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ORIGINAL ARTICLE

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Investigations in vivo of the effects of carbogen breathing on 5-fluorouracil pharmacokinetics and physiology of solid rodent tumours

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Abstract *Purpose*: We have shown previously that carbogen (95% 0_2 , 5% CO₂) breathing by rodents can increase uptake of anticancer drugs into tumours. The aim of this study was to extend these observations to other rodent models using the anticancer drug 5-fluorouracil (5FU). 5FU pharmacokinetics in tumour and plasma and physiological effects on the tumour by carbogen were investigated to determine the locus of carbogen action on augmenting tumour uptake of 5FU. *Methods*: Two different tumour models were used, rat GH3 prolactinomas xenografted s.c. into nude mice and rat H9618a hepatomas grown s.c. in syngeneic Buffalo rats. Uptake and metabolism of 5FU in both tumour

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K. van der Borns · G. J. Peters Department Medical Oncology, VU University Medical Centre, De Boelelaan 1117, 1081 HV Amsterdam, The Netherlands models with or without host carbogen breathing was studied non-invasively using fluorine-19 magnetic resonance spectroscopy (¹⁹F-MRS), while plasma samples from Buffalo rats were used to construct a NONMEM pharmacokinetic model. Physiological effects of carbogen on tumours were studied using ³¹P-MRS for energy status (NTP/Pi) and pH, and gradient-recalled echo magnetic resonance imaging (GRE-MRI) for blood flow and oxygenation. Results: In both tumour models, carbogan-induced GRE-MRI signal intensity increases of $\sim 60\%$ consistent with an increase in tumour blood oxygenation and/or flow. In GH3 xenografts, ¹⁹F-MRS showed that carbogen had no significant effect on 5FU uptake and metabolism by the tumours, and ³¹P-MRS showed there was no change in the NTP/Pi ratio. In H9618a hepatomas, ¹⁹F-MRS showed that carbogen had no effect on tumour 5FU uptake but significantly (p=0.0003) increased 5FU elimination from the tumour (i.e. decreased the $t_{1/2}$) and significantly (p = 0.029) increased (53%) the rate of metabolism to cytotoxic fluoronucleotides (FNuct). The pharmacokinetic analysis showed that carbogen increased the rate of tumour uptake of 5FU from the plasma but also increased the rate of removal. ³¹P-MRS showed there were significant $(p \le 0.02)$ increases in the hepatoma NTP/Pi ratio of 49% and transmembrane pH gradient of 0.11 units. Conclusions: We suggest that carbogen can transiently increase tumour blood flow, but this effect alone may not increase uptake of anticancer drugs without a secondary mechanism operating. In the case of the hepatoma, the increase in tumour energy status and pH gradient may be sufficient to augment 5FU metabolism to cytotoxic FNuct, while in the GH3 xenografts this was not the case. Thus carbogen breathing does not universally lead to increased uptake of anticancer drugs.

Keywords 5-Fluorouracil · Tumour · Carbogen · Pharmacokinetics · MRS · MRI

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Abbreviations AUC: Area under the concentration-time curve $\cdot C_{\text{max}}$: Maximum value \cdot DHFU: Dihydrofluorouracil \cdot 5FU: 5-Fluorouracil \cdot FTP: 5-Fluorotryptophan \cdot FBal: 5-Fluoro- β -alanine \cdot FUPA: 5-Fluoro- β -ureidopropionic acid \cdot FNuct: 5-Fluoronucleotides \cdot FCat: Fluorocatabolites \cdot GRE: Gradient recalled-echo \cdot MRS: Magnetic resonance spectroscopy \cdot NaF: Sodium fluoride $\cdot \Delta$ pH: pH gradient across the plasma membrane $\cdot t_{1/2}$: 5FU elimination half-life $\cdot V_1$: 5FU in the body $\cdot V_2$: 5FU in the tumour \cdot CL₁₀: 5FU elimination from the body \cdot CL_d: Bi-directional clearance of 5FU (body and tumour) \cdot CL_m: Metabolic clearance of 5FU in the tumour

Introduction

A major factor in the relatively low success of systemic anticancer chemotherapy is inadequate drug delivery to the solid tumour. This is partly due to the poor vascular supply of solid tumours which additionally leads to hypoxic regions, as well as the high hydrostatic pressure in the tumour extracellular space [5]. There is thus a clinical need to improve drug delivery for both new and old chemotherapeutics for the treatment of the majority of solid tumours.

Breathing carbogen (95% O₂, 5% CO₂) by rats and mice has been shown to increase the uptake of anticancer drugs by solid tumours [13, 19, 31, 32]. In the GH3 prolactinoma grown in syngeneic rats, carbogen increased the maximum concentration of ifosfamide (C_{max}) in the tumour by 60% and although time for drug elimination $(t_{1/2})$ was unchanged, the area under the concentration-time curve (AUC) was significantly increased. Uptake and retention of ifosfamide by the liver [31] and other normal tissues were unchanged [29] showing that the effect of carbogen was selective for tumours. In mouse RIF-1 fibrosarcomas grown in syngeneic mice, carbogen decreased the rate of elimination of 5-fluorouracil (5FU) by 30%, and caused increased 5FU uptake and activation, although the latter effect only occurred in tumours which were considered hypoxic due to high retention of a nitroimadazole [19]. In both these animal tumour models, carbogen augmented drug-induced growth inhibition of the tumour. The mechanism of these effects is complex, but a major factor is likely to be a carbogeninduced transient increase in blood flow and/or blood volume to all or part of the tumour. However, other changes in rodent tumours also occur in response to carbogen breathing which include decreases in extracellular pH [19, 37] and increases in tissue oxygenation [1, 8, 30], and they may also play a role in the increased retention of 5FU by tumours. 5FU is a pro-drug which is metabolised in tissues to 5-fluoronucleotides (FNuct), or degraded (mostly in the liver) to non-cytotoxic catabolites such as fluoro- β -alanine. Increased tumour concentrations of 5FU are strongly associated with improved response both in pre-clinical and clinical models [17–19, 24, 26, 35]. Thus, a mechanism that leads to increased uptake and/or retention of 5FU by tumours will lead to increased tumour cell kill.

Gradient-recalled echo (GRE) imaging of GH3 tumours grown in rats showed that carbogen caused a rapid and completely reversible twofold increase in image intensity [27], a phenomenon that reflects predominantly an increase in tumour blood oxygenation, as well as heterogeneous increases in tumour blood flow [10, 30]. Investigation of five other solid tumour models grown in rodents showed that carbogen induced similar or smaller increases in three of these models, namely, the same GH3 tumour xenografted into nude mice, the Morris hepatoma, H9618a transplanted into syngeneic rats and a chemically induced rat mammary adenocarcinoma. However, in the two other models, the RIF-1 fibrosarcoma grown in syngeneic mice and the human HT29 adenocarcinoma xenografted in nude mice, transient decreases in image intensity were observed [28]. In human tumour studies, the responses to carbogen were also variable. Over 70% of the tumours studied showed an increase in signal intensity, with a range of increases from 4% to 80% [7, 38]. A recent report measured the effect of carbogen on the oxygenation of RIF-1 tumours and demonstrated considerable variability in the changes in pO₂ ranging from no effect (4/10) to increases of 20-50% (4/10) to >8-fold increases (2/10) [12]. Taken together, all these experiments suggest that the effect of carbogen breathing on anticancer drug uptake by tumours could also be very variable too.

In order to investigate further the influence of carbogen on 5FU pharmacokinetics and tumour physiology, we have measured changes in tumour 5FU uptake and metabolism and GRE-image intensity in response to carbogen in the Morris rat hepatoma H9618a and in the GH3 prolactinoma xenografted into nude mice. The H9618a hepatoma was selected because we have shown there is a large physiological response to carbogen: the ATP/Pi ratio and pO₂ both increase [37], and in the GH3 tumour we had already shown that carbogen could increase the initial uptake of the anticancer drug ifosfamide [31]. In the rat hepatoma, 5FU uptake and metabolism in the tumour was modelled pharmacokinetically to determine the locus of carbogen action. The results show that carbogen can rapidly increase drug uptake from the plasma, but simultaneously increases drug elimination from the tumour tissue space back to plasma. Thus, for carbogen to increase tumour uptake of 5FU, a secondary mechanism may be necessary, involving for example a decrease in tumour pH. Such a change was observed in hypoxic RIF-1 tumours [19] and this or other changes may be required in order to obtain therapeutic benefit from carbogen breathing.

Methods

Materials 5FU was obtained from David Bull Laboratories (Warwick, UK) as a saline solution of 25 mg/ml. Sodium fluoride (NaF), 5-fluorotryptophan (FTP) and 3-aminopropylphosphonate (3-APP) were obtained from Sigma (Poole, Dorset, UK). Carbogen gas (95% O_2 , 5% CO_2) was obtained from BOC Ltd (Guildford, Surrey, UK).

Animals and tumour models Rat prolactinoma (GH3) tumours in MF1 nude mice and rat hepatoma (H9168a) tumours in Buffalo rats were grown s.c. in the flanks of animals as previously described [28, 36]. Tumour volume was determined by measuring three orthogonal dimensions and using the formula $(\pi/6)\times l\times w\times h$, and they were used for studies when 0.5–1 g (mice) or 2–8 g (rats). All MR studies utilised a Varian 200/330 4.7-T horizontal bore spectrometer with animals positioned so that tumours hung freely into a surface coil. Body temperature was maintained at 36–37°C with a warmed water pad.

Protocol used for ¹⁹F and ³¹P-MRS studies of mice bearing GH3 xenografts MF1 nude mice (ca. 30 g) were anaesthetised with Hypnorm/Hypnovel (0.3 ml i.p. of 12.5 mg/kg midazolam, 25 mg/kg fluanisone and 0.8 mg/kg fentanyl citrate in water) and tumours suspended into an 18 mm surface coil tunable to both ³¹P and ¹⁹F. The coil was tuned to ¹H so that the shimming was performed over the same volume as the spectroscopy. The experimental set-up for this tumour model was as previously described [19] and included a bulb containing 100 µl of 15 mM FTP (1,500 nmoles) positioned 5 mm under the coil as an external ¹⁹F standard. The animals were fitted with a nose-piece equipped with a scavenger so that they could breathe air or carbogen which was supplied at a rate of 2 1/min. First, while the animals breathed air, a 5 min 31 P-spectrum (246×1.2 s transients using a 90° flip-angle with a spectral width of 8 kHz) was obtained. The gas supply was then switched to carbogen and another 5 min ³¹P spectrum acquired. After 19 min of carbogen breathing, 5FU was injected i.p. as a 130 mg/kg/4 ml bolus of 1 min. It is known that a bolus injection i.p. of 125 mg/kg 5FU in 30 g mice leads to a rapid entrance of 5FU into the blood with a C_{max} at 4–5 min [9]. The gas supply was then returned to air for the remainder of the experiment while 11×10 min consecutive ¹⁹F spectra were acquired $(1,200\times0.5 \text{ s})$ transients using a 45° flip-angle and a spectral width of 25 kHz), i.e. protocol 1 (see Fig. 1). Finally, a third 5 min ³¹P spectrum was acquired. Spectra were analysed as previously described using VARPRO (³¹P) or FIT-SPEC (^{19}F) [19], with the exception that in this report the ¹⁹F-data are presented as nmoles/g of tumour. Corrections were made for the saturation of the signals from the FTP external standard and from 5FU and its metabolites assuming that for all tumours, the T_1 , coil-loading and



Fig. 1 Protocols for carbogen breathing in the ¹⁹F-MRS studies. Gases were supplied at a rate of 2 l/min. 5FU (130 mg/kg/4 ml) was administered as an i.v. bolus of 1 min (at t = 19-20 min). MRS was performed as described in "Methods"

flip-angle were constant. Under these conditions, the correction factors were 5.0, 2.2, 1.8 and 2.5 for FTP, 5FU, FNuct and FCat (FUPA + FBal), respectively; very similar to those previously described [16].

Protocols used for ¹⁹F-MRS studies of rats bearing H9168a hepatomas Rats (ca. 200 g) were anaesthetised with an i.p. injection of ca. 0.2 ml Sagatal (50 mg/kg) and tumours suspended into a 20 mm surface coil tuned to ¹⁹F with a bulb containing 16 µl of 500 mM NaF (8,000 nmoles) positioned 5 mm under the coil as an external ¹⁹F standard. Animals breathed air or carbogen as described above. Two different carbogen-breathing protocols were used (Fig. 1). In protocol 2a, the gas supply was switched from air to carbogen and after 19 min, 5FU was injected i.v. as a 100 mg/kg bolus of 1 min and the gas supply returned to air for the remainder of the experiment (total carbogen time was 20 min and thus equivalent to protocol 1 for GH3 xenografts). Consecutive ¹⁹F spectra of 11 (or in some cases 12) \times 10 min were acquired (1,200 \times 0.5 s transients using a 45° flip-angle and a spectral width of 25 kHz) immediately following 5FU injection. Protocol 2b was the same as protocol 2a, but after the same 5FU dose, the carbogen supply was maintained for a further 10 min before the gas supply was returned to air for the remainder of the experiment (total carbogen time 30 min). Consecutive ¹⁹F spectra (11×10 min) were then acquired immediately after 5FU injection. Spectra were analysed as described above except that in this case the correction factors were 1.2, 2.1, 2.0, 2.6 and 1.0 for NaF, 5FU, FNuct, FCat (FUPA + FBal) and DHFU, respectively, again making the assumption that for all tumours, the T_1 , coil-loading and flip-angle were constant.

Gradient-recalled echo ¹H-imaging of GH3 xenografts A separate cohort of six mice bearing GH3 tumours of 0.8–1.2 g were examined using the doubletuned ³¹P/¹⁹F surface coil and set-up described above. Consecutive GRE-images, each of 4 min, were obtained as previously described [19] using a 45° flip-angle with TR = 80 ms and TE = 20 ms. Animals breathed air for a 16 min which was then switched to carbogen breathing for 16 min, before returning to air breathing for a final period of 16 min.

*GRE-*¹*H*-imaging interleaved with ³¹*P*-spectroscopy of *H9168a tumours* A 2-turn, 2 cm ¹H/³¹P surface coil was used to perform GRE-imaging as described above, except that every 8 min during the periods of air or carbogen breathing, 4 min non-localised ³¹P-spectra were also obtained before returning to image acquisition. The prior injection of 3-APP (11 mmol/kg i.p.) as previously described [23] allowed simultaneous measurements of the effect of carbogen on tumour intracellular and extracellular pH (pH_i and pH_e, respectively) and β -NTP/Pi ratios. Animals breathed carbogen for 20 min, and after switching to air, images were acquired for a further 20 min followed by a final ³¹P spectrum.

5FU pharmacokinetics in plasma Eight Buffalo rats were anaesthetised as described above and 5FU injected as an i.v. bolus of 100 mg/kg/4 ml via the tail vein. Blood samples of 50–100 μ l were taken between 5 min and 60 min post-injection for four animals, and between 10 min and 90 min for the other four animals. The samples were placed in cold heparinised tubes, centrifuged and the plasma supernatant stored at -20°C. Plasma levels of 5FU were determined by gas chromatography linked to mass spectrometry (GC–MS) [24] (see Table 1).

Table 1 Concentrations of 5FU in rat plasma from 5 min to90 min in eight different rats

Rat number	Sample time (min)	Concentration 5FU (µM)
1	13. 37. 91	1.451, 188, 15
2	5, 13, 56	1,928, 1,003, 100
3	11, 20, 81	688, 491, 23
4	9.5, 30, 93	819, 465, 120
5	5, 20, 60.5	790, 693, 155
6	5.5, 19, 61.5	800, 528, 135
7	5.5, 20, 60	975, 525, 133
8	10.5, 20, 90	734, 514, 18

Non-tumour-bearing Buffalo rats were injected with 100 mg/kg/ 4 ml of 5FU and blood samples (50–100 µl) were taken from the anaesthetised animals and analysed as described in "Methods"

Pharmacokinetic modelling A pharmacokinetic model was fitted to the data on 5FU in plasma and 5FU and FNuct in hepatomas in order to distinguish the effect of carbogen on kinetics in plasma from that on kinetics in tumour, and in order to derive the total amount of FNuct formed. Mixed-effects modelling [34] was applied using the program system NONMEM [3]. A similar pharmacokinetic model has been described [25].

5FU kinetics in plasma were described with a linear one-compartment model (Fig. 2, left box). The volume of the central compartment, V_1 (total body, including plasma but excluding tumour), and the elimination clearance from the central compartment, CL_{10} , were assumed to be related to body weight according to established allometric relationships [2, 40].

$$V_{1,i} = V_1 \left(\frac{\mathbf{BW}_i}{0.215 \,\mathrm{kg}} \right) \tag{1}$$

$$CL_{10,i} = CL_{10} \left(\frac{BW_i}{0.215 \text{ kg}} \right)^{0.75}$$
 (2)

where $V_{1,i}$ and $CL_{10,i}$ are the expected values of V_1 and CL_{10} , respectively, in an animal with body weight = 0.215 kg, i=1,..., total number of animals, and BW_i is individual body weight in kg (0.215 kg was the median body weight in this group of rats). Inter-individual random variation was modelled using



Fig. 2 Linear pharmacokinetic model for 5FU in Buffalo rats bearing H9168a hepatomas. 5FU input, I, goes into compartment 1 with volume V_1 from where it is eliminated via the elimination clearance, CL_{10} . 5FU exchanges between compartment 1 and tumour via the bi-directional distribution clearance CL_d ; the distribution volume in tumour is V_2 . FNuct in tumour are formed from 5FU via the metabolic clearance CL_m . Population parameters of 5FU pharmacokinetics in the body and in tumour expressed as expected value (EV) and inter-individual standard deviations (iiSD), the typical factor by which EV is multiplied or divided in different individuals, were as follows:

EV	iiSD
$\begin{array}{c} 0.117 \ .\text{BW}_i / 0.215 \ \text{kg} \\ 4.61 \times 10^{-3} \ .(\text{BW}_i / 0.215)^{0.75} \\ 4.15 \times 10^{-3} \\ 2.93 \times 10^{-3} \\ 3.42 \times 10^{-5} \end{array}$	1.6 1.2 1.1 7.5 1.6

$$V_{1,i} = V_{1,i} \, e^{\eta_1} \tag{3}$$

$$CL_{10,i} = CL_{10,i} e^{\eta_2} \tag{4}$$

where $V_{1,i}$ and $CL_{10,i}$ are the true central distribution volume and the elimination clearance of animal no. *i* and η_1, η_2 are random variables with mean zero and variance ω_1^2 and ω_2^2 , respectively. Inter-individual standard deviations (iiSD in Table 2) were calculated as $e\sqrt{\omega^2}$. The residual coefficient of variation was assumed to be proportional to the square root of the model prediction (i.e. CV decreases with increasing predicted concentration):

$$y_{ij} = \ddot{y}_{ij} + \sqrt{\ddot{y}_{ij}} \times \varepsilon_{ij} \tag{5}$$

where y_{ij} is the measured concentration in animal no. *i* at time *j* (*j*=1,..., total number of measuring time points in animal *i*), \ddot{y}_{ij} is the model prediction based on $V_{1,i}$ and CL_{10,*i*}, and ϵ_{ij} is a random variable with mean zero and variance ω^2 .

The above model for 5FU kinetics in plasma was incorporated in a linear three-compartment population model for 5FU and FNuct in the hepatoma-bearing rats (Fig. 2, all three boxes). The population parameters describing 5FU in plasma (V_1 , CL₁₀, ω_1^2 , ω_2^2) were kept fixed as estimated from the rat group where plasma samples had been taken. The measuring time points for the NMR data were taken to be the midpoints of the 10 min spectral acquisition periods. The inter-individual variance model for the tumour parameters was of the same form as the one for the central compartment parameters:

$$V_{2,i} = V_2 \,\mathrm{e}^{\eta_3} \tag{6}$$

$$CL_{d,i} = CL_d e^{\eta_4} \tag{7}$$

$$CL_{m,i} = CL_m e^{\eta_5} \tag{8}$$

where V_2 is the distribution volume of 5FU in tumour, CL_d is the (bi-directional) distribution clearance of 5FU between the central compartment and tumour, CL_m is the metabolic clearance governing the conversion of 5FU into FNuct, and η_3 , η_4 , η_5 are random variables with mean zero and variances ω^2 , ω_4^2 and ω_5^2 , respectively. The residual variance model was also the same as with the plasma data (Eq. 6). After fitting the population parameters using NONMEM (see "Results": Table 2), empirical Bayes estimates of the individual parameters $V_{1,i}$, $CL_{10,i}$, $V_{2,i}$, $CL_{d,i}$ and $CL_{m,i}$ were obtained using NONMEM's POSTHOC function (Note that keeping the population parameters of central compartment 5FU kinetics (V_1 , CL_e , ω_1^2 , ω_2^2) fixed does not preclude estimating individual η s on V_1 and CL_{10} for each of the tumour-bearing animals so that each one is allowed to have its unique set of parameters $V_{1,i}$, $CL_{10,i}$, $V_{2,i}$, $CL_{d,i}$, $CL_{m,i}$ describing 5FU pharmacokinetics in plasma as well as in tumour). The explicit solutions for the model predictions for compartments 1–3 can be derived by applying Laplace transformations and the partial fractions theorem [4, 21]. The result is:

$$C_1(t) = \left(\frac{D}{V_1}\right) \mathrm{e}^{-k_{10}t} \tag{9}$$

$$A_2(t) = \left(\frac{Dk_{12}}{E_2 - k_{10}}\right) \left(e^{-k_{10}t} - e^{-E_2t}\right)$$
(10)

$$A_{3}(t) = Dk_{12}k_{23} \left(\frac{e^{-k_{10}t}}{E_{2} - k_{10}}\right) (k_{30} - k_{10}) + e^{-E_{2}t} (k_{10} - E_{2}) (k_{30} - E_{2}) + e^{-k_{30}t} (k_{10} - k_{30}) (E_{2} - k_{30})$$
(11)

where C_1 is 5FU concentration in the central compartment, *D* is dose, A_2 is the amount of 5FU in tumour, A_3 is the amount of FNuct in tumour, $k_{10} = CL_{10}/V_1$, $k_{12} = CL_d/V_1$, $k_{23} = CL_m/V_2$, $E_2 = CL_d/V_2 + k_{23}$, and k_{30} is the rate of elimination of FNuct from tumour. Letting k_{30} float (as opposed to fixing it to zero) produced no significant improvement of fit so that it was fixed to zero in the final fit.

The area under the amount-time curve of 5FU in tumour from time zero to time infinity was computed using:

$$\int_{0}^{\infty} A_{2}(t) dt = \frac{Dk_{12}}{E_{2}} - k_{10} \left(\frac{1}{k_{10}} - \frac{1}{E_{2}} \right)$$
(12)

The total amount of nucleotides formed from time zero to time infinity is this area multiplied by k_{23}

$$A_{3,\text{total}} = k_{23} \left(\frac{Dk_{12}}{E_2 - k_{10}} \right) \left(\frac{1}{k_{10}} - \frac{1}{E_2} \right)$$
(13)

 Table 2 Summary of parameter estimates in pharmacokinetic model for different gas-breathing protocols in H9168a tumours grown in Buffalo rats

Gas	V ₁ (l)	$V_2 (l \times 10^{-3})$	CL ₁₀ (l/min×10 ⁻³)	$CL_d (l/min \times 10^{-3})$	$CL_m (l/min \times 10^{-5})$	$t_{1/2}^{5\rm FU}$ (min)
Air $(n=5)$ Carbogen 2a $(n=4)$ Carbogen 2b $(n=4)$	$\begin{array}{c} 0.167 \pm 0.051 \\ 0.131 \pm 0.064 \\ 0.138 \pm 0.06 \end{array}$	$\begin{array}{c} 4.17 \pm 0.03 \\ 4.16 \pm 0.08 \\ 4.11 \pm 0.04 \end{array}$	$\begin{array}{c} 4.08 \pm 0.1 \\ 4.49 \pm 0.84 \\ 5.26 \pm 0.35 \end{array}$	$\begin{array}{c} 2.27 \pm 2.72 \\ 11.53 \pm 10.87 \\ 2.61 \pm 1.79 \end{array}$	$\begin{array}{c} 3.42\pm 2.0\\ 4.11\pm 0.8\\ 4.02\pm 2.48\end{array}$	27.6 19.3 20.4

Results show the mean \pm SD of estimates of compartment volumes V_1 and V_2 , and the clearance from these compartments from (n) animals

Statistics

All data are presented as the mean \pm SEM, except where stated (e.g. Table 2). Estimation of the apparent rate of elimination of 5FU from GH3 xenografts was determined from a semi-log plot of peak integral versus time to provide a $t_{1/2}$. Statistical analysis utilised a *t*-test or a paired *t*-test where appropriate.

Results

Concentrations of 5FU in rat plasma Table 1 summarises the individual plasma measurements of 5FU made in eight different rats. This data were used in the linear one-compartment model of plasma pharmacokinetics (Fig. 2) [(see "Methods")].

Effect of carbogen on 5FU uptake and metabolism in H9618a hepatomas The uptake and metabolism of 5FU (130 mg/kg) by H9618a tumours in air-breathing animals is shown in Fig. 3a. The mean 5FU C_{max} determined at 5 min was 631 ± 115 nmoles/g of tumour, which declined with a $t_{1/2}$ of 31.7 ± 1.5 min and in most cases remained detectable at 100 min. Metabolism to the FNuct was rapid since this signal was immediately detectable. 5-fluorocatabolites (FCat), including occasionally very low levels of the first metabolite of catabolism, dihydro-fluorouracil (DHFU), were also detectable within 10 min and total catabolite gradually increased throughout the experiment. Tumour uptake and metabolism of 5FU in carbogen-breathing rats is shown in the accompanying Fig. 3b,c using the two different protocols described in "Methods", and a further analysis of this data is summarised in Table 3. Although the 5FU C_{max} was unaffected, carbogen significantly increased the rate of elimination of 5FU from the tumour (decreased the $t_{1/2}$ 2) to $20.7 \pm 4.8 \text{ min}$ (p=0.007) and $17.9 \pm 3.8 \text{ min}$ (p=0.0003) for the protocols 2a and 2b, respectively (Table 3). The amount of FNuct formed after 100 min was not significantly affected by either protocols, nor when the carbogen data were pooled (p=0.11). However, the FNuct AUC was significantly increased (by 62%) in protocol 2a, although not in protocol 2b, and pooling the carbogen data also did not lead to a significant effect (p = 0.13). Nevertheless, this increase seen in protocol 2a suggested some impact on FNuct metabolism, and using the mean 5FU/FNuct AUC ratio to control for the fact that less 5FU was present in carbogen-tumours it was apparent that carbogen significantly increased this ratio from 0.55 in airbreathing animals to 0.89 and 0.80 for carbogen protocols 2a and 2b, respectively (Table 3). This was significant for protocol 2a (p=0.042) and for the pooled data (p = 0.029), but not for 2b (p = 0.1). There was no evidence for a significant impact by carbogen on the presence of the fluorocatabolites.



Fig. 3 Influence of host carbogen breathing on the uptake and metabolism of 5FU in H9618a hepatomas grown s.c. in rats. 5FU was administered as an i.v. bolus of 130 mg/kg/4 ml. Results show the mean \pm SEM from **a** air-breathing animals (n=5, closed symbols), **b** carbogen-breathing animals (n=4) undergoing protocol 2a (open symbols) and **c** carbogen-breathing animals (n=4) undergoing protocol 2b (open symbols), 5FU (filled circle), FNuct (filled square), FUPA + FBal (filled down triangle), DHFU (filled diamond). From a semi-log plot of the 5FU curve, the $t_{1/2}$ for 5FU was calculated to be 31.7 ± 1.5 (**a**), 20.7 ± 4.8 (**b**) and 17.9 ± 3.8 min (**c**)

Table 3 Summary of effect of carbogen breathing on 5FU uptake and metabolism in H9168a hepatomas grown s.c. in Buffalo rats

Gas (n)	5FU <i>t</i> _{1/2} (min)	5FU C _{max} (nmoles/g)	5FU AUC (μmoles/g)	FNuct AUC (µmoles/g)	FNuct, at 100 min (nmoles/g)	FCat AUC (µmoles/g)	FNuct/5FU AUC ratio
Air (5) Crb-2a (4) Crb-2b (4) All Crb (8)	$\begin{array}{c} 31.7 \pm 1.5 \\ 20.7 \pm 4.8 * \\ 17.9 \pm 3.7 * \\ 19.3 \pm 1.6 * \end{array}$	$\begin{array}{c} 631 \pm 115 \\ 788 \pm 141 \\ 659 \pm 184 \\ 723 \pm 110 \end{array}$	$\begin{array}{c} 31.3 \pm 4.6 \\ 26.8 \pm 2.7 \\ 22.9 \pm 4.7 \\ 24.9 \pm 2.6 \end{array}$	$17.3 \pm 3.9 \\ 23.6 \pm 2.5 ** \\ 16.9 \pm 2.4 \\ 20.2 \pm 2.1$	$248 \pm 65 \\ 313 \pm 39 \\ 255 \pm 49 \\ 284 \pm 31$	$\begin{array}{c} 20.8 \pm 3.3 \\ 19.4 \pm 4.0 \\ 17.7 \pm 3.0 \\ 18.6 \pm 2.3 \end{array}$	$\begin{array}{c} 0.55 \pm 0.13 \\ 0.89 \pm 0.1** \\ 0.80 \pm 0.12 \\ 0.84 \pm 0.07** \end{array}$

Buffalo rats bearing H9168a hepatomas breathed either air or carbogen gas using the protocols described in "Methods" (see also Fig. 1). Data show mean \pm SEM for (*n*) animals calculated from the graphs shown in Fig. 3. The 5FU $t_{1/2}$ was determined from a

semi-log plot of the data and the area under the curve (AUC) was determined using the trapezoidal rule. Mean values were compared using Student's *t*-test, where p < 0.001 and *p < 0.05 significantly signify different to air-breathing animals

Pharmacokinetic model Using the one-compartment model described in "Methods" (Fig. 2), for the individual H9168a tumour data, the population parameters of 5FU kinetics in plasma and tumour and of FNuct formation in tumour are summarised in Table 2 for airbreathing and carbogen-breathing rats. The coefficients of variation of the parameter estimates are 6, 39 and 35% for V_2 , CL_d and CL_m, respectively, and are 1,300, 170 and 72% for the corresponding estimates of interindividual parameters resulted in a satisfactory description of the data for 5FU and FNuct formation in tumour in all 13 individual tumours (5 air and 8

carbogens). The period of 5FU entrance into tumour could be seen in three out of five air-controls while it was already over in all carbogen-treated animals at the time the first spectrum was completed (10 min post-injection of 5FU). The rate of elimination of 5FU from the tumour reflected its elimination from plasma in all but one of the eight carbogen-breathing animals (protocol 2b).

All individual H9168a hepatoma data are summarised in Fig. 4 and Table 2 for air-breathing and carbogen-breathing animals using the two slightly different carbogen protocols 2a and 2b. Both carbogen protocols tended to decrease the $t_{1/2}$ for elimination of 5FU from the tumour (Table 2), confirming the data shown in

Fig. 4 Pharmacokinetic parameters for 5FU in airbreathing Buffalo rats bearing H9168a hepatomas compared to two different carbogen breathing protocols. 5FU was administered as an i.v. bolus of 130 mg/kg/4 ml. η on V_1 : individual deviation from the expected (weight-dependent) central 5FU distribution volume as estimated from plasma samples in a different group of rats. η on CL₁₀: same for the 5FU elimination clearance from the central compartment. V2: 5FU distribution volume in tumour. CL_d: bi-directional distribution clearance for 5FU between central compartment and tumour. CL_m: metabolic clearance for conversion of 5FU to FNuct in tumour. Symbols defined as: *filled* square, air breathing; filled triangle, carbogen breathing (protocol 2a); and filled down triangle, carbogen breathing (protocol 2b)



Table 3. There was a trend for carbogen to decrease the central 5FU distribution volume, V_1 (Fig. 4a) and to increase clearance of 5FU from the plasma, CL_{10} (Fig. 4b). The parameter estimates appeared to suggest that carbogen protocol 2a accelerated the exchange of 5FU between plasma and tumour as indicated by a raised CL_d (Fig. 4c), but it was not possible to fit a parameter to describe this increase, probably because 5FU entrance into tumour was too rapid to be directly observable. In three of four animals, carbogen protocol 2b also appeared to increase CL_d although to a lesser extent than protocol 2a (Fig. 4c). Carbogen did not induce any partitioning of 5FU into tumour, as demonstrated by the lack of an effect on the apparent distribution volume in tumour, V_2 (Fig. 4d). Accordingly, consistent with the analysis summarised in Table 3, the tumour 5FU-AUC_{α} remained unchanged, and there was also no effect on the total amount of FNuct formed (data not shown). The modelling did not show a clear trend for the carbogen protocols to increase CL_m, i.e. the rate of FNuct formation (Fig. 4e, Table 2).

Effect of carbogen on 5FU uptake and metabolism in GH3 *xenografts* 5FU uptake was very variable in these tumours. The mean C_{max} of 801 ± 262 nmoles/g at 10 min (n=5) of air-breathing controls was similar to that observed in the H9618a hepatomas, the 5FU signal then decreased with a $t_{1/2}^{5FU}$ of 19.3 ± 3.8 min and was rarely detectable after 90 min. FCat were visible immediately and reached values as high as the 5FU C_{max} , while in contrast, the FNuct signal did not appear until 30 min (Fig. 5a). DHFU was never detected. Figure 5 demonstrates that on average, carbogen had very little effect on 5FU uptake and metabolism in GH3 tumours. The mean 5FU C_{max} of 730 ± 105 nmoles/g of carbogenbreathing animals was not significantly different from controls, although it occurred later at 20 min, and 5FU then decreased rapidly with a $t_{1/2}^{5FU}$ of 14.1 ± 1.4 min (p=0.25 compared to controls). In an attempt to counter the variability within the model, the areas under the curves (AUC) shown in Fig. 5 for 5FU and metabolites were measured to allow comparisons between air-breathing and carbogen-breathing animals, but no significant differences were detected (data not shown). In addition, the mean FNuct/5FU AUC ratio of 0.3 ± 0.08 was not significantly increased compared to the airbreathing controls of 0.27 ± 0.11 . This FNuct/5FU AUC ratio was ca. half that determined in H9168a hepatomas (see above) which confirmed that GH3 tumours produced relatively little FNuct.

As 5FU uptake was very variable between different GH3 xenografts, it was possible that small but biologically significant effects of carbogen on 5FU pharmacokinetics might be masked. To investigate this possibility, three tumour-bearing animals received a double-dose of 5FU, the second dose given 2 h after the first injection, i.e. about 30 min after the first 5FU dose was no longer detectable by ¹⁹F-MRS. In one tumour, the 5FU C_{max} was doubled, and 5FU was then eliminated at the standard rate. However, in two other GH3 tumours, the C_{max} in air-breathing and carbogen-breathing animals was the same (results not shown). These experiments underscored the variability of the GH3 tumour model.

Effects of carbogen on tumour physiology A switch from air to carbogen breathing rapidly induced an increase in the normalised GRE-image intensity of both H9168a (Fig. 6a) and GH3 tumours (Fig. 6b). The maximum increase was more variable in GH3s than H9168a, but the mean increase of ca. 60% was similar, and was sustained as long as the animals breathed carbogen. On returning to air breathing, the image intensity decreased slowly so that in both tumour types it remained significantly raised (p < 0.05) more than 16 min later.

In GH3 xenografts, carbogen had no effect on the energy state (B-NTP/Pi ratio) [(results not shown)], but significantly increased this ratio in H9168a hepatomas, which was reversed on switching to air breathing (Table 4). In GH3 xenografts the pH was not measured, while in the hepatomas, carbogen breathing caused a significant increase in the mean transmembrane negative

Fig. 5 Influence of host carbogen breathing on the uptake and metabolism of 5FU in s.c. GH3 xenografts. 5FU was administered as an i.v. bolus of 130 mg/kg/4 ml. Results show the mean \pm SEM (n=5) from air-breathing animals (closed symbols) and carbogen-breathing animals (open symbols), 5FU (filled circle), FNuct (filled square), FUPA + FBal (filled down triangle), DHFU (filled diamond). From a semi-log plot of the 5FU curve, the $t_{1/2}$ for 5FU was calculated to be **a** 19.3 ± 3.8 min and **b** $14.1 \pm 1.4 \text{ min}$





Fig. 6 Effects of carbogen on the GRE-¹H-image intensity of rodent tumours. Nude mice bearing s.c. GH3 tumours and Buffalo rats bearing s.c. H9168a hepatomas breathed air until time zero, before switching to carbogen gas for 16 min or 20 min (*arrow*), and then returning to air breathing. Results show the mean \pm SEM normalised signal intensity from six animals (GH3 xenograft) and four animals (H9168a hepatomas)

pH gradient ($-\Delta pH$) of 0.11 units via a decrease in the pH_e. On switching back to air, the $-\Delta pH$ returned to the pre-treatment value.

Discussion

The results in this paper using two different rodent tumour models show that carbogen breathing can have profound and varied effects on aspects of tumour physiology such as energy status, pH and blood flow and oxygenation (in the guise of FLOOD) which may impact upon uptake and retention by the tumour of the anticancer drug 5FU. Our analysis suggests that carbogen can rapidly increase drug uptake from the plasma, but simultaneously increases drug elimination from the tumour. Thus, unless there is a secondary mechanism trapping 5FU in the tumour space, for example a change

Table 4 Effect of carbogen on hepatoma pH and β -NTP/Pi ratios

Time period	β-NTP/Pi	pH_i	pH _e	ΔpH
Before During After	$\begin{array}{c} 0.74 \pm 0.08 \\ 1.10 \pm 0.09 * \\ 0.83 \pm 0.09 \end{array}$	$\begin{array}{c} 7.17 \pm 0.03 \\ 7.15 \pm 0.02 \\ 7.19 \pm 0.03 \end{array}$	$\begin{array}{c} 6.93 \pm 0.05 \\ 6.79 \pm 0.05^{**} \\ 6.96 \pm 0.07 \end{array}$	$\begin{array}{c} -0.27\pm 0.07\\ -0.38\pm 0.11^{*:}\\ -0.26\pm 0.14\end{array}$

Buffalo rats bearing H9168a hepatomas breathed air for 24 min prior to switching to carbogen gas for 20 min and then returning to air for 16 min. Results show the mean \pm SEM from four tumours, where *p < 0.02 and **p < 0.01 compared to before carbogen breathing using a paired *t*-test



in pH or stimulation of 5FU anabolism, carbogen breathing may not necessarily increase the AUC in tumours.

Breathing of carbogen gas (95% O₂, 5% CO₂) is known to have profound effects on both host and tumour physiology, which include increased O₂ dissolved in the blood and host vessel vasoconstriction, but also increased tumour blood vessel dilation via the CO_2 component [33]. GRE-¹H-imaging has been used to detect rapid and reversible increases in the image intensity of some tumours in response to carbogen and this has been shown in GH3 tumours grown in rats to reflect primarily increases in tumour blood oxygenation and volume, as well as discrete increases in tumour blood flow [10, 29, 30]. The effects detected on tumour blood flow suggested that carbogen could be used to increase the delivery of chemotherapeutic agents to solid tumours which can suffer from a poor blood supply. We confirmed this hypothesis in two different tumour models. In GH3 tumours grown in rats, carbogen increased the uptake of i.v. injected ifosfamide but did not affect the rate of elimination, so that overall drug retention was increased [31]. In large hypoxic murine RIF-1 tumours (2-3 g or 7-10% body-weight), carbogen increased the uptake of i.p injected 5FU and reduced elimination, so that retention and metabolism of 5FU was markedly increased [19]. However, carbogen had no significant effect on 5FU uptake and metabolism in small RIF-1 tumours (0.8–1.6 g or 2.5 - 5%body-weight). These observations suggest the effects of carbogen on tumours may not be universal, and indeed different research groups using different tumour models have observed no change as well as both implied and real increases and decreases in tumour oxygenation, blood flow, pH and energy status [1, 6, 8, 12, 14, 15, 28, 39]. We decided therefore to investigate the effects of carbogen on the pharmacokinetics of 5FU in two additional rodent tumour models, which have shown significant physiological responses to carbogen [29, 35]. The tumour sizes studied were relatively small (i.e. % body-weight was 2–4%), but the hypoxia status was not determined, and one cannot assume that tumour size always correlates positively with tumour hypoxia across all tumour types.

Consistent with previous observations on two different rat mammary carcinomas, as well as H9168a hepatoma and GH3 prolactinoma in rats or xenografted into nude mice [28], carbogen breathing immediately induced a rapid increase in the mean GRE-image intensity to values ca. 50% greater than baseline. In the experiments described in this report, images were also acquired after carbogen breathing was discontinued, and this showed that the effect was not rapidly reversible as first described in the GH3 tumour grown in rats [27]. In both tumour models used in this study, the mean image intensity remained significantly raised 16-20 min after the switch to air breathing. We have not explored if this persistent raised image intensity reflects increased tumour blood flow or oxygenation or both, although studies of GH3 tumours in rats showed that a significant proportion of the change in GRE-image intensity could be due to flow [10]. Thus, although a carbogen-induced increase in tumour blood flow/blood volume assessed by GRE-MRI would increase drug delivery, the slow return to baseline following the return to air breathing may have allowed an equally rapid elimination of 5FU from the tumour space, unless some other mechanism trapped the drug in the tumour. Indeed, this hypothesis is consistent with the lack of *significant* effect of carbogen on the mean 5FU C_{max} at 5–10 min or 5FU AUC in either of the two tumour models used in this study.

The GH3 xenograft described in this paper appeared variable in its behaviour with respect to both 5FU uptake and the maximum change in GRE-MRI image intensity, and this may have disguised the fact that some GH3 tumours could respond positively to carbogen in terms of the 5FU $C_{\rm max}$. As 5FU was eliminated very quickly from this tumour, it was possible to provide a second 5FU dose 90 min after the first dose, thus allowing a single tumour to act as its own control. In one tumour, this experiment clearly demonstrated that carbogen could increase the 5FU $C_{\rm max}$, but in two other tumours there was no effect. Thus, on average there was no significant effect of carbogen on the uptake and/or metabolism of 5FU in the GH3 xenograft.

In Buffalo rats, the hosts for the 9618a Hepatoma, the pharmacokinetic modelling based upon plasma and ¹⁹F-MRS data showed that carbogen breathing prior to 5FU injection caused an increase in the 5FU C_{max} in H9168a tumours. Indeed the modelling shows that carbogen protocol 2a increased the rate of uptake from plasma to tumour (k_{12}), but also increased the movement in the opposite direction (k_{21}), i.e. CL_d increased. Thus, the simple analysis of mean peak heights could not detect a significant increase in 5FU C_{max} . There was a tendency too for CL_m to be raised (20%) suggesting an acceleration in metabolism of 5FU to FNuct, although the total FNuct formed was not significantly increased. However, in protocol 2a, the FNuct AUC was significantly increased as well as the mean AUC ratio of FNuct/5FU (which effectively normalises FNuct formation) compared to air breathing, but not for carbogen protocol 2b. This suggested the longer period of carbogen breathing was not beneficial for FNuct formation, probably because protocol 2b also significantly increased 5FU elimination from the body (CL₁₀ was raised). Combining the data for the two carbogen protocols confirmed a significant decrease in the 5FU $t_{1/2}$ from the tumour and an increase in the FNuct/5FU AUC ratio. Thus carbogen breathing had some impact on the rate of FNuct formation which contributed to the faster disappearance of 5FU from the tumours.

Our analysis underlines two important aspects of the effects of carbogen. (1) Carbogen can increase elimination of 5FU from the plasma, presumably through effects on other tissues besides the tumour; and the intensity of this effect may be related to the length of time of carbogen breathing. (2) Carbogen can increase drug exchange between blood and tumour, although without a secondary mechanism to trap the drug in the tumour, e.g. an increase of V_2 by partitioning or stimulation of CL_m, there will be no net increased retention of drug. The previous study with RIF-1 tumours showed that 5FU was only significantly retained in large tumours of 2-3 g, but not smaller tumours of 0.8-1.6 g [19]. The large tumours were also characterised by (i) increased retention of a hypoxic marker compared to the smaller tumours and (ii) a carbogen-induced decrease in pH_e causing an increase in the mean negative pH gradient ($-\Delta pH$). Uptake of 5FU by isolated tumour cells has been shown to be proportional to the magnitude of the ΔpH across the cell plasma membrane [22] and experiments in vivo have demonstrated that increases in the $-\Delta pH$ are associated with increased retention of 5FU, specifically an increase in the $t_{1/2}$ for 5FU elimination [20]. Changes in the pHe of GH3 xenografts in response to carbogen were not monitored in this study, but there was clearly no change in the tumour energy status (NTP/Pi). However, in H9168a, small but consistent increases in both the $-\Delta pH$ (via a decrease in pH_e) and NTP/Pi ratio could be detected. Such effects might promote both 5FU uptake and metabolism to FNuct, since the latter is certainly an ATP-dependent process. Indeed, carbogen had no effect on the FNuct/ 5FU ratio in GH3 tumours, but significantly increased this ratio in H9168a tumours. However, unlike in RIF-1 tumours, the pH and energy effects of carbogen were rapidly reversible, perhaps explaining the lack of any major effect on overall retention of drug and/or metabolites by these tumours.

A study using chemically induced primary rat mammary tumours demonstrated that initial tumour NTP/Pi levels were positively and significantly correlated with response to 5FU [16]. A similar relationship was described in RIF-1 tumours [35]. This relationship may indicate that 5FU readily gains access to wellperfused tumours, but also that ATP levels can be ratelimiting in activation of 5FU to FNuct. Therefore, any treatment that leads to an increase in the NTP/Pi, such as increased oxygenation or glucose supply, may aid tumour sensitivity to 5FU, and the corollary is that hypoxic regions of tumour would be less sensitive to 5FU. We have shown that carbogen breathing increases the GRE-image intensity, which would be consistent with an increase in tumour blood flow, and thus increased amounts 5FU would be available for extraction by the tissue. Furthermore, carbogen breathing has been shown to cause an increase in blood glucose from liver glycogen breakdown [37], an increase in tissue pO_2 [30] and a decrease in pH_e [ref. 19 and this paper]. Thus, in the case of 5FU, carbogen could be a principle act on three different loci within the tumour to increase drug uptake and activation: (i) increased blood flow across the tumour; (ii) a more acidic pH_e with a larger $-\Delta pH$; and (iii) an increase in the NTP/Pi ratio enhancing FNuct formation. Unfortunately, in the case of the H9168a hepatoma, where all these effects were demonstrated to occur, (ii) and (iii) proved too readily reversible, and (i) persisted, which ultimately allowed greater drug elimination so that there was little or no improvement in drug retention and activation. In short, these studies suggest that only in tumours that are hypoxic, as defined by significant retention of nitroimidazoles and/or a low NTP/Pi ratio, may carbogen provide clinical benefit by increasing 5FU uptake and retention. This could be tested in a well-defined hypoxic tumour model such as murine EMT6 to investigate if hypoxia is the key to carbogeninduced increases in drug retention.

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