
350 forming ability observed on artificial surfaces and higher bacterial numbers of the clinical strains  
351 in mouse nose and trachea correlate with quantitative and qualitative differences in nasal and  
352 tracheal biofilms needs to be determined.

353         In conclusion, we have for the first time demonstrated an association between higher  
354 levels of biofilm formation in bacteria with enhanced colonization in an animal model of  
355 infection. Based on the data obtained, we propose some mechanistic explanations for the  
356 continued circulation of *B. pertussis* and the resurgence of pertussis. Hyperaggregative,  
357 hyperbiofilm and hyper epithelial cell adhesive properties of the clinical strains most likely  
358 results in the formation of robust organ-adherent biofilm communities in the nose and trachea.

359 These biofilm-borne bacteria would survive better in the respiratory tract because of evasion of  
360 and escape from immune defenses leading to nasopharyngeal carriage. Droplet or airborne routes  
361 are principal ways of *B. pertussis* transmission. Efficient generation of and optimal particle size  
362 are critical determinates for successful host-host transmission. Droplets are generally defined as  
363 being  $\geq 5 \mu\text{m}$  size and droplet sizes of diameters  $30 \mu\text{m}$  or greater can remain suspended in the  
364 air. *B. pertussis* is a relatively small bacterium ( $0.4\text{-}0.8 \mu\text{m}$ ) (58). We speculate that increased  
365 aggregation of the clinical strains in the respiratory tract could generate optimally-sized particles  
366 which will resist desiccation during transmission of infectious particles. Thus, a combination of  
367 enhanced respiratory tract survival followed by enhanced transmission has led to the resurgence  
368 of pertussis. Finally, the conservation of hyperbiofilm phenotype in *B. pertussis* strains in  
369 multiple continents with different vaccine and immunization schedules highlights the urgent  
370 need for continued research and development of alternative therapeutics and vaccines targeted  
371 towards the biofilm lifestyle.

372 **MATERIALS AND METHODS**

373 **Ethics Statement**

374 Housing, husbandry and experiments with animals were carried out in accordance with the  
375 guidelines approved by the Institutional Animal Care and Use Committee of Wake Forest School  
376 of medicine. Bacterial strains were collected by regional Microbiology Laboratories in Argentina  
377 and at Wake Forest School of Medicine as part of the patients' usual care, without any additional  
378 testing for the present investigation. De-identified organisms were provided to the investigators  
379 and the information received by the investigators was not individually identifiable. The research  
380 does not meet the federal definition of research involving human subject research as outlined in  
381 the federal regulations 45 CFR 46.

382

383 **Strains and growth conditions**

384 Strains used in this work are listed in Table 1. S49560 and M3984 were isolated in 2005 at  
385 WFSM from a 38 day old female baby (with coughing spells, apnea events and cyanosis) and a 7  
386 week old female baby (with cough and respiratory distress), respectively. Argentinean strains  
387 were isolated at La Plata Children's Hospital (Hospital Interzonal de Agudos Especializado en  
388 Pediatría "Sor María Ludovica") and the patient ages varied between 6 and 16 weeks old. *B.*  
389 *pertussis* strains were maintained on Bordet-Gengou agar (BGA) supplemented with 10% v/v of  
390 defibrinated sheep blood. For liquid cultures, strains were grown in Stainer-Scholte (SS) broth  
391 (35, 59). *E. coli* strains were grown in Luria-Bertani medium. When appropriate, antibiotics

392 were added to maintain plasmids and for strain selection on agar plates, streptomycin, 50  $\mu\text{g mL}^{-1}$   
393 <sup>1</sup>; kanamycin, 25  $\mu\text{g mL}^{-1}$ ; cephalixin, 40  $\mu\text{g mL}^{-1}$ .

#### 394 **Biofilm formation assays**

395 For microtitre dish assay of biofilm formation, 100  $\mu\text{L}$  of bacterial suspension prepared at an  
396  $\text{OD}_{650}$  of 1.0 were incubated statically for 4h at 37°C. After this initial attachment step, medium  
397 was carefully removed, fresh SS medium was added and plates were incubated at 37°C with  
398 shaking at 90 rpm. After every 24h of growth, medium was replaced with fresh SS medium.  
399 After indicated period of incubation, planktonic bacteria were removed and  $\text{OD}_{650}$  was measured.  
400 Adhered biomass was quantified by CV staining as previously described (60). Three independent  
401 experiments with quadruplicates for each strain were performed.

#### 402 **Autoaggregation assay**

403 Bacteria were cultured in SS medium with heptakis (2,6-di-*O*-methyl- $\beta$ -cyclodextrin) and  
404 supplement for 24h (61). Cells were harvested by centrifugation, washed and resuspended in  
405 only SS medium at an  $\text{OD}_{650}$  of 1.0 followed by static incubation at room temperature. At 2, 5  
406 and 24h of incubation, 100 $\mu\text{L}$  of the medium was taken out from the top layer of the suspension  
407 and  $\text{OD}_{650}$  was measured. Autoaggregation index (AI) was calculated by  $(\text{OD}_{t_0}-\text{OD}_t)/\text{OD}_{t_0}$ ,  
408 (where  $t_0$  is initial OD and  $t$  is OD measured at the designated time point). Three independent  
409 experiments were performed in duplicate for each sample. Statistical significance was evaluated  
410 by one-way ANOVA.

411

412

413 **Transformation of *B. pertussis* strains with plasmid pGBSp1-GFP**

414 *B. pertussis* strains were transformed by electroporation (62) of plasmid pGB5P1-GFP (63).  
415 Bacterial colonies were selected on BG agar containing kanamycin, and cultured in SS medium.  
416 GFP expression was confirmed by fluorescence microscopy.

417 **Adhesion to abiotic surfaces**

418 GFP-labeled strains were grown overnight in SS medium with kanamycin and used to prepare  
419 cell suspensions of OD<sub>650</sub> of 0.2. Two mL of bacterial suspension was added to individual wells  
420 of 6 well cell culture plates containing coverglasses (22 x 22 mm) and after 1h of incubation at  
421 37°C, each well was washed twice with PBS. Coverglasses were mounted on glass slides with  
422 ProLong Gold antifade reagent (Invitrogen) and observed with a Nikon Eclipse microscope.  
423 Adhered cells were counted with ITCN plug-in (64), run by ImageJ (65). At least three  
424 independent experiments were performed by duplicate for each strain, where four random  
425 regions were chosen for bacterial counting.

426 **Structural analysis of biofilms by CLSM**

427 Biofilms were grown on 22 x 22 mm coverglasses in 6 well plates in SS medium supplemented  
428 with kanamycin. Each well was inoculated with a bacterial suspension at an OD<sub>650</sub> of 1.0,  
429 followed by 4h of static incubation at 37°C, then the suspensions were removed and fresh  
430 medium was added. After every 24h of growth, the medium was replaced with fresh SS medium.  
431 Coverglasses were washed, mounted as described above, stored at 4°C for 24h and visualized  
432 with a Nikon Ti-Eclipse confocal microscope. Quantitative data corresponding to structural

433 features of the biofilms were acquired with COMSTAT2 (38). Each experiment was performed  
434 at least three times.

#### 435 **Enzymatic treatment of biofilms**

436 Biofilms grown in microtitre plates for 96h were treated with DNase I (40 U) (25), pronase E (1  
437 mg/mL) or sodium metaperiodate (40 mM, pH 5.0) for 2h at 37°C. Controls were treated with  
438 respective reaction buffers, 10 mM Tris-HCl pH 7.6, 2.5 mM MgCl<sub>2</sub>, 0.5 mM CaCl<sub>2</sub> for DNase I;  
439 10 mM Tris-HCl pH 7.5 for pronase E and with H<sub>2</sub>O for sodium metaperiodate. After each  
440 enzymatic treatment, the remaining biofilm was quantified by staining with CV.

#### 441 **Enzyme-Linked Immunosorbent Assay (ELISA)**

442 FHA production was determined by ELISA as previously described (66, 67). Briefly, 100 µL of  
443 heat-inactivated cells (OD<sub>650</sub> of 0.05 for FHA) in PBS were added to strip plates (Corning  
444 EIA/RIA stripwell plate) and incubated overnight at 4°C, washed with PBS buffer containing  
445 0.05% Tween 20 (PBST) followed by blocking with 5% skim milk for 1h at 37°C. A polyclonal  
446 serum raised in mouse (1:20,000 dilution) against purified FHA (Kaketsuken) was used as  
447 primary antibody. Antibody dilutions were prepared in 5% skim milk in PBST. As a control,  
448 non-immune serum was used. After 2h of incubation at 37°C, plates were washed with PBST, the  
449 secondary antibody (HRP-conjugated goat anti-mouse IgG; 1:20,000 dilution) was added  
450 followed by incubation for 2h at room temperature. After washing with PBST, 100 µL of  
451 tetramethyl-benzidine (TMB, Sigma) was added to each well and incubated in dark for 20 min  
452 followed by addition of 1 M H<sub>2</sub>SO<sub>4</sub> to stop the reaction. Absorbance was measured at 450 nm.  
453 For FHA protein quantification, a linear standard curve was prepared using different  
454 concentrations of purified FHA.

455 **Quantitation of Adenylate cyclase enzymatic activity**

456 *B. pertussis* clinical strains were grown to mid-log phase, until an OD<sub>650</sub> of 0.7-0.8. AC activity  
457 was determined as previously reported (68).

458 **RNA preparation, cDNA synthesis and qPCR**

459 *B. pertussis* strains were grown under shaking conditions to an OD<sub>650</sub> of 1.0, placed immediately  
460 on ice, centrifuged at 4°C and the bacterial pellets were lysed in RLT buffer (Qiagen). RNA was  
461 purified using the Qiagen RNeasy kit and treated with RQ1 DNase I (Promega) for 45 min at  
462 37°C to obtain DNA-free RNA. cDNA was synthesized with random hexamers and  
463 SuperScriptIII reverse transcriptase (Invitrogen) as described earlier (69). Differential expression  
464 of genes between the strains Bp536, Bp462, Bp892, Bp2751, H921, H973 and STOI-SEAT0004  
465 was analyzed by means of Pfaffl method (70), following real-time PCR quantification with  
466 SYBR Green. *rpoD* was used as a housekeeping gene for normalization. qPCR analysis was  
467 performed with three biological and two technical replicates. Primers used for qPCR are listed in  
468 Table 2.

469 **Immunoblot analyses**

470 Detection of Bps by Immunoblot was performed as previously described (23, 27). The  
471 membrane was probed with a 1:5,000 dilution of a goat antibody raised against *S. aureus* PNAG  
472 conjugated to diphtheria toxoid. The secondary antibody used was a horseradish peroxidase-  
473 conjugated mouse anti-goat immunoglobulin G (IgG) antibody (Pierce) diluted 1:20,000 and  
474 detected with the Amersham ECL (enhanced chemiluminescence) Western blotting system

475

476 **Bacterial adhesion to epithelial cells**

477 Human alveolar epithelial cells (A549) were cultured at 37°C under 5% CO<sub>2</sub> in Dulbecco's  
478 modified Eagle's medium supplemented with 10% FBS and 4 mM of L-glutamine. A549 cells  
479 were harvested at 90% confluency and approximately 2×10<sup>5</sup> cells were seeded in 24 well cell  
480 culture plates followed by incubation overnight. 2×10<sup>6</sup> CFU of *B. pertussis* were added to the  
481 wells, centrifuged at 900 rpm for 5 min to facilitate contact between bacteria and epithelial cells  
482 followed by incubation at 37°C for 15 min to allow bacterial attachment to A549 cells. The  
483 media was removed and the wells were washed four times with sterile PBS to remove any  
484 nonattached bacteria. The eukaryotic cells were then lysed with 0.05% saponin and the mixture  
485 was plated on BG-agar containing 10% blood and cephalexin for enumeration of attached  
486 bacteria. Adhesion assays were performed by duplicate, three times.

487 **Animal experiments**

488 Housing, husbandry and experiments with animals were carried out in accordance with the  
489 guidelines approved by the Institutional Animal Care and Use Committee of Wake Forest School  
490 of medicine. Groups of (5-8) of 8-10 weeks old male and female C57BL/6 mice were used for all  
491 the experiments. Mice were intranasally inoculated with 50 µl of a bacterial suspension with  
492 approximately 5×10<sup>5</sup> CFU of the indicated *B. pertussis* strains. At 4 days post-infection, mice  
493 were sacrificed followed by harvesting of nasal septum, trachea and three right lung lobes.  
494 Tissues were homogenized in PBS containing 1% casein and plated on BG agar containing 10%  
495 blood and streptomycin (for Bp536) or cephalexin (for clinical strains). After 3-5 days of growth  
496 at 37°C colonies were enumerated. Statistical significance was determined by one-way ANOVA  
497 and data were determined to be significant if  $P < 0.05$ .



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509

510 **FIGURE LEGENDS**

511 **FIG 1.** Biofilm forming capacity of *B. pertussis* strains. (A) Formation of a bacterial ring at the  
512 air-liquid interface of glass culture tubes. (B) Microtitre assay of biofilm formation at 96h by *B.*  
513 *pertussis* strains. Each data point represents the average value of three independent experiments  
514 performed in quadruplicates; error bars indicate standard deviation. Significant differences were  
515 assessed by one-way ANOVA and Bonferroni posttest. Asterisks designate P values. \*\*, <0.01  
516 and \*\*\*, <0.001.

517 **FIG 2.** Quantification of autoaggregation of *B. pertussis* strains. Each bar represents the mean  
518 value of at least three independent experiments performed in duplicate. Error bars represent  
519 standard deviations. Statistical differences were assessed by one-way ANOVA and Bonferroni  
520 posttest. Asterisks designate P values. \*\*, <0.01 and \*\*\*, <0.001.

521 **FIG 3.** Fluorescence microscopy and quantification of early bacterial attachment. (A) Attached  
522 GFP-labeled bacterial cells were observed by fluorescence microscopy. (B) Cells were counted  
523 by means of the ITCN plug-in, run by ImageJ. Data are average values of at least three  
524 independent experiments performed in duplicates. Four random regions were chosen for bacterial  
525 counting. Error bars indicate standard deviation.

526 **FIG 4.** CLSM micrographs of *B. pertussis* biofilms. GFP-labeled bacterial strains were grown on  
527 coverglasses in six well plates for the designated time points. Biofilms were visualized *in situ* by  
528 CLSM microscopy. CLSM image stacks were acquired at 0.9  $\mu\text{m}$  z-intervals. Xy and xz  
529 representative focal planes are shown.

530 **FIG 5.** COMSTAT analyses of *B. pertussis* biofilms. CLSM image stacks were acquired at 0.9  
531  $\mu\text{m}$  z-intervals and analyzed by COMSTAT2. Average values of parameters from CLSM image

532 stacks derived from at least three independent experiments are shown with standard errors. P  
533 values were determined using two-way ANOVA. (A) Average thickness and (B) Maximum  
534 thickness; these values are calculated only on the biomass (without counting uncovered area).  
535 (C) Biomass, this value represents the biomass volume divided by the area of the substratum. (D)  
536 Roughness coefficient, this value represents the variability in the height of the biofilm.

537 **FIG 6.** Biofilm dispersal by matrix dissolving agents. Ninety six hour biofilms were treated with  
538 pronase E in Tris buffer (A), 40 mM of sodium metaperiodate (NaIO<sub>4</sub>) in H<sub>2</sub>O (B) and DNase I  
539 in reaction buffer (C) for 2 h at 37°C (black bars). Biofilms were treated with respective reaction  
540 buffers as controls (white bars). Biofilm reduction is presented as percentage value of the  
541 respective strain incubated with buffer only. Average values are shown from one representative  
542 assay of three independent replicates, with their respective standard deviations. Significance was  
543 assessed by two-way ANOVA, Asterisks designate *P* values. \*, <0.05; \*\*, <0.01 and \*\*\*,  
544 <0.001.

545 **FIG 7.** Determination of the levels of biofilm associated factors/genes in *B. pertussis* strains. (A)  
546 Cell-surface associated FHA determination by ELISA. Average values of three replicates are  
547 presented with the respective standard deviation. (B) AC toxin activity quantification. AC toxin  
548 levels were assessed by enzymatic activity (pmoles cAMP/10min/10μl/OD), as described earlier  
549 (68). (C). *bpsA* expression and production. *bpsA* transcript levels were determined by qPCR and  
550 Pfaffl method. Asterisks designate *P* values. \*, <0.05; \*\*, <0.01 and \*\*\*, <0.001. (D) Dot blot of  
551 Bps. Production of Bps was detected as described previously (27).

552 **FIG 8.** Adherence of *B. pertussis* strains to epithelial cells. Adhesion assays were performed  
553 with A549 epithelial cell lines. Each strain was incubated at a multiplicity of infection of 10.

554 Results are expressed as the proportion of adherent bacteria to the original inoculum. Each data  
555 point is the average of three independent experiments performed in duplicate. Error bars indicate  
556 the standard deviations. Statistical differences were assessed by one-way ANOVA ( $p < 0.0001$ )  
557 and the Student's t-Test with Bonferroni correction as post hoc. Asterisks designate *P* values. \*,  
558  $< 0.05$ , \*\*,  $< 0.01$  and \*\*\*,  $< 0.001$ .

559 **FIG 9.** Colonization of mouse respiratory tract by Bp536, Bp462 and STO1-SEAT0004. Groups  
560 of C57BL/6 were intranasally inoculated with approximately  $5 \times 10^5$  CFU in 50  $\mu$ L of PBS. After  
561 4 (A) and 7 days post-inoculation (B), animals were sacrificed and bacterial loads were determined  
562 in nasal septum, trachea and lung. Horizontal bars represent the average value for each group.  
563 Significance was analyzed by means of one-way ANOVA and Dunnett's posttest. Asterisks  
564 designate *P* values. \*,  $< 0.05$ ; \*\*,  $< 0.01$  and \*\*\*,  $< 0.001$ .

565

566

567 **TABLE 1** Strains used in this study.

Strains	Source	Year of isolation/reference
BpTohama I	Laboratory reference strain	1954
Bp536	Laboratory reference strain, Sm <sup>r</sup> derivative of Tohama I	(71)
Bp369	(Bvg <sup>-</sup> ) derivative of Tohama III	(72)
$\Delta fhaB$	$\Delta fhaB$ mutant	(73)
$\Delta bps$	$\Delta bps$ mutant	(27)
Bp462	Argentina	2006
Bp479	Argentina	2007
Bp612	Argentina	2008
Bp892	Argentina	2007
Bp955	Argentina	2001
Bp1938	Argentina	2003
Bp2524	Argentina	2004
Bp2723	Argentina	2001
Bp2751	Argentina	2004
Bp2770	Argentina	2001
H918	USA	2012 (74)
H921	USA	2012 (74)
H973	USA	2012 (74)
H987	USA	2012 (74)
I002	USA	2012 (74)
STO1-CHOC0008	USA	2010 (74)
STO1-SEAT0004	USA	2011 (74)
M3984	USA	2005
S49560	USA	2005

568

569 **TABLE 2** Primer sequences

Primer	Sequence
rpoD-Fw	5'- ATGGGCATCCGCTTCACG
rpoD-Rv	5'- CTTCGTCCAACACCCAC
bpsA-Fw	5'- CGCTGCTGACCATGGATTT
bpsA-Rv	5'- CTGGTGTACAGCATGGTGTGTTGA

570

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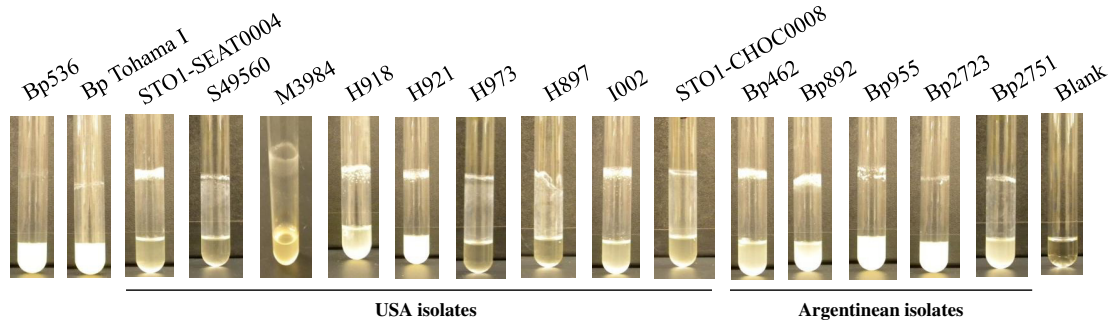


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