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## ARTICLE

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## Association of childhood type 1 diabetes mellitus with a variant of *PAX4*: possible link to beta cell regenerative capacity

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**Abstract** *Aims/hypothesis:* Loss of pancreatic beta cells is the crucial event in the development of type 1 diabetes. It is the result of an imbalance between autoimmune destruction and insufficient regeneration of islet cells. To study the role of islet cell regeneration in the pathogenesis of type 1 diabetes, we focused on *PAX4*, a paired homeodomain transcriptional repressor that is involved in islet cell growth. *Methods:* The study included 379 diabetic children and 1,070 controls from two distinct populations, and a cohort of children who had not developed type 1 diabetes, despite the presence of islet cell antibodies. Genomic DNA analysis of *PAX4* was carried out via direct sequencing of PCR-amplified fragments and allelic discrimination. We compared the transrepression potential of the *PAX4* variants in  $\beta$ TC3 cells and analysed their influence on beta cell growth. *Results:* The type 1 diabetic subjects are different from the normal individuals in terms of the genotype distribution of the *A1168C* single nucleotide polymorphism in *PAX4*. The *C/C* genotype is frequent among type 1 diabetic children (73%) and rare among the control population (32%). Conversely, the *A/C* genotype is prevalent among control subjects (62%) and antibody-positive children without type 1 diabetes (73.6%), but uncommon among subjects with type 1 diabetes (17.5%). The combination of *PAX4A* and *PAX4C* is functionally more active than *PAX4C* alone (the ‘diabetic’

variant). Beta cells expressing *PAX4A* and *PAX4C* efficiently proliferate when stimulated with glucose, whereas cells expressing the *PAX4C* variant alone do not. *Conclusions/interpretation:* We have identified a link between beta cell regenerative capacity and susceptibility to type 1 diabetes. This finding could explain the fact that not all of the individuals who develop autoimmunity against beta cells actually contract the disease. The *C/C* genotype of the *A1168C* polymorphism in *PAX4* can be viewed as a predisposition marker that can help to detect individuals prone to develop type 1 diabetes.

**Keywords** Diabetes mellitus, type 1 · Pancreas · Regeneration

**Abbreviations** BrdU: 5-bromo-2'-deoxyuridine · SNP: single nucleotide polymorphism

### Introduction

The central event in type 1 diabetes mellitus is the selective loss of insulin-producing pancreatic beta cells. Type 1 diabetes can therefore be viewed as the result of an imbalance between autoimmune beta cell destruction and beta cell regeneration. Classically, the development of type 1 diabetes is divided into different stages, from genetic predisposition, to triggering, active autoimmunity and, finally, progressive beta cell destruction [1]. The extensively documented polygenic inheritance pattern of type 1 diabetes suggests that alterations in risk-modifying genes could lead to the eventual clinical manifestation of the disease. Specifically, alterations in these genes should play a role in the pathology of the disease, i.e. beta cell destruction (primarily due to immune mechanisms), decreased beta cell proliferation, and insufficient insulin secretion in response to stimulators such as glucose.

The mechanisms involved in the autoimmune destruction of beta cells have been extensively studied over the past three decades [2, 3], whereas the factors involved in islet cell development and regeneration have only recently

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been described and investigated (for reviews see [4, 5]). Agents involved in organogenesis and differentiation of the pancreas are also likely to be involved in the processes of regeneration. Among these regulators, the transcription factor Pax4 plays a crucial role in pancreatic beta cell development. The Pax family of transcription factors contain a paired box and a homeobox domain, and are essential for the formation of several tissues present in all germ layers in the mammalian embryo. Specifically, they are involved in triggering the early events of cell differentiation during organogenesis. The differentiation of endoderm-derived endocrine pancreas is mediated through Pax4 and Pax6 [6]. Inactivation of *pax4* by homologous recombination in mice results in the absence of mature insulin-producing beta cells and somatostatin-producing delta cells, and hyperplasia of glucagon-producing alpha cells [7]. The main function of Pax4 is as a transcriptional repressor in early pancreatic development [8, 9]. The mechanism by which Pax4 influences beta cell function is not well understood. Activin A (a member of the TGF- $\beta$  family) stimulates Pax4 expression in pancreatic cell lines [10], and simultaneously promotes the growth and differentiation of human fetal pancreatic cells when combined with another growth factor, betacellulin [11]. These findings indicate that Pax4 is involved in the promotion of beta cell proliferation by growth factors. Although initial investigations suggested that PAX4 was not expressed in mature endocrine cells, more recent studies have detected mRNA for the transcription factor in adult human, rat and mouse pancreatic islets [12–14]. We have previously demonstrated that low levels of *pax4* mRNA are expressed, and that production of these transcripts is induced by the mitogens activin A and betacellulin. Adenoviral-mediated overexpression of Pax4 in islets was shown to produce a 3.5-fold increase in beta cell proliferation [15]. A central concept that emerged from this study is that Pax4 operates as a key regulator of adult beta cell mass by converging the replicating effect of several signal transduction pathways towards the Myc-Id2 cascade. In parallel, cells protect themselves from *c-Myc*-induced apoptosis by increasing Bcl-xL expression.

Genetic association studies in humans have reported an association between *PAX4* variants and type 2 diabetes [16, 17]; however, this does not appear to be a global phenomenon, since it could not be found in other populations [18]. The *A1168C* single nucleotide polymorphism (SNP) we have examined has not been found to be linked to any form of diabetes. In a study on Scandinavian families, Holm et al. observed an association between type 1 diabetes and haplotypes at the *PAX4* locus on chromosome 7q32 that, together with the *ISL1* locus on chromosome 5q11–q13, constitutes a significant susceptibility factor for type 1 diabetes [19].

We hypothesised that PAX4 will be ‘reactivated’ to promote the growth of islet cells in response to their destruction. If such a mechanism exists and is functional, the pancreas would react to an immune attack by regenerating beta cells. In contrast, inefficient proliferation would not be able to counteract the ongoing destruction, eventually leading to type 1 diabetes. To gain insight into the possible role

of PAX4 in the pathogenesis of type 1 diabetes, we first screened for mutations in *PAX4* in children with type 1 diabetes, and then investigated the consequences of these mutations in beta cell lines.

## Subjects and methods

**Subjects** We initially recruited 249 children with type 1 diabetes (male:female ratio=0.53:0.47) and 424 normal control subjects of Swiss origin (male:female=0.57:0.43), and subsequently extended the analysis to include an additional 130 diabetic subjects (male:female ratio=0.56:0.44) and 646 normal control subjects (male:female ratio=0.63:0.37) from a distinct German population. Type 1 diabetes was diagnosed according to the criteria approved by the American Diabetes Association [20]. All patients tested positive for anti-islet cell antibodies. The control subjects were unrelated individuals who did not have a history of type 1 or type 2 diabetes in the first-degree family line. An additional group included 19 school children (age 6–17 years) who, despite anti-islet cell antibody positivity, had not developed diabetes within 16 years of follow-up [21]. Patients’ data and samples were anonymised according to the Swiss law (SR 235.154 and 236.1), and their collection conformed to the guidelines set out by the internal review boards. All participants (or their parents) gave informed consent. All samples were analysed in a blind fashion.

**Genetic analysis** Genomic DNA was extracted from peripheral blood leucocytes using the QIAGEN blood and cell culture DNA kit (Hilden, Germany). PCR exonic amplification of *PAX4* (accession no. CH236947.1) was performed, and direct cycle sequencing of the PCR products was carried out using the ABI Prism BigDye Terminators v.3 kit and an ABI Prism 310 Genetic Analyzer (Applied Biosystems, Rotkreuz, Switzerland). Allelic discrimination was performed using two different methods: (1) RFLP based on *HphI* digestion (performed according to the manufacturer’s instructions; New England Biolabs, Allschwil, Switzerland); and (2) an allelic discrimination assay using specific TaqMan MGB probes, with the results analysed on the ABI Prism 7,700 Sequence Detection System (Applied Biosystems; the sequences of the primers and probes used are available upon request). To further confirm the data, 20 samples per group per genotype were sequenced as mentioned above. Primer sequences and PCR conditions are available upon request (all primers were supplied by Mycosynth, Balgach, Switzerland). Chi square, significance and relative risk were calculated using standard methods.

**Expression studies** To study the functional implications of the *PAX4* variants, we developed an RT-PCR method using *PAX4* mRNA ectopically expressed in peripheral blood leucocytes of normal subjects who were homozygous for either the *A* or *C* variant [22]. The two *PAX4* cDNA variants were ligated into a pCMV-Script vector (Stratagene, Basel, Switzerland) and used for functional studies. Mouse  $\beta$ TC3 (German Collection of Microorganism and Cell Cultures,

Braunschweig, Germany),  $\alpha$ TC1.9 and NIH3T3 (American Type Culture Collection, Manassas, VA, USA) cells were cultured ( $7.5 \times 10^3$  cells) in regular DMEM medium (25 mmol/l glucose; Life Technologies, Basel, Switzerland). As they reached 80% confluence (at 36 h), the cells were transiently transfected with *PAX4A*, *PAX4C* or a combination of the two at a 1:1 molar ratio, using 50  $\mu$ g of lipofectamine per 10  $\mu$ g of DNA per 60-mm plate (Life Technologies). We used a construct containing promoter sequences of the rat insulin I gene (−410 bp) cloned upstream of a firefly luciferase cDNA (pFOXluc-410RIP1) as a reporter, and the control plasmid pFOXluc1 (which is devoid of the target sequence). Both plasmids were generous gifts from M. German (University of California, San Francisco, CA, USA). To normalise for transfection efficiency, the constitutively active *Renilla* luciferase-containing pRL-SV40 vector (Promega, Wallisellen, Switzerland) was used in addition to pFOXluc-410RIP1. Luciferase activity was measured 48 h after transfection using the Dual-Luciferase Reporter Assay System (Promega) as suggested by the supplier. All results are expressed as luciferase activity relative to control (where no *PAX4*=1). Total protein content was measured using the Bio-Rad Protein Assay (Hercules, CA, USA).

To study the influence of the *PAX4* variants on cell growth,  $7.5 \times 10^3$   $\beta$ TC3,  $\alpha$ TC1.9 and NIH3T3 cells were seeded on 35-mm plates. Cells were then transfected with *PAX4A*, *PAX4C*, a combination of the two at a molar ratio of 1:1, or empty vector. The transfection efficiency, which was assessed using a  $\beta$ -galactosidase-containing vector (SV-40  $\beta$ -gal; Promega), ranged from 40 to 60%. At 48 h after transfection, the cells were cultivated for 12 h in the absence of glucose, and then exposed different concentrations of glucose (0, 5, 15, 25 and 30 mmol/l) for 12 h, harvested, and counted in triplicate. To study the mechanism of putative changes in cell number, we used the same experimental design together with a commercial kit for analysis of proliferation, as directed by the supplier (Cell Proliferation ELISA, BrdU; Roche Applied Science). Briefly, the assay is based on the detection of 5-bromo-2'-deoxyuridine (BrdU), an analogue of thymidine. Cells are labelled by the addition of BrdU for 4 h. During this labelling period, BrdU is incorporated into the DNA of cycling cells in place of thymidine. After the removal of the labelling medium, an anti-BrdU antibody that binds to the BrdU incorporated into the newly synthesised DNA is added. The immune complexes are detected by the subsequent substrate reaction. The reaction product is quantified by measuring the absorbance using a scanning spectrophotometer (ELISA reader).

**Electrophoresis mobility shift assay** Nuclear extracts were obtained from transfected  $\beta$ TC3 cells (48 h after transfection) as previously described [23]. The double-stranded oligonucleotides C2 (Top strand: 5'-AGCTGTGAGCAGG GACAGGTCTGGC-3') and DH1 (Top strand: 5'-AGCTG TGAGCAGGGACAGGTCTGGCCACCGGGCCCCCTG-3') [24] of the human insulin promoter, which are known to be target sequences for *PAX4* were end-labelled with

[ $\gamma^{32}$ -P]-ATP using T4 polynucleotide kinase. Binding assays were performed as previously described [23].

## Results

**Genetic findings** The SNP in which an A or a C may be present in exon 9 of *PAX4* (cDNA residue 1168; Table 1), corresponding to a proline or a histidine residue at position 321 in *PAX4* protein, respectively (*P321H*), was differentially distributed between control subjects and subjects with type 1 diabetes. As shown in Table 1, 63.5 and 61.4% of normal Swiss and German individuals, respectively, were heterozygous, whereas 76.7 and 71.5% of the Swiss and German type 1 diabetic subjects, respectively, carried the *C/C* genotype. Remarkably, 73% of children who had an anti-beta cell autoimmune reaction (islet cell antibody-positive) and carried the *A/C* genotype still remain free of type 1 diabetes after 16 years of follow-up (Table 1). The relative risk of developing type 1 diabetes for carriers of the *C/C* genotype is 3.75-fold higher than for individuals carrying the *A/C* or *A/A* genotype. Subjects with the *A/C* genotype have a five-fold lower chance of developing type 1 diabetes when compared with individuals carrying the other genotypes. In agreement with previous reports [18], no association was found between the *A1168C* SNP (*P321H*) and type 2 diabetes ( $n=344$ , data not shown).

**Functional implications** To investigate potential functional differences between the *PAX4* variants, we tested their transrepression potential in beta-like ( $\beta$ TC3), alpha-like ( $\alpha$ TC1.9) and non-islet cells (NIH3T3). Compared with the *A* variant, the *PAX4C* 'diabetic' variant was less effective at repressing the transcription of target sequences in insulinoma  $\beta$ TC3 cells. A combination of the two variants—a situation mimicking the control genotype—pro-

**Table 1** Genotype frequencies of the *A1168C* SNP in the study population

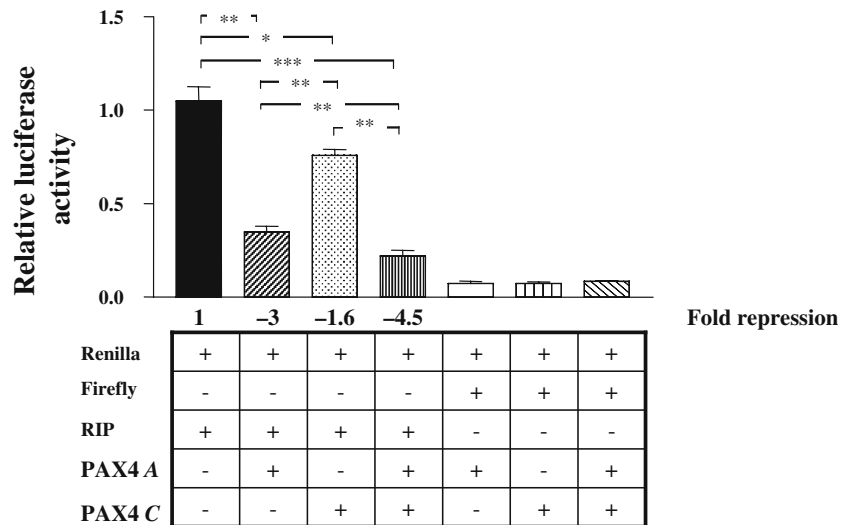
Subjects	Genotype		
	<i>C/C</i> <sup>a</sup>	<i>A/C</i> <sup>b</sup>	<i>A/A</i>
Swiss sample			
Type 1 diabetic subjects ( $n=249$ )	76.7	15.2	7.9
Control subjects ( $n=424$ )	31.2	63.5	5.3
$\chi^2=252.1$ , $df=4$ , $p<0.0001$			
German sample			
Type 1 diabetic subjects ( $n=130$ )	71.53	20	8.5
Control subjects ( $n=646$ )	33.9	61.46	4.64
$\chi^2=245.1$ , $df=4$ , $p<0.0001$			
Antibody-positive			
Type 1 diabetes-negative subjects ( $n=19$ )	10.5	73.6	15.9
$\chi^2=260$ , $df=2$ , $p<0.0001$			

*df* degrees of freedom

<sup>a</sup>Relative risk of developing type 1 diabetes in carriers of the *C/C* genotype vs other genotypes=3.75 (strong risk)

<sup>b</sup>Relative risk of developing type 1 diabetes in carriers of the *A/C* genotype vs other genotypes=0.18 (strong protection)

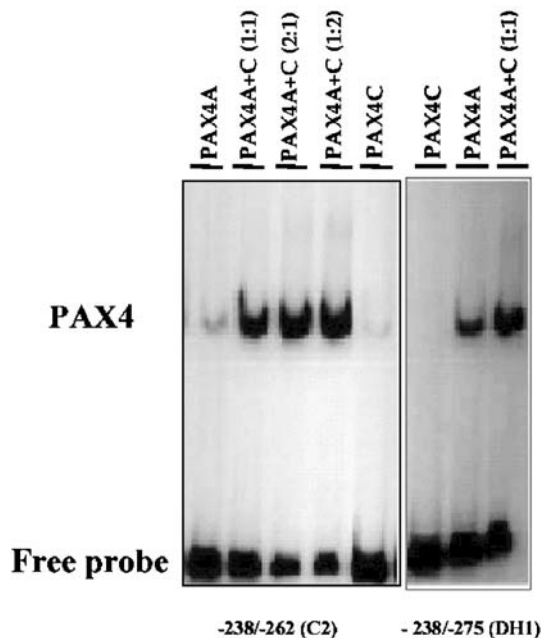
**Fig. 1** Transcriptional activity of variants of human PAX4 in transfected  $\beta$ TC3 cells. Rat insulin I promoter sequences ( $-410$  bp) were cloned upstream of a firefly luciferase cDNA (pFOXluc-410RIP1) and transfected into  $\beta$ TC3 cells, together with *PAX4C*, *PAX4A* or a 1:1 mixture of the two. The same construct devoid of the target sequence was used as a control (pFOXluc). A constitutively active *Renilla* luciferase-containing construct (pRL-SV40) was used for standardisation purposes. As a control for background luminescence, the *Renilla* luciferase-containing vector was transfected with or without *PAX4A* and *PAX4C*. The data are expressed as relative luciferase activity (firefly/*Renilla*) (where no *PAX4*=1). The results were subjected to statistical analysis (paired *t*-test). The data represent the means $\pm$ SD of at least three independent experiments. \* $p$ <0.05; \*\* $p$ <0.02; \*\*\* $p$ <0.002; \*\*\*\* $p$ <0.001. *Firefly*, pFOXluc; *Renilla*, pRL-SV40; *RIP*, pFOXluc-410RIP1



duced the greatest level of repression (Fig. 1). This effect appears to be pancreas-specific, since similar results were obtained in  $\alpha$ TC1.9 cells but not in NIH3T3 cells (not shown). Compared with *PAX4A*, *PAX4C* appears to have an impaired ability to bind DNA target sequences (Fig. 2), even though the polymorphism may not be located within the DNA-binding domains. The combination of the *A* and the *C* variants appears to increase the DNA binding capacity of PAX4 (Fig. 2).

If *PAX4* variants play a role in the pathogenesis of type 1 diabetes, they may influence beta cell number and/or their

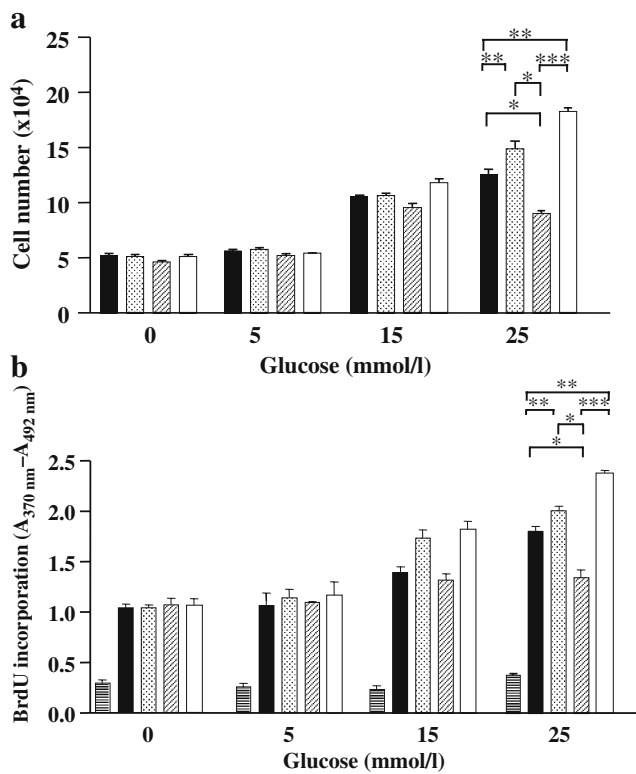
differentiation. The cell count was increased by a factor of 2.5 at higher glucose concentrations (15–25 mmol/l) compared with that at lower concentrations (0 or 5 mmol/l) (Fig. 3a). No further increase in the number of  $\beta$ TC3 cells was seen at 30 mmol/l glucose (data not shown). The glucose-dependent increase in cell number was blunted in cells transfected with *PAX4C*, and this effect appeared to be overcome by the combination of *A* and *C*. The effect of transfection with *PAX4A* on the glucose-dependent increase in the number of  $\beta$ TC3 cells was not the same as that produced by transfection with the combination of *PAX4A* and *PAX4C* (Fig. 3a). The glucose-driven influence of PAX4 on cell number appears to be beta cell specific, as transfection with the PAX4 variants did not affect the cell count of  $\alpha$ TC1.9 cells or non-islet NIH3T3 cells (data not shown). The incorporation of BrdU into the newly synthesised DNA of replicating cells was significantly lower in *PAX4C*-transfected  $\beta$ TC3 cells than in cells expressing *PAX4A* either alone or in combination with *PAX4C* (Fig. 3b). These results are consistent with those of the cell count experiments. The data suggest that the *PAX4C* ‘diabetic’ variant limits the proliferation of beta cells under glucose stimulation, the main physiological regulator of beta cell growth [21]. The addition of the *A* to the *C* variant (the control), restores the proliferation potential of these cells. The results indicate that the observed effects are mainly due to the overexpressed human PAX4 rather than the endogenous mouse Pax4 that is present in  $\beta$ TC3 cells, since the outcomes of all functional studies were more significant in the presence of human PAX4 than in its absence.



**Fig. 2** The binding of the PAX4 variants to the human insulin promoter was analysed using an electrophoretic mobility shift assay. The oligonucleotides C2 and DH1 (corresponding to PAX4 target sequences on the human insulin promoter) were incubated with extracts from  $\beta$ TC3 cells transfected with *PAX4A* and *PAX4C*, either alone or in combination at different molar ratios. The resultant protein–DNA complexes were visualised by electrophoresis

## Discussion

In the present study we have demonstrated that there are differences between patients with type 1 diabetes and normal individuals in terms of the genotype distribution of the *A1168C* SNP in *PAX4*. The differences were extremely striking: the majority of normal individuals were heterozygous, whereas most of the diabetic patients were homozy-



**Fig. 3** **a** Effect of the overexpression of the *PAX4* variants on  $\beta$ TC3 cell number in the presence of increasing concentrations of glucose. The results were subjected to statistical analysis (paired *t*-test). \* $p < 0.05$ ; \*\* $p < 0.02$ ; \*\*\* $p < 0.01$ . The data represent the means  $\pm$  SD of at least three independent experiments. **b** Effect of the expression of the *PAX4* variants on the proliferation of transfected  $\beta$ TC3 cells, as measured by BrdU Cell Proliferation ELISA. The graph shows the incorporation of BrdU in adherent  $\beta$ TC3 cells transfected with *PAX4A*, *PAX4C*, neither, or a combination of the two and cultured in 96-well microtitre plates under different concentrations of glucose (0–25 mmol/l). The rate of proliferation at higher glucose concentrations (30 mmol/l) is comparable to that at 25 mmol/l. The data represent duplicate measurements of experiments performed in triplicate. The results were subjected to statistical analysis (paired *t*-test paired). \* $p < 0.05$ ; \*\* $p < 0.02$ ; \*\*\* $p < 0.01$ . Blank, horizontal stripes; no *PAX4*, black fill; *PAX4A*, dotted fill; *PAX4C*, diagonal stripes; combination of *PAX4A* and *PAX4C*, white fill

gous for the *C* allele. The same results were demonstrated in two distinct populations, thus rendering a bias in the choice of the cohort less likely. The fact that a significant association between *PAX4* haplotypes and diabetes was recently described in a family-based Scandinavian study indicates that this is not an isolated phenomenon [19]. To investigate possible functional differences between the two variants, we tested their DNA binding capacity and trans-repression potential. It appears that the *PAX4C* ‘diabetic’ variant is less active than the *PAX4A* variant in terms of binding and repressing target sequences. Transcription is repressed to the greatest extent when the two variants are

combined (the situation mimicking the normal genotype). Although *PAX4A* seems to be the main regulator in alpha-like cells, its role in beta cell function is at present unclear. The combination of the two variants seems to slightly increase their DNA binding capacity, which may explain the apparent heterozygote advantage. The basis of such phenomenon is at present unclear, since members of the Pax family of transcription factors are not known to form dimers.

If *PAX4* variants play a role in the pathogenesis of type 1 diabetes, they may influence the proliferation and/or differentiation of beta cells. The *PAX4C* ‘diabetic’ variant limits the proliferation of beta cells under glucose stimulation, the main physiological regulatory mechanism of beta cell growth [25]. The addition of the *A* variant to the *C* variant restores the proliferation potential of these cells. These data provide evidence for the loss of function of the ‘diabetic’ genotype (*C/C*) and the protective function of the ‘control’ genotype (*A/C*).

One of the implications that can be drawn from this study concerns possible preventive approaches for type 1 diabetes. Although the clinical onset of type 1 diabetes is acute, beta cell damage precedes clinical diabetes by several months or years. Two large randomised trials that aimed to control the destruction of beta cells have, unfortunately, yielded negative results. The Diabetes Prevention Trial-1 (DPT-1) failed to show any protective effect of insulin treatment [26], and in the European Nicotinamide Diabetes Intervention Trial (ENDIT), the administration of nicotinamide, which was hypothesised to attenuate DNA and beta cell damage, did not have any effect [27]. If beta cells cannot be protected from destruction, their regeneration potential could be used to counteract the ongoing attack. This seems to be achievable in the mature pancreas, since differentiated beta cells have been shown to have significant proliferative potential in vivo [28]. Further studies are required to improve our understanding of factors that predispose or protect against diabetes. This would allow the development of new assays that could be used in combination with those for previously identified markers in order to identify susceptible individuals. Our results indicate that the *C/C* genotype of the *A1168C* polymorphism in *PAX4* is a diabetes susceptibility factor and might be used to detect individuals prone to develop type 1 diabetes. On the basis of our results we conclude that the combination of the two *PAX4* variants may be involved in a process that has a protective effect against the onset of type 1 diabetes. This hypothesis is supported by the intriguing fact that children who tested positive for antibodies to beta cells and carried the *A/C* genotype did not develop type 1 diabetes. Our results indicate a link between beta cell regenerative capacity and susceptibility to type 1 diabetes, which could explain the fact that only a certain proportion of individuals who develop autoimmunity against beta cells actually contract the disease.

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