1	Searching for a prodrome for rheumatoid arthritis in the primary care record: A case-control study
2	in the Clinical Practice Research Datalink
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18 ABSTRACT

19 Background

20 Rheumatoid arthritis (RA) has articular and non-articular manifestations. Early, intensive treatment

21 has substantial benefit for both. This requires patients be identified as soon as symptoms develop.

22 Objectives

- 23 To determine whether selected signs and symptoms can be identified in the primary care records of
- 24 patients prior to a formal diagnosis of RA being made and, if so, how early they can be identified.

25 Methods

- A case-control study was constructed within the UK Clinical Practice Research Datalink (CPRD). 3577
- 27 individuals with 'definite' RA, were matched to 14287 individuals without inflammatory arthritis. An
- 28 index date was established (i.e. date general practitioner (GP) first appeared to suspect RA). Rates of
- 29 consultation and consultations for suspected early RA symptoms were compared in cases and
- 30 controls in the two years prior to the index date using conditional logistic regression, adjusted for
- 31 number of consultations.

32 Results

- 33 The mean (standard deviation) age of participants was 58.8 (14.5) years and 66.8% were female.
- 34 Rates of any consultation were significantly higher in RA cases than in controls for at least two years
- 35 prior to the index date. Cases were more likely to have a pre-diagnosis coded consultation for joint,

36 and particularly hand symptoms (aOR 11.44 (9.60, 13.63)), morning stiffness (8.10 (3.54, 18.5)),

37 carpal tunnel syndrome (4.57 (3.54, 5.88)) and other non-articular features.

38 Conclusions

- In patients who develop RA, GP consultation rates are higher for at least two years prior to the first
 recorded suspicion of RA. This study highlights symptoms that should raise a GP's index of suspicion
 for RA.
- 42
- 43 **KEY WORDS:** Rheumatoid arthritis; Diagnosis; Primary care

44 INTRODUCTION

Rheumatoid arthritis (RA) causes joint pain, stiffness and damage and can lead to excess morbidity 45 and mortality. It has a prevalence in the UK of around 0.67% [1]. It is known that early, intensive 46 47 treatment can increase the likelihood of remission and reduce long term joint damage and 48 comorbidities [2,3]. 49 Delay in making a diagnosis of RA, and therefore in treating it, can occur at a number of points in the 50 patient journey [3-6]; first in the patient recognising their symptoms and seeking help from primary 51 care, second in the primary care physician recognising the potential for a diagnosis of RA and making 52 a referral to a rheumatology specialist, and third in seeing a rheumatologist and starting appropriate 53 treatment. Work has been ongoing to understand the causes of patient delay [7-12], which has 54 comprised in-depth studies of the symptoms reported by patients prior to their diagnosis of RA [13-55 16]. These symptoms have included problems with joints, fatigue, weakness [13], muscle cramps, 56 psychological distress, and loss of motor control [14]. 57 Primary care delay continues to be a significant contributor to overall diagnostic delay for people 58 with RA [17]. This could be because GPs are not aware of the need to refer quickly [18], or because 59 they find it difficult to identify 'red flag' symptoms, for example because of co-existing 60 musculoskeletal conditions [19,20]. We hypothesised that we would be able to identify signs and 61 symptoms in the coded part of the medical record that increase the likelihood of a future RA 62 diagnosis, increasing GPs awareness and facilitating a more rapid referral. 63 We present a case-control study to assess the association of clinical features reported by patients in 64 the earliest phases of RA with future diagnosis of the condition using the UK Clinical Practice 65 Research Datalink (CPRD). 66

67 MATERIALS AND METHODS

68 Data source: the Clinical Practice Research Datalink

69	The CPRD is an anonymised source of routinely collected primary care health records covering
70	approximately 6.9% of the UK's population. It is broadly representative of this population in terms of
71	age, sex and ethnicity [21]. The data exist in coded form and include details of symptoms, diagnoses
72	and prescriptions. Clinical data are assigned Read codes (the hierarchical clinical coding system
73	currently used in UK primary care) by the GP. Data are collected for clinical purposes and so it can be
74	assumed that anything the GP considers relevant might be coded, regardless of whether any clinical
75	action was required as a result. The coding of data has been shown to be accurate for a range of
76	conditions [22]. The CPRD assigns an 'up-to-standard' date for when a practice has a high enough
77	quality of coding to be used for research. We use only up-to-standard data in this study.
78	
79	Definition of rheumatoid arthritis
80	Previous work in the General Practice Research Database (predecessor to CPRD) developed an
81	algorithm to identify individuals with RA [23]. The algorithm combines Read codes for RA with
82	prescription information and potential alternative diagnoses to create a case definition that is
83	specific, but not overly sensitive. It has been updated to allow for the inclusion of new Read codes
84	and the use of biological disease modifying anti-rheumatic drugs (DMARD) [24].
85	
86	Analysis sample
87	All individuals with a first Read code for RA in the CPRD between 2007 and 2012 were identified and
88	the algorithm to define RA was applied [24]. Those who met this specific definition of RA were then
89	matched to four individuals of the same sex and from the same practice who were born in the same
90	3-year time interval who did not have a Read code for any inflammatory arthropathy up until the
91	time of the case's first RA code, in order to form a case-control study.
92	
93	The index date: first indication of RA in the records

93 The index date: first indication of RA in the records

The date at which the first RA Read code was recorded may not accurately reflect the date at which the GP first suspected RA in the patient [25,26], as he/she may wait until diagnosis is confirmed by a specialist before entering this diagnostic code. As a proxy for the date of first clinical suspicion of RA, an index date was defined. Based on previous work in the CPRD [27,28], this index date was taken to be the earliest of the first RA code, or other code from the Read code subchapter N04 (rheumatoid arthritis and other inflammatory arthropathy), the date of the first prescription of a DMARD or first referral to rheumatology in the three years preceding the first RA Read code (Figure 1).

101

102 Early signs and symptoms

103 Signs and symptoms that may precede a diagnosis of RA were identified from the literature [13-16]

and consultation with experts. Whilst some of the signs/symptoms described in the literature were

105 not possible to define within the medical record (e.g. muscle burning as one would feel after

106 exercise), other signs/symptoms were clearly defined syndromes and conditions that could be

specified and studied in more detail (e.g. carpal tunnel syndrome, shoulder pain).

108 The final set of the signs/symptoms included is given in Tables 2 (articular) and 3 (non-articular). Lists

109 of Read codes to define each sign/symptom, the concepts they represent and the process to achieve

the lists are available at [29].

111 Where a sign/symptom was not recorded, it was assumed that the individual did not experience it,

112 rather than data being missing.

113

114 Statistical analyses

115 Rates of consultation

116 A consultation was defined as a day on which a Read coded contact with the practice was made.

117 Where there were multiple contacts/Read codes on the same day, only one was included in the

118 consultation rate analysis. Monthly rates of consultations in the 2-year period before the index date

119	were estimated and compared in cases and controls using incidence rate ratios with 95% confidence
120	intervals (CI).

121

122 Signs and symptoms associated with RA

- 123 We investigated signs/symptoms in the 2-year period prior to the index date. Cumulative time
- 124 periods were defined at 1, 6, 12 and 24 months prior to the index date. Each period included
- 125 previous periods (Figure 1). Additionally, we considered the period 12 to 24 months before the index
- date to allow comparison with the period 0 to 12 months before the index date. In these analyses all
- 127 Read coded contacts were considered, even when multiple codes were entered on the same date.
- 128
- 129 Conditional logistic regression was used to assess the association between signs/symptoms and case
- 130 control (RA) status, allowing for the matched design in each of the time periods described above.
- 131 Results are presented as unadjusted odds ratios and then adjusted for the rate of consultations (as
- defined above) in the period in question. All values are presented as odds ratios (OR) with 95% Cl.
- 133
- 134 Data management and analyses were conducted in Stata 14.2 [30].
- 135
- Approval for this study was granted by the Independent Scientific Advisory Committee of the CPRD(reference 13_126).
- 138
- 139 **RESULTS**
- 140 Sample

141 Between 2007 and 2012, 4161 people were identified with a first Read code for RA. Of these, 3577

- 142 met the criteria for RA using the algorithm described above [24] and were matched to 14287
- 143 controls (Table 1). The mean (standard deviation) age of the both cases and controls was 58.8 (14.5)

years and 66.8% were female (Table 1). Current and previous smoking were more common in casesthan controls.

146

147 Numbers of consultations

- 148 For two years prior to the index date, the overall consultation rate was significantly higher in each
- 149 month in cases than in controls (Figure 2) (incidence rate ratio 1.22 (1.21, 1.22)). This increase
- became more pronounced in the 6 months before the index date and in the final month before,
- cases consulted at 2.68 (95% CI 2.61, 2.76) times the rate of controls (mean: 2.39 consultations per
- 152 person).
- 153

154 Signs and symptoms preceding a diagnosis of RA

155 Articular symptoms

156 All articular signs/symptoms were associated with a future diagnosis of RA in all time periods in both

157 unadjusted and adjusted analyses, with the exception of jaw pain which was not significantly

associated with RA following adjustment for the number of consultations in the 0 to 1-month period

159 (Table 2). In the 0 to 1-month period, joint symptoms (adjusted OR (95% CI), 14.82 (12.48, 17.60)),

- and specifically hand problems (61.07 (31.58, 118.10)), were strongly associated with the
- development of RA. Palindromic rheumatism occurred only in cases in the 12 months preceding the
- 162 index date. The strength of all associations was lessened by adjustment for the number of
- 163 consultations (except for jaw pain in the 0 to 6-month period) and associations were generally
- 164 stronger for consultations closer to the index date.

165

166 Non-articular symptoms

167 In the 0 to 1-month period there were four non-articular signs/symptoms that had large (odds

- ratio≥6) unadjusted associations with development of RA (morning stiffness, muscle pain: 13.83
- 169 (5.11, 37.42) and carpal tunnel syndrome: 2.96 (1.38, 6.34)). All remained strongly and significantly

170 associated after adjustment (Table 3). Morning stiffness was recorded in 14 cases (0.39%), but not in 171 any controls, hence an estimate of the strength of association could not be made. Unintentional 172 weight loss was not significantly associated with RA in the month before the index date, but was in 173 all other time periods (except adjusted analysis in 12 to 24-month period (Supplementary tables)). In 174 all time periods, there was a significant unadjusted association between fatigue and development of 175 RA, but this association was attenuated and not significant after adjustment for number of 176 consultations. No association was seen with sleep problems or flu-like illness (Table 3). 177 Psychological problems were significantly associated with a higher odds of RA in all unadjusted 178 analyses (except 0 to 24 months before the index date, where association was positive, but not significant), but significantly associated with a decreased odds of RA after adjustment. 179 180 181 Comparison of consultation 0-12 and 12-24 months before the index date 182 Comparison of the associations of signs/symptoms during the periods 0 to 12-months and 12 to 24-183 months before the index date suggested that signs/symptoms grouped together (Supplementary 184 tables). A similar pattern of association was seen in both time periods for fatigue, altered sensations, 185 postnatal occurrence of RA, weakness, psychological problems and carpal tunnel syndrome. There 186 was no unadjusted association between sleep and RA in either time period and only after 187 adjustment in the 12 to 24-month period for falling. Flu-like symptoms were associated with RA in 188 the 12 to 24-month period (adjusted analyses only (1.46 (1.04, 2.07)), but not closer to the index 189 date. All articular signs/symptoms and the remaining non-articular signs/symptoms were more 190 strongly associated with RA in the 0 to 12-month period than in the 12 to 24-month period. This was 191 particularly noticeable for hand symptoms (aOR 0 to 12-months: 23.75 (18.49, 30.51); 12 to 24-192 months: 2.70 (2.05, 3.56)), morning stiffness (0 to 12-months: 9.72 (3.84, 24.60); 12 to 24-months: 193 0.93 (0.08, 10.64)) and muscle pain (0 to 12-months: 3.15 (2.22, 4.47); 12 to 24-months: 1.22 (0.75, 194 1.97)).

195

196 **DISCUSSION**

197 The rate of consultations increases rapidly in the period before the index date in those with RA 198 compared to controls, and key signs and symptoms are recorded at a higher rate before an RA 199 diagnosis. In the final month before the index date, these include all joint symptoms, but particularly 200 those involving the hand, and the non-articular symptoms morning stiffness, muscle pain and carpal 201 tunnel syndrome. In longer periods before the index date, there is also an increase in the recording 202 of other features such as unintentional weight loss. Other symptoms reported by patients in 203 previous studies (e.g. fatigue, cramping, poor sleep) [13-16], showed less clear associations. 204 205 The strengths of our study include the large sample size and use of a validated definition of RA [24]. 206 Whilst it could be argued that the definition had good specificity at the expense of sensitivity, the

207 exclusion of controls with any record of inflammatory arthropathy should reassure that there was no 208 contamination of the control group with potential cases. The data for this study were taken from a 209 high quality database containing a representative sample of individuals in UK primary care. As such, 210 the results should be generalizable to other primary care settings. Despite its strengths, this study 211 also has some weaknesses. First, multiple statistical testing, which could result in false positive 212 associations. Second, we do not know the thought processes of the GPs who coded the 213 consultations and how this might have affected our findings. This question cannot be answered in 214 routinely collected data, but would require in-depth interviews with GPs as to their views and clinical 215 practice. This in itself may prove difficult, as an individual GP will see a new case of RA only rarely 216 and may not be able to report what action they would take [20].

217

We adjusted our findings for the total number of consultations (days with ≥1 Read coded
consultation) in order to adjust for ascertainment/surveillance bias, whereby the presence of the

patient in the surgery makes it easier for the GP to identify and code signs/symptoms. This

adjustment for number of consultations attenuated the association of a number of signs/symptoms

222 (physical functioning, cramps, weakness and restless legs) with RA. This may suggest that these 223 signs/symptoms are more common in those with RA, but are only recorded in those who attend 224 more frequently. A similar process may explain the change in direction of association with 225 psychological problems when adjusting for number of consultations: people with coded 226 psychological problems consult more frequently and it may be that controls receive psychological 227 codes, but RA cases receive codes for physical symptoms because these take priority for the GP. 228 Due to small numbers, non-significant associations in the final month before the index date should 229 be interpreted with caution, as they may well represent a type II statistical error, especially where 230 the signs/symptom was associated with RA in longer time periods and the absolute estimate of the 231 size of association is similar across time periods (e.g. unintentional weight loss). However, it could be 232 that these symptoms are simply more common at an earlier stage in the pre-clinical picture of RA 233 and become less commonly reported or over-shadowed by other symptoms in the final weeks 234 before the GP suspects RA.

235

Previous literature has described the symptoms patients report before a diagnosis of RA [13-16], and there is a feeling among rheumatologists that they know what symptoms they expect to see in early RA. However, to our knowledge this is the first paper to consider whether these signs and symptoms occur in the primary care record, whether they are more common in those who later received a diagnosis of RA than in those who do not and how long before RA is suspected by the GP these signs and symptoms are present.

242

Within this study, classical features of RA such as hand pain and stiffness were more frequently
coded within the primary care record, and were seen more frequently up to 2 years before the index
date. However, musculoskeletal symptoms in regions not traditionally associated with early RA (e.g.
neck and shoulder pain) were also reported more frequently by patients who eventually developed
RA. Joint symptoms, particularly in the hands, and other well-recognised non-articular features

248 should raise the index of suspicion of RA in patient presenting in primary care, particularly when 249 accompanied by a general increase in patient contact with the primary care. However, GPs should 250 also be aware that these features have low specificity and only a small proportion of patients with 251 these symptoms will go on to receive a diagnosis of RA. For example, whilst we have confirmed that 252 people with RA are more likely to have hand symptoms, an RA outcome is seen in only a minority of 253 patients that have hand symptoms recorded in primary care. Further studies will be needed to 254 investigate what other symptoms/signs increase the likelihood of an RA diagnosis. 255 Other early symptoms reported by patients such as falls and sleep problems did not show any 256 association with RA. This may represent a true lack of association, or it may be that either patients 257 did not report these symptoms to the GP or that GPs did not code them, especially if they did not fit 258 with the GP's concept of what is important. The association of flu-like symptoms with RA only in the 12 to 24-month period may suggest that 259 260 rather than being part of an RA prodrome, flu-like symptoms may be a marker of an insult on the 261 immune system that reflects the phase of immune tolerance breakage [31]. 262 263 The next steps should be to identify groups of symptoms that constitute a prodrome of RA and at 264 the same time educate GPs as to the key symptoms that may indicate RA prior to the cardinal 265 symptoms of morning stiffness and hand symptoms that they already appear to recognise, although 266 further work is needed to refine the specificity of these common symptoms.

In the future, it may be possible to create automated electronic alerts for the GP within the records
system that highlight the risk for an individual patient when certain codes are entered. This already
happens for example to alert the GP to the possibility of sepsis.

270

What our study was not able to do was to identify new signs or symptoms from the record that mayoccur at a higher rate in those who go on to receive an RA diagnosis than in those who do not; to do

so would have required an alternative methodological approach to identify patterns in consultationthat were not defined by code lists (e.g. 32]).

275

276 CONCLUSION

277 We have provided definitive evidence of the presence of some key features of early RA in the 278 primary care medical record prior to the GP appearing to recognise the condition. Primary care 279 professionals should be aware of the range of articular and non-articular features, specifically hand 280 symptoms, muscle pain, carpal tunnel syndrome and unintentional weight loss, accompanied by an 281 increased rate of consultation, as potentially forming a prodromal syndrome for RA. Increased 282 awareness of these symptoms combined with education on the need for early referral could 283 facilitate earlier treatment of RA, increasing the likelihood of remission and reducing long term joint 284 damage and comorbidities.

285

286 CONFLICT OF INTERESTS

KR reports personal fees from BMS, personal fees from Abbvie, grants from Pfizer, personal fees
from Pfizer, personal fees from UCB, outside the submitted work. The other authors report no
conflicts of interest.

290

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406 Figure Legends

- 407 Figure 1 Schematic representation of data set
- 408 Figure 2 Consultation rates (per person year) in cases and controls prior to index date