

1 Dietary Nitrate Supplementation in Cardiovascular Health: An Ergogenic Aid or
2 Exercise Therapeutic?

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25 **Abstract**

26 Oral consumption of inorganic nitrate, which is abundant in green leafy
27 vegetables and roots, has been shown to increase circulating plasma nitrite
28 concentration, which can be converted to NO in low oxygen conditions. The
29 associated beneficial physiological effects include a reduction in blood pressure,
30 modification of platelet aggregation and increases in limb blood flow.

31 There have been numerous studies of nitrate supplementation in healthy
32 recreational and competitive athletes, however, the ergogenic benefits are currently
33 unclear due to a variety of factors including small sample sizes, different dosing
34 regimens, variable nitrate conversion rates, the heterogeneity of participants' initial
35 fitness levels and the types of exercise tests employed. In clinical populations, the
36 study results seem more promising, particularly in patients with cardiovascular
37 diseases who typically present with disruptions in the ability to transport oxygen from
38 the atmosphere to working tissues and reduced exercise tolerance. Many of these
39 disease-related, physiological maladaptations including, endothelial dysfunction,
40 increased reactive oxygen species, reduced tissue perfusion and muscle
41 mitochondrial dysfunction have been previously identified as potential targets for NO
42 restorative effects.

43 This review is the first of its kind to outline the current evidence for inorganic
44 nitrate supplementation as a therapeutic intervention to restore exercise tolerance
45 and improve quality of life in patients with cardiovascular diseases. We summarise
46 the factors that appear to limit or maximize its effectiveness and present a case for
47 why it may be more effective in patients with CVD than as ergogenic aid in healthy
48 populations.

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50

51 **Introduction**

52 Nitric oxide (NO) is a diatomic, lipid-soluble gas, implicated in numerous
53 physiological functions including neurotransmission, immune defence, blood flow
54 regulation, among others. In the presence of oxygen, NO is produced by the
55 vascular endothelium via the oxidation of L-arginine to NO and L-citrulline by
56 endothelial NO-synthase (2). NO bioavailability is a balance between its rate of
57 production and subsequent rate of consumption via various biological signaling
58 pathways and chemical reactions. Vascular NO bioavailability has been shown to be
59 essential for cardiovascular health and a reduction in the ability to produce NO by
60 the vascular endothelium is an early event in the process of atherosclerotic lesion
61 formation and is associated with cardiovascular risk factors (41, 42, 218), diabetes
62 (50) and established cardiovascular disease (155). This dysfunctional endothelium
63 limits eNOS-dependant therapeutic strategies to increase vascular NO
64 bioavailability, and approaches utilizing NO-donor compounds have been limited in
65 their clinical applications primarily due to their systemic vascular effects often
66 resulting in hypotension.

67 The short half-life of NO makes it difficult to measure directly in vivo human
68 models, but its expression has previously been shown to be directly proportional to
69 plasma nitrite levels(4, 179), suggesting nitrite may be a measurable reflection of
70 vascular NO bioavailability. Despite decades long knowledge that nitrite acts as a
71 vasodilator at supra-physiological (micromolar) concentrations(70), it was regarded
72 within biological systems as an inactive “NO-sink,” which was ultimately excreted by
73 the kidneys. Recently, nitrite (along with S-nitrosothiols(213), N-nitroso proteins and
74 iron-nitrosyl complexes(178)) have been shown to be reduced back to NO under
75 hypoxic conditions (134). This indicates a discrete yet complimentary system to

76 oxygen-dependant eNOS production, which may enable vascular NO bioavailability
77 across the oxygen gradient. Furthermore, it suggests conservation of NO and an
78 endocrine-like function where delivery via plasma nitrite may target specific tissues
79 with low oxygen concentrations. Consequently, mechanisms to increase plasma
80 nitrite may be particularly useful in conditions associated with tissue ischemia,
81 including some cardiovascular diseases pathologies and specifically during a
82 physiological challenge requiring an upregulation in tissue perfusion such as
83 exercise.

84

85 Inorganic Nitrate Supplementation to Increase Plasma Nitrite

86 Inorganic nitrate supplementation has been shown to be a simple, non-
87 invasive means of exogenously increasing plasma nitrite concentration and,
88 consequently, NO bioavailability(132, 133). Inorganic nitrate is found in relatively
89 high concentrations, approximately 250mg per 100g, in green leafy vegetables such
90 as kale, cabbage, lettuce, rocket, spinach, and beetroot(95). It is important to note
91 that the exact NO_3^- content of these vegetable sources can vary depending on
92 growth environment, geographical location and how they are treated(194).

93 Oral supplementation with inorganic nitrate works in a two-step process
94 (Figure 1) whereby following consumption, nitrate is rapidly absorbed in the small
95 intestine and enters circulation. While a majority (~75%) is subsequently excreted by
96 the kidneys, approximately 25% becomes highly concentrated in the salivary glands
97 (up to 10 times the plasma concentration)(211). When this nitrate is released from
98 the salivary glands, commensal oral bacterial on the dorsal surface of the tongue
99 reduces nitrate to nitrite(60). The nitrite is then swallowed and absorbed into
100 circulation via the intestinal tract(23, 135). Due to this two-pass process, plasma

101 nitrite concentrations take approximately 2.5 to 3 hours to reach maximal levels
102 (~200 to 400nM), following a single dose of inorganic nitrate. The half-life of nitrite
103 appears to be approximately 6 hours(100, 143, 146, 233, 244). Chronic nitrate
104 supplementation can maintain elevated nitrite levels continuously and helps to avoid
105 the short-lived bolus effects of direct oral nitrite administration (228, 245).

106 The circulating plasma nitrite can then undergo one-electron reduction to NO
107 by numerous nitrite-reductases including deoxyhemoglobin(54),
108 deoxymyoglobin(204), mitochondrial enzymes(157) and chemical acidification(249).
109 In this way, inorganic nitrate acts as a targeted supplement, whereby the resulting
110 nitrite is reduced to NO in tissues with a low partial pressure of oxygen (PO₂) which
111 may facilitate better overall distribution of the available blood flow and allow for
112 greater oxygen extraction in those with cardiovascular disease (CVD) but also during
113 exercise stress.

114

115 Inorganic Nitrate Supplementation in Cardiovascular Disease

116 Several pharmacological agents for CVD enhance NO signalling either via
117 increasing bioavailability or inhibiting NO breakdown. The most obvious of these is
118 organic nitrate (eg. glyceryl trinitrate) which acts via the rapid release of NO causing
119 nonspecific arterial and venodilation and is subject to the development of tolerance.
120 Another type is phosphodiesterase-5-inhibitors which are used in patients with
121 erectile dysfunction and pulmonary hypertension(72). In addition, HMG-CoA
122 reductase inhibitors (statins) and angiotensin-converting enzyme inhibitors/receptor
123 blockers indirectly increase NO bioavailability(162).

124 Currently several countries recommend dietary interventions high in inorganic
125 nitrate for patients with cardiovascular conditions. For example, the Dietary

126 Approaches to Stop Hypertension (DASH) dietary pattern(10, 192), which
127 emphasizes fruits, vegetables and low-fat dairy foods, and includes whole grains,
128 poultry, fish, and nuts can potentially contain up to 20mmol of inorganic nitrate per
129 day(95). It is recommended by The National Heart, Lung and Blood Institute(196),
130 The American Heart Association(11), the American Diabetes Association(21), and
131 the Dietary Guidelines for Americans(227). High dietary inorganic nitrate intake has
132 been shown to decrease blood pressure(71, 112), and lower the risk for heart
133 disease(108) and stroke(107).

134 The most consistent applied clinical outcome from increased oral inorganic
135 nitrate intake is a reduction in blood pressure. In 2006, 3 days of sodium nitrate
136 administration (0.1mmol/day) was shown to reduce diastolic blood pressure (DBP)
137 by 3.7mmHg in healthy volunteers(128). In 2008, Webb et al., demonstrated an
138 acute dose of 22.5mmol inorganic nitrate via beetroot juice (500ml) reduced systolic
139 blood pressure (SBP) and DBP by \approx 10 and 8mmHg respectively(233). Furthermore,
140 the drop in blood pressure was correlated to plasma nitrite concentrations and both
141 changes could be abolished by interruption of the enterosalivary conversion of nitrate
142 to nitrite. Since this study, similar benefits have been observed in studies of patients
143 with hypertension(73). A double-blind placebo controlled study where 68 patients
144 were given a 6mmol dose of inorganic nitrate, via 250ml beetroot juice, for 4 weeks,
145 demonstrated significant reductions in clinic measured (\approx 8/2.5mmHg), 24-hour
146 ambulatory (\approx 8/5mmHg), and home measured (\approx 8/4mmHg) blood pressures(112).
147 These reductions are clinically significant when it is considered that a 1mmHg
148 increase in SBP is estimated to increase cerebrovascular incident mortality by 2%
149 and a 1 mmHg increase in DBP may increase stroke mortality by 3%(162, 165).

150 Other documented benefits for CVD include increased endothelial function,
151 (86, 126), reduced tissue loss following an myocardial infarction(38, 209) reduced
152 platelet aggregation(184, 233), and attenuation of pulmonary hypertension(96).
153 Recently, Bondonno et al.(25), showed that, after adjusting for other cardiovascular
154 risk factors and lifestyle components, a higher dietary vegetable nitrate intake over a
155 period of 14 years was associated with a lower carotid artery intimal-medial
156 thickness and a lower risk of an ischemic cerebrovascular disease events in 1226
157 elderly women. Excellent reviews of other benefits of increased dietary inorganic
158 nitrate supplementation for cardiovascular and metabolic health have been published
159 previously(162, 181, 234).

160

161 Inorganic Oral Nitrate Supplementation and Exercise

162 During resting conditions, peripheral skeletal muscle tissues are usually
163 adequately perfused, however, during exercise stress the increased metabolic
164 demands of skeletal muscles can outstrip the ability to supply blood flow and oxygen
165 causing a decline in pH and inter-myocyte and microvascular oxygen tensions(67,
166 185, 219). Given that nitrite is reduced in low oxygen and acidic conditions, this
167 environment may be ideal to liberate NO and contribute to optimal matching of
168 perfusion to metabolic demands.

169 In support of this theory, intravascular consumption of nitrite during physiological
170 stress in humans was first reported by Gladwin et al., in 2000. They showed artery
171 to venous nitrite gradients in the forearm of healthy subjects during L-NMMA infusion
172 coupled with handgrip exercise(75). Similarly, our data in subjects with peripheral
173 arterial disease (PAD) and documented endothelial dysfunction showed a net loss of
174 plasma nitrite stores following maximal exercise stress. This was in comparison to

175 healthier counterparts with a functioning endothelium(3, 7).These studies allow us to
176 speculate that, in the setting of a depleted or inhibited endogenous source of
177 vascular NO during exercise-induced tissue ischemia, there is the potential for
178 significant decrease in the circulating nitrite/NO pool, potentially in an attempt to
179 normalize blood flow and oxygen delivery to hypoxic tissues.

180 In addition to increasing tissue perfusion, NO has been shown to have a
181 variety of potential physiological benefits in exercising skeletal muscle beds (as
182 outlined below) which may contribute to increasing exercise performance. They also
183 suggest the ergogenic benefit of consuming inorganic nitrate may be optimal under
184 conditions where the cardiorespiratory and musculoskeletal systems are close to or
185 exceed their maximal capacity to transport oxygen from the lungs to the working
186 myocyte.

187 In this review, we will outline the evidence for inorganic nitrate
188 supplementation as an ergogenic aid and summarise the factors that appear to limit
189 or maximize its effectiveness. We will present evidence that suggests inorganic
190 nitrate supplementation offers a greater opportunity as a therapeutic intervention to
191 partially restore exercise tolerance and improve quality of life in patients with
192 cardiovascular diseases than as an ergogenic aid in healthy populations.

193

194 Inorganic Nitrate Supplementation and Exercise Performance in Healthy Subjects

195 The main physiological parameters during exercise that are documented to be
196 influenced by inorganic nitrate supplementation include mitochondrial function(110,
197 148, 204), skeletal muscle contractile efficiency(18, 48, 81), and tissue
198 perfusion/oxygen delivery(19, 66, 67, 113, 141).

199

200 a) Changes in Mitochondrial Function

201 A period of intense interest in the role of dietary inorganic nitrate as a potential
202 ergogenic aid was initiated in 2007 by Larsen and colleagues' discovery that 3 days
203 of dietary sodium nitrate supplementation resulted in a reduction in oxygen cost
204 during submaximal cycling(130). These changes were observed following a
205 relatively small dose of nitrate (0.1mmol kg^{-1} bodyweight day⁻¹) likened to that which
206 is readily available from everyday dietary sources (~150-250g of green leafy
207 vegetables)(132).

208 Prior to Larsen's discovery, the prevailing dogma was that oxygen cost
209 (ml/kg/min) during sub-maximal exercise at a particular workload was fixed, with
210 responses being almost identical within and between subjects(174). While it was
211 understood that individuals with a period of training could become mechanically
212 more efficient, the subjects in Larsen's study had no differences in training status,
213 heart rate, or blood lactate between tests. They appeared to have become more
214 efficient via changes in mitochondrial function.

215 In a follow-up study, the group investigated the effects of nitrate
216 supplementation on maximal aerobic exercise capacity ($\text{VO}_{2\text{max}}$) during combined
217 upper and lower body exercise. The results showed that nitrate supplementation
218 resulted in a lower $\text{VO}_{2\text{max}}$ but an increased time to exhaustion(129). This occurred
219 without changes in anaerobic energy consumption (measured by maximal
220 ventilation), respiratory exchange ratio, blood lactate levels, or heart rate. They
221 suggested that this may be due to not only improved muscular efficiency but a
222 corresponding reduction in mitochondrial proton leakage(129). Further elucidating
223 the potential mechanisms of dietary nitrate on exercise economy, Larsen showed

224 that reductions in whole body VO_2 occurred simultaneously with increased oxidative
225 phosphorylation efficiency(127).

226 Others have shown that nitrite and NO signalling can affect mitochondrial
227 function at several key steps in order to potentially match respiration to oxygen
228 availability(22, 204-206). For example, during low oxygen conditions, nitrite has
229 been shown to inhibit Complex I (NADH Coenzyme Q oxidoreductase) by S-
230 nitrosylation leading to decreased mitochondrial reactive oxygen species (ROS)
231 generation. Similarly, the reduction of nitrite to NO (potentially via deoxymyoglobin
232 or xanthine oxidase) has been shown to specifically and reversibly inhibit
233 cytochrome oxidase (complex IV)(34). In addition, peroxynitrite (ONOO^-) may inhibit
234 multiple respiratory complexes under specific conditions(34). When oxygen
235 availability is restored, these inhibitory mechanisms are reversed (NO is oxidized to
236 nitrite) to resume ATP production, while inhibition of complex I is prolonged to limit
237 ROS production(206). These mechanisms have also been implicated in nitrite
238 mediated cytoprotection following ischemia/reperfusion injury(87, 206, 232).
239 Interestingly, studies that have employed an NO-blockade approach to measure its
240 effects on changes in skeletal muscle mitochondrial function and oxygen uptake in
241 humans have been mainly negative(195). This may be due to multiple integrated or
242 redundant mechanisms employed in intact model physiology(226) or potentially
243 multiple nitration and nitrosylation signalling pathways initiated by exogenous
244 administration of NO species (as described above). It may even be a function of the
245 technology used to take measurements. Recently Heinonen et al.(84), using positron
246 emission tomography and radiolabelled water, showed that NO blockade enhanced
247 resting oxygen uptake and when combined with cyclooxygenase (COX) inhibition
248 muscle oxygen uptake also increased during exercise.

249

250 b) Changes in Skeletal muscle contractile efficiency

251 A second major area in which inorganic nitrate supplementation may increase
252 exercise performance is via changes in neuromuscular contractile efficiency. In
253 2010, Bailey et al. demonstrated a reduced oxygen cost of exercise following dietary
254 nitrate, which they attributed to a reduced ATP turnover in the contracting myocytes
255 which can influence the stimulus for oxidative metabolism. Similarly, the sparing of
256 PCr was associated with improved exercise tolerance in high intensity exercise(18).
257 Others have shown increased maximal knee extensor speed and power in voluntary
258 (48, 49, 187, 236) and stimulated muscle contractions(81). These benefits have
259 been attributed to increases in NO led activation of sGC, cGMP and subsequent
260 phosphorylation of myosin(139), although others showed no changes in redox status
261 and calcium handling proteins(236).

262

263 c) Changes in Skeletal muscle tissue perfusion/oxygen delivery

264 A third major mechanism of action of inorganic nitrate supplementation is
265 improving skeletal muscle tissue perfusion. Oxygen supply to myocytes is a balance
266 between blood flow delivery and oxygen extraction. It is essential that perfusion is
267 optimised to the muscle fibers that are actively contracting. Microvascular PO_2
268 represents the dynamic balance between oxygen supply and myocyte consumption.
269 An increase in PO_2 suggests enhanced blood flow (supply) and potentially increased
270 mitochondrial and contractile efficiency during exercise.

271 Infusion of the vasodilator ATP into the leg at near-maximal intensities of
272 exercise has been shown to increase vascular conductance but not limb VO_2 (37).

273 This suggests a concomitant decrease in arterial-venous oxygen extraction which

274 may be caused by some of the increased blood flow directed to less-active fibers
275 (that may normally be under a vasoconstrictive influence)(85). Given that nitrite is
276 reduced to liberate NO in low oxygen and acidic conditions, this system may
277 contribute to optimal matching of perfusion to metabolic demands and allow for
278 greater oxygen extraction.

279 Neuronal-NOS (nNOS) is located beneath the sarcolemma of skeletal muscle
280 fibers and is associated with the dystrophin-g1 ycoprotein complex. It has been
281 suggested that the greater distribution of nNOS to type II fibers(177) may play a role
282 in the differential fiber type responses. When healthy skeletal muscle is exercised
283 nNOS μ -derived NO attenuates α -adrenergic vasoconstriction, thus optimizing
284 perfusion(220). During high intensity exercise in rats, there are reductions in blood
285 flow and vascular conductance and the greatest occur in type II fibers. However, no
286 changes were observed during low-intensity running(52). Humans with Becker
287 muscular dystrophy lack sarcolemma nNOS, and have been shown to have
288 functional muscle ischemia which was relieved by a single dose of oral sodium
289 nitrate. There was no effect on healthy controls(156). In addition, the lower levels of
290 antioxidant enzymes in type II muscle fibers in comparison to type I fibers(102)
291 suggest that during high intensity activity, exogenous NO bioavailability within the
292 muscle may also benefit NO-mediated calcium signalling and mitochondrial function
293 as outlined above.

294 In support of these ideas, in animal models, dietary inorganic nitrite
295 supplementation (via beetroot juice) increased exercise skeletal muscle blood flow
296 predominantly to type II fibers(65). Subsequent studies by the same group showed
297 nitrate supplementation increased the microvascular and myocyte PO₂ only in type
298 IIx/d fibers compared to control(67). In humans, the data is less clear. A recent

299 study employing NOS-inhibition and PET scanning, failed to show differences in
300 blood flow between the different muscles that make up the quadriceps femoris;
301 vastus intermedius (VI), rectus femoris (RF), vastus medialis (VM), and vastus
302 lateralis (VL)(83). Similarly, Breese et al., using near infra-red spectroscopy saw no
303 differences in the spatial variance of absolute deoxyhemoglobin+myoglobin kinetics
304 across the RF, VL and VM muscles following the onset of heavy step cycling(32).

305 Differences between these human results and those of rat studies are likely
306 attributable to the fact that only one exercise intensity was used and that human
307 muscles have less spatial stratification of muscle fibre types than rodents. Future
308 human studies may be best served by utilizing several different intensities of
309 workload and investigating the musculature of the calf, which has more distinct fibre
310 types in its muscle parts.

311 A further physiological mechanism to suggest benefits from dietary inorganic
312 nitrate on fast twitch skeletal muscle fibers is increases in contractile force. While
313 the process is not currently fully elucidated, it is clear NO plays a role in skeletal
314 muscle calcium flux via S-nitrosylation of ryanodine receptor Ca_2^+ release channels
315 in the sarcoplasmic reticulum membrane and that this occurs only at low
316 physiological PO_2 levels. Following 7 days of inorganic nitrate supplementation in
317 rats, Hernandez et al.(89), showed an increased rate of muscle force development in
318 the predominantly fast twitch extensor digitorum longus muscle (but not the
319 predominantly slow twitch soleus). This was accompanied by changes in protein
320 concentrations of the voltage-sensing dihydropyridine receptor (voltage sensor for
321 excitation coupling located in the transverse tubular membrane) and the calcium
322 handling protein calsequestrin 1, found in sarcoplasmic reticulum of fast-twitch
323 fibers. In humans, however, despite improvements in skeletal muscle contractile

324 function, there were no changes in calcium handling proteins(236). The muscle
325 samples taken in this study were from the vastus lateralis, which is estimated to be
326 composed of ~50% type I and ~50% type II fibres and may have contributed to a
327 dilution of potential differences.

328 In terms of human exercise performance, the preferential effects of dietary
329 inorganic nitrate on fast twitch muscle fibers suggests ergogenic effects may be most
330 evident in activities of high intensity and short duration, such as sprint or interval
331 training. During these short, high-intensity efforts (at greater than 75% VO_{2Max}) there
332 is an increased activation of type II muscle fibers(231). Bailey et al., showed that
333 short-term beetroot juice supplementation can increase muscle oxygenation,
334 expedite the adjustment of oxidative metabolism, and enhance exercise tolerance in
335 healthy recreationally active subjects when cycling at high-intensities(19, 20). There
336 are also several examples that support the fiber-type specific responses in relation to
337 better tissue muscular power/force generation in repeated sprint activities and team
338 sports(15, 48, 81, 175, 187, 242, 243). Following an acute dose of inorganic nitrate
339 supplementation (~11.1mmol) collegiate athletes were able to increase their
340 maximum power output (pre-nitrate: $1160 \pm 301W$ post-nitrate: 1229 ± 317
341 $=W$)(187). In 2016, Porcelli et al.(175), also showed that following 6 days of a high
342 nitrate diet (~8.2mmol/day) in healthy males (VO_{2max} 41.2 ± 4.7 ml/kg⁻¹/min⁻¹) there
343 was a significant improvement in peak power during repeated sprint ability test in the
344 final 3 of 5 bouts when compared to a control diet. Improvements in mean power
345 during repeated sprints have also been demonstrated in team sport athletes (VO_{2max}
346 58 ± 8 ml/kg⁻¹/min⁻¹) in short duration intervals 24 x 6s with short recovery, but not
347 long 7 x 30s and 6 x 60s with an extended recovery(242).

348 Recently, Thompson et al.(222), sought to exploit the enhanced conversion of
349 nitrite to NO in low oxygen conditions by combining sprint interval training with nitrate
350 supplementation. They reported an increase in proportion of type I and type IIa
351 muscle fibers (Pre:93 ± 8%, Post: 96 ± 6%), highlighting the potential of nitrate to
352 influence training adaptations in a positive oxidative fiber-type switching manner.
353 Roberts et al.(189), generated similar findings using an in vitro model, whereby
354 nitrate increased the proportion of type I and IIa oxidative fibers. They also found in
355 animals and humans that both nitrate and exercise training can stimulate PGC1α-
356 mediated, γ-aminobutyric acid secretion from the muscle.

357

358 Administration and Variability of Inorganic Nitrate Supplementation

359 The use of inorganic nitrate supplementation to increase the bioavailability of
360 NO in exercise studies has been achieved mainly through the use of concentrated
361 beetroot juice (approximately 3/4 of studies)(144) . This supplementation allows for
362 easy oral administration and a controlled dosage. To date the results of these
363 studies have been mixed. While some studies focused on submaximal exercise
364 variables as the primary outcome, including both acute and chronic supplementation
365 regimens, have shown positive effects (20, 43, 125, 130, 152, 176, 223, 228, 245)
366 many have also shown no significant benefit (25, 31, 115, 193). Similarly, in studies
367 employing incremental exercise tests or time trial approaches (which require
368 maximal efforts) the results are similarly mixed between positive effects (20, 43, 124,
369 125, 129, 168), and no significant benefit (24, 25, 45, 152, 167, 193, 238). Excellent
370 reviews detailing the specifics of individual studies in detail have been published
371 previously (17, 104, 105).

372 The reasons for divergent findings are not entirely clear, but it is evident that
373 numerous factors may influence and regulate physiological responses to inorganic
374 dietary nitrate. For example, several studies have shown that the extent of the
375 increase in plasma nitrite correlates with improvements in parameters of exercise
376 tolerance and performance(221, 238, 244). This suggests that factors which
377 optimise conversion of an oral inorganic nitrate dose may be important.

378 As outlined earlier in this text, the function of oral commensal bacteria has
379 been shown to be essential for conversion of nitrate to nitrite. This process occurs
380 through the utilization of nitrate as a terminal respiratory electron acceptor by
381 bacteria under anaerobic conditions. Oral nitrate reduction appears to occur mainly
382 on the dorsal surface of the tongue and is predominantly mediated via two broad
383 categories of bacteria; the strict anaerobes *Veillonella spp*, and the facultative
384 anaerobes *Actinomyces spp*(58). In a subsequent study, which combined
385 metagenomics and biochemical techniques, *Veillonella* was again the most abundant
386 nitrate-reducing genus detected though *Prevotella*, *Neisseria*, and *Haemophilus*
387 were found at a higher abundance than *Actinomyces*(97) Other bacteria have also
388 been identified which may play supporting or inhibiting roles in these processes. The
389 current literature limits our ability to draw far-reaching conclusions about the
390 importance of the specific species and abundance of nitrate-reducing bacteria in the
391 oral cavity on the conversion of inorganic nitrate to plasma nitrite. However, studies
392 which have eradicated or inhibited these bacteria via the use of anti-septic and anti-
393 bacterial mouthwash treatments have been shown to reduce salivary and plasma
394 nitrite increases and lead to increases systemic blood pressure(77, 111, 240).

395 A second contributing factor in the variability of the plasma nitrite
396 concentration responses following oral inorganic nitrate supplementation involves

397 differences in the vehicle of administration, nitrate dosage and the number of days of
398 supplementation. A recent crossover study in 10 healthy males, showed that an
399 acute dosage of 4.2, 8.4 and 16.8mmol inorganic nitrate (via beetroot juice)
400 increased plasma nitrite in a dose-dependent manner with peak concentrations
401 occurring at approximately 2-3 hours post consumption(244). Interestingly, the
402 oxygen cost of moderate-intensity cycling was increased relative to dosage but there
403 was no additional benefit to severe-intensity cycle exercise above 8mmol. Peak
404 reductions in blood pressure also occurred at 8.4mmol dosage. This suggests a
405 threshold of at least ~8.4mmol may be required to realise exercise benefits.

406 Comparisons between acute versus chronic dosing of inorganic nitrate
407 suggest that chronic dosing (15 days) may help maintain exercise economy
408 benefits(228) but can potentially have a greater effect on peak power output and
409 time trial performance benefits(25, 228). A recent systematic review and meta-
410 analysis on endurance exercise performance showed a positive trend toward
411 improvements in time to exhaustion (TTE) when utilising chronic nitrate
412 supplementation(144). It has also been reported that longer-term nitrate
413 supplementation (5-7 days) can result in changes in mitochondrial(127) and
414 contractile(89) proteins that would be expected to enhance skeletal muscle
415 metabolic and mechanical efficiency. It would seem unlikely that these changes
416 could be fully effected within a few hours of nitrate ingestion and therefore the
417 duration of nitrate supplementation is likely to introduce variability into the potential
418 efficacy of nitrate on the physiological responses to exercise. Overall, these findings
419 suggest at least 5 days of supplementation may be optimal to realise exercise
420 benefits.

421 A third contributor to outcome variability is the training status or fitness level of
422 an individual (40, 106, 176). Among well trained subjects, there appears to be a lack
423 of effect of nitrate supplementation (acute or chronic) on exercise performance and
424 efficiency(25, 45, 123, 167, 238). Porcelli et al.,(176), found that 6 days sodium
425 nitrate supplementation (~5.5mmol) resulted in a reduction in oxygen cost during
426 sub-maximal exercise and improved 3km running time trial in individuals with low
427 fitness level (VO_{2max} : ~38 mL/min/kg) but not a high fitness level (VO_{2max} : ~72
428 mL/min/kg). There was a strong correlation between changes in plasma nitrite and
429 changes in exercise performance. Carriker et al.(40), found similar results when
430 they compared the effects of 4 days of nitrate supplementation (~6.2mmol/day) on
431 treadmill running at intensities of 45, 60, 70, 80, and 85% VO_{2max} . Low fitness
432 individuals (VO_{2max} : 42.4 ± 3.2 mL/min/kg) showed a reduction in oxygen cost at
433 intensities of 45 and 60% of maximal, but there was no difference for the high fitness
434 subjects (VO_{2max} : 60.1 ± 4.6 mL/min/kg). The reasons for the potential
435 ineffectiveness of inorganic nitrate supplementation in athletes could be several-fold.
436 Perhaps they have specialized diets that already contain high levels of nitrate(123) .
437 There may also be a high inter-subject variability in the conversion of nitrate to nitrite,
438 or nitrite to various NO-signalling species. Another possibility is that eNOS activity is
439 already maximized in athletes and endothelial NO production is strongly associated
440 with exercise performance(180, 224).

441 In summary, the response to dietary nitrate supplementation on exercise
442 parameters appears to be highly variable both between studies and between
443 individual participants. The majority of the studies undertaken have small sample
444 sizes ($n < 15$), which may be a contributing factor to the sometimes-conflicting results.

445 Further studies are required with a focus on the sources and mechanisms by which
446 this variability occurs and how it can be minimized.

447 Currently, it appears that nitrate supplementation in individuals of a high
448 training status results in minimal positive benefits. Additionally, nitrate
449 supplementation appears to have the greatest chance of benefit when given for a
450 prolonged period of time (>5 days) at a dosage above 8mmol per day and the
451 exercise is of a high intensity (relative to the individual), that relies predominantly on
452 type II muscle fiber activation. These conditions may best lead to adequate plasma
453 (and potentially tissue) nitrite concentrations coupled with low PO₂ and high H⁺
454 concentrations in the skeletal muscle, creating an ideal environment for the reduction
455 of nitrite to NO. The effects of inorganic nitrate supplementation on long term
456 training adaptations as part of a chronic exercise regimen is currently not known.

457

458 Inorganic Nitrate Supplementation and Exercise in Hypoxia

459 Given the reduction of nitrite to NO in hypoxic and acidic conditions, an
460 innovative way to test the ergogenic effects of inorganic nitrate supplementation is by
461 a reduction in the pulmonary oxygen supply. Interest in this area was stimulated by
462 studies of humans indigenous to high-altitude environments. In 2007, Erzurum et al.
463 (63), showed that native Tibetans who reside at 4,200m, offset physiological hypoxia
464 and achieve normal tissue oxygen delivery by means of higher blood flow, enabled
465 by higher levels of bioactive forms of NO. The authors suggested this was due to
466 increased eNOS production, which has been shown to be impaired with increasing
467 altitude in native lowlanders (59). Interestingly, circulating nitrogen species,
468 including nitrate and nitrite, seem to increase as part of the altitude acclimatization
469 process and those individuals with the highest levels of S-nitrosohemoglobin were

470 able to walk the furthest in a six-minute walk test(101). Subsequent studies then
471 confirmed that dietary nitrate supplementation may hold promise as a prophylactic
472 for acute altitude sickness(88).

473 In a laboratory setting, several studies have shown that dietary nitrate has the
474 potential to minimize the ergolytic effect of hypoxia on exercise capacity(115, 141,
475 151, 229). In 2011, Vanhatalo et al.(229), demonstrated that an acute dose of
476 dietary nitrate via beetroot juice (~9.3mmol) during the 24hour run up to testing
477 improved time to exhaustion during maximal knee-extension exercise by ~21% while
478 breathing reduced oxygen air (FiO₂ 14.5%). These improvements were attributed to
479 reduced muscle perturbations related to fatigue. At lower oxygen conditions (FiO₂
480 11%), Masschelein et al. showed that a chronic dose of beetroot juice (6 days ~5
481 mmol/day nitrate) improved exercise efficiency via lower VO₂ uptake during
482 submaximal exercise (~45% VO_{2peak}) and increased overall exercise tolerance(141).
483 This and a second recent study suggest improvements in skeletal muscle tissue
484 oxygenation, measured via near-infrared spectroscopy, may be mediators of this
485 benefit(141, 198). In more applied conditions, acute beetroot juice supplementation
486 (~5mmol nitrate) reduced submaximal VO₂ and improved 16km cycle race time when
487 performed breathing FiO₂ of 15%(151).

488 Interestingly, similar to the data in normoxia, nitrate supplementation appears
489 to be less effective for increasing exercise efficiency or performance in hypoxic
490 conditions when ingested by well-trained athletes(13, 28, 136). For example, in well-
491 trained individuals (VO_{2max}>65ml/kg/min) there were no changes in exercise
492 economy or endurance in a simulated 10km cycling time trial following a single
493 ~6.5mmol dose (beetroot juice) 2 hours before testing at FiO₂~15%(136). Similarly,
494 despite having a longer supplementation period (3 days ~7mmol/day oral sodium

495 nitrate) there were no improvements in time to completion of a 15km cycle time trial
496 at $FiO_2 \sim 11\%$ of the inspired air(28).

497 Overall, in low oxygen conditions, such as at altitude, inorganic nitrite
498 supplementation appears to hold promise as prophylactic. In fact, it has even been
499 suggested that hypoxic conditions may be optimal to reveal ergogenic benefits of
500 dietary nitrate supplementation(115). However, nitrate's role in short term hypoxic
501 exposures in highly trained athletes appears limited. This suggests nitrate
502 supplementation is most effective in conditions of low tissue oxygenation when
503 coupled with dysfunctional cellular metabolism, such as what is seen in patients with
504 chronic cardiovascular disease.

505

506 Cardiovascular Disease and Exercise

507 Patients with CVD usually experience significant levels of disability due to a
508 reduction in exercise capacity and a loss of physical function. This results in a lower
509 quality of life and increased morbidity and mortality. In many populations with CVD,
510 despite differences in disease aetiologies, exercise capacity, in the form VO_{2peak} , is a
511 strong independent predictor of survival(158). For example, patients with PAD are
512 primarily limited by leg claudication pain whereas those with chronic heart failure
513 (CHF) suffer from dyspnoea and fatigue. In both cases, the end result is 30-55%
514 lower VO_{2peak} than their healthy counterparts(14, 82).

515 Conversely, even modest improvements in exercise tolerance have been
516 shown to lower all cause-mortality and morbidity in these individuals. For example, a
517 ~6% improvement in VO_{2peak} reduced all-cause morbidity and mortality in CHF by
518 5%(53, 217). Additionally, data from a widely used six-minute walk test, which may

519 better represent a measure daily function(142), shows that an improvement of just
520 45 meters is deemed to be a clinically meaningful change in patients with CHF(207).

521 The relationship between exercise capacity and physical function and health
522 outcomes has led to a plethora of exercise based studies in clinical CVD
523 populations. However, the burden of exercise participation for individuals with CVD
524 may be increased due to numerous peripheral tissue maladaptations borne of
525 chronic under-perfusion and underuse. Peripheral tissue abnormalities common to
526 multiple chronic CVD disease states are shown in figure 2 and include endothelial
527 dysfunction/reduced NO bioavailability(199, 201), capillary density rarefaction(14,
528 119, 188), and skeletal muscle hypo-perfusion(78, 216), increased reactive oxygen
529 species(1, 191, 237) and inflammation(109), increased insulin resistance,
530 mitochondrial dysfunction(190), reduced aerobic enzyme activity(215), and a
531 preferential loss of type I oxidative fibers(119). Overall this results in patients
532 exhibiting a glycolytic phenotype which, in addition to any central cardiovascular
533 limitations, promotes the early onset of fatigue and exercise intolerance. In turn, this
534 may contribute to an increased burden of exercise participation for these individuals,
535 ultimately leading to higher recidivism rates in training regimens.

536 Inorganic nitrate supplementation has been shown to play a key role in
537 exercise capacity in numerous studies in healthy subjects (as previously illustrated).
538 The intent in this cohort is to use nitrate supplementation as an “ergogenic” to
539 augment “normal” levels of bioavailable NO in exercising tissues in order to enhance
540 physical performance, stamina or recovery. Supplementation within the clinical
541 cohort, however, takes a “therapeutic” approach with the aim of restoring deficient
542 NO bioavailability, correcting physiological dysfunctions, and recovering exercise
543 capacity/performance and health.

544 In this section, we will build on the data presented in healthy supplementation
545 studies and focus on known physiological maladaptations that reduce exercise
546 tolerance in individuals with PAD, CHF, and Type II Diabetes Mellitus (T2DM). We
547 will highlight the potential mechanisms by which inorganic nitrate consumption, and
548 the associated increase in circulating nitrite and NO bioavailability, may act as a
549 therapeutic to attenuate these dysfunctions and increase exercise tolerance.

550

551 Inorganic Nitrate Supplementation and Exercise Performance in Peripheral Arterial 552 Disease

553 Peripheral artery disease is caused by atherosclerotic plaque formation in the
554 large arteries of the legs, resulting in reduced blood flow to the lower extremities(9).
555 It is estimated that the worldwide prevalence of PAD has increased by 23.5% in the
556 last decade and now affects 202 million people(68). Intermittent claudication (IC) is
557 the major clinical manifestation of PAD and occurs when arterial occlusive disease
558 reduces blood flow to the peripheral vasculature during exercise. Among subjects
559 with intermittent claudication from PAD, 1/3rd have pain during light activity at home
560 and an additional 1/3rd have pain walking a short distance (one block)(91). These
561 patients suffer from a markedly impaired quality of life and a high perception of
562 disability(161). Increased pain free walking capacity is a primary goal of therapy for
563 patients with PAD.

564 Although measures of conduit vessel and gross limb blood flow, such as ankle
565 brachial systolic blood pressure index (ABI), are used to diagnose PAD, they show a
566 poor relationship with functional capacity(29, 92, 93, 138, 171, 248). Additionally,
567 surgical revascularization, which improves blood flow, does not normalize exercise

568 performance(183) and conversely exercise performance can be increased without
569 changes in conduit vessel hemodynamics(153, 154, 210).

570 It appears that the key to increasing functionality in patients with IC may lie at
571 the resistance arteries, arterioles and capillaries that serve the skeletal muscle tissue
572 distal to the site of stenosis. These are the vessels which are responsible for much
573 of the oxygen delivery(225) and become hypoxic during the increased demands for
574 perfusion accompanying physical exertion. Therefore, inorganic nitrate
575 supplementation may be a novel intervention to improve oxygenation to these areas
576 of skeletal muscle ischemia and increase physical function. This would be a
577 significant step forward in the treatment of PAD.

578 In 2010, our group(7) demonstrated increases in time to claudication onset
579 pain (66%) and peak walking time (52%) in subjects with PAD following three
580 months of supervised exercise training. The strongest independent predictor of
581 these changes was the ability to increase plasma nitrite concentrations during
582 maximal exercise, which was most likely as a result of an increase in endothelial NO
583 production. In a follow-up repeated measures crossover study, we orally
584 administered 500ml of beetroot juice containing 9mmol nitrate (compared to an
585 orange juice placebo) in 8 subjects (4 male, 4 female) age 67+13years with IC (ABI
586 in the incident leg of 0.64+0.2). The results of a maximal graded treadmill test
587 (Gardner protocol) showed an increase in average exercise time before the subject
588 reported the onset of claudication pain (COT) of 18% (32sec), and an increase in
589 maximal walking time of 17% (65sec) respectively(116). This is a clinically
590 meaningful and statistically significant increase for a disease state characterized by
591 reduced physical function and quality of life(170, 207). Additionally, there were no
592 changes in ABI or endothelial function, suggesting no increase in endogenous

593 vascular NO production. The increases in performance were accompanied by a
594 reduction in fractional oxygen extraction at the working tissues, measured by near
595 infra-red spectroscopy (NIRS) suggesting increased perfusion to working tissues.

596 Currently, there are two clinical trials listed as in progress on clinical trials.gov
597 investigating the effects supplementation of either beetroot juice (NCT02553733) or
598 Neo 40 (a tablet containing beetroot powder, L-citrulline and sodium nitrite)
599 (NCT02934438) on walking performance in PAD, but there are no other results that
600 we are aware of at the time of submission.

601 Studies in animal models of PAD are also promising with a dose dependent
602 relationship between nitrite dose (via intraperitoneal injection twice daily for 7 days)
603 and improved tissue perfusion via angiogenesis in a murine model with permanent
604 femoral artery ligation of the hind limb(121). Co-administration of the NO scavenger
605 carboxy-PTIO with the nitrite completely abrogated the increase in perfusion
606 suggesting the mechanism of effect is NO mediated.

607 While it is premature to speculate on overall clinical utility of a nitrate based
608 therapy for peripheral artery disease, the early data appears encouraging. Additional
609 large clinical trials and basic science studies are required to determine important
610 molecular mediators conveying beneficial effects of nitrite therapy during specific
611 disease states.

612

613 Inorganic Nitrate Supplementation and Exercise Performance in Chronic Heart

614 Failure

615 Chronic heart failure is characterised by the inability of the heart to pump
616 sufficient blood to meet the body's metabolic needs. It affects approximately 23
617 million people worldwide with a direct cost of \$36 billion per year in the U.S.

618 alone(131). While there are unique aetiologies associated with the development of
619 CHF, the hallmark symptom experienced by patients is exercise intolerance. In
620 comparison to healthy controls, patients with CHF have significantly lower VO_{2peak}
621 (~50% reduction) with accompanying reductions in cardiac output by 52-53%
622 during maximal exercise(57, 82, 214). As exercise capacity (and in particular
623 VO_{2peak}) is a strong independent predictor of mortality and morbidity in patients
624 with CHF, targeting this deficit is of clinical importance(12, 137). Endothelial
625 dysfunction and reduced NO bioavailability have been linked to both the initiation
626 and progression of CHF(140). More specifically, imbalances in the production and
627 utilization of NO contribute to the elevated cardiac filling pressures, symptoms of
628 dyspnoea, the severity of the disease, and the functional capacity of the
629 patient(145, 200)

630 It was historically assumed that this inability to augment cardiac output
631 during exercise (central dysfunction) was the main contributor to the exercise
632 intolerance experienced by patients with CHF(173). However, more recently,
633 maladaptation's within the peripheral tissues (secondary to the initial central
634 dysfunction) have been highlighted as crucial limiters in exercise capacity.
635 Chronic peripheral tissue under perfusion (due to reduced cardiac output) results
636 in capillary density rarefaction, decreased mitochondrial function and a preferential
637 loss of type I oxidative fibres, which cumulatively shift individuals with CHF to a
638 more glycolytic phenotype(47, 61, 172, 215, 216, 239). These conditions are ideal
639 for inorganic nitrate targeted therapeutics.

640 CHF is not a single uniform state, but rather a multifarious syndrome that
641 presents generally as one of two classifications depending on whether the patient
642 has a preserved ejection fraction (HFpEF) or a reduced ejection fraction

643 (HFrEF)(36). There are key etiological characteristics that differentiate the two
644 classes. HFrEF often has a sudden onset following a myocardial infarction
645 whereas patients with HFpEF are typically older, more commonly female, and
646 usually have multiple comorbidities associated with a slower onset(122). Patients
647 with HFrEF characteristically present with reduced cardiac output (Q) at rest and
648 during exercise. Patients with HFpEF usually have a normal resting Q but exhibit
649 increased left ventricular (LV) filling pressures which become pronounced under
650 stress(182), and are associated with exertional dyspnoea and reduced exercise
651 cardiac output (16, 57). Despite the heterogeneity of the two classes of CHF, the
652 growing body of literature suggests that nitrate supplementation remains
653 potentially efficacious in both syndromes.

654

655 a) HFpEF studies

656 Interestingly studies of inorganic nitrate supplementation in patients with
657 HFpEF have shown more positive outcomes than those in HFrEF(62, 246, 247).

658 There are two potential explanations for these findings. First, peripheral under-
659 perfusion and an inability to extract oxygen at the tissue level has been found to be
660 more significant in patients with HFpEF, as evidenced by significantly lower a-
661 vO_{2diff} during exercise than both HFrEF and controls(57). Second, a recent study
662 by Borlaug et al.(27) has demonstrated that a sodium nitrite infusion in patients
663 with HFpEF significantly reduced LV filling pressures during exercise. While the
664 focus of this review is on natural product supplementation, these mechanistic
665 benefits from nitrate/nitrite products lend promise to the use of similar more natural
666 options.

667 In 2015, Zamani et al.(246), used a single dose of beetroot juice (12.9mmol
668 nitrate), in 17 patients with HFpEF. They showed significant improvements in
669 VO_{2peak} and time to exhaustion (TTE) during a maximal exercise test. The authors
670 postulated that the beneficial changes in exercise capacity were due to an
671 accompanying decrease in systemic vascular resistance, thus reducing afterload and
672 increasing Q. Surprisingly, they showed no improvements to exercise efficiency,
673 suggesting nitrate may have differential effects on the mitochondrial function in
674 aging/diseased populations when compared to healthy individuals (as described
675 previously).

676 Similarly, in 2016, Eggebeen et al.(62), used beetroot juice to examine the
677 effects of both a single dose (6.1mmol) and 1 week dosing (6.1mmol/day) to
678 determine the effects of nitrate supplementation in HFpEF during a submaximal
679 cycling endurance exercise bout (at 75% of measure maximal power). They found no
680 significant benefits in exercise performance with acute supplementation, but the
681 chronic dosing elicited a 24% increase in TTE. Their data also suggested that the
682 improvements were likely due to decreases in systemic vascular resistance (SVR)
683 (62). To complement these findings, other mechanistic studies utilizing infusions or
684 nebulized inorganic sodium nitrite have demonstrated improvements in SVR (26,
685 27). Significantly, Borlaug et al.(27), noted that the improvements in cardiac function
686 following nitrite ingestion were actually more pronounced during exercise, again
687 supporting nitrite's preferential effects in low oxygen environments and its potential
688 utility as a targeted approach to treating HFpEF.

689 A second, more recent study by Zamani et al.(247), utilizing a high chronic
690 dose of potassium nitrate (6mmol/day for 1 week, increasing to 18mmol per day for
691 the second week) also found significant improvements in TTE as well as decreases

692 in CHF symptoms (via the Kansas City Cardiomyopathy Questionnaire). While they
693 did not assess muscle fibre composition or recruitment, the authors suggested that
694 the maximal exercise approach employed during testing may provide preferential
695 conditions to optimise the benefits of inorganic nitrate supplementation (hypoxia and
696 greater type II fibre recruitment).

697 In an effort to discover if nitrate supplementation may have an additive
698 beneficial effect on physical function when consumed in conjunction with exercise
699 training, Shaltout et al.(197), recently gave beetroot juice (6.1mmol nitrate) plus
700 exercise training for 3 days per week for 4 weeks versus exercise alone. While, as
701 expected, they saw significant improvements in aerobic capacity in both groups, the
702 nitrate did not have a significant additive benefit. However, given the sample size
703 was small for a study using an exercise comparison group (exercise alone elicits
704 relative large benefit), a short treatment period, and a low dosage regimen, this
705 additive approach may be worth of greater exploration.

706

707 b) HFrEF studies

708 Patients with HFrEF usually demonstrate reductions in Q at both rest and
709 during exercise(57, 64) and chronotropic incompetence (the inability to sufficiently
710 augment HR during exercise) substantially contributes to the reductions in VO_{2peak} .
711 Interestingly (and in contrast to HFpEF) peripheral oxygen extraction during
712 exercise ($a-VO_{2diff}$) appears to remain similar to that of healthy cohorts(35, 57).
713 However, they still demonstrate skeletal muscle abnormalities that contribute to
714 exercise intolerance(46, 118, 230). The potential therapeutic benefits of
715 nitrate/nitrite interventions were highlighted by a recent study in HFrEF rats. Glean
716 et al.(76), demonstrated that a single dose of sodium nitrate lead to significant

717 elevation (10%) in vascular conductance within the hind limb skeletal muscles.
718 Moreover, the hind limb skeletal muscles that showed increases in vascular
719 conductance and blood flow following dosing were primarily comprised of (63%)
720 type IIb + IIc/x fast twitch fibers. This further supports nitrate/nitrite's potential as
721 particularly effective intervention for those individuals known to be more type II
722 fiber dominant, as is the case for patients with CHF.

723 Unfortunately, to date there is only one study of exercise capacity in
724 individuals with HFrEF, following nitrate supplementation. In an elegantly designed
725 cross-over study, Hirai et al., found that 9 days of beetroot juice supplementation
726 (12.1mmol/day) did not result in any improvements in exercise tolerance (TTE or
727 VO_{2peak}). They also saw no significant changes in central hemodynamics, skeletal
728 muscle oxygenation, or the oxygen cost of exercise(94). The authors suggest the
729 negative findings could be due to the aforementioned relatively normal peripheral
730 oxygen extraction in comparison to HFpEF. However, future studies in this cohort
731 are warranted and should aim to optimize both the dosing amount and duration.
732 There has been a second study in patients with HFrEF but this examined isokinetic
733 knee extensor power in isolation(49). They showed that a single dose of inorganic
734 nitrate (11.2mmol) via beetroot juice, improved maximal power output by 13%, which
735 is much larger than the 6% increase observed in healthy controls following nitrate
736 supplementation. They proposed the response was mediated by NO's known
737 stimulation of guanyl cyclase which increases c-GMP levels. As activation of c-GMP
738 increases max power, especially in type II fibers, this type of intervention could be
739 particularly efficacious in CHF where the fast-twitch fibres are more readily recruited
740 (234).

741 It is clear that inorganic nitrate supplementation holds a good deal of promise
742 in patients with CHF. To date, results are predominantly in support of an exercise
743 benefit in patients with HFpEF, which is logical given our understanding of nitrates
744 mechanism of action in the peripheral tissues and the greater deficits in a-vO₂diff in
745 HFpEF. However, patients with HFrEF are currently understudied and as of yet
746 there is no direct comparison of HFpEF and HFrEF in the same study design to
747 provide an accurate assessment of any differential benefits of inorganic nitrate
748 supplementation between the two classifications.

749

750 Inorganic Nitrate Supplementation and Exercise Performance in Diabetes Mellitus

751 The incidence of diabetes mellitus has quadrupled since 1980, from 108 to
752 422 million people(241). Despite medical treatment diabetics die approximately 5-10
753 years earlier than non-diabetics, with approximately 50% of deaths being attributed
754 to cardiovascular disease(69, 150). Regular participation in physical activity (along
755 with diet and pharmacotherapy) is a cornerstone of the treatment forT2DM(51, 98).
756 Exercise has been shown to increase insulin sensitivity, glucose uptake, and reduce
757 cardiovascular morbidity. However, the burden of exercise participation for
758 individuals with T2DM appears to be increased due to several skeletal muscle tissue
759 maladaptations(78, 114, 159, 163, 164). The function of skeletal muscle is of
760 particular importance for individuals with T2DM given that it is responsible for
761 approximately 80% of whole body glucose uptake following hyperinsulinemia and
762 exercise(55). The increase in glucose uptake is correlated closely with increase in
763 blood flow (approx. nine-fold) in the exercising muscle(55).

764 Individuals with T2DM appear to have several defects in NO production and
765 transport that could contribute to exercise intolerance and to a decline in
766 cardiovascular health. One study showed that impaired endothelial production of NO

767 during acute exercise stress in subjects with T2DM was the strongest predictor of
768 exercise intolerance, in a multivariate regression model(3). The ability to conserve
769 and transport NO via the plasma and red blood cells (RBC) (as described in an
770 earlier section) may be dysfunctional in individuals with diabetes(99, 147, 212). One
771 mechanism outlined for this deficiency is the preferential binding of NO to
772 glycosylated RBC's and decrease in disassociation with changes in PO₂. Ultimately
773 this results in decreased NO bioavailability in the microvasculature as well as
774 reductions in NO and O₂ delivery to peripheral tissues. Furthermore, individuals with
775 T2DM have a number of other pathologies that may cause inactivation of NO, for
776 instance, an increase in superoxide production which interacts with NO to produce
777 peroxynitrite(80, 149).

778 Compared to patients with PAD, those with concomitant T2DM failed to
779 increase endogenous vascular NO production and exercise capacity following 3
780 months of supervised exercise training(8). This suggests the possibility that they are
781 less able to increase endogenous endothelial NO production which may be reflected
782 in reduced plasma nitrite concentration following exercise and reduced hyperaemic
783 response following ischemic stimuli(5, 120).

784 Patients with T2DM present several potential therapeutic opportunities for
785 dietary nitrate supplementation to improve their metabolic and cardiovascular health.
786 In animal models, it has been demonstrated that NO bioavailability influences
787 several aspects of glucose-insulin homeostasis including regulation of mitochondrial
788 function, insulin secretion, glucose uptake and blood flow(39, 90, 103, 160, 169).
789 The seminal work by Carlstrom and colleagues(39), demonstrated that eNOS
790 deficient mice with several of key features of diabetes, benefitted from chronic nitrate
791 supplementation. Restoring NO bioavailability resulted in improvements in glucose

792 tolerance, glycosylated haemoglobin, fasting glucose, and circulating triglycerides.
793 These findings have subsequently been reproduced and further investigated by
794 several others(103, 160, 169, 208). From a mechanistic perspective, nitrate or nitrite
795 supplementation results in an increase in glucose uptake by increased GLUT4
796 translocation via AMPK pathway(56, 103), similar to the pathways activated by
797 exercise(186). Collectively, these animal models provide an in-depth investigation
798 into the promising metabolic benefits of nitrate or nitrite supplementation for
799 metabolic conditions, in particular T2DM. However, to date, no studies in animal
800 models have assessed the effects of nitrate supplementation on exercise in T2DM.

801 Unfortunately, positive metabolic findings from animal models have failed to
802 translate into humans with T2DM. This is despite acute and chronic nitrate
803 supplementation studies producing significant increases in plasma nitrite(44, 74,
804 202). Cermak et al(44). showed no differences in an oral glucose tolerance test,
805 following single dose sodium nitrate (~10.5mmol) and Shepherd et al.(202), failed to
806 observe changes in the oxygen cost of exercise or exercise tolerance following 4
807 days of beetroot juice (6.43mmol/day). In a longer period of supplementation, two
808 weeks of nitrate (7.5mmol/day) where the median plasma nitrite reached 390 nM,
809 Gilchrist and colleagues(74), found no effects on endothelial function or insulin
810 sensitivity.

811 Possible explanations for the lack of physiological changes following nitrate
812 supplementation in humans include the aforementioned defects in NO production
813 and transport. Additionally, the duration of diabetes may be much longer in human
814 patients compared to in animal studies and possibly most significantly, there could
815 be interference effects from diabetic medications. For instance, metformin, the most
816 prescribed first-line medication for diabetics (used to lower blood glucose), may

817 interfere with beneficial effects of dietary nitrate on aspects of exercise related
818 parameters. A mechanism of action for increased glucose uptake via metformin
819 involves the non-competitive inhibition of the skeletal muscle mitochondrial electron
820 transport chain at complex 1. This causes a decrease in mitochondrial respiration,
821 mitochondrial dysfunction and a decreased ATP production(33, 235), which although
822 beneficial for glucose uptake, produces a negative effect on muscle function and a
823 reduction in exercise capacity(30, 166). This is in contrast to the role that nitrite
824 alone may play on the efficiency of mitochondrial respiration in both human whole
825 body and isolated muscle fiber experiments (as described earlier). Additionally,
826 nitrite exhibits beneficial effects in normoxia for glucose uptake via mitochondrial
827 fusion activation of protein kinase A(110, 117). For further information on this area
828 see: (79, 203). This mechanism may be especially pertinent in T2DM where tissue
829 perfusion is reduced during exercise and a glycolytic phenotype dominates in the
830 skeletal muscle.

831 Given that only one study has assessed this (and only at a relatively low
832 exercise intensity using the 6-minute walk), future studies may wish to further
833 examine the effects of longer term supplementation on exercise. These studies
834 should also aim to target individuals who are newly diagnosed or who have
835 prediabetes.

836

837 **Conclusion**

838 In summary, over the last 10 years there has been tremendous growth of
839 interest in the role of inorganic nitrate supplementation, especially in the form of
840 beetroot juice, on exercise performance. The majority of the studies have been

841 focused on healthy populations with mixed results. Much of the variation may be
842 attributed to small sample sizes and differences in dosing regimens.

843 It appears that a chronic dosing strategy, consisting of ~8mmol per day, for at
844 least 5 days provides the greatest likelihood of achieving plasma nitrite
845 concentrations greater than 400nM and a subsequent ergogenic benefit. However,
846 at this time there is demonstrated within and between subject variability in the
847 conversion of nitrate to nitrite, as well as in the physical function benefits following
848 treatment. This has led to the potential of individuals being classed as “responders”
849 or “non-responders” within an otherwise homogeneous sample. This is a current
850 area of intense research, with investigations into the role of the oral and gut
851 microbiome of particular interest.

852 It appears that nitrate supplementation in individuals with a high training
853 status in lower intensity aerobic-type activities, has a low chance of positive results.
854 Elite athletes are well adapted to maintain adequate microvascular perfusion and
855 match oxygen delivery to the increased requirements of the working muscle during
856 the majority of exercise conditions. Thus, it is logical that there would be mixed
857 results following nitrate supplementation when we consider that nitrite is
858 preferentially reduced to NO in conditions of low PO₂ and low pH. It also provides a
859 potential explanation for why high-intensity activities that rely predominantly on fast –
860 twitch muscle fibers have shown the greatest potential for an ergogenic benefit in
861 healthy, trained individuals.

862 Along the same lines, patients with CVD develop multiple peripheral tissue
863 abnormalities, often as a maladaptation to chronic under perfusion, which result in an
864 overall glycolytic phenotype. This, coupled with endothelial dysfunction (an inability
865 to endogenously upregulate NO) and increased NO scavenging, make nitrate

866 supplementation a particularly promising intervention for patients with CVD. This
867 theory is supported by encouraging data showing restorative effects on time to
868 claudication pain onset and peak walk times in PAD as well as muscle contractile
869 function and exercise performance in patients with CHF. Interestingly, to date no
870 benefits in exercise performance following inorganic nitrate supplementation have
871 been shown in patients with T2DM, although the role of metformin in mitochondrial
872 function may be a mitigating factor to be further investigated.

873 In summary, inorganic nitrate supplementation within the CVD cohort shows
874 promise as a potential “therapeutic” with the aim of restoring deficient NO
875 bioavailability, correcting physiological dysfunctions and recovering exercise
876 capacity/performance and health. Given the well documented relationship between
877 reduced exercise capacity with morbidity and mortality it may be an intervention
878 which provides significant functional and clinical benefits to patients with CVD.
879

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1745 **Figure Legends**

1746 **Figure 1: Nitrate-Nitrite-Nitric Oxide Formation/Recycle Pathways.**

1747 In the presence of oxygen endothelial nitric oxide synthase (eNOS) catalyzes the
1748 oxidation L-arginine to NO. NO may also be rapidly oxidized to nitrite (NO₂⁻) and
1749 nitrate (NO₃⁻). A secondary source of vascular NO is via diet. Consumption of food
1750 stuffs high in inorganic nitrate (green leafy vegetables, beetroot) have been shown to
1751 increase plasma nitrate which can be secreted in saliva and reduced to nitrite by
1752 commensal bacteria in the mouth. Nitrite can then be further reduced to NO (and
1753 other biologically active nitrogen oxides) via several mechanisms which are
1754 expedited under hypoxic conditions. Hence, although some of the circulating nitrate
1755 and nitrite are excreted in the kidneys they are also able to be recycled back to NO
1756 Adapted from (6)

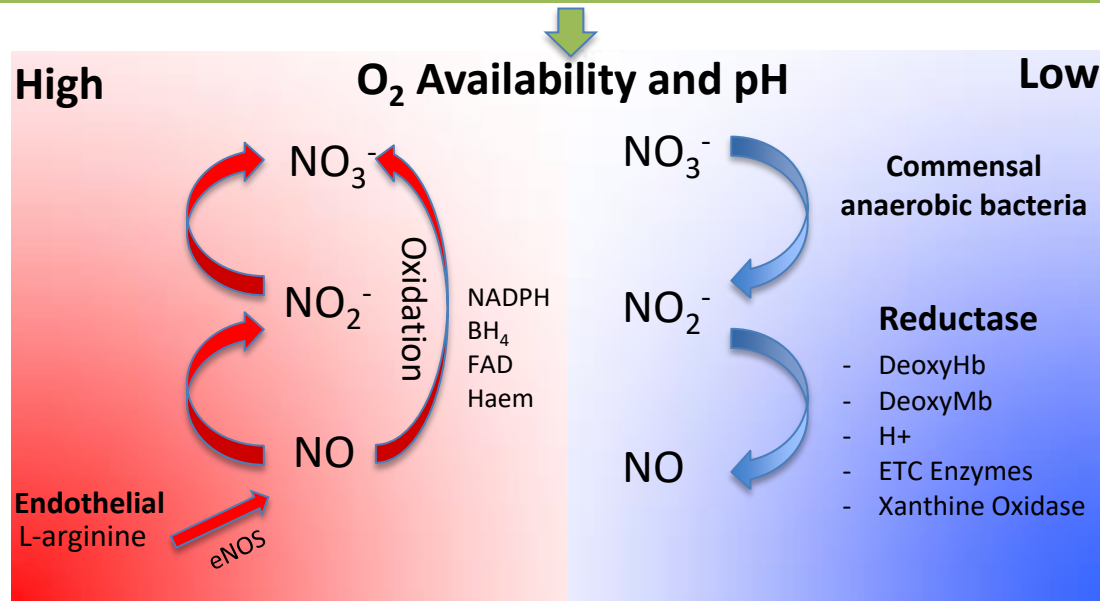
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1758 **Figure 2: Peripheral Tissue Maladaptation's in Cardiovascular Disease Populations**
1759 **and Potential Therapeutic benefits of Inorganic Nitrate Supplementation**

1760

Dietary Sources

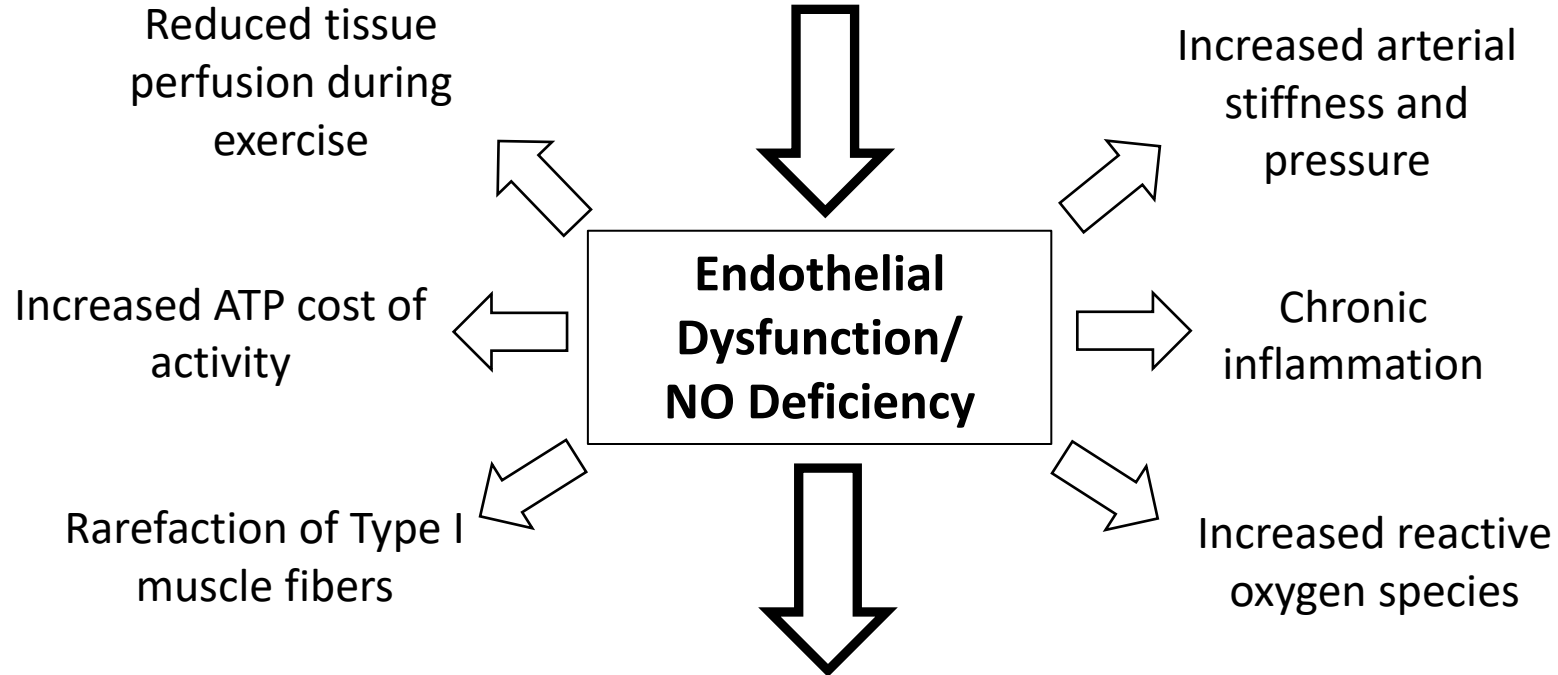
Celery, Lettuce, Beetroot, Spinach, Rocket, Water Cress



Biological Actions

Vasodilation, Ca^{2+} Handling, Glucose Uptake, Cellular Respiration, Contractile function, Arterial Pressure

Cardiovascular Disease



Dietary Nitrate Supplementation

Reduced arterial pressure

Improved blood flow and oxygen delivery

Increased mitochondrial respiration

Enhanced contractile function

Reduced Oxygen cost of exercise

Increased exercise tolerance

CHF type	Author	N	Duration	Design	Dose/Administration	Exercise Outcomes
HFpEF	Zamani, 2015	17	Acute	Double-blind, randomized, crossover	Beetroot Juice-12.9mmol Nitrate	No change in maximal exercise efficiency Increase in VO ₂ peak (p=0.005) Increase in time to exhaustion (p=0.02)
	Eggebeen, 2016	18	Acute	A: Cross-over design	Beetroot Juice-6.1mmol Nitrate	No change in sub-max time to exhaustion
			Chronic	B: All treated	Beetroot Juice 7 days-6.1mmol Nitrate	Increase in sub-maximal time to exhaustion (p=0.02)
Zamani, 2017	12	Chronic	Single Blind	Potassium Nitrate 7days 12mmol <i>followed by</i> Potassium Nitrate 7days 18mmol	No change in VO ₂ peak Increase in Time to exhaustion: (p=0.002)	
HFrEF	Hirai, 2017	10	Chronic	Double-blind, randomized crossover	Beetroot Juice 9 days-12.9mmol Nitrate	No change in exercise performance measures