

# Vocational Rehabilitation From the Client's Perspective Using the International Classification of Functioning, Disability and Health (ICF) as a Reference

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**Abstract** *Introduction* A mixed-methods (qualitative-quantitative), multicenter study was conducted using a focus group design to explore the lived experiences of persons in vocational rehabilitation (VR) with regard to functioning and contextual factors using six open-ended questions related to the ICF components. The results were classified by using the International Classification of Functioning, Disability and Health (ICF) as a frame of reference. *Methods* The meaningful concepts within the transcribed data were identified and linked to ICF

categories according to established linking rules. *Results* The seven focus groups with 26 participants yielded a total of 4,813 relevant concepts which were linked to a total of 160 different second-level ICF categories. From the client perspective, the ICF components (a) *body functions*, (b) *activities and participation* and (c) *environmental factors* were equally represented, while (d) *body structures* appeared less frequently. Out of the total number of concepts, 864 concepts (18%) were assigned to the ICF component *personal factors* which is not yet classified but could indicate important aspects of resource management and strategy development of patients in VR. *Conclusion* Therefore, VR of patients must not be limited to anatomical and pathophysiologic changes, but should also consider a more comprehensive view which includes client's demands, strategies and resources in daily life and the context around the individual and social circumstances of their work situation.

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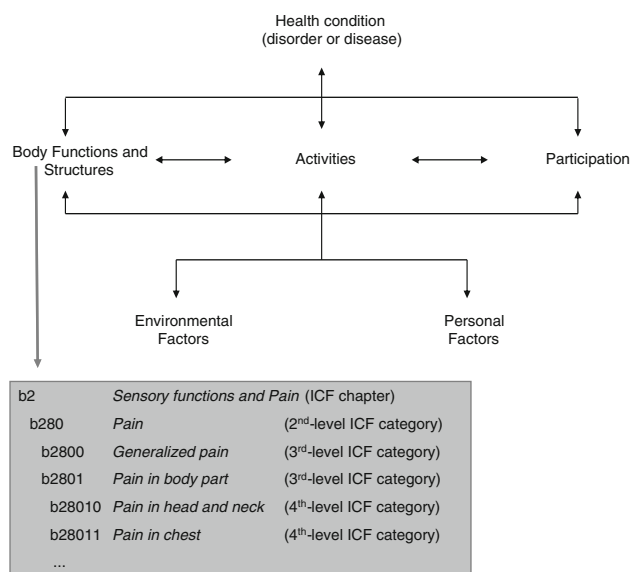
## Introduction

Different kinds of accidents, injuries and health conditions and their subsequent consequences may lead to disability and prevent jobholders from returning back to gainful employment. Losing paid employment can implicate further restrictions in social integration and participation [1]. Vocational rehabilitation (VR) plays an important role in reengaging disabled or injured jobholders in their professional life.

Various studies have suggested multiple aspects which were associated with successful VR or return-to-work

programs. Selander et al. [2] reviewed risk factors around return-to-work and found that younger age, high education, marriage, social support, high self-confidence, good perceived quality of life and health, high level of control, less pain, less disability and medical complication, undergoing a multidisciplinary approach to rehabilitation, and participating in patient education have had positive VR outcomes [2]. Therefore, a comprehensive understanding of relevant aspects influencing patients functioning in VR is important [3–5].

For the World Health Organization (WHO), functioning and the ability to participate in everyday life can be understood not only as a mere consequence of disease and its treatment, but also within the context of the person that may differ greatly depending on that person's private and societal background [6]. This would imply that the biological, psychological, social and environmental aspects of everyday life must be taken into account in order to achieve a comprehensive perspective of health [7–10]. With the approval of the International Classification of Functioning, Disability and Health (ICF) [11] by the World Health Assembly in 2001 there is now a universally accepted framework to classify and describe functioning from both the patient perspective and the perspective of health professionals. The ICF is based on the integrative model of functioning and comprises four components: *body functions and structures*, *activities and participation*, and *environmental and personal factors*. Within these components the units of the classification, the so called ICF categories, are arranged hierarchically. These categories are



**Fig. 1** The current framework of functioning and disability—the WHO International Classification of Functioning Disability and Health (ICF)

divided into chapters, which constitute the first level of precision. Categories on higher levels (e.g. second- or third-level) are more detailed (Fig. 1).

Several studies show differences between the assessment of quality of life and functioning from the patient perspective compared to that from the perspective of health professionals [5, 12, 13]. When measuring and assessing daily functioning, it is important to include the patient perspective. Studies aimed at the exploration of this ‘patient perspective’—in this case called ‘client perspective’—frequently apply to qualitative methods, which are increasingly accepted in health research and health-related sciences [14–17].

In VR qualitative methods have been applied in different fields and health professions like general practitioners, [18, 19], conditions [20–23], the multidisciplinary team and occupational therapists [24–26] and further stakeholders [13, 27–29]. Qualitative methods provide the possibility to explore the perspective of those experience living and work situation and the disease [30]. Compared to quantitative methodology, the qualitative approach promises a greater openness to unexplored concepts or phenomena [31] and focuses on how people understand and interpret their social world [32–34]. Considering the complexity and high burden associated with VR or return-to work strategies, qualitative research in the field of VR is generally scarce in comparison to quantitative research. However, qualitative investigations around the variety of problems in functioning in persons in VR are achieving more and more interest in the literature [23, 35].

The objective of this study, using focus group methodology, was to identify the aspects of functioning and disability and relevant contextual environmental and personal factors from the clients’ perspective in VR using the ICF as a framework in order to express them in a standardized language.

## Materials and Methods

### Study Design

A mixed-methods (qualitative-quantitative) study using focus group discussions was conducted. The specific methodology for identifying aspects of functioning and disability using the ICF as a framework was developed in the validation of the ICF Core Sets for rheumatoid arthritis from the client perspective [36]. Our study was part of the international co-operation project ‘Development of ICF Core Sets for vocational rehabilitation’ [1]. The study was approved by the responsible Ethics Committees for each of the three study centers and was performed in accordance to the Declaration of Helsinki.

## Sample

Inclusion criteria to participate in the study were (1) primary diagnosis according to the International Classification of Diseases and Health related Disorders ICD-10 that causes functional problems and that would require VR, (2) at least 18 years old, (3) competent in the German language, (4) mentally competent and capable of making decisions as attested by the investigator or health professional, (5) had been informed of and understood the purpose and rationale of the study, and (6) signed the patient consent form. Individuals who had not worked or had not been trained in gainful employment prior to participating in a VR program or retired individuals were excluded. Also individuals with significant cognitive impairment or whose main diagnosis is an acute psychiatric or psychological disorder were excluded from the study.

Clients from three centers for VR were recruited by a maximum variation sample. In order to obtain a comprehensive picture of the wide continuum of VR (i.e. maximum variation) [31] individuals of different phases (orientation and education phase) in VR and of different health conditions, disorders or injuries were included. Maximum variation in the sample means that we aim to explore the different health conditions, disorders or injuries rather than making a comparative and separate account of different diagnostic groups. The clients were conveniently recruited by health professionals like physiotherapists and registered nurses working at each study center. The health professionals were introduced to the study by the moderator (AG). The health professionals verbally explained the study to the clients and also gave them additional written information about the study. At the start of each focus group session, the moderator asked each client again whether he or she still wants to participate in the focus group interview.

Based on the experience from previous studies [36–39], the focus group size was set at a maximum of six persons to represent different opinions and facilitate interactions. The overall sample size which was defined as the number of focus groups performed—was determined by “saturation” [37, 40, 41]. Saturation is a particular concept from grounded theory [40, 41].

## Saturation of Data

For the purpose of this study, saturation of data was defined as the point during data collection and analysis at which the linking of the concepts of two consecutive focus groups each revealed no more than ten percent new second-level ICF categories compared to the number of second-level ICF categories which were identified in the respective previous focus groups [37].

## Data Collection and Analysis

The focus groups were conducted in two study centers in Switzerland, and in one study center in Germany. Each focus group was performed by the same moderator and a group assistant. The moderator of the focus groups (AG) has expertise in the ICF and in conducting group processes and client interviews. An established topic guide describing how to prepare and perform the focus group sessions using pre-defined open-ended questions was applied. Each question represented one ICF component. First question was on *body functions*, second question on *body structure*, third question on *activities and participation*, fourth question on *environmental factors* as barriers and as facilitators, and the last question was on *personal factors*. These pre-defined questions were standardized in previous ICF Core Set development studies [42, 43]. The six open-ended questions used are shown in Table 1.

At the beginning of the focus group session, the clients were introduced to the project and the procedure of the sessions. The open-ended questions and the titles of the ICF components were presented visually to the participants by a Power-Point presentation (Table 1). At the end of each focus group a summary of the main results was given back to enable the participants to verify and amend issues, if any. An assistant observed the process within the group [44]. Additionally, she filled in descriptive field notes according to a standardized coding schema. After each focus group, a debriefing with moderator and assistant took place to review the session [45]. All focus groups discussions were audio recorded and transcribed verbatim.

The data analysis conducted in this study followed a two-step procedure including a qualitative analysis in a narrower sense and a linking procedure to the ICF.

**Table 1** Questions given to the focus group participants

1. If you think about your body and mind, what does not work the way it is supposed to? [body functions]
2. If you think about your body, in which parts are your problems? [body structures]
3. If you think about your daily life, what are your problems? [activities and participation]
4. If you think about your environment and your living conditions, what barriers do you experience? [environmental factors—barriers]
5. If you think about your environment and your living conditions, what do you find helpful or supportive? [environmental factors—facilitators]
6. If you think about yourself, what is important about you and the way you handle your situation of vocational rehabilitation? [personal factors]

The ICF component indicated within the brackets was not seen by the participants

### Qualitative Analysis

The meaning condensation procedure [30] was used for qualitative analysis of the data. This included an initial read through to get an overview of the content of the transcript.

Secondly, the data were divided into ‘meaning units’ and the theme that dominated a meaning unit was determined. A meaning unit was defined as a specific unit of text with either a few words or a few sentences with a common theme [46]. A meaning unit division did not follow linguistic grammatical rules. The text was divided where the researcher discerned a shift in meaning [30]. Finally, the concepts contained in the meaning units were identified. Meaning units could contain more than one concept.

### Linking to the ICF

To be able to report the identified concepts in a systematic way and to obtain an overview of the aspects of functioning and health experienced by clients in VR from a comprehensive perspective these aspects were expressed in terms

of ICF categories. Each ICF category is denoted by a code composed of a letter that refers to the respective component of the classification (b: *body functions*; s: *body structures*; d: *activities and participation* and e: *environmental factors*) and is followed by a numeric code starting with the chapter number (one digit), followed by the second level (two digits) and the third and fourth levels (one digit each) (Fig. 1).

The identified concepts were linked to the categories of the ICF based on established linking rules [47, 48] which allow concepts to be linked to the most precise and specific ICF categories in a systematic and standardized way (Table 2). One concept could be linked to one or more ICF categories, depending on the number of themes contained in the concept. If a concept is too general to allow a decision on the linking to a specific ICF component, chapter, or category, the statement is considered as ‘not defined’ (nd) (e.g. “problems with activities”). If a concept describes an aspect which is not covered by the ICF, the code ‘not covered’ (nc) is attributed (e.g. “The illness isn’t visible for others”). Concepts identified as *personal factors*

**Table 2** Linking process: an example

Transcription	Concept	ICF category
<i>Qualitative analysis</i>		<i>Linking</i>
Moderator: <i>Let's go on to the next question. Next question is, if you think about your daily life what are your problems?</i>		
Client A: Firstly, to be employed at this stage is impossible, because you've got so many distractions and malfunctions, you wouldn't be able to do your job properly.	Employment is impossible	d850 Remunerative employment
Moderator: <i>At this stage it's impossible... There are further experiences or problems in daily life</i>		
Client B: Yes, because you don't sleep at night because I have pain in my back and neck.	Problems sleeping at night Pain in back Pain in neck	b134 Sleep functions b28013 Pain in back b28010 Pain in head and neck d540 Dressing
In the morning (I) have problems to dress myself	Dressing is a problem	
Client C: To concentrate on a discussion with more than one person, (that is) a problem in (my) daily life.....	Concentrate on discussion	b140 Attention functions d160 Focusing attention

(e.g. “Showing others one’s physical problems openly”) are documented as ‘pf’ and the *personal factors* are not yet classified.

### Quality Assurance

To ensure the accuracy of data analysis two strategies were conducted: First, *multiple coding*, which refers to the qualitative analysis and the linking to the ICF of the first focus group. In order to avoid possible bias (improve reliability), the linking was performed by two researchers with different professions, a physiotherapist (AG) and a sociologist (TB) trained in the ICF and the linking procedure. The two researchers compared their data analysis and documented their discussion. After completing the multiple coding of the first focus group a peer review was performed.

This *peer review* refers to analyzing and linking random samples of 15 percent of the identified concepts (of the first researcher) by the second researcher. The degree of agreement between the two researchers regarding the linked ICF categories was calculated by kappa statistic with 95 percent bootstrapped confidence intervals (95% CI) [49, 50]. The values of the kappa coefficient generally range from 0 to 1, whereas 1 indicates perfect agreement and 0 indicates no additional agreement beyond what is expected by chance alone. The Kappa analysis was performed with SAS for Windows V9.1 (Copyright© 2002–2003 by SAS Institute Inc., Cary, NC, USA).

## Results

### Description of the Focus Groups

A total of 26 participants were included in seven focus groups. The focus group sessions lasted from about 84 min to 120 min (mean 87.5 min) including a short break. The clients representing five groups of professions (handcrafter, health profession, service occupation, clerical worker, academic profession) were participating in the study. Participants’ characteristics are summarized in Table 3.

### Qualitative Analysis and Linking

In total, 4,813 concepts were identified in the focus groups. Out of these, 3,601 concepts (74.8%) were linked to 160 second-level ICF categories (53 *body functions*’ categories, 13 *body structures*’ categories, 51 *activities and participations*’ categories and 43 *environmental factors*).

However, 1,212 concepts (25.2%) could not be linked to the ICF or specific ICF categories, respectively. Thirty-two concepts (0.7%) were too broad to be linked to specific ICF

**Table 3** Characteristics of participants and focus groups

Number of participants, <i>n</i>	26
Median age in years (range)	36 (21–58)
Gender, <i>n</i> female (%)	7 (26.9%)
Number of focus groups, <i>n</i>	7
Median years of work (range)	15 (2–39)
Mean duration of session (range)	87.5 min (84–120 min)
Main diagnosis, <i>n</i>	
Musculoskeletal traumatic disorders	8
Musculoskeletal chronic disorders	4
Internal medical disorders	4
Mental and behavioral disorders	3
Neurological traumatic disorders	6
Neurological disorders	1

categories e.g., ‘*physical health or general quality of life*,’ and were classified as not defined. Two hundred sixty-five concepts (5.5%) had to be classified as “not covered” by the ICF. Even though these concepts refer to aspects of functioning, they could not be linked clearly to one specific ICF category with the current version of the ICF like ‘*overloading, overstressing, compensation, healthy risk, suicidal ideations, evaluation of the rehabilitation-program, vocational perspectives*’. Eight hundred sixty-four concepts (17.9%) were identified as *personal factors*—for example, *autonomy, expectations to oneself, age, gender education, personal risk factors or individual coping strategies*.

The 160 second-level categories identified from the client perspective represent all chapters of the ICF components *body functions, activities and participation*, as well as *environmental factors* (Tables 4, 5, 6 and 7).

Table 4 shows 53 second-level categories (33.1%) of the component *body functions*. The top five categories most frequently identified in the focus groups are *b126 temperament and personality functions, b130 energy and drive functions, b152 emotional functions* (each in 7 out of 7 focus groups) as well as *b140 attention functions* and *b280 pain* (in 6 out of 7 focus groups). Below are actual quotes illustrating some of these categories by one participant of the focus groups (identified concepts in italics and linking examples in brackets). The excerpts below were originally in German and have been translated to English by AG and verified for their intended meaning by RE, only for illustration purpose in this paper:

...the *tiredness* [b1300 energy level], and sometimes *the capacity to concentrate* [b140 attention functions]. Especially when I have pain “*attacks*” [b280 sensations of pain], I am definitely *more irritable* [b1263 psychic stability]...

**Table 4** Fifty-three second-level ICF categories of the component *body functions* (b): number of focus groups in which second-level ICF categories were identified from the client perspective

Body functions (b)	<i>N</i> <sup>a</sup>
<i>Chapter 1: Mental functions</i>	
b110 Consciousness functions	5
b122 Global psychosocial function	1
b126 Temperament and personality function	7
b130 Energy and drive functions	7
b134 Sleep functions	4
b140 Attention functions	6
b144 Memory functions	2
b147 Psychomotor functions	1
b152 Emotional functions	7
b156 Perceptual functions	1
b160 Thought functions	4
b164 Higher-level cognitive functions	4
b167 Mental functions of language	2
b180 Experience of self and time functions	6
<i>Chapter 2: Sensory functions and pain</i>	
b210 Seeing functions	2
b230 Hearing functions	1
b235 Vestibular functions	2
b240 Sensations associated with hearing and vestibular functions	4
b250 Taste functions	1
b255 Smell functions	1
b265 Touch functions	4
b270 Sensory functions related to temperature and other stimuli	5
b280 Sensations of pain	6
<i>Chapter 3: Voice and speech functions</i>	
b310 Voice functions	1
b330 Fluency and rhythm of speech	1
<i>Chapter 4: Functions of the cardiovascular, haematological, immunological and respiratory systems</i>	
b410 Heart functions	1
b415 Blood vessel functions	1
b420 Blood pressure functions	2
b430 Hematological system functions	2
b435 Immunological system function	3
b440 Respiration functions	1
b445 Respiratory muscle functions	1
b455 Exercise tolerance functions	4
b460 Sensations associated with cardiovascular and respiratory functions	1
<i>Chapter 5: Functions of the digestive, metabolic and endocrine systems</i>	
b520 Assimilation functions	1
b525 Defecations functions	1
b530 Weight maintenance functions	5

**Table 4** continued

Body functions (b)	<i>N</i> <sup>a</sup>
b540 General metabolic functions	1
b550 Thermoregulatory functions	1
b555 Endocrine gland functions	1
<i>Chapter 6: Genitourinary and reproductive functions</i>	
b640 Sexual functions	1
<i>Chapter 7: Neuromusculoskeletal and movement-related functions</i>	
b710 Mobility of joint functions	4
b715 Stability of joint functions	4
b720 Mobility of bone functions	2
b730 Muscle power functions	5
b735 Muscle tone functions	2
b755 Involuntary movement reaction functions	3
b760 Control of voluntary movement functions	2
b770 Gait pattern functions	3
b780 Sensations related to muscles and movement functions	3
<i>Chapter 8: Functions of the skin and related structures</i>	
b820 Repair functions of the skin	1
b830 Other functions of the skin	1
b840 Sensations related to skin	2

<sup>a</sup> Number of focus groups (*N* = 7) mentioning the respective ICF category

In total, thirteen second-level categories (8.1%) belonging to *body structures* are listed in Table 5. Mainly structures related to movement were identified, such as *s750 structure of lower extremity*, *s730 structure of upper extremity* and, *structure of trunk s760*.

...After my injury while working [d850 remunerative employment], my *right shoulder dislocated* [s720 structure of shoulder] and I *had rotated my knee once again* [s750 structure of lower extremity].

Table 6 shows 51 second-level categories (31.9%) identified for the component *activities and participation*. The top five categories identified in each of the seven focus groups are *d240 handling stress and other psychological demands*, *d570 looking after one's health*, *d845 acquiring, keeping and terminating a job*, *d850 remunerative employment*, and *d920 recreation and leisure*.

...My *work* [d850 remunerative employment], I still take that so seriously. You just have to (do it), *a mother has to function* [pf-expectation]. With all the *work in my household* [d640 doing housework], someone *needs more time and more breaks* [nc-time and breaks] (but also) all *the other things* [nd-other things] you don't have to (do) necessarily.

Typical quotes focusing on limitations on activities and restrictions in participation are exemplified by the

**Table 5** Thirteen second-level ICF categories of the component *body structures* (s): Number of focus groups in which second-level ICF categories were identified from the client perspective

Body structures (s)	<i>N</i> <sup>a</sup>
<i>Chapter 1: Structures of the nervous system</i>	
s110 Structure of brain	2
s198 Structure of the nervous system, other specified	2
<i>Chapter 2: The eye, ear and related structures</i>	
s220 Structure of eyeball	1
<i>Chapter 4: Structures of the cardiovascular, immunological and respiratory systems</i>	
s410 Structure of cardiovascular system	1
s430 structure of respiratory system	1
<i>Chapter 7: Structures related to movement</i>	
s710 Structure of head and neck region	3
s720 Structure of shoulder region	3
s730 Structure of upper extremity	6
s740 Structure of pelvic region	2
s750 Structure of lower extremity	7
s760 Structure of trunk	5
s770 Additional musculoskeletal structures related to movement	2
<i>Chapter 8: Structures of skin</i>	
s810 Structure of areas of skin	1

<sup>a</sup> Number of focus groups (*N* = 7) mentioning the respective ICF category

following statement of a younger participant of one of the focus groups:

...In the past, I had an active daily life, I worked 100 percent or more [d850 Remunerative employment]. I loved my work as a hand crafter [pf- profession]. After work, I bought groceries [d620 shopping] and in the evening I meet my friends at soccer [d9201 sports]- this was my strategy to reduce my stress [d240 handling stress] but this is just not possible anymore. However, I am definitely too young to (be just) staying at home.

Table 7 shows 43 second-level categories (26.9%) identified for the component *environmental factors*. The categories occurring in all seven focus groups are e110 products or substances for personal consumption, e310 immediate family, e325 acquaintances, peers colleagues, neighbours and community members, e355 health professionals, e360 health-related professionals, e570 Social security services, systems and policies, and e580 health services, systems and policies. Environmental factors may be facilitators, but they may also be perceived to be barriers as expressed in the following quote:

**Table 6** Fifty-one second-level ICF categories of the component *activities and participation* (d): number of focus groups in which second-level ICF categories were identified from the client perspective

Activities and participation (d)	<i>N</i> <sup>a</sup>
<i>Chapter 1: Learning and applying knowledge</i>	
d135 Rehearsing	2
d140 Learning to read	1
d155 Acquiring skills	5
d160 Focusing attention	5
d163 Thinking	1
d170 Writing	1
d172 Calculation	1
d175 Solving problems	3
d177 Making decisions	2
<i>Chapter 2: General tasks and demands</i>	
d210 Undertaking a single task	1
d220 Undertaking multiple tasks	3
d230 Carrying out daily routine	6
d240 Handling stress and other psychological demands	7
<i>Chapter 3: Communication</i>	
d330 Speaking	3
d350 Conversation	3
d360 Using communication devices and techniques	3
<i>Chapter 4: Mobility</i>	
d410 Changing basic body position	4
d415 Maintaining a body position	3
d430 Lifting and caring objects	4
d435 Moving objects with lower extremities	1
d440 Fine hand use	3
d445 Hand and arm use	2
d450 Walking	5
d455 Moving around	6
d460 Moving around in different locations	2
d465 Moving around using equipment	2
d470 Using transportation	2
d475 Driving	4
<i>Chapter 5: Self-care</i>	
d520 Caring for body parts	1
d540 Dressing	2
d550 Eating	1
d570 Looking after one's health	7
<i>Chapter 6: Domestic life</i>	
d620 Acquisition of goods and services	2
d630 Preparing meals	3
d640 Doing housework	4
d650 Caring for household objects	2
d660 Assisting others	5
<i>Chapter 7: Interpersonal interactions and relationships</i>	
d710 Basic interpersonal interactions	2
d730 Relating with strangers	1

**Table 6** continued

Activities and participation (d)	<i>N</i> <sup>a</sup>
d740 Formal relationships	4
d750 Informal social relationships	5
d760 Family relationships	5
d770 Intimate relationships	4
<i>Chapter 8: Major life areas</i>	
d825 Vocational training	4
d830 Higher education	1
d840 Apprenticeship (work preparation)	7
d845 Acquiring, keeping and terminating a job	7
d850 Remunerative employment	7
d855 Non-remunerative employment	2
<i>Chapter 9: Community, social and civic life</i>	
d910 Community life	1
d920 Recreation and leisure	7

<sup>a</sup> Number of focus groups (*N* = 7) mentioning the respective ICF category

...everything is affected by (my) *kids* [e310 immediate family], *husband* [e310 immediate family], *colleagues* [e325 acquaintances, peers, colleagues, neighbours and community members]...

Many participants of the focus groups focused on their experiences related to the health care system and its implication on their life:

... For me, I'm glad this didn't happen in Brazil! We do have the best health care system [e580 health services]. I feel a very good care (from) *all therapists and doctors* [e355 health professionals], here at the clinic. They ask me *what I wanted* [e450 attitudes of health professionals]; with the (help of a) *social worker* [e360 health-related professionals], we developed *new perspectives of work* [nd-perspectives of work].

...Without *medication* I am not able to think [pfe-estimation]. I need *concentration* [b140 attention functions] to follow the rehabilitation program. I want to take them (medication) no longer than necessary, because they *don't do me any good* [e1101 drugs—barrier].

However, medications were more frequently perceived to be both a facilitator and a barrier.

#### Saturation of Data

Saturation of the data was reached after having performed and analyzed seven focus groups (Fig. 2).

**Table 7** Forty-three second-level ICF categories of the component *environmental factors* (e): number of focus groups in which second-level ICF categories were identified from the client perspective

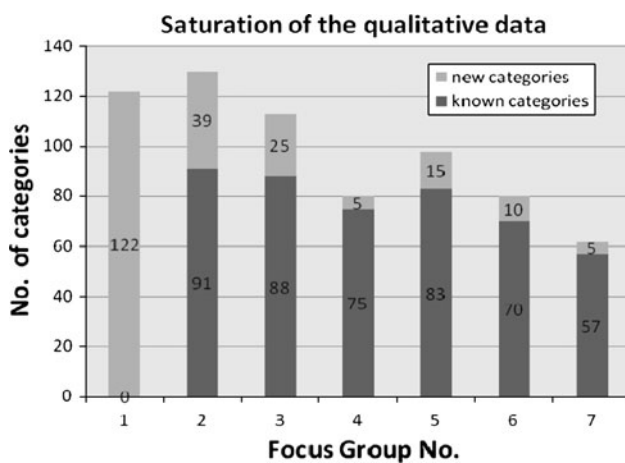
Environmental factors (e)	<i>N</i> <sup>a</sup>
<i>Chapter 1: Products and Technology</i>	
1,110 Products or substances for personal consumption	7
e115 Products and technology for personal use in daily living	6
e120 Products and technology for personal indoor and outdoor mobility	3
e125 Products and technology for communication	4
e130 Products and technology for education	1
e135 Products and technology for employment	1
e140 Products and technology for culture, recreation and sports	1
e155 Design, construction and building products and technology for use in daily living	1
e165 Assets	6
<i>Chapter 2: Natural environment and human-made changes to environment</i>	
e215 Population	1
e225 Climate	5
e245 Time-related changes	1
e250 Sound	3
e260 Air quality	1
<i>Chapter 3: Support and relationships</i>	
e310 Immediate family	7
e315 Extended family	2
e320 Friends	2
e325 Acquaintances, peers colleagues, neighbours and community members	7
e330 People in positions of authority	4
e340 Personal care providers and personal assistants	1
e345 Strangers	3
e355 Health professionals	7
e360 Health-related professions	7
<i>Chapter 4: Attitudes</i>	
e410 Individual attitudes of immediate family members	5
e415 Individual attitudes of extended family members	2
e420 Individual attitudes of friends	2
e425 Individual attitudes of acquaintances, peers colleagues, neighbors	7
e430 Individual attitudes of people in positions of authority	6
e445 Individual attitudes of strangers	2
e450 Individual attitudes of health professionals	5
e455 Individual attitudes of health-related professionals	5
e460 Societal attitudes	5
<i>Chapter 5: Services, systems and policies</i>	
e525 Housing services, systems and policies	1
e530 Utilities services, systems and policies	2



**Table 7** continued

Environmental factors (e)	<i>N</i> <sup>a</sup>
e540 Transportation services, systems and policies	2
e550 Legal services, systems and policies	3
e555 Associations and organizational services, systems and policies	3
e570 Social security services, systems and policies	7
e575 General social support services, systems and policies	2
e580 Health services, systems and policies	7
e585 Education and training services, systems and policies	2
e590 Labor and employment services, systems and policies	6
e595 Political services, systems and policies	2

<sup>a</sup> Number of focus groups (*N* = 7) mentioning the respective ICF category



**Fig. 2** Progress of saturation

*Accuracy of the Analysis*

The kappa coefficient for the agreement between the two researchers (peer review) was [0.65] 95%-bootstrapped confidence interval [0.62–0.68] indicated an agreement of 70.4 percent.

**Discussion**

With this qualitative focus group study a wide range of aspects of functioning and disability as well as *environmental* and *personal factors* were identified from the perspective of persons in VR using the ICF as a framework. This study provided the VR community with a list of domains to describe functioning of clients in VR programs from their perspective. The motto: “Nothing about us, without us” by the United Nations [51] speaks about the importance of clients’ perspective in understanding a process that affects them and this study demonstrated that.

Based on the lived experiences of persons in VR, plenty of activity limitations and participation restrictions combined with impaired body functions especially focusing on expectations and requirements in work and private life were identified from the client perspective. When asked about their problems in body parts, clients rarely mention anatomical changes as represented by the ICF component *body structures*. The interaction of the persons with contextual factors like, work environment, family, individual and societal attitudes and the health system may act as barriers and facilitators [5, 13, 52].

Some of the identified ICF categories need further specification.

A wide spectrum of *body functions* was mentioned by the participants of the focus groups covering all chapters of this component. Most of the identified *body functions’* categories belong to the first and seventh chapter of this component, namely *mental functions* and *neuromusculo-skeletal and movement-related functions*. These categories pertain to well-known aspects which are relevant for VR of various health conditions [53, 54], such as cognitive functions (e.g. energy and drive functions, attention functions) and aspects such as mobility and stability of joint and bone, movement related functions, gait functions, movement and sensations to muscles [54]. Mobility-related categories might be associated with musculoskeletal health conditions.

The clients participating in our study reported important aspects in VR focusing on the component *activities and participation* which affect all life areas including health and time of recreation. Predominantly, aspects of activities and participation related to handling stress in daily life, work and private life show trade-offs between these major life areas [7].

Particularly, categories like *d240 handling stress and other psychological demands*, *d570 looking after one’s health* and *d920 recreation of leisure* seem to be important aspects from the perspective of the clients in VR [26]. It is known that in clients of VR beside limitations in work performance the ability for relaxation and recreation to relieve stress is limited [55].

All four second-level ICF categories related to work and employment *d840 apprenticeship*, *d845 acquiring, keeping and terminating a job*, *d850 remunerative employment* and, *d855 non-remunerative employment* were identified. Altogether, there are no other comparable and comprehensive studies which accounted for the breadth of factors that we have identified in our study. Our findings found a range of domains around “work” which further support the idea of the complexity of VR.

Similarly, the interaction of the person and his or her environment remains scarcely researched [5]. The identified *environmental factors* having an impact on the work ability

cover all chapters of this component which range from interventions (e.g. medication) and devices in different forms to the climate and social systems. In our study, the statements of the participants were mostly related to categories of chapter 3 *support and relationships* (e.g. *e330 people in authority*) and chapter 4 *attitudes* of their surrounding people (e.g. *e430 individual attitudes of people in authority*). Attitudes of people in authority are important for clients in VR because long-term (chronic) illness and consequences after injuries are strongly associated with return-to-work and the outcome of VR [13, 23, 56, 57]. Successful VR needs constructive collaborations to the employers and their people in authority [3, 4].

In VR different social systems are intertwined. Aspects related to health services and systems, the engagement with health professionals, and the social and educational system were intensively discussed in the focus groups. Positive experiences with health care providers and VR programs are reported by the participants as being important to develop new perspectives. From the client perspective established certificates and degrees are necessary to achieve successful integration to work. The participants of the focus groups extensively discussed their experiences about the perceived stress related to the complex interaction of work, family life, role expectations and financial responsibilities [54].

In addition, a vast number of experiences were identified as *personal factors*. Out of the total number of concepts, 18% concepts were assigned to the ICF component *personal factors* like, motivation, interest, autonomy, coping, beliefs, education, and ability to use mechanisms for compensation, which are not yet classified but could indicate important aspects of “personal” resource management and strategy development for patients in VR. This result stresses the importance of the individual or personal context in VR [32]. *Personal factors* identified in this study include important aspects related to psychosocial factors, management of resources and development of coping strategies.

In qualitative research and studies with focus group methodology, sample sizes typically remain small [44, 45, 58]. A small sample size with a diverse range of participants ( $n = 26$ ) was used to obtain the required level of rich and meaningful data. According to Curtis et al. [59] the small samples in qualitative research are studied intensively and typically generate a large amount of information [59]. By keeping the questions open-ended, the moderator can stimulate useful trains of thought in the participants that are not anticipated [60]. The focus groups in our study were composed of three to six participants. We decided to perform groups with few participants because of the complexity of the topic and the expertise of the participants according to the literature [61]. With a small group size, each participant has a greater opportunity to talk, which is

reported as an important aspect for the group dynamics in groups with elderly and ill participants [58, 62].

There are also some limitations of this study. From these findings it cannot be concluded that the encountered functional problems are equally relevant and representative for all clients in VR or equally frequent. The number of focus groups in which a specific problem came up may provide a rough impression about the potential relevance of a problem. To assess the frequency of problems in functioning further investigations using quantitative methods are needed [33].

The sample consists only of German speaking participants from Swiss, German, Brazilian, Serbian and Macedonian origin. It would be worthwhile to use the same methodology in other studies in other countries in order to establish a cross-cultural comparison of the results.

Finally, we conducted seven focus groups following the strategy of saturation of data with the criteria of two consecutive focus groups each revealing less than ten percent additional second-level ICF categories in relation to the number of second-level ICF categories identified in the respective previous focus group [37]. Participants in an eighth focus group might still report new themes and concepts not yet addressed.

It is essential to take into account that the qualitative methodology used in this study which was aimed at identifying the broadest possible range of problems. Several strategies were used to improve and verify the trustworthiness of data analysis: (1) *Triangulation* ensured the comprehensiveness of data. We included data triangulation by using two health professionals performing the data analysis (multiple coding) [61, 62], (2) *Reflexivity* was assured by filling in field notes and performing a debriefing after completing the respective focus group session, (3) *Clear exposition* was used establishing guidelines for conducting the focus groups (including open-ended questions), verbatim transcription, and linking rules [47, 48], and (4) *Peer review* as described earlier. The kappa coefficient of 0.65 for the accuracy of the peer review is comparable with other mix-method and qualitative studies using the linking rules [36, 43].

## Conclusions

This study provides evidence on the importance of comprehensive conceptualizations applying qualitative and quantitative methodology and helps to holistically understand and address the impact of VR based on the bio-psycho-social model of the ICF. The results confirm a comprehensive view from the client perspective. Based on these results, international standards for comprehensively describing functioning and disability of individuals in VR

could be developed. Moreover, the findings provide us with information to help us better our planning of intervention, resource management, and strategy development. Therefore, these initial findings suggest that the VR of clients must not be limited to anatomical and pathophysiologic changes alone, but should also consider a more comprehensive view which includes client's demands, strategies and resources in daily life and the context around the individual and social circumstances of their work situation.

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