# original article

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# Individualised PPI prescription in patients on combination antiplatelet therapy and upper gastrointestinal events after percutaneous coronary intervention: a cohort study

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Individualisierte Protonenpumpeninhibitor (PPI)-Gabe bei Patienten mit kombinierter Thrombozytenaggregationshemmung (Aspirin und Clopidogrel) und unerwünschten gastrointestinalen Ereignissen nach perkutaner koronarer Intervention (PCI): Eine Kohortenstudie

Zusammenfassung. *Grundlagen:* In einer Patientenkohorte, welche nach perkutaner koronarer Intervention (PCI) kombinierte Thrombozytenaggregationshemmung (Aspirin und Clopidogrel) erhielt, wurde die Wirksamkeit einer individualisierten PPI-Gabe zur Reduktion unerwünschter gastrointestinaler Ereignisse untersucht.

Methodik: Das gastrointestinale Risikofaktorprofil und andere Parameter wurden aus einer speziell angelegten elektronischen Datenbank extrahiert. Die Patienten wurden via standardisierten Fragebogen kontaktiert und bei Patienten mit unerwünschten gastrointestinalen Ereignissen wurde zusätzlich ein strukturiertes Telefoninterview durchgeführt.

*Ergebnisse*: In einer Kohorte von 718 Patienten erhielten 87 (12,1%) eine prophylaktische PPI-Therapie. Bei insgesamt 12% wurden unerwünschte gastrointestinale Ereignisse gefunden, wobei 18,4% eine PPI-Prophylaxe und 11,1% kein PPI hatten (OR 1,80, P = 0,054). Eine Komedikation mit Steroiden war der

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hauptsächliche Risikofaktor für unerwünschte gastrointestinale Ereignissen (adjusted OR 5,45, P = 0.014).

Schlussfolgerungen: Die individualisierte PPI-Therapie basierend auf einer Risikoabschätzung für gastrointestinale Blutungsereignisse scheint ein effizientes Instrument zu sein, um gastrointestinale Ereignisse nach PCI zu minimieren.

**Schlüsselwörter:** Kombinierte Thrombozytenaggregationshemmung, proton pump inhibitor-Prophylaxe, gastrointestinale Nebenwirkung, gastrointestinaler Risikofaktor

Summary. *Background:* We investigated the effect of individualised proton pump inhibitors (PPI) prescription on upper gastrointestinal adverse events in a cohort of patients who received combination antiplatelet therapy (aspirin and clopidogrel) after percutaneous coronary intervention (PCI).

*Methods:* Upper gastrointestinal risk factors and other parameters were extracted from a dedicated electronic database. Patients were contacted with a standardised questionnaire. A structured phone interview was performed in all patients with upper gastrointestinal adverse events.

*Results:* A cohort of 718 patients on combination therapy yielded 87 (12.1%) patients with prophylactic PPI treatment. Upper gastrointestinal adverse events occurred in 18.4% patients with and in 11.1% patients without prophylactic PPI (OR 1.80,  $P\!=\!0.054$ ). Co-treatment with corticosteroids was the main identifiable risk factor for upper gastrointestinal adverse events (adjusted OR 5.45,  $P\!=\!0.014$ ).

Conclusions: Individualised prescription of PPI-prophylaxis after PCI in patients on combined antiplatelet therapy based on

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risk assessment for upper gastrointestinal bleeding seems to represent an effective measure to minimise upper gastrointestinal adverse events after PCI.

**Key words:** Combination antiplatelet therapy, prophylactic proton pump inhibitor, upper gastrointestinal adverse event, gastrointestinal risk factor

# Introduction

Combination antiplatelet therapy has become a standard therapy in the treatment of acute coronary syndrome (ACS) and is following percutaneous coronary intervention (PCI) [1].

Two major studies investigated the clinical outcome in patients receiving aspirin and clopidogrel after PCI (CURE study) [2] and after ischemic stroke/TIA (MATCH study) [3] as compared to monotherapy. Although the CURE study showed a better clinical outcome in the combination therapy arm, both studies had significantly higher bleeding rates (including of gastrointestinal (GI) origin) in patients receiving the combination treatment of aspirin and clopidogrel [2, 3]. Gastrointestinal bleeding events occurred in 8.5% (CURE study) and in 7.6% (MATCH study) of patients receiving combination antiplatelet therapy, respectively [4].

The following risk factors increased upper GI adverse events (UGI-AE) considerably: History of a previous gastrointestinal event, age  $\geq 65$  years, anticoagulation, corticosteroids and high-dose NSAID or multiple NSAID or NSAID plus low-dose aspirin [5]. To decrease the incidence of UGI-AE in patients at risk, proton pump inhibitors (PPI) have been shown to be beneficial and are indicated as co-treatment of aspirin or NSAIDs [6–9].

Although previous studies have shown a benefit of PPI prophylaxis in patients at specific UGI-bleeding risk profiles and on monotherapy with low-dose aspirin or clopidogrel, respectively, the indication for a PPI prescription remained at physician's discretion [8-14]. Recently, after a US expert consensus report from 2008, patients with combination antiplatelet therapy have qualified to receive a PPI prophylaxis no matter what UGI-bleeding risk they are at [9]. However, besides immersive costs produced by this new guideline, there are studies reporting an interaction between clopidogrel and some PPIs reducing the cardioprotective effect of clopidogrel [14, 15]. Though recent investigations could not confirm this thesis, the effect of PPIs on clopidogrel-based cardioprotection remains to be clarified [16, 17].

Accordingly, there is a controversy going on about how far patients on combination antiplatelet therapy

profit from a general PPI prophylaxis. There are little data that patients in a real-life setting would experience significantly more UGI-AE when PPIs were prescribed in an individualised rather than a generalised manner according to UGI-bleeding risk factors. We therefore conducted a retrospective cohort study at a single centre in patients on combination antiplatelet therapy when PPI-prescription was still at physician's discretion. We wanted to investigate the effect of PPI prescriptions on the UGI-AE rate in patients on combination antiplatelet therapy after PCI and to analyse if a general PPI prophylaxis may have been beneficial in patients at low risk for UGI-AE.

# **Methods**

The study protocol was approved by the ethics committee of the Kanton St. Gallen.

#### Database and inclusion criteria

During the period from January 1, 2005 to December 31, 2006, 1500 PCI patients were assessed. All patient records were retrieved from our hospital database, in which patients with coronary angiography and PCI are registered. Due to current recommendations patients with non-ST segment elevation myocardial infarction (NSTE-MI) and ST segment elevation myocardial infarction (STEMI) received a combination antiplatelet therapy with aspirin and clopidogrel for twelve months after PCI.

In addition, the following parameters were collected and analysed: prescription of aspirin, clopidogrel, PPI (Omeprazole, Esomeprazole, Pantoprazole, Lansoprazole and Rabeprazole), dicumarine and corticosteroid use. Medical reports of upper and lower GI endoscopy within one year after PCI were reviewed. Endoscopy reports were analysed for indications and endoscopic lesions (UGI bleeding, ulcers, erosions, lower GI bleeding).

All patients were hospitalised due to acute coronary syndrome for PCI. During hospitalisation a complete history of gastrointestinal symptoms was taken. The decision making on PPI prescription as a prophylaxis for gastroduodenal ulcer history after PCI was based on history of upper GI bleeding, chronic NSAID-use and GERD. The decision was made by the treating cardiologist or internal specialist.

#### Exclusion criteria

Patients who declined giving informed consent, patients with pre-existing GI symptoms not relating to peptic ulcer disease (e.g. pancreatic cancer and other malignant diseases) and patients who could not be

reached for additional interviews in the further analysis process, were excluded.

#### Questionnaire

A symptoms-based questionnaire about the 12 months period after PCI was sent to 1500 patients. The questionnaire was piloted and adapted in 10 volunteers not involved in medical issues. Patients were asked to answer the following questions:

- 1. Duration of antiplatelet treatment:
  - a) Did you take Aspirin® and Plavix® (clopidogrel) for 1 year after PCI?
  - b) Did you take **either** Aspirin<sup>®</sup> **or** Plavix<sup>®</sup> for 1 year after PCI?
  - c) Did you take none of them for 1 year after PCI?
- 2. Did you suffer from any new symptoms in the first year after PCI? If yes, what did you suffer from?
  - a) Dyspepsia (nausea, stomach pain)
  - b) Throwing up blood
  - c) Black stool
  - d) Anal bleeding
  - e) Anything else, namely . . . ?
- 3. What was your reaction to your new symptoms?
  - a) I did not report my symptoms and they disappeared.

- b) I consulted my family doctor.
- c) I went to the hospital.
- 4. Space for any comment.

#### Structured telephone interview

To get more precise information, a structured telephone interview was performed in all 137 patients, who reported either an UGI-AE in the questionnaire or had a documentation of endoscopic lesions possibly caused by aspirin/clopidogrel. The aim of this interview was to clarify misleading symptoms and to stratify the risk profile of patients with an UGI-AE.

The telephone interview in form of a prompt sheet contained questions about medication with aspirin, clopidogrel, dicumarine, PPI, corticosteroids, NSAID, previous ulcer, previous endoscopies and H. pylori-infection. Symptoms were inquired in detail, especially their relation to combination antiplatelet therapy.

# Degree of adverse events (AE)

Concerning concomitance and avoiding over-counting, we analysed UGI-AEs only once per person according to priority: For UGI-AEs the most severe degree were ulcers and erosions including UGI bleeding, followed by hematemesis, overt bleeding with black stools and dyspepsia.

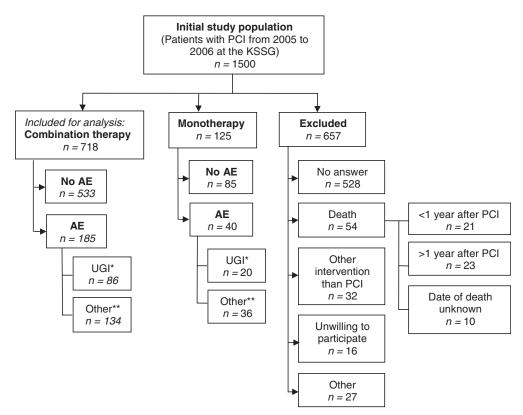


Fig. 1: Selection of study population. \*UGI = ulcer, erosion, upper GI bleeding, overt bleeding and dyspepsia. \*\*Other = lower GI bleeding, anal bleeding, bleeding of other organs, skin and ENT bleeding, dizziness/cardiovascular dysregulation, hypersensitivity, headache, musculoskeletal and not otherwise specified AEs

Primary and secondary endpoint

Primary endpoints were severe adverse events (SAE) of UGI-AE. SAE were defined as a prolongation of hospi-

talisation, rehospitalisation in relation to an UGI-AE or endoscopically proven UGI bleeding. Secondary endpoints were the occurrence of any UGI-AE as there were

	Prophylactic PPI (%)	No prophylactic PPI (%)	P-value
	n=87	n = 631	, value
n			
Age, mean (SD) yr	68 (9)	64 (11)	< 0.001
Sex			ns
Male	61 (70.1)	469 (74.3)	
Female	26 (29.9)	162 (25.7)	
UGI-AE	16 (18.4)	70 (11.1)	ns
Upper GI bleeding (E)	0 (0)	2 (0.3)	ns
Ulcer (E)	0 (0)	2 (0.3)	ns
Erosion (E)	2 (0.3)	2 (0.3)	ns
Black stool	4 (4.6)	15 (2.4)	ns
Hematemesis	0 (0)	2 (0.3)	ns
Dyspepsia	11 (12.6)	60 (9.5)	ns
Bleeding-AE other than UGI-AE	12 (13.8)	92 (14.6)	ns
Lower GI bleeding (E)	1 (1.1)	6 (1)	ns
Anal bleeding	5 (5.7)	17 (2.7)	ns
Bleeding of other organs	0 (0)	5 (0.8)	ns
Skin bleeding	6 (6.9)	54 (8.6)	ns
ENT* bleeding	1 (1.1)	19 (3)	ns
Other AE	6 (6.9)	30 (4.8)	ns
Dizziness/cardiovascular dysregulation	0 (0)	9 (1.4)	ns
Hypersensitivity	4 (4.6)	8 (1.3)	0.023
Headache	2 (2.3)	3 (0.5)	ns
Musculoskeletal	0 (0)	5 (0.8)	ns
Others	0 (0)	7 (1.1)	ns
UGI-bleeding risk factors			
Age>65 yr	53 (61)	282 (44.7)	ns
Dicumarine	9 (10.3)	33 (5.2)	ns
Corticosteroids	3 (3.4)	6 (1.0)	ns
Cardiovascular risk factors			
Nicotine ( <i>n</i> = 710)	26 (29.9)	218 (34.5)	ns
Hypercholesterinemia (n = 712)	85 (97.7)	601 (95.2)	ns
Hypertension (n = 712)	69 (79.3)	392 (62.1)	0.002
Diabetes (n = 707)	11 (12.6)	100 (15.8)	ns
Family history ( $n = 709$ )	18 (20.7)	141 (22.3)	ns

 $\it E$  endoscopic diagnosis;  $\it ns$  not significant; \* $\it ENT$  Ear Nose Throat.

uncomplicated GI-lesions without bleeding (erosions and ulcers), typical GI symptoms as dyspepsia and black stool in patients without endoscopy.

#### Risk profile

Patients with an UGI-AE were investigated further for the risk factors age >65 y, concomitant use of steroids, dicumarine and NSAID, H. pylori infection and previous peptic disease.

# Statistical analysis

Fisher's exact test was used to test for significant differences in presence of UGI-AEs by the various risk factors. Additionally, multiple logistic regression (Hosmer and Lemeshow [2000]) [18] was used to examine the effect of all risk factors simultaneously. *P*-values have not been adjusted for multiple testing. All analyses were performed in the R programming language [19].

#### **Results**

#### Exclusion criteria

Among the 1500 patient files, which were selected from the cardiologic database, 718 patients with PCI and combination antiplatelet therapy qualified for further analysis. 657 patients were excluded for various reasons, as described in detail in Fig. 1.

Additionally, 125 patients were on monotherapy (=aspirin or clopidogrel for 12 months). 18.9% of them had UGI-AE vs 12% with UGI-AE on combination antiplatelet therapy. Monotherapy did not show a different spectrum of UGI-AE compared to patients with combination antiplatelet therapy. They were not considered for further analyses. Baseline characteristics are given in Tab. 1.

#### Primary and secondary endpoints

Primary endpoints (SAE) were observed in 5 patients (0.7%). All SAEs resulted in prolongation of hospitalisation. Details of patients (3 male and 2 female) with SAE are presented in Tab. 2.

Secondary endpoints were found in 81 (11.3%) patients. 57 were male and 24 female: Erosions (n = 4, 0.6%), black stool (n = 14, 2.0%), dyspepsia (n = 63, 8.8%).

#### PPI and UGI-AE

UGI-AE occurred in 86 (12.0%) patients. 16 of these were on prophylactic PPI and 70 had no acid suppression. There was no difference in the frequency and severity of UGI-AE in the groups with and without PPI (p=0.57) and there was no difference in type of PPI, either.

Considering the entire study cohort (n=718), 87 (12.1%) patients were treated with prophylactic PPI. In these 87 patients, UGI-AE occurred in 16 (18.4%). In the group without prophylactic PPI (n=631, 87.9%), UGI-AE was observed in 70 (11.1%) patients (Tab. 3). Considering only the data in the group without PPI prophylaxis the number needed to treat (NNT) with a prophylactic PPI to prevent one UGI-AE was 14.

Risk factors in patients with prophylactic PPI medication

Despite co-treatment with prophylactic PPI, UGI-AE occurred in 16 patients. Table 4 shows their risk factor profile and PPI dose.

There was no difference in the dose of PPIs between patients with and without AE. Age >65 y was the most frequent risk factor and was found in 53 (69%), second in line was dicumarine with a total of 7 (8%).

# Predictive factors for UGI-AE

For the whole study cohort ( $n\!=\!718$ ), the risk factors age  $>\!65\,\mathrm{y}$  (326/718, 45.4%), steroids (9/718, 1.2%), dicumarine (42/718, 5.8%) and prescription of prophylactic PPI (631/718, 87.9%) were analysed. The results of the logistic regression analysis are given in Tab. 5. This multivariate analysis demonstrated that patients with prescription of steroids had a significantly higher incidence of UGI-AE. Steroids had a significant impact on the rate of UGI-AE after adjustment for age, dicumarine

Tab. 2: Risk profile of patients with UGI-SAE $(n = 5)$							
Diagnosis	Prophylactic PPI	Age > 65 years	Dicumarine	NSAID	Corticosteroids	Ulcer history	
Peptic ulcer* (n = 3)	0	2	0	1	0	0	
No source** (n = 2)	1***	2	1	0	0	0	

<sup>\*</sup>Two patients had a gastric ulcer, one patient had a duodenal ulcer; \*\*One patient had black stool, one patient had hematemesis but no endoscopic finding; \*\*\*Double standard dose: Lansoprazole 30 mg/40 mg of every other PPI.

Tab. 3: Prophylactic PPI therapy in patients with versus without UGI-AE in the whole study population n = 718) Patients with **Odds** P-value **UGI-AE** ratio (95% CI) Yes No (n = 86)(n = 632)Prophylactic 16 1.80 0.05408 (18.6%)(11.1%)(0.93 - 3.35)

and prophylactic PPI, with an OR of 5.45 (CI 1.30–21.35, P = 0.0142). Age > 65 y, dicumarine co-treatment and lack of prophylactic PPI were not independent risk factors for UGI-AEs.

In the subgroup analysis of patients with UGI-AE and without prophylactic PPI (n = 70), the presence of ulcer history, NSAID co-treatment and H.pylori-infection were not independent risk factors for UGI-AEs.

#### **Discussion**

This cohort comprised 718 patients with PCI requiring combination antiplatelet therapy with aspirin and clopidogrel for at least 12 months after the intervention according to the guidelines of the European Society of Cardiology [1]. This was an observational study with the aim to analyse the practice of an individualised prescription of PPIs in patients on combination antiplatelet therapy and to investigate its role in prevention of UGI-AEs.

The incidence of UGI-AE in this study was 12.0%, including 0.8% endoscopically diagnosed lesions (=UGI bleeding, ulcer, erosion) and 0.7% UGI-SAE. Previous trials yielded comparable incidences of UGI-AE: The CURE and the MATCH study had an incidence of major GI-bleeding episodes (i.e. SAE) of 0.84% and 0.421%, respectively [3, 20]. In a Chinese multicentre retrospective study on combination antiplatelet therapy, patients developed a comparable

rate of endoscopically proven ulcer and erosion as in our study, namely 0.8% [21].

Efficacy of PPIs in prevention of UGI-AE has been confirmed in different studies previously [11, 12, 22-27]. However, a beneficial effect could not be shown in this study (OR 1.80, 95% CI 0.926–3.352, P = 0.05408) when comparing patients with PPI and those without. All over, only 87 of 718 (12.1%) patients had prophylactic PPI therapy after PCI. However, this low prescription rate is in line with three other studies performed in a similar setting showing rates between 5.7 and 27.6% [11, 12, 28]. In contrast to our observation these studies showed a significant decrease in UGI-AE in patients with prophylactic PPI. However, these studies differ from our cohort as regards indication and study population: Patients may have benefited more from a PPI prophylaxis as they had an increased GI risk profile compared to our cohort since they were significantly older than our patients 72.1 vs 64 yrs [11] and were more often on enoxaparin during hospitalisation [11, 12]. In addition a large Japanese study [28] was performed in patients with aspirin and thienopyridine derivates (ticlopidine) instead of clopidogrel and can therefore not be compared adequately.

The tendency in our cohort study for having a UGI-AE while being on PPI therapy may be explained by a selection bias: Patients had PPIs for different reasons, such as ulcer history, concomitant NSAID-use and age > 65 y, and were therefore already at increased risk for bleeding despite acid suppression. This is in contrary to randomised trials with matching of patients in a PPI group and a non-PPI group according to their UGI-bleeding risk [11, 12, 28].

According to previous studies, patients with the following conditions are at high risk and will possibly benefit from prophylactic PPI treatment after PCI: patients with a history of ulcer disease, concomitant NSAID-use, concomitant Dicumarine-use, H. pylori infection, and age >65 years [6–9]. In this study, concomitant use of corticosteroids was proven to be an independent risk factor for UGI-AE after adjustment for

Tab. 4: Risk factors and PPI dose in patients with UGI-AE and prophylactic PPI ( $n = 16$ )							
	Age > 65	Dicumarine	Steroids	Ulcer history	NSAID	PPI standard dose*	PPI double standard dose**
Erosion $(n=2)$	1	0	0	1	0	1	1
Overt bleeding*** (n = 3)	3	2	0	1	0	0	3
Dyspepsia (n = 11)	6	2	1	4	3	5	6

<sup>\*</sup>Lansoprazole 15 mg/10-20 mg of every other PPI; \*\*Lansoprazole 30 mg/40 mg of every other PPI; \*\*\*Black stool, hematemesis.

Tab. 5: Adjusted odds ratio for UGI-AE in the study population ( <i>n</i> = 718)							
	Number patients UGI-AE	with	Odds ratio	<i>P</i> - value			
	Yes (n = 86)	No (n = 632)					
Age>65 y	40 (46.5)	46 (7.3)	0.98 (0.62–1.55)	0.9377			
Steroids*	4 (4.6)	82 (13.0)	5.45 (1.30–21.35)	0.0142			
Dicumarine	7 (8.1)	79 (12.5)	1.39 (0.54–3.12)	0.4584			
Primary PPI	16 (18.6)	70 (11.1)	1.68 (0.88–3.04)	0.0980			

<sup>\*</sup>Overt bleeding (n = 1), dyspepsia (n = 4).

age, dicumarine and absence of PPI treatment (OR-5.45, 95% CI 1.30–21.35,  $P\!=\!0.0142$ ) and increased the risk for UGI-AE 3.8-fold. This is in line with the current literature, showing a 2-fold increased risk for UGI-AE in patients on long-term NSAID therapy and corticosteroids [5, 24].

# **Conclusion**

Patients judged by their physician not to need a PPI showed a trend for fewer UGI-AE than patients on PPI therapy. Thus, the need for a PPI prophylaxis as elucidated individually by GPs based on a risk assessment for UGI-bleeding seems to be an effective measure to minimise UGI-AE after PCI. Whether patients with UGI-risk factors were treated inadequately as concerns the dose of PPI and adherence to PPI medication cannot be precisely answered in this study. An individualised prescription practice of PPIs seems to be still adequate and may prevent an overuse of PPI-therapy especially in the light of interactions with clopidogrel.

Further prospective studies on the value of a prophylactic PPI medication in patients at low risk for UGI-AE should address this question.

#### **Conflict of interest**

Rahel Häuptle, Daniel Weilenmann, Tino Schneider, Sarah R. Haile, Peter Ammann, Christina Knellwolf,

and Jan Borovicka declare that there is no conflict of interest.

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