

1 The Solution Conformation of Polymer Brushes  
2 Determines their Interactions with DNA and  
3 Transfection Efficiency

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1 **ABSTRACT**

2 Polymer brush-functionalised nanomaterials offer interesting features for the design of gene delivery  
3 vectors as their physico-chemical and structural properties can be designed independently of the  
4 chemistry, size and shape of the nanomaterial core. However, little is known of the parameters  
5 regulating the adsorption and infiltration of DNA molecules at the surface of positively charged  
6 polymer brushes, despite the importance of such processes for gene delivery. Here we investigate the  
7 role of the molecular environment (e.g. pH, type of buffer, concentration) on the interactions between  
8 plasmid DNA and positively charged poly(dimethylaminoethyl methacrylate) (PDMAEMA) brushes  
9 using a combination of light scattering, electrophoretic light scattering, in situ ellipsometry and surface  
10 plasmon resonance. We show that the conformation of swollen PDMAEMA brushes is modulated by  
11 the surrounding buffer and that this impacts strongly on the ability of such brushes and nanomaterials  
12 based on these coatings to complex DNA molecules. In turn, the levels of transfection efficiency  
13 measured correlate with changes in brush conformation and DNA binding. Therefore, this work  
14 demonstrates the importance of molecular design of polymer brushes to control DNA complexation  
15 and potentially release in order to optimise the performance of polymer brush-functionalised  
16 nanomaterials for gene delivery applications.

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## 1 INTRODUCTION

2 Polymer brushes have attracted considerable interest in the fields of catalysis, sensing and biomedical  
3 applications<sup>1-4</sup> as they allow the efficient and robust coating of surfaces without significantly altering  
4 bulk properties of materials and can allow the control of the responsive and bioactive properties of  
5 such interfaces. In the biomedical field, these coatings have found particular application in the  
6 prevention of protein fouling and the design of biosensors, the generation of cell micropatterns for  
7 cell-based assays and the control of bacterial adhesion and biofilm formation. However, relatively little  
8 work has been carried out to investigate their use as drug delivery systems, perhaps because of the  
9 limited loading levels they can typically achieve. In this respect, polymer brushes are well-adapted to  
10 promote the complexation of DNA and control the delivery of such materials for gene transfection as  
11 they can be designed to display cationic charges promoting DNA binding and gene delivery typically  
12 requires moderate levels of biomacromolecule delivery to result in successful transfections. This was  
13 demonstrated, for example, in the case of superparamagnetic iron oxide nanoparticles, which offer  
14 the added opportunity to use magnetic sorting to further enrich cell populations displaying higher  
15 expression levels of the corresponding plasmid<sup>5,6</sup>. Hence, polymer brushes are interesting systems for  
16 gene delivery approaches as they offer the control of several key structural and physico-chemical  
17 parameters: the size and type of nanoparticles or nanomaterials, the density and length of polymer  
18 chain coatings and the chemistry of the monomers used. However, relatively little is known of the  
19 impact of these different parameters on DNA complexation and transfection efficiencies.

20 Gene delivery involves multiple stages, each impacted by a number of independent parameters,  
21 making it a relatively difficult process to control and design<sup>7,8</sup>. In the case of gene delivery mediated  
22 by cationic polymeric vectors, these stages involve the initial complexation of DNA molecules by the  
23 cationic polymers, the further maturation of the resulting complexes in the transfection medium, their  
24 interaction with the cell membrane (and potentially receptors), their uptake (often via endocytosis),  
25 release from the endosome, transportation to the perinuclear space, release of the DNA material and  
26 translocation of nucleic acid molecules to the nucleus prior to their transcription<sup>9,10</sup>. Such complexity  
27 presumably explains the difficulty of rational design of DNA delivery vectors. In this context, polymer  
28 brushes, and the control they offer over structural and physico-chemical properties, appear as  
29 promising candidates to tackle some of the questions underlying such design.

30 Understanding the parameters controlling DNA-brush interactions is fundamental to vector design as  
31 such interactions control the initial complexation of nucleic acid material as well as its release.  
32 Although protein-brush interactions have been thoroughly studied in a number of cases (e.g. albumin,  
33 fibronectin, enzymes), interactions of nucleic acid molecules with polymer brushes are less well

1 understood. Although such interactions are expected to be mediated by the electrostatic attraction  
2 between negatively charged phosphate groups and positive moieties on the polymer brush backbone,  
3 the impact of the controlled brush architecture, charge density and type (e.g. quaternary ammonium  
4 vs. protonated tertiary amines) on the binding and infiltration of DNA biomacromolecules into brushes  
5 is not known.

6 Poly(dimethylaminoethyl methacrylate) (PDMAEMA) is a polymer bearing tertiary amine side chains  
7 that display a pKa near 7.5 and become protonated and positively charged at neutral and low pH<sup>11-13</sup>.  
8 This polymer also displays a lower critical solution temperature, also dependent on pH<sup>14</sup>. As a result  
9 of its ease of synthesis, moderate cytotoxicity and positive charge at neutral pH, PDMAEMA has  
10 attracted some attention as a potential candidate for gene delivery applications<sup>12</sup>. Linear PDMAEMA  
11 was used to complex plasmid DNA and the resulting particles displayed reasonable transfection  
12 efficiencies<sup>15</sup>. In addition, the ability to grow PDMAEMA readily via controlled radical polymerisation  
13 techniques, in particular atom transfer radical polymerisation, has allowed the formation of a number  
14 of derivatives with more complex molecular architectures, ranging from diblock copolymers to multi-  
15 arm copolymers<sup>16-19</sup> and also displaying encouraging transfection levels. Recently, PDMAEMA polymer  
16 brushes grown from iron oxide superparamagnetic nanoparticles were also used to transfect CHO cells  
17 and L929 fibroblasts and sort out transfected cells in order to improve protein expression levels<sup>20</sup>.  
18 However, little is known of the parameters impacting on the performance of such PDMAEMA brushes-  
19 based nanoparticles on gene delivery and protein expression.

20 In this article, we investigate some of the parameters controlling gene delivery mediated by  
21 PDMAEMA-grafted nanoparticles, in particular the role of the molecular environment (pH, type of  
22 buffer, concentration), on interactions between DNA molecules and polymer brushes, and the  
23 resulting impact these have on gene transfection. We study the conformation of PDMAEMA and the  
24 level of plasmid DNA binding in different buffers and at different pH, using a combination of light  
25 scattering, electrophoretic light scattering, in situ ellipsometry and surface plasmon resonance. We  
26 investigate the cytotoxicity of the resulting particles and complexes, their uptake by the HaCaT  
27 epidermal cell line and their ability to promote gene transfection via fluorescence microscopy.

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## 29 **EXPERIMENTAL SECTION**

30 **Materials.** 2-(Dimethylamino)ethyl methacrylate (DMAEMA,  $M_n = 157.21$ , copper chloride (Cu(I)Cl),  
31 copper bromide (Cu(II)Br<sub>2</sub>), 2, 2'-bipyridyl (bpy), bromoisobutyryl bromide, (3-  
32 Aminopropyl)triethoxysilane, anhydrous toluene and triethylamine (Et<sub>3</sub>N) were purchased from  
33 Sigma-Aldrich and used as received. All chemicals and solvents were analytical grades unless

1 otherwise stated. Cu(I)Cl was kept under vacuum until used. Deionised water, with resistivity of 18.2  
2 M $\Omega$ -cm was obtained using Milli-Q Integral 3 System from Millipore. Silicon wafers (100 mm diameter,  
3  $\langle$ 100 $\rangle$  orientation, polished on one side/reverse etched) were purchased from Compart Technology  
4 Ltd and cleaned in Plasma System Zepto from Diener Electronic, for 10 min in air plasma. Gold-coated  
5 silicon wafers were obtained through the evaporation of a chromium layer (20 nm followed by the  
6 evaporation of a gold layer (200 nm) using an Edwards Auto 500 evaporator. Silica particles  
7 (unfunctionalised) were purchased from Bangs Laboratories (supplied as powder, mean diameters of  
8 310 nm). The size of these particles was confirmed by SEM ( $330 \pm 10$  nm) and light scattering ( $347 \pm$   
9 21 nm). These particles were selected based on the reproducibility and good monodisperse character,  
10 as well as their ease of functionalisation with ATRP initiator silanes. The thiol ester initiator,  $\omega$ -  
11 Mercaptoundecylbromoisobutyrate, **1** was synthesised as described in the literature<sup>21</sup>, and the ester  
12 silane initiator, trimethoxy-3-propylbromoisobutyrate silane **2** was synthesised according to reported  
13 protocols<sup>22, 23</sup>. For DNA interactions studies: NaCl (150 mM, PolyPlus), PBS (tablets, Sigma-Aldrich),  
14 KSFM (Gibco), HEPES-buffered saline (HBS, 1 M, Gibco), 10 x 12 mm SPR-Au chips purchased from  
15 Senss bv, and stored under nitrogen, at room temperature). 10 mM HBS contained 1mL of 1 M HEPES  
16 and 100 mL of 150 mM NaCl. Enhanced Green Fluorescent Protein (EGFP) prepared by Dr Julien  
17 Gautrot and Dr Amir Sharili. For cell culture: Versene (Gibco), Trypsin (0.25%, Gibco), Dulbecco's  
18 Modified Eagle Medium (DMEM, Gibco), DMEM-F12 (Gibco), L-Glutamine (200 mM, Gibco), Penicillin  
19 Streptomycin (PS, 5000 U/mL, Gibco), keratinocyte serum free medium (KSFM, Gibco) were purchased  
20 from Life Technologies. Collagen (rat, type I, BD Sciences, San Jose, CA), foetal bovine serum (FBS,  
21 LabTech) and sterile Dulbecco's Phosphate Buffered Solution (DPBS, PAA Laboratories/ Sigma-Aldrich)  
22 were also used. KSFM supplemented with 1 mL pituitary extract (Gibco), 3  $\mu$ L EGF human recombinant  
23 (Gibco) and 5 mL PS, FAD medium prepared with 100 mL DMEM, 100 mL DMEM-F12, 20 mL FBS, 2.2  
24 mL PS, hydrocortisone (0.5  $\mu$ g/mL) containing 10<sup>-10</sup> M cholera toxin, 10 ng/mL EGF and insulin (5  
25 mg/mL), and DMEM medium supplemented with 50 mL bovine serum, 5 mL L-glutamine and 5 mL PS  
26 were used for the culture of primary keratinocytes and fibroblasts, respectively. 4',6-Diamidino-2-  
27 phenylindole dihydrochloride (DAPI, Sigma), 4% paraformaldehyde (PFA) prepared from 16g PFA  
28 (Sigma Aldrich) and PBS (Sigma-Aldrich) dissolved in deionised water, 0.2% Triton X-100 prepared from  
29 Triton X-100 (Sigma Aldrich) and PBS were used for immunostaining. EGFP was used for cell  
30 transfection. LIVE/DEAD<sup>®</sup> Viability/Cytotoxicity Kit containing 4 mM calcein AM in anhydrous DMSO  
31 and 2 mM ethidium homodimer in DMSO/H<sub>2</sub>O 1:4 (v/v), for mammalian cells was purchased from Life  
32 Technologies. Deionised water with a resistivity of 18.2 M $\Omega$ -cm was obtained using a purification  
33 system from Millipore.

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## 1 **Methods.**

2 *ATRP initiator deposition.* For the deposition of thiol initiator on gold-coated silicon wafers:

3 gold-coated silicon substrates were immersed in 5 mM ethanolic solutions of the thiol initiator, and  
4 left at room temperature overnight, in a sealed glass vial. The substrates were then washed in copious  
5 amounts of ethanol and dried under a stream of nitrogen. For the deposition of ester silane initiator  
6 on silicon wafers: plasma-oxidised silicon wafers were immersed in a recrystallisation dish containing  
7 dry toluene (30 mL), Et<sub>3</sub>N (50 µL) and silane initiator (10 µL), and covered with aluminium foil and left  
8 at room temperature overnight. The wafers were then washed with ethanol and dried under a stream  
9 of nitrogen. The initiator functionalised wafers were kept under nitrogen until used. For the  
10 immobilization of ATRP initiator on silica nanoparticles: silica nanoparticles (D ≈ 310 nm, 200 mg) were  
11 dispersed in dry toluene in a 15 mL centrifuge tube by sonication (Ultrawave IND7800D Ultrasonic  
12 Cleaning Tank), washed in dry toluene via three centrifugation (4000 rpm for 1 minute)/ redispersion  
13 cycles, and finally dispersed in dry toluene (4 mL). Triethylamine (200 µL) and silane initiator 2 (40 µL)  
14 were added to this dispersion and the suspension was left on a 3D lab application shaker (Gyro Twister  
15 GX-1000 3-D Shaker from Labnet), with the centrifuge tube placed on its side overnight for thorough  
16 mixing. The resulting particles were collected by centrifugation, washed three times with dry toluene,  
17 and finally redispersed in 4 mL ethanol as stock solution for storage (50 mg/mL).

18 *PDMAEMA brush growth on gold and silicon substrates.* A solution of CuBr<sub>2</sub> (18 mg, 80 µmol), bpy (320  
19 mg, 2.05 mmol), and DMAEMA (6.6 g, 42 mmol) in water/ethanol (4/1 v/v, 30 mL) was degassed using  
20 nitrogen bubbling for 20 min in a round-bottom flask with a stopper. Pre-weighed CuCl (82 mg, 828  
21 µmol) was added to this solution and the resulting mixture was further degassed for 20 min. A glass  
22 syringe was used to transfer 2 mL of the polymerisation solution to tubes containing the initiator-  
23 coated surfaces under inert atmosphere whilst ensuring that the substrates are completely immersed  
24 in the solution. The polymerisation was stopped at 5 or 20 min to obtain thicknesses of 10 nm and 30  
25 nm respectively, by immersing the coated substrates in deionised water, followed by washing with  
26 copious amounts of ethanol and drying in a nitrogen stream.

27 *Synthesis of PDMAEMA brush from silica nanoparticles.* Polymerisation solutions were prepared as  
28 described previously by dissolving pre-weighed bpy (320 mg, 2.05 mmol) and CuBr<sub>2</sub> (18 mg, 80 µmol)  
29 in DMAEMA (6.6 g, 42 mmol) and half of the total polymerisation solvent (water/ethanol 4/1 v/v, 15  
30 mL) in a round-bottom flask. This was followed by the addition of pre-weighed CuCl (82 mg, 828 µmol)  
31 and further stirring.. Initiator-coated silica nanoparticles (1/10 v/v, 100 µL, 50 mg/mL stock solution in

1 ethanol) were diluted in ethanol (1/10 v/v, 100  $\mu$ L) and water (8/10 v/v, 800  $\mu$ L) in a tube and degassed  
2 in nitrogen/argon for 15 min. The polymerisation solution was then injected using a glass syringe into  
3 the initiator-coated silica nanoparticles and left at room temperature whilst under inert atmosphere,  
4 for 20 min. To terminate the polymerisation, the dispersion was diluted with water, and compressed  
5 air was bubbled through the mixture until a colour change of dark brown to blue-green was observed.  
6 The nanoparticles were recovered by centrifugation, washed three times with water and finally  
7 dispersed in water.

8 *Synthesis of fluorescently-labelled, PDMAEMA-coated silica nanoparticles for characterisation of*  
9 *cellular uptake.* Fluorescent mesoporous silica nanoparticles (F-MSNs) were synthesized according to  
10 our previously published protocols<sup>24</sup>, where methanol was used as co-solvent and  
11 cetyltrimethylammoniumchloride (CTAC) as a structure-directing agent. In brief, 1.19 g of  
12 tetramethoxysilane (TMOS) was mixed with aminopropyltrimethoxysilane (APTMS) and fluorescein  
13 isothiocyanate (FITC) to create inherently fluorescent particles; and added dropwise to an alkaline  
14 solution containing CTAC. The resulting synthesis mixture had a molar ratio of 0.9 TMOS: 0.1 APTMS:  
15 1.27 CTAC: 0.26 NaOH: 1439 MeOH: 2560 H<sub>2</sub>O. The mixture was stirred overnight at room  
16 temperature, whereafter the formed particles were separated by centrifugation, washed with  
17 deionized water and vacuum dried for 24 h. The CTAC template was then removed by ultrasonication  
18 of the particles in acidic (HCl) ethanol three times. Particles were subsequently functionalised with  
19 polymer brushes, as described above, after initiator immobilisation.

## 20 **Characterisation.**

21 *Thermogravimetric analysis.* The weight percent (wt%) of polymer coating on silica nanoparticles  
22 was determined by TGA. Measurements were performed in air using TA Instruments Q500. Samples  
23 were heated from room temperature to 1000 °C at a heating rate of 10 °C/min and dried under  
24 vacuum at room temperature prior to TGA runs. It was assumed that the mass change was due to the  
25 burning of the organic polymer brush coatings and the remainder was non-combustible silica particles.  
26 According to TGA results, the PDMAEMA dry thickness on silica nanoparticle can be calculated using  
27 equation S1 (Supplementary Information).

28 *Dynamic light scattering and electrophoretic light scattering.* Interactions of DNA with the silica  
29 nanoparticles coated with PDMAEMA brushes were carried out with Zetasizer Nano ZS. Plasmid DNA  
30 (4400 bp) was dissolved in the concentration of 10  $\mu$ g/mL and diluted in 1 mL of 150 mM NaCl. Polymer  
31 brush-coated nanoparticles were also diluted in 1 mL of 150 mM NaCl for the N/P ratios 1:1, 5:1 and

1 20:1, where N/P ratio is the ratios of moles of the amine groups of cationic polymers to those of the  
2 phosphates from DNA. The amount of DNA used was kept constant here whilst the amount of the  
3 polymer brush-coated nanoparticles was varied. 1 mL of the polymer brush-grafted nanoparticles  
4 solution was added to 1mL of the DNA solution and allowed to complex for 15-30 min. The particle  
5 hydrodynamic diameter and the zeta potential were then measured for each N/P ratio before and  
6 after complexation. The hydrodynamic diameter of nanoparticles in different buffers (in the absence  
7 of DNA) was characterised with 20 µg/mL particle solutions (at higher concentrations, some level of  
8 aggregation was observed). It should be noted that, given the size of the particles used and the  
9 wavelength of the laser used (633 nm), multiple scattering is also expected to occur and may have an  
10 impact on the accurate measurements of particle sizes, depending on concentration.

11 *Surface Plasmon Resonance.* SPR measurements were performed with a Biacore X using 10 and 30  
12 nm-thick PDMAEMA brushes. Plasmid DNA (EGFP, 4400 bp) dissolved in the concentration of 1 µg/mL  
13 in 150 mM NaCl, 10 mM HBS buffer or PBS, at pH 7.4, was allowed to interact with the immobilised  
14 polymer brush. Saline solution (150 mM NaCl) at pH 9 and pH 5 were also injected to study binding  
15 stability at different pH.

16 *Ellipsometry.* The dry polymer film thickness measurements were obtained via an  $\alpha$ -SE spectroscopic  
17 ellipsometer (J. A. Woollam) at an incident angle of 70°. Bare substrates of silicon was used to build  
18 the model using a cauchy layer to measure the brush thickness. Silicon wafers (1 cm x 3 cm) grafted  
19 with PDMAEMA were measured at three different points per sample and average values were  
20 reported  $\pm$  standard deviations. For *in situ* measurements, the wafers were mounted on the liquid cell,  
21 and injected with 10 mM HBS/150 mM NaCl buffer and allowed to reach its swelling equilibrium. This  
22 was then followed by injection of plasmid DNA dissolved in the respective buffer, and the wet  
23 thickness was measured at regular intervals.

24 *In vitro cell viability assay.* The cell viability of PDMAEMA-coated silica particles was evaluated on  
25 HaCaT cells by using live/dead assay. HaCaT cells were cultured in Dulbecco's Modified Eagles Medium  
26 (DMEM) with 10 % fetal bovine serum (FBS), 1% penicillin/streptomycin and 1% glutamine, then cells  
27 were maintained in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C with the medium changed every other  
28 day. Two 24-well plates were coated with 20 µg/mL collagen diluted in DPBS per well, for 30 min at  
29 RT, and washed with DPBS before being seeded with HaCaTs at a density of 25k cells per well (500 µL).  
30 Live/dead assay was carried out at 4 h and 24 h time points. Prior to seeding, the medium was replaced  
31 from each well with 500 µL KFSM without supplements on the following day. Cells were either



1 incubated with particle suspensions only or particle suspensions with DNA in the N/P ratios 1:1, 3:1,  
2 5:1, 7:1, 10:1 and 20:1. A well was left without exposure to nanoparticles or DNA as a positive control.  
3 50  $\mu\text{L}$  of 150 mM NaCl containing 1  $\mu\text{g}$  of EGFP plasmid (i.e 2.8  $\mu\text{L}$  of 0.358  $\mu\text{g}/\mu\text{L}$  EGFP stock solution)  
4 was added to 50  $\mu\text{L}$  of 150 mM NaCl containing PDMAEMA-coated particles (3.8 mg/mL stock solution  
5 concentration) of the required N/P ratios (N/P 1:1 = 1.3  $\mu\text{L}$ , 3:1= 3.9  $\mu\text{L}$ , 5:1=6.5  $\mu\text{L}$ , 7:1=9.1  $\mu\text{L}$ , 10:1=13  
6  $\mu\text{L}$ , 20:1=26  $\mu\text{L}$ ). These were incubated for 15 min at room temperature. 100  $\mu\text{L}$  of each complex was  
7 added dropwise into a row of wells (24-well plate) containing the cells as prepared above. In addition,  
8 100  $\mu\text{L}$  of 150 mM NaCl containing PDMAEMA-coated particles (3.8 mg/mL) were then added  
9 dropwise into another row of the 24-well plate in which cells had been seeded as above. After 4 h or  
10 24 h, the medium in the well was replaced with 1 mL DMEM containing 4 mM calcein AM (0.5  $\mu\text{L}$ ) and  
11 2 mM ethidium homodimer (2  $\mu\text{L}$ ). The well-plate was incubated for 30 min at 37°C, and imaged using  
12 a Leica DMI4000B Epifluorescent Microscope after 4 h or after 24 h.

13 *In vitro transfection assays.* The protocol for the transfections with PDMAEMA was adapted from  
14 jetPEI® *in vitro* DNA Transfection Protocol from PolyPlus transfection™. 2  $\mu\text{L}$  of jetPEI® per  $\mu\text{g}$  of DNA  
15 was used as a control, following a protocol recommended by the manufacturer, with minor changes  
16 to conditions for optimisation of transfection efficiencies (DNA concentration, cell density, time of  
17 incubation) with our cell culture system. For transfection with polymer brushes, 1  $\mu\text{g}$  of DNA, 2  $\mu\text{L}$  of  
18 jetPEI® reagent and PDMAEMA-g-SiO<sub>2</sub> at N/P ratios 5:1, 10:1 and 15:1 were diluted in 50  $\mu\text{L}$  of 150  
19 mM NaCl solution separately. 50  $\mu\text{L}$  of the resulting cationic polymer solution was then added to the  
20 50  $\mu\text{L}$  DNA solution (adding in the reversed order can reduce transfection efficiency), the resulting  
21 solution was mixed gently and then incubated for 15 to 30 min at room temperature. 100  $\mu\text{L}$  of the  
22 cationic polymer/DNA mix was then added dropwise to each well containing the cells seeded on the  
23 previous day (density: 25k) in 1 mL of KSFM and gently mixed by moving the plate side-to-side. The  
24 complexes were incubated with the cells for 4 hours then the medium was replaced with KSFM with  
25 supplements. The cells were incubated for a further 24 h to allow sufficient expression of the plasmid  
26 DNA before performing reporter gene assay.

27 *Fluorescence imaging.* Transfection efficiencies were measured from counting the number of  
28 transfected cells expressing EGFP and the total number of cells, stained with 4',6-Diamidino-2-  
29 phenylindole dihydrochloride (DAPI). Quantification was carried out using ImageJ. Following  
30 thresholding of the DAPI channel of images, individual nuclei were recognised automatically by ImageJ  
31 using the 'Analyze Particles' function (with a size threshold of 50 pixels). Corresponding intensities  
32 measured in the EGFP channels were automatically measured for each nuclei. EGFP positive cells were  
33 defined with a threshold two-fold higher than the basal fluorescence level (defined as the fluorescence

1 level of the lowest 5% cells). The number of transfected cells as a percentage of the total number of  
2 cells was then presented in all studies involving transfection efficiency.

3 Confocal laser scanning microscopy (CLSM) was used to observe the subcellular distribution of  
4 particles after incubation. Three-dimensional images of HaCaT cells, with  $\Delta z = 300$  nm were acquired  
5 via CLSM. The main panel from the CLSM image shows the fluorescent image in the x-y cross-section  
6 at a given z-location whilst the 2 smaller panels show the structure along the x-z (bottom) and y-z  
7 (right side panel) at the position indicated by the yellow lines in the main panel (as in Figure 4B).

8 *Statistical analysis.* The statistical analysis was performed for the cell viability and transfection results  
9 via one-way ANOVA followed by Tukey's test using OriginPro 9.0 and pairwise Student's T-test for post  
10 hoc analysis where p values  $< 0.05$  were considered significant (\* =  $p < 0.05$ , \*\* =  $p < 0.01$  and \*\*\* =  $p$   
11  $< 0.001$ ). All data values are expressed as mean  $\pm$  standard error (SE) where  $n \geq 3$ .

12 *Determination of brush grafting density on silica nanoparticles.* The grafting density of PDMAEMA  
13 brushes grown from silica nanoparticles was determined using equation S2, taking into account the  
14 weight percentage (characterised by TGA) and molecular weight of PDMAEMA brushes grown from  
15 silica nanoparticles. For characterization of the molecular weight, PDMAEMA brushes were cleaved  
16 from silica nanoparticles and characterized via gel permeation chromatography (GPC). Briefly, 5 mL  
17 SiO<sub>2</sub>-PDMAEMA particle suspension (20 mg/mL) was added to 25 mL 10 % hydrofluoric acid solution  
18 and stirred at room temperature for 4 h. The cloudy particle suspension turned clear after the silica  
19 core dissolved completely. The solution was then transferred to a 3.5 KD Spectra/Por<sup>®</sup> dialysis bag,  
20 dialysed with deionised water and freeze dried afterwards. GPC measurements were carried on an  
21 Agilent 1260 infinity system operating in dimethylformamide (DMF) with 5 mM ammonium  
22 tetrafluoroborate at 50 °C and equipped with refractive index detectors and variable wavelength  
23 detectors. The instrument was calibrated with linear narrow polystyrene standards in a range of 550  
24 to 46,890 g/mol. 2 mg of PDMAEMA cleaved from silica nanoparticles was fully dissolved in 2 mL of  
25 DMF and filtered before GPC characterization.

## 26 **RESULTS AND DISCUSSION**

27 **Synthesis of PDMAEMA-grafted particles.** PDMAEMA brushes were grown from silica nanoparticles  
28 functionalised with ATRP initiator molecules, using modified protocols reported in the literature  
29 (Figure 1)<sup>25,26</sup>. Targeted thicknesses were 10 and 30 nm, and corresponding polymerisation times were  
30 determined from the kinetics of PDMAEMA brush growth from silicon wafers (Supplementary Figure  
31 S1, in Supplementary Information). The hydrodynamic size of the corresponding silica nanoparticles

1 and their zeta potential  $\zeta$  were 650 nm and 40.0 mV (for 30 nm brushes) in deionised water, as  
2 determined via dynamic light scattering (DLS) and electrophoretic light scattering. These values are  
3 consistent with the size of the dry brushes generated and their expected positive charge at neutral pH  
4 (similar to high levels of swelling reported for positively charged PMETAC and PDEA brushes grown  
5 from nanoparticles<sup>25,27,28</sup>). TGA confirmed the formation of a polymer shell surrounding nanoparticles  
6 (Supplementary Figure S2). It is clear that the weight loss occurs in two stages. The first weight loss  
7 from RT to 200 °C, with a more pronounced decrease below 100 °C, is caused by the desorption of  
8 physically adsorbed water. The second stage, which takes place above 280 °C, with two main  
9 decomposition peaks, is due to the decomposition of the grafted polymer brush. From these traces,  
10 the average weight percentage of PDMAEMA grafted on silica particles was found to be close to 27 %,  
11 which is 24 nm (for calculations, see equation S1 in Supplementary Information), consistent with the  
12 expected dry thickness of the brushes generated (from ellipsometry data). In addition, results  
13 obtained at different polymerisation times confirmed that the thickness of brushes grown from silica  
14 nanoparticles and from silicon wafers were comparable, with a linear increase with polymerisation  
15 time (for the reaction time probed, see Supplementary Figure S3). This is a reasonable indicator of  
16 control of brush thickness with polymerisation time (although not necessarily implying perfect control  
17 of the brush polydispersity). The polybase nature of PDMAEMA brushes was confirmed by *in situ*  
18 ellipsometry which gave clear evidence of responsive swelling of the coatings as a function of pH  
19 (Supplementary Figure S4). At high pH (above pH 9), PDMAEMA brushes displayed modest swellings  
20 (100-200%), consistent with moderately hydrophilic, neutral brushes<sup>29</sup>, whilst at lower pH their  
21 swelling increased to 200-400%, in good agreement with the expected protonation of DMAEMA  
22 repeat units at a pKa of 7.5. In addition, the swelling and collapse behaviour of PDMAEMA brushes  
23 displayed a strong hysteresis that was previously attributed, in the case of poly(diethyl aminoethyl  
24 methacrylate), to the hindered collapse of brushes upon increase of the pH from acidic conditions<sup>27</sup>.  
25 Together, these results confirm the reasonable control of PDMAEMA brush growth from silicon and  
26 silica substrates and their pH-responsive behaviour.

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29 **Formation of SiO<sub>2</sub>-g-PDMAEMA/DNA complexes.** To confirm the complexation of DNA by PDMAEMA-  
30 functionalised nanoparticles, the interaction of plasmid DNA molecules and polymer brushes (30 nm  
31 from silica nanoparticles) in 150 mM NaCl was investigated via dynamic light scattering and  
32 electrophoretic light scattering. The plasmid concentration was fixed at 10 µg/mL and the amount of  
33 SiO<sub>2</sub>-g-PDMAEMA nanoparticles introduced was varied to control the N/P ratio of the formed  
34 complexes (from 1:1 to 20:1, Figure 2). At low N/P ratios (1:1), plasmid DNA effectively condensed at

1 the surface of PDMAEMA-coated nanoparticles, resulting in a reversal of their zeta potential (from  
2 22.2 mV to -30.1 mV) and a decrease of their hydrodynamic diameter (from 745 to 573 nm, in 150  
3 mM NaCl, Figure 2A). This suggests a partial collapse of the brush structure upon complexation of high  
4 levels of DNA and an efficient coverage of the surface of the nanoparticles by plasmid DNA molecules.  
5 Indeed, the reversal of the zeta potential suggests an incomplete infiltration of DNA through the brush  
6 and a double shell structure resulting in negative charges exposed at the surface of the complexed  
7 nanoparticles. Interestingly, this behaviour contrasted with ellipsometry results, which showed an  
8 increase in the swollen thickness upon DNA binding (Figure 2B). This is perhaps the result of the lower  
9 N/P ratios achieved during in situ ellipsometry experiments, as the DNA concentrations used are  
10 expected to be in large excess of the total density of DMAEMA moieties (and therefore amines)  
11 present in the brush, due to the low surface area of the interface used compared to complexation of  
12 the same amount of DNA by SiO<sub>2</sub>-g-PDMAEMA nanoparticles.

13 At N/P ratios of 5:1 or above, positively charged complexes were formed, in agreement with the  
14 expected charge imbalance. This indicates a partial coverage of the particle surfaces by DNA molecules  
15 in such conditions and may imply some level of infiltration, resulting in segments of PDMAEMA  
16 brushes being exposed at the surface of nanoparticle complexes. Particle sizes also increased  
17 significantly at higher N/P ratios, due to aggregation caused by partially infiltrated DNA molecules,  
18 able to interact with two neighbouring particles. This phenomenon was particularly pronounced at a  
19 moderate N/P ratio of 5:1, compared to 20:1, presumably due to the higher surface density of DNA  
20 molecules at a 5:1 charge ratio. Such bimodal evolution of the size of complexes and associated  
21 particle aggregation was reported by others for poly(acrylic acid)-functionalised particles complexed  
22 by poly(trimethylammonium ethylacrylate) copolymers<sup>30</sup>. However, due to sedimentation of the  
23 aggregates formed and their large size (potentially resulting in multiple scattering), the trends  
24 observed, rather than the precise hydrodynamic diameters of the aggregates reported, are thought  
25 to qualitatively describe the evolution of the complexes generated.

26 The principal driving force controlling the condensation of DNA is the release of counterions occurring  
27 during complexation, mediated by electrostatic interactions between the positively charged groups of  
28 the cationic polymer and the negatively charged phosphate groups of DNA molecules<sup>31, 32</sup>. The length  
29 of DNA molecules, electrostatic interactions and association with solvent molecules determine their  
30 hydrodynamic diameter and the compactness of their conformation<sup>33</sup>. Large electrostatic repulsion  
31 between the two strands and the inherent stiffness of the charged helix maintains the elongated coil  
32 state of DNA. These electrostatic interactions and the persistence length of DNA chains are, however,  
33 reduced as a result of shielding in high salt conditions<sup>34</sup>. Similarly, the conformation of polymer  
34 brushes and their density are expected to impact DNA complexation as was observed in the case of

1 other biomacromolecules<sup>35,36</sup>, and should be subjected to substantial reorganisation upon infiltration  
2 of PDMAEMA brushes. Overall, our results indicate that, although substantial DNA complexation  
3 occurs, DNA infiltration is partial, regardless of the N/P ratio used, and that such surface tethering of  
4 DNA molecules controls the colloidal properties of the resulting complexes.

5  
6 **In vitro cytotoxicity.** The cytotoxicity of SiO<sub>2</sub>-g-PDMAEMA and corresponding DNA complexes was  
7 examined next. We studied the impact of N/P charge ratio, DNA complexation and incubation time on  
8 the viability of HaCaT cells in serum free medium (Figure 3). The colloidal silica core used in this study  
9 is bioinert<sup>37, 38</sup>, hence does not influence cytotoxicity greatly whilst cationic brushes are expected to  
10 generate some level of cytotoxicity, depending on the concentration of the particles, brush density  
11 and length. PDMAEMA itself was shown to display some modest level of cytotoxicity, compared to  
12 other cationic polymers<sup>39, 40</sup>. This is thought to be the result of the tertiary amine groups of  
13 PDMAEMA's structure, as tertiary amines were found to display lower toxicity than primary and  
14 secondary amines<sup>41, 42</sup>. Therefore PDMAEMA, with its tertiary amines, would be expected to display  
15 lower cytotoxicity than other coatings with primary or secondary amines and this may be reflected in  
16 the relatively low toxicity observed at moderate N/P ratios. At a polymer concentration of 1.5 µg/mL  
17 (corresponding to N/P 1:1, with a fixed DNA concentration of 1 µg/mL), cell viability remained  
18 comparable to that of the control (cells on tissue culture plastic, Figure 3). As the N/P ratio increased,  
19 the cytotoxicity of nanoparticles clearly increased, especially in the absence of DNA complexation,  
20 reaching levels as low as 82 % for a polymer concentration of 30 µg/mL (corresponding to a N/P of  
21 20:1). DNA is believed to have a protective effect due to the lowering of the surface charge density  
22 (especially at low N/P ratios) and the introduction of a steric barrier preventing charged PDMAEMA  
23 brushes from direct contact with the cell membrane and preventing its disruption<sup>43, 44</sup>. In addition,  
24 cells were found to recover partially from initial cell toxicity as viabilities measured 24 h after  
25 transfection were improved compared to 4 h time points. Overall, viabilities measured at N/P ratios  
26 of 5/1, 24 h after transfection, were still around 82 %. These toxicity levels are in good agreement with  
27 those reported for other cationic polymers (e.g. with fibroblasts COS-7 and MCF-7 cells)<sup>40, 41, 45</sup>.

28  
29 **Nanoparticle uptake and localisation.** In addition to cytotoxicity, the efficiency of gene expression is  
30 controlled by processes involving the uptake of DNA complexes, their intracellular fate and ability to  
31 release DNA in the cytoplasm, close to the nucleus<sup>46</sup>. Therefore we next examined the uptake of  
32 PDMAEMA-grafted nanoparticles by HaCaT cells. Surface chemistry of the particles is an essential  
33 parameter controlling cellular uptake. In that respect, the structure and conformation of PDMAEMA

1 brushes as well as the hydrophilicity of the surface coating of nanoparticles are of particular  
2 importance. Generally, it is expected that the cationic polymeric particles would be uptaken more  
3 efficiently than neutral or anionic particles, due to electrostatic interaction with the cell membrane,  
4 though neutral nanoparticles have been reported to display high cellular uptake<sup>47, 48</sup>. In addition,  
5 highly positively charged particles may be further coated by proteins present in cell culture media  
6 (from the serum used), which may facilitate cellular uptake in some cases, but can result in particle  
7 aggregation. This was previously observed in the case of PMETAC-coated silica nanoparticles<sup>49</sup>. Silica  
8 nanoparticles utilised in this study are also believed to enhance uptake by sedimentation to the cell  
9 surface<sup>50</sup>.

10 To clarify the localisation of DNA-nanoparticle complexes upon incubation with cells, we used  
11 fluorescence microscopy to follow the fate of tagged nanoparticles (Figure 4), 4 h after incubation in  
12 the culture medium of HaCaT cells (DMEM, 10 % fetal bovine serum). Amino groups were  
13 incorporated into the mesoporous silica nanoparticles via co-condensation, in order to serve as  
14 coupling sites for the initiator and conjugation sites for the incorporated fluorescent label, FITC.  
15 Porous nanoparticles were selected in order to improve the loading of FITC dyes and associated  
16 fluorescence of the resulting particles<sup>51</sup>. The impacts of N/P ratios (1, 5 and 10) and complexation of  
17 DNA on the localisation of nanoparticles were examined. At low N/P ratios (1:1), very few particles  
18 were found associated with cells and it was difficult to determine whether DNA complexation was  
19 strongly affecting such localisation. At such N/P ratios, our electrophoretic light scattering data  
20 suggested that particles would present a negatively charged surface to cells, hence decreasing their  
21 potential uptake (Figure 2). As the N/P ratio increased, nanoparticles were observed to accumulate at  
22 increasing densities close to nuclei, in particular at a 10:1 ratio (Figure 4A). The complexation of DNA  
23 did not significantly change this trend, although particles were also found in between cells. Confocal  
24 laser scanning microscopy was used to investigate the subcellular distribution of particles internalised.  
25 Particles are seen to aggregate close to the nuclei, sometimes under or even within nuclear ruffles.  
26 The localisation of SiO<sub>2</sub>-g-PDMAEMA-DNA complexes near the nuclei suggests that particles have  
27 bound to the cell surface and internalised and should be able to deliver their payload to transfected  
28 cells.

29

30 **Gene delivery with SiO<sub>2</sub>-g-PDMAEMA nanoparticles.** The transfection efficiency of PDMAEMA brush-  
31 grafted silica nanoparticles was determined from the percentage of HaCaT cells expressing EGFP, via  
32 fluorescence microscopy. The performance of SiO<sub>2</sub>-g-PDMAEMA as a transfection agent was evaluated  
33 against the commercially available jetPEI<sup>®</sup> kit, using a plasmid encoding EGFP expression. The

1 transfection efficiency of SiO<sub>2</sub>-g-PDMAEMA nanoparticles at N/P ratios 5:1, 10:1 and 15:1 were  
2 examined (Figures 5 and Supplementary Figure S5). In addition, the medium (150 mM NaCl solution,  
3 PBS or HBS buffer with pH adjusted to 7.4 in all cases) in which the complexes were assembled were  
4 altered to investigate the impact of complex formation on transfection. In good agreement with  
5 particle localisation results, cell transfection was not significant at a 5:1 N/P ratio. At higher ratios  
6 transfection efficiencies increased significantly, in particular at a 15:1 ratio. Higher ratios were not  
7 considered due to their higher toxicities. In addition, we found that the type of medium used to  
8 assemble the DNA-particle complexes had a strong influence on the overall transfection efficiency.  
9 Hence complexes prepared in PBS led to 15.2 % cells expressing EGFP, slightly lower (by 3.6 %) than  
10 the efficiencies measured for our positive control (jetPEI) and in agreement with the transfection  
11 levels reported for keratinocytes (including HaCaTs) using non-viral vectors<sup>52-55</sup>. In contrast, HBS  
12 displayed lower transfection levels at both ratios of 10:1 and 15:1. The buffer used for the formation  
13 of complexes therefore seems to have an impact on transfection efficiencies, despite the use of  
14 identical media for the actual incubation of cells with the resulting DNA-loaded nanoparticles. In  
15 addition, the presence of serum was found to strongly affect overall transfection efficiencies, following  
16 a similar trend across the different media used for complex formation, typically leading to a 2-3 fold  
17 decrease in the percentage of EGFP expressing cells. Such effect may arise from the binding of serum  
18 proteins decreasing the overall surface charge density of the resulting modified complexes.

19 Our observations that transfections increased significantly above N/P ratios of 5:1 are in good  
20 agreement with the literature for free PEI/PDMAEMA polymer chains<sup>56</sup>, or free PDMAEMA polymers  
21 with a range of molecular weights<sup>15</sup>, although some reports indicated transfections already at N/P 5:1  
22 (acetate buffer with 40% sucrose were used for complexation)<sup>57</sup>. Similarly, PDMAEMA-grafted  
23 superparamagnetic nanoparticles displayed increased transfection efficiencies at N/P ratios above  
24 10:1<sup>20</sup>. Although the transfection levels observed are encouraging (comparable to those observed for  
25 the jetPEI control and those reported in the literature for HaCaT cells<sup>52-55</sup>), they highlight the difficulty  
26 to successfully deliver DNA to the nuclei of cells and to see such material being successfully  
27 transcribed. Indeed, DNA molecules must dissociate from the condensed complexes sufficiently close  
28 to the nucleus, prior to crossing the nuclear envelope (nuclear pores allow molecules prevent  
29 molecules or objects above 10 nm from entering), or must preserve their cargo intact until cell division  
30 occurs<sup>9,46</sup>. Hence the regulation of the stability of complexes and DNA dissociation is key and requires  
31 a tight control and coordination with molecular transport within the cytoplasm and during the cell  
32 cycle<sup>58</sup>. In this respect, cationic polymers allow limited control of such delivery and interactions with  
33 cytoplasmic molecules and proteins may significantly alter the stability of DNA-nanoparticle  
34 complexes. Following subsequent DNA delivery close to the nucleus, transfection efficiencies are also

1 dependent on the composition of the gene expression system<sup>46</sup>. However, our results indicated that  
2 the initial loading and stability of the complexes formed are particularly dependent on the initial  
3 medium in which they were assembled. Similar impact of environmental parameters (pH, ionic  
4 strength, buffer type) was also reported for the assembly of polyelectrolyte multilayers, analogous to  
5 the complexes presently studied<sup>59,60</sup>.

6

7 **Probing DNA-brush interactions via SPR and in situ ellipsometry.** We next explored the origin of the  
8 impact of the complexation buffer on transfection efficiencies and proposed it to be the result of  
9 changes in interactions between DNA molecules and PDMAEMA brushes. To study such phenomena,  
10 we quantified DNA binding to PDMAEMA brushes via surface plasmon resonance (SPR) and in situ  
11 ellipsometry (Figure 6 and Supplementary Figure S6). After equilibration of PDMAEMA brushes in the  
12 relevant buffers with controlled pH (150 mM NaCl, PBS and HBS, all adjusted at pH 7.4), DNA solutions  
13 (1 µg/mL in the corresponding buffer) were injected. SPR traces clearly showed a steady increase in  
14 the detected response, corresponding to binding of DNA molecules to the brush-functionalised  
15 surface (Figure 6A). This increase was relatively slow, perhaps as a result of the overall low  
16 concentration of DNA molecules (compared to other studies of protein binding to brushes) and a slow  
17 infiltration of large plasmid DNA molecules. Such interactions were found to be reversible as, when  
18 the DNA-adsorbed PDMAEMA surfaces were subsequently exposed to media with a lower pH (5.0),  
19 the level of DNA remained unchanged, however, when the pH was raised to 9.0, DNA was released  
20 from the brush (Supplementary Figure S7), confirming the role of electrostatic interactions in the  
21 binding of nucleic acid molecules. In addition, the level of DNA binding was strongly affected by the  
22 type of buffer used and the thickness of the polymer brushes. Hence, whereas DNA binding was not  
23 significantly affected by the brush thickness when complexation occurred in 150 mM buffers (Figure  
24 6B), it increased 5-fold in PBS when the brush thickness increased from 10 to 30 nm. In comparison,  
25 DNA binding in HBS remained very low (46 – 59 ng/cm<sup>2</sup>) for both brush thicknesses. Hence our results  
26 indicate that DNA binding strongly depends on the complexation buffer as well as brush thickness.

27 To further study interactions between plasmid molecules and polymer brushes, DNA binding was  
28 investigated by in situ ellipsometry. These results confirmed the adsorption of DNA molecules in 150  
29 mM NaCl solutions, with an average  $9 \pm 3.2$  nm increase in thickness for 10 nm PDMAEMA brushes  
30 (dry thickness, the corresponding swollen thickness was 30 nm). In contrast, this increase was not  
31 significant for thicker brushes (30 nm dry thickness, 60 nm swollen thickness) in the same buffer,  
32 possibly indicating a greater level of infiltration in thicker brushes. In agreement with the SPR data,  
33 when DNA solutions in 10 mM HBS were injected, a weaker effect was observed on the resulting



1 thickness of the complexes  $4.4 \pm 2.2$  nm for 10 nm PDMAEMA brushes. Several processes may play  
2 opposite effects on the evolution of the measured wet ellipsometric thickness during DNA binding, as  
3 an increase in bound material should result in an increase in thickness, whereas the complexation of  
4 cationic brushes by negatively charged DNA could result in the release of water molecules and partial  
5 collapse of the complex. Alternatively, DNA infiltration may occur without significant collapse of the  
6 complex if water molecules remain strongly associated to the resulting interface. Our results do not  
7 support the hypothesis of a collapse of the complex, as the refractive index of the coating did not  
8 change significantly prior and after binding and fitting our results with a bilayer or gradient model did  
9 not improve the quality of the fits (in all cases mean square errors were found to be below 2.0). In 150  
10 mM NaCl, the refractive index measured decreased from 1.4 to 1.39 upon DNA binding, indicating the  
11 adsorption of highly hydrated DNA molecules rather than the collapse of the brush-DNA construct. In  
12 HBS, no change in refractive index was observed, although this is not surprising considering the weak  
13 binding of DNA to PDMAEMA brushes. Overall, in situ ellipsometry and SPR results imply that DNA  
14 molecules strongly bind to PDMAEMA brushes, but that on thin (10 nm) brushes DNA chains are poorly  
15 infiltrated and contribute to an increase in the complex thickness, whereas on thicker (30 nm) brushes,  
16 more extensive infiltration does not result in significantly increased swollen thicknesses.

17

18 **Impact of buffer type on brush conformation.** We hypothesised that the differences in DNA binding  
19 observed in different media were due to changes in PDMAEMA brush conformation, considering that  
20 the pH of these media was adjusted to 7.4 in all cases. To test this hypothesis, we studied brush  
21 swelling in these different media via in situ ellipsometry and light scattering (Figure 7). We found that  
22 although the surface charge of SiO<sub>2</sub>-g-PDMEAMA particles remained very similar across the three  
23 media tested ( $\zeta$  potentials of 14, 15 and 20 mV, for 150 mM NaCl, PBS and HBS, respectively, Figure  
24 7A), brush swelling varied significantly. Hence, the hydrodynamic diameter of SiO<sub>2</sub>-g-PDMAEMA  
25 particles suspended in HBS was found to be considerably higher than that of particles suspended in  
26 PBS or 150 mM NaCl media (Figure 7B). Similarly, the swelling of PDMAEMA brushes (30 nm dry)  
27 measured in HBS was  $290 \pm 20$  %, whereas that measured in PBS and 150 mM NaCl were  $210 \pm 10$  and  
28  $215 \pm 10$  %, respectively (Figure 7C). Hence, we found a good correlation between brush swelling and  
29 the decrease in DNA binding. This is consistent with predictions that propose that the increase in  
30 osmotic pressure associated with high brush swelling reduces the adsorption of biomacromolecules<sup>61</sup>.  
31 However, the origin of the increased swelling of PDMAEMA brushes in HBS is not clear. Brush swelling  
32 is strongly affected by the pH and ionic strength, but as these were kept constant across the three  
33 media studied, they cannot account for the changes in conformation observed for HBS. (4-(2-  
34 hydroxyethyl)-1-piperazineethanesulfonic acid) (HEPES), the compound controlling the buffering

1 capacity of HBS is a zwitterionic molecule with a piperazine cycle, may be potentially able to bond  
2 PDMAEMA brushes (in particular when protonated) and increase the apparent molar mass of the  
3 brush repeat units (see Figure 7D). Water molecules would be expected to remain associated with the  
4 resulting complexed brushes, due to the high hydrophilicity of the zwitterionic HEPES. In addition,  
5 such binding may hinder further binding of negatively charged molecules such as plasmid DNA. Hence,  
6 the buffering molecules may interact with polymer brushes and alter both their conformation and  
7 interactions with biomacromolecules.

8

## 9 **CONCLUSIONS**

10 Our results demonstrate the strong binding of DNA molecules to PDMAEMA brushes and the ability  
11 of the resulting complexes to transfect to efficiencies approaching those of the commercial control  
12 jetPEI. Beyond the role of parameters recognised to play an important role in transfection in other  
13 cationic polymer platforms, such as N/P ratios and the presence of serum during transfection, we  
14 identified that the type of medium or buffer used during initial DNA complexation plays an important  
15 role in the overall expression level of the corresponding protein. This seems to be an effect mediated  
16 by the conformation of polymer brushes during complexation, rather than simply resulting from  
17 changes in surface charge density and electrostatic interactions. Hence our results point towards  
18 interactions of buffer molecules with polymer brush chains as an important parameter controlling  
19 their conformation and associated osmotic pressure. In addition, such buffer-brush interactions may  
20 also act as effective competitors of DNA-brush interactions, therefore modulating DNA binding levels  
21 and resulting gene transfection. This raises an important question regarding the type of interactions  
22 and events that control DNA dissociation from such complexes, upon internalisation. Indeed, our  
23 experiments suggest that DNA-PDMAEMA brush complexes should be able to resist the low pH  
24 present in the endosome, but the potential role of competitor molecules in transfection culture  
25 medium, the endosome or the cytoplasm is not known and the mechanisms controlling the kinetics  
26 of DNA dissociation from such complexes not well understood or controlled. This has important  
27 implications for design as the control of such kinetics should impact when and where DNA is released,  
28 therefore strongly impacting its ability to localise at the nucleus where it can be transcribed to express  
29 the targeted protein.

## 30 **AUTHOR INFORMATION**

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33 *Notes.*

1 The authors declare no competing financial interest.

2

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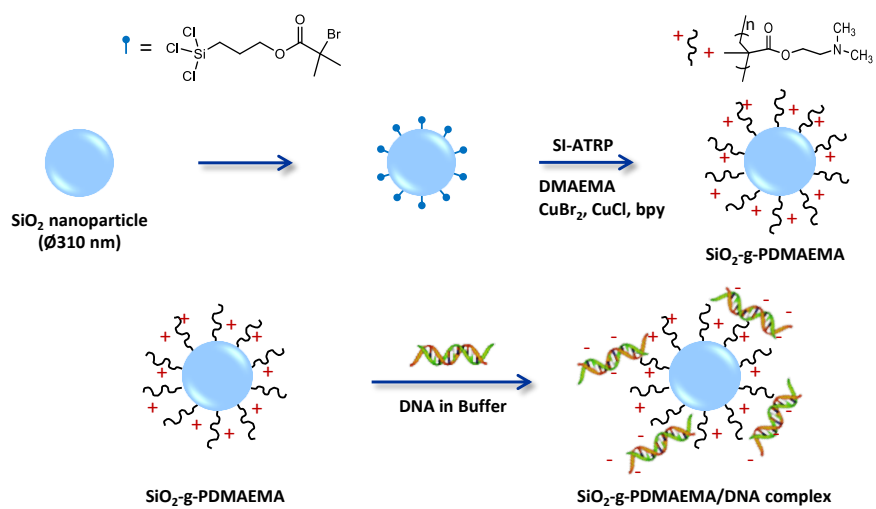
### 9 **SUPPORTING INFORMATION**

10 The Supporting Information is available free of charge on the ACS Publications website at DOI:  
11 .Additional experimental results (brush growth kinetics, TGA data, light scattering results at different  
12 pH, fluorescence microscopy imaging of transfected cells, SPR data for 10 nm brushes and showing  
13 complex stability upon exposure to media with different pH).

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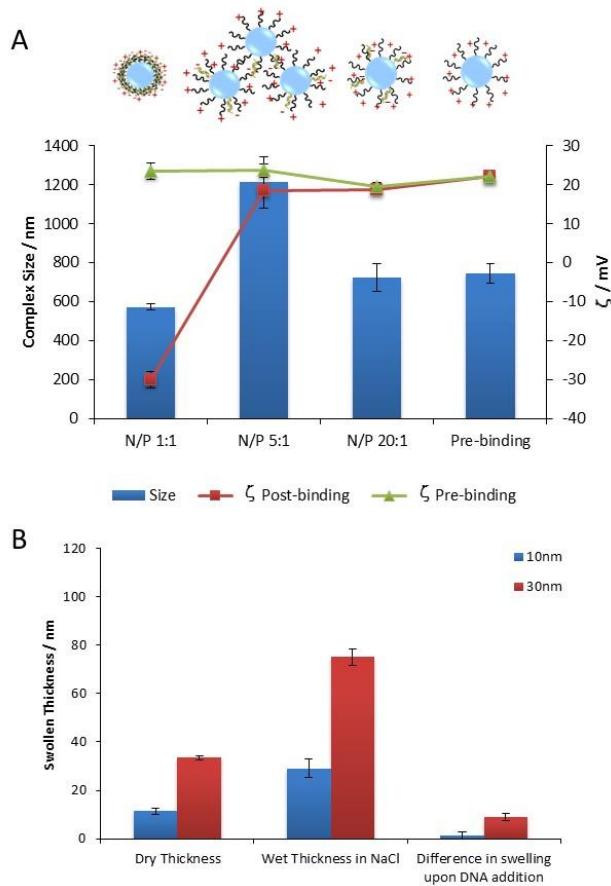


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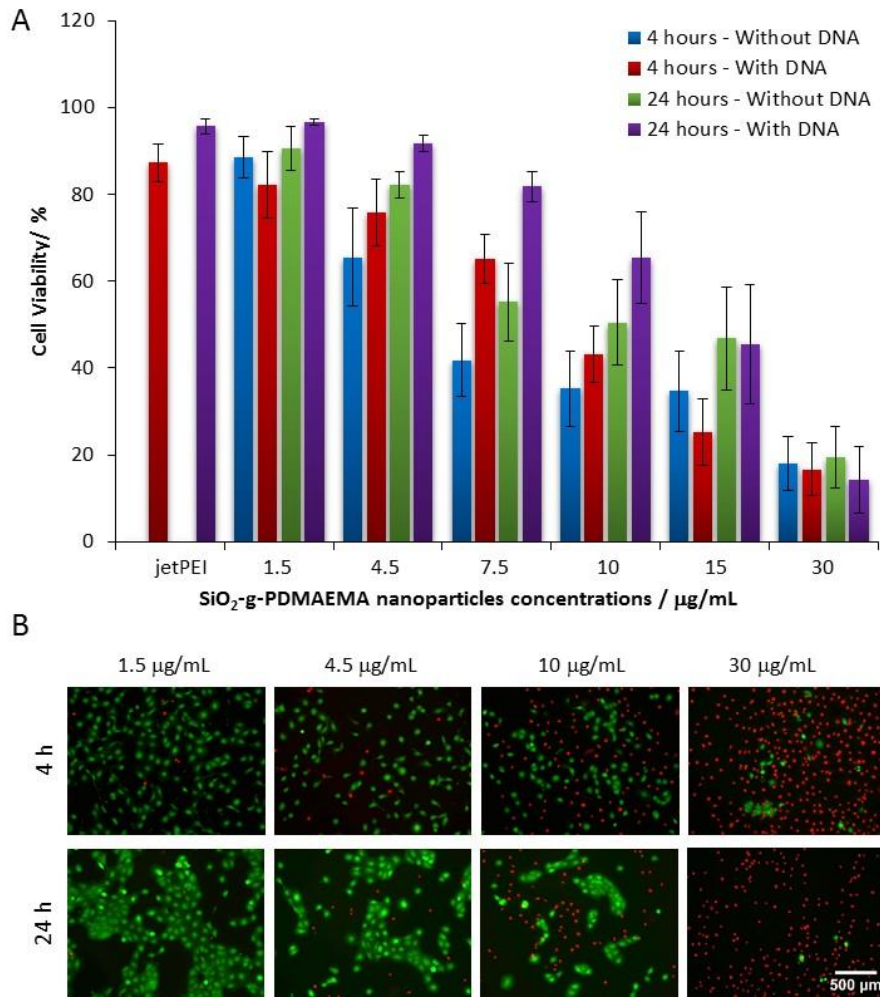
4 **Figure 1.** Schematic representation of the different steps used for the functionalisation of silica  
5 nanoparticle with PDMAEMA brushes and subsequent interaction with DNA molecules (scheme not  
6 to scale).

7



1

2 **Figure 2.** Complexation of DNA molecules by PDMAEMA brushes. A, changes in complex size (blue  
 3 bars) and zeta potential ( $\zeta$ ) before (green triangles) and after (red squares) DNA ( $10 \mu\text{g}/\text{mL}$ ) adsorption  
 4 to  $\text{SiO}_2\text{-g-PDMAEMA}$  nanoparticles (30 nm brushes) at varying N/P ratios, in 150 mM NaCl aqueous  
 5 solutions. B, effect of DNA addition on the swollen thickness of PDMAEMA brush, grafted from flat  
 6 silicon substrates at a thickness of either 10 nm (blue) or 30 nm (red) and equilibrated in 150 mM  
 7 NaCl.

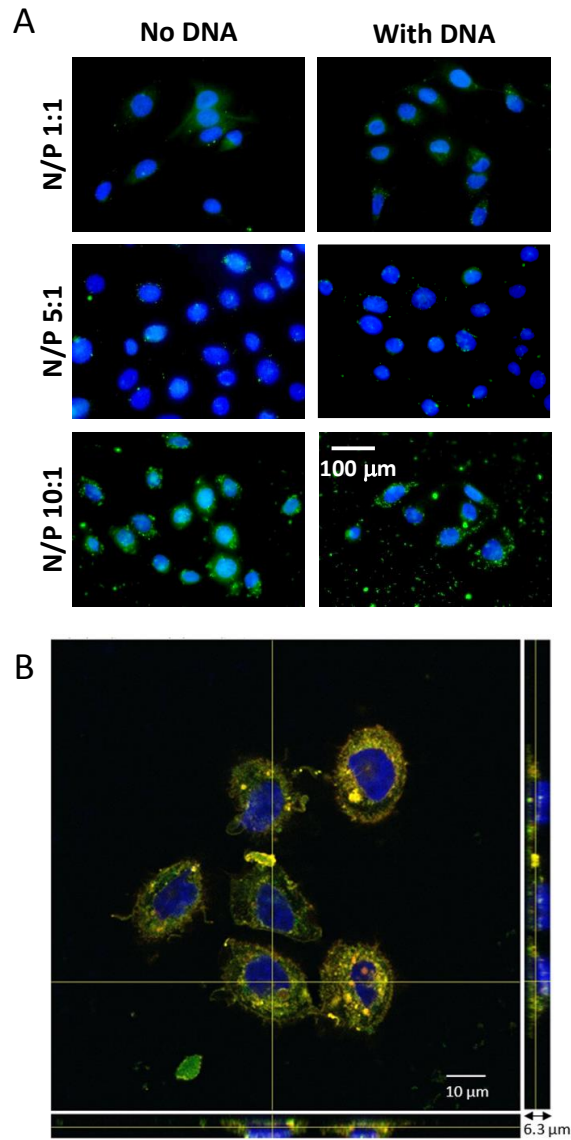


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2 **Figure 3.** Cytotoxicity of SiO<sub>2</sub>-g-PDMAEMA nanoparticles, at 4 h and 24 h time points, with and without  
 3 DNA, assessed on HaCaT cells via live/dead staining assay (A). DNA content was kept constant for all  
 4 conditions (1 µg/mL). The SiO<sub>2</sub>-g-PDMAEMA nanoparticles concentrations were in the range of 1.5 to  
 5 30 µg/mL. B, corresponding fluorescence microscopy images.

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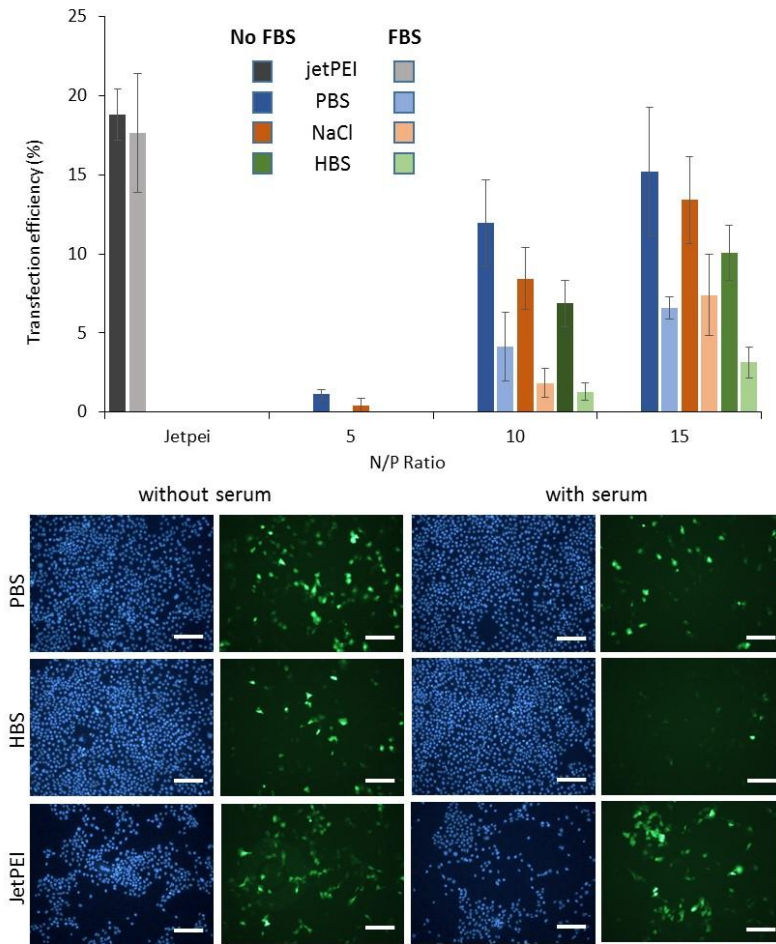
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**Figure 4.** SiO<sub>2</sub>-g-PDMAEMA nanoparticles uptake by HaCaT cells. A. Fluorescence microscopy images capturing cellular uptake of FITC-labelled SiO<sub>2</sub>-g-PDMAEMA nanoparticles (green) after 4 h incubation. DNA content was kept constant (1 μg/mL), whereas the amount of SiO<sub>2</sub>-g-PDMAEMA nanoparticles was varied to achieve N/P ratios ranging from 1:1 to 10:1. Nuclei (blue) were stained with Hoescht 33342. B. Three-dimensional stacks of confocal images of HaCaTs incubated with FITC-labelled SiO<sub>2</sub>-g-PDMAEMA-DNA, with DNA at N/P 10:1.

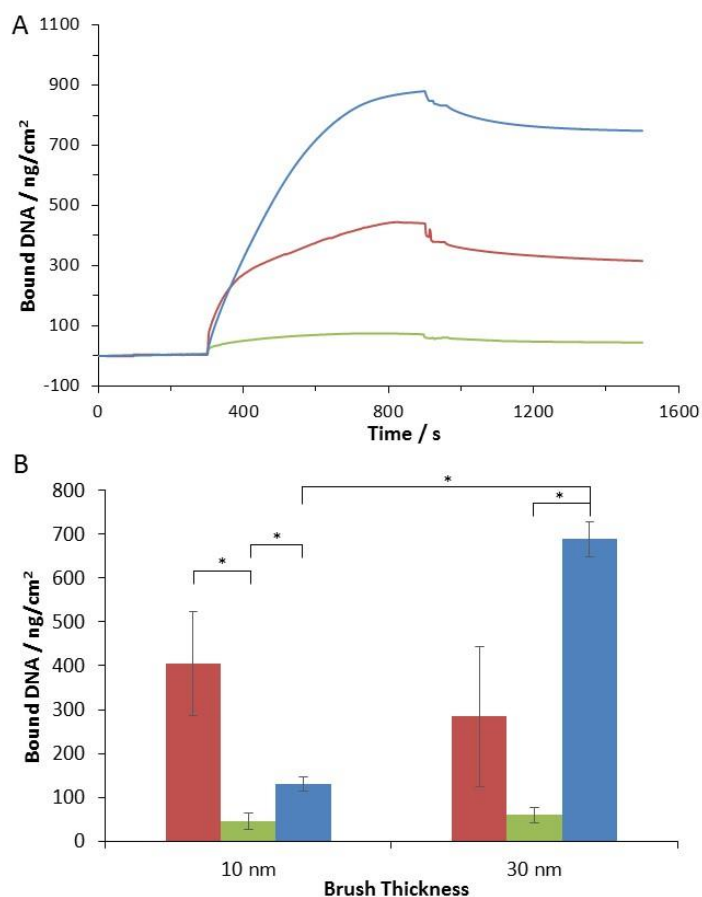


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2 **Figure 5.** Transfection efficiency of SiO<sub>2</sub>-g-PDMAEMA nanoparticles with HaCaTs, measured via the  
 3 expression of EGFP (A). Complexes were formed using DNA solutions (1 μg/mL) in different buffers  
 4 (150 mM NaCl, PBS and HBS, pH 7.4) at N/P ratios of 5, 10 and 15. Incubation was carried out for 4 h  
 5 in media with (+) or without (-) serum (10 % FBS). Experiments were replicated 3 times; \* = P < 0.05;  
 6 \*\* = P < 0.01; error bars are standard errors. B, corresponding fluorescence microscopy images (full  
 7 set of images can be found in Supplementary Figure S4).

8



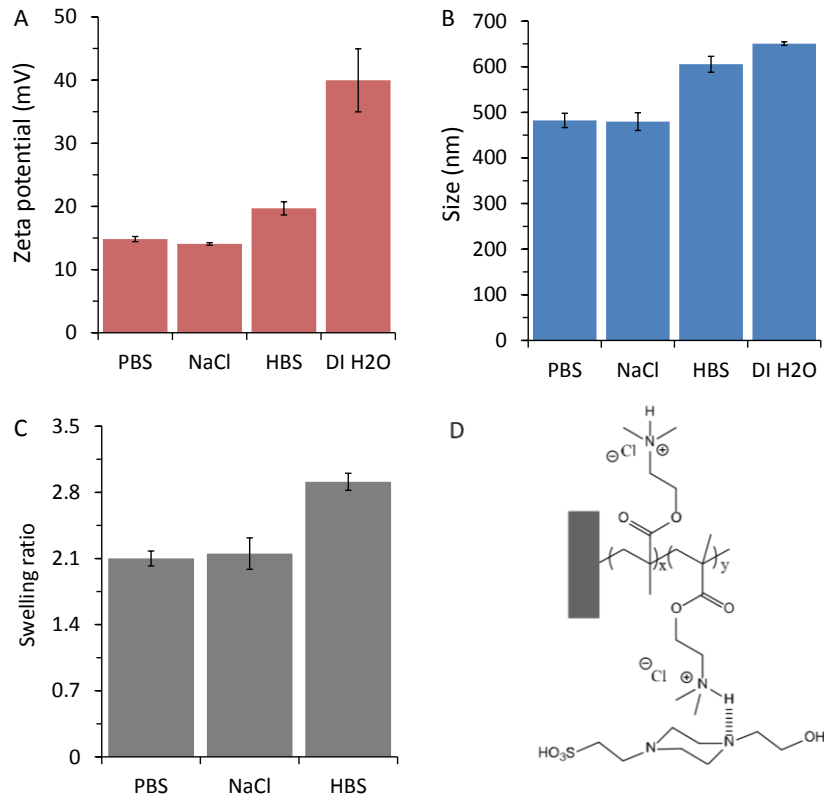


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2 **Figure 6.** DNA binding to PDMAEMA brushes investigated by SPR. A. Typical SPR traces recorded during  
 3 the exposure of PDMAEMA brushes (30 nm) to DNA solutions (1  $\mu\text{g}/\text{mL}$ ). The pH of all buffers (150  
 4 mM NaCl, blue line; HBS, green line; PBS, red line) was adjusted to 7.4. B. Summary of the densities of  
 5 bound DNA at the surface of PDMAEMA brushes (10 and 30 nm) in 150 mM NaCl (blue), 10 mM HBS  
 6 (green) and PBS (red) solutions. Experiments were carried out in triplicate. \* =  $p < 0.05$ .

7

1



2

3 **Figure 7.** Swelling of PDMAEMA brushes in different media. A. electrophoretic light scattering (zeta  
4 potential) and B. dynamic light scattering (size) of SiO<sub>2</sub>-g-PDMAEMA particles in PBS, 150 mM NaCl,  
5 HBS and DI H<sub>2</sub>O. C. Swelling of PDMAEMA brushes (30 nm initial dry thickness) on silicon wafers  
6 measured in 150 mM NaCl, PBS and HBS via *in situ* ellipsometry. D. Chemical structure of a PDMAEMA  
7 brush (protonated) interacting with a HEPES molecule.

8

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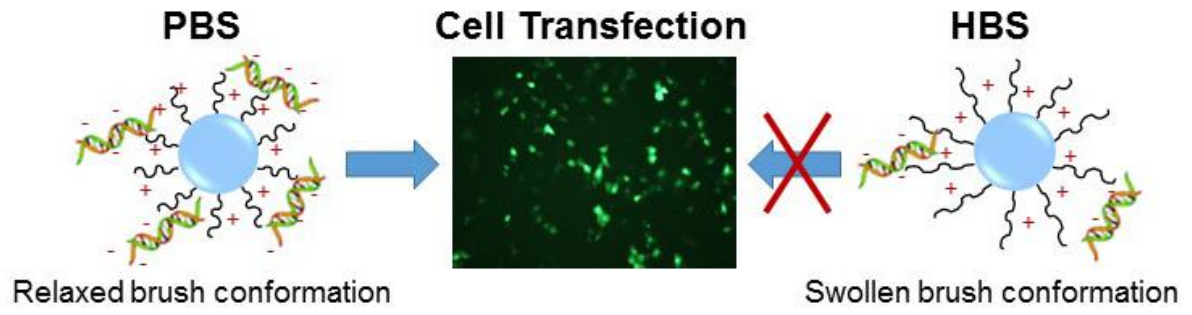
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3 **Table of Content Figure**