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3 An exploration of the factor structure of executive functioning in
4 children.

5
6 Abstract

7 There has been considerable debate and interest in the factor structure of Executive
8 Functioning. For children and young people, there is evidence for a progression from a
9 single factor to a more differentiated structure, although the precise nature of these factors
10 differs between investigations. The purpose of the current study was to look at this issue
11 again with another sample, and try to understand possible reasons for previous differences
12 between investigations. In addition, we examined the relationship between less central EF
13 tasks, such as fluency and planning, to the more common tasks of updating/executive
14 working memory, inhibition and switching/shifting. A final aim was to carry out analyses
15 which are relevant to the debate about whether EF is influenced by language ability, or
16 language ability is influenced by EF. We reasoned that if language ability affects EF, a factor
17 analysis of verbal and non-verbal EF tasks might result in the identification of a factor which
18 predominantly contains verbal tasks and a factor that predominately contains non-verbal
19 tasks.

20 Our investigation involved 128 typically developing participants (mean age 10:4) who were
21 given EF assessments that included verbal and non-verbal versions of each task: executive
22 working memory; switching; inhibition; fluency; and planning. Exploratory factor analyses
23 on executive working memory, switching and inhibition produced a structure consisting of
24 inhibition in one factor and the remaining tasks in another. It was decided to exclude verbal
25 planning from the next analyses of all the ten tasks because of statistical considerations.
26 Analysis of the remaining nine EF tasks produced two factors, one factor containing the two
27 inhibition tasks, and another factor that contained all the other tasks (switching, executive
28 working memory, fluency and non-verbal planning). There was little evidence that the verbal
29 or non-verbal elements in these tasks affected the factor structure. Both these issues are
30 considered in the discussion, where there is a general evaluation of findings about the factor
31 structure of EF.

32 Data availability. The raw data supporting the conclusions of this manuscript will be made
33 available by the authors, without undue reservation, to any qualified researcher.

34

35

36 1. Introduction

37

38 Executive Functioning (EF) continues to be an important topic of research in relation to
39 children and young people (Diamond, 2013). There is a growing consensus about the
40 cognitive processes and relevant assessment procedures for the investigation of EF.
41 However, there has been longstanding discussion about whether the different forms of EF
42 should be considered as making up one single area of cognitive functioning or involve
43 separable/distinct statistical factors, as well as discussion about the nature of, and
44 relationships between, identifiable factors. Such investigations can help with the
45 understanding of relationships between different tasks that are used to assess EF. These are
46 important and challenging issues similar to those seen in research on the separability of
47 intelligence into different factors (McGrew, 2005).

48 1.1 The structure of EF and its Development

49 Research with adults tends to identify three EF factors (inhibition, switching and
50 updating), which are related to each other, but nevertheless are separable, hence the
51 suggestion that EF involves both unity and diversity (Miyake et al., 2000). In relation to
52 children and young people, there is a widely-held view that with increasing age the elements
53 of EF become more separable from one another, although there are disagreements about
54 which factors are separable and at which ages. We use the term ‘factor’ to refer to EF tasks
55 that have been identified on a statistical basis as being related to one another. ‘Component’ is
56 used to refer to the three commonly identified forms of EF, specifically updating/executive
57 working memory (EWM; which involves the executive component of working memory),
58 switching/shifting, and inhibition. For children between 3 and 6 years, several investigators
59 (Wiebe et al., 2008; Hughes et al., 2010; Wiebe et al., 2011) have reported that EF is best
60 described as a single factor. Thus, it appears that in the pre-school age, EF may be

61 undifferentiated and does not involve statistically separable factors, so that individual
62 differences (i.e., the differences between children) across different EF components appear to
63 be influenced by a general cognitive capacity such as attention (Garon et al., 2008).

64 In the 6 to 12 year age range a number of different factor structures have been
65 identified. For children aged 7-9 years and 10-11 years, Xu et al. (2013) compared five
66 models of the structure of EF, reporting that a one-factor model was reasonably good at
67 accounting for their data (inhibition, EWM, and switching). However, several groups of
68 researchers have identified two-factor models of EF in the 6-12 years age range, although the
69 models differ with regards to which EF tasks occur in the same factor. At 9-12 years, van der
70 Sluis et al. (2007) reported that EWM and shifting were separate factors, but a separate
71 inhibition factor was not supported by their data. In another study with 11-12-year-old
72 children, St Clair-Thompson and Gathercole (2006) identified updating/executive working
73 memory and inhibition as separate factors, but not switching. Van der Ven et al. (2013) also
74 reported a two-factor model (an updating factor and a combined inhibition and shifting
75 factor), but noted that verbal ability and motor speed were additionally implicated. Finally,
76 Huizinga et al. (2006) found good evidence for two factors (EWM, set shifting) in 7- and 11-
77 year-olds (and also in 15- and 21-year-olds), although there was no evidence for an
78 underlying inhibition construct as the three inhibition measures they used did not relate well
79 to each other.

80 There are also findings providing support for a three-factor structure. Lehto et al.
81 (2003), used both exploratory and confirmatory factor analyses with 8 to 13 year-old-
82 children, and identified three interrelated factors which had an approximate correspondence
83 with EWM, inhibition and shifting. In addition, Wu et al. (2011) found that this three-factor
84 structure of EF in individuals aged between 7 and 14 years also provided the best fit for their
85 data.

86 Thus, in the primary school years, it is possible to identify separable factors involving
87 EF abilities, but there is a lack of agreement about the composition of these factors. Most
88 investigations have used confirmatory factor analysis to identify the factor structure that best
89 fits the relevant data. Given the uncertainty about which model is supported by theory and
90 previous research, we used exploratory factor analysis (EFA) rather than confirmatory factor
91 analysis (CFA).

92 **1.2 Further measures of EF in children: planning and fluency.**

93 Planning and fluency are often studied in patients with frontal lobe damage and reflect a
94 range processes that are relevant for everyday life (e.g., Pennington & Ozonoff, 2008). However,
95 although these processes involve potentially important assessments of EF, there are
96 uncertainties about how they relate to EWM, inhibition and shifting.

97 Our planning measure was the ‘sorting’ task from the Delis Kaplan Executive
98 Functioning System (D-KEFS; Delis et al., 2001) and involved grouping cards into equal
99 sized sets based on card features such as size, shape and concept. According to the manual,
100 this task assesses problem-solving, in particular concept-formation and rule generation. As
101 with many EF tasks it may also assess inhibition of previous responses (Swanson, 2005), and
102 more generally the task has been thought to assess planning ability (Henry et al., 2012).
103 Furthermore, although planning is sometimes regarded as another component of EF, it also
104 has been argued as being a higher order construct (Diamond, 2013). Research on the D-
105 KFES Sorting task has been limited, but performance on the task appears to differentiate
106 between children with disabilities and children with typical development (Mattson et al.,
107 1999).

108 The other additional EF assessment concerned fluency, the ability to generate as many
109 different examples of a class of items as possible within a short time period. The usual tasks

110 used to assess verbal fluency involve target categories such as animals or words beginning
111 with a particular letter (semantic and phonemic fluency respectively); a common example of
112 a non-verbal fluency task involves drawing as many different shapes as possible on a
113 template of the same pattern of dots (design fluency). There are limited findings that fluency
114 relates to some of the three commonly identified components of EF. For example, Lehto et
115 al. (2003) reported that performance on semantic and phonemic fluency tasks was related to
116 performance on a shifting task (Trail Making), while Rosen and Engle (1997) found that
117 verbal fluency was related to working memory ability. There has also been discussion of
118 whether fluency is more closely related to EF or language abilities (Henry et al. 2015;
119 Whiteside et al., 2016; Marshall et al., 2017; Shao et al., 2014). Consequently, there is a need
120 to understand the way that verbal and non-verbal fluency relate to the more usual assessment
121 of EF.

122 **1.3 Relationships between EF and Language Ability**

123 Our interest in the structure of EF also concerned whether verbal and non-verbal
124 assessments were grouped into separate factors. There has been discussion about whether EF
125 is influenced by language ability or *vice versa* (Bishop et al., 2014). In two previous
126 investigations findings indicated that the influence of language disorder on EF is *not* confined
127 to verbal tasks, but also extends to non-verbal EF tasks, something that would not be
128 expected if language disorders only had a direct and specific effect on tasks which involve
129 verbal operations (Henry et al., 2012; Yang & Gray, 2017).

130 However, different findings have been reported about the relationships between
131 language ability and EF in students who are deaf. These students often have delays in the
132 progress of spoken and/or sign languages, and this could affect verbal and non-verbal EF
133 performance. In these investigations there is more evidence that language ability influences
134 performance on EF tasks rather than *vice versa* (Botting et al., 2017; Figueras et al., 2008).

135 Authors name withheld (under revision, 2017), using cross lagged regressions, confirmed that
136 language led EF developmentally and not just at the performance level, although this effect
137 was stronger for deaf children than hearing participants.

138 A further viewpoint is provided by Gooch et al. (2016) who failed to identify influences
139 in either direction between EF and language in children at risk for dyslexia and typically
140 developing children: the abilities appeared to develop together, but did not influence each
141 other. This was interpreted as supporting the existence of a third influence, such as
142 processing speed, on both EF and language, which causes relationships between the two
143 domains.

144 Factor analyses provide an additional way to investigate this issue of relationships
145 between language and EF by examining the relationships between non-verbal and verbal EF
146 tasks. If language abilities only affect performance on verbal tasks and not non-verbal tasks,
147 it might be expected that verbal EF tasks would be a notable feature of one factor, and that
148 non-verbal EF tasks would be a notable feature of another factor. Such findings would
149 provide additional indirect evidence about the relationship between language and EF.

150 **1.4 The current study**

151 Our investigation of the factor structure of EF in the primary school years was carried
152 out on data already collected from typically developing children in two previous studies
153 (references withheld). The same assessments of EF were used in both investigations, and to
154 ensure comparability in the measures, separate z-scores were calculated for each sample,
155 which should minimize the effect of any confounds. The research was designed to address
156 three research questions concerning children in the 6-12 year age range:

157 1. Does EFA using verbal and non-verbal EF tasks assessing EWM, inhibition and
158 switching produce a factor structure that is similar to one of those reported in previous
159 investigations?

160 2. Does the inclusion of fluency and planning assessments in the EFA analysis produce
161 modifications to the initial factor structure?

162 3. Is there evidence for language having an influence on the structural organization of
163 verbal and non-verbal EF tasks?

164 **2. Methods**

165 **2.1 Participants**

166 A total of 159 participants were recruited to be part of the typically developing comparison
167 groups of two investigations concerned with EF, one study was concerned with Specific
168 Language Impairment (SLI) and the other with Developmental Coordination Disorder
169 (DCD). The former study recruited 88 children with typical development and the latter 71
170 children with typical development; 14 children recruited into the SLI study were excluded to
171 give an age range in the remaining sample between 6 years and 12 years 6 months (SLI study
172 mean age 9:2 years (*SD* 23 months); DCD study mean age 9:5 years (*SD* 12 months)).

173 The selection criteria in the two investigations ensured that children considered as
174 typically developing in each study were distinguishable from the target clinical groups. Thus,
175 both groups of children with typical development met acceptable, but slightly different,
176 criteria for inclusion. In the SLI study the criteria for inclusion were non-verbal abilities in
177 the average range as assessed by BAS-II Matrices (T-scores of 40 or greater, mean=50,
178 *SD*=10; British Ability Scales-II, Elliott et al., 1996) and scaled scores of 8 or more on four
179 CELF-4-UK subscales (Clinical Evaluation of Language Fundamentals-4-UK, Semel et al.,
180 2006; see below). In the DCD study, the inclusion criteria were a General Cognitive Index of
181 70 or above (calculated from BAS3, Word Definitions, Verbal Similarities and Matrices
182 subscales; Elliot & Smith, 2011), together with at least one standard score of 4 or above on

183 two CELF-4-UK subtests (Formulated Sentences and Word Classes-Receptive). The
184 children in the latter study also had to have percentile scores equal to or above 25 on the
185 Movement Assessment Battery for Children (MABC-2; Henderson et al., 2007) and a
186 standardized score of 70 or above on the Test of Word Reading Efficiency (TOWRE;
187 Torgensen et al., 1999).

188 To help to ensure comparability between the two samples, children from the DCD
189 study were excluded if their Matrices subscale T-score was below 40 and if either of the two
190 CELF-4-UK subscales administered were below 8. This excluded 17 children, so the
191 remaining total sample consisted of 128 participants (mean age 111.13 months, *S.D.* 19.59;
192 there were 58 female participants). The standardized scores from the BAS-II (SLI study) and
193 BAS3 (DCD study) for verbal ability were SLI, 111.56 (*S.D.* 10.39) and DCD, 108.70 (*S.D.*
194 10.77). The T-scores for the BAS matrices assessment were respectively 52.03 (*S.D.* 6.29)
195 and 52.63 (*S.D.* 8.19). The mean scores for both groups of children were slightly above
196 average and this probably reflects the selection criteria for both these samples.

197 The children were recruited from schools within Greater London and, in the study
198 involving children with SLI, very occasionally, via direct contact with parents/guardians.
199 The catchment areas of the schools were variable in nature, but predominately low to mid
200 socio-economic status. All the children were regarded by their assessors as having typical
201 levels of spoken English and no child appeared to have English as a second language. All the
202 children in the sample had BAS verbal standardized scores above 89.

203 For the study that concerned children with SLI, testing took place across 3-8 sessions,
204 making up 3½ hours for the complete battery, usually at school but occasionally at the child's
205 home. For the DCD study, 5-6 sessions of 45 minutes to 1 hour each were conducted at
206 school, making up 5 hours for the complete battery. A range of non-EF assessments were

207 also carried out in these investigations and further details about the general findings are
208 described in our other publications (author names withheld). Measures were administered in
209 random orders to participants.

210 The projects were granted ethical approval from the appropriate University Research
211 Ethics Committees, and were discussed in detail with relevant school staff before recruitment.
212 Informed consent for participation was obtained in writing (telephone permission
213 occasionally) from parents/guardians; children/students also gave their oral and written assent
214 and were told they could opt out at any time.

215 **2.2. EF Tasks**

216 Each executive ability was assessed using pairs of tests, one for the verbal domain and one
217 for the non-verbal domain. We used various strategies to try to select comparable verbal and
218 non-verbal tasks that assessed predominantly the construct in question. In some cases it was
219 possible to use assessments which had the same task structure, but involved either verbal or
220 non-verbal behaviour (e.g., inhibition), in other cases we were guided by theoretical models
221 which have resulted in different tasks to assess comparable verbal and non-verbal abilities
222 (e.g., executive working memory), or we used similar tasks from the same assessment battery
223 which involved either a verbal or non-verbal response (e.g., fluency and planning).
224 Although, the tasks also were selected to provide a useful test of differences between verbal
225 and non-verbal functioning, we are not claiming that task purity was achieved.

226 **2.2.1. Executive working memory**

227 Executive Working Memory (EWM) requires concurrent processing and storage. The verbal
228 task was Listening Recall (Working Memory Test Battery for Children, WMTB-C, Pickering
229 & Gathercole, 2001). A series of short sentences were read to the children and they judged
230 whether each was true/false (processing). The children were then asked to recall the final

231 word from each sentence in correct serial order (storage). The first trials had a list length of
232 one item, and the task progressed on to longer lists, with six trials per list length, until 4/6
233 trials were incorrect. Total trials correct were scored. Test-retest reliabilities of .38-.83 are
234 reported for the relevant ages (Pickering & Gathercole, 2001).

235 The Odd-One-Out test was the non-verbal EWM task (Henry, 2001). The
236 Experimenter presented three cards showing simple nonsense shapes (horizontally orientated
237 on 20x4cm cards). The child pointed to the shape which was the 'odd-one-out' (processing).
238 Storage was assessed via response sheets (20x30cm) which had three 'empty' boxes that
239 represented the cards, so the child could point to the location of each identified 'odd-one-out'.
240 The first trial had one item, and the task progressed on to longer lists, with three trials per list
241 length, until 2/3 trials were incorrect. Total trials correct were scored. The span version of
242 this task has a reliability of .80 (Henry, 2001).

243 **2.2.2. Inhibition**

244 The "Verbal Inhibition, Motor Inhibition" test (VIMI, Henry et al., 2012) was used. This task
245 had two types of response: to copy the Experimenter; or to inhibit copying and produce an
246 alternative response. For Part A of the *verbal task*, the Experimenter said either 'doll' or
247 'car' and the participant was asked to repeat the same word (block 1). Next, in block 2, the
248 child was expected to inhibit repeating the response: 'If I say doll, you say car; and if I say
249 car, you say doll'. Next there was a second 'copy' block and a second 'inhibit' block. Each
250 of the 4 blocks had 20 trials. This entire sequence was repeated in Part B, with new stimuli
251 ('bus' and 'drum'). In the *non-verbal motor task* the same format was followed, but words
252 were replaced with hand actions. For Part A, the action was a pointing finger versus a fist;
253 for Part B the action was a flat horizontal hand versus a flat vertical hand. The total number
254 of errors made across Parts A and B on each task was used as the measure of inhibition and

255 was expressed as a negative score. Cronbach's alpha, based on total error scores from Parts
256 A and B was .915 for the non-verbal task, and .727 for the verbal task.

257 **2.2.3. Switching.**

258 It was difficult to obtain simple and comparable measures of switching that were in the verbal
259 versus visuospatial domains, the two elected were the verbal Trail Making Task (D-KEFS;
260 Delis et al., 2001) and the non-verbal Intra/Extra Dimensional Set Shift test (Cambridge
261 Neuropsychological Test Automated Battery; Cambridge Cognition, 2006). The Trail
262 Making Task requires continual switching between two classes of item (easily nameable
263 numbers and letters) whereas the intra/extradimensional shift test required children to learn a
264 rule to guide responding and then switch to another rule unpredictably, and this task
265 concerned stimuli that were not easily nameable. These are not identical tasks, but they both
266 required children to switch between response sets and also required them to be flexible when
267 responding. These tasks (and other similar versions of them) have been commonly used in
268 previous literature to assess switching in both children and adults so have considerable face
269 validity for measuring this construct.

270 In the Trail Making Test children joined small circles containing letters and numbers
271 alternately, in sequence (1-A-2-B-3-C through 16-P). Four control conditions assessed
272 component skills. The most relevant were: Number Sequencing (connecting numbers 1-16);
273 and Letter Sequencing, (connecting letters A-P). "Switching cost" was the total time taken
274 for combined letter/number switching, minus the sum of the time taken for the number and
275 letter sequencing component skills. These scores were multiplied by -1 so that as the scores
276 increased from negative to positive this represented increasing switching ability. The letter
277 sequencing and the number sequencing tasks were terminated after 150 seconds; the number-
278 letter switching task was terminated after 240 seconds. Test-retest reliabilities for measures

279 contributing to “switching cost” are reported as: number sequencing (.77), letter sequencing
280 (.57); letter/number switching (.20, Delis et al., 2001). Reliability for switching measures can
281 be low, given they are difference scores; consequently, somewhat lower reliabilities may be
282 inevitable in this area (Henry & Bettenay, 2010).

283 For the Intra/Extra Dimensional Set Shift task, initially, two coloured stimuli were
284 presented on a screen, and by touching one, the child could learn a rule from feedback about
285 which was ‘correct’. Later, a second dimension, an irrelevant white line, was introduced.
286 This introduced new stimuli, yet the child still needed to respond to the shape stimuli. The
287 complex stimuli were later changed and the child had to switch attention to the previously
288 irrelevant dimension to obtain ‘correct’ responses (‘extradimensional’ shift). Total error
289 scores were used (test-retest reliability reported as .40, Cambridge Cognition, 2006) and the
290 scores were multiplied by -1 so that as the scores became less negative this represented
291 increasing switching ability.

292 **2.2.4. Fluency**

293 Verbal Fluency (Delis-Kaplan Executive Functioning System, Delis et al., 2001, D-KEFS)
294 involved several versions of a similar task. In all tasks, the children were asked to say as
295 many words as possible in one minute according to a criterion. ‘Letter fluency’ involved the
296 letters F, A and S; ‘category fluency’ concerned the semantic categories of ‘animals’ and
297 ‘boys’ names’. Verbal fluency was the total raw score from all five tasks.

298 Non-verbal fluency (Design Fluency, D-KEFS) involved a response booklet
299 containing patterns of dots in boxes. The children were asked to draw as many different
300 designs as possible in one minute, each in a different box, by connecting dots with four
301 straight lines (with no line drawn in isolation). Condition 1 consisted of only filled dots;
302 Condition 2 consisted of arrays of filled and empty dots and the child connected only empty

303 dots. Design fluency was the total raw score from these two conditions. Test-retest
 304 reliabilities are reported as: letter (.67); category (.70); filled dots (.66); empty dots (.43)
 305 (Delis et al., 2001).

306 **2.2.5. Planning**

307 The Sorting Test (D-KEFS) assessed verbal and non-verbal planning. Children sorted sets of
 308 6 cards into two groups of three, in as many different ways as they could. There were three
 309 possible “verbal” sorts (e.g., transport/animals; things that fly/thing that move along the
 310 ground); and five possible “perceptual” sorts (e.g., small/large; straight/curved edges). Total
 311 numbers of correct verbal or perceptual sorts were used as the measures of verbal or non-
 312 verbal planning respectively (test-retest reliability reported as .49, Delis et al., 2001).

313 **3. Results**

314 The mean scores on the ten EF assessments are shown in Table 1. Bivariate correlations
 315 between the assessments are given in Table 2 and show moderate correlations between
 316 variables, with no correlations above .50.

317 **Table 1. Means and Standard Deviations of the EF assessments.**

Task	Task	Mean (SD)	Min-Max	
		Raw Score		
EWM	-- verbal	Listening Span (WMTB-C)	13.92(3.43)	5 to 27
	-- nonverbal	Odd-one-out	9.98(3.16)	4 to 17
Fluency	-- verbal	Verbal Fluency (D-KEFS)	59.51(14.25)	29 to 102
	-- nonverbal	Design Fluency (D-KEFS)	14.76(4.65)	4 to 27
Planning	-- verbal	Sorting Task (D-KEFS)	2.50(1.12)	0 to 5
	-- nonverbal	Sorting Task (D-KEFS)	5.56(2.15)	0 to 9
Inhibition	-- verbal	VIMI Test*	-8.24(5.51)	-23 to 0
	-- nonverbal	VIMI Test*	-23.63(12.28)	-59 to -5
Switching	-- verbal	Trail Making Test (D-KEFS)	-28.02(32.32)	-132 to 60

318 -- nonverbal Intra/Extra Dimensional Shift (CANTAB) -26.57(11.22) -55 to -8
 319 * Verbal Inhibition Motor Inhibition Test

319

320 **Table 2. Bivariate correlations between EF Assessments**

	EWM	EWM	Fluency	Fluency	Plan	Plan	Inhib	Inhib	Switch
	verbal	non-v	verbal	verbal	verbal	non-v	verbal	non-v	verbal
EWM -- nonverbal	.44								
Fluency -- verbal	.52	.33							
-- nonverbal	.30	.31	.44						
Planning-- verbal	.24	.18	.27	.33					
-- nonverbal	.31	.20	.30	.29	-.10				
Inhibition -- verbal	.12	.25	.09	.04	-.03	.19			
-- nonverbal	.14	.32	.05	.10	-.05	.11	.42		
Switching -- verbal	.30	.23	.18	.19	-.12	.22	.16	.07	
-- nonverbal	.26	.19	.36	.11	.05	.32	.20	.10	.17

321

322 To ensure comparability of data from the two samples, z-scores were calculated for
 323 each measure; this was done separately for each of the two samples and then the data were
 324 combined. This ensured that any differences due to sampling would be minimized.
 325 Examination of skewness and kurtosis was carried out, using a critical value for medium sized
 326 samples of 3.29 (Kim, 2013). The skewness and kurtosis of all the variables was acceptable
 327 except for the skewness of verbal working memory and verbal inhibition, and the kurtosis of
 328 verbal working memory. Inspection of the relevant graphs was carried out and they appeared
 329 acceptable given that univariate assumption of normality is not always considered as critical to
 330 factor analysis. Checks were made on univariate outliers and there were no extreme scores
 331 according to SPSS box plots. Mahalanobis distance was also checked and there was only one
 332 instance of a multivariate outlier, removal of this case did not influence the analyses.

333 EFA (Principal Axis Factoring in SPSS) was used rather than CFA, as previous theory
334 and research has produced different models of EF structures and we were limited to two
335 variables for each construct. For the EFA analyses, Oblique rotation (oblimax) was employed,
336 as it was thought that EF factors could be related to one another as suggested by the idea of
337 unity and diversity (Miyake et al., 2012). To check whether a different method of extraction
338 and rotation resulted in different factors, Principal Components Analyses (PCA) with
339 orthogonal rotation (varimax) were also conducted. PCA is usually recommended for the
340 derivation of scores rather than the investigation of factor structure, and varimax rotation is
341 usually regarded as maximizing the spread of loadings within factors (Field, 2009).
342 Consequently, the main interest was in the findings from the EFA, with the PCA analysis being
343 used to check that a different form of analysis produced similar findings.

344 For the first analysis on the six core EF variables (i.e., EWM, inhibition, switching),
345 Bartlett's Test of Sphericity was significant at $p < .001$ (95.67, df 15). The Kaiser-Meyer-
346 Olkin statistic of sampling accuracy was .66, which is acceptable according to Tabachnick and
347 Fidell (2001). Even so, caution should be exercised when interpreting the findings about the
348 separation of variables into factors. The measures of sampling adequacy of the variables from
349 the diagonals of the anti-image correlation matrix were all above .6 (for switching .74 and for
350 the remaining variables between .61 and .68), and therefore were adequate (Field, 2009).

351 Two factors were identified by the analysis. The eigenvalues for the first three factors
352 were: 2.1, 1.1 and 0.9 showing a reasonable separation between factors 2 and 3 which supports
353 the choice of factors with eigenvalues above 1. The first two factors accounted for 54.86% of
354 the variance. Table 3 displays the pattern matrix (i.e., rotated) which provides information
355 about the regression coefficients for each variable. Coefficients or loadings above .30 are
356 displayed in this and the other table. The findings in the pattern matrix indicates that the first
357 factor had the most important contribution from verbal EWM, and included non-verbal EWM

358 as well as smaller contributions from the two switching variables. The second factor contained
 359 the two inhibition variables. This suggests the presence of two factors, one which primarily
 360 involved EWM and switching, and a second factor than involved inhibition. The organisation
 361 of the variables into factors showed no evidence of a separation into verbal and non-verbal
 362 variables. The findings from the PCA analysis are also provided In Table 3. The major
 363 differences between the EFA and the PCA involve higher loadings from the PCA, which is
 364 often the case. Furthermore in the PCA, non-verbal working memory was identified with a
 365 loading of above .30 on the second factor involving inhibition.

366 **Table 3. Pattern Matrix for Exploratory Factor Analyses (EFA; oblique rotation)**
 367 **and Principal Components Analyses (PCA; varimax) on assessments of EWM, switching**
 368 **and inhibition.**

	EFA		PCA	
	Factor 1	Factor 2	Component 1	Component 2
EWM -- verbal	.86		.80	
-- nonverbal	.49		.66	.41
Switching -- verbal	.40		.60	
-- nonverbal	.32		.54	
Inhibition -- verbal		.60		.85
-- nonverbal		.70		.80

369

370 For the analyses on the ten EF variables (i.e., including verbal and non-verbal fluency
 371 and planning in addition to the six core EF variables) different structures were produced for the
 372 initial EFA and PCA analyses. These differences were only present when the verbal planning
 373 variable was entered into the analyses of the ten variables. There were other problematic
 374 issues with this variable. Verbal planning had the most limited range of scores of any variable
 375 and had the lowest measure of sampling adequacy in the anti-image correlation table. In
 376 addition, non-verbal planning which involved a very similar task, but with a greater range of

377 scores, did not have the same problems. Consequently, it was decided to remove verbal
378 planning from the analyses.

379 In the analyses of the 9 EF variables (Table 4), the Kaiser-Meyer-Olkin statistic was
380 acceptable (.74) as was Bartlett's test of sphericity (208.85, $p < .001$, df 36). The measures of
381 sampling adequacy figures also were acceptable, as all were above .62 (verbal and non-verbal
382 inhibition .62 – .69; verbal switching was .82, and the remaining variables were between .70
383 and .79). In the analysis using EFA with oblique rotation, two factors were identified and the
384 eigenvalues for the first three factors were: 2.9, 1.4, and 1.0 showing a reasonable separation
385 between factors 2 and 3. The first two factors accounted for 47.56% of the variance in total,
386 32.45%, and 15.11% respectively.

387 The pattern matrix reported in Table 4 shows that the majority of the variables
388 contributed to the first factor, with the most important contributions from verbal fluency and
389 verbal EWM. The second factor was made up of verbal and non-verbal inhibition. The
390 findings did not show an obvious separation of variables according to whether or not they
391 involved verbal or non-verbal EF tasks.

392 A further analysis on the same variables conducted using PCA with varimax rotation
393 is also reported in Table 4. The findings were similar to the EFA in that all the variables
394 except for verbal and non-verbal inhibition loaded on the first factor, the most notable
395 difference to the EFA analysis was that verbal working memory had a low loading on factor
396 2. Again, verbal working memory and verbal fluency made the largest contributions to
397 component 1.

398 **Table 4. Pattern Matrix for Exploratory Factor Analyses (EFA; oblique rotation) and**
399 **Principal Components Analyses (PCA; varimax) on assessments of EWM, switching,**
400 **inhibition, fluency and non-verbal planning.**

EFA	PCA
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	Factor 1	Factor 2	Component 1	Component 2
EWM -- verbal	.69		.74	
EWM -- nonverbal	.46		.53	.43
Switching -- verbal	.34		.45	
Switching – non-verbal	.40		.50	
Fluency -- verbal	.80		.79	
Fluency – non-verbal	.54		.65	
Planning – non-verbal	.47		.58	
Inhibition – verbal		.66		.81
Inhibition – non-verbal		.64		.82

401

402 **4. Discussion**

403 **4.1 The structure of EF in Primary School Aged Children**

404 EFAs and PCAs were conducted on data concerning verbal and non-verbal assessments
405 of EF obtained from 128 typically developing children aged between 6 and 12 years. The
406 findings from an EFA involving the core EF tasks of EWM, inhibition and switching
407 identified two factors. The first factor had contributions from all the four EWM and
408 switching variables, and the second factor consisted of verbal and non-verbal inhibition. A
409 PCA produced similar findings, although in this case there was evidence from the component
410 loadings of weak links between non-verbal EWM and inhibition.

411 Further analyses were conducted with the inclusion of verbal and non-verbal, planning
412 and fluency. The initial analyses indicated that the inclusion of verbal planning resulted in
413 different structures in EFA and PCAs. Because these two sets of analyses are usually
414 expected to produce similar findings, and verbal planning had poor psychometric properties,
415 it was decided to remove the verbal planning variable from subsequent analyses. Further
416 EFA on the nine remaining EF variables resulted in a two-factor solution. The first factor
417 had contributions from verbal and non-verbal EWM, verbal and non-verbal switching, verbal
418 and nonverbal fluency and non-verbal planning. The second factor was made up of verbal
419 and non-verbal inhibition. The PCA produced similar findings, and again there was a weak

420 contribution from non-verbal EWM to the inhibition factor. Consequently, the additional
421 fluency and planning variables loaded onto the first factor/component in both analyses, which
422 appeared to involve a general EF ability. It was notable that both verbal EWM and verbal
423 fluency had the highest loadings on this factor.

424 The analyses on the nine variables using different forms of data reduction produced very
425 similar outcomes, however, it needs to be acknowledged that this only occurred after
426 excluding verbal planning from the analyses. This variable had a low range of scores and a
427 low measure of statistical adequacy, which provided a justification for its removal. In
428 addition, non-verbal planning which involved very similar activities, but had a greater range
429 of scores, did not have the same problems. Consequently, although there are advantages of
430 the D-KEFS assessment of verbal planning, as it seems less affected by the task impurity
431 problems associated with Tower tasks, it may have disadvantages when used with children
432 between 6-12 years. Future research might consider alternative assessments of verbal
433 planning with better psychometric properties and less restricted variance. More generally, it
434 also would be desirable to have a greater number of assessments for each construct and a
435 larger sample size than in this investigation.

436 Thus, the current analyses provided support for an inhibition factor and a general EF
437 factor involving EWM, switching, fluency and planning. The findings are consistent with
438 previous research in children between 6 and 12 years as more than one EF factor was
439 identified. However, previous research has largely considered only three EF components,
440 namely EWM, switching and inhibition. A novel contribution of the current study is that
441 adding measures of planning (non-verbal) and fluency (verbal and non-verbal) resulted in the
442 same two-factor structure, with the additional measures loading largely on a general EF
443 factor. In relation to these findings, it is worth noting that factor analysis is less effective

444 than structural equation modelling with a larger sample in identify whether planning, as has
445 been previously discussed (Diamond, 2013), is a higher order EF structure.

446 **4.2 Explanations for Different EF structures**

447 One general issue in relation to our findings concerns the reasons why two-factor
448 solutions should be the most common description of the organization of EF between 6 and 12
449 years. Part of the answer is likely to be that the period between 6 and 12 years represents a
450 progression from the one-factor solutions that are reported at younger ages (Wiebe et al.,
451 2008; Hughes et al., 2010; Wiebe et al., 2011) before reaching the more complex three-factor
452 solutions identified in adulthood (Miyake & Friedman, 2012). The one-factor solutions
453 reported in pre-school children suggest that individual differences in EF abilities are similar
454 across all aspects of EF. This may be the result of a set of general problem-solving abilities,
455 such as core components relating to self-control or self-regulation (e.g., Miyake & Friedman,
456 2012), attentional abilities (Garon et al., 2008) or processing speed (Gooch et al., 2016)
457 influencing performance across a wide range of EF tasks, with the result being consistent
458 individual differences across the different EF tasks.

459 The commonly reported finding of a two-factor EF structure during the primary school
460 years has been replicated here, and suggests that during this age range more specialist and
461 differentiated mental capacities are available. In terms of individual differences, this implies
462 that some children become good at one aspect of EF while other children become good at
463 another. However, this development should not result in the variability we see in factor
464 structures across different investigations. For example, in previous research, there is more
465 evidence for a separation into abilities which are relevant to updating/EWM on the one hand,
466 and inhibition-switching abilities on the other, as suggested by Lee et al. (2013).
467 Nevertheless, there are also reports of a separation into abilities relevant to inhibition versus

468 EWM-switching abilities, as suggested by St Clair-Thompson & Gathercole (2006),
469 mirroring the findings of our analyses.

470 It is possible that the different factor structures in the 6-12 years age range are a product
471 of task impurity (Miyake et al., 2000). It is generally agreed that task impurities result in
472 performance on assessments being driven by several different EF abilities and potentially
473 other non-EF abilities (Friedman, 2008). Across different investigations, task impurity could
474 mean that even different tasks believed to assess the same EF component may have different
475 relationships with other EF tasks. Confirmatory factor analysis with the use of latent
476 variables helps to avoid this type of problem (Miyake & Friedman, 2012), but even here the
477 latent variable will be dependent on which tasks have been chosen to represent it.
478 Consequently, if different investigations use different tasks to assess each of the three EF
479 components, this is likely to result in different factor structures across the investigations. It is
480 possible that a larger number of tasks to assess each component of EF ability and a larger
481 number of children would result in greater consistency, but ethical and practical constraints
482 on testing time and participant numbers make it extremely difficult to achieve this.

483 Not only is task impurity an issue, but a related problem is that there is variation
484 between investigations about which tasks assess the most relevant characteristics of an EF
485 component. For example, a range of tasks have been used to provide indicators of inhibition
486 ability, and the use of very similar inhibition tasks is likely to result in a more coherent and
487 stronger underlying factor or latent variable. In our study the two assessments of inhibition
488 had very similar task demands and inhibition was identified as a separate factor. In contrast,
489 Huizinga et al. (2006) could not identify a common factor from the three different
490 assessments of inhibition that they used (specifically, stop signal, flanker and Stroop). These
491 issues about the choice of variables that are entered into a factor analysis may be as important

492 as some of the statistical considerations in determining the factor structure, but it is much
493 more difficult to specify what may be best practice.

494 A further reason for different factor structures across investigations is that our
495 conceptualization of the identity of the different forms of EF ability in the 6-12 age range
496 needs to be further refined. Much of the thinking about the components of EF appears to be
497 task-based and this is a sensible initial approach. However, we may need to consider
498 potential neurocognitive processes that give rise to different EF abilities (Anderson, 2002),
499 and so take a more brain-orientated and cognitive-based approach to the abilities underlying
500 EF. This could involve investigating the brain structures which are activated during different
501 EF tasks and using this as a basis to help identify those areas which are common to different
502 EF processes.

503 **4.3 Language and EF Abilities**

504 If we had found that verbal and non-verbal EF tasks loaded on different factors, this
505 would have provided strong support for the idea that verbal ability has an influence on verbal
506 EF tasks. However, the factors that were identified contained a mix of verbal and non-verbal
507 variables. Consequently, the findings from this study failed to provide support for the
508 argument that language ability directly affects verbal EF abilities at the task performance
509 level (Bishop et al., 2014).

510 Although, these findings are consistent with the idea that language ability is not an
511 important influence on EF performance, our evidence in support of this position is limited in
512 nature, especially as there is a range of sources of evidence that should be used to address this
513 complex question (Botting et al., 2017). In other words, our data are not able to provide clear
514 support for the idea that language does not influence EF abilities. This is because the
515 evidence is cross-sectional, correlational in nature and consists of the absence of a positive

516 effect. Further, we acknowledge that the relationship between language and EF abilities is
517 complicated by the fact that verbal abilities are relevant to non-verbal tasks in order to
518 understand instructions, and for the operation of inner speech which could be utilised during
519 EF tasks; it also might be that some non-verbal processes have an influence on verbal tasks
520 (e.g. certain forms of inhibition). Thus, the current findings do not provide definitive
521 evidence about the relationship between EF and language. Rather, they provide support for
522 the idea that concurrent language ability does not differentially affect performance on tasks
523 selected to assess verbal and non-verbal EF.

524 **4.3 Summary**

525 Our findings support previous research concerning two-factor structures of EF in the
526 primary school years, and suggest that planning and fluency contribute to a general EF factor.
527 However, the current findings and those from previous investigations about the *composition*
528 of the factors suggest that future research should keep in mind important methodological
529 considerations relating to EF measures, and that task influences may be as important as
530 individual differences in determining factor structures. Our findings did not provide evidence
531 of separable verbal and non-verbal factors, and consequently failed to provide support for an
532 effect of language ability on EF. Finally, research and theorizing could benefit from a greater
533 focus on basic neurocognitive operations that underlie performance on EF tasks, to more fully
534 understand the developmental, clinical and educational implications of differentiation in EF
535 with age.

536

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