

1 ***The pituitary TGFβ1 system as a novel target for the treatment of resistant***  
2 ***prolactinomas.***

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25 **ABSTRACT**

26 Prolactinomas are the most frequently observed pituitary adenomas and most  
27 of them respond well to conventional treatment with dopamine agonists. However, a  
28 subset of prolactinomas fails to respond to such therapies and is considered as  
29 dopamine agonist-resistant prolactinomas (DARPs). New therapeutic approaches are  
30 necessary for these tumors. TGF $\beta$ 1 is a known inhibitor of lactotroph cell proliferation  
31 and prolactin secretion, and it partly mediates dopamine inhibitory action. TGF $\beta$ 1 is  
32 secreted to the extracellular matrix as an inactive latent complex, and its bioavailability  
33 is tightly regulated by different components of the TGF $\beta$ 1 system including latent  
34 binding proteins (LTBPs), local activators (Thrombospondin-1, matrix metalloproteases,  
35 integrins, among others), and TGF $\beta$  receptors. Pituitary TGF $\beta$ 1 activity and the  
36 expression of different components of the TGF $\beta$ 1 system, are regulated by dopamine  
37 and estradiol. Prolactinomas (animal models and humans) present reduced TGF $\beta$ 1  
38 activity as well as reduced expression of several components of the TGF $\beta$ 1 system.  
39 Therefore, restoration of TGF $\beta$ 1 inhibitory activity represents a novel therapeutic  
40 approach to bypass dopamine action in DARPs. The aim of this review is to summarize  
41 the large literature supporting TGF $\beta$ 1 important role as a local modulator of pituitary  
42 lactotroph function; as well to provide recent evidence of the restoration of TGF $\beta$ 1  
43 activity as an effective treatment in experimental prolactinomas.

44

45

## 46        **Pituitary tumors**

47

48            Pituitary tumors are commonly benign, slow growing adenomas and account for  
49 10-15% of all intracranial neoplasms (Farrell 2006; Melmed 2015). The prevalence of  
50 these tumors is relatively high in the general population, with approximately 77 cases  
51 per 100.000 (Daly *et al.* 2009; Fernandez *et al.* 2010), and studies of autopsy  
52 specimens identified up to a 20% prevalence of clinically occult pituitary adenomas  
53 (Ezzat *et al.* 2004).

54            Despite their benign features pituitary tumors can cause considerably morbidity  
55 due to both hypersecretion of pituitary trophic hormones and excessive tumor growth  
56 that can affect surrounding tissue. Common symptoms of a pituitary tumor compressive  
57 'mass effect' include visual impairment, headaches, neurological disorders and  
58 hypopituitarism caused by disruption of the hypothalamic-pituitary axis (Arafah &  
59 Nasrallah 2001; Melmed 2011). Based on their size, pituitary adenomas are classified  
60 as microadenomas (< 10mm), macroadenomas (>10mm) or giant adenomas (>40mm).

61            Pituitary tumors usually present with monoclonal growth and can also be  
62 classified according to their cell type origin and hormone secretion. Thus,  
63 somatotropinomas secrete growth hormone (GH), prolactinomas secrete prolactin  
64 (PRL), thyrotropinomas secrete thyroid-stimulating hormone (TSH), and  
65 corticotropinomas secrete adrenocorticotropin hormone (ACTH). In contrast, the non-  
66 functioning pituitary adenomas do not produce any hormone and usually derive from  
67 gonadotropes (Kovacs *et al.* 2001; Syro *et al.* 2015).

68

## 69        **Prolactinomas**

70            Among functioning pituitary tumors, prolactinomas are the most frequently  
71 observed in the clinic (40%) (Ciccarelli *et al.* 2005). Excessive PRL secretion by these  
72 tumors leads to hyperprolactinemia, which primarily affects gonadal/reproductive  
73 function, causing hypogonadism, galactorrhea, decreased libido and infertility both in

74 men and women. Large macroprolactinomas can also cause neurological symptoms  
75 due to compression of adjacent tissues.

76 Prolactinomas are usually benign, and although some tumors show invasion  
77 into the parasellar compartment and/or sphenoid sinuses, malignant transformation  
78 and metastatic spread are extremely rare. Macroprolactinomas tend to be more  
79 aggressive and resistant to therapies than microprolactinomas (Wong *et al.* 2015a).

80 Differences in prolactinoma incidence, tumor size and behavior have been  
81 described among genders. The prevalence of prolactinomas is higher in women during  
82 the fertile period (20-50 years), while the frequency is similar between sexes after the  
83 fifth decade of life (Colao *et al.* 2003; Gillam *et al.* 2006). Also, women usually present  
84 with microprolactinomas whereas men more often present with macroprolactinomas (  
85 Delgrange *et al.* 1997; Nishioka *et al.* 2003). These differences have been associated  
86 to the earlier diagnosis in woman due to the readily detection of symptoms caused by  
87 high prolactin (amenorrhea/ galactorrhea) (Delgrange *et al.* 1997; Colao *et al.* 2003;  
88 Nishioka *et al.* 2003; Gillam *et al.* 2006). However, delayed diagnosis in men may not  
89 be the only explanation for the differences in tumor size, since young men also present  
90 with macroprolactinomas, and prolactinomas in men tend to be more aggressive, with  
91 higher proliferative indexes and lower rates of surgical cure, suggesting a sex-specific  
92 behavior for these tumors (Delgrange *et al.* 1997; Gillam MP & Molitch ME 2015).

93

#### 94 **Prolactinoma treatment**

95 The major goals of treatment in patients with prolactinomas are to normalize  
96 serum PRL levels, to restore gonadal function, to reduce tumor size and to preserve or  
97 improve residual pituitary function. Prolactin secretion in the normal pituitary is tonically  
98 inhibited by hypothalamic dopamine through dopamine D2 receptors (Drd2) expressed  
99 on lactotroph cell membranes (Ben Jonathan & Hnasko 2001). The majority of  
100 prolactinomas retain an intact response to dopamine inhibition, therefore medical  
101 treatment with dopamine agonists (DAs), such as cabergolina and bromocriptine,

102 represents the first line therapy for this tumors, including microprolactinomas,  
103 macroprolactinomas and giant prolactinomas (Wong *et al.* 2015b). Dopamine agonists  
104 are highly effective in achieving therapeutic aims with a favorable benefit/risk balance  
105 compared with surgical treatment.

106

### 107 **Dopamine agonist-resistant prolactinomas (DARPs)**

108 Despite the universal use of dopamine agonists and their high efficiency in  
109 reducing PRL levels and decreasing tumor size, there is a subset of prolactinomas (10-  
110 15%) that do not respond appropriately to the treatment, even at high doses of DA  
111 (Vroonen *et al.* 2012). These tumors represent a major challenge for clinical  
112 management. DARPs are more prevalent in men than woman, occur most frequently  
113 as macroprolactinomas and tend to be invasive, exhibiting extension to the cavernous  
114 sinuses.

115 The molecular mechanisms underlying the escape from dopaminergic  
116 regulation in DARPS are not fully elucidated. The main candidate thought to be  
117 responsible for resistance is the *Drd2* itself. However, to date, no point mutation in the  
118 *Drd2* gene has been identified in DARPs (Friedman *et al.* 1994; Molitch 2003; Gillam *et al.*  
119 *et al.* 2006; Vroonen *et al.* 2012; Molitch 2014). Nevertheless, several mechanisms that  
120 lead to reduced *Drd2* sensitivity were described in resistant prolactinomas, including  
121 evidence of decreased *Drd2* mRNA expression, and differential expression of short and  
122 long *Drd2* isoforms (Caccavelli *et al.* 1994; Vasilev *et al.* 2011; Shimazu *et al.* 2012);  
123 reduced *Drd2* density and reduced dopamine binding sites in plasma membranes of  
124 DARP cells (Pellegrini *et al.* 1989). Alterations in dopamine signaling, such as  
125 decreased expression of the inhibitory alpha G protein subunit ( $G_{\alpha i2}$ ) have also been  
126 described (Caccavelli *et al.* 1996); as well as decreased expression of the nerve growth  
127 factor receptor (NGFR), which indirectly modulates *Drd2* expression (Passos *et al.*  
128 2009). Histological studies on DARPs also revealed increased angiogenesis, cellular  
129 atypia (multinucleated cells, irregular nuclei) and increased proliferation index

130 measured by Ki67 staining, indicating an overall increase invasiveness (reviewed in  
131 (Gurlek *et al.* 2007)).

132

### 133 **Alternative treatments for DARPs**

134 At present, there is no alternative medical treatment for DARPs, and  
135 transsphenoidal surgery is indicated if the tumor is still resectable (Primeau *et al.* 2012;  
136 Smith *et al.* 2015). However, some aggressive prolactinomas recur post-operatively  
137 and show progressive growth, in which case, radiotherapy is the next therapeutic  
138 option, but with limited efficiency (Molitch 2014).

139 The chemotherapy agent temozolomide (TMZ) has been recently used as a last  
140 resort therapy and showed a moderately successful response in large aggressive  
141 DARPs (Whitelaw *et al.* 2012; Liu *et al.* 2015; McCormack *et al.* 2011). However the  
142 efficacy of TMZ therapy in aggressive pituitary adenomas remains controversial (Bruno  
143 *et al.* 2015) and clinical trials are now necessary to establish the indications, doses,  
144 and duration of TMZ administration to more accurately determine the efficacy of this  
145 agent.

146 New therapeutic approaches are necessary for those prolactinomas that are  
147 resistant to conventional treatments. Few reports of experimental treatments can be  
148 found in the literature and show variable effectiveness in pre-clinical and *in vitro*  
149 models. For instance, treatment with somatostatin receptor (SSTR) analogs failed to  
150 inhibit prolactin secretion by cultured cells derived from DARPs (Fusco *et al.* 2008)  
151 despite the expression of all subtypes of SSTR in human prolactinomas (Jaquet *et al.*  
152 1999).

153 Based on the counteracting effects of estradiol on dopamine action in  
154 lactotrophs, targeting of the estrogen receptor with tamoxifen was evaluated in the pre-  
155 cabergoline era in patients with bromocriptine-resistant prolactinomas, but only a  
156 moderated reduction in PRL levels was observed (Volker *et al.* 1982). A novel anti-  
157 estrogen agent, fulvestrant, also reduced PRL secretion in pituitary cell lines, and

158 decreased tumor growth and serum PRL in estrogen-induced prolactinomas in rats  
159 (Cao *et al.* 2014).

160

### 161 **In the search for new therapeutic targets for DARPs**

162 Studies in animal models of prolactinomas with altered sensitivity to DA, such  
163 as the estrogen induced prolactinomas in rats and the *Drd2* knock-out mice (*Drd2*<sup>-/-</sup>)  
164 have been very helpful to identify molecular pathways altered in these tumors and to  
165 test potential future therapies. Many of these studies suggest that the deregulation of  
166 local growth factors and extracellular matrix remodeling participate in the pathogenesis  
167 of prolactinomas by promoting cell proliferation, angiogenesis and invasiveness (Paez-  
168 Pereda *et al.* 2005; Cristina *et al.* 2005; Cristina *et al.* 2007; Recouvreux *et al.* 2013).

169 Transforming growth factor beta 1 (TGFβ1), a well-known inhibitor in lactotroph  
170 physiology, has been recently identified as a novel target for the development of new  
171 therapies in resistant prolactinomas.

172

### 173 **The complexity of the TGFβ system and biology**

174 TGFβs are multifunctional cytokines known to play crucial regulatory roles in  
175 cellular proliferation and differentiation, angiogenesis, extracellular matrix modification  
176 and immunomodulation (Yoshinaga *et al.* 2008), and have powerful effects on  
177 embryogenesis, development and tissue homeostasis (Heldin *et al.* 2009; Galvin-  
178 Burgess *et al.* 2013; Itoh *et al.* 2014). The TGFβ family comprises more than 30 highly  
179 pleiotropic molecules including activins, inhibins, nodal, bone morphogenetic proteins  
180 (BMPs), the anti-muellerian hormone (AMH), and several growth and differentiation  
181 factors (GDFs) among others (Derynck & Akhurst 2007). Three isoforms of TGFβ have  
182 been identified (TGFβ1, 2, and 3).

183 The importance of TGFβ1 is clearly demonstrated by the fact that TGFβ1 null  
184 mutation causes excessive inflammatory response and early death (Kulkarni *et al.*  
185 1993). On the contrary, an excess of TGFβ1 activity is associated to connective tissue

186 diseases, fibrosis and inflammation, cirrhosis, arthritis and sclerosis, cardiovascular  
187 diseases and cancer, making TGF $\beta$  an interesting target for therapeutic research  
188 (Pohlers *et al.* 2009; Doyle *et al.* 2012; Akhurst & Hata 2012).

189 Nearly all cell types are sensitive to TGF $\beta$ 1, but TGF $\beta$  action is highly  
190 dependent on cell type, developmental stage, physiological- pathological conditions,  
191 interaction with components of the extracellular matrix and, once bond to its receptor,  
192 interaction with other signaling pathways.

193 The potent biological activity of TGF $\beta$ 1 is tightly regulated at different levels,  
194 including its synthesis, secretion, storage and activation. The three TGF $\beta$  isoforms are  
195 synthesized as homodimeric precursor molecules that contain a pro-peptide sequence,  
196 called latency associated peptide (LAP), and the functional mature TGF $\beta$  sequence  
197 (Figure 1. 1). After proteolytic processing by furin within the trans-golgi, LAP remains  
198 associated with the mature TGF $\beta$  by non-covalent interactions in a small latent  
199 complex (Figure 1. 2). While in the endoplasmic reticulum, LAP is linked, by disulfide  
200 bonds, with a latent TGF $\beta$  binding protein (LTBP) (Figure 1. 3). LTBPs belong to a  
201 family of large secretory extracellular matrix (ECM) glycoproteins. Although LTBPs are  
202 not required for maintenance of TGF $\beta$  latency, they facilitate the secretion, storage,  
203 and activation of the TGF $\beta$ –LAP complex (Rifkin 2005).

204 TGF $\beta$  is secreted as part of this large latent TGF $\beta$  complex (LLC), (Figure 1. 3),  
205 and is incorporated as component of the ECM, which acts as a cytokine reservoir  
206 (Figure 1. 4). Trapped in the ECM, TGF $\beta$  remains latent because of persistent binding  
207 of LAP and must undergo a highly regulated activation process by which mature  
208 cytokine is released from its latent complex to enable the active form to bind and signal  
209 through its receptor (Figure 1. 5). Latent TGF $\beta$  activation is a crucial event in governing  
210 the cytokine biological function and availability in the ECM (Annes *et al.* 2003; Annes *et*  
211 *al.* 2004; Rifkin 2005).



212 Several latent TGF $\beta$ 1 activators have been described, including proteases  
213 (such as plasmin, matrix metalloproteinase 2 (MMP2), matrix metalloproteinase 9  
214 (MMP9), BMP-1, thrombospondin-1 (TSP-1), kallikrein 1 (KLK1), integrins  $\alpha$ v $\beta$ 6 and  
215  $\alpha$ v $\beta$ 8, and reactive oxygen species (ROS) or pH changes in the local environment,  
216 among others. However, their individual biological importance in releasing TGF $\beta$ 1 from  
217 its latent complex and their local regulation in different tissues are not fully understood  
218 (Annes *et al.* 2003; Annes *et al.* 2004; Yoshinaga *et al.* 2008). Since all these factors  
219 are related to ECM perturbations, the latent TGF $\beta$  complex has been postulated as a  
220 “sensor” of environment disturbances (Annes *et al.* 2003).

221 Once TGF $\beta$ 1 is released from the ECM, the active cytokine binds to its  
222 transmembrane receptor, the type II TGF $\beta$  receptor (T $\beta$ RII), a constitutively active  
223 kinase that recruits and phosphorylates T $\beta$ RI (type I TGF $\beta$  receptor) forming a  
224 heterotetrameric complex of serine/threonine kinase receptors containing two type I  
225 and two type II subunits (Figure 1, 6). Next, T $\beta$ RI phosphorylates the downstream  
226 receptor-associated Smads (R-Smads: Smad2/Smad3), which form a heteromeric  
227 complex with Smad4, and translocate to the nucleus to regulate the transcription of  
228 target genes (Figure 1. 7). Additionally, an inhibitory Smad, Smad7, competes with the  
229 Smad2/3 for binding to the activated T $\beta$ RI, thereby exerting a negative effect on  
230 TGF $\beta$ /Smad signaling (Shi & Massague 2003; Han *et al.* 2015).

231 This pathway is known as “the canonical” TGF $\beta$  signaling pathway. However,  
232 TGF $\beta$  can also signal through smad-independent pathways, including the mitogen-  
233 activated protein kinases (ERK1/2, JNK, p38), small GTP-binding proteins (Ras, RhoA,  
234 Rac1, CDC42, mTOR), the NF- $\kappa$ B pathway and Wnt/ $\beta$ -catenin pathway, the AKT/PKB  
235 pathway and phosphatidylinositol-4,5-bisphosphate 3-kinase (PI3K) ( Attisano & Wrana  
236 2002; Derynck & Zhang 2003; Moustakas & Heldin 2005).

237 As a multifunctional cytokine with powerful effects on cell proliferation, cellular  
238 migration and inflammation, TGF $\beta$  signaling has been targeted for drug development

239 and numerous strategies have proceeded through preclinical to clinical trials (reviewed  
240 in (Akhurst & Hata 2012)).

241

#### 242 - TGF $\beta$ 1 in the pituitary: a brief history

243 The earliest publications on TGF $\beta$ 1 action in the pituitary date from the late 80s  
244 and early 90s (Ying *et al.* 1986; Mueller & Kudlow 1991). Dr. Sarkar and col were the  
245 first to demonstrate local TGF $\beta$ 1 mRNA and protein expression in the pituitary gland,  
246 and the inhibitory action of TGF $\beta$ 1 on prolactin secretion and lactotrophic growth in  
247 1992 (Sarkar *et al.* 1992). Although these first evidences were found in animal models  
248 (rat), TGF $\beta$ 1 and T $\beta$ RII expression were promptly found to be expressed in human  
249 pituitaries (Halper *et al.* 1992; Fujiwara *et al.* 1995), as well as in human  
250 pituitary adenomas (Fujiwara *et al.* 1995; Jin *et al.* 1997).

251 The main physiological modulators of lactotroph function are dopamine and  
252 estradiol, which exert inhibitory and stimulatory actions, respectively (Ben Jonathan &  
253 Hnasko 2001). The pro-mitotic effect of estradiol (pharmacological doses) and its role  
254 in prolactinoma induction is very well described in the literature (Heaney *et al.* 2002).  
255 However, estrogens also participate in the lactotroph cell turnover in normal pituitary  
256 glands, sensitizing lactotroph cells to apoptotic stimuli. Therefore the effect of estradiol  
257 on lactotroph function depends on the dose and normal/tumoral condition of the cells  
258 (Pisera *et al.* 2004; Zaldivar *et al.* 2009; Jaita *et al.* 2015). Interestingly, dopamine and  
259 estradiol also regulate the expression of both TGF $\beta$ 1 and its receptor, but in opposite  
260 ways. Thus, while estrogen stimulation increases serum PRL levels and lactotroph  
261 proliferation, it decreases the expression of TGF $\beta$ 1 in the anterior pituitary. On the  
262 contrary, dopamine, acting through the Drd2, up-regulates TGF $\beta$ 1 expression and  
263 secretion *in vivo* and *in vitro*, with a concomitant reduction in the proliferation rate of  
264 lactotrophs. Moreover, it has been proposed that TGF $\beta$ 1 partially mediates the  
265 inhibitory effect of dopamine on lactotroph proliferation (Sarkar *et al.* 2005). Our group  
266 has recently described that the amount of pituitary active TGF $\beta$ 1 is also locally

267 regulated by dopamine and estradiol treatment in mice, and moreover we found an  
268 inverse correlation between active TGF $\beta$ 1 levels and serum PRL (Recouvreux *et al.*  
269 2011). It is worth noting that less than 8% of total pituitary TGF $\beta$ 1 was found in the  
270 active form, similar to what has been described in other tissues (Yoshinaga *et al.*  
271 2008). This underscores the tightly regulation of the latent TGF $\beta$  activation process.

272 Other important factor regulating lactotroph homeostasis is PRL itself, acting through  
273 the PRL receptor (PRLR). It has been shown that endogenous PRL exerts  
274 paracrine/autocrine antiproliferative and proapoptotic effects on lactotrophs, and  
275 moreover, knock-out mice lacking PRLR develop prolactinomas, further demonstrating  
276 the important role of PRL in the negative feedback on lactotroph function (Schuff *et al.*  
277 2002; Ferraris *et al.* 2012; Ferraris *et al.* 2014).

278 Whether PRL can as well regulate TGF $\beta$ 1 expression or function in the pituitary gland  
279 is an open question that has not yet been addressed.

280

## 281 **Alterations in the components of the TGF $\beta$ 1 system during prolactinoma** 282 **development**

283

### 284 - **Evidences of TGF $\beta$ 1 alterations in estradiol-induced prolactinomas in rats**

285 The estrogen-treated rat is a well-known model for prolactinoma development  
286 with increased pituitary weight, hyperprolactinemia, lactotroph hyperplasia and reduced  
287 dopaminergic action at the pituitary level (Heaney *et al.* 1999; Heaney *et al.* 2002b).  
288 Furthermore, estradiol treatment decreases pituitary TGF $\beta$ 1 and T $\beta$ RII mRNA and  
289 protein, together with an increase in PRL levels (Sarkar *et al.* 1992; Pastorcic *et al.*  
290 1995; De *et al.* 1996) (Figure 2). Therefore, the inhibition of TGF $\beta$ 1 and T $\beta$ RII might  
291 cooperate in the development of prolactinomas induced by estradiol (Hentges & Sarkar  
292 2001). In agreement with this idea, pituitary tumorigenesis induced by estrogen

293 treatment is greatly accelerated in T $\beta$ RII heterozygous knockout mice (T $\beta$ RII<sup>+/-</sup>) where  
294 the expression of T $\beta$ RII is markedly reduced (Shida *et al.* 1998).

295

296 - **TGF $\beta$ 1 alterations in the prolactinoma development in Drd2<sup>-/-</sup> mice**

297 Another well characterized model to study prolactinoma development are the  
298 transgenic knock out mice lacking functional Drd2 (Drd2<sup>-/-</sup>). This model represents an  
299 excellent model to mimick dopamine agonist-resistance. Because of the absence of  
300 inhibitory dopaminergic control, these mice display chronic hyperprolactinemia and  
301 lactotroph hyperplasia (Kelly *et al.* 1997; Diaz-Torga *et al.* 2002; Cristina *et al.* 2006),  
302 but the loss of dopamine inhibition has deeper effect on pituitary function in female than  
303 in male mice (Saiardi *et al.* 1997; Diaz-Torga *et al.* 2002). In females the increase in  
304 serum prolactin levels is much more pronounced than in males, and females develop  
305 lactotroph hyperplasia from 6 month onwards, while age-matched Drd2-deficient males  
306 develop pituitary lactotroph adenomas at 17 to 20 months of age (Asa *et al.* 1999).

307 Interestingly, active and total TGF $\beta$ 1 levels, as well as T $\beta$ RII and LTBP1  
308 expression are reduced in Drd2<sup>-/-</sup> pituitaries compared to controls (wild type or wt),  
309 highlighting the stimulatory role of dopamine on pituitary TGF $\beta$ 1 system (Recouvreux *et*  
310 *al.* 2011) (Figure 2). On the other hand, the impact of the chronic loss of dopaminergic  
311 tone on the TGF $\beta$ 1 system was also stronger in females, evidenced by the  
312 downregulation of several putative TGF $\beta$ 1 activators (MMP2, MMP9, MT1-MMP,  
313 thrombospondin-1 and kallikrein) as well as the decreased expression of TGF $\beta$ 1 target  
314 genes observed only in females (Drd2<sup>-/-</sup> vs. their wt counterpart). In this model we  
315 found sex differences in the regulation of the TGF $\beta$ 1 system: males express higher  
316 levels of several components of the TGF $\beta$ 1 system, and it could be due to the lower  
317 serum estradiol levels present in males, as estradiol negatively controls most of the  
318 components of the system (Recouvreux *et al.* 2013). We suggest that stronger pituitary  
319 TGF $\beta$ 1 system could protect males from excessive lactotroph proliferation and

320 prolactinoma development. Then, sex differences found in the regulation of the TGF $\beta$ 1  
321 system could explain sex differences found in the incidence of prolactinoma  
322 development in this model.

323

324 - **TGF $\beta$ 1 alterations in human pituitary tumors**

325 In humans the expression of several components of the TGF $\beta$  signaling  
326 pathway was recently compared in five normal human anterior pituitaries, 29 invasive  
327 nonfunctioning pituitary adenomas (NFPAs) and 21 noninvasive NFPAs (Zhenye *et al.*  
328 2014). This report demonstrated that TGF $\beta$ 1 mRNA expression and p-Smad3 protein  
329 levels gradually decreased, while Smad7 mRNA levels gradually increased from  
330 normal anterior pituitaries to noninvasive NFPAs and invasive NFPAs. The authors  
331 concluded that the activity of TGF $\beta$  signaling would be limited during tumor  
332 development.

333 Recent work also described a significant down-regulation of the TGF $\beta$ 1/Smad  
334 signaling cascade in 12 cases of DARPs compared to normal human anterior  
335 pituitaries. The authors showed that TGF $\beta$ 1 mRNA levels, and Smad2 and Smad3  
336 mRNA and protein expression were significantly decreased in human prolactinomas (Li  
337 *et al.* 2015) (Figure 2).

338

339 Overall, decreased TGF $\beta$ 1 activity and decreased expression of different  
340 components of the TGF $\beta$ 1 system have been described in animal models of  
341 prolactinomas as well as in human prolactinomas. Taking into account that TGF $\beta$ 1  
342 inhibits lactotroph proliferation and PRL synthesis and secretion, we speculate that  
343 recovering local TGF $\beta$ 1 activity could contribute to revert the adenoma development  
344 and to normalize prolactinemia.

345

346 - **Recovery of local TGF $\beta$ 1 activity. Successful treatment in an experimental**  
347 **model of prolactinoma**

348

349 Thrombospondin-1 (TSP1) is one of the main physiologic latent TGF $\beta$ 1  
350 activators *in vitro* and *in vivo* (Schultz-Cherry *et al.* 1994). TSP1 is a large  
351 multifunctional matrix glycoprotein involved in cell growth, adhesion, migration (Lawler  
352 2002). TSP1 also functions as an endogenous antiangiogenic factor, inhibiting the  
353 proliferation and migration of endothelial cells by interaction with its cell surface  
354 receptor CD36 and by antagonizing VEGF activity (Lawler & Lawler 2012).

355 Based on the CD36-binding peptide sequence from TSP-1, small-molecules were  
356 developed to mimic TSP-1 antiangiogenic properties (Haviv *et al.* 2005). Several of  
357 these new drugs were able to slow tumor growth in preclinical models (Anderson *et al.*  
358 2007; Yang *et al.* 2007; Garside *et al.* 2010). Among them, ABT-510 and ABT-898  
359 (Abbott Laboratories), two of such TSP1 analogs, were assayed in several solid tumors  
360 (Haviv *et al.* 2005). ABT-510 was evaluated in phase II clinical trials for the treatment of  
361 head and neck cancer, non-small cell lung cancer, lymphoma, and renal cell carcinoma  
362 (Haviv *et al.* 2005; Ebbinghaus *et al.* 2007; Markovic *et al.* 2007; Yang *et al.* 2007;  
363 Gordon *et al.* 2008; Nabors *et al.* 2010). The second-generation TSP-1 synthetic  
364 analogue, ABT-898, was found to have greater potency than ABT-510 and is expected  
365 to have greater efficacy than the other available TSP-1-mimetic peptides (Garside *et al.*  
366 2010; Campbell *et al.* 2011) due to its lower clearance rate.

367 Immunoreactive TSP-1 is present in the anterior pituitary, particularly in  
368 endothelial cells (Burns & Sarkar 1993), and TSP-1 levels and its antiangiogenic effect  
369 are reduced in prolactinomas induced by estradiol in rats (Sarkar *et al.* 2007) and in the  
370 hyperplastic pituitaries of *Drd2*<sup>-/-</sup> mice (Recouvreux *et al.* 2013). TSP-1 expression was  
371 also found down-regulated in invasive vs. non-invasive prolactinomas in humans (Jiang  
372 *et al.* 2012).

373 Given that: 1) TSP1 is an antiangiogenic factor, 2) TSP1 expression is reduced  
374 during prolactinoma development, 3) TSP1 is a known TGF $\beta$ 1 activator, 4) TGF $\beta$ 1  
375 activity is also reduced during the development of prolactinomas, and 5) TGF $\beta$ 1 is an

376 inhibitory factor of lactotroph proliferation and synthesis; we speculated that treatments  
377 that improve pituitary TSP-1 and/or TGF $\beta$ 1 activities could reduce the progression of  
378 prolactinomas.

379 We first evaluated whether the TSP1 analogs were able to activate TGF $\beta$ 1 in  
380 the pituitary. In fact, an *in vivo* short term treatment (100 mg/kg ABT-510, ip, 30 min)  
381 enhanced the biological activity of pituitary TGF $\beta$ 1, with a concomitant reduction in  
382 serum prolactin levels (Recouvreux *et al.* 2012). Notably, same effect was observed  
383 after short term treatment with ABT-510 in female rats carrying prolactinoma induced  
384 by chronic estradiol treatment. We next evaluated whether an *in vivo* treatment for two  
385 weeks with the TSP1 analogs could counteract the development of estradiol-induced  
386 prolactinomas in rats. ABT-510 and ABT-898 treatment (100mg/kg ip, thrice a week for  
387 two weeks) significantly decreased pituitary tumor size, reduced tissue angiogenesis  
388 and pituitary proliferation markers, as well as serum prolactin levels, in female rats with  
389 prolactinomas induced by chronic treatment with estradiol (Recouvreux *et al.* 2012).  
390 Furthermore, ABT-510 and ABT-898 treatment markedly increased active TGF $\beta$ 1  
391 content, measured by ELISA within the tumors. The increase in cytokine activation was  
392 also reflected in the recovery of intrapituitary pSMAD2/3 expression (Figure 3). Besides  
393 from the well-known antiangiogenic effect of these TSP-1 mimetic peptides, the  
394 improvement of the local TGF $\beta$ 1 biological activity, most likely contributed to the  
395 reduction in serum prolactin and in the inhibition of prolactinoma growth.

396

### 397 - Overall conclusions and Perspectives

398

399 Prolactinomas are the most frequent pituitary tumors in adults accounting for  
400 60% of all functioning pituitary tumors (Ciccarelli *et al.* 2005). Even though  
401 prolactinomas are usually benign and in most cases respond well to treatment with  
402 dopaminergic agents, 15% of these tumors are resistant to classical therapy, become

403 invasive and aggressive, and require extirpation. The mechanisms underlying the  
404 escape from dopaminergic regulation in DARPS are not fully understood, and the main  
405 candidate to be responsible for resistance is the *Drd2* itself. Since  $TGF\beta 1$  mediates, at  
406 least partially, the inhibitory action exerted by dopamine on lactotrophs, and reduced  
407  $TGF\beta 1$  activity is a common feature of prolactinoma development, treatments that  
408 improve pituitary  $TGF\beta 1$  activity represent a rational approach to develop alternative  
409 therapies for DARPs. Supporting this, we provide evidence of the effectiveness of a  
410 treatment with the small TSP1-analog peptides ABT-510 and ABT-898 to restore  
411  $TGF\beta 1$  activity and to counteract prolactinoma development in rats.

412

413       Taken together the data summarized here, the recovery of  $TGF\beta 1$  activity  
414 emerges as a novel therapeutic target for treatment of dopamine agonist resistant  
415 prolactinomas.

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418

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## FIGURE LEGENDS

### Figure 1: The biology of TGF $\beta$ system

TGF $\beta$  is synthesized as homodimeric precursor containing a pro-peptide sequence LAP, (1), and then processed by furin. LAP remains associated with the mature TGF $\beta$  by non-covalent interactions in a small latent complex (2), which in turn is linked by disulfide bonds to one of the latent TGF $\beta$  binding proteins (LTBP1-4) (3). TGF $\beta$  is secreted as part of this large latent TGF $\beta$  complex (LLC) (3), and it is incorporated as component of the extracellular matrix (ECM), which acts as a reservoir of the cytokine (4). TGF $\beta$  must undergo a highly regulated activation process by which mature cytokine is released (5) to enable binding to its receptor complex (T $\beta$ RI and T $\beta$ RII) (6) and signal through Smad2/Smad3 pathway (7). Known TGF $\beta$  activators are listed in the upper left.

### Figure 2: Alterations of TGF $\beta$ 1 system in prolactinomas

Decreased expression of different components of pituitary TGF $\beta$ 1 system in prolactinoma models are represented with down-pointed arrows. Gray arrows indicate findings in human prolactinoma specimens; black arrows indicate findings in *Drd2*<sup>-/-</sup> mice; white arrows indicate findings in estradiol induced prolactinomas in rats.

### Figure 3: Recovery of TGF $\beta$ 1 activity emerges as a novel therapeutic target for

**treatment of dopamine agonist resistant prolactinomas.** Treatment with

Thrombospondin-1 analogs (ABT-510, ABT-898) recover pituitary TGF $\beta$ 1 activity, reduce tumor size, tumor angiogenesis and proliferation markers, as well as serum prolactin in estradiol-induced prolactinomas in female rats.



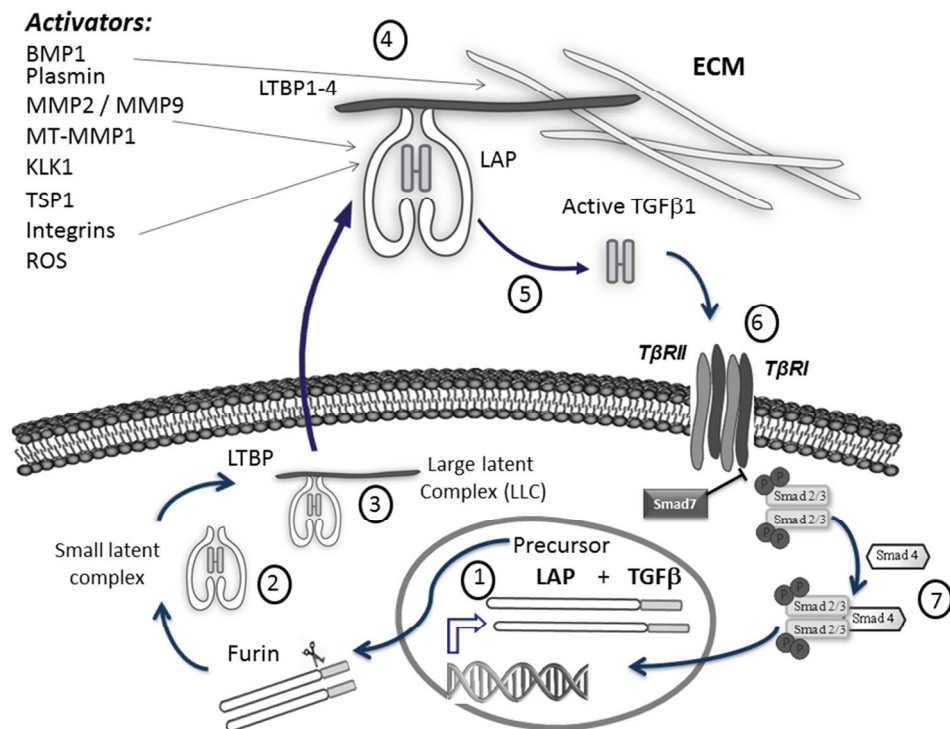


Figure 1: The biology of TGFβ system. TGFβ is synthesized as homodimeric precursor containing a pro-peptide sequence LAP, (1), and then processed by furin. LAP remains associated with the mature TGFβ by non-covalent interactions in a small latent complex (2), which in turn is linked by disulfide bonds to one of the latent TGFβ binding proteins (LTBP1-4) (3). TGFβ is secreted as part of this large latent TGFβ complex (LLC) (3), and it is incorporated as component of the extracellular matrix (ECM), which acts as a reservoir of the cytokine (4). TGFβ must undergo a highly regulated activation process by which mature cytokine is released (5) to enable binding to its receptor complex (TβRI and TβRII) (6) and signal through Smad2/Smad3 pathway (7). Known TGFβ activators are listed in the upper left.

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**Fig.2 Decreased expression of the TGFβ1 components in prolactinomas**

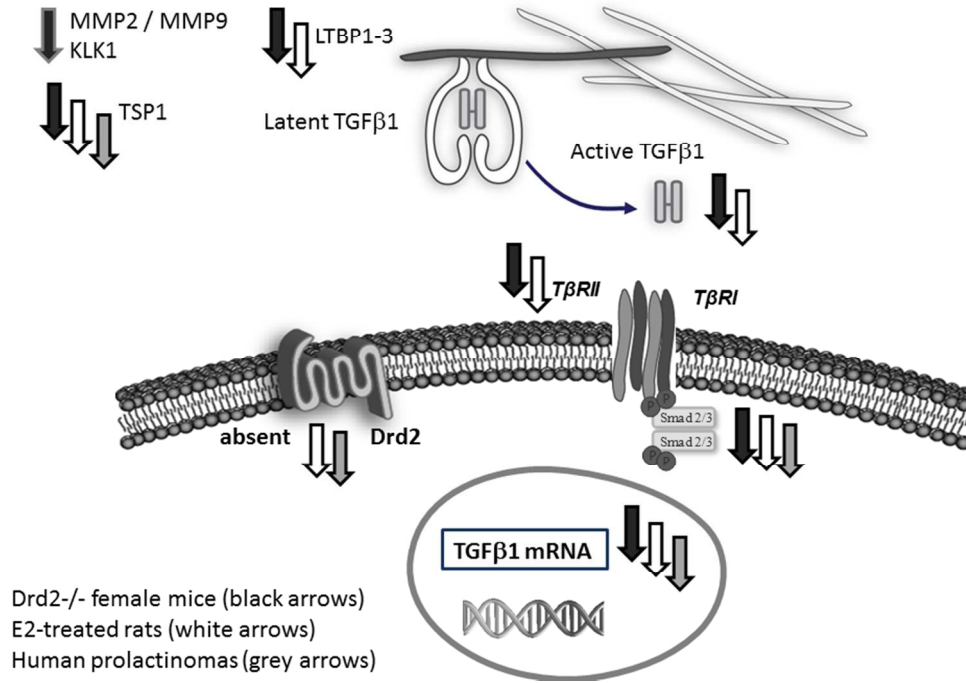


Figure 2: Alterations of TGFβ1 system in prolactinomas. Decreased expression of different components of pituitary TGFβ1 system in prolactinoma models are represented with down-pointed arrows. Gray arrows indicate findings in human prolactinoma specimens; black arrows indicate findings in Drd2<sup>-/-</sup> mice; white arrows indicate findings in estradiol induced prolactinomas in rats.  
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Fig.3 ABT treatment

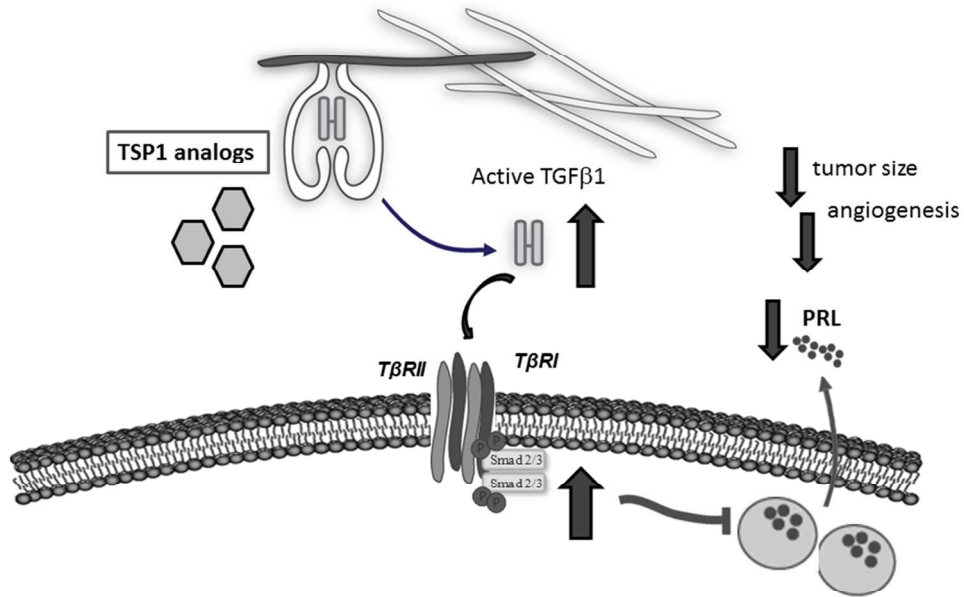


Figure 3: Recovery of TGFβ1 activity emerges as a novel therapeutic target for treatment of dopamine agonist resistant prolactinomas. Treatment with Thrombospondin-1 analogs (ABT-510, ABT-898) recover pituitary TGFβ1 activity, reduce tumor size, tumor angiogenesis and proliferation markers, as well as serum prolactin in estradiol-induced prolactinomas in female rats.  
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