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Review of Surface Particulate Monitoring of Dust Events Using Geostationary Satellite Remote Sensing

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Abstract

The accurate measurements of natural and anthropogenic aerosol particulate matter (PM) is important in managing both environmental and health risks; however, limited monitoring in regional areas hinders accurate quantification. This article provides an overview of the ability of recently launched geostationary earth orbit (GEO) satellites, such as GOES-R (North America) and HIMAWARI (Asia and Oceania), to provide near real-time ground-level PM concentrations (GLCs). The review examines the literature relating to the spatial and temporal resolution required by air quality studies, the removal of cloud and surface effects, the aerosol inversion problem, and the computation of ground-level concentrations rather than columnar aerosol optical depth (AOD).

Determining surface PM concentrations using remote sensing is complicated by differentiating intrinsic aerosol properties (size, shape, composition, and quantity) from extrinsic signal intensities, particularly as the number of unknown intrinsic parameters exceeds the number of known extrinsic measurements. The review confirms that development of GEO satellite products has led to improvements in the use of coupled products such as GEOS-CHEM, aerosol types have consolidated on model species rather than prior descriptive classifications, and forward radiative transfer models have led to a better understanding of predictive spectra interdependencies across different aerosol types, despite fewer wavelength bands. However, it is apparent that the aerosol inversion problem remains challenging because there are limited wavelength bands for characterising localised mineralogy.

The review finds that the frequency of GEO satellite data exceeds the temporal resolution required for air quality studies, but the spatial resolution is too coarse for localised air quality studies. Continual monitoring necessitates using the less sensitive thermal infra-red bands, which also reduce surface absorption effects. However, given the challenges of the aerosol inversion problem and difficulties in converting columnar AOD to surface concentrations, the review identifies coupled GEO-neural networks as potentially the most viable option for improving quantification.

Keywords: Geostationary Earth Orbiting satellites; Aerosol Optical Depth; Particulate Matter; Thermal infra-red; spatiotemporal resolution.

41 **Abbreviations:**

42 Note in the interests of brevity, and apart from MODIS, this list of abbreviations specifically excludes
43 the full dispersion model and satellite names for which the commonly used abbreviation has been
44 used.

45

46 AOD: Aerosol Optical Depth

47 BT: Brightness temperature

48 BTR: Brightness temperature reduction, i.e. $BT_1 - BT_2$ where the suffix could be time or wavelength

49 IDDI: Infrared Differential Dust Index, BTR but restricted to time-based differences

50 GEO: geostationary earth orbit satellites

51 GLCs: ground level concentrations

52 LEO: low earth orbit satellites

53 MODIS: MODerate-resolution Imaging Spectro-radiometer instrument

54 NIR: Near infra-red portion of the electromagnetic spectrum

55 PM: particulate matter

56 TIR: Thermal infra-red portion of the electromagnetic spectrum

57 UV: Ultra-violet portion of the electromagnetic spectrum

58 Vis: Visible portion of the electromagnetic spectrum

59 **Highlights:**

60 • Excellent temporal resolution (10 minutes) but coarse spatial resolution (2 km);

61 • Continuous infrared instead of visible bands are required;

62 • Challenging aerosol inversion compounded by fewer and less sensitive infrared bands;

63 • Vertical profile required for extrapolating AOD to ground-level concentration;

64 • Uncertainty analysis of speciated ground-level concentration needs to be improved;

65

66 1. Introduction

67 Elevated concentrations of airborne particulate matter (PM) are a cause of global concern given the
68 associated environmental (Leibensperger et al., 2012) and human health risks to both cardiovascular
69 and respiratory systems (Li et al., 2016c; Weng et al., 2014). High concentrations can cause haze (or
70 smog) to form, which may affect visibility, and soiling via deposition of fine material can lead to
71 amenity degradation (Brunner et al., 2016; Lin and Li, 2016). Airborne PM concentrations are
72 dependent on the magnitude of source emission rates (Ge et al., 2016; Streets et al., 2013) whilst the
73 type of emission affects the spatial concentration distribution as a large area source typically results
74 in lower concentrations (mass/volume) but may impact a wider region (i.e. larger initial volume) that
75 would be the case if it were a coherent plume from a point source. Similarly, sources such as industrial
76 stacks or hot gas from fires can inject material at a high elevation but with minimal initial horizontal
77 variance, and the plume may then be dispersed over large distances before being diluted (Li et al.,
78 2015; Ma and Yu, 2015; Wainwright et al., 2012). During the plume dispersion, the compounds in the
79 air may undergo chemical (Athanasopoulou et al., 2016; Philip et al., 2016) (such as photochemical
80 reactions) and physical (such as deposition) transformations which alter the amount and composition
81 carried in the plume (Aquila et al., 2012; Ridley et al., 2012; Solomos et al., 2015; Tu et al., 2015).

82 Unlike industrial emissions from point sources, which are highly regulated and monitored with in-line
83 stack analysers and/or fence-line monitoring, diffuse PM area sources present unique challenges in
84 that fugitive emissions and events are usually unquantified. A large fire may be monitored due to its
85 potential danger and damage to life and property, but the secondary effects of smoke from fires are
86 seldom documented regarding magnitude, frequency, and spatial extent. Similarly, significant fugitive
87 emissions of PM arise from the movement of people (Kishcha et al., 2014), biomass burning (Chan and
88 Chan, 2017; D'Andrea et al., 2016; Li et al., 2016a), wind erosion (Basha et al., 2015; El-Askary et al.,
89 2015; Wong et al., 2015), and volcanic events (Ge et al., 2016; Ortore et al., 2014). Whilst modern
90 technology and regulations can force reductions of industrial emissions, fugitive emissions are difficult
91 to monitor and manage. As such, fugitive emissions require indirect mitigation strategies to reduce
92 impacts such as the use of controlled burning to reduce fuel loads (Lasslop and Kloster, 2015) and
93 creating windbreaks to reduce wind speed dependent dust erosion (Tao, 2014).

94 Elevated concentrations coupled with the difficulty in managing these emissions have led to a need to
95 understand the impacts and consequences of these emissions. PM health studies (Weber et al., 2016;
96 Weng et al., 2014) predominantly characterised health impacts in terms of particle size (Brindley and
97 Ignatov, 2006; Colarco et al., 2014; D'Andrea et al., 2016; Zhao et al., 2015), but more recent studies
98 document the role of PM composition on health impacts (Philip et al., 2014; Trivitanurak et al.,
99 2012). Contemporary research is unanimous that these health effects are critically dependent on both
100 particle size and composition (Čupr et al., 2013; Li et al., 2016c; Poschl, 2005). It is therefore imperative
101 not only to determine total PM concentration or apportion to size fractions (i.e. PM₁₀ and PM_{2.5}), but
102 to quantify and fully classify the source by particle size, composition and/or source type (i.e. biomass
103 burning, wind erosion, sea -salt, volcanic, urban etc.) (Philip et al., 2014) so that the full impact of
104 elevated concentrations can be determined.

105 These impacts need to be quantified using monitoring, modelling and/or estimation techniques (Wong
106 et al., 2015; You et al., 2016a). Dedicated surface-based monitors are preferred for their accuracy and
107 temporal resolution (Holben et al., 1998), but cost and infrastructure requirements limit the number
108 and distribution of surface monitors. It is impractical and costly to continually monitor for all pollutants
109 across large regions at the fine monitoring scale needed by air quality studies. Most monitoring is
110 performed in populated urban areas as this maximises cover per capita and urban areas have the
111 necessary infrastructure to support the monitoring. However, fugitive dust sources such as wildfires
112 and dust storms regularly occur in regional areas as these areas have the necessary biomass or bare
113 exposed soil to support emissions from large area sources and these sources, therefore, have the
114 potential to influence air quality on local regional populations and impact regional air quality.

115 Quantification at a local level will minimise confounding chemical and physical plume dispersion
116 effects in determining source emissions which make it difficult to quantify emissions further
117 downwind from the sources. These dispersion effects arise from changes in wind direction and wind
118 speed along the plume's path, which result in the monitored concentration depending on plume age
119 and path. Regional scale quantification considers the cumulative frequency and spatial extent of long-
120 range transported events, particularly where this impacts populated urban areas (Lin et al., 2015), and
121 global scale quantification determines the impact an event has on background concentration levels.

122 Where monitors are not available, mathematical tools such as dispersion modelling (Li et al., 2016b;
123 Lin and Li, 2016; Philip et al., 2016; Yasunari et al., 2016), neural networks (Taylor et al., 2016; Wong
124 et al., 2015; Xiao et al., 2015) and statistical procedures such as source apportionment (Belis et al.,
125 2013) methods can model impacts. However, these calculation methods have higher uncertainties
126 than direct monitoring due to approximations and input assumptions inherent to the chosen model
127 (Solomos et al., 2015). Increasingly, remote sensing has been used as a surrogate method to determine
128 aerosol concentrations (Li et al., 2015; van Donkelaar et al., 2015; Wu et al., 2016; You et al., 2016a).
129 The advantages of remote sensing are that it can monitor a wide area simultaneously, does not require
130 an emissions inventory (Athanasopoulou et al., 2015), and does not need a dense monitoring network
131 to determine concentrations. Indeed, in many areas of the world, including regional Australia, remote
132 sensing offers the only potential alternative to understanding and estimating the surface
133 concentration of PM_{2.5} and PM₁₀ where direct monitoring is not available (Li et al., 2016b; Lin et al.,
134 2015; Tsay et al., 2016). Where direct monitoring or emission inventories are available, remote sensing
135 using the latest geostationary satellites can augment these data, improving the temporal resolution
136 to ten minutes, and emission factors can be constrained based on aerosol optical density (Stafoggia
137 et al., 2017). This was demonstrated in an Italian study which used 686 surface PM₁₀ monitors to refine
138 the spatial concentration estimates (Stafoggia et al., 2017).

139 Launching and placing heavy equipment in space is both difficult and costly. As a result, polar orbiting,
140 low earth orbit (LEO) satellites were initially favoured for remote sensing (Chance et al., 2013; Ruddick
141 et al., 2014; Vanhellemont et al., 2014). The MODERate-resolution Imaging Spectro-radiometer
142 (MODIS) instrument is an example of a LEO satellite that has supplied daily data for two decades,
143 utilising extensively peer-reviewed algorithms (Levy et al., 2013). Older LEO satellites (Carn et al.,
144 2016) are now being decommissioned, whilst "second generation" new satellites at higher
145 geostationary earth orbits (GEO) are being deployed in greater numbers. A list of currently orbiting
146 GEO satellites is provided in Table 1. GEO satellites rotate at the speed of the earth and thereby
147 generate a continuous view of one hemisphere of the earth (Carrer et al., 2014; Naeger and
148 Christopher, 2014; Romano et al., 2013), in contrast to LEO satellites which return overhead once per
149 orbit cycle. Because these GEO satellites stay over a fixed point and the temporal resolution is
150 dependent on sensor technology rather than orbit periodicity this results in continuous data
151 acquisition rates for all locations. However, the enhanced temporal resolution comes at the cost of
152 reduced spatial resolution, because of the higher orbit. Furthermore, the curvature of the earth
153 restricts useful retrievals to a 120-degree arc, making GEO data unsuitable for polar and other high
154 latitude studies. GEO satellites such as Himawari-8 (Asia and Oceania) (Sekiyama et al., 2016;
155 Wickramasinghe et al., 2016; Yumimoto et al., 2016) and GOES-R (North America) (Greenwald et al.,
156 2016), typify the sub-hourly data with half the spatial resolution of MODIS.

157

158 *Table 1: Current Earth Observational GEO satellites (excluding military, communications, and GPS*
 159 *satellites). Source: Union of Concerned Scientists Satellite Database <https://www.ucsusa.org/nuclear->*
 160 *[weapons/space-weapons/satellite-database](https://www.ucsusa.org/nuclear-weapons/space-weapons/satellite-database)*

Name of Satellite, Alternate Names	Longitude (degrees)	Launched (year)
GOCI/COMS-1 (Communication, Ocean, and Meteorological Satellite; Cheollian)	128	2010
Electro-L1 (GOMS 2 [Geostationary Operational Meteorological Satellite 2])	76	2011
Electro-L2	77.8	2015
Fengyun 2D (FY-2D)	86.51	2006
Fengyun 2E (FY-2E)	123.59	2008
Fengyun 2F (FY-2F)	105	2012
Fengyun 2G (FY 2G)	0	2014
Gaofen 4	105.5	2015
GOES 13 (Geostationary Operational Environmental Satellite, GOES-N)	-75	2006
GOES 14 (Geostationary Operational Environmental Satellite, GOES-O)	-104.41	2009
GOES 15 (Geostationary Operational Environmental Satellite, GOES-P)	-135	2010
GOES 16 (Geostationary Operational Environmental Satellite GOES-R)	-75	2016
Himawari 8	140	2014
Himawari 9	140	2016
INSAT 3A (Indian National Satellite)	93.53	2003
INSAT 3D (Indian National Satellite)	82	2013
INSAT 3DR (Indian National Satellite)	74	2016
Kalpana-1 (Metsat-1)	74.07	2002
SEVIRI/Meteosat 10 (MSGalaxy-3,MSG 3)	0	2012
SEVIRI/Meteosat 11 (MSG 4)	0	2015
SEVIRI/Meteosat 8 (MSGalaxy-1, MSG-1)	41.5	2002
SEVIRI/Meteosat 9 (MSGalaxy-2, MSG 2)	-0.02	2005
MTSAT-2 (Multi-Functional Transport Satellite)	145.06	2006

161
 162 Numerous research articles and reviews of aerosol remote sensing have considered history, platforms,
 163 orbits, the theory of scattering (Rayleigh and Mia) and adsorption (infra-red) in detail (Hoff and
 164 Christopher, 2009; Reid et al., 2013; Streets et al., 2013). Considerable success of a qualitative nature
 165 (depicting the plume spatially and temporally) has been achieved to verify emissions inventory
 166 changes (Yang et al., 2015), study large-scale long-range transport events (LRT) (Athanasopoulou et
 167 al., 2016; El-Askary et al., 2015) and short-term exceptional events (i.e. fires and volcanoes)
 168 (Guehenneux et al., 2015; Wickramasinghe et al., 2016). Whilst fires are significant for the frequency
 169 of events, volcanoes are significant in terms of the size of emissions. Fire agencies routinely use fire
 170 detection methods to estimate resultant emissions (Freeborn et al., 2014) and track the movement of
 171 fire and smoke using remote sensing data (Wickramasinghe et al., 2016). Similarly, recent volcanic
 172 eruptions have resulted in a refinement of plume detection methodology and improved
 173 understanding of the vertical plume structure. Passive scattering, with the Multi-angle Imaging
 174 Spectro-radiometer (MISR) (El-Askary et al., 2015; Liu et al., 2011), and active laser back-scattering
 175 using the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) (Lee et al.,

176 2016) instruments have been used to determine the vertical profile. AERONET and other ground-
177 based sun photometers have provided method validation over large regions (Tegen et al., 2013; van
178 Donkelaar et al., 2013). Aerosol Optical Depth (AOD) measurements have been integrated with
179 Chemical Transport Models (CTM) (Li et al., 2016b; Lin and Li, 2016; Philip et al., 2016), Bayesian
180 analysis (Karlsson et al., 2015; Weber et al., 2016) or neural networks (Lary et al., 2016) to improve
181 the identification of background events and assist quantification.

182 Whilst remote sensing of particulate matter is a suitable tool for qualitative analysis (spatial and
183 temporal) to identify dust events, there are significant problems that limit quantification (Hoff and
184 Christopher, 2009; Reid et al., 2013; Streets et al., 2013). These limitations arise from poor temporal
185 resolution, inadequate background AOD determination, circular assumptions in the aerosol inversion
186 model and vertical parameterisations of the dust plume. Of these limitations, the circular assumptions
187 of the aerosol model are the most significant. The aerosol inversion problems are a consequence of
188 deriving solutions with more unknown intrinsic aerosol properties (size, shape, composition, refractive
189 index) from known extrinsic scattering and absorption properties (Mei et al., 2014; Ruddick et al.,
190 2014; Xiao et al., 2014). The inversion retrieval is constrained to aerosol types included in the lookup
191 table and the accuracy of the retrieval is dependent on the degree of independence in the spectral
192 patterns (signatures), per aerosol type, which is further complicated by poorer spectral resolution on
193 GEO satellites.

194 This literature review was undertaken to examine the limitations in remote sensing of ground-level
195 particulate matter concentrations and the quantification challenges. The review sought to determine
196 which of the methodology changes maximise the benefits from the enhanced temporal resolution of
197 the GEO data. A “Web of Science” search for all review articles containing the topics aerosol and
198 remote sensing shows that the number of review articles peaked in 2012/3 but that there has been a
199 steady growth in the number of citations, indicative of a potentially greater acceptance of remote
200 sensing.

201 The literature that was reviewed focussed on the derivation of surface concentrations of particulate
202 matter using GEO data rather than the more commonly reported, aerosol optical depth remote
203 sensing product, as it is the surface concentrations that directly affect health, not the total column
204 parameter. The review has considered the large-scale movement of aerosols from fugitive dust
205 sources (such as fires, dust storms, and volcanoes) rather than localised industrial sources which
206 typically affect one or two neighbouring pixels. Fugitive sources are generated over large areas and
207 are widely dispersed but less represented in sparse surface-based monitoring. The review has
208 identified changes that occurred since Street’s 2013 review (i.e. from 2014), during which both
209 Himawari (July 2015) and GOES-R (Dec 2016) satellites were launched, in order to narrow down and
210 identify progress and/or current trends in the methodology. The review ignores case-studies that
211 simply use existing AOD product data without contributing additional information to the resolution of
212 quantification challenges, nor does it replicate extensive historical theoretical frameworks which are
213 discussed in other recent reviews (Hoff and Christopher, 2009; Reid et al., 2013; Streets et al., 2013).

214 2. Challenges and Emerging Solutions

215 2.1. Spatial and Temporal Resolution

216 One of the biggest criticisms of polar-orbiting satellites (such as MODIS), from an air quality
217 perspective, is that they supply a single instantaneous measurement and not a period average (Levy
218 et al., 2013). Although numerous researchers have compared AOD to daily average concentrations
219 (You et al., 2016a), AOD reflects a short-term, temporal monitoring, gathered once a day, for the few
220 seconds that the satellite was flying overhead. Apart from the temporal bias of comparing dissimilar
221 timescales (seconds against hourly and daily monitoring), short-term events such as fires may be
222 inactive during the satellite overpass, or clouds may obscure the scene, leading to the event being

223 missed during the satellite overpass (Baldassarre et al., 2015; Freeborn et al., 2014; O'Loingsigh et al.,
224 2015; Philip et al., 2016; Zhang et al., 2011).

225 Whilst health and regulatory considerations include daily and annually averaged concentrations of
226 particulate matter (Brauer et al., 2012), hourly (or sub-hourly) measurements are required to
227 understand the transport and concentration of particulate matter from short-term significant events
228 such as fires and dust storms. It has been shown experimentally (Hoven, 1957), and proven
229 theoretically (Stull, 2012), that turbulence drives air dispersion. Turbulence, therefore, determines the
230 spatial and temporal scales required for monitoring and the spatial resolution and timing of samples
231 should be dependent on average wind speeds to ensure that the plume movement between pixels
232 can be detected in the monitored period. This supports the findings of health-related studies which
233 suggest that a spatial resolution of about one kilometre and a temporal resolution of an hour are the
234 minimum requirements for monitoring atmospheric events (Chow, 1995, 1998). Second generation
235 GEO satellites such as SEVIRI (15 min, 3 km) (Fernandes et al., 2015), GOCI (hourly, 500 m (NIR)) (Choi
236 et al., 2012), Himawari-8 (10 min, 2 km) (Yumimoto et al., 2016) and GOES-R (15 min, 2 km) (Wang et
237 al., 2014) meet the hourly and sub-hourly requirements overcoming the previous temporal resolution
238 restriction of LEO satellites albeit with a reduction in spatial resolution.

239 Most case studies using GEO data take advantage of the enhanced temporal resolution which implies
240 a higher probability of cloud-free measurements and fewer missed events. These studies do not utilise
241 the motion of the aerosols but simply subtract a static background (Fukuda et al., 2013). Aerosols,
242 carried by turbulent air, implies motion as gravity will cause deposition of particulate matter under
243 calm conditions (Al-Dousari et al., 2013; Mackie et al., 2008). Therefore, motion detection methods
244 including frame differences and tracking moving objects can be used to improve aerosol movement
245 detection and quantification (Tewkesbury et al., 2015), and this has been demonstrated by some
246 neural network solutions (Lary et al., 2016; Wong et al., 2015). Similarly, consistency tests can identify
247 clouds and aerosols using the spatial differences in the homogeneity (i.e. standard deviation) across
248 neighbouring pixels as clouds are patchier than an aerosol plume (Chang and Christopher, 2016). In
249 the Infrared Differential Dust Index (IDDI) method the minimum reflectance over the chosen time
250 period is subtracted from the current reflectance and so highlights areas of change (movement) (Xiao
251 et al., 2015). As most pixels do not change between frames there is a significant reduction in the
252 number of background pixels which are masked out if they have not changed between frames. The
253 IDDI methodology has been used for time periods of three days (Di et al., 2016), unspecified "days"
254 (Hu et al., 2008), fortnights (Xiao et al., 2015) and months (Mishra et al., 2014); however, there is no
255 agreement on the choice of the correct timespan for the differentiation.

256 Whilst GEO satellites improve the temporal resolution, this is at a marginal cost to spatial resolution
257 as evidenced by the latest GEO satellites such as Himawari-8 (10 min, 2 km) (Yumimoto et al., 2016)
258 and GOES-R (15 min, 2 km) (Wang et al., 2014). To address what spatial resolution is required for GEO
259 data the question is rephrased to consider how far a low wind speed would move an individual "puff"
260 within a plume to be discernible either along the plume boundary (i.e. edge detection) or to a pixel
261 with a different concentration within the plume (i.e. dispersion). For both cases, it is assumed that the
262 concentration remains above detectable limits. A low wind speed of 1 m/s would disperse a
263 plume/puff 600 m over ten minutes and this is, therefore, the minimum spatial resolution required to
264 detect a plume at this wind-speed. This is three times the spatial resolution of Himawari's infra-red
265 spectral bands and double that of the visible and near infra-red bands. In an attempt to improve the
266 spatial resolution of GEO data various mathematical treatments have been used. The greater spatial
267 resolution of LEO (MODIS) satellites was used to refine GEO data in multi-satellite studies by
268 determining a daily sub-grid calibration from the MODIS data and applying the sub-grid scale factors
269 to the GEO data (Naeger et al., 2016; Vanhellefont et al., 2014). This is not ideal as it assumes that
270 the spatial calibration is not temporally dependent, which is not the case where an aerosol plume
271 moves across an area. Other studies have demonstrated the ability to enhance the spatial scale of the
272 infra-red channels by scaling the data using higher resolved visible and near infra-red (NIR) data during
273 daylight hours (Wickramasinghe et al., 2016; Wooster et al., 2015). This can yield satisfactory results

274 during daylight hours where there is a strong correlation between the higher resolved visible or near
275 infra-red data and the infra-red data. This is similar to a method of detecting fire locations at sub-pixel
276 resolution by applying a deconvolution filter that is reliant on the wavelength dependent decrease in
277 fire radiance power across neighbouring pixels (Wooster et al., 2015).

278 Whilst there is potential to improve the spatial resolution using correlated channels of higher
279 resolution, they cannot improve the spatial resolution during the night or across uncorrelated
280 channels. Spatial averaging techniques such as Kriging may be able to double the perceived spatial
281 resolution but do not yield further spatial improvements (Firas and Fawzi, 2013) as they cannot
282 improve the detection of a plume which is unresolved in the original data.

283 Therefore, these studies show that the temporal resolution of GEO data is a substantial improvement
284 over polar-orbiting satellites and is better than the hourly resolution from most dispersion models and
285 is comparable to the temporal resolution of most on-line analytical instruments (Chow, 1998).
286 Unfortunately, this is at a marginal cost in spatial resolution which is adequate for global and regional
287 studies but too coarse for local studies. The ideal spatial resolution for local studies requires an order
288 of magnitude improvement to be comparable to the resolution of dispersion model studies (Solomos
289 et al., 2015). In contrast to the Meteosat, Himawari and GOES series of satellites, China's Gaofen-4
290 satellite claims an order of magnitude improvement in spatial (50m VIS and 400m IR) and temporal
291 resolution (1 minute) (CHEOS, 2018). The spatial and temporal resolution required for air quality
292 studies is a fundamental aspect of remote sensing that has not received sufficient attention in the
293 literature.

294 2.2. Background (i.e. zero) AOD

295 Determining Aerosol Optical Depth (AOD) from scattered reflectance and absorption temperatures
296 uses Beer's law to integrate the extinction coefficients across the vertical column (Hoff and
297 Christopher, 2009). The determination of the integral from the surface to the top of the plume
298 requires the surface extinction coefficients (i.e. background AOD) to be known or determined.
299 Determining background AOD from scattering of electromagnetic energy in the visible part of the
300 spectrum is complicated by reflective backgrounds such as roofs, bright reflective mineral sands in
301 deserts and even the presence or absence of vegetation cover. Different algorithms are used to
302 account for these reflective backgrounds. They depend on the nature of the surface background such
303 as dark target (DT) algorithm (Tanré et al., 1997) over the ocean, dark target (DT) algorithm over
304 vegetation and deep blue (DB) algorithm (Hsu et al., 2013) over bright land surfaces such as deserts
305 (Levy et al., 2013). In addition to MODIS, there are multiple sensors and satellites, each with slight
306 differences in how AOD is calculated (Mhawish et al., 2018). The retrieval of aerosol properties from
307 these systems is impacted by cloud, surface, and molecular effects. These impacts must be accounted
308 for before the aerosol properties can be determined.

309 To account for the variances in reflective backgrounds across an area, the surface reflectance has
310 traditionally been averaged spatially when determining background AOD, for example, the MODIS
311 algorithms average across 10x10 km² (at nadir) (collection 5) or 3x3 km² (at nadir) (collection 6) (Levy
312 et al., 2013). However, both these spatial resolutions are inadequate for monitoring air quality events
313 which require approximately a 0.6x0.6 km² resolution, based on the time for a 1 m/s wind speed event
314 to cross a pixel. The spatial resolution of the MODIS AOD product has been improved using the MAIAC
315 algorithm which uses temporal changes to improve the spatial resolution (Lyapustin and Wang, 2007)
316 and the SARA algorithm which uses the resolution of the raw reflectances (500 m) and data from the
317 AERONET surface based AOD monitoring to refine the spatial resolution.

318 In addition to difficulties in determining background AOD from the surface variability, clouds may
319 obscure the surface reflectance. This severely constrains the usefulness of AOD scattering methods to
320 determine aerosol movement on a global basis - especially in cloudy, tropical regions - as it leads to
321 masked (i.e. unmeasurable) pixels where significant clouds are present or the surface is not sufficiently
322 homogeneous (Tsay et al., 2016). The high temporal volume of GEO data can reduce cloud masking by

323 using the temporal minimum reflectance across longer time frames with the IDDI method. IDDI only
324 requires a single cloud free period (per pixel) during the longer timeframe and does not average across
325 pixels, thus preserving the full pixel resolution with fewer masked events (Kim et al., 2015; Xu et al.,
326 2013). An implicit assumption in the IDDI approach is that the period compared should have minimal
327 surface reflectance changes (i.e. exclude seasonal effects) and it is thus suited for comparison across
328 days rather than weeks or months.

329 The radiation energy received by a satellite sensor is inversely related to the wavelength and therefore
330 scattering in the visible spectrum is more sensitive to changes in particle composition and size than
331 absorption at thermal infrared wavelengths (Bond and Bergstrom, 2006; Guehenneux et al., 2015).
332 Similarly, scattering effects from different surface backgrounds are more problematic than absorption
333 in determining background AOD. Despite these problems, using the enhanced sensitivity of scattered
334 reflectance is preferred to absorption when determining AOD. However, with the rapid temporal
335 updates, there is a requirement to use wavelengths that are continually available (such as infra-red
336 absorption) and not restricted to daylight hours. Therefore, GEO methods may need to use a
337 combination of daytime scattering and infra-red absorption at night to maximise both signal strength
338 and data availability. The NASA/NOAA products favour scattering using visible wavelengths to derive
339 AOD estimates as used by MODIS (Hsu et al., 2013; Levy et al., 2013; Tanré et al., 1997), newer sensors
340 such as VIIRS (Jackson et al., 2013), GOES-R GEO satellites (Matter, 2010) and future missions using
341 TEMPO (Zoogman et al., 2017). In contrast the EUMETSAT methods (BOM, 2012; Naeger and
342 Christopher, 2014; Wooster et al., 2015; Xiao et al., 2015) used by Meteosat and Himawari use thermal
343 infrared bands to identify aerosol plumes and a lookup table to convert AOD to plume mass and
344 average particle size (Wen and Rose, 1994).

345 The high temporal volume of data from GEO satellites allows a cloud-free background to be
346 determined which enables the determination of AOD from remote sensing data. The relative signal
347 intensities at different wavelengths allow the aerosol type to be determined.

348 2.3. Aerosol Model Inversion Problem

349 The main limitation of using current AOD calculations to determine surface particulate matter
350 concentrations is not the lack of temporal resolution, which is overcome using GEO data, nor the
351 determination of background AOD but the choice of the aerosol model (Carrer et al., 2014).
352 Atmospheric concentrations and the spatial distribution of particulate matter depend on the emission
353 of new particles, the dispersion, chemical transformation, and physical removal of those particles
354 (Streets et al., 2013). Knowing the intrinsic properties of aerosols (size, shape, composition, and
355 refractive indices) allows determination of the extrinsic spectral properties (radiance and brightness
356 temperature) with a radiative transfer model (Bond and Bergstrom, 2006; Hess et al., 1998). The
357 cumulative effect of the substrate (e.g. soil type, vegetation type, barren rock, urban), moisture (e.g.
358 sea, snow, ice, cloud, liquid, vapour) gaseous and particulate matter determine the total spectral
359 property which can be calculated at each wavelength (Christopher, 2014; Huang et al., 2011).

360 Whilst the theoretical framework for calculating extrinsic optical properties from intrinsic source
361 specific properties is well understood, it is not always possible to calculate the reverse (Bioucas-Dias
362 et al., 2012). Remote sensing methods use inversion techniques to solve the inverse of the radiative
363 transfer equations in determining aerosol optical depth, particle composition, size, and number. These
364 inversion equations cannot be solved explicitly as there are more unknown intrinsic aerosol properties
365 than known extrinsic measurable parameters. This is worsened by GEO satellites with limited spectral
366 resolution (for instance MODIS has 36 spectral bands compared to the Spinning Enhanced Visible and
367 Infrared Imager (SEVIRI) with 12 bands) (Naeger and Christopher, 2014; Wooster et al., 2015). An
368 aerosol model assumes a fixed set of intrinsic aerosol properties (size, composition, humidity) and
369 extrinsic radiances/absorption are calculated for each wavelength band. These extrinsic and intrinsic
370 properties are used to populate a lookup table of aerosol properties (Huneeus et al., 2011; Sessions
371 et al., 2015). The most probable aerosol type, AOD and particle size (intrinsic) are determined using
372 the best match spectral approximations (extrinsic) from the lookup table and particle number (or

373 concentration) is calculated based on signal intensity (Safarpour et al., 2014). However, the inversion
374 method introduces circular assumptions as the accuracy of the solution is dependent on correctly
375 including localised aerosol types and particle sizes (Mann et al., 2014) in global datasets.

376 The most significant recent contribution to knowledge in the field has been refinements to the aerosol
377 model's lookup tables in preparation for the launch of new GEO satellites by pseudo-AOD datasets
378 generation (Brunner et al., 2016). Radiative transfer (RT) model outputs were compared as part of the
379 Modern Era Retrospective-analysis for Research and Applications Aerosol Reanalysis (MERRAero)
380 which compared 16 RT models (Ma and Yu, 2015) against each other. Results suggest that assimilation
381 of AOD data tends to improve the PM_{2.5} temporal variability (i.e. temporal correlation) but cannot
382 correct systematic errors in surface concentrations (i.e. spatial correlation or over/under predicting).
383 The authors note that systemic errors were due to inadequate aerosol optical properties, missing
384 species, and/or deficiencies in aerosol vertical structure (Burchard et al., 2016). Closure studies
385 compared four aerosol models (NASA Global Modeling Initiative, GEOS-Chem v9, baseline GEOS-Chem
386 with radiative transfer calculations (GC-RT), and the Optical Properties of Aerosol and Clouds (OPAC)
387 package (Hess et al., 1998) with data gathered during the 2008 Arctic Research of the Composition of
388 the Troposphere from Aircraft and Satellites (ARCTAS) campaign. These studies found significant
389 differences (10-23%) between the four models which were attributed to assumptions concerning fixed
390 size distributions, external mixture assumptions and refractive indices used in the models (Alvarado
391 et al., 2016).

392 Improvements to the RT models have encouraged aerosol classification changes from the vague
393 "strongly absorbing" (Levy et al., 2013) and "non-spherical" (Di et al., 2016) to more meaningful GEOS-
394 CHEM species of dust, namely black carbon, other carbon, sea salt, sulphate and urban
395 (Athanasopoulou et al., 2015; Naeger et al., 2016). These classification changes considered the natural
396 abundance of particulate species (Brindley et al., 2015). Whilst the GEOS-CHEM (and similar) model
397 species do not by themselves result in detailed chemical compound classifications, the refined species
398 definition is a better source classification scheme (Curci et al., 2015) and by including local speciation
399 effects (different mineral compositions for instance) (Colarco et al., 2014) could allow the generation
400 of more regionally specific, compound and size, lookup tables.

401 Comparative radiative transfer studies have highlighted that it is important to understand and
402 optimise the inversion process and in this regard, a Jacobian error matrix approach (i.e. optimising a
403 matrix of first order derivatives instead of signal intensity against explicit aerosol parameters) that
404 supplies a measure of uncertainty and quantification of the inversion process has been proposed
405 (Wang et al., 2014). The authors suggest that their study "should be viewed as the starting point for
406 the development of a framework for objective assessment of aerosol information content for any real
407 or synthetic measurements and that further development of particle scattering codes for non-
408 spherical particles is essential, especially for large particles that are difficult to handle with current
409 implementations of [radiative transfer] theory."

410 In tandem with, or possibly as a result of the errors in the uncertainty model approach, research has
411 focussed on a dust index approach (Wen and Rose, 1994) using generic aerosol model lookup tables.
412 This has used single spectra (0.550 μm or 11 μm) (Kim et al., 2016), double band brightness
413 temperature reduction (BTR) (3.7 μm -11 μm) (Di et al., 2016; Guehenneux et al., 2015), triple band
414 BTR (12 μm -11 μm , 4 μm -11 μm or 9 μm -11 μm) (Lee et al., 2014; Wong et al., 2015), four BTR bands
415 (10.3 μm -11.3 μm , 11.5 μm -12.5 μm , 6.5 μm -7.0 μm , 3.5 μm -4.0 μm) (Kim et al., 2016), ratio of
416 NIR/Red (Wickramasinghe et al., 2016) and IDDI methodologies (Di et al., 2016) using simple cloud
417 masking ratios. These dust index methodologies could be described as a rudimentary supervised
418 classification scheme, based on expert knowledge of predominant spectral characteristics (Lee and
419 Lee, 2015).

420 However, these dust index products are dependent on the intensity of an event, so the identification
421 of a minor dust storm which relies on the temperature differences between the land surface and the
422 cooler aerosols may be missed (i.e. BTR < detection threshold) (Basha et al., 2015; O'Loingsigh et al.,

423 2015). Dust storms can influence ambient surface temperatures by shielding the sun's energy from
424 reaching the surface, thereby influencing the AOD/BTR relationship (Colarco et al., 2014), and
425 moisture effects need to be properly accounted for in the lookup table (Guehenneux et al., 2015) to
426 correct the non-linearity in the AOT/BTR relationship for cooler BTR thresholds.

427 Given the uncertainty of the inverse aerosol model retrievals and influences of external parameters
428 such as humidity, temperature, topography, cloud cover, cloud optical depth, local mineralogy and
429 size parameters on the AOD/GLCs relationship, several studies have suggested using neural networks
430 (Athanasopoulou et al., 2016; Lary et al., 2016; Wong et al., 2015) or Bayesian studies (Weber et al.,
431 2016) to improve the inverse aerosol retrievals. These multivariate, non-linear, and non-parametric
432 approaches have been used in data assimilation of incompatible timescales (daily and hourly) or
433 different satellite products of varying spatial resolution. However, whilst these methods can identify
434 hidden nodes or relationships in the data, they are computationally expensive for large, near-real-
435 time rapidly updating datasets unless the classification steps are predetermined during the initial
436 training phase for the region (Puttaswamy et al., 2014).

437 Quantifying AOD and determining aerosol type remains an ongoing challenge in determining GLCs.
438 However, despite the ongoing uncertainties related to quantifying AOD, the spatiotemporal
439 qualitative aspects are one of the successes of remote sensing. Relative increases and/or decreases in
440 AOD indicate sources and sinks of particulate matter (Roberts et al., 2015; Sessions et al., 2015), verify
441 emission rate changes (Huang et al., 2014), justify control strategies (Zhang et al., 2014) and help
442 understand the diurnal and annual transportation of aerosols both from local sources and long-range
443 transport (Hu et al., 2015; Naeger et al., 2016). Whilst knowing the columnar AOD is important, ground
444 level pollution is the important parameter from a human health and management perspective.

445 2.4. Vertical Profiles

446 Surface visibility has been used as a proxy for GLCs of particulate matter (Brunner et al., 2016; Di et
447 al., 2016), but where neither visibility nor concentration is measured, there is a need to extrapolate
448 AOD to GLCs using mathematical methods. The methods may include simple linear approximation or
449 multiple regression taking into consideration secondary effects such as hygroscopic and
450 meteorological parameters (Bukowiecki et al., 2016; Sotoudeheian and Arhami, 2014). However,
451 these approaches assume a well-mixed, steady-state plume which results in a predictable smooth
452 Gaussian-plume vertical relationship where the concentration at different altitudes is correlated to
453 ground level PM concentration (Sotoudeheian and Arhami, 2014). Dispersion modelling studies show
454 that a well-mixed neutral state (i.e. plume buoyance determined by adiabatic lapse rate) occurs half
455 of the time where there is a moderate to high amount of cloud cover and wind speeds greater than 3
456 m/s at night or 5 m/s during the day) (Hagemann et al., 2014). If the plume is rising rapidly (e.g. near
457 source, or from fires or volcanoes), or if temperature inversion conditions are present, then the
458 assumption of well-mixed neutral plumes is invalid. Temperature inversions and increased wind
459 speeds, leading to heightened dust-lift-off, are an indication of non-neutral weather conditions that
460 commonly occur during dust storms (Basha et al., 2015). Where plume stratification occurs from high
461 wind-speeds trapping the plume in layers, or inversion conditions trap a plume below the mixing layer,
462 or if the plume rises rapidly, the vertical distribution of the plume may be significantly non-Gaussian
463 and AOD may be uncorrelated to GLCs as detailed in some LRT dust studies (Athanasopoulou et al.,
464 2016).

465 Various methods exist for determining the vertical profile of the plume. Dispersion modelling can
466 produce satisfactory results, but the accuracy of the vertical concentration profile depends on
467 determining the correct meteorological profile for the model, which may lead to high uncertainties.
468 Several studies have considered using Lidar backscattering from the CALIPSO satellite or forward
469 multi-angular remote sensing methods such as from the MISR satellite (Basha et al., 2015; Solomos et
470 al., 2015; You et al., 2016b). However, both CALIPSO and MISR have reduced temporal and spatial
471 resolution and a hybrid approach is therefore common, where the dispersion model's vertical profile
472 is constrained using limited satellite-derived approximations.

473 Hybrid methodologies have been noted as an emerging technology in the recent literature. Initially, a
474 dispersion model such as CMAQ (Roberts et al., 2015) or CAMx (Baldassarre et al., 2015) was coupled
475 to an independent meteorological model such as WRF (Greenwald et al., 2016) and AOD input data
476 was used to constrain the dispersion model. However, with the advent of the GEOS-CHEM and HYSPLIT
477 (Naeger et al., 2016) models, meteorological fields are now obtained and processed directly from
478 NCAR reanalysis files by the dispersion model, eliminating the separate pre-processing step (Lin et al.,
479 2014; Xu et al., 2015). In a typical coupled modelling scenario, an emissions inventory is estimated and
480 constrained by AOD data, in order to generate surface consistent concentrations taking into
481 consideration the modelled mixing height and concentration at multiple internal heights. This is done
482 by using the magnitude and spatial distribution of the AOD as initial emission input to a dispersion
483 model and then rescaling the emissions to ensure a best match of the predicted AOD from the coupled
484 model against the satellite-derived AOD data (Stafoggia et al., 2017). Studies have demonstrated that
485 best results are obtained by matching the model's grid resolution and internal time-steps to the
486 underlying AOD spatiotemporal resolution and the need to understand the overall accuracy of the
487 coupled methods (Philip et al., 2016).

488 2.5. Validation/Accuracy

489 It is vital that improvements to the methodology are developed to enhance accuracy. The current
490 accuracy of the regression method (AOD to GLCs) is estimated to be twenty percent and the
491 uncertainty of the aerosol model (wavelength signal intensity to AOD) is estimated to be thirty percent
492 (Basha et al., 2015; Tu et al., 2015). However, researchers caution that the regression coefficients are
493 not transferable to other regions and the true uncertainty could be an order of magnitude higher if
494 assumptions in the aerosol model are not taken into consideration (Basha et al., 2015; Tu et al., 2015).
495 This has been clearly demonstrated in validation studies that have compared multiple satellite
496 products across an area and significant disagreements between them were ascribed to uncertainties
497 in the aerosol retrieval properties of mass, size, and composition (Reid et al., 2013).

498 The Jacobian error matrix approach discussed earlier allows the uncertainty of the aerosol's model
499 output to be directly quantified, which can aid in optimizing the matrix solution by testing alternative
500 aerosol types and/or wavelengths. The uncertainty associated with converting aerosol radiation to
501 ground level concentrations is reduced by the matrix optimised solution which requires using a
502 chemical transport model (CTM), driven by assimilated meteorology and verified against observations
503 to simulate radiative impacts and surface concentrations. It is critical for an accurate evaluation of
504 aerosol concentrations and impacts that the matching of observations and simulations accounts for
505 the timeframe differences between instantaneous satellite measurements and hourly dispersion
506 predictions or daily measured concentrations in the comparisons between measured and predicted
507 concentrations (Heald et al., 2014).

508 Most validation studies have used descriptive statistics to compare AOD-derived GLCs to ground-
509 based measurements. Common statistical tools used to assess the accuracy of the method include
510 Pearson's correlation coefficient (R) and the Root Mean Squared Error (RMSE) (Wu et al., 2016; Xu et
511 al., 2014). However, this approach neglects the statistical assessment of spatial (between pixels) and
512 temporal (within time) accuracy (Wang et al., 2014), i.e., it does not clarify whether the variability in
513 space and time is included in the descriptive statistics for each field or parameter being compared.
514 This issue is evident in a recent study which compared surface PM_{2.5} and PM₁₀ concentrations and
515 particle size ratios from four different countries (Israel, Italy, France, and the United States (California
516 and NE-USA)), against collocated sun-photometer AERONET measurements and AOD products derived
517 from MODIS Dark Target Collection 06 algorithm and the MultiAngle Implementation of Atmospheric
518 Correction (MAIAC) algorithm (Sorek-Hamer et al., 2016). Sorek-Hamer et al. (2016) concluded that
519 there was a very poor correlation between predicted and measured concentrations and apart from a
520 slight seasonal bias were unable to account for the poor correlation. Despite having data from many
521 sites, they restricted their spatial analysis to amalgamating across the five regions. Taylor diagrams
522 have compared measured concentrations (or AOD) with monitored data and correlations across

523 multiple sites have been evaluated to determine if algorithm improvements have led to improved
524 correlations (Kim et al., 2016) in describing temporal variability at monitoring sites. Similarly,
525 Maximum Covariance Analysis has been used to compare monthly spatial variances between different
526 satellite products and ground-based measurements and these variances were depicted graphically (Li
527 et al., 2015). What is lacking are statistical tools that combine the spatial, temporal, and field (or
528 parameter) variability in one diagram.

529 Whilst AERONET sites are well distributed about the globe, there remain many locations without
530 monitored data where it is impossible to determine if the aerosol retrieval has made reasonable
531 choices, either for pixel selection, cloud screening, aerosol model type or surface reflectance
532 assumptions (Wind et al., 2016). If the spatiotemporal variability at monitoring sites is poorly defined
533 this is amplified when aerosol model uncertainty must be included in the assessment of the overall
534 accuracy of the predicted GLCs.

535 2.6. Emerging solutions

536 One of the perceived problems with working with remote sensing is the difficulty of finding suitable
537 products, downloading large files, and converting those files into meaningful data in a suitable format
538 (Duncan et al., 2014). Web-based graphical interface tools (such as those presented in Table 1 of
539 Mhawish et al.) are gaining popularity as a means of rapidly screening and acquiring data (Mhawish
540 et al., 2018).

541 Whilst these tools are excellent for routine screening, more intensive investigations may require the
542 use of raw data files. Increasing standardisation on the netCDF (ver. 4) standard has seen the
543 proliferation of simple command line tools such as the University of California's netCDF Operators
544 (NCO) and the Max-Planck's Climate Data Operators (CDO) (CDO, 2018). Both tools allow easy data
545 manipulation. A secondary benefit of the standardisation is the development of improved visualisation
546 software, such as Paraview (Ayachit, 2015), which use the netCDF data standard and are preconfigured
547 to take advantage of supercomputers.

548 However, the biggest change, in computing AOD, has come about with the development of the
549 Meteosat/SEVIRI AOD algorithms. The Meteosat series of satellites has led the development of GEO
550 satellites methodologies as reflected in Table 1 and Table 2. Table 2 describes recent literature which
551 specifically considered the derivation of AOD and GLCs, rather than simple lookup of products. These
552 studies show that the NASA/NOAA products predominantly determine AOD using scattering of visible
553 wavelengths as demonstrated across a range of current and future satellite platforms including MODIS
554 (Hsu et al., 2013; Levy et al., 2013; Tanré et al., 1997), VIIRS (Jackson et al., 2013), GOES-R (Matter,
555 2010) and future planned satellites such as TEMPO (Zoogman et al., 2017). In contrast to NASA,
556 EUMETSAT methods favour using thermal infrared bands to identify and quantify aerosol plumes using
557 thermal infra-red to determine a dust index (BOM, 2012; Naeger and Christopher, 2014; Wooster et
558 al., 2015; Xiao et al., 2015).

559

Satellite/Sensor (Reference, Year)	Title	Source types	Algorithm/comments
GOES-R (Wang et al., 2014)	A numerical testbed for remote sensing of aerosols, and its demonstration for evaluating retrieval synergy from a geostationary satellite constellation of GEO-CAPE and GOES-R	Unspecified lookup table	Scattering
SEVIRI (Zawadzka and Markowicz, 2014)	Retrieval of Aerosol Optical Depth from Optimal Interpolation Approach Applied to SEVIRI Data	Mineral dust, sea salt, particulate sulphates (SO ₄) and smoke	AOD from 0.6 µm & 1.6 µm. Uses scattering Describes algorithms Compare to AERONET
SEVIRI (Naeger and Christopher, 2014)	The identification and tracking of volcanic ash using the Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infrared Imager (SEVIRI)	Volcanic ash	RGB dust index using Red (12-10.8 µm), Green (10.8-8.7 µm), and Blue (10.8 µm)
SEVIRI (Fernandes et al., 2015)	Comparisons of aerosol optical depth provided by SEVIRI satellite observations and CAMx air quality modelling	CAMx aerosol model species	AOD (0.6 µm) Top of Atmosphere reflectance, corrections. Compare to AERONET
SEVIRI (Roberts et al., 2015)	LSA SAF Meteosat FRP products - Part 2: Evaluation and demonstration for use in the Copernicus Atmosphere Monitoring Service (CAMS)	Wildfires	Heat of combustion proportional to amount being burnt not vegetation type. Uses MIR, NIR, burnt areas
SEVIRI (Guehenneux et al., 2015)	Improved space borne detection of volcanic ash for real-time monitoring using 3-Band method	Volcanic ash	RGB dust index Displays thermal BTR spectra for common aerosols Compare to Mie theory
Himawari-8 (Wickramasinghe et al., 2016)	Development of a Multi-Spatial Resolution Approach to the Surveillance of Active Fire Lines Using Himawari-8	Wildfires	Multispectral, Red, MIR & TIR
Himawari-8 (Sekiyama et al., 2016)	Data Assimilation of Himawari-8 Aerosol Observations: Asian Dust Forecast in June 2015	Asian Dust	AOD from 470, 510 and 640 µm
Himawari-8 (Yumimoto et al., 2016)	Aerosol data assimilation using data from Himawari-8, a next-generation geostationary meteorological satellite	Not stated, total AOD	Used AOD from visible (0.47, 0.51, and 0.64 nm) and near-infrared (0.86 nm)
INSAT (Di et al., 2016)	Dust Aerosol Optical Depth Retrieval and Dust Storm Detection for Xinjiang Region Using Indian National Satellite Observations	Dust storm	Suggests not spectral but dust index (BTD & IDDI) to identify aerosol Included spectral graphs BTD detects event (by threshold exceedance) but not related to intensity. Compared to AERONET AOD from 1.6 µm
Himawari-8 (Wang et al., 2017)	Deriving Hourly PM _{2.5} Concentrations from Himawari-8 AODs over Beijing-Tianjin-Hebei in China	Urban regions	AOD (500 nm) & AE from Himawari-8 compared to AERONET sites. Use statistical model with inputs of relative humidity, boundary height, NDVI (surface categories) and topography (DEM)
SEVIRI (Gonzalez and Briottet, 2017)	North Africa and Saudi Arabia Day/Night Sandstorm Survey (NASCube)	Sandstorms	NASCube compared to DB + AERONET pseudo-true colour day and night 10-day minimum Wide spectral range 0.6, 0.8, 1.6, 3.9, 8.7, 9.7, 10.8, and 12.0 µm RGB (12-10.8, 10.8-8.7, 10.8) AOD (12-10.8)
TEMPO (Zoogman et al., 2017)	Tropospheric emissions: Monitoring of pollution (TEMPO)	Wide range of pollutants	Vis & UV wavelengths

562 3. Conclusions

563 This review has highlighted the challenges faced with determining GLCs from remote sensing data.
564 Because of these challenges, atmospheric scientists have in the past not fully utilised remote sensing
565 to routinely determine GLCs (Duncan et al., 2014). GEO is a significant step forward in supplying highly
566 resolved data that satisfy the temporal requirements for sub-hourly data. It goes beyond the hourly
567 resolution of most dispersion models supplying sub-hourly data that allow aerosol and cloud dynamics
568 to be investigated with almost near-real-time capabilities for the first time. Spatially the infra-red
569 resolution is slightly coarse (Himawari 2 km) for localised studies, but adequate for regional and global
570 studies. Kriging algorithms could potentially refine the continuous representation of the discrete
571 observations in the spatial scale to be similar to local dispersion model studies, but this only produces
572 a smoothed estimate and does not improve the underlying spatial resolution.

573 Currently, AOD methods utilise the enhanced temporal resolution of GEO data to obtain a cloud-free
574 measurement and increase the analysis frequency. Methods that use the additional information
575 supplied by the rate of change are notably absent and should be developed. The aerosol model
576 supplies the concentration vector; the rate of change of this vector presumably determines the rate
577 at which material is added, removed, or chemically transformed in the plume, and the second
578 derivative determines if the plume is in an equilibrium state (i.e. stable constant emission) or an active
579 source/sink. Analysis of these rate of change variables should allow for a better understanding of
580 emissions and resulting chemical and physical transformations even if the underlying aerosol inversion
581 model contains assumptions. However, the extent to which particle emission changes are reflected in
582 satellite data is severely constrained by the resolution of the data (Mhawish et al., 2018). The spatial
583 resolution determines if the plume is discernible against background concentrations and if the plume
584 spans multiple pixels or is fully contained within one pixel. The temporal resolution determines if the
585 underlying chemical and physical changes can be discernible with the data frequency, for example,
586 rapid photochemical reactions may be faster than the rate of data updates. The data resolution (or
587 sensitivity) determines the concentration changes that are detectable; for instance, Himawari-8 has a
588 brightness temperature resolution of 1/16 Kelvin or 1/1024 of scaled radiance (based on personal
589 inspection of the data). The spectral sensitivity is impacted by the width and number of bands: this
590 determines what species can be identified. For instance, a hyperspectral instrument can determine
591 targeted organic compounds while the broad bands of GEO satellites are limited to compound classes
592 such as black carbon (Adão et al., 2017).

593 Understanding the error matrix of aerosol models is vital and this should become routine instead of
594 the lookup table of current methods. At a minimum, this will encourage the use of more than simple
595 two or three band methodologies in the development of dust indices and instead utilise all wavelength
596 bands measured by the satellite to better determine the aerosol type. Given the rapid near real-time
597 availability of the data, processing should at most take half the data rate, allowing the balance of time
598 for slower data transfers. This implies that processing of all data products has at most five minutes to
599 complete and this may involve approximations rather than exact solutions.

600 It is unlikely that GEO aerosol remote sensing will provide a complete standalone solution and in this,
601 we agree with Hoff and Christopher: so long as the number of intrinsic properties to solve is greater
602 than the number of reactive wavelengths, the circular assumptions of an aerosol model imply that
603 quantification remains an approximation. It is highly probable that hybrid methods of neural
604 networks, Bayesian probabilities and coupled CTM models such as GEOS-CHEM will continue to be
605 developed and improved. However, the time constraints of near real-time modelling make a fully
606 coupled CTM unlikely and favour the pre-processing of existing data from statistical neural network
607 models into enhanced dust index products that take into consideration local mineralogy and particle
608 size distributions, resolve the vertical profile and account for moisture and other external effects.

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612 5. Bibliography

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