

INFLUENCE OF A CRYOGENIC TREATMENT ON THE FRACTURE TOUGHNESS OF AN AISI 420 MARTENSITIC STAINLESS STEEL

VPLIV PODHLAJEVANJA NA LOMNO ŽILAVOST MARTENZITNEGA NERJAVEČEGA JEKLA AISI 420

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Cryogenic treatments have been employed over the past three decades for both tool and high-alloy steels to improve their wear resistance, mainly through the transformation of retained austenite and the precipitation of fine carbides. However, as the enhancement of one material property is often at the expense of another, it is interesting to evaluate the effect of this type of treatments on the fracture toughness. The objective of the present work was to determine the plane-strain fracture toughness of a cryogenically treated low-carbon AISI 420 martensitic stainless steel by means of standardized fracture tests, performed in accordance with the ASTM E399 and ASTM E1820 standards. In this study, it was experimentally demonstrated that cryogenically treated specimens showed a simultaneous increase in the K_{IC} and hardness of 30 % and 5 %, respectively, compared to conventionally treated specimens.

Keywords: cryogenic treatment, carbide refinement, plane-strain fracture toughness, low-carbon martensitic stainless steel

Obdelava s podhlajevanjem v zadnjih treh desetletjih, tako za orodja kot za visokolegirana jekla, pomeni izboljšanje njihove odpornosti proti obrabi, predvsem zaradi preoblikovanja zadržanega avstenita in zgoščevanja finih delcev. Kakorkoli že, izboljšanje enega materiala je pogosto, vendar na račun drugega, zato je zanimivo oceniti učinek takega tipa obdelave na lomno žilavost. Predmet pričujočega dela je bila določitev lomne žilavosti kriogensko obdelanega nizkoogljikovega martenzitnega nerjavečega jekla AISI 420, s pomočjo standardiziranih testov zlomov, izvedenih v skladu s standardoma ASTM E399 in ASTM E1820. V študiji je bilo s poskusi dokazano, da so kriogensko obdelani vzorci pokazali hkratno povečanje K_{IC} in trdote za 30 % in 5 %, v primerjavi s konvencionalno obdelanimi vzorci.

Ključne besede: obdelava s podhlajevanjem, prečiščenje delcev, lomna žilavost, nizkoogljeno martenzitno nerjaveče jeklo

1 INTRODUCTION

AISI 420 is a martensitic stainless steel, with carbon contents ranging between 0.15 % mass fraction and 0.40 % mass fraction, while its corrosion resistance comes from the addition of chromium (12–14 % mass fractions). Due to its balance between mechanical properties and the corrosion resistance, it is widely used in power generation, turbine blades, compressors, oil extraction, chemical, petrochemical and surgical equipment. Thus, the enhancement of its mechanical properties, in particular its wear resistance, is of technological interest.^{1–4} In this sense, deep cryogenic treatments (DCT) are increasingly utilized for steels and other groups of alloys for improving their tribological performance. This kind of treatment possesses several advantages, such as its relative low cost, ease of application, low environmental impact and, especially, its volumetric effect. This means that the whole volume of a workpiece exhibits a wear-resistance enhancement in comparison to superficial techniques, such as plasma nitriding, electrodeposited coatings or case carburization.

Traditionally, the improvement of the tribological resistance of steel alloys was attributed to the transfor-

mation of retained austenite^{1,5–7} and to the refinement of secondary carbides.^{8–10} Recent studies have shown that the martensitic transformation of retained austenite at low temperatures involves a plastic deformation of virgin martensite.^{4,11} This plastic deformation causes the capture of immobile carbon atoms by gliding dislocations, forming carbon clusters that can serve as sites for nucleation of finer carbide particles during tempering, therefore influencing the carbide distribution.¹² M. Villa et al.¹³ analyzed the generation of compressive strains in austenite after the transformation of martensite at a low temperature. These strains influence the stability of austenite during the subsequent tempering. However, when this transformation occurs below -133 °C, no compressive strains build up in austenite. It should be noted that this mechanism requires the presence of significant amounts of retained austenite after the quenching and before the cryogenic cooling, which is not expected to be the case for AISI 420 due to its chemical composition and excellent hardenability.^{14,15}

In our prior work¹⁶, we tested several processing routes, and the one which yielded the best combination of properties was selected to continue studying the effect

of cryogenic treatments on tribological properties and fracture toughness in the context of a PhD thesis.¹⁷ Regarding the wear behavior, we reported, in a preliminary article¹⁰, that cryogenic treatments increase the wear resistance of AISI 420 by 35–90 % in comparison to conventionally treated specimens.

The published results regarding fracture properties are very broad, for example, A. Bensely et al.¹⁸ and D. Yun et al.¹⁹ reported a significant improvement in the impact toughness, ranging between 15–30 % in DCT specimens compared to conventionally treated (CHT) ones, while studying tool steels (M2, T1) and case-carburized steels. Other researchers, such as A. Molinari et al.²⁰ and F. Cajner et al.²¹ concluded that these treatments did not modify the impact toughness, while D. Das et al.²² and S. Zhirafar et al.²³ reported that cryogenic treatments have a detrimental effect on the impact toughness, accounting for reductions in the order of 15–30 %. B. Podgornik et al.²⁴ analyzed the effect of cryogenic treatments on two P/M tool steels and one high-speed steel, using several processing routes and reporting varied results.

The purpose of this paper is to determine the influence of a cryogenic treatment on the plane-strain fracture toughness of a martensitic stainless steel, following the well-established procedures determined by ASTM standards.²⁵

2 MATERIALS AND METHODS

The material used in this study was an AISI 420 martensitic stainless steel. Its chemical composition was determined using a SPECTRO SPECTROMAXX optical emission spectrometer. The results are shown in **Table 1** along with the reference composition taken from the ASTM A176 standard.²⁶

A normalized bar with a diameter of 42 mm was cut into transversal slices, from which disk-shaped compact [DC(T)] specimens were machined according to the specifications of the ASTM E399 standard²⁵, with a radial-circumferential (R-C) notch configuration. The characteristic dimension (*W*) of the specimens was 25.4 mm. Except for the notch, all the machining was performed before the heat treatment in order to avoid thermal cracking or an accumulation of residual tensions at the tip of the notch. After the completion of the heat treatment, notches ending in a chevron tip were made by electro-erosion.

Two groups of specimens were prepared, namely, a conventionally heat-treated one (CHT), whose specimens were quenched in oil from 1030 °C and afterwards tempered at 410 °C for 10 min with furnace cooling. The

specimens from the other group were quenched in oil from 1030 °C and then soaked in liquid nitrogen at an equilibrium temperature of –196 °C. The specimens were lowered into a vacuum flask containing liquid nitrogen, using a low-speed motor controlled with a closed-loop system using the feedback from the thermocouple attached to each specimen. The cooling rate was set at 0.45 °C /s, while the soaking time was 2 h. Finally, the specimens were tempered at 410 °C for 10 min and slowly cooled inside the furnace. The latter group was identified as DCT. Both the austenization/quenching and the tempering were performed in an argon atmosphere to prevent decarburization.

For the microstructural characterization, a CARL ZEISS EVO 40 XVP scanning electron microscope was employed to evaluate mirror-polished samples attacked with Marble's reagent (10 g CuSO₄ in 50 mL HCl and 50 mL water). The Vickers hardness of the specimens was measured using a FUTURE TECH FM-300 micro-durometer, with the applied normal load of 500 gf. An open-source image-analysis software (Icy version 1.3.6.0)²⁷ with a spot-detection plug-in was used for determining the fraction area of carbides in SEM images, as well as the mean distance between the particles, considering the average between each particle and its closest five neighbors.

X-ray diffractometry was performed using a PAN-ALYTICAL X'PERT-MPD diffractometer (Cu-*K*_α radiation – $\lambda = 0.15405$ nm) at an acceleration potential of 40 kV. The diffraction angle ranged from 20–120° with a 0.02° step at a speed of 0.06°/min. The diffractometer was equipped with a graphite diffracted-beam collimator and a programmable receiving slit of 0.2 mm, a divergence slit of 0.4° and an incident and collecting slit of 1°.

2.1. Fracture tests

For obtaining the required fatigue crack, a pre-cracking machine with displacement control was used with a maximum displacement of 0.25 mm at a frequency of 50 Hz. The theoretical *K*_{max} applied during the pre-cracking process was 20 MPa m^{1/2}. In all the cases, the minimum pre-crack length was between 1·10⁵ and 1.5·10⁶ cycles, in accordance with the ASTM E399 recommendations. This set-up was chosen in order to avoid plastic deformation above the maximum levels allowed by the standard in the surroundings of a crack tip (Equation (3)).³

Plane-strain fracture tests were performed in a screw-driven AMSLER vibrophore, using a load cell of 20 kN of the maximum capacity operating under displa-

Table 1: AISI 420 chemical composition, in mass fractions (w/%)

	C	Cr	Mn	P	S
Bar	0.17	12.83	0.76	0.05	0.017
AISI 420 Spec.	0.15 min	12.00 14.00	1.00 max	0.04 max	0.03 max

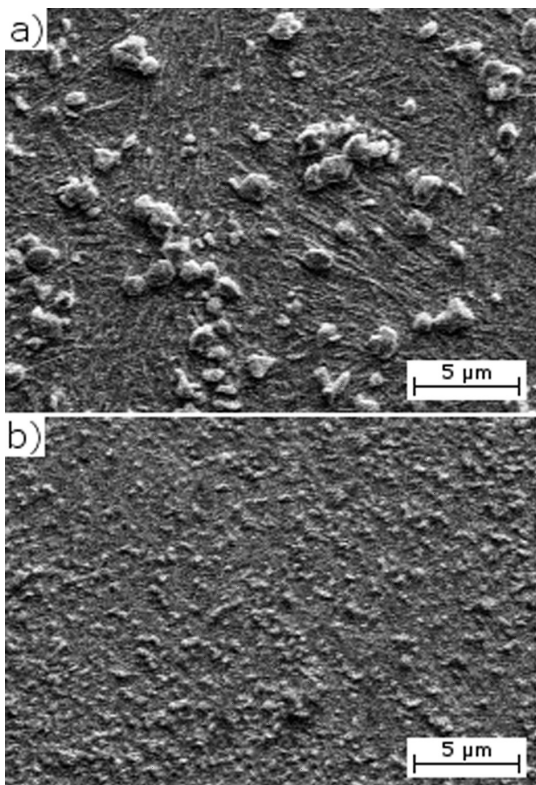


Figure 1: Secondary electron images (SEI) of: a) CHT specimen and b) DCT specimen, showing the carbide distributions

cement control, with a cross-head speed of 0.6 mm/min. The displacement of the load line was continuously recorded during the test using a linear displacement transducer (OMEGA LD600-25) sensing the movement of the machine's carriage.

After fracturing the specimens, they were scanned with a desktop flatbed scanner²⁸ in order to measure the average crack length. For this purpose, the size of the original crack and the final physical-crack size were measured at nine equally spaced points centered about the specimen centerline at a distance (*d*) of 1.38 mm, according to Equation (1) established by the ASTM E1820 standard:²⁹

$$d = \frac{(B - 0.01W)}{9} \quad (1)$$

where:

B: specimen thickness, in mm,

W: specimen width, in mm.

The obtained load vs. load-line displacement (DLL) records were analyzed in order to assess the type of fracture while the conditional plane-strain fracture toughness (K_q) was calculated according to Equation (3) taken from reference.²⁵ If all the required conditions of linearity and small-scale plasticity were met, this K_q value was considered as K_{IC} :

$$K_q = \frac{P_q}{\sqrt{(BW)}} \times f(a/W) \quad (2)$$

where:

K_q : plane-strain fracture toughness ($\text{MPa m}^{1/2}$),

P_q : maximum load (kN),

B: specimen thickness (cm),

W: specimen width (cm),

$f(a/W)$: crack-length polynomial factor where *a* is the average crack length. The terms of this polynomial for DC(T) specimens are detailed in Annex A3 of the ASTM E1820 standard.²⁹

In order to evaluate the validity of the test, the K_q value and the average crack length were compared using Equation (2)²⁵, which guaranteed that the plastic deformation at the tip of the crack was sufficiently small to apply linear fracture mechanics. The yield stress (σ_Y) for the quenched and tempered AISI 420 was estimated as 1500 MPa.³⁰ The reported values correspond to the average of at least three valid tests:

$$a, B \geq 2.5 \left(\frac{K_q}{\sigma_y} \right)^2 \quad (3)$$

where:

a: crack length, in mm,

B: specimen thickness, in mm,

K_q : conditional plane-strain fracture toughness, in $\text{MPa m}^{1/2}$,

σ_y : yield stress, in MPa.

Afterwards, the fracture surfaces were evaluated using a CARL ZEISS EVO 40 XVP scanning electron microscope in order to evaluate the micromechanisms involved in the fracture.

3 RESULTS

The differences in the carbide-population distribution can be seen in Figure 1, in which the CHT specimen

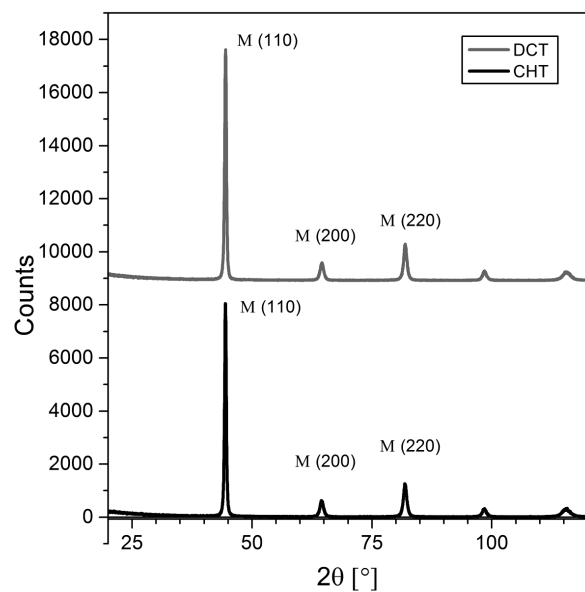


Figure 2: XRD spectra for CHT and DCT specimens

shows larger and more isolated carbides, while in the DCT sample (**Figure 1b**), the carbides are smaller and closer to each other; in addition, both the distance and the volume fraction of carbides diminished. In our previous work¹⁶, we identified these particles as globular secondary carbides of type M_7C_3 by means of an EDS analysis. The hardness, the mean distance between the carbides and the carbide volume fraction are presented in **Table 2**. Regarding X-Ray diffractograms, it can be seen in **Figure 2** that both CHT and DCT specimens had martensitic microstructures, while austenite could not be detected; therefore, it is inferred that its volume fraction is below the detection threshold of the diffractometer (>3 % of volume fraction). The martensitic matrix shows a very fine lenticular microstructure, typical of high hardenability steels, such as AISI 420.

Table 2: Hardness and qualitative metallographic analysis

	CHT	DCT
Hardness (HV0.5)	507±7	534±9
Distance between carbides (µm)	1.73±0.72	1.37±0.46
Volume fraction of carbides (%vol)	16.9	12.2
Retained austenite (%vol)	> 3.0	> 3.0

Regarding the fracture-toughness tests, all the specimens exhibited a linear behavior before the sudden fracture; therefore, the maximum load ($P_{max} = P_q$) was taken for the calculation of K_{IC} . The propagation of a crack without a load increase is characteristic of a brittle fracture. It should also be noted that no significant pop-in events developed during the tests.

Figure 3 shows the average value of K_{IC} where it can be seen that conventionally treated specimens exhibited the average plane-strain fracture-toughness value of 49.5 MPa $m^{1/2}$, while cryogenically treated ones showed a value of 65.0 MPa $m^{1/2}$, indicating a 31 % increase in comparison to conventionally heat-treated ones.

In order to inspect the nucleation mechanism prior to the sudden fracture, SEM fractographies were taken

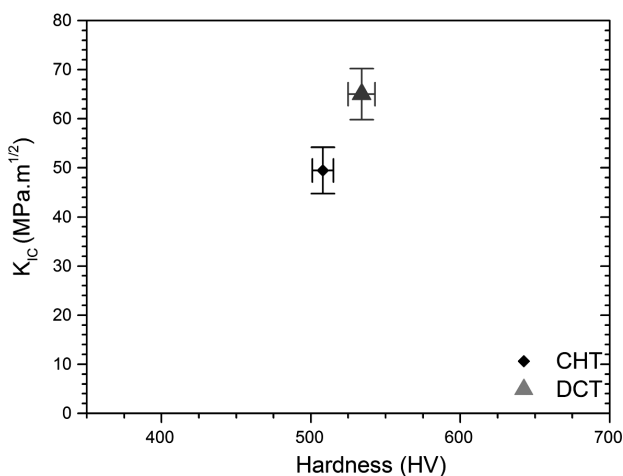


Figure 3: Plane-strain fracture toughness for conventional and cryogenically treated specimens

from the middle of the specimens, at 70–80 µm, from the fatigue pre-crack front in the direction of propagation. Both groups of specimens showed a mixture of cleavage facets and dimples, but in the CHT ones the amount of dimples was higher (**Figure 4a**).

It can be appreciated that dimples are larger in the CHT specimens compared to the ones that developed in the DCT samples. Very large (~1.5 µm) dimples with carbides inside can be seen in **Figure 4a** while, in the DCT specimens, although some dimples were found, a stronger presence of ridges and cleavage facets can be seen.

4 DISCUSSION

Regarding the fracture behavior, it consisted of brittle fractures with no stable crack propagation. The SEM fractographies (**Figure 4**) showed that the microscopic failure mechanism was a confined plastic flow with a void growth responsible for crack nucleation, followed by cleavage propagation. Both groups of specimens exhibited relatively low fracture-toughness values, as expected for high-strength steels³¹ tested in the lower shelf region.

From the results displayed in **Figure 3**, it can be seen that cryogenic treatments led to a simultaneous increase in both the hardness and the plain-strain fracture toughness. The hardness increment accounted for 5 %, while

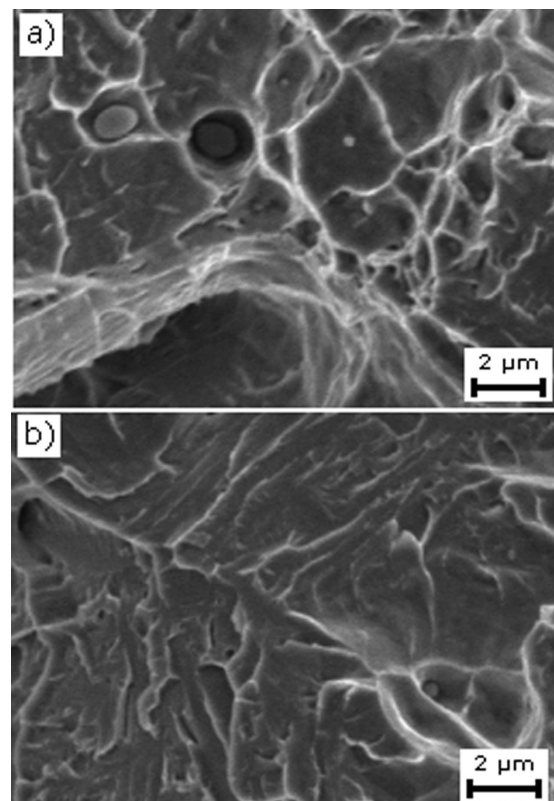


Figure 4: Secondary electron fractographies of: a) CHT and b) DCT specimens

the average K_{IC} value was 30 % higher for the cryogenically treated specimens. It should be highlighted that, in our previous work¹⁰, we experimentally proved that this steel has a wear-resistance increase of 35–90 % when subjected to cryogenic treatments. Therefore, the present results imply that the cryogenic treatment is an effective technique to improve simultaneously the hardness, wear resistance and fracture toughness of an AISI 420 stainless steel.

When focusing on the improvement in the fracture toughness, D. Yun et al.¹⁹ found similar results when studying cryogenically treated high-speed steels. In that case, specimens subjected to 24 h of soaking in liquid nitrogen, followed by triple tempering exhibited hardness and impact-toughness improvements of 2 % and 30 %, respectively. A. B. Prabhakaran et al.³² reported a 14 % increase in the impact toughness combined with a 3.5 % increase in the hardness of a cryogenically treated case carburized steel, while A. Molinari et al.²⁰ reported that the cryogenic treatment increased the toughness – measured by means of three-point bending tests – influencing neither the hardness nor the impact toughness.

On the contrary, D. Das et al.³³ found that a deep cryogenic treatment improved the hardness of an AISI D2 die steel by ~4.5 % at the expense of a fracture-toughness reduction of ~7 %. It is interesting to note that in their case the dispersion of K_{IC} values was significantly higher for the DCT specimens compared to the CHT ones, whereas our results indicate that these values were very similar. In that paper, Das and his collaborators emphasized the role of the size and distribution of secondary carbides, reporting a reduction in their size and their higher volume fraction.

Regarding the influence of cryogenic treatments, B. Podgornik et al.²⁴ found dissimilar behaviors of the fracture properties of P/M and high-speed steels. In the case of the P/M cold-work tool steel, the improvement in the fracture toughness was associated with the formation of finer needles of martensite in combination with the plastic deformation of primary martensite, a reduced amount of dissolved carbon in the martensite and a finer distribution of carbides.

In **Figure 2**, it can be seen that AISI 420 exhibits negligible amounts of retained austenite – less than 3 % of volume fractions even when it is subjected to the conventional heat treatment. This result can be supported with the findings of Da Sun et al.¹⁴ obtained during a study of the microstructure of a laser-cladding coating made of AISI 420, in which the volume of retained austenite was below the detection limit of the diffractometer. S. Dodds et al.¹⁵ also reported XRD patterns of as-quenched AISI 420 samples, where no reflections corresponding to the retained austenite could be seen. The importance of these results is that they show that AISI 420 does not retain significant amounts of austenite, not even in the untempered condition. Therefore, we can infer that the mechanism of the deformation of primary martensite proposed by A. I. Tyshchenko et al.¹¹

can be considered marginal in the cryogenic processing of AISI 420. For this reason, we will carry out further studies to deepen the understanding of the metallurgical effects of cryogenic treatments on steel alloys.

In our case, we propose that the main mechanism responsible for the fracture-toughness increase is the refinement of carbides (**Figure 1**) as a consequence of the cryogenic cooling. Due to their different mechanical properties compared to the metallic matrix, carbides act as stress concentrators; thus, a reduction in their size leads to a decrease in the stress concentration³⁴, especially in the surroundings of a crack tip.

In addition, the early results reported by D. A. Curry and J. F. Knott³⁵ noted a detrimental influence of coarse carbides on the fracture toughness, while P. Bowen et al.³⁶ showed that carbides with diameters of above 0.3 μm were critical in the controlling of the fracture toughness. Similarly, X. Z. Zhang and J. F. Knott³⁷ concluded that carbides act as critical microcrack nuclei and highlighted the importance of having finely dispersed microstructural features in order to increase the K_{IC} values. In this sense, the coarser carbides in the CHT samples shown in **Figure 1a** promote the formation of larger dimples (**Figure 4a**) that coalesce at lower stresses forming larger cavities in the material. It should be noted that there was no evidence of carbide fracturing; therefore, the main fracture micromechanism was the aforementioned void coalescence phenomenon followed by cleavage. This way, the refinement of the carbide size and distribution due to the cryogenic treatment (**Figure 2b**) can be associated with the subsequent increase in the fracture toughness shown in **Figure 3**.

5 CONCLUSIONS

On the basis of the results obtained from the study of the fracture toughness of a cryogenically treated martensitic AISI 420 stainless steel, we conclude that there is a synergistic relationship between the carbide-size diminution generated by cryogenic cooling, which lowers the stress-concentration effect on the surroundings and, therefore, the void formation and coalescence, and the reduction in the volume fraction that lowers the probability of cleavage-fracture occurrence. This way, we can link the microstructural modifications induced by the cryogenic treatments with the simultaneous increase in both the hardness and fracture toughness.

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