

1 **Impact of the MJO on the interannual variation of the Pacific–Japan mode of**  
2 **the East Asian summer monsoon**

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30 The spatial pattern of the first mode of interannual variability associated with the  
31 East Asian summer monsoon (EASM), obtained from a multivariate Empirical  
32 Orthogonal Functions (MV-EOF) analysis, corresponds to the Pacific–Japan (PJ)  
33 pattern and is referred to as the PJ-mode. The present study investigates the  
34 interannual variation of the PJ-mode from the perspective of the intraseasonal  
35 timescale. In particular, the impact of the Madden–Julian oscillation (MJO) on the  
36 interannual variation of the PJ-mode is investigated. The results show that the MJO  
37 has a significant influence on the interannual variation of the PJ-mode mainly in the  
38 lower troposphere (850 hPa) and that the former accounts for approximately 11% of  
39 the amplitude of the latter. The major part of the contribution comes from a change in  
40 frequency of the different phases of the MJO, especially that of MJO phase 6. This  
41 suggests that intraseasonal variation of the convection anomalies over the tropical  
42 eastern Indian and western Pacific Oceans plays an important role in the interannual  
43 variation of the PJ-mode. In addition, MJO phase 7 also contributes to the interannual  
44 variability of the PJ-mode, in this case induced by both the change in frequency and  
45 the change in circulation anomalies associated with MJO phase 7.

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47 **Keywords:** East Asian summer monsoon (EASM); Pacific–Japan pattern; Madden–  
48 Julian oscillation (MJO); intraseasonal variability, interannual variability

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## 501. Introduction

51 The East Asian summer monsoon (EASM) is an energetic component of the  
52 global climate system, bringing rainfall to East Asia, one of the most densely  
53 populated regions in the world. The variability of the EASM on different timescales  
54 brings both floods and droughts to East Asia. Investigating the variability of the  
55 EASM is, therefore, of great socio-economic interest.

56 Multivariate Empirical Orthogonal Functions (MV-EOF) analysis has been used  
57 to investigate the interannual and decadal variability of the EASM (Wang et al. 2008;  
58 Sun et al. 2010; Ding et al. 2014; Ding et al. 2015; Wu et al. 2016). The first EOF  
59 corresponds to the Pacific–Japan (PJ) pattern (Nitta 1987) or the East Asian–Pacific  
60 pattern (Huang and Sun 1992), a meridional teleconnection pattern over the western  
61 North Pacific (WNP) and East Asia that greatly affects summer rainfall in the East  
62 Asian (Meiyu/Changma/Baiu) rain band that extends from the Yangtze River valley  
63 across Korea and Japan. In the lower troposphere, the positive phase of the first EOF  
64 is characterized by an anticyclonic anomaly over the subtropical WNP and a cyclonic  
65 anomaly over East Asia, and in the upper troposphere, the first EOF is closely  
66 associated with the meridional displacement of the East Asian westerly jet. The first  
67 EOF is referred to as the PJ-mode in this study, following Li et al. (2018).

68 The interannual variability of the PJ-mode has been extensively studied. It has  
69 been reported that the interannual variation of the PJ-mode is closely associated with  
70 convection anomalies over the tropical WNP (e.g., Huang and Wu 1989; Lau et al.  
71 2000; Lu 2001a, b; Lin and Lu 2009; Kosaka et al. 2011; Kosaka et al. 2013; Li and

72Lu 2017) and the subtropical WNP circulation anomaly in the lower troposphere can  
73be considered as part of a Gill response to the tropical WNP convection anomalies  
74(Lu 2001a; Sun et al. 2010). Sun et al. (2010) noted that the PJ-mode is influenced by  
75tropical diabatic heating anomalies by using a linear, dry dynamical model. In  
76particular, enhanced/reduced heating over the tropical eastern Indian Ocean favors the  
77positive/negative phase of the PJ-mode. On the other hand, El Niño-Southern  
78Oscillation (ENSO) exerts an influence on the subtropical WNP anticyclonic (during  
79decaying El Nino) or cyclonic (during decaying La Nina) anomaly through its effect  
80on convection anomalies over the tropical Indian and Pacific Oceans (e.g., Wang et al.  
812000; Wang et al. 2003; Yang et al. 2007; Xie et al. 2009; Xie et al. 2016). While the  
82connection between the PJ-mode and ENSO is only significant during the period after  
831979 (Sun et al. 2010; Xie et al. 2010; Ding et al. 2014; Ding et al. 2015; see Ding et  
84al. 2014, for a detailed discussion), even during this period, ENSO only explains  
8510%–20% of the variance of the interannual variability of the PJ-mode, with the  
86highest correlation coefficient around 0.40 (see Figs. 10 and 11 in Sun et al. 2010).  
87These findings suggest the interannual variation of the PJ-mode is complex and that  
88tropical variability other than ENSO could play a role.

89 Most of the aforementioned studies focus on the interannual timescales. In  
90addition, the EASM also exhibits profound intraseasonal variability (e.g., Chen et al.  
912004; Ding 2004, 2005, 2007; Su and Xue 2010). On the intraseasonal timescale,  
92tropical diabatic heating anomalies are mostly provided by the Madden–Julian  
93Oscillation (MJO) (Madden and Julian 1971, 1972) or the closely related Boreal

94 Summer Intraseasonal Oscillation (BSISO), the major modes of intraseasonal  
95 variability in the atmosphere over the tropical Indian and western Pacific Oceans. The  
96 MJO is characterized by eastward-propagating convection anomalies in the tropics.  
97 During boreal summer, the MJO tends to propagate northeastward in the Asian sector  
98 with a period of 25–90 days and strongly influences the climate in East Asia (e.g.,  
99 Yasunari 1979; Wang et al. 2006; Zhang et al. 2009; Zhang 2013; Chen et al. 2015;  
100 Lee et al. 2017; Wang et al. 2017). Recently, Li et al. (2018) documented that the  
101 intraseasonal variation of the PJ-mode is closely associated with the evolution of the  
102 MJO. Early MJO phases, when the enhanced convection anomalies are located over  
103 the Indian Ocean, favor the positive phase of the PJ-mode and late MJO phases, when  
104 the enhanced convection anomalies are located over the western Pacific, favor the  
105 negative phase of the PJ-mode. However, Li et al. (2018) show that the positive phase  
106 of the PJ-mode cannot totally offset the negative phase of the PJ-mode during a  
107 specific summer (see Fig. 3a in Li et al. 2018 and note that the average over all MJO  
108 phases is not zero), suggesting that the MJO may have an influence on the interannual  
109 variation of the PJ-mode. This hypothesis is tested in the present study.

110 Note that we use the “MJO” here to represent the tropical intraseasonal  
111 oscillation during summer, while some authors instead use the “BSISO” (e.g., Wang  
112 and Xie 1997; Wang et al. 2006; Kikuchi et al. 2012; Chen et al. 2015; Lee et al.  
113 2017) during summer and the “MJO” during winter. It should be noted that, unlike the  
114 MJO, the definition of the BSISO takes account of subtropical regions in the northern  
115 hemisphere, with the consequence that circulation anomalies associated with the

116 EASM can directly project onto the BSISO. Therefore, we prefer to discuss the  
117 impact of the MJO, which is confined to the equatorial regions, on the PJ-mode in this  
118 study.

119 The rest of this article is arranged as follows: Section 2 presents the data and  
120 methods used, Section 3 gives a brief review on the interannual variation of the PJ-  
121 mode and Section 4 discusses the impact of the MJO on the interannual variation of  
122 the PJ-mode. Section 5 provides a summary and discussion.

## 123 2. Data and methods

124 The present study uses the monthly and daily data from the ERA-Interim dataset  
125 (Dee et al. 2011). Also used are monthly precipitation data from NOAA's Climate  
126 Prediction Center (CPC) Merged Analysis of Precipitation data (CMAP; Xie and  
127 Arkin 1997) and daily mean outgoing longwave radiation (OLR) data from the  
128 National Oceanic and Atmospheric Administration (NOAA). The analyses are for  
129 boreal summer (June–August) during the period 1979–2015. We also repeated the  
130 main analyses using the daily mean OLR Climate Data Record (CDR; available at  
131 <http://olr.umd.edu/>; Lee et al. 2004; Lee et al. 2007) and obtained similar results (not  
132 shown).

133 We use the Real-time Multivariate MJO index (RMM, available at  
134 <http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt>) defined by  
135 Wheeler and Hendon (2004) to present the characteristics of the MJO. The MJO index  
136 is obtained by projecting the daily observed data onto the first two leading MV-EOFs  
137 of 200 and 850 hPa zonal wind and OLR variability in the tropics (Wheeler and

138Hendon 2004). The variables are first meridionally averaged over the band between  
13915°S and 15°N and the anomalies at each longitude are obtained by removing the  
140mean and the first three harmonics of the annual cycle. Then the ENSO signal is  
141removed from the anomalies by linear regression and finally the 120-day mean of the  
142previous 120 days is subtracted for each day (see Wheeler and Hendon 2004, for the  
143details). There are two components of this index, namely RMM1 and RMM2. These  
144are the standardized principal component time series of the first two EOFs. The MJO  
145has eight phases according to the angle spanned by RMM1 and RMM2 and the MJO  
146amplitude can be defined as the length of the vector defined by the two components.  
147The active MJO is defined as the amplitude of the MJO index exceeding a threshold  
148of 1.0. In the following, all the analyses related to the MJO refer to the active MJO.

149 When discussing intraseasonal variability, we utilize, in addition to the MJO,  
150intraseasonal anomalies for various variables. These are obtained by first removing  
151the seasonal cycle by subtracting the first three harmonics of the annual cycle, and  
152then applying a 25–90-day, band-pass Lanczos filter to isolate the intraseasonal  
153variability, similar to the analyses by Kikuchi et al (2012). We also repeated the main  
154analyses in this study by using a 10–20-day band-pass filter, but the results show  
155weak anomalies and are insignificant (not shown here). Therefore, the 25–90-day  
156band-pass filter is used here.

157 The MV-EOF analysis concerning the interannual variability of the EASM is  
158carried out on the boreal summer mean wind fields at 850 hPa and 200 hPa over the  
159EASM region 10°–50°N, 100°–150°E during 1979–2015. The region used for the



160MV-EOF analysis is identical to that used in the previous studies of Sun et al. (2010)  
161and Li et al. (2018). Before the MV-EOF analysis, the interannual anomalies of each  
162variable are first normalized by their area-averaged standard deviation and then  
163weighted by the square root of the cosine of latitude to obtain equal weight to equal  
164areas. The detailed procedure can be found in Wang (1992), Wang et al. (2008) and  
165Sun et al. (2010).

### 1663. Interannual variation of the PJ-mode

167 Figure 1 shows the first mode (PJ-mode) associated with the EASM, which  
168explains 20.1% of the variance of zonal wind and meridional wind at 850 hPa and 200  
169hPa in the EASM region and is significantly distinguished from the higher EOF  
170modes according to North et al. (1982). As expected, the spatial pattern of the PJ-  
171mode strongly resembles the PJ pattern discussed by Nitta (1987) in both lower and  
172upper troposphere. At 850 hPa in the positive phase (Fig. 1a), there is an anticyclonic  
173anomaly over the subtropical WNP and a cyclonic anomaly over mid-latitude East  
174Asia. The anticyclonic anomaly, associated with suppressed precipitation anomalies  
175over the tropical WNP, corresponds to a westward extended subtropical high that  
176transports water vapor to East Asia along its northwest flank and results in enhanced  
177rainfall along the East Asian rain band, as previous studies suggested (e.g., Lu 2001a;  
178Lu 2004; Jiang et al. 2017; Hu et al. 2017; Li and Lu 2017). As a result, the rainfall  
179anomalies are characterized by a seesaw pattern between the tropical WNP and the  
180East Asian rain band. At 200 hPa in the positive phase (Fig. 1b), anomalous westerlies  
181appear south of 40°N and anomalous easterlies appear north of this latitude, which

182corresponds to the equatorward displacement of the East Asian westerly jet (Lin and  
183Lu 2005). All of these features are consistent with previous studies (e.g., Wang et al.  
1842008; Sun et al. 2010; Li et al. 2018)

185 In the following, we choose the 10 most positive and the 10 most negative years  
186of PC1, where PC1 is the principal component time series of the interannual PJ-mode,  
187to perform composite analyses (see the shaded bars in Fig. 1c). These two categories  
188are denoted as positive PJ years and negative PJ years, respectively. There are totally  
189496 active MJO days for the 10 most positive PJ years and 543 active MJO days for  
190the 10 most negative PJ years. We also repeated the analyses based on other criteria,  
191such as based on plus and minus 0.7 or 1.0 standard deviation, and obtained similar  
192results. However, to keep similar sample sizes of active MJO days in both categories,  
193we prefer to show the results based on the 10 most positive and the 10 most negative  
194years of PC1.

195 Figure 2 shows the composite difference of OLR anomalies and wind anomalies  
196at 850 hPa and 200 hPa between the positive and negative PJ years. The circulation  
197differences, which represent the interannual variation of the PJ-mode, expectedly  
198show the spatial pattern of the PJ-mode at both 850 hPa and 200 hPa (Figs. 2a and 2b  
199vs. Figs. 1a and 1b). Correspondingly, suppressed OLR anomalies appear over the  
200tropical WNP and enhanced OLR anomalies appear along the East Asian rain band.  
201Although the interannual variation of the PJ-mode has been investigated in many  
202previous studies, the possibility that modulations of the intraseasonal variability  
203contribute to the interannual variability of the PJ-mode has received little attention

204and this issue is the main focus of the present study.

#### 2054. Impact of the MJO on the interannual variation of the PJ-mode

206 We start by analyzing the interannual variation of the PJ-mode associated with  
207intraseasonal variability. Figure 3 shows the composite difference of OLR anomalies  
208and wind anomalies at both 850 hPa and 200 hPa between the positive and negative  
209PJ years using 25–90-day band-pass filtered data. The circulation differences at 850  
210hPa are similar to the original interannual composite difference and resemble the PJ-  
211mode (compare Fig. 3a and Fig. 2a), with significant anticyclonic anomalies over the  
212subtropical WNP and cyclonic anomalies over mid-latitude East Asia. The OLR  
213anomalies are characterized by enhanced convection anomalies along the East Asian  
214rain band and suppressed convection anomalies over the tropical WNP. On the other  
215hand, the wind differences at 200 hPa are almost indistinctive (Fig. 3b). These results  
216suggest that the interannual variation of the intraseasonal circulation contributes to the  
217interannual variation of the PJ-mode, albeit it only up to 15% (note the different  
218vector scaling in Fig. 3) and that the contribution is mainly in the lower troposphere.

219 To quantify the intraseasonal (Fig. 3a) contribution to the interannual (Fig. 2a)  
220variation in terms of the PJ-mode, we project the daily wind anomalies over the  
221EASM region, i.e., 10°–50°N, 100°–150°E, onto the spatial pattern of the PJ-mode at  
222850 hPa. Prior to projection, the daily wind anomalies are divided by the JJA mean  
223area-averaged standard deviation of the interannual variability and are area-weighted.  
224The anomalies are then projected onto the corresponding spatial pattern of EOF1 at  
225850 hPa (Fig. 1a). For the intraseasonal variation (Fig. 3a), daily wind anomalies are

226referred to the band-pass filtered data and for the interannual variation (Fig. 2a), daily  
227wind anomalies are unfiltered and referenced to the climatological mean seasonal  
228cycle.

229 We compute the composite difference of the projection values between positive  
230and negative PJ years. The projection differences are 0.25 when using the  
231intraseasonal anomalies (Fig. 3a) and 1.87 when using the interannual anomalies (Fig.  
2322a), which suggests that the interannual variation of the intraseasonal circulation  
233contributes 13% to the interannual variation of the PJ-mode at 850 hPa, consistent  
234with the wind arrows in Fig. 3 being scaled to a length of about 17% of those in Fig.  
2352.

236 We now use a Monte Carlo technique to test the significance of the composite  
237difference of the interannual and intraseasonal projection values. First, the composite  
238projection over two random sets of 10 years, drawn without replacement, are first  
239computed. We then calculate the difference of the projection values between these  
240two sets of 10 years. This process is repeated a large number (10000) of times. Figure  
2414 shows the resulting histograms, using 50 bins, for both raw anomalies and the band-  
242pass filtered data, as an estimate of the probability density function (PDF) of the  
243values. Both the resulting PDFs are centered around zero and show a Gaussian  
244distribution. We then assess significance of the projection values according to the  
245percentile ranges, i.e., values lower than the 2.5<sup>th</sup> or higher than the 97.5<sup>th</sup> percentiles  
246are significant at the 95% confidence level. It is obvious that the composite  
247differences of the projection values between the positive and negative PJ years for

248 both the interannual (1.87) and intraseasonal (0.25) variations are highly significant.

249 In the following, we investigate the impact of the MJO on the interannual  
 250 variation of the PJ-mode by using the approach developed by Yoo et al. (2011, 2012a,  
 251 b). It should be noted that Yoo et al. focused on the impact of the MJO on the  
 252 interdecadal change of, in their case, surface air temperature, while we focus on the  
 253 interannual change of the PJ-mode here. The interannual change of a certain variable  
 254 induced by the MJO (for brevity, the MJO-induced change) can be written as:

$$255 \quad \left( \overline{X}_{posi} - \overline{X}_{nega} \right)_{MJO}(\tau) = \frac{\sum_{i=1}^8 \Delta X_{posi,i}(\tau) N_{posi,i}}{N} - \frac{\sum_{i=1}^8 \Delta X_{nega,i}(\tau) N_{nega,i}}{N} \quad (1),$$

256 where  $X$  represents the studied variable, such as zonal wind, meridional wind or the  
 257 projection values. An overbar means the time average over the positive PJ years and  
 258 negative PJ years separately, denoted as  $P_{posi}$  and  $P_{nega}$ , respectively, while  $\tau$  indicates  
 259 the lag day. On the right-hand side of equation (1),  $\Delta X_{m,i}$  is the intraseasonal anomaly  
 260 associated with phase  $i$  of the active MJO in  $P_m$ , where  $m = \text{"posi"}$ ,  $\text{"nega"}$ .  $N_{m,i}$  is the  
 261 number of active MJO days over phase  $i$  in  $P_m$ , and  $N$  is the total number of days in  
 262 each of  $P_{posi}$  and  $P_{nega}$ , which equals to 920.

263 The right-hand side of Eq. (1) indicates that the MJO-induced change is a  
 264 function of the intraseasonal anomaly associated with each MJO phase ( $\Delta X_{m,i}$ ) and the  
 265 frequency of the corresponding active MJO phase ( $N_{m,i}$ ). The MJO-induced change  
 266 can be further decomposed into three parts: (i) the part induced by the change in  
 267 frequency of each MJO phase; (ii) the part induced by the change in the spatial pattern  
 268 associated with each MJO phase; and (iii) the nonlinear combination of (i) and (ii).

269 That is,  $\Delta X_{m,i}$  and  $N_{m,i}$  can be decomposed as  $\Delta X_{m,i} = \Delta [X]_i + \Delta X_{m,i}^*$  and

270  $N_{m,i} = [N]_i + N_{m,i}^*$ , respectively, where a square bracket represents an average over both

271  $P_{posi}$  and  $P_{nega}$  together, and an asterisk indicates a deviation from this average:

$$\begin{aligned}
 (\overline{X}_{posi} - \overline{X}_{nega})_{MJO}(\tau) = & \frac{\sum_{i=1}^8 \left\{ \Delta [X]_i(\tau) N_{posi,i}^* - \Delta [X]_i(\tau) N_{nega,i}^* \right\}}{N} \\
 & + \frac{\sum_{i=1}^8 \left\{ \Delta X_{posi,i}^*(\tau) [N]_i - \Delta X_{nega,i}^*(\tau) [N]_i \right\}}{N} \quad (2) \\
 & + \frac{\sum_{i=1}^8 \left\{ \Delta X_{posi,i}^*(\tau) [N]_{posi,i}^* - \Delta X_{nega,i}^*(\tau) [N]_{nega,i}^* \right\}}{N}
 \end{aligned}$$

273 It is notable that the nonlinear term is not exactly zero, so the sum of the first two  
 274 terms does not have to equal the left-hand-side term. Nevertheless, the nonlinear term  
 275 is one order of magnitude smaller than the first two terms and has no important role to  
 276 play, implying there is no covariance between the frequency of the MJO and the  
 277 anomalies associated with the MJO. Therefore, this term is neglected in what follows.

278 Figure 5 shows the wind anomalies at 850 hPa and 200 hPa and OLR anomalies  
 279 induced by the MJO, induced by the changes in frequency of the active MJO and  
 280 induced by the changes in the intraseasonal spatial pattern associated with the active  
 281 MJO between positive and negative PJ years according to Eqs. (1) and (2). Here, as  
 282 well as in the rest of the paper, the anomalies induced by the MJO are averaged over  
 283 the 5 days following the occurrence of each active MJO phase, to focus on the  
 284 influence of the MJO on the EASM. Note that the MJO can cause almost-

285 simultaneous atmospheric circulation anomalies in the western North Pacific due to a  
286 Gill-type response (Gill 1980). Therefore, the 5-day average is computed here rather  
287 than a 5-day lag. The MJO-induced circulation anomalies at 850 hPa are characterized  
288 by an anticyclonic anomaly over the subtropical WNP (Fig. 5a), which characterizes  
289 the positive phase of the PJ-mode. The subtropical WNP anticyclonic anomaly is part  
290 of the large-scale easterly anomalies over the tropics in the lower troposphere,  
291 flowing towards the enhanced convection over the Indian Ocean. The MJO-induced  
292 circulation anomalies at 200 hPa (Fig. 5b) are not significant within the EASM  
293 region, but show a significant southwesterly flow over the tropical western Pacific.  
294 The circulation anomalies over the tropics at upper and lower levels are almost  
295 opposite, suggesting a zonal overturning associated with the tropical convection  
296 anomalies. In positive PJ years, the downward branch of this zonal overturning may  
297 in turn favor suppressed convection over the tropical WNP (vice versa for negative PJ  
298 years), thereby possibly providing a positive feedback of tropical vertical overturning  
299 induced by the MJO to the tropical convection anomalies. The convection anomalies  
300 over the tropical WNP further favor the positive phase of the PJ-mode. Overall, these  
301 results indicate that the MJO plays a role in the interannual variation of the PJ-mode,  
302 where the contribution is mainly in the lower troposphere. Besides the RMM MJO  
303 index used here, we repeated the main analyses with some other MJO indices, such as  
304 the Velocity Potential MJO index (VPM; Ventrice et al. 2013) or the OLR MJO Index  
305 (OMI; Kiladis et al. 2014)<sup>1</sup>, and the MJO-induced circulation anomalies are similar

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291 The VPM index and the OMI index both are available online from the  
30 website of the NOAA/Earth System Research Laboratory  
31 (<https://www.esrl.noaa.gov/psd/mjo/mjoindex/>).

306(not shown).

307 The MJO exerts a clear influence on the PJ-mode at 850 hPa but not 200 hPa.  
308 This is probably because the vorticity balance in the lower troposphere is qualitatively  
309 different from that in the upper troposphere (Sardeshmukh and Hoskins 1985). The  
310 relative vorticity advection, which is nonlinear, is weak compared with the stretching  
311 term and can be neglected in the lower troposphere over the WNP. Therefore, the  
312 vorticity balance can be considered as linear and the MJO exerts a clear influence on  
313 the lower tropospheric extratropical circulation anomalies. However, the relative  
314 vorticity advection cannot be neglected in the upper troposphere over the tropical  
315 western Pacific due to the strong easterlies, and thus the vorticity balance is nonlinear  
316 and prevents the MJO from exerting clear effects on the extratropical circulation  
317 anomalies over the WNP.

318 The analysis of the single terms of Eq. (2) indicate that the impact of the MJO on  
319 the interannual variation of the PJ-mode is mainly due to the frequency change of the  
320 MJO, as the anomalies associated with the frequency change of the MJO (Figs. 5c and  
321 5d) are similar to the total change induced by the MJO (Figs. 5a and 5b), whereas the  
322 anomalies induced by the MJO-related pattern change are relatively weak (Figs. 5e  
323 and 5f).

324 Our previous study (Li et al. 2018) suggested that the intraseasonal variation of  
325 the PJ-mode is associated with the MJO. Early MJO phases (1-4) favor the positive  
326 phase of the MJO and late MJO phases (5-8) favor the negative phase of the PJ-mode.  
327 However, the frequency of occurrence and the circulation patterns associated with



328 early MJO phases and late MJO phases are not exactly symmetric each year,  
329 suggesting that the MJO may exert an influence on the interannual variation of the PJ-  
330 mode. In this study, Eq. (1) sums the contributions from all individual MJO phases  
331 and the results are actually the residual of the anomalies of all different MJO phases.  
332 Further, the analysis of the single terms of Eq. (2) demonstrates that the interannual  
333 variation of the non-zero residual is mainly caused by the frequency change of the  
334 MJO.

335 We now apply Eq. (1) on the PJ-mode projection values based on the filtered  
336 data during positive and negative PJ years to quantify the impact of the MJO, i.e., to  
337 what extent the circulation anomalies shown in Fig. 5a contribute to those shown in  
338 Fig. 2a. The difference of the projection values between positive and negative PJ  
339 years induced by the MJO is 0.20, significant at 99% confidence level according to  
340 the Monte Carlo test. Therefore, the MJO contributes around 11% to the interannual  
341 variation of the PJ-mode (1.87).

342 As the sum of the circulation anomalies induced by the eight MJO phases makes  
343 a prominent contribution to the interannual variation of the PJ-mode at 850 hPa, we  
344 further investigate the relative role of each MJO phase. The projection anomalies  
345 induced by each MJO phase, induced by change in frequency of each MJO phase and  
346 induced by change in circulation anomalies associated with each MJO phase are  
347 calculated separately. Figure 6 shows the ratio between the projection anomalies  
348 induced by each MJO phase and the sum of the eight MJO phases. The dominate role  
349 of MJO phase 6 can be readily distinguished from others due to its contribution of

350 around 50% to the total difference induced by the MJO (Fig. 6a). In addition, the  
351 contribution of MJO phase 7 (around 30%) is also significant (Fig. 6a). The  
352 contribution of MJO phase 6 mainly comes from its frequency change (Fig. 6b), while  
353 the contribution of MJO phase 7 is induced by both the frequency change and the  
354 pattern change (Figs. 6b and 6c).

355 Figure 7 shows the frequency of occurrence of all eight MJO phases for positive  
356 PJ years, negative PJ years and the climatological mean to verify the contributions  
357 shown in Fig. 6b. The frequency of occurrence is calculated by the number of days of  
358 active MJO phases divided by the total number of days (920) in positive and negative  
359 PJ years. There exists a striking increase (decrease) of occurrence for MJO phase 6,  
360 and to some extent phase 7, in negative (positive) PJ years, which suggests that  
361 convection anomalies associated with MJO phase 6 are particularly efficient at  
362 exciting the PJ-mode. The correlation coefficients between the interannual variation  
363 of the seasonal mean frequency of MJO phase 6 (not shown) and PC1 is  $-0.49$ ,  
364 significant at 99% confidence level. In addition, there are generally decreased  
365 (increased) frequencies of occurrence of early MJO phases (1–4) and increased  
366 (decreased) frequencies of occurrence of late MJO phases (6–8) for negative  
367 (positive) PJ years. This agrees well with the results of Li et al. (2018), who showed  
368 that on intraseasonal timescales, early MJO phases favor the positive phase of the PJ-  
369 mode and late MJO phases favor the negative phase of the PJ-mode.

370 To verify the contributions of the change in patterns related to MJO phase 7  
371 between positive and negative PJ years, Figure 8 shows the 850 hPa wind anomalies

372and OLR anomalies averaged over the first 5 days after the occurrence of MJO phase  
3737 for the positive PJ years, negative PJ years, and their difference. For both the  
374positive and negative PJ years, there are positive OLR anomalies over the tropical  
375Indian Ocean and negative OLR anomalies over the subtropical WNP and the wind  
376anomalies show a cyclonic anomaly over the subtropical WNP (Figs. 8a and 8b),  
377corresponding to the negative phase of the PJ-mode. These anomalies are consistent  
378with Li et al. (2018). However, there is a distinct difference in OLR anomalies  
379between the positive and negative PJ years. In particular, the positive OLR anomalies  
380tend to move eastward, and to be stronger over the eastern Maritime Continent region,  
381in the negative PJ years compared to the positive PJ years. As a result, the difference  
382positive minus negative PJ years shows negative OLR anomalies over the eastern  
383Maritime Continent region and corresponding wind anomalies (Figure 8c) that project  
384onto the positive phase of the PJ-mode, even though these anomalies are shifted  
385eastward compared to the positive phase of the PJ-mode shown in Fig. 1. Since the  
386difference, positive years minus negative years, projects onto the positive phase of the  
387PJ-mode, it follows that the change in spatial pattern of 850 hPa wind anomalies  
388associated with the MJO phase 7 slightly contributes to the interannual variation of  
389the PJ-mode. Still, over most of the East Asian continent, and in particular China, the  
390difference in circulation anomalies associated with MJO phase 7 between positive and  
391negative years shown in Fig. 8c is negligible.

## 3925. Conclusions and Discussion

### 393a. Conclusions

394 The first mode of the East Asian summer monsoon (EASM) corresponds to the  
395 Pacific–Japan pattern (hereafter the PJ-mode), the interannual variability of which is  
396 closely associated with floods and droughts along the East Asian  
397 (Meiyu/Changma/Baiu) rain band. In this study, we investigated the interannual  
398 variation of the PJ-mode from the perspective of intraseasonal timescale variability.

399 The results show that the MJO has an influence on the interannual variation of  
400 the PJ-mode and the former contributes about 11% to the latter. The impact of the  
401 MJO on the interannual variation of the PJ-mode mainly occurs at 850 hPa and is  
402 mainly due to changes in the frequency of occurrence of the MJO. A positive  
403 feedback by the vertical overturning associated with the MJO onto the convection  
404 anomalies is noted, e.g. the downward branch favoring suppressed convection over  
405 the WNP during early MJO phases, which favors the positive phase of the PJ-mode  
406 (vice versa for late MJO).

407 Furthermore, we showed that, in particular, MJO phases 6 and 7 contribute  
408 significantly to variability of the PJ-mode, in particular about 50% and 30%,  
409 respectively, of the total contribution from the MJO. The contribution of MJO phase 6  
410 is due to its frequency change (more frequent during negative, less frequent during  
411 positive PJ years) and the influence of MJO phase 7 is induced by both the frequency  
412 change (as for phase 6) and the change in circulation pattern associated with this  
413 phase (see Fig. 8).

#### 414b. Discussion

415 In this study, we discussed the anomalies averaged over the first 5 days after the

416 occurrence of each active MJO phase, so that we more likely see the impact of the  
417 MJO on the EASM rather than the other way around. Further we chose the MJO,  
418 whose definition region (up to 15°N) only slightly overlaps with the EASM region  
419 (10°–50°N) instead of the BSISO, which uses data up to 30°N in its definition. On the  
420 other hand, as part of the background state for the intraseasonal timescale variability  
421 includes the interannual variation of the PJ-mode, the latter may in turn exert an  
422 influence on the tropical intraseasonal convection, which may need further  
423 investigation but is beyond the scope of this study.

424 The importance of MJO phases 6 and 7 is consistent with the results of Sun et al.  
425 (2010), who argued, using a linear model, that diabatic heating anomalies, associated  
426 with convection anomalies, centered on the equator over the Indian Ocean/Maritime  
427 Continent region most efficiently drive the PJ pattern (see their Figs. 8 and 9).

428 We have seen an important role for changes in the frequency of occurrence of the  
429 different phases of the MJO. Some studies have reported that changes in the  
430 frequency of occurrence of the MJO phase are associated with sea surface temperature  
431 (SST) anomalies over the tropical WNP and Indian Ocean (Slingo et al. 1999; Fu et  
432 al. 2003; Arnold et al. 2013), and the important role of the SST anomalies in the  
433 tropical Indian Ocean on the PJ pattern has been identified by previous studies (Yang  
434 et al. 2007; Li et al. 2008; Xie et al. 2009; Sun et al. 2010—see their Fig. 13; Tao et al.  
435 2017). These findings suggest that the tropical Indian Ocean and South China Sea  
436 could be a common driver of variability of the MJO and the EASM. Figure 9 further  
437 shows the regression of JJA-mean SST anomalies onto the normalized seasonal mean

438 frequency of MJO phases 6 and 7 (cumulated) and the negative of PC1 during  
439 summer. There are negative SST anomalies over the Indian Ocean and South China  
440 Sea for more MJO phases 6 and 7 (Fig. 9a), suggesting the negative SST there may  
441 favor more MJO phases 6 and 7. Similar SST anomalies appear over these regions for  
442 the negative phase of the PJ-mode (Fig. 9b), consistent with previous studies (e.g.,  
443 Yang et al. 2007; Xie et al. 2009; Xie et al. 2016) and with the significant negative  
444 correlation between the interannual frequency of the occurrence of MJO phase 6 and  
445 PC1 noted earlier. However, the tropical intraseasonal oscillation may also exert an  
446 influence on the tropical SST (e.g., Duncan and Han 2009; Vialard et al. 2011),  
447 making it unclear what is cause and effect here.

448 In addition to the first mode of the EASM, the second mode also plays an  
449 important role in affecting the precipitation variability over East Asia, especially the  
450 precipitation over northern China (Wang et al. 2008; Sun et al. 2010). This mode is  
451 influenced by the Indian summer monsoon (Greatbatch et al. 2013). In the lower  
452 troposphere, the spatial pattern in the positive phase is characterized by southerly  
453 wind anomalies throughout East China; and in the upper troposphere, the spatial  
454 pattern is associated with a zonal teleconnection pattern along the Asian westerly jet,  
455 the so-called “Silk Road pattern” (Lu et al. 2002; Enomoto et al. 2003; Hong and Lu  
456 2016) or the circumglobal teleconnection pattern (Ding and Wang 2005). The  
457 intraseasonal variation of this mode is also connected with the MJO (Li et al. 2018)  
458 and we have also investigated the impact of the MJO on the interannual variation of  
459 this mode. It was found that the influence of the MJO mainly appears in the upper

460troposphere and the MJO-related projection anomalies accounts for about 6% to the  
461interannual variation of the second mode at 200 hPa. The circulation differences  
462induced by the MJO between positive and negative phases of this mode show a zonal  
463teleconnection pattern in the upper troposphere, but the anomalous centers of action  
464tend to shift westward compared to the spatial pattern of EOF2 at 200 hPa. We cannot  
465explain this phenomenon so far and the results are not shown, but relevant analyses  
466perhaps deserve further investigation.

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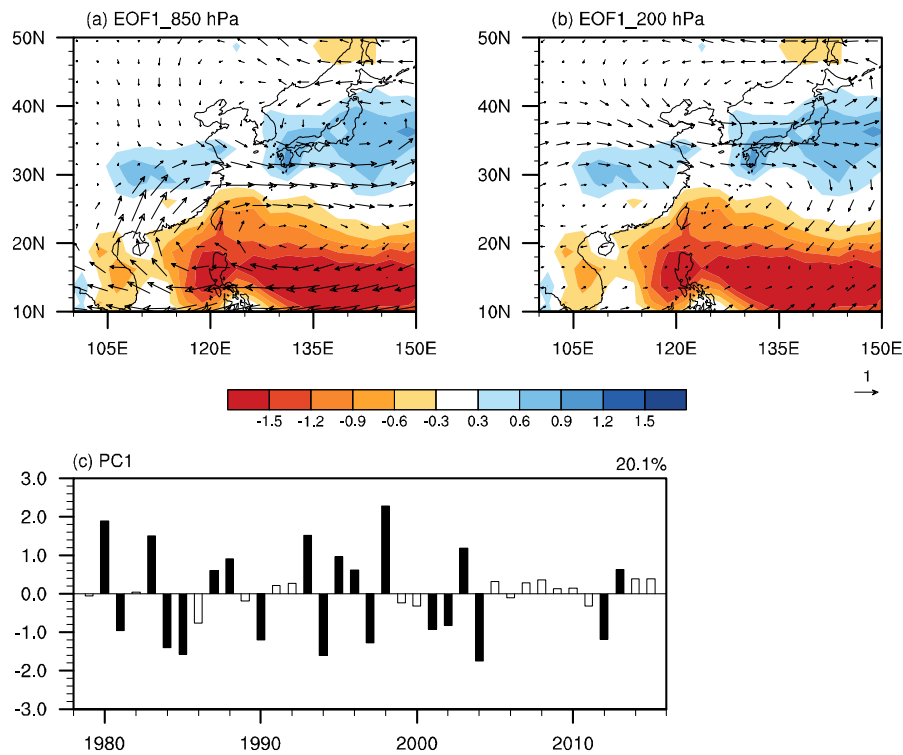
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646

647 **Figure 1.** Spatial pattern of the first mode (referred to as the PJ-mode) associated with

648 the East Asian summer monsoon (EASM) at (a) 850 hPa and (b) 200 hPa. (c)

649 Time series of the PJ-mode, which is denoted as PC1. Shading in (a) and (b)

650 shows the regression of CMAP JJA-mean precipitation anomalies ( $\text{mm day}^{-1}$ )

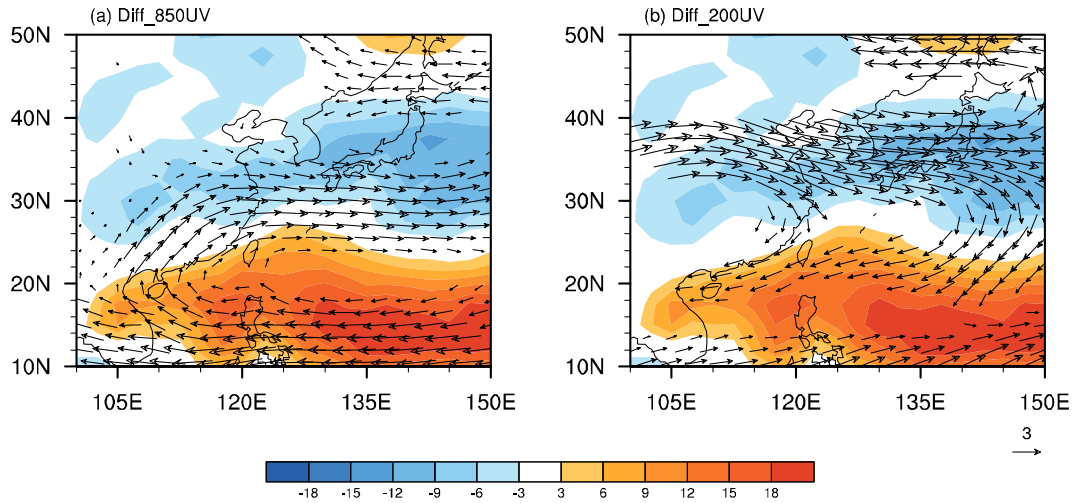
651 with respect to the normalized PC1. The reference arrow in the lower right

652 corner represents a velocity anomaly of  $1.0 \text{ m s}^{-1}$ . Shadings in (c) show the 10

653 most positive and 10 most negative PC1 years used for composite analyses.

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659 **Figure 2.** Composite difference anomalies of wind at (a) 850 hPa and (b) 200 hPa and

660 OLR (shading) between positive and negative PJ years. The reference arrow

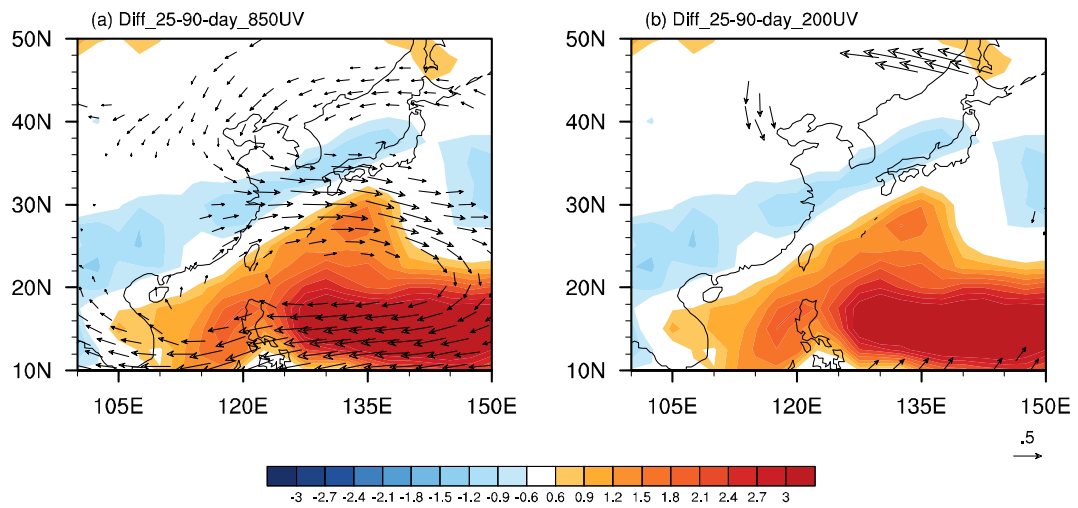
661 represents a velocity anomaly of  $3.0 \text{ m s}^{-1}$ . Only the vectors of either zonal or

662 meridional wind anomalies significant at the 95% confidence level according to

663 the Student's *t*-test are shown. Shading is in  $\text{W m}^2$ .

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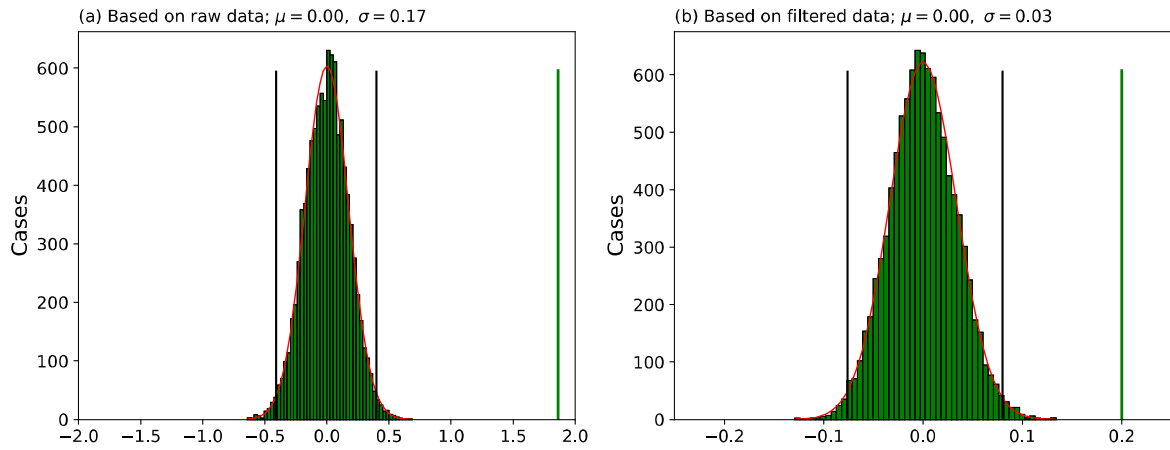
668

669 **Figure 3.** Same as Fig. 2, but based on the 25–90-day filtered data (see text for

670 details). The reference arrow represents a velocity anomaly of  $0.5 \text{ m s}^{-1}$ , which is

671 1/6 of that in Fig. 2.

672



673

674

675 **Figure 4.** Histograms of projection difference between two sets of 10 random years

676 based on (a) the raw data and (b) the filtered data. There are 50 bins in each

677 histogram and experiments are repeated 10000 times (see text for details).  $\mu$  is

678 the mean and  $\sigma$  indicates the standard deviation of the estimated Gaussian

679 distribution (shown as the red line). Vertical black lines indicate the 2.5<sup>th</sup> and

680 97.5<sup>th</sup> percentage of distribution, which characterize the 95% confidence level

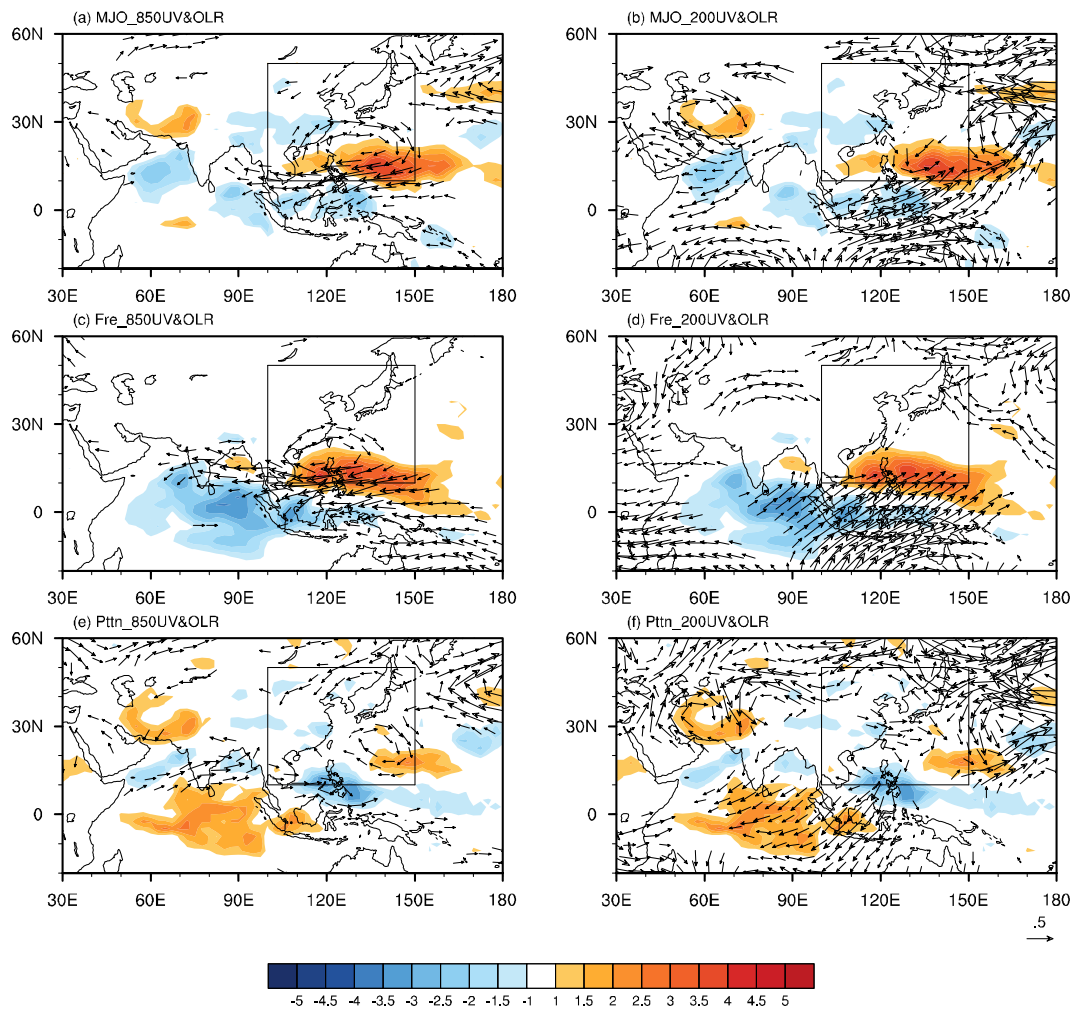
681 according to the Monte Carlo test. Vertical green lines in (a) and (b) represent

682 the projection difference between the positive and negative PJ years based on the

683 raw data (1.87) and filtered data (0.25), respectively.

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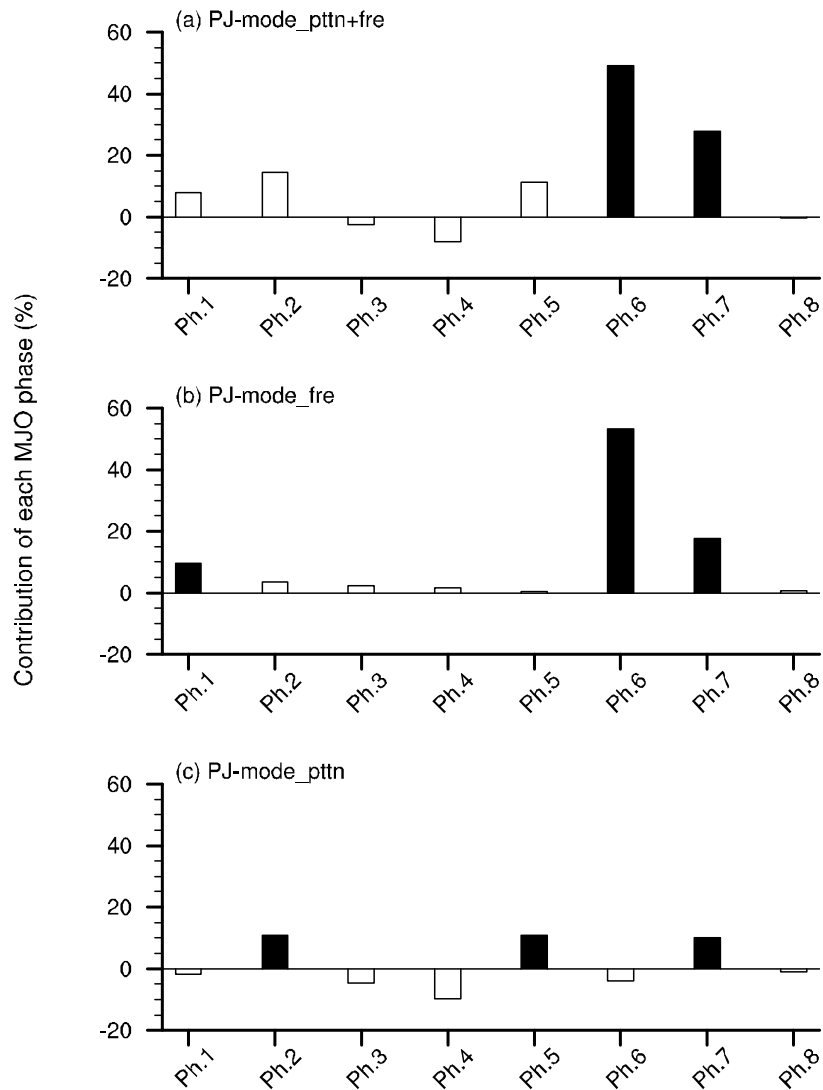
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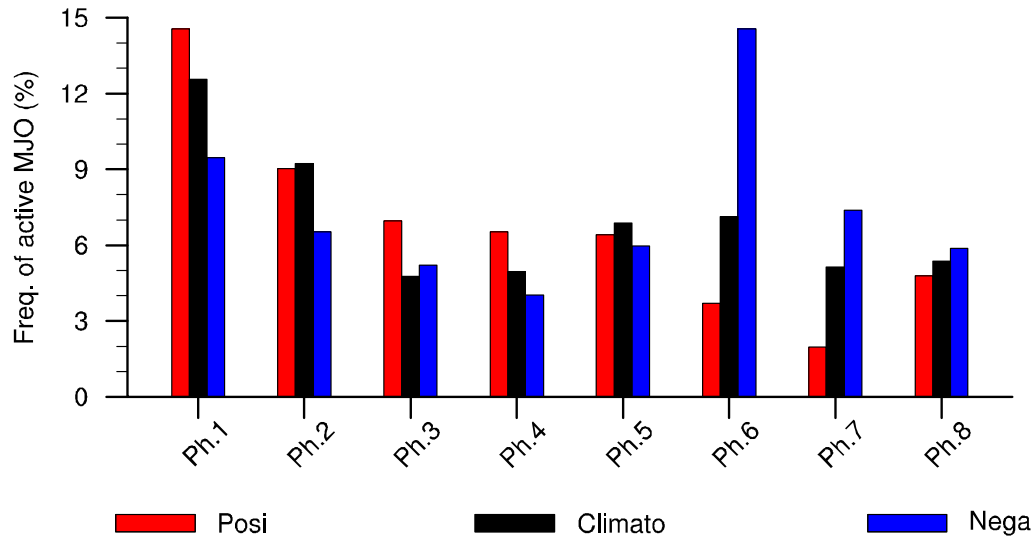
687 **Figure 5.** Composite difference of wind anomalies (Vectors; Units:  $\text{m s}^{-1}$ ) at 850 hPa  
 688 (left panels) and 200 hPa (right panels) and OLR anomalies (Shading; Units:  $\text{W}$   
 689  $\text{m}^{-2}$ ) between positive and negative PJ years induced by the MJO (a, b; left-hand-  
 690 side of Eq. (2)); induced by the change in frequency of the different phases of the  
 691 MJO (c, d; the first term of the right-hand-side of Eq. (2)); and induced by the  
 692 change in the spatial anomalies associated with the MJO (e, f; the second term of  
 693 the right-hand-side of Eq. (2)). The anomalies are averaged over the first 5 days  
 694 after each active MJO phase. The top panels show wind anomalies vectors that  
 695 are significant at the 95% confidence level according to the Student's *t*-test.  
 696 Vectors with a value less than  $0.3 \text{ m s}^{-1}$  are omitted. The marked area indicates

697 the EASM region.



698

699 **Figure 6.** The projection anomalies at 850 hPa (a) induced by each phase of the MJO;  
700 (b) induced by the change in frequency of each phase of the MJO; and (c)  
701 induced by the change in the spatial anomalies associated with each phase of the  
702 MJO. Shown are the ratios compared to the same quantities induced by all 8  
703 phases of the MJO (the left-hand-side of Eq. (2)). Shaded bars indicate the  
704 anomalies that are significantly different from zero at the 95% confidence level  
705 according to the Monte Carlo test. Units: %.



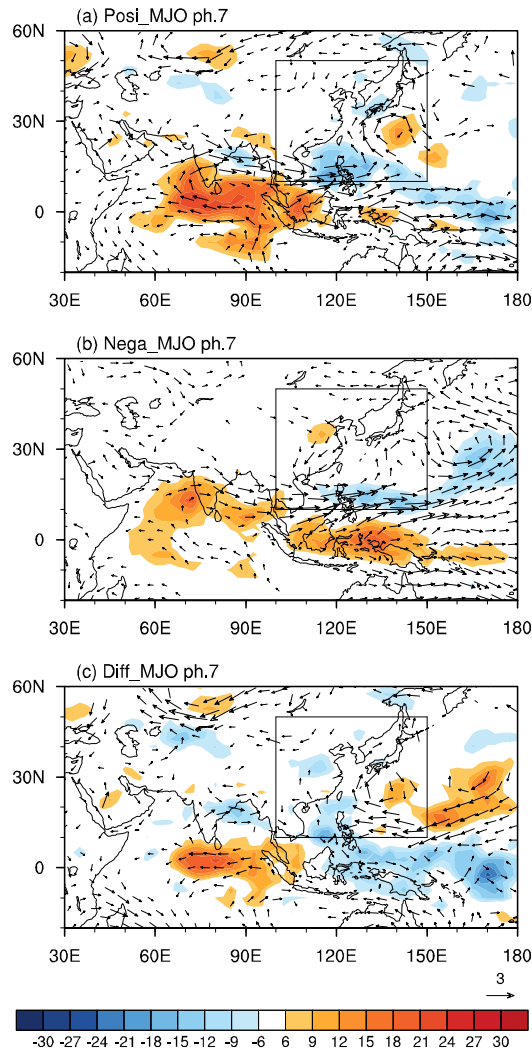
706

707

708 **Figure 7.** Frequency of occurrence (Units: %) for each MJO phase. Only days are  
 709 included when the MJO is active. The red bars represent the positive PJ years,  
 710 the blue bars represent the negative PJ years, and the black bars represent the  
 711 climatological mean.

712

713



714

715 **Figure 8.** Wind anomalies at 850 hPa and OLR anomalies (Units:  $W m^{-2}$ ) averaged

716 over the first 5 days after the occurrence of MJO phase 7 for (a) the positive PJ

717 years, (b) the negative PJ years, and (c) the difference (a) minus (b). The

718 reference arrow in the lower right corner represents a velocity anomaly of 3.0 m

719  $s^{-1}$ . Only the vectors of either zonal or meridional wind anomalies that are

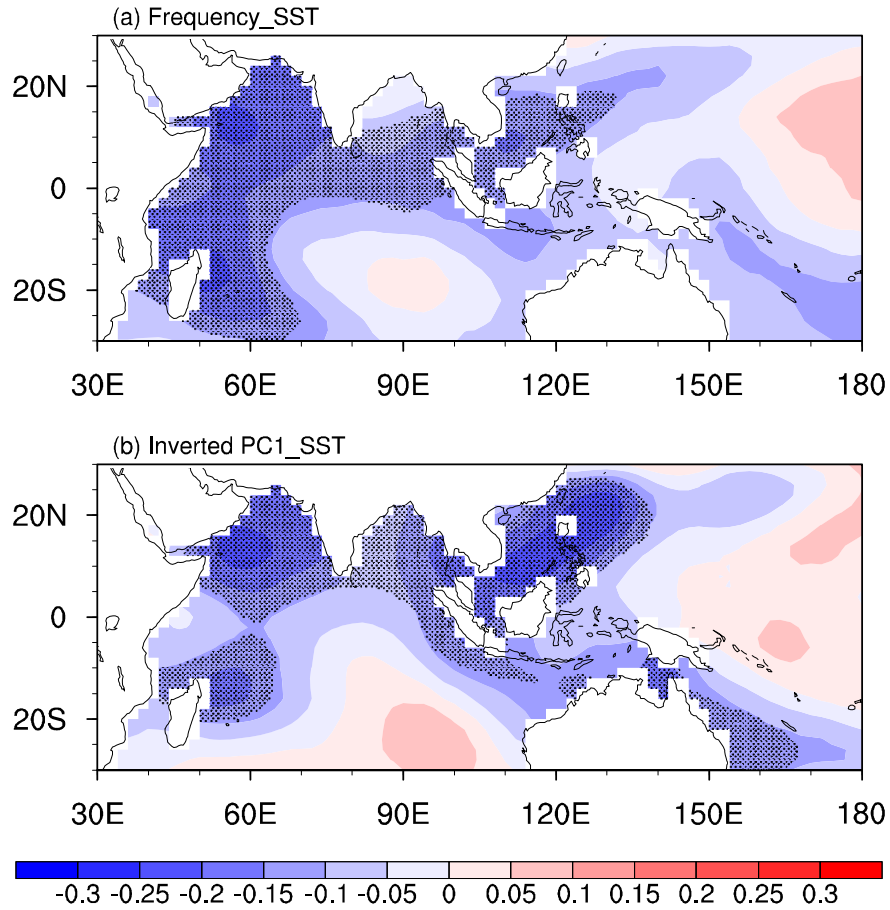
720 significantly different from zero at the 95% confidence level according to a two-

721 tailed Student's  $t$ -test are shown. Vectors with a value less than 0.5  $m s^{-1}$  are

722 omitted. The marked area indicates the EASM region.

723





724

725 **Figure 9.** Regression of JJA-mean SST anomalies (Units: °C) onto the normalized (a)  
 726 JJA-mean cumulated frequency of MJO phases 6 and 7 and (b) the inverted PC1.  
 727 The stippled area denotes the 95% confidence level based on a Student's *t*-test.