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1 **Histological assessment of β -amyloid precursor protein immunolabelled rectal**
2 **biopsies aids diagnosis of equine grass sickness**

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12

13 **Keywords:** horse; grass sickness; dysautonomia; neurodegeneration; rectal biopsy; β -amyloid
14 precursor protein

15

16 **Authors' declaration of interests**

17 No competing interests have been declared.

18

19 **Ethical animal research**

20 Client consent was obtained through a standardised hospital consent form for archived pathology
21 material and explicit written or verbal consent was given by owners for the use of tissue samples from
22 horses that were subjected to euthanasia. The study was approved by the University of Edinburgh
23 Ethical Review Committee

24

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27

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30 to patient care, and the veterinary surgeons who referred the cases. We thank Michael Algar and Joyce
31 Wood, Animal and Plant Health Agency, for performing immunohistochemistry.

32

33 **Authorship**

34 B. C. McGorum, S. Scholes and R. C. Jago were responsible for study design, determination of grading
35 schemes and manuscript preparation. B. C. McGorum (ileum), R. C. Jago (rectum) and F. Coyle (CCG)
36 analysed sections. B. C. McGorum, T. S. Mair, R. S. Pirie, G. R. Pearson and R. C. Jago provided
37 samples. I. Handel contributed to statistical analysis. S. Scholes and E. M. Milne provided
38 histopathological advice. S. Scholes and B. C. McGorum captured photos. All authors reviewed the final
39 manuscript.

40

41 **Summary**

42 **Background:** An accurate, minimally invasive, ante-mortem, diagnostic test for equine grass sickness
43 (EGS) is currently lacking. While histological examination of haematoxylin-eosin stained rectal biopsies
44 for chromatolytic neurons is insensitive as a diagnostic test for EGS, it was hypothesised that the
45 diagnostic accuracy could be improved by immunolabelling for β -amyloid precursor protein (β -APP)
46 which has increased expression in cranial cervical ganglia (CCG) neuronal perikarya in EGS.

47 **Objectives:** To develop a grading scheme for assessing the distribution and intensity of β -APP
48 immunoreactivity within individual rectal submucosal neurons and subsequently determine the
49 diagnostic value of the distribution of different grades of neurons for EGS diagnosis.

50 **Study design:** Retrospective case-control diagnostic accuracy study.

51 **Methods:** Initially a standardised grading scheme was developed and β -APP immunoreactivity in
52 individual neuronal perikarya and axons was compared in sections of CCG and ileum from EGS and
53 control horses. The grading scheme was further refined before being blindly applied to submucosal
54 neurons in rectal biopsies derived from 21 EGS and 23 control horses.

55 **Results:** β -APP immunoreactivity was increased in neuronal perikarya and axons in sections of CCG,
56 ileum and rectum from EGS horses compared with controls. For rectal biopsies, a mean

57 immunoreactivity grade exceeding 1.1 was 100% specific and sensitive for EGS, and the presence of
58 at least one neuron with diffuse labelling of the entire cytoplasm (grade 3) was 95% sensitive and 100%
59 specific for EGS.

60 **Main limitations:** While the diagnostic criteria facilitated discrimination of the EGS and control biopsies
61 evaluated in this study, further prospective validation using a larger sample set is required.

62 **Conclusions:** Histological assessment of β -APP immunolabelled rectal biopsies is more sensitive than
63 conventional histological examination for EGS diagnosis. Further validation is required before this
64 technique can be advocated for clinical decision making.

65

66 **Introduction**

67 A sensitive, minimally invasive, ante-mortem, diagnostic test for equine grass sickness (EGS) is
68 currently lacking. Such a test would facilitate appropriate case management by aiding EGS diagnosis,
69 which is currently challenging in some cases, and avoid the necessity for invasive ileal biopsy. The
70 presence of chromatolytic neurons in rectal submucosa of EGS horses [1-4] indicates that histological
71 assessment of rectal biopsies could potentially provide a relatively simple, minimally invasive and
72 inexpensive ante-mortem diagnostic technique. Histological examination of two full-thickness,
73 haematoxylin-eosin (H&E) stained sections of rectum collected post-mortem yielded a sensitivity of 71%
74 and specificity of 100% for EGS diagnosis, when the diagnostic criterion was identification of at least
75 three chromatolytic neurons [3]. However, a later study found that histological examination for
76 chromatolytic neurons in (H&E) stained rectal biopsy sections is insensitive (21% sensitivity) for EGS
77 diagnosis [4]. The diagnostic value of rectal biopsies in EGS diagnosis is potentially limited by small
78 sample size, paucity of neurons in rectal submucosal plexi, difficulty in histological recognition of
79 chromatolytic neurons, and crush artefacts. It was suggested that application of specific neuronal
80 labelling may overcome some of these limitations thereby increasing the diagnostic utility [4]. Whilst
81 synaptophysin immunolabelling of ileal sections facilitates correct differentiation of control and EGS
82 cases [5], synaptophysin immunolabelling of rectal sections provides no further diagnostic sensitivity
83 compared to H&E stained rectal sections (E. M. Milne, unpublished observation).

84 This study tested the hypothesis that immunolabelling with β -amyloid precursor protein (β -APP), which
85 accumulates in cranial cervical ganglion (CCG) neuronal perikarya in EGS [6], would improve the
86 accuracy of histological assessment of rectal biopsies for EGS diagnosis. β -APP is an extensively post-
87 translationally modified and proteolytically cleaved transmembrane protein, associated with synaptic
88 formation and repair, present at high concentrations within neurons [7]. Increased β -APP expression is
89 part of the acute phase response to neuronal injury [8], occurring in acquired diseases [9; 10], in various
90 neurodegenerative conditions [11] including Alzheimer's disease [12], Down's Syndrome [13] and
91 tauopathies [14] and in murine neurodegenerative disease models [15]. The expression of β -APP is
92 therefore not specific for EGS.

93 The purpose of this study was to develop and refine a grading scheme for assessing the distribution
94 and intensity of β -APP immunoreactivity within individual neuronal perikarya and axons in rectal
95 biopsies and subsequently determine the best diagnostic predictor for discriminating EGS and control
96 horses. Initially sections of ileum and CCG, were utilised to establish a grading scheme for assessing
97 β -APP immunoreactivity prior to evaluating the rectal submucosal plexi which has fewer neurons [1; 2].
98 Neuronal pathology is not uniform throughout the gastrointestinal tract in EGS, and the ileum has
99 consistently been reported to be most severely affected region [1; 2; 16]. Histopathological examination
100 of formalin-fixed, H&E stained CCG is regarded as the "gold standard" diagnostic test for EGS [2; 17;
101 18], while histopathological examination of formalin-fixed, H&E stained ileal sections offers up to 100%
102 sensitivity and specificity [16].

103

104 **Materials and Methods**

105 **Collection of tissue samples**

106 Tissue samples were collected ante-mortem from EGS and control horses for routine clinical diagnostic
107 purposes or, with the horse owners' consent, post-mortem from horses subjected to euthanasia. All
108 ileal [19], CCG [6; 19] and some EGS rectal biopsies [4] were available from previously reported studies.
109 All the control and remaining EGS rectal biopsies were collected prospectively. Horses were of mixed
110 breeds and sex. EGS was confirmed by post-mortem examination including conventional
111 histopathological examination of H&E stained CCG and/ or ileum [2; 16-18; 20; 21], by specialist
112 pathologists experienced in EGS diagnosis. EGS was categorised as previously described [22; 23]. In

113 summary, acute EGS cases had mild to moderate abdominal pain with gastric and small intestinal
114 distension, subacute EGS cases had less severe signs, a more insidious onset and secondary large
115 intestinal impactions, and chronic cases had none of these sequelae. All control cases with colic had a
116 physical lesion identified at exploratory laparotomy. All other control horses had no ante-mortem clinical
117 evidence of EGS and were subjected to euthanasia for unrelated reasons (see results). Thorough
118 clinical examinations were performed, by clinicians experienced in EGS diagnosis, and specifically the
119 control horses had no evidence of tachycardia, ptosis, muscle fasciculations, patchy sweating,
120 dysphagia, base narrow stance or weight loss with 'tucked up' abdominal silhouette. The reported
121 median age for EGS horses of 5 years [24] was considered when selecting cases for control rectal
122 biopsies, such that there would be no significant inter-group difference in age. CCG and full thickness
123 ileal samples were collected post-mortem within 3.5 h of death. Rectal biopsies were collected using
124 uterine biopsy forceps^a as described previously [4]. Post-mortem rectal biopsies were collected within
125 10 min of death. Tissues were fixed in 10% neutral buffered formalin, embedded in paraffin wax, and
126 4µm sections cut. A single section from each rectal biopsy contributed to a single histology slide for
127 each horse.

128 **Immunohistochemistry**

129 Immunohistochemistry was done as previously reported [6] and as detailed in Supplementary item 1.

130 **Grading of neuronal and axonal immunolabelling**

131 **Cranial cervical ganglion and ileum**

132 See Supplementary item 2.

133 **Rectum**

134 Preliminary screening of rectal samples indicated that the grading scheme developed using the CCG
135 and ileal sections was insufficiently precise to facilitate repeatable grading of the immunolabelling of
136 individual neurons, and in particular to differentiate grade 2 and grade 3 neurons. Further refinement
137 of the grading scheme to facilitate repeatable assessment of the intensity and distribution of
138 immunolabelling of individual neurons was achieved by detailed assessment of the immunolabelling of
139 individual neurons in a randomly selected subset of rectal sections, with no regard to disease status.
140 Consequently, the derivation of the neurons (i.e. EGS or control horses) had no bearing on the grading
141 refinement process. When applying the refined grading scheme, grade 3 neurons, in contrast to grade

142 2 neurons, were classified as those with diffuse labelling of the entire cytoplasm, extending right up to
143 the perikaryonal margin, except when this was displaced by cytoplasmic vacuolation (Fig 1). This
144 grading system was then applied by a single observer (RJ, equine internal medicine senior clinical
145 scholar) in the blinded assessment of all rectal biopsy sections. In all sections, all submucosal plexus
146 neurons containing nuclei were graded, identifying neurons with x10 objective and grading individual
147 neurons with x40 objective.

148 All rectal biopsy sections were blindly assessed on a second occasion by the same author (RJ) and by
149 a specialist in veterinary pathology (SS), to assess intra- and inter-observer agreement, respectively,
150 to determine the repeatability of the proposed rectal biopsy grading scheme.

151

152 **Data analysis**

153 Age, number of rectal biopsies per horse and number of neurons counted per horse were described
154 using medians and interquartile ranges (IQR). For each horse the distribution of different grades of
155 neuron was determined and a mean immunoreactivity grade calculated.. Mann-Whitney U test was
156 used for inter-group (control vs EGS, ante-mortem vs post-mortem sample collection, chronic vs
157 subacute vs acute) comparisons of these variables. Using conventional histopathological examination
158 of H&E stained CCG [2; 17; 18; 20] and ileum [16; 21] as the reference tests for EGS diagnosis, the
159 sensitivity and specificity of EGS diagnosis using histological assessment of β -APP immunoreactivity
160 of rectal biopsies was calculated. The predictive value of the distribution of different grades of neurons
161 and of the mean immunoreactivity grade for EGS diagnosis was determined by constructing receiver
162 operating characteristic (ROC) curves including estimation of area under the curve (AUC). An optimal
163 cut-off was proposed by identifying a point that would give maximum sensitivity with an estimated
164 specificity of 1.0. A specificity of 1.0 was selected (i.e. no false positives within the study data) to
165 minimise the possibility of an erroneously diagnosed EGS horse being inappropriately euthanised. The
166 number of neurons required to be evaluated per horse to be confident that a grade 3 neuron was or
167 was not present, at the expected median prevalence was calculated using a surveillance design tool⁹.
168 The total estimated number of neurons within the rectum required for this calculation was calculated
169 assuming that neuronal density is uniform throughout the length of the rectum [2], the diameter of
170 submucosal neuronal perikarya was 25 μ m, and rectal length and diameter was 30 and 7cm respectively
171 [25]. Using the criterion for EGS diagnosis as the presence of at least one grade 3 rectal neuron, kappa

172 statistics were calculated for the intra- and inter-observer agreement in the interpretation of cases (EGS/
173 control), using a diagnostic design tool^b. GraphPad Prism^c was used for all statistical analyses described
174 above, unless specifically stated otherwise. $P < 0.05$ was used as the threshold for statistical
175 significance. This study conformed to Standards for the Reporting of Diagnostic Accuracy (STARD)
176 guidelines where appropriate.

177

178 **Results**

179 **CCG and ileal sections**

180 See Supplementary item 2.

181 **Rectal biopsy sections**

182 Rectal biopsies comprised 21 EGS (6 acute, 12 sub-acute and 3 chronic) and 23 control horses. Eight
183 EGS samples were collected ante-mortem and the remainder post-mortem. Samples from two control
184 horses (one with weight loss and one with chronic diarrhoea) were collected ante-mortem and the
185 remainder post-mortem. Reasons for euthanasia for controls collected post-mortem were behavioural
186 ($n=1$), colic ($n=4$), neurological ($n=4$), recurrent uveitis ($n=1$), orthopaedic ($n=7$) and elderly horses
187 donated for research ($n=4$). There was no significant difference in age of control and EGS horses from
188 which rectal biopsies were collected (Supplementary item 3).

189 All rectal biopsy sections comprised mucosa, submucosa with the submucosal plexus, but no myenteric
190 plexus. Significantly more rectal biopsies were collected from controls (median 4; IQR 4-5) than from
191 EGS horses (median 2; IQR 2-2), resulting in a significantly higher total number of neurons counted in
192 control sections (median 187; IQR 111-308) than in EGS sections (median 70; IQR 46-115). However,
193 average number of neurons per section was not significantly different between EGS (median 37; IQR
194 23-58) and control (median 46; IQR 24-58) horses.

195 EGS horses had significantly lower percentages of grade 0 neurons and significantly higher
196 percentages of grade 1, 2 and 3 neurons (Fig 1 & 2, Table 1, Supplementary items 4). Grade 3 neurons
197 were not observed in control horses, but were observed in all but one EGS case. Very occasional
198 adjacent nerve processes were labelled, but none were detected in the mucosa.

199 While most EGS and control rectal sections could be readily differentiated based on the intensity and
200 distribution of neuronal β -APP immunoreactivity using the criteria of >5% grade 3 neurons (EGS), >40%
201 grade 0 neurons (control) and high proportion of grade 2 neurons (EGS) (Fig 2), a sub-population of
202 samples (n=9, highlighted in red in Supplementary item 4) were considered to be equivocal. The
203 equivocal cases included 2 controls with a lower percentage of grade 0 neurons and higher percentage
204 of grade 1 neurons, compared to the other controls. Both these cases were sampled ante-mortem; one
205 for investigation of chronic diarrhoea and the other for investigation of weight loss. The 7 equivocal EGS
206 cases were 1 acute, 3 subacute and 3 chronic EGS cases, which had <4% grade 3 neurons. Whilst
207 these cases would be equivocal in a clinical situation there was no overlap in the mean immunoreactivity
208 grade for neurons in EGS and control horses whereas the percentage of grade 1 neurons had the
209 greatest degree of overlap between EGS and control horses, and all other diagnostic determinants were
210 similar (Table 1). Consequently, the AUC of the ROC curves was highest for mean immunoreactivity
211 grades (with a value exceeding 1.1 being indicative of EGS). When the specificity was set at 1.0, the
212 highest diagnostic sensitivity for EGS diagnosis was a mean immunoreactivity grade exceeding 1.1 (1.0
213 sensitivity) and a percentage of grade 3 neurons exceeding 0.75% (0.95 sensitivity). Using the presence
214 of at least one neuron with diffuse labelling of the entire cytoplasm (grade 3) in rectal biopsies as the
215 criterion for EGS diagnosis yielded a 95% sensitivity and 100% specificity for EGS diagnosis.

216 The mean immunoreactivity grade for EGS samples collected ante-mortem (median 2.03; IQR 1.46-
217 2.62) was not significantly different ($p=0.089$) to that for samples collected post-mortem (median 1.58;
218 IQR 1.22-1.84). Further analyses were not performed for control samples as only 2 were collected ante-
219 mortem. The median number of total neurons per section was higher in chronic cases (median 60; IQR
220 27-69.5) than acute/ subacute cases (median 34; IQR 23-49); however the difference was not
221 significant.

222 The median prevalence of grade 3 neurons in EGS horses was 11.4% (Table 1). The total estimated
223 population of submucosal neurons which could be biopsied within the rectum was 23-46,000,000 per
224 horse, depending whether each nucleus was in 1 or 2 adjacent sections. If the total population of
225 submucosal neurons that could be sampled by a rectal biopsy is >5000, grading of at least 30 neurons
226 would be required to have 96% confidence that a grade 3 neuron was or was not present at the expected
227 median prevalence of 11.4% (20 neurons = 89%, 50 neurons = 99.6% confidence).

228 The kappa statistic was 1.0 (1.0-1.0 lower and upper 95% limit) and 0.95 (0.87-1.04), respectively for
229 the intra- and inter-observer agreement in the interpretation of each case.

230 **Non-neuronal labelling and artefacts**

231 Intimal asteroid bodies [26] were unlabelled in the antibody negative method control sections but were
232 immunopositive in antibody positive sections (Fig 3a). This labelling was readily differentiated from
233 positive neuronal labelling because of their location, protruding into the lumen of small arterioles and
234 lack of nucleus. Pigment granules (haemosiderin and/ or lipofuscin) within macrophages were evident
235 in the submucosa of some horses, and could be distinguished from immunolabelling by the
236 characteristics of the pigment, presence in corresponding control sections and characteristics of cell
237 morphology (Fig 3b). Oval particles of plant debris were occasionally translocated from the lumen during
238 the biopsy procedure and could readily be differentiated by morphological characteristics including the
239 absence of nuclei (Fig 3c).

240

241 **Discussion**

242 β -APP immunoreactivity was increased in neuronal perikarya and axons in sections of CCG, ileum and
243 rectum from EGS horses compared with controls. These data extend previous findings of increased β -
244 APP immunoreactivity in CCG sections and increased expression of β -APP in CCG protein extracts in
245 EGS [6].

246 β -APP is synthesised in the endoplasmic reticulum and transported through the Golgi apparatus,
247 plasma membrane and axons [7]. The pattern of labelling observed in control rectal submucosal
248 neurons (Fig 1: grade 1) is consistent with this normal cellular processing of β -APP, and is similar to
249 that observed in other neuronal populations. In contrast, some neurons in EGS horses had intense
250 granular to diffuse labelling throughout the cytoplasm (Fig 1), indicating abnormal accumulation of β -
251 APP; consistent with dysfunction and degradation of membrane-bound compartments. This study
252 confirms previous work [6] that EGS is associated with accumulation of β -APP in perikarya of
253 degenerate neurons, in adjacent nerve processes and in intra-ganglionic axons, but not in larger nerve
254 fascicles. Potentially this may reflect (a) upregulation of neuronal synthesis of these proteins, (b)
255 reduced catabolism of β -APP, (c) dysfunction of glycoprotein processing in the Golgi network, and/or
256 (d) failure of axonal transport of protein-containing vesicles to the nerve terminal. Accumulation of β -

257 APP in the perikarya and intra-ganglionic axons, but not in larger nerve fascicles is consistent with the
258 latter. Consistent with the latter two hypotheses, ultrastructural loss of a recognizable Golgi structure is
259 a likely early event in EGS, and EGS is associated with major perturbations in the cytoskeleton of
260 autonomic neurons resulting in accumulation of dopamine- β -hydroxylase and presynaptic proteins in
261 neuronal perikarya [19; 27; 28]. β -APP has been described as a marker of early axonal injury prior to
262 apparent histological changes in routine H&E sections [29].

263 Evaluation of β -APP immunolabelled rectal biopsy sections using a standardised grading scheme can
264 aid diagnosis of EGS. Indeed, for the sections evaluated in this study, a mean immunoreactivity grade
265 exceeding 1.1 was 100% specific and sensitive for EGS, and the presence of at least one neuron with
266 diffuse labelling of the entire cytoplasm (grade 3) was 95% sensitive and 100% specific for EGS. This
267 diagnostic accuracy is comparable to that of conventional histological examination of ileal biopsies [16;
268 21] which has a sensitivity and specificity of 100% [16] and is significantly higher than that of
269 conventional histological examination of two rectal biopsies (sensitivity 21%) [4]. However, it should be
270 stressed that further validation of this technique is required prior to its application to clinical cases.

271 A subset of CCG, ileal and rectal biopsy samples were pre-screened in order to establish grading
272 schemes and to identify non-neuronal staining, prior to blinded evaluation. Individual neuronal perikarya
273 and axons were used as examples of β -APP immunolabelled neurons to facilitate development and
274 refinement of the grading schemes, with absolutely no bearing on whether the neurons were derived
275 from control or EGS sections. The grading scheme was then applied blindly to determine which grading
276 parameter had the greatest diagnostic accuracy in discriminating EGS and control sections.

277 A limitation of the study was that although the diagnostic criteria facilitated differentiation of EGS and
278 control rectal samples in this sample set, further development and prospective validation of both the
279 grading scheme and the diagnostic criteria using larger numbers of EGS and control samples is
280 necessary before the diagnostic value of this approach can be fully advocated.

281 Whilst the sub-population of equivocal samples would have been correctly classified using the criteria
282 of mean immunoreactivity grade exceeding 1.1, in a clinical situation, there would be a degree of
283 uncertainty in their assessment. Consequently, the use of these criteria in a clinical situation would have
284 reduced the confidence in a diagnosis based solely on this diagnostic approach, a significant
285 consideration in light of the fact that a false positive result could prompt euthanasia of a horse without

286 EGS. It is important to note however, that these samples would have been highlighted as equivocal and
287 not erroneously diagnosed. Further work is therefore required to optimise differentiation of the equivocal
288 sub-population of samples. While a mean immunoreactivity grade exceeding 1.1 was 100% specific
289 and sensitive for EGS, there are limitations to the use of this criterion for EGS diagnosis. Firstly there
290 was very little difference between the highest mean immunoreactivity grade for control horses (1.057)
291 and the lowest mean immunoreactivity grade for EGS horses (1.137) (Supplementary item 4);
292 consequently, it is possible that this criterion may not be fully discriminatory when a larger sample size
293 is prospectively evaluated. Further limitations of this criterion are that it is laborious to determine and
294 likely subject to a degree of inter-observer variability.

295 Similarly, while the presence of at least one grade 3 neuron was 95% sensitive and 100% specific for
296 EGS, use of this criterion is potentially limited by errors in differentiating grade 2 and grade 3 neurons.
297 To reduce this error, very repeatable grading criteria were developed and applied, such that grade 3
298 neurons had diffuse labelling of the entire cytoplasm, extending right up to the perikaryonal margin,
299 except when this was displaced by cytoplasmic vacuolation (Fig 1). This potential limitation is
300 confounded by the relative paucity of grade 3 neurons in rectal biopsies of EGS cases (median 11.4%
301 of neurons, IQR 2.8-42) compared to CCG (median 35.5, IQR 21-41). Consequently, EGS diagnosis
302 was based on the presence of only a few grade 3 neurons, and in occasional cases only 1 grade 3
303 neuron (n=2 horses). Chromatolytic neurons have been reported in the coeliacomesenteric ganglia,
304 jejunum, ileum and small colon in clinically normal horses [2]. Whilst the assessment of chromatolysis
305 is subjective, and relatively objective immunolabelling grading criteria may reduce the likelihood of
306 incorrectly classifying neurons, a larger sample size is required to determine if grade 3 neurons are
307 ever present in control horses. Although increasing the threshold for the diagnosis of EGS to the
308 presence of ≥ 4 grade 3 neurons would increase the diagnostic certainty, it would also reduce the
309 sensitivity to 62% (Supplementary item 5).

310 CCG, ileal and rectal neurons from EGS horses, but not control horses, had pyknotic nuclei (Fig 1c).
311 Further study is required to determine whether this conventional histopathological analysis for
312 morphologic features of neurodegeneration, together with chromatolysis and neuronal swelling, could
313 be incorporated into the grading scheme to improve diagnostic accuracy of rectal biopsies.

314 There was complete intra-observer and very good inter-observer agreement in the interpretation of
315 cases. The single case that was not agreed upon was an equivocal EGS case which had only 1 grade
316 3 neuron. In a clinical situation, it is unlikely that a diagnosis of EGS would be made upon observation
317 of a single grade 3 neuron and further criteria or assessment of additional sections are required to give
318 greater confidence differentiating the equivocal sub-population of samples.

319 Further work is required to assess the effect of EGS category on neuronal β -APP immunoreactivity.
320 Consistent with previous work [1; 2; 17; 18], a higher proportion of normal neurons was found in chronic
321 cases; all 3 chronic EGS sections had significantly fewer grade 3 rectal neurons than acute and sub-
322 acute cases. Consistent with a further study [2], the median number of total neurons per section was
323 higher in chronic cases than acute/ subacute cases; however the difference was not statistically
324 significant and data from more chronic cases should be assessed to further investigate these findings.
325 Acute and subacute forms of the disease are invariably fatal, while some cases of chronic EGS survive
326 with appropriate nursing [24; 30-33]. A larger data set of chronic cases is required to investigate the
327 potential prognostic value of β -APP immunolabelling.

328 Whilst estimations indicate that at least 30 neurons must be examined to be 96% confident that a grade
329 3 neuron was or was not present, at the expected median prevalence of 11.4%, it is likely that the
330 diagnostic value of rectal biopsies and the confidence in the diagnosis could be improved by increasing
331 the number of biopsies collected from each horse, and by examining multiple non-serial sections cut
332 from individual biopsies. Previous studies indicate that the density of submucosal neurons does not
333 have a consistent circumferential pattern in rectal samples [3], indicating that collection of biopsies from
334 specific locations around the circumference of the rectal wall cannot reliably maximise the number of
335 neurons sampled. While the total number of neurons identified in control rectal cases exceeded that of
336 EGS cases, this reflected the increased number of biopsies collected from controls. Consequently there
337 was no intergroup difference in the median number of neurons per section. The median number of
338 rectal biopsies collected for EGS horses was comparable to the previous study by Mair *et al.* [4]. More
339 biopsies were collected from control horses to increase the confidence of the calculated specificities.

340 The effect of ante-mortem versus post-mortem sampling must be further evaluated. Both control
341 samples that were collected ante-mortem had lower percentages of grade 0 neurons and were the only
342 control cases that were classified as equivocal. Whilst there was a difference of 0.45 between the

343 median mean immunoreactivity grade of EGS samples collected ante- and post-mortem, the difference
344 was not significant.

345 The use of relatively non-invasive rectal biopsies versus ileal biopsies collected at laparotomy to
346 diagnose EGS offers economic, welfare and time benefits. A disadvantage is the increased time
347 required for immunolabelling of rectal biopsies compared with haematoxylin-eosin staining of ileal
348 biopsies; total sample fixing and processing times being, respectively, a minimum of 12h and 6h. The
349 timescale may be appropriate for ante-mortem diagnosis of sub-acute and chronic EGS cases and post-
350 mortem confirmation of EGS in horses that are subjected to euthanasia in the field when the invasive
351 removal of CCG or ileum is not feasible. However, this technique may be unsuitable for rapid ante-
352 mortem diagnosis of acute EGS, unless the time requirement can be reduced, perhaps by using
353 accelerated fixation protocols, frozen sections and employing rapid immunolabelling techniques.

354 In conclusion, this work has demonstrated the potential diagnostic value of immunolabelled rectal
355 biopsies for EGS diagnosis. However, further prospective studies are required before the use of this
356 technique can be fully advocated in clinical decision making.

357 **Manufacturers' addresses**

358 ^aEquivet uterine biopsy forceps; Kruuse UK Ltd, Sherburn in Elmet, UK

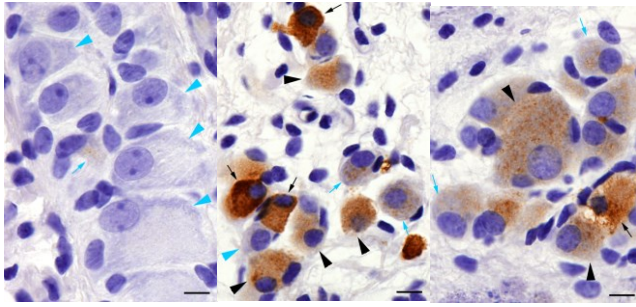
359 ^b<http://epitools.ausvet.com.au>

360 ^cGraphPad Software, La Jolla, California

361

362 **Figures**

363 Fig 1: Grading scheme used to assess β -amyloid precursor protein immunolabelling of neuronal
364 perikarya in rectal submucosal ganglia. Grade 0= no labelling (blue arrowheads); 1= sparse labelling
365 involving less than half of the cytoplasm (blue arrows); 2= greater than half of the cytoplasm is labelled
366 but areas of unlabelled cytoplasm are still discernible (black arrowhead); and 3= diffuse labelling of
367 entire cytoplasm right up to perikaryonal margin with no discernible unlabelled cytoplasm (black arrows).



368

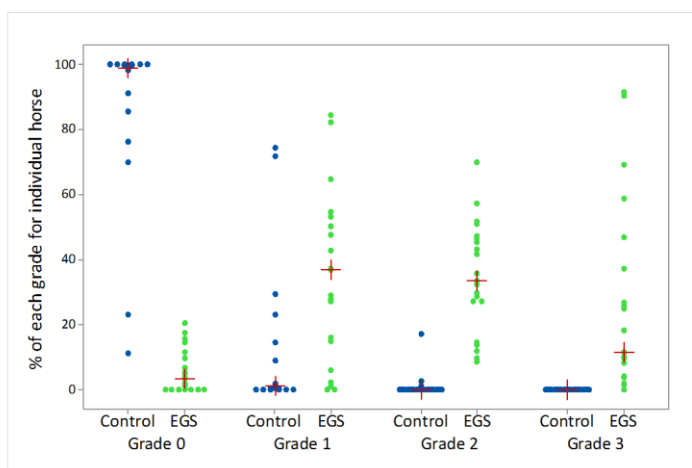
369 A: Control horse: the majority of neurones are grade 0; the example of grade 1 labelling (blue arrow)
 370 was not included in the analysis as the nucleus of the neurone is not in the plane of the section.

371 B: Grass sickness horse: examples of all immunolabelling grades present.

372 C: Grass sickness horse: immunolabelling of neurones varies from grade 1-3; note the grade 3 neurone
 373 has peripheral cytoplasmic vacuolation and a shrunken (pyknotic) nucleus compared with the other
 374 neurones in the ganglion.

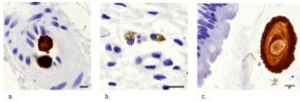
375 Bars = 10 μ m.

376 Fig 2: Percentage distribution of rectal submucosal neuronal grades for individual horses (Control =
 377 blue dot, equine grass sickness (EGS) = green dot and red cross = median).



378

379 Fig 3: Examples of non-neuronal labelling and pigment artefacts (a) vascular intimal asteroid bodies
 380 (bar = 10 μ m), (b) macrophages containing intracytoplasmic pigment (bar = 10 μ m), and (c) foreign
 381 (plant) material adjacent to mucosa (bar = 20 μ m).



382

383 **Tables**

384 Table 1: Diagnostic determinants with associated areas under the receiver operating characteristic
 385 curve (AUC), and highest achievable sensitivity, when specificity was set at 1.0, and the thresholds that
 386 were set to achieve this, for the diagnosis of EGS.

387 EGS = equine grass sickness, IQR = interquartile range, CI = confidence interval

388

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507

508 **Supporting Information Items**

509

510 **Supplementary item 1:** Immunolabelling methodology

511

512 **Supplementary item 2:** Cranial cervical ganglia and ileal sections

513

514 **Supplementary item 3:** Number of samples collected for each clinical category.

515 **Supplementary item 4:** Total number of neurons counted in combined rectal biopsy sections for
516 individual horses and grades of β -amyloid precursor protein immunolabelling (% of total neurons).

517

518 **Supplementary item 5:** Receiver operating characteristic curve for number of grade 3 rectal
519 submucosal neurons required for the diagnosis of equine grass sickness.