

1 **IN-DEPTH CHARACTERIZATION OF CD24^{high}CD38^{high}**
2 **TRANSITIONAL HUMAN B CELLS REVEALS DIFFERENT**
3 **REGULATORY PROFILES**

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21 **ABSTRACT**

22 **Background:** CD24^{high}CD38^{high} transitional B cells represent cells at a key stage in their
23 developmental pathway. During this stage, B cells undergo peripheral tolerance and functional
24 maturation. In addition, these B cells have been widely ascribed regulatory functions and
25 involvement in the control of chronic inflammatory diseases. However, the phenotypic and
26 functional overlap between these cells and regulatory B cells remain controversial.

27 **Objective and Methods:** In this study, we use multi-color flow cytometry in
28 combination with bioinformatics and functional studies to show that CD24^{high}CD38^{high} B cells
29 can be distinguished into multiple subsets with different regulatory functions.

30 **Results:** The study reveals for the first time that human transitional B cells encompass
31 not only transitional type 1 (T1) and T2 B cells, as previously suggested, but also distinct anergic
32 T3 B cells as well as IL-10-producing CD27⁺ transitional B cells. Interestingly, the latter two
33 subsets differentially regulate CD4⁺ T cell proliferation and polarization towards Th1 effector
34 cells. Additional analyses reveal that the percentage of T3 B cells is reduced while the frequency
35 of CD27⁺ transitional B cells is increased in patients with autoimmune diseases compared with
36 matched healthy individuals.

37 **Conclusion:** This study provides evidence for the existence of different transitional B cell
38 subsets each displaying unique phenotypic and regulatory functional profiles. Furthermore, the
39 study indicates that altered distribution of transitional B cells subsets highlights different
40 regulatory defects in different autoimmune diseases.

41

42 **Key messages:** Our study reveals that different human transitional B cell subsets display
43 different regulatory functions and that the frequency of such subsets is differentially altered in
44 different autoimmune diseases.

45 **Capsule summary:** Recent studies of patients with autoimmune and inflammatory diseases
46 indicate that B cells can prevent the development of adverse immune responses. This study
47 documents the phenotype of human regulatory B cells and identifies potential cellular
48 biomarkers that distinguish defects in immune regulation in patients with different autoimmune
49 diseases.

50 **Key words:** Transitional B-cells; Immune regulation; chronic inflammatory diseases;
51 Autoimmunity

52

53 Abbreviations used:

54 Flow clustering without K (FLOCK)

55 Healthy controls (HCs)

56 Krome orange (KO)

57 Mean fluorescence intensity (MFI)

58 Mononuclear cells (MNCs)

59 Pacific blue (PB)

60 PE-linked to cyanin 5 (PC5)

61 Population (pop)

62 Primary Sjögren's syndrome (pSS)

63 Rheumatoid arthritis (RA)

64 Human immunodeficiency virus HIV

65 Chronic antibody mediated rejection cABMR

66 Standard error of the mean (SEM)

67 Systemic lupus erythematosus (SLE)

68 Type 1 (T1)

69 Type 2 (T2)

70 Type 3 (T3)

71 **INTRODUCTION**

72 Transitional B cells represent a central developmental stage in B cell maturation
73 linking generation in the bone marrow with differentiation in the periphery.¹ The
74 classification of murine transitional B cells into distinct type 1 (T1) and T2 subsets was
75 subsequently reported, based on the expression of CD23, CD21 and the developmental
76 marker CD24.² Studies of human B cells confirmed and extended the identification of
77 transitional B cells in mice.^{3,4} The early studies suggested that transitional human B cells can
78 be subdivided into two major subsets: immature-T1 cells identified as
79 CD24^{high}CD38^{high}CD19⁺CD10^{high}CD21^{low}IgM^{high}IgD^{low}CD27⁻CD5⁺ cells and intermediate
80 transitional cells (T2) that are CD24^{high}CD38^{high}CD19⁺CD10⁺CD21⁺IgM^{high} IgD⁺CD27⁻
81 CD5^{low} cells.

82 The almost concurrent discovery of regulatory B cells (Bregs) drew interests towards
83 potential links with transitional B cells due to phenotypic and functional similarities. Bregs
84 were first reported to be IL-10-producing B cells in mice and termed B10 cells.⁵ Although
85 almost all human B cells have the capacity to produce IL-10, the available evidence indicates
86 that the "most efficient" IL-10 producing B cells are Bregs that are similar to
87 CD24^{high}CD38^{high} transitional B cells.⁶⁻⁹ Furthermore, associations between CD24^{high}CD38^{high}
88 B cells and immune regulation were noted in the favorable clinical outcome of patients with
89 chronic inflammatory and autoimmune diseases. For example, long-term remission of patients
90 with systemic lupus erythematosus (SLE) treated with B cell depleting biologic agents
91 positively correlated with early reconstitution of transitional B cells after treatment.¹⁰ Specific
92 allergen immunotherapy drives suppression of IgE and promotion of IgG4 production by
93 regulatory B cells.¹¹ These observations led investigators to draw parallels between
94 CD24^{high}CD38^{high} transitional B cells and Breg cells.^{7,12-17} This, in turn, led to numerous

95 studies and the emergence of differing theories about the relationship between transitional and
96 “real” Breg cells.

97 To increase understanding of CD24^{high}CD38^{high} transitional B cells and potential
98 overlaps with Breg cells in human, we developed a 10-color flow cytometry protocol for their
99 phenotypic and functional characterization. The study reveals that CD24^{high}CD38^{high} B cells
100 incorporate phenotypically-distinct B cell subsets, each with distinct *in vitro* regulatory
101 functions. Furthermore, the study reveals abnormal distribution of transitional B cell subsets
102 in different autoimmune diseases, revealing new insights into Breg development and
103 phenotype and aspects of defective immune regulation in different chronic inflammatory
104 diseases.

105

106 **METHODS**

107 Detailed procedures and standard methods used in the study, such as cell enrichment,
108 flow cytometry, apoptosis, ELISA, ELISPOT, Ca⁺⁺ flux and cell culture are described in the
109 Supplemental Materials.

110 **Patients and controls**

111 All patients and healthy controls (HCs) gave informed consent and the Ethics
112 Committee at Brest University Medical School Hospital approved the study to be conducted
113 in accordance with the Declaration of the Helsinki Principles. Table 1 summarizes the
114 characteristics of patient groups included in the study.

115 **Flow cytometry and cell sorting**

116 All antibodies (Abs) were purchased from Beckman Coulter unless otherwise
117 specified. All Abs used to make up the 10-color panel are listed in Table E1. Transitional B
118 cell subsets and CD4⁺ T cells were sorted with MoFlow XDP (Dako-Beckman Coulter). The
119 purity of the sorted B cell subsets and T cells was greater than 98%.

120 **Cell culture and proliferation assays**

121 Sorted transitional B cells and CD4⁺ T cells were co-cultured for 4 days in RPMI -
122 1640 complete medium as described.¹⁸ For proliferation assays, sorted CD4⁺ T cells were
123 labeled with CellTraceTM Violet reagent (Molecular Probes) before the co-culture. T cell
124 proliferation was evaluated by flow cytometry using cell proliferation index in the FlowJo
125 software (FlowJo, LLC).

126 **Bioinformatic analyses and softwares**

127 Bioinformatic analyses were performed with Flow Clustering without K online
128 software (FLOCK). Files for each subject were uploaded to ImmPort (ImmPort, NIH website
129 www.immport.org) and analyzed using FLOCK 1.0 to identify centroids for representative
130 clusters. Markers listed in Table. E1 were used. CytoSpanning tree Progression of Density
131 normalized Events (SPADE) analysis was carried out using Cytobank Inc. platform as
132 described.¹⁹

133 **Statistics**

134 All data are expressed as the mean \pm standard error of the mean (SEM). Statistical
135 analyses were performed using GraphPad Prism version 5.03 (GraphPad software). Non
136 parametric Mann-Whitney *U*-test, or Wilcoxon *t*-test for paired observations were used and
137 data were considered significant when $P < 0.05$.

138

139 **RESULTS**140 **The frequency of CD24^{high}CD38^{high} B cells is variable in different diseases**

141 High level co-expression of CD24 and CD38 identifies circulating transitional human
142 B cells and is often used by investigators for quantifying Breg cells in peripheral blood. We
143 have observed that the frequency of CD24^{high}CD38^{high} B cells in healthy controls (HCs) is
144 12.9±0.8% of total blood B cells but this frequency varies in different clinical settings (Fig 1,
145 A and Table 1). The frequency of CD24^{high}CD38^{high} B cells in patients with primary Sjögren's
146 syndrome (pSS) and SLE was significantly higher than in the HCs (15.5±1.2% and
147 17.9±1.8%, $P=0.008$, $P=0.0037$, respectively; Fig 1, B, left panel). This trend concurred with
148 a similar increase in the absolute number of the transitional B cells (Fig 1, B, right panel). In
149 contrast, patients with chronic antibody-mediated kidney rejection (cABMR) showed a
150 significant decrease in the frequency of CD24^{high}CD38^{high} B cells compared with the HCs
151 (2.4±0.4%, $P<10^{-3}$).¹⁸ Interestingly, during the active course of HIV infection, patients
152 manifested a dramatic decrease in transitional B cells (5.3±1.1% vs HCs, $P<10^{-3}$). These
153 observations suggest that it is difficult to universally associate decreases/increases in the
154 frequency/number of CD24^{high} CD38^{high} B cells with pathophysiological mechanisms.

155 **Detailed characterization of CD19⁺ CD24^{high}CD38^{high} B cell subsets**

156 In order to categorize CD24^{high}CD38^{high} populations in B cells, we carried out a 10-
157 color flow cytometry analysis using the FLOCK (Flow clustering without K) software
158 system.²⁰ First, we identified pre-gated transitional B cells as defined by high expression
159 levels of CD24 and CD38 in 2D plots within CD19⁺ B cells (Fig 2, A). Next, we ran the
160 FLOCK software on compiled flow cytometry data from 15 independent experiments using
161 isolated B cells from the HCs. This enabled us to identify eight B cell clusters within the

162 CD24^{high}CD38^{high} parent gate that partially overlapped in several Ab panels (Fig 2, A and Fig
163 E1). Clusters 2, 3 and 5 were consistently found to be the three most abundant B cell clusters
164 in CD24^{high}CD38^{high} transitional B cells (cluster 2: 28.6±1.7%; cluster 3: 15.1±1.5% and
165 cluster 5: 25.0±2.0%). In order to further characterize these subsets, we studied the expression
166 level of additional different markers in each cluster. We observed that among the ten chosen
167 markers, CD27, IgM and IgD were the best in differentiating B cell subsets within the 8
168 clusters based on their mean fluorescence intensity (MFI) (Fig 2, B and Fig E2, A). Cluster 6
169 displayed the highest MFI for CD27 expression (1639±46.7) compared with the other clusters
170 ($P < 10^{-3}$ vs cluster 1 and 3; others were negative for CD27). The MFI for IgM expression was
171 higher in cluster 3 than in cluster 1 or 2 ($P < 10^{-3}$). Cluster 2 had a significantly reduced level
172 of IgD and IgM expression compared with the other clusters with none of the clusters
173 expressing IgG or IgA (Fig 2, C and Fig E2, B). When the data were compiled together,
174 clusters 1, 4, 5, 7 and 8 expressed significantly higher IgM MFI than cluster 2 and less IgD
175 than cluster 3 and 6 ($P < 10^{-3}$ and $P = 0.037$, respectively) (Fig 2, C). Analysis of other markers
176 confirmed the noted distinctiveness of clusters 2 and 3 (Fig E2, A). We further observed that
177 CD10 expression was higher in cluster 3 than in the other clusters, while cluster 2 had an
178 intermediate level of CD21 expression. Consequently, we congregated the 8 clusters into four
179 different patterns based on CD27, IgM and IgD expression. Cluster 6 (CD27⁺) was renamed
180 population (pop) A. Cluster 3 (IgD^{low}IgM^{high}) was branded pop B. Cluster 2 (IgM^{low}IgD⁺) was
181 named pop C. Finally, we grouped clusters 1, 4, 5, 7 and 8 that expressed similar levels of
182 IgM and IgD into pop D (Fig 2, C). Cross sample comparison of these four populations was
183 highly consistent between different blood donors (Fig 2, D). Populations C and D represented
184 the majority of CD24^{high}CD38^{high} B cells (55.7±1.3% and 30.1±1.5, respectively) whereas pop
185 B represented 7.3±0.8% and pop A 6.0±0.7% of all CD24^{high} CD38^{high} B cells.

186 Phenotypic characterization of transitional B cell subsets identified by FLOCK

187 We next carried out detailed phenotypic analysis of these four defined populations
188 using markers commonly ascribed to B cell-differentiation, survival or activation (Fig 3).

189 B cell-differentiation and activation markers

190 These analyses revealed that each cluster showed a unique B cell profile of
191 differentiation (Fig 3, A) and activation (Fig 3, B). Pop A expressed CD27 and high levels of
192 all of the other differentiation markers with the exception of CD23. This population, thus,
193 expressed high levels of CD80, CD86, CD40, CD25 and TLR-9, depicting a high activation
194 status. Pop B expressed lower levels of CD21, CD22, CD23, CD44, and CD62L than the
195 other 3 populations but had high levels of CD10 and CD32 (Fig 3, A). The results also
196 revealed lower basal expression levels of HLA-DR, CD80, CD86 and CD25 in pop C
197 compared with pop D (Fig 3, B). This data suggests that pop C may be in a resting-state.

198 Mitotracker expression

199 The activity of the ATP-binding cassette transporter ABCB1 has been described as a
200 functional characteristic that distinguishes transitional from mature naive B cells.²¹ The level
201 of MTG-Green MFI gradually decreased from pop B to pop D and C implying a
202 developmental pathway through these subsets (Fig 3, C). Interestingly, pop A did not extrude
203 the probe at all, supporting the likelihood that this subset has a memory-like phenotype.

204 By compiling these descriptive data, the results provide evidence that pop B presents a
205 pattern of differentiation close to immature B cells as described for T1 B cells (Fig 3, D). Pop
206 D, in contrast, showed a progressive pattern of upregulating maturity markers, IgD, CD21,
207 CD44 and CD62L. The pattern observed in this population is in agreement with the
208 previously defined T2 subset of B cells. In contrast, pop C displayed an intermediate profile
209 of these markers consistent with been in a resting state described for T3 B cells.²² Finally, pop

210 A represents an atypically-activated B cell with a memory-like phenotype, renamed CD27⁺
211 transitional B cells (Fig 3, D).

212 **Functional characteristics of T1, T2, T3 and CD27⁺ transitional B cell subsets**

213 To corroborate the phenotypic distinction between the transitional B cell subsets,
214 functional characteristics of the subsets were investigated including analyzing survival and
215 responses to BCR engagement.

216 *Survival capacity*

217 Reduced survival has been reported to be a functional characteristic of transitional B
218 cells.^{3,23} To compare and contrast this ability within the different subsets, we first analyzed
219 viability of the different CD24^{high}CD38^{high} B cell subsets in the absence of exogenous stimuli
220 (Fig 4, A). The analysis revealed no significant differences between the subsets for early
221 spontaneous apoptosis. Interestingly, CD27⁺ transitional B cells showed a high necrotic rate
222 in culture (Draq7⁺ and Annexin V⁺ cells). We next studied whether BCR engagement will
223 modify survival of the transitional B cell subsets. Because staining B cells with FITC-
224 conjugated anti-IgM Ab interferes with their response to BCR engagement, we developed an
225 alternative gating strategy using CD27, IgD and CD32 (Fig 4, B). As depicted in the Figure,
226 the newly-set gate for T1 (CD32⁺IgD^{low}), T2 (CD32^{high}IgD^{high}) and T3 (CD32^{low}IgD^{low}) B
227 cells perfectly matched our earlier phenotypic description of these subsets. BCR engagement
228 increased apoptosis in the T1 B cell subset and did not increase survival of the other subsets
229 (Fig 4, A).

230 *Hyporesponsiveness of T3 B cells to BCR engagement*

231 Low density surface IgM is a hallmark of anergic B cells in mice and humans.²⁴ Such
232 anergic B cells have been shown to be over-represented in transitional B cells.²⁵ To determine
233 the tolerance status of the B cell subsets identified in the current study, we next determined

234 the functional response of the CD24^{high}CD38^{high} B cell subsets to BCR engagement. The
235 ability of each subset to mobilize Ca²⁺ after BCR engagement was assessed by flow
236 cytometry.²⁶ BCR engagement induced similar increases in [Ca²⁺] influx in CD27⁺ and T2 B
237 cells (Fig 5 A). T3 B cells were unresponsive to BCR engagement as indicated by a failure to
238 mobilize Ca²⁺ upon BCR engagement consistent with low levels of BCR expression.²⁴ To
239 confirm this observation, we next analyzed the release of PIP3 and phosphorylation of
240 PLCγ2.²⁷ According to their inability to mobilize Ca²⁺, T3 B cells did not show an increase in
241 PIP3 release (Fig 5, B, left panel) or increased PLCγ2 phosphorylation following BCR
242 engagement (Fig 5, B, right panel). These findings indicate that the T3 subset of transitional B
243 cells is refractory to BCR engagement consistent with a functional silencing similar to
244 immunological anergy.

245 *T1 and CD27⁺ transitional B cells provide innate humoral immunity*

246 Transitional B cells are capable of contributing to innate humoral immunity by
247 differentiating to polyreactive IgM Ab-producing cells.²⁸ We, therefore, assessed whether
248 differences existed between the transitional B cell subsets in their ability to produce natural
249 IgM Abs. CD24^{high}CD38^{high} B cell subsets were sorted by flow cytometry (purity indicated in
250 Fig E3, A) and incubated for 4 days with CpG (to mimic T cell-independent stimulation). B
251 cell differentiation was then analyzed by flow cytometry. The results revealed two
252 differentiated populations: CD27^{high}CD38^{high} plasmablasts and CD27⁺CD38⁻ memory B cells
253 (Fig 5, C).²⁹ After 4 days of culture, CD27⁺ transitional B cells differentiated significantly
254 more to memory B cells (15.2±1.2%) than T1 B cells did (2.3±0.4%). A very small number of
255 T2 B cells acquired a memory phenotype (0.66±0.1%) while almost none became
256 plasmablasts. T3 B cells, consistent with their functional silencing, did not differentiate upon
257 CpG stimulation.

258 We next analyzed the ability of the sorted transitional B cell subsets to secrete natural
259 IgM using ELISPOT (Fig 5, C, lower panel). Interestingly, T1 and CD27⁺ transitional B cells
260 showed a high capacity to differentiate into IgM secreting cells. None of the populations
261 switched Abs from IgM to IgG or IgA (data not shown).

262 All in all, the results demonstrate that transitional CD27⁺ and T1 B cells are highly
263 efficient producers of IgM Abs typical of innate immune responses consistent with an "innate
264 memory" cell phenotype.

265 **The regulatory functions of transitional B cell subsets**

266 *IL-10 production*

267 Previous studies have revealed that B cell subsets producing IL-10 are consistently
268 found within CD24^{high}CD38^{high} B cells and CD24^{high}CD27⁺ cells.³⁰ To further determine
269 functional characteristics of the transitional B cell subsets, we next studied spontaneous IL-10
270 production *in vitro* without stimulation (Fig 6). Using a successive gating strategy (Fig 6, A)
271 we observed that IL-10-producing B cells were mainly enriched in the T2 and CD27⁺ B cell
272 subsets (35.1±6.6% and 50.7±7.4% of IL-10⁺ B cells, respectively) (Fig 6, B, left panel).
273 Interestingly, the MFI for intracellular IL-10 expression was significantly higher in CD27⁺
274 transitional B cells than in the other transitional subsets ($P < 10^{-3}$, Fig 6, B middle panel).
275 When the analysis was repeated but with B cells stimulated through the TLR9 for 24 hours
276 with CpG ODN there was a dramatic increase in IL-10⁺ B cells within the T2 and T3 subsets
277 (Fig 6, C, left panel). Activation of the cells through TLR9 with CpG enhanced intracellular
278 IL-10 expression (IL-10 MFI) in the pre-existing IL10⁺CD27⁺ transitional B cells (Fig 6, C,
279 right panel and Fig E4). Finally, to objectively present the data on spontaneous IL-10
280 production by the different B cell subsets, we used Spanning-tree Progression Analysis of
281 density-normalized Events (SPADE) software which maps cells in a hierarchical tree¹⁹ (Fig 6,

282 D). To interpret the SPADE tree, we derived annotations according to the differential pattern
283 of expression of each marker previously chosen to differentiate the various transitional B cell
284 subsets: CD24, CD38, IgM, IgD, CD27, CD32 and CD19. The data confirmed that the CD27⁺
285 and T2 transitional B cell subsets were the most inherently competent cells in producing IL-
286 10. Interestingly, the SPADE analysis revealed that lower levels of IL-10 could also be
287 produced by other B cell subsets.

288 *Transitional CD27⁺B cells inhibit TNF α and IFN γ production by T cells*

289 The available evidence indicates that transitional human B cells were the most
290 efficient subset of Breg cells in inhibiting TNF α and IFN γ production by T cells.^{7,18} To
291 determine which of the transitional B cells characterized in this study best manifests the
292 ability to regulate cytokine production by T cells, we used a specially-adapted *in vitro* model
293 system.³¹ The transitional B cell subsets were FACS-sorted (purity >98% as depicted in Fig
294 E3, A) and co-cultured with anti-CD3 and anti-CD28 Abs-activated CD4⁺ T cells (purity
295 >99% shown in Fig E3, B). All transitional B cell subsets were able to reduce TNF α
296 production by CD4⁺ T cells but the CD27⁺ B cell subset was the most efficient ($P=0.015$ vs
297 T1 B cells; $P=0.015$ vs T2 B cells; $P=0.0078$ vs T3 B cells) (Fig 7, A). Interestingly, CD27⁺
298 transitional B cells were the only subset within transitional B cells capable of significantly
299 suppressing both TNF α and IFN γ production by CD4⁺ T cells ($P=0.0078$).

300 *Anergic-like T3 B cells regulate T cell proliferation*

301 In the next set of experiments, proliferation of the sorted CD4⁺ T cells was studied by
302 flow cytometry at day 4 in the presence or absence of sorted transitional B cell subsets (Fig 7,
303 B). T1 and CD27⁺ transitional B cells were unable to suppress T cell proliferation. In contrast
304 T2 and T3 exhibited a significant ability to reduce CD4⁺ T cell proliferation. Interestingly, the
305 T3 B cell subset showed the highest reproducibility in the ability to reduce T cell proliferation

306 among different donors when compared with T2 B cells suggesting that the latter might be
307 composed of different functional subsets.

308 These observations demonstrate that transitional B cell subsets have differential
309 abilities to regulate T cell responses.

310 **Altered distribution of transitional B cell subsets in patients with different** 311 **autoimmune diseases**

312 Results presented in Fig 1 provided evidence that the percentage of CD24^{high}CD38^{high}
313 B cells was significantly higher in patients with pSS and SLE compared with HCs. Based on
314 these observations we investigated the distribution of each of the transitional B cell subsets
315 characterized in the study in patients with these autoimmune diseases. For this purpose, we
316 performed a FLOCK cross sample comparison of 10-color flow-cytometry data on enriched B
317 cells from 10 HCs, 11 patients with pSS and 10 patients with SLE (Figs 7, C and D). The
318 results showed that the frequency of CD27⁺ transitional B cells was significantly increased in
319 patients with pSS and SLE compared with the HCs ($P=0.03$ and $P=0.0011$, respectively; Fig
320 7, C). Interestingly, the frequency of T3 B cells was decreased in the patients compared with
321 the HCs ($P=0.006$ in pSS patients and $P=0.028$ in SLE patients; Fig 7, D) whereas T1 and T2
322 B cells were similar. These findings suggest that T3 and CD27⁺ transitional B cells may have
323 been influenced by a breach in peripheral-tolerance in these patients.

324

325 **DISCUSSION**

326 Phenotypic and functional features of transitional B cells have been extensively
327 studied in mice leading to a qualified consensus that at least three major subsets of these cells
328 exist.^{2,22,25} In humans, however, the biology of transitional B cells remains controversial. T1
329 and T2 subsets have consistently been identified within CD24^{high}CD38^{high} B cells.³² In
330 contrast, T3 B cells have been suggested to be part of the mature B cell pool.^{4,21} In the current
331 study, we identified the T1 and T2 subsets by their differential capacity to survive or undergo
332 apoptosis with BCR engagement. *In vitro* and *in vivo* studies revealed that whilst T1 B cells
333 underwent apoptosis upon BCR engagement, T2 B cells proliferated and were resistant to
334 apoptosis.^{33,34} However, our study provides new evidence for the existence of two additional
335 transitional B cell subsets with distinct phenotypic and functional properties. One subset, T3
336 B cells, expressed low levels of IgM, IgD and CD10 and displayed functional status similar to
337 anergic cells. The second subset which includes CD27⁺ transitional B cells have not been
338 described before as transitional B cells because CD27 expression is generally considered a
339 memory B cell marker. Nevertheless, we have demonstrated that 6.0±0.7% of
340 CD24^{high}CD38^{high} B cells express CD27⁺. Furthermore, these cells responded rapidly to T
341 cell-independent stimuli and secreted natural IgM Abs consistent with innate-like B cells.^{35,36}
342 CD27⁺ transitional B cells may represent cells at a developmental stage in an alternative
343 differentiation pathway that responds to TLR ligands. In mice, recent studies indicate that
344 some transitional B cells have the potential to differentiate into plasmablasts and natural
345 memory B cells.^{37,38} The identification of multiple human transitional B cell subsets in the
346 current study could, therefore, provide important new insights indicating the existence of
347 multiple developmental programs through which human migrant B cells undergo tolerance
348 and maturation. Based on the findings made in the study, we developed a new paradigm (Fig
349 E5) that includes a novel developmental pathway for transitional B cell maturation in humans.

350 As a corollary to our hypothesis, we demonstrate that transitional B cell subsets
351 identified within the CD24^{high}CD38^{high} parent flow cytometry gate display differential
352 regulatory abilities. Thus, we reveal that CD27⁺ transitional B cells are specialized in
353 suppressing the production of pro-inflammatory cytokines. This subset of transitional B cells
354 has the capacity to produce high levels of IL-10 (Fig 6). Although the ability to produce IL-10
355 is often used as a marker of human Bregs, we show that this is a property that is not restricted
356 to a specific B cell subset. Indeed, our flow cytometry and bioinformatics analyses revealed
357 that IL-10 can be produced by transitional B-cells subsets, by CD27⁺CD24^{high} cells³⁰ and by
358 IgM⁺IgD⁻ memory B cells³⁹ (Fig 6). Furthermore, our data are consistent with studies of B
359 cells in mice showing that B10^{30,40,41} progenitor and effector cells may coexist in human
360 transitional B cells (Fig 6 and Fig E4). Interestingly, T3 anergic-like B cells possessed the
361 best and most consistent ability to control T cell proliferation. The inconsistent suppressive
362 ability of T2 B cells suggested that this subset may be composed of more than one functional
363 subset. Indeed, the Flock analysis (Fig 2 and Fig E1) indicated that T2 B cells encompassed 5
364 different clusters of B cells. In this respect, several previous reports have suggested that T2 B
365 cells are heterogeneous and include some follicular and marginal zone B cells.^{42,43} The precise
366 mechanism by which anergic B cells exhibit potent regulatory activities remains to be fully
367 defined. In this respect, it has been suggested that B cells can be tolerogenic to T cells when
368 presenting a limited number of antigen peptides/MHC complexes to T cells.⁴⁴ This
369 characteristic was described for anergic B cells.⁴⁵ Additionally, the lack of costimulatory
370 molecules (Fig 3) on the anergic-like B cells may induce anergy in the corresponding,
371 antigen-specific, T cells.⁴⁶ Relevant to this observation, a recent study demonstrated that
372 Ars/A1 anergic B cells are potent suppressors of humoral immunity in an IL-10 independent
373 manner.⁴⁷

374 These observations cast new lights on existing but rather conflicting data on the
375 phenotype of Breg cells by underlying the differential ability of different B cell subsets to
376 regulate distinct T cell responses. Thus, our study demonstrates for the first time that immune
377 regulation by B cells is not confined to a "particular" B cell subset and also not in a
378 functionally restricted manner. Instead, the data show that different B cell subsets can have
379 different regulatory properties determined not only by their own developmental pathway and
380 activation status but also by how the target cell is influenced by its microenvironment. In this
381 regard, a recent report suggested a developmental link between human transitional B-cells and
382 IL-10-producing plasmablasts.³⁸

383 Using FLOCK cross-sample comparison analyses, the last part of our study revealed
384 that patients with autoimmune diseases display abnormal distribution of transitional B cell
385 subsets. Interestingly, CD27⁺ transitional B cells were over-represented in patients with SLE
386 and pSS whereas the frequency of anergic-like T3 B cells was decreased. An increase in
387 transitional B cells in patients with SLE patients has been described before but this did not
388 correlate with disease activity.³ Reduction in the frequency of T3 B cells is consistent with the
389 persistence of increased numbers of autoreactive mature B cells in such patients (25-31% in
390 SLE patients vs 4-3% in HCs) as previously observed.⁴⁸ Furthermore, B cells from patients
391 with SLE have been reported to be defective in their ability to suppress T cell
392 proliferation.^{49,50} However, further investigation of transitional B cell subsets would be
393 required to accurately identify defective immune regulatory pathways in different diseases.
394 Nevertheless, the study provides preliminary evidence that transitional B cells are not a
395 homogeneous regulatory B cell population but rather represent a complex mixture of subsets
396 from which different Bregs emerge.⁵¹ The findings, thus, provide a new approach to define
397 cellular biomarkers that identify specific defects in immune regulation in different diseases.

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401

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542

543 FIGURES AND LEGENDS

544 **FIG. 1.** **Comparison of CD24^{high}CD38^{high} transitional B cell subsets in**
 545 **patients and healthy controls.** Blood from 33 HCs (■), 17 patients
 546 with pSS (○), 16 patients with SLE (●), 15 patients with RA (∇), 17
 547 patients with cABMR (▲) and 26 patients infected with HIV (◇) were
 548 analyzed by flow cytometry. A, Representative dot plots of CD24 and
 549 CD38 expression and percentage of CD24^{high}CD38^{high} cells in CD19⁺
 550 B cells. B, Percentages (left panel) and absolute numbers (right panel)
 551 of CD19⁺ CD24^{high}CD38^{high} B cells in each group. * $P < 0.05$, ** $P < 0.01$,
 552 *** $P < 0.001$.

553 **FIG. 2.** **Identification and phenotypic definition of transitional B cell**
 554 **subsets.** B cells from 15 HCs were labeled with 10 Abs with
 555 specificities listed in Table E1. A, Eight clusters of transitional B cells
 556 were identified using FLOCK analysis. Representative dot plots of
 557 IgM/IgD and CD21/CD32 are shown in the left panel. The percentage
 558 of each cluster is depicted in the right panel as individuals values in 15
 559 HCs. B, CD27 (left), IgM (middle) and IgD (right) MFI expression on
 560 each cluster *** $P < 0.001$. C, The grouping strategy for classifying cell
 561 clusters is presented for CD19/CD27 and IgM/IgD expression (two
 562 left panels) and their Mean \pm SEM of MFI (the two right panels). D, A
 563 supervised-FLOCK analysis according to the previous grouping
 564 strategy and percentages of defined pop A, B, C and D.

565 **FIG. 3.** **Broad phenotype of transitional CD24^{high}CD38^{high} B cell subsets.**
 566 Representative histograms of the surface markers are indicated for
 567 each gated population: CD19⁺ B cells (black), CD19⁺
 568 CD24^{high}CD38^{high} cells (grey), pop A (green), pop B (red), pop C
 569 (blue) and pop D (yellow). A, Expression of the differentiation
 570 markers B, Expression of co-stimulatory and activation markers. C,
 571 ABCB1 transporter activity. Mature naive B cells were identified as
 572 CD19⁺IgD⁺CD27⁻. D, A summary table indicating subset and
 573 population classification principles of transitional B cells and
 574 expression levels of the different markers.

575 **FIG. 4.** **BCR engagement induces apoptosis in T1 B cells while CD27+**
 576 **transitional B cells are prone to die in culture by necrosis.** A,
 577 Percentages of apoptotic (Annexin V⁺ Draq7⁻) and necrotic cells
 578 (Annexin V⁺ Draq7⁺) are shown with and without BCR engagement
 579 for 8 hours (n=4), *P<0.05. B, Density of IgD and CD32 expression
 580 distinguishes T1 (IgD^{low} CD32⁺), T2 (IgD⁺CD32⁺) and T3 (IgD^{low}
 581 CD32^{low}) in CD27⁻ transitional B cells as does expression levels of
 582 IgD and IgM.

583 **FIG. 5.** **Transitional B cell subsets respond differently to BCR and Toll-**
 584 **like receptor 9 (TLR9) engagements.** A, Ca²⁺ flux after BCR
 585 engagement in different B cell subsets analyzed by flow cytometry. B,
 586 Intracellular staining for the level of PIP3 (left panel) and
 587 phosphorylated PLCγ2 (right panel) following BCR engagement
 588 (n=6) *P<0.05. C, In vitro plasmablast differentiation and total IgM
 589 secretion. Numbers under each of the developed membranes depict the
 590 number of IgM-secreting B cells. (n=3)

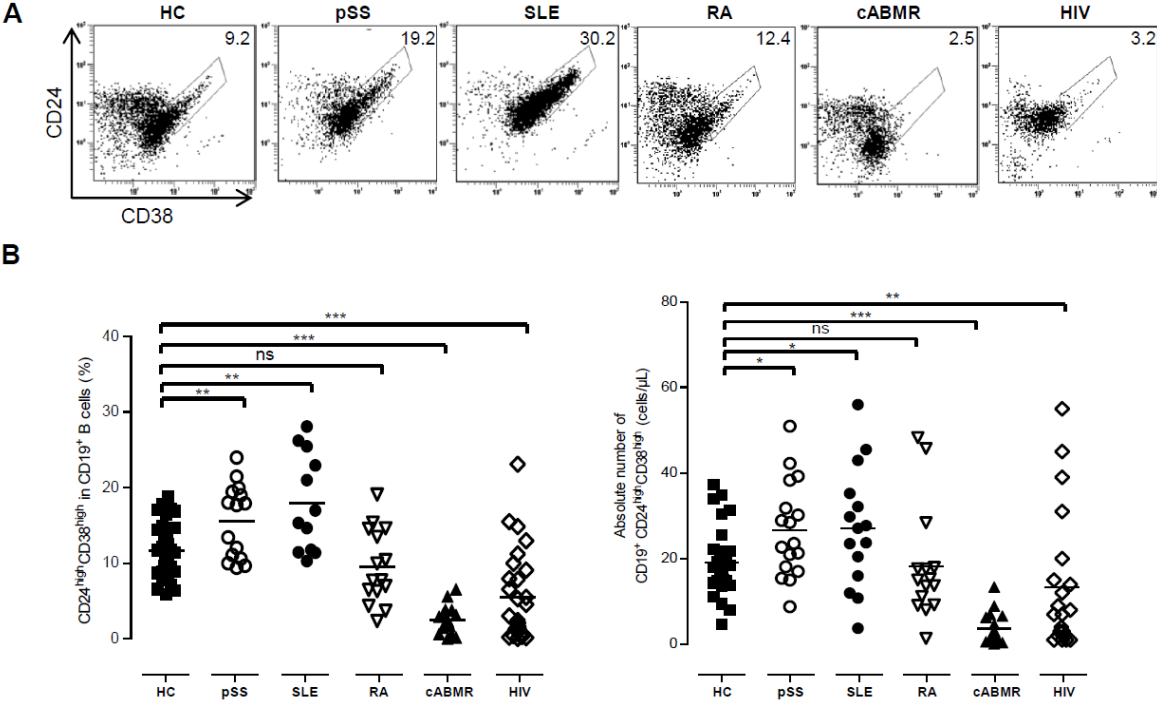
591 **FIG. 6.** **CD27⁺CD24^{high}CD38^{high} B cells are the most efficient spontaneous**
 592 **IL-10-producing transitional cells.** A, The gating strategy used to
 593 evaluate IL-10 secretion by transitional B cell subsets. B, Percentages
 594 of T1, T2, T3 and CD27⁺ transitional B cells in IL-10⁺ cells and IL10⁻
 595 cells. The middle panel depicts MFI for intracellular IL-10 in each
 596 transitional B cell subset within IL-10 positive cells (n=4) *P<0.05,
 597 **P<0.01. C, Comparison of Mean±SEM of each transitional B cell
 598 subsets (and IL-10 MFI) in IL-10 positive CD24^{high}CD28^{high} B cells
 599 following 24 hours of stimulation with CpG (n=4) *P<0.05,
 600 **P<0.01. D, SPADE analysis of IL-10 density-based expression in
 601 all non stimulated B cell subsets.

602 **FIG. 7.** **CD27⁺ transitional B cells inhibit TNFα and IFNγ production by**
 603 **cultured T cells, whereas T3 B cells limit CD4⁺ T cell proliferation**
 604 **and are differentially represented in patients with different**
 605 **autoimmune diseases.** A, Sorted CD4⁺ T cells were cultured with
 606 each sorted transitional B cell subset for during 4 days. TNFα and

607 IFN γ levels were measured in supernatants from the cultured cells
608 using ELISA (n=7). B, Representative histogram of CD4⁺
609 proliferating T cells cultured with or without sorted transitional B cell
610 subsets (right panel). Mean \pm SEM of proliferation index of sorted
611 CD4⁺ T cells in the presence or absence of transitional B cell subsets
612 (n=10, left panel). C, Percentages of T1 and CD27⁺ transitional B cell
613 subsets within CD24^{high}CD38^{high} in HCs (■, n=9), patients with pSS
614 (○, n=11) and SLE (●, n=10), after FLOCK cross-sample comparison.
615 D, Percentages of T2 and T3 B cell subsets.* P <0.05, ** P <0.01,
616 *** P <0.001
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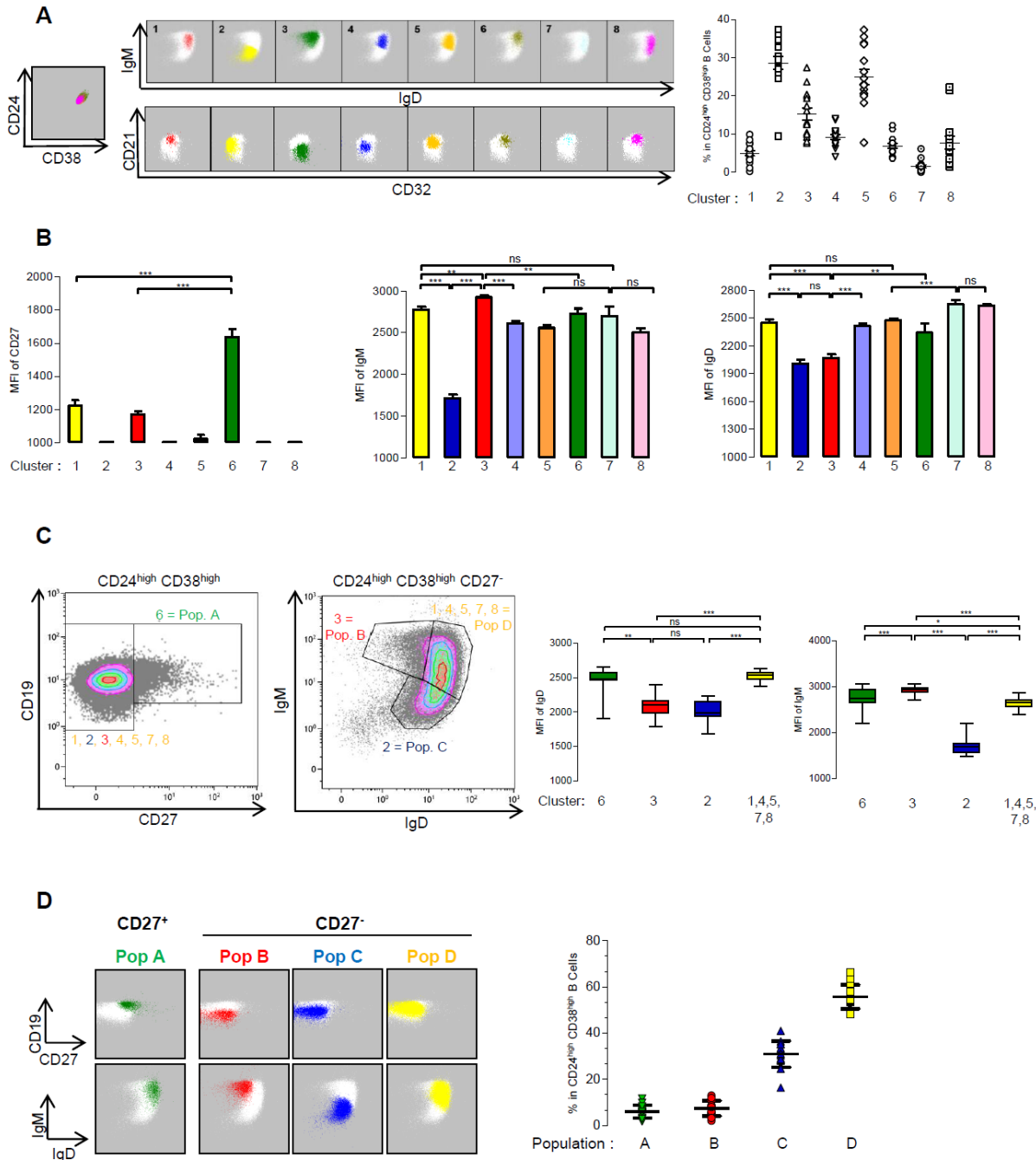
FIG 1



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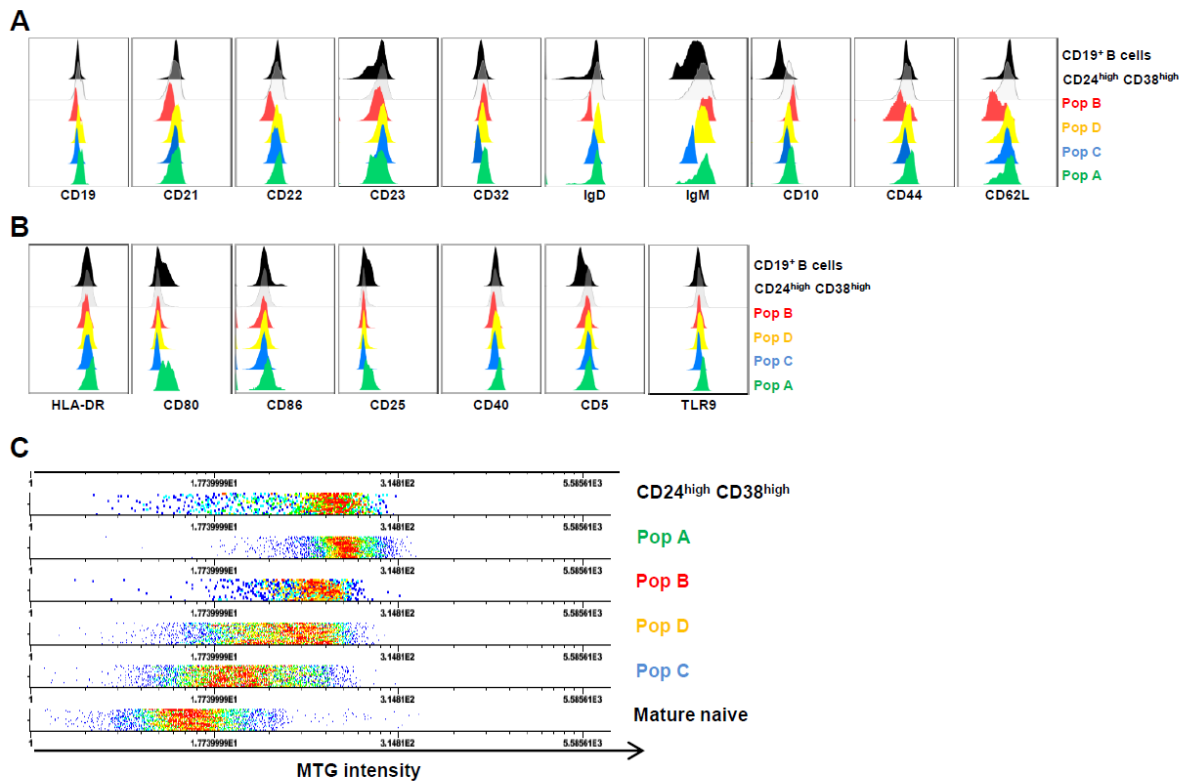
FIG 2.



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FIG



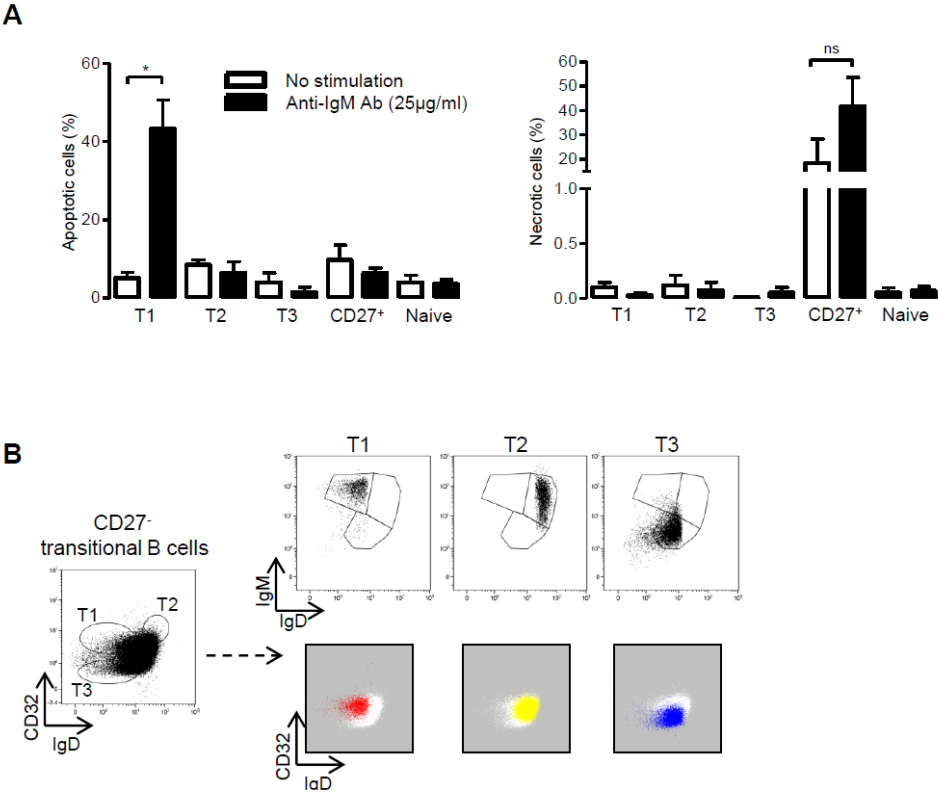
D

Population	Phenotype		B-cell subset
Pop A	CD19 ⁺⁺ , CD80 ⁺⁺ , CD86 ⁺⁺ , CD25 ⁺⁺ and CD40 ⁺⁺ No ABCB1 transporter activity (MTG ⁺⁺)	Activated memory	CD27 ⁺ transitional
Pop B	CD10 ⁺⁺ , IgM ⁺⁺ , IgD ^{low} , CD32 ^{low} CD21 ^{low} , CD44 ^{low} , CD62L ^{low} and MTG ⁺	Immature	T1
Pop D	CD10 ⁺ , IgM ⁺ , IgD ⁺ , CD32 ⁺ , CD21 ⁺⁺ , CD23 ⁺⁺ and MTG ⁺	Intermediate	T2
Pop C	CD10 ^{low} , IgM ^{low} , IgD ^{low} , CD32 ^{low} , CD40 ^{low} , HLA-DR ^{low} and MTG ^{low}	Resting state	T3

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FIG

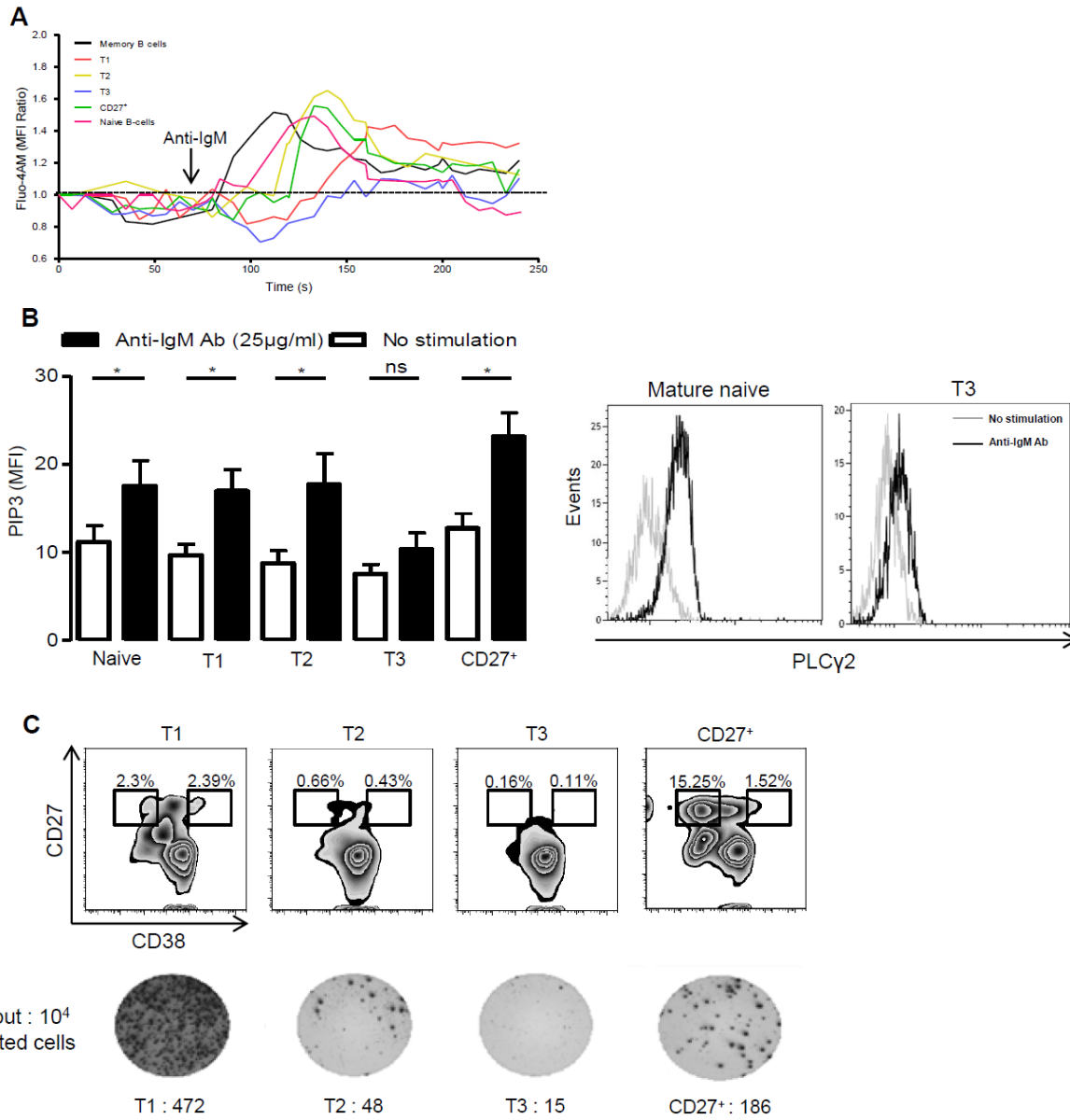


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FIG 5



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FIG 6

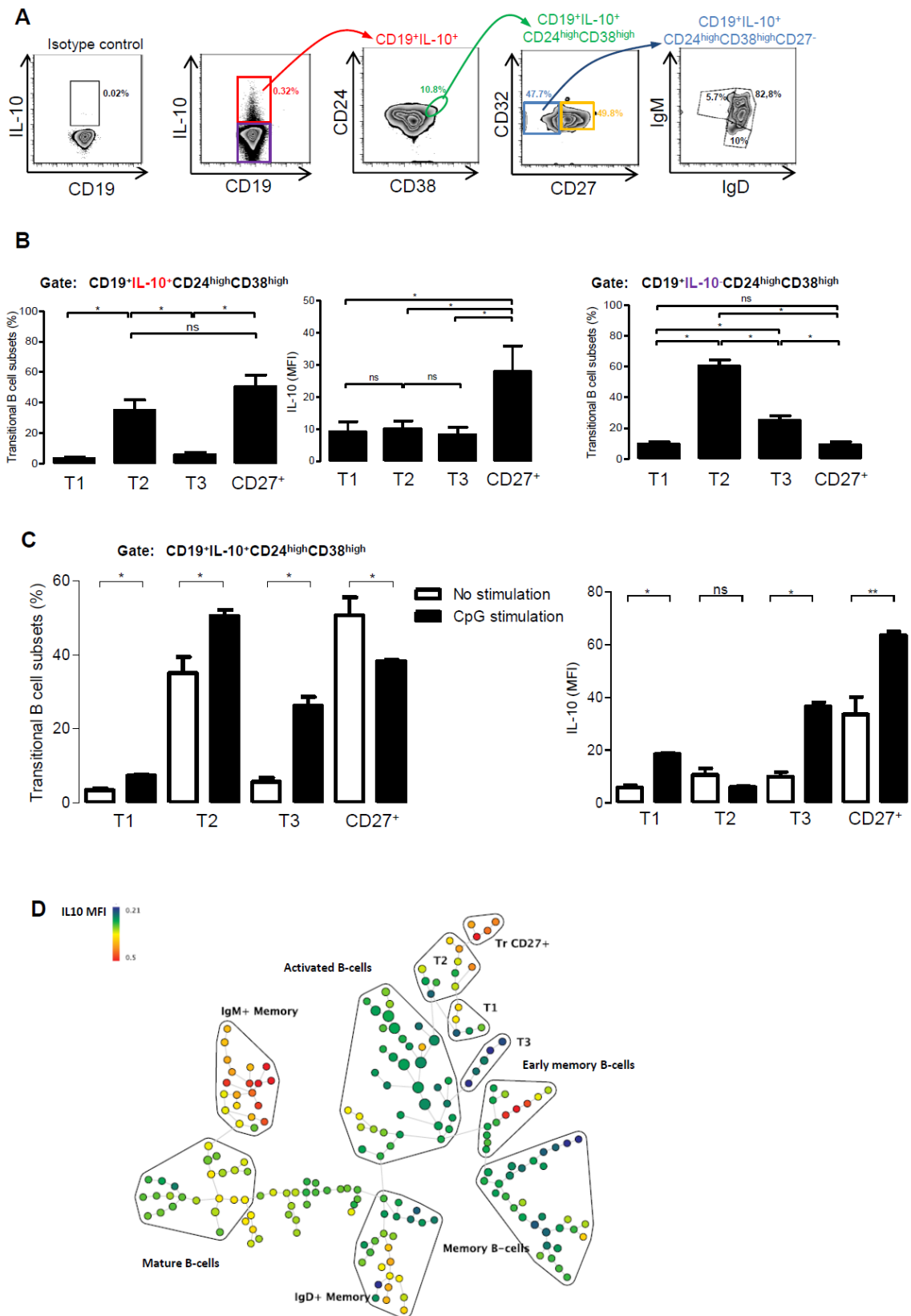
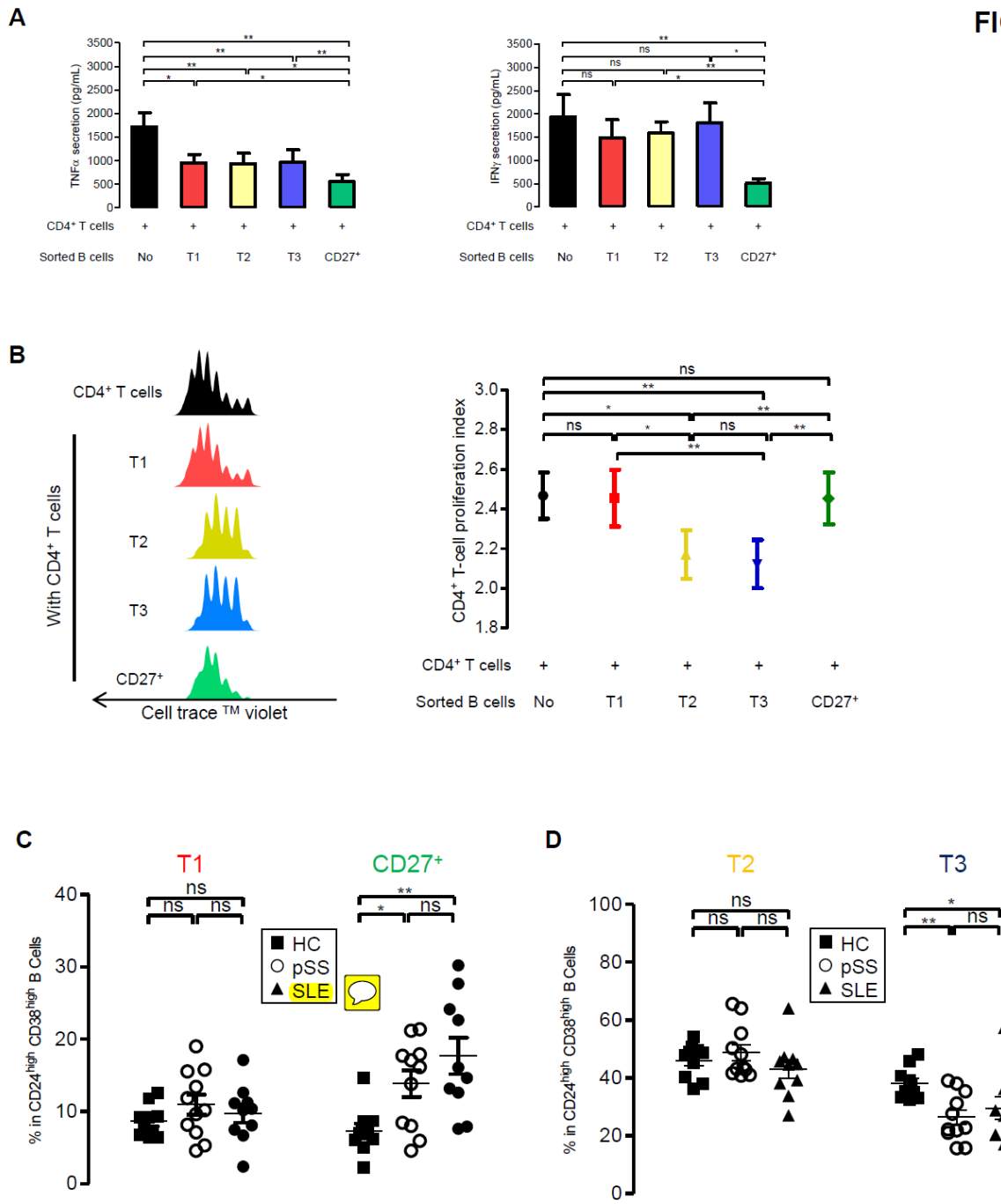


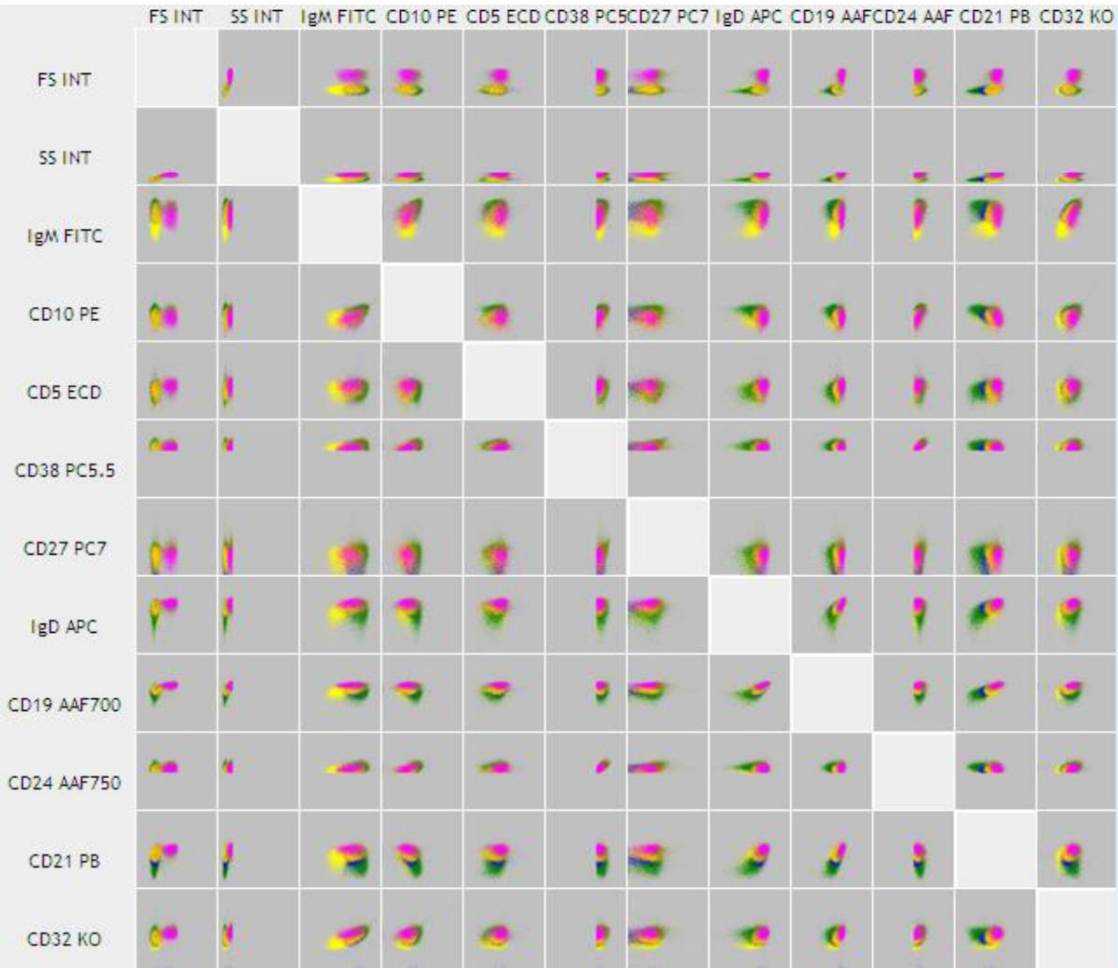
FIG 7











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Fig.E1



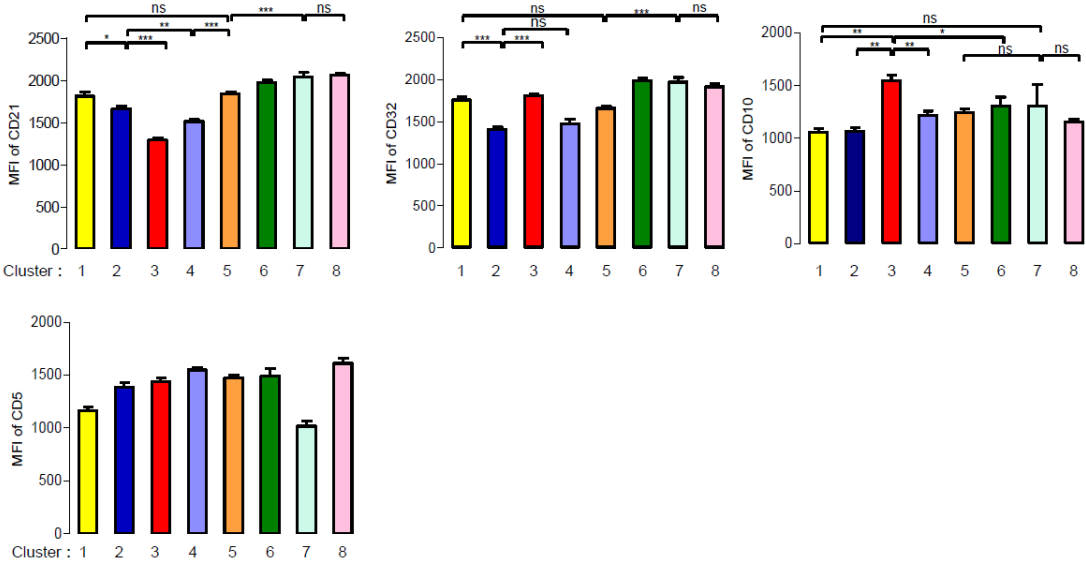
	Cluster	%
	1	4.90±0.69
	2	28.60±1.72
	3	15.18±1.55
	4	9.19±0.69
	5	25.02±2.02
	6	6.87±0.64
	7	1.48±0.41
	8	7.70±11.6

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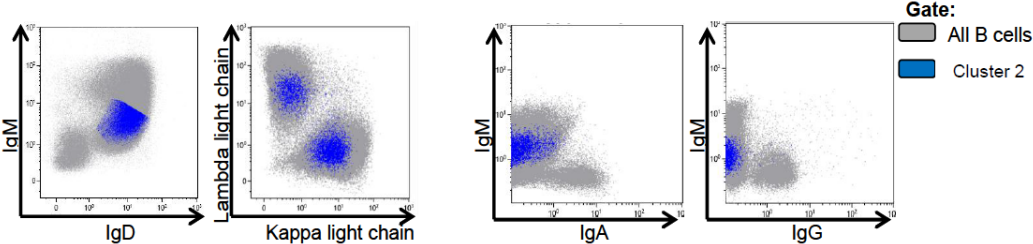
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Fig.E2

A



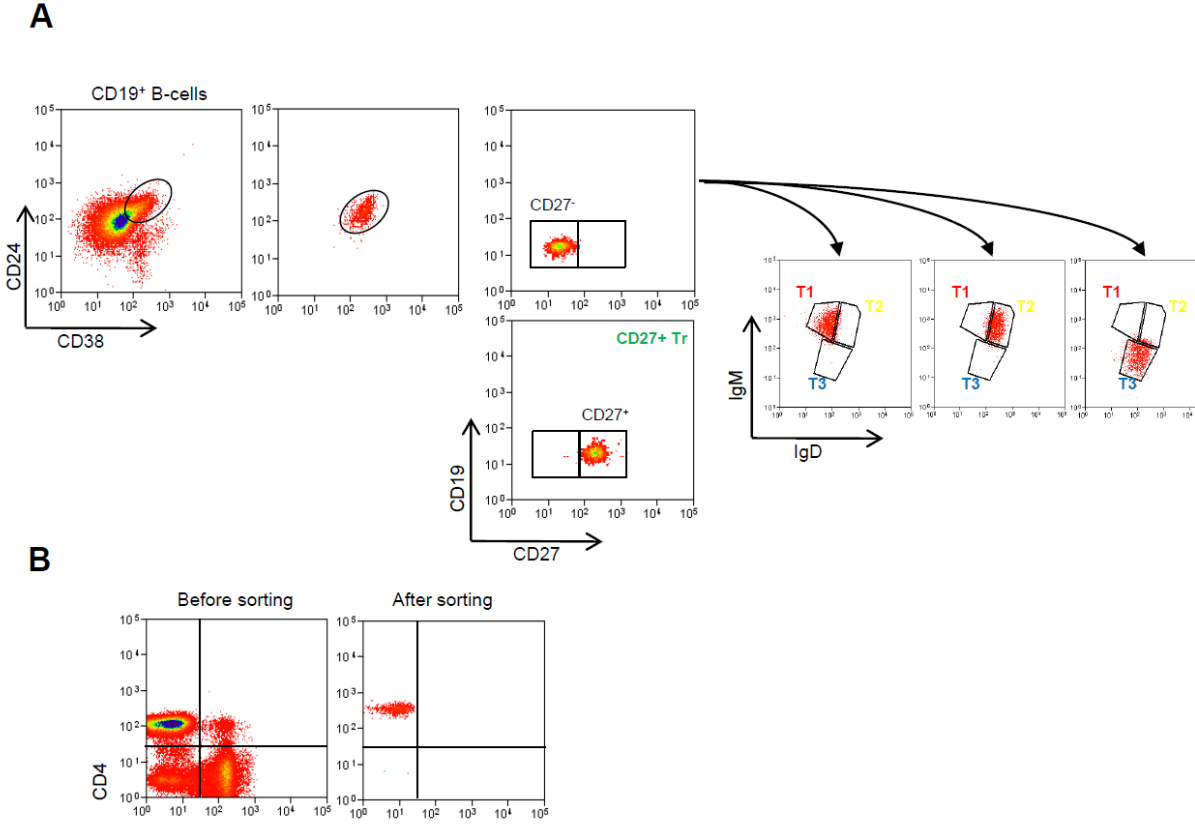
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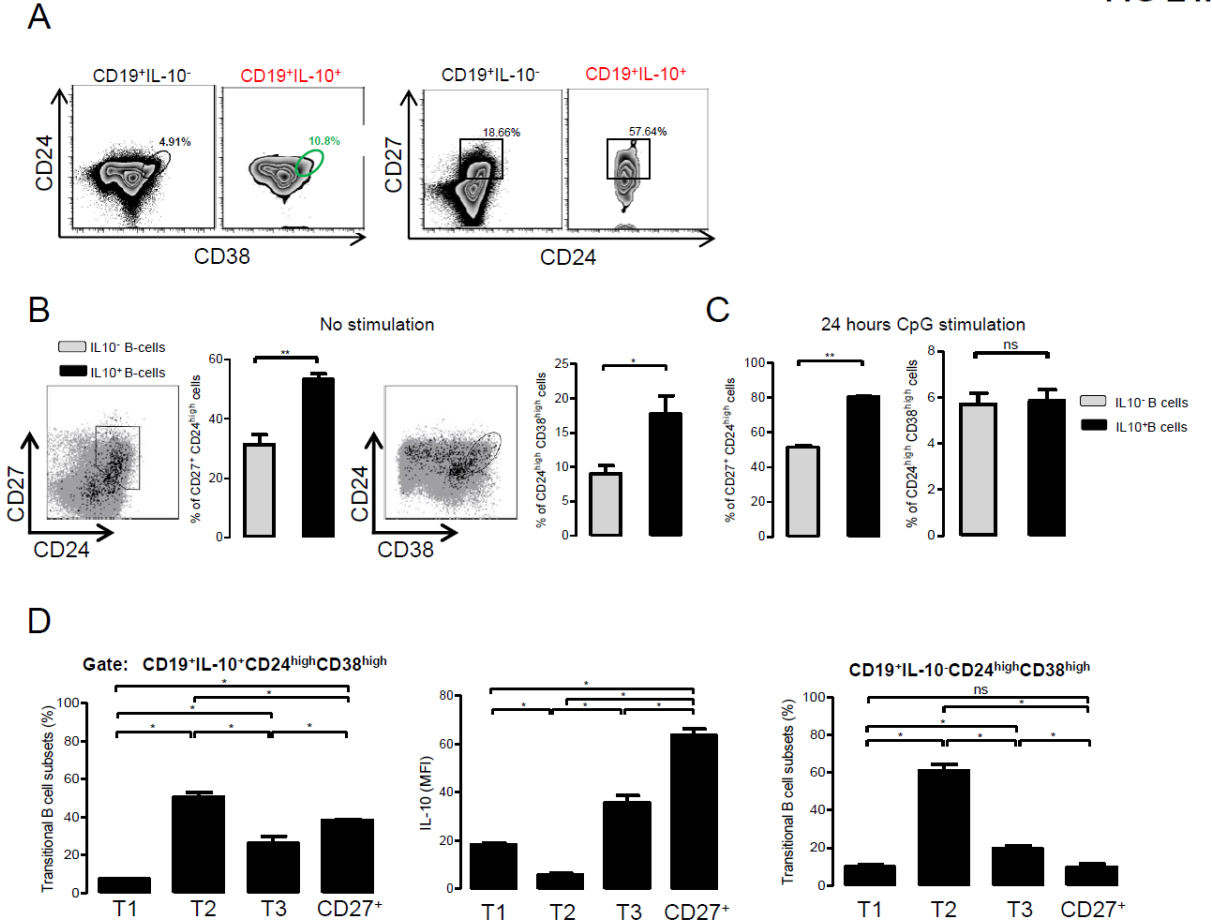
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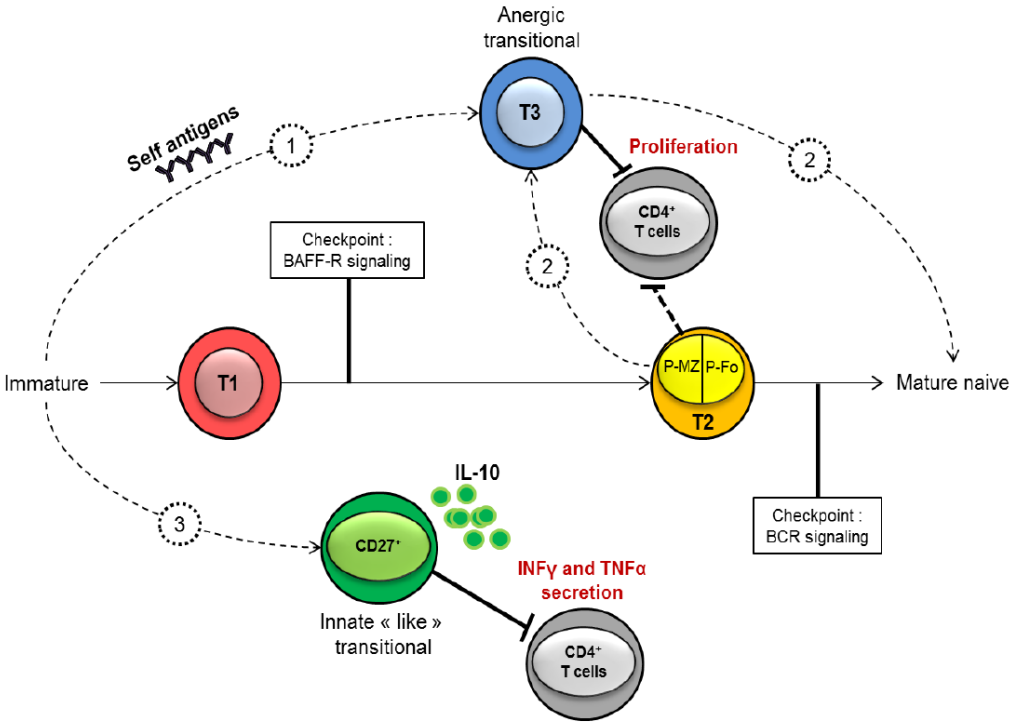
FIG E4.



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Fig.E5



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645 **Table 1.** Characteristics of patients included in the study.

Patients	Median age (range)	Sex ratio ♀/♂	Treatments
pSS (n=17*)	56 (29-77)	17/0	CTC=3 ; PLQ=4
SLE (n=16**)	41.5 (25-76)	14/2	CTC=4 ; PLQ=11, MPA=1 ; MTX=3 ; Thal.=2
RA (n=15)	51 (29-80)	8/7	CTC=12 ; PLQ=2 ; MTX=10 ; RTX=3 ; anti-IL-6=1 ; anti-TNF α =7
cABMR (n=17)	54.5 (27-73)	3/14	CTC=15/17 ; MPA=17/17 ; mTORi=2/17
HIV (n=26)	50 (37-62)	8/16	Not informed

646 Abbreviations: RA= Rheumatoid arthritis; cABMR= Chronic antibody-mediated kidney graft
647 rejection; HIV= Human immunodeficiency virus; SLE= Systemic lupus erythematosus and
648 pSS= Primary Sjögren's syndrome. CTC= corticosteroids; PLQ= Plaquenil; MPA=
649 Mycophenolic acid; MTX= Methotrexate; Thal.= Thalidomide; RXT= Rituximab; mTORi=
650 Mammalian target of rapamycin inhibitor receptor; TNF α = Tumor Necrosis Factor alpha. *11
651 patients with pSS and **10 with SLE were considered for the FLOCK cross sample
652 comparison (Fig 7).

653

654

655 **Table E1** List of fluorochrome-labelled antibodies used for the 10-color flow cytometry
 656 analysis.

Specificity	Clone	Fluorochrome	Functions
CD19	J3-119	APC-AF700	Lineage
CD21	BL-13	PB	Signal transduction
CD32	2E1	KO	
CD10	ALB1	PE	B cell growth
IgD	IA6-2	APC	Humoral response
IgM	SAD4	FITC	
CD27	1A4CD27	PE-Cy7	Memory
CD38	LS198-4-3	PE-Cy5.5	Proliferation
CD24	ALB9	APC-AF750	Proliferation
CD5	BL1a	ECD	Signal transduction, Activation

657 **Abbreviations:** AF: Alexa fluor; APC: Allophycocyanin; Cy: Cyanine; ECD: PE-Texas Red;
 658 FITC: Fluorescein isothiocyanate; PB: Pacific blue; PE: Phycoerythrin; KO: Krome orange.

659