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The relationship between anticipated response and subsequent experience of cancer
treatment-related side effects: A meta-analysis comparing effects before and after treatment
exposure

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1 The relationship between anticipated response and subsequent experience of cancer
2 treatment-related side effects: A meta-analysis comparing effects before and after treatment
3 exposure

4
5 Abstract

6 Objective: To review the evidence for a systematic relationship between cancer patients' pre-
7 treatment expectations (anticipated side effects) and subsequent experience of treatment-
8 related side effects, and to compare this relationship in patients with no prior treatment
9 experience (cognitive expectations) and with some prior treatment experience (conditioned
10 response).

11 Methods: A total of 12,952 citations were identified through a comprehensive search of the
12 literature published on or before November 2016 and screened against inclusion criteria.

13 Studies were eligible if they included participants undergoing curative treatment for cancer,
14 measured a treatment side effect, examined the relationship between anticipation and
15 experience of side effects, and reported quantitative data.

16 Results: Thirty-one studies were included in the review and meta-analysis (total N = 5,069).

17 The side effects examined were nausea (anticipatory and post-treatment), vomiting, fatigue,
18 pain, problems with concentration, and skin reactions. Meta-analyses indicated significant
19 and positive associations between anticipation and subsequent experience for all included
20 side effects in patients with no prior treatment exposure ($r = 0.153 - 0.431$). Stronger
21 associations were found for all included conditioned side effects in patients with previous
22 treatment experience ($r = 0.211 - 0.476$). No significant differences were found when overall
23 effect sizes for patients with and without prior treatment exposure were compared for each
24 side effect, except for anticipatory nausea ($p = 0.012$).

1 Conclusion: These results may have implications for future interventions that target patients'
2 expectations of cancer treatment-related side effects. Future research could explore patient
3 reports of messages received about likely treatment effects both before and during treatment.

4

5 Keywords: cancer, cancer treatment, conditioning, expectancies, expectancy, side effects

6

1. Introduction

Cancer patients report experiencing a range of treatment-related side effects including pain, fatigue, nausea and vomiting, and even cognitive decline, although the nature and extent of these can vary between individuals undergoing the same treatment¹. Some side effects, such as nausea, may be more common depending on the type of chemotherapy that the patient receives. Other individual differences contribute to the experience of these side effects, above and beyond variations in the specific treatment provided. These effects have been variously described as expectancy, conditioning and nocebo effects with considerable overlap in theorising around each^{2,3}. Response expectancies could be described as largely cognitive and reflect anticipation of subsequent experience prior to any treatment. In general, the side effects that a patient experiences are attributed to exposure to information about possible negative experiences of treatment. By contrast, it is possible to interpret some of the negative side effects of treatment as arising from conditioning. According to this interpretation, exposure to treatment (i.e., the unconditioned stimulus), which results in a negative experience (i.e., the unconditioned response), may become paired with contextual cues, such as attendance at the infusion suite (i.e., the conditioned stimulus), and result in a similar negative response (i.e., the conditioned response; nausea). This response may be experienced either before or after treatment but requires at least one trial that pairs treatment with side-effects.

By contrast, nocebo effects (the negative equivalent to the placebo effect) have been described as being mediated by both expectations (i.e., response expectancies) and previous experience (i.e., conditioning). Stewart-Williams and Podd⁴ suggest that although conditioning and direct information provision can each shape conscious expectations, classical conditioning without changed expectations (i.e., without conscious learning), can also produce negative outcomes.

1 One possible strategy for discriminating between a non-conditioned (“expected”) and
2 a conditioned side effect is to note the incidence of the side effect before and after exposure
3 to any treatment. “Side effects” generated before the patient has received any treatment (e.g.,
4 anticipatory nausea before attendance for first chemotherapy session) are likely due to
5 expectations (also called “response expectancies”). Comparable side effects experienced after
6 one or more treatment cycles may reflect learning via conditioning, or response expectancies,
7 or both. The finding that “repeated exposure to chemotherapy increases risk for the
8 development of Anticipatory Nausea and Vomiting (ANV) conforms to a classical
9 conditioning model, wherein repeated pairings of unconditioned (i.e., chemotherapy) and
10 conditioned stimuli (e.g., the clinic, the nurse) produce nausea and vomiting even before
11 administration of emetogenic agents.” p. 173 ⁵ This observation confirms the importance of
12 identifying the stage of treatment at which side effects are first reported, and whether these
13 change over the treatment course.

14 A recent meta-analysis of cancer treatment side effects was undertaken by Sohl,
15 Schnur and Montgomery ⁶. The study aimed to determine the size of the relationship between
16 “expectations for non-volitional responses” (p. 775) (response expectancies) associated with
17 cancer treatment and patients’ experiences of these side effects. On the basis of 14 included
18 studies, results confirmed a medium-sized association ($r = 0.36$) between response
19 expectancies and experienced side effects. Importantly, treatment exposure resulted in
20 stronger associations supporting the potential importance of the contribution of classical
21 conditioning.

22 The current review aims to replicate and update the Sohl, Schnur and Montgomery ⁶
23 paper in order to re-evaluate the evidence for a systematic relationship between cancer
24 patients’ expectations, duration of exposure to treatment, and experience of cancer-treatment-
25 related side effects. The current review builds upon their analysis by comparing the

1 relationship between patients with no prior treatment experience (where the effect must raise
2 from cognitive expectations) and those with some prior treatment experience (where the
3 response may include a conditioned response) for each side effect. The treatment side effects
4 included in the review replicate and extend those reported by Sohl, Schnur and Montgomery
5 ⁶, and include nausea (anticipatory and post-treatment), post-treatment vomiting, fatigue,
6 pain, skin reactions, and problems with concentration. It was hypothesised that associations
7 between side effects and experience would be greater in patients with some treatment
8 experience (conditioned side effects with and without a possible contribution from
9 expectations) than in patients without prior treatment experience (response expectancy effects
10 only; no conditioning).

11 2. Methods

12

13 2.1. Search strategy

14 The first author (CF) conducted an extensive systematic search of the literature
15 published up to and including November 2016 using MEDLINE, PubMed, SCOPUS,
16 PsycINFO, Informit, Web of Science, and CINAHL databases. The following search string
17 was used: (expectation OR expectations OR expectancy OR expectancies OR expect* OR
18 anticipatory OR anticipations OR anticipat* OR somatic OR somatisation OR somati* OR
19 nocebo) AND (“side effects” OR “adverse effects” OR “treatment side effects” OR outcomes
20 OR “adverse outcomes” OR nausea OR emesis OR vomit* OR fatigue OR cognitive OR
21 cognition OR memory OR attention OR concentration OR hair loss OR alopecia OR
22 neuropathy OR anxiety OR depression OR survival OR morbidity OR mortality OR
23 breathlessness OR dyspnoea OR libido OR appetite OR constipation OR diarrhoea OR pain)
24 AND (radiotherapy OR chemotherapy OR surgery OR treatment) AND (cancer OR neoplasm
25 OR oncology). Searches were limited to studies conducted with human participants and

1 published in English. Reference lists of extracted articles were manually searched for
2 additional, potentially eligible studies that were not retrieved from the database searches.

3 The initial search was conducted on the 26th of July 2015. A total of 11,343 citations
4 were identified through the search (11,339 from electronic database searches and four
5 through manual searching of reference lists). Duplicate articles were removed (n = 2,868) and
6 the first and fourth authors (CF and EG) independently reviewed the remaining 8,475 titles
7 for relevant articles. There was almost perfect (99%) agreement between reviewers (Kappa =
8 0.74, p < 0.001). Abstracts of potentially eligible articles (n = 225) were reviewed
9 independently by the first and second authors (CF and CW). Reviewers agreed on 70% of
10 decisions (Kappa = 0.33, p < 0.001) with final agreement negotiated, where required. The
11 full-text of the remaining articles (n = 107) were obtained and screened against the inclusion
12 criteria and a further 76 articles were excluded as they did not meet the eligibility criteria (see
13 Figure 1 for the PRISMA flow diagram for reasons for exclusion). Any disagreements about
14 inclusion were resolved through discussion among the research team.

15 The remaining 31 articles met the inclusion criteria and were included in the meta-
16 analysis. This included one article that described two separate studies and another two articles
17 describing the same study (these data were included only once). An updated search was
18 conducted on the 23rd of November 2016 to identify articles published since the initial search
19 was conducted. An additional 1,609 citations were identified; however none of the studies
20 met the inclusion criteria. The meta-analysis included all of the studies analysed by Sohl,
21 Schnur and Montgomery⁶, except for two studies that did not include a measure of
22 anticipated response to treatment^{7,8} and an additional 14 studies identified in the literature
23 search.

24 *2.2.Selection criteria*

1 Studies were eligible for inclusion if they examined the relationship between patient
2 anticipation of side effects and their subsequent occurrence, duration, frequency, or severity
3 in patients undergoing cancer-related treatment. This included patients with no previous
4 treatment experience (potential “cognitive expectations” effect) or with some previous
5 treatment experience (potential “conditioned response”). The side effects included were
6 nausea, vomiting, fatigue, pain, skin reactions, and problems with concentration.

7 Eligible studies were required to meet the following criteria:

- 8 1) included participants undergoing curative treatment for cancer;
- 9 2) employed a measure of anticipated response to treatment;
- 10 3) examined the relationship between anticipation and experience of cancer treatment-
11 related side effects; and
- 12 4) reported quantitative data (either an effect size or enough statistical information to
13 calculate an effect size).

14 Studies were excluded during the screening process if; anticipation of treatment-
15 related side effects was not measured, the study was a review or meta-analysis of the
16 literature, the associations between anticipated and experienced side effects were not
17 reported, the sample was not cancer patients, the study focussed on mental health issues, or if
18 treatment was palliative or **involved complementary and alternative therapies (i.e., not**
19 **adjuvant therapies used for curative purposes).**

20 *2.3. Quality assessment*

21 The first and third authors (CF and AH) assessed the methodological quality of
22 studies included in the meta-analysis using the Quallsyst tool ⁹, which was developed for
23 assessment of the quality of both qualitative and quantitative studies that employ any study

1 design. The Qualsyst tool for assessment of the quality of quantitative studies is comprised of
2 14 items that are scored as yes, partial, no or not applicable. Examples of the items are; is the
3 question/objective sufficiently described, is the sample size appropriate, and have they
4 controlled for confounding. A summary score was calculated for each paper and then a final
5 score was calculated by dividing the summary score by the total possible score (determined
6 by subtracting the Not Applicable responses). Mean quality score for each paper was
7 calculated by averaging the scores given to each paper by the two assessors. These scores are
8 documented in Supplementary Table 1 (range: 0.66 to 1.0). The scores assigned by the first
9 assessor ranged from 0.59 to 1.0 (mean: 0.81, standard deviation: 0.09). The scores assigned
10 by the second assessor ranged from 0.64 to 1.0 (mean: 0.85, standard deviation: 0.10). Both
11 assessors assigned the same score to six studies (19%). Good inter-rater reliability was
12 observed ($r = 0.60$). Discrepancies in the scores of the remaining studies ranged from 0.01 to
13 0.18 and were resolved through discussion. Articles were not excluded from the meta-
14 analysis based on a threshold Qualsyst score.

15 *2.4.Data extraction*

16 The first author (CF) extracted key descriptive data and effect sizes for the
17 relationship between anticipated response and subsequent experience of side effects.
18 Descriptive data extracted related to the study design (e.g., cross-sectional,
19 longitudinal/prospective, experimental), sample size, sample characteristics (e.g., gender,
20 cancer type, treatment type), whether participants had previous experience of cancer
21 treatment, instrument used to measure anticipation of treatment-related side effects, when
22 anticipation of side effects was measured (e.g., before first treatment, before treatment other
23 than the first, before multiple treatments), which side effects were experienced, and whether
24 occurrence, duration, frequency, or severity (or combination) of side effects were assessed.
25 Reported effect sizes or statistical information needed to compute effect sizes for the

1 relationship between anticipated response and subsequent experience of side effects were also
2 extracted.

3 *2.5.Data analysis*

4 *2.5.1. Effect size*

5 The effect size correlation coefficient (ESr) was used as the outcome in the meta-
6 analysis. Positive values indicated an association between anticipated response and
7 subsequent experience of the side effect measured by indices including, duration, frequency,
8 and severity. Effect sizes (Pearson's r) were directly available in many of the studies¹⁰⁻²¹. In
9 cases where a correlation coefficient was not reported, mean side effects scores and standard
10 deviations²², t-tests²³, number of side effect events and non-events²⁴, chi-square statistics²⁵⁻
11 ²⁷, odds ratios²⁸⁻³¹, change in R square²³, or Beta-coefficients³²⁻³⁹ were used to estimate
12 effect sizes utilizing formulas suggested in the literature^{40, 41}.

13 Several studies reported results for multiple indices of side effects (e.g., nausea
14 duration, nausea severity, and nausea unpleasantness) or multiple time-points (e.g., cycles of
15 chemotherapy). In these cases, an average effect size was calculated for each separate side
16 effect so that each study contributed only one effect size for each side effect to the meta-
17 analysis.

18 *2.5.2. Meta-analysis*

19 The meta-analysis was conducted using Comprehensive Meta-Analysis Software V3
20 ⁴². Analyses were conducted separately for each side effect and for patients with and without
21 prior treatment experience. Overall effect sizes were calculated when relevant data were
22 available from at least three studies. Following recommendations by Borenstein and
23 colleagues⁴⁰, a random-effects modelling approach was used to account for variation in

1 sampling in the included studies. Q tests were conducted to investigate differences in effect
2 sizes in patients with no prior treatment experience versus some prior treatment experience.

3 2.5.3. *Heterogeneity*

4 The I^2 statistic was calculated for each analysis to assess the consistency of the results
5 of included studies. The I^2 statistic describes the percentage of total variation between study
6 results that is due to genuine underlying differences (heterogeneity) rather than chance⁴³. It is
7 a measure of inconsistency of results. According to Higgins and colleagues⁴³, levels of
8 heterogeneity can be described as low ($I^2 = 25\%$), moderate ($I^2 = 50\%$), and high ($I^2 = 75\%$),
9 with a lower level indicating less inconsistency in results.

10 2.5.4. *Publication bias*

11 Publication bias was assessed using Rosenthal's⁴⁴ Classic Fail-Safe N (N_{fs}), Orwin's
12 ⁴⁵ N_{fs} , and Egger's test⁴⁶. Rosenthal's N_{fs} estimates the number of unpublished studies
13 reporting null results that would be needed to increase the P-value for the meta-analysis to
14 above 0.05. Orwin's N_{fs} takes a more conservative approach to estimate the number of studies
15 needed to reduce the effect size to a specified level other than zero (defined in the present
16 meta-analysis as $r \leq 0.05$). An effect size was considered to be robust if Rosenthal's N_{fs} was
17 larger than $5k + 10$, where k is the number of studies included in the analysis. Rosenthal's N_{fs}
18 of less than the recommended criterion ($5k + 10$) indicated potential publication bias, which
19 was further investigated using Egger's test. Where Egger's test confirmed a publication bias,
20 an adjusted effect size was estimated using Duval and Tweedie's⁴⁷ trim-and-fill method,
21 which uses imputations of missing results to recalculate the effect size.

22 3. Results

23 3.1. *Study Characteristics*

1 The meta-analysis included the results of 31 studies with a total of 5,069 participants.
2 Studies had an average sample size of 164 (ranging from 20¹⁶ to 911²⁹). Fifteen of the
3 studies included participants with breast cancer^{11, 13-17, 20, 22, 25, 26, 28, 30, 32, 35, 36}. Other cancers
4 studied included ovarian²³ and mixed cancer types^{10, 12, 18, 19, 21, 23, 24, 29, 31, 33, 34, 37-39}. One
5 study did not specify the types of cancer that participants were diagnosed with²⁷. The most
6 commonly studied side effect was post-treatment nausea (77%^{10-12, 14, 15, 17, 19-25, 27, 29-31, 33-39}),
7 followed by post-treatment vomiting (39%^{11, 17, 20, 23, 24, 27, 28, 31, 34, 36-38}), fatigue (29%^{11, 13-15,}
8 ^{20, 24, 33, 37, 38}), pain (23%^{11, 14-16, 24, 37, 38}), anticipatory nausea (16%^{12, 13, 26, 30, 32}), skin
9 reactions (13%^{18, 24, 37, 38}), and problems with concentration (6%^{37, 38}).

10 Study designs were predominantly prospective and longitudinal. Several studies were
11 randomised controlled trials, comparing antiemetic regimens³⁹, or testing whether the
12 assessment of patients' expectations of side effects were related to their experience of side
13 effects³³, the effectiveness of a pre-surgery hypnosis session in reducing post-surgery pain¹⁶,
14 and the effectiveness of educational or informational interventions to reduce post-treatment
15 nausea^{19, 21}. Another study analysed data collected from participants in the control arm of a
16 larger randomised controlled trial¹⁷. Studies used a variety of instruments to measure
17 patients' anticipation of side effects, including the Side Effect Expectancy Questionnaire^{10, 12,}
18 ^{18, 19, 21-26, 30, 32}, the Symptom Experience and Expectation Interview Schedule²⁷, visual
19 analogue scales^{11, 13-16, 20, 29, 31, 37, 38, 48}, and other Likert-type scales^{17, 33-36, 39}. The actual
20 experience of side effects was assessed using the Morrow Assessment of Nausea and Emesis
21 ^{12, 30, 34, 36}, the Brief Pain Inventory¹⁵, the Rhodes Index of Nausea and Vomiting²⁷, the
22 MASCC Antiemesis Tool³¹, patient report diaries^{17, 19-23, 28, 29, 39, 48}, symptom checklists^{32, 35,}
23 visual analogue scales^{10, 11, 13, 14, 16, 18, 29, 33, 37, 38}, and other Likert-type scales^{13, 17, 19-21, 23-25, 48}.

24 Most studies included participants undergoing chemotherapy (80%^{10-13, 17-20, 22-33, 35-}
25 ³⁹). The remaining studies included participants undergoing surgery¹⁴⁻¹⁶ and radiotherapy^{18,}

1 ²¹. Twenty-six of the studies measured *anticipation of side effects prior to the first treatment*,
2 two studies measured *anticipation prior to each of multiple cycles of treatment* (one of which
3 also measured anticipation prior to the first treatment), and the final three studies measured
4 *anticipation prior to any treatment session but not the first*. Experience of the side effect was
5 measured after the first treatment in 13 of the studies, after each of multiple treatment
6 sessions in 13 of the studies, and any treatment other than the first in five studies.

7 *3.2. Association between anticipation and experience of side effects*

8 Analyses were conducted to investigate the overall effect of anticipation on each of
9 the seven side effects: anticipatory nausea, post-treatment nausea, post-treatment vomiting,
10 fatigue, pain, skin reactions, and problems with concentration (Table 1). Analyses were also
11 conducted to investigate differences in effect sizes for each side effect in patients with and
12 without previous treatment experience (Table 2). An insufficient number of studies meant
13 that analyses could not be conducted for anticipatory nausea in patients with no prior
14 treatment experience and for pain, problems with concentration, and skin reactions in patients
15 with some previous treatment experience.

16 *3.2.1. Overall effect of anticipation on side effects*

17 Results indicated significant, medium, positive associations between anticipated
18 effects of treatment and experience of anticipatory nausea (ESr = 0.442), fatigue (ESr =
19 0.325), pain (ESr = 0.364), and problems with concentration (ESr = 0.431). Significant,
20 small, positive associations were found between anticipation and experience of post-
21 treatment nausea (ESr = 0.230), vomiting (ESr = 0.181), and skin reactions (ESr = 0.290).
22 Findings for anticipatory nausea, post-treatment nausea, vomiting, fatigue, pain, and
23 problems with concentration appeared to be robust, with Rosenthal's N_{fs} exceeding the
24 recommended criterion, $5k + 10$. This was not the case for the effect size for skin reactions,

1 where Rosenthal's N_{fs} ($N = 20$) was less than the recommended criterion ($N = 30$). This
2 indicated a potential publication bias, however this was not confirmed by Egger's test ($p =$
3 0.122). Only two studies investigated the relationship between anticipation and problems
4 with concentration therefore the potential for publication bias could not be assessed.

5 **3.2.2. Effect of anticipation on side effects in patients *with no prior treatment experience***

6 Significant associations were found between anticipation and experience of each of
7 the side effects, with medium, positive associations for fatigue ($ESr = 0.337$), pain ($ESr =$
8 0.366), and problems with concentration ($ESr = 0.431$) in patients with no previous treatment
9 experience. Small, positive associations were found for post-treatment nausea ($ESr = 0.200$),
10 post-treatment vomiting ($ESr = 0.170$), and skin reactions ($ESr = 0.278$). There was evidence
11 of potential publication bias in the finding for post-treatment vomiting, with the
12 recommended criterion ($N = 45$) exceeding Rosenthal's N_{fs} ($N = 42$). Egger's test supported
13 the presence of a publication bias ($p = 0.012$). Therefore, imputations of missing results were
14 used to calculate an adjusted effect size ($ESr = 0.153$), which was considerably smaller than
15 the unadjusted effect for post-treatment vomiting. The recommended criterion ($N = 25$) also
16 exceeded Rosenthal's N_{fs} ($N = 12$) indicating potential publication bias in the finding for skin
17 reactions, however this was not supported by Egger's test ($p = 0.220$) and an adjusted effect
18 size was not calculated.

19 **3.2.3. Effect of anticipation on side effects in patients *with prior treatment experience***

20 Significant, medium, positive associations were found between anticipation and
21 experience of anticipatory nausea ($ESr = 0.476$) and post-treatment nausea ($ESr = 0.288$) in
22 patients who had some prior treatment experience. Significant, small, positive associations
23 were also found for post-treatment vomiting ($ESr = 0.211$) and fatigue ($ESr = 0.266$). The
24 effect size for pain was medium in size ($ESr = 0.235$), but was not significant. Overall effect

1 sizes could not be calculated for problems with concentration and skin reactions because
2 there were not enough data to run the meta-analysis. Rosenthal's N_{fs} ($N = 13$) was less than
3 the recommended criterion ($N = 35$) for post-treatment vomiting, indicating a potential
4 publication bias. Egger's test was conducted, but did not provide evidence of a publication
5 bias ($p = 0.881$). Rosenthal's N_{fs} also indicated potential publication bias in the finding for
6 fatigue, however this was not supported by Egger's test ($p = 0.933$).

7 *3.2.4. Differences in effect in patients with and without prior treatment experience*

8 Contrary to the main hypothesis, no significant differences in effect sizes were found
9 in patients with and without prior treatment experience for post-treatment nausea, post-
10 treatment vomiting, fatigue, pain, problems with concentration, and skin reactions (Table 4).
11 The difference between effect sizes for the relationship between anticipation of side effects
12 and the experience of anticipatory nausea in patients with and without prior treatment
13 experience was significant ($p = 0.012$), with a greater effect size for patients with prior
14 treatment experience ($ESr = 0.476$). However, only one study examined anticipation of side
15 effects and the experience of anticipatory nausea in patients with no previous treatment
16 experience with results indicating only a non-significant, small, positive association ($r =$
17 0.036^{12}), so this result should be interpreted with caution.

18 4. Discussion

19 Results of the meta-analysis confirmed those of Sohl, Schnur and Montgomery⁶; a
20 medium effect size which varied with previous treatment experience. Our results extend these
21 previous results by analysing additional treatment side effects and side effects based on prior
22 or no prior experience of treatment separately. When studies that measured side effects in
23 patients with and without previous treatment exposure were analysed together (See Table 1),
24 the largest effect sizes were reported for anticipatory nausea and problems with

1 concentration, although the conclusiveness of the latter is mitigated by the fact that only two
2 studies were included. Nonetheless, future research in the area of anticipated cognitive
3 impairment following cancer treatment is required, particularly given the rising report of this
4 form of potential impact from treatment in both the scientific (e.g., Janelins et al. ⁴⁹) and
5 non-scientific media (e.g., the New York Times ⁵⁰) including non-government cancer support
6 organizations around the world (e.g., MacMillan in the UK ⁵¹, the Breast Cancer Network in
7 Australia ⁵², and the Fred Hutchinson Center in the US ⁵³).

8 It is also interesting to note that although all side effects were positively associated
9 with anticipation, post-treatment vomiting had the smallest effect size. Subsequent analysis
10 separated studies where side effects were measured in patients who had not been previously
11 exposed to treatment (see Table 2), from those where patients had previous treatment
12 exposure (see Table 3). In the first case, the mechanism is hypothesised to involve response
13 expectancies based upon information obtained directly or indirectly from expert or lay
14 sources, whereas for the second conditioning could be at least part of the explanation. In both
15 of these, post-treatment nausea remained the smallest effect, and was actually reduced to non-
16 significance in patients with no prior treatment experience after adjusting for publication bias.
17 This result could reflect real or anticipated effects of any anti-emetics provided, an issue
18 future research might address.

19 We undertook a direct comparison between studies reporting data after a single
20 treatment exposure (where the opportunity for classical conditioning of response was
21 eliminated) with studies where side effect experience was measured after the patient had
22 received multiple treatment cycles (where effects were likely a combination of expectations
23 raised before treatment (i.e., cognitive expectancies) and reactions learned through experience
24 (i.e., conditioned responses), **as well as potential residual toxicity resulting from the**
25 **cumulative effects of some treatments**). The findings for anticipatory nausea confirmed

1 those of Sohl, Schnur and Montgomery⁶. The experience of anticipatory nausea was more
2 strongly related to anticipation among patients who had some previous treatment experience,
3 in comparison to patients with no treatment experience, therefore highlighting a potential
4 influence from classical conditioning. Contrary to the hypothesis, all other results (i.e., post-
5 treatment vomiting and fatigue), trended in the other direction. The one exception was post-
6 treatment nausea, where the effect size documenting the relationship between anticipation
7 and side effects in patients with no prior treatment experience was $r = 0.200$ and $r = 0.288$ in
8 patients with prior treatment experience. Although non-significant, this result suggests that
9 further research might usefully consider the anticipated effectiveness of anti-emetic
10 treatments. A small number of the studies included in the meta-analysis did control for the
11 use of anti-emetic treatments or describe the relationship between anti-emetic use and
12 subsequent nausea and vomiting^{10, 22, 23, 29-31, 34, 35}, however none specifically examined
13 patients' anticipation of the effectiveness of anti-emetic treatments.

14 The implications of the findings for clinical practice should be considered. Several
15 studies included in this review recommended providing patients with information prior to
16 treatment in order to alter patient expectations. Although intervention studies provided some
17 support for the use of acupressure bands for reducing nausea²¹ and hypnosis for reducing
18 post-surgery pain and distress¹⁶, manipulations of the information given to patients did not
19 result in changes in side-effects¹⁶. Shelke et al.¹⁹ found that providing information about the
20 effectiveness of an antiemetic successfully altered patient expectations. However, pre-
21 intervention anticipation and not post-intervention anticipation was predictive of subsequent
22 side effects. This suggests that simply changing patient education regarding side effects may
23 not be effective in reducing expectancy effects.

24 The data presented here are subject to significant limitations. The most significant of
25 these is the inability to account for variance arising from an array of variables likely to impact

1 both the predictor variable, anticipated effect of treatment, and the outcome variable, report
2 of side effects. For example, it is possible that variables like education, IQ, depression,
3 anxiety, locus of control and optimism would all impact ratings of anticipation and the side
4 effects experienced, and the extent to which these are positive or negative, irrespective of
5 messages received about likely effects or any conditioning experienced. There is some
6 support for this from an experimental study of pain expectancies, which found that pain
7 expectancies played a mediating role between catastrophizing and depression and the actual
8 pain experienced ⁵⁴, although further research is required to test this. Furthermore, the nature
9 and intensity of the chemotherapy regime and the medication provided to alleviate side
10 effects are all potential confounds of reported side effects. **There may also be a**
11 **differentiated impact of the type of treatment on the side effects that patients**
12 **experience. This was not examined in the present study due to the small number of**
13 **studies that included participants undergoing treatment other than chemotherapy.**
14 **More research is needed to examine the relationships between anticipation of side**
15 **effects and actual experience of side effects in patients undergoing radiotherapy and**
16 **surgery before meta-analysis can be conducted to provide meaningful insight.**

17 Our results confirm existing findings; anticipation of side effects positively predict the
18 experience of these. In addition, our findings extend those of others by demonstrating their
19 impact in a reasonably recent area of research in side effects of cancer treatment; cognition.
20 Importantly, they highlight the potentially “additive” effects of conditioning following
21 treatment on the impact made from expectations generated before any treatment, but only for
22 anticipatory nausea. The failure to find an additive influence from treatment experience for
23 other side effects suggests an important role for cognition in predicting treatment experience.

1 Future research could usefully explore patient reports of messages received about
2 likely treatment effects both before and during treatment, and from whom these messages
3 originate.

4 In terms of conditioned responses, interview data could identify cues (i.e., the
5 conditioned stimulus) that precede anticipatory nausea and vomiting in an attempt to develop
6 strategies that could mitigate these associations. Further exploration of the anticipated
7 outcomes attached to preventive therapies for side effects might also usefully identify
8 strategies for minimisation of adverse treatment events. An increasing focus on the
9 importance of measuring patient reported outcomes in cancer patients and survivors bodes
10 well for increased concern about patient reported side effects and improved commitment
11 from health providers to assist in their mitigation.

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3 commercial, or not-for-profit sectors.

4

5 Competing Interest Statement

6 The authors have no competing interests to report.

7

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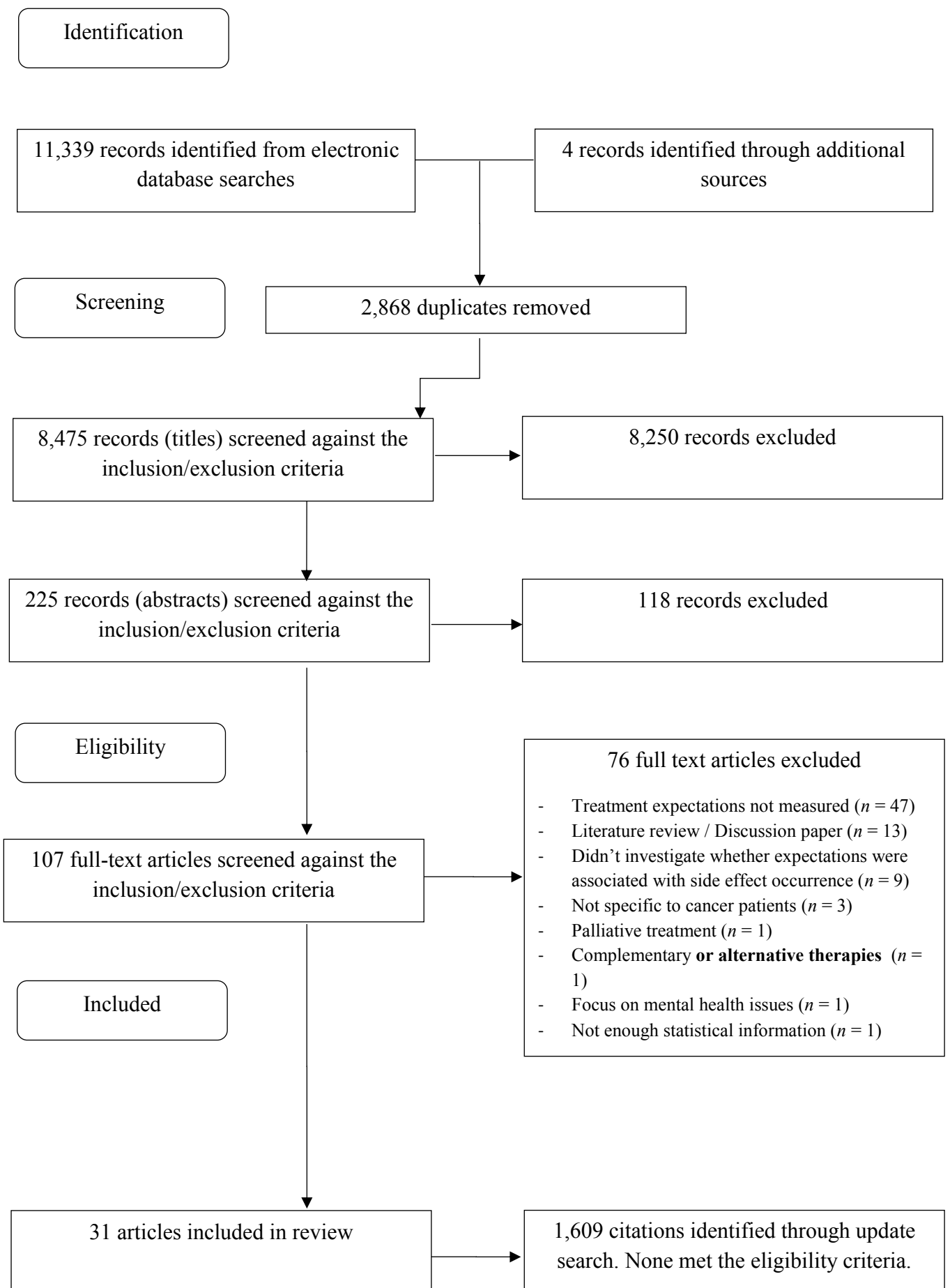


Figure 1. Study flow diagram for systematic review.

Table 1. Meta-analysis results for the relationships between expectations of side effects and actual experience of side effects in patients receiving treatment for cancer.

| Side effect | | | Effect size | 95% CI | | Rosenthal's | Orwin's | I^2 |
|-----------------------------|----------|----------|-------------|--------|-------|-------------|----------|-----------|
| | <i>k</i> | <i>N</i> | <i>r</i> | Lower | Upper | N_{fs} | N_{fs} | % |
| Anticipatory nausea | 5 | 359 | 0.442** | 0.173 | 0.649 | 74 | 42 | 84.24*** |
| Post-treatment nausea | 25 | 4,054 | 0.230*** | 0.161 | 0.297 | 827 | 53 | 75.520*** |
| Post-treatment vomiting | 11 | 1,166 | 0.181*** | 0.123 | 0.238 | 91 | 30 | 0.00 |
| Fatigue | 9 | 672 | 0.325*** | 0.196 | 0.443 | 140 | 51 | 64.07** |
| Pain | 6 | 386 | 0.364*** | 0.174 | 0.528 | 67 | 38 | 71.26** |
| Problems with concentration | 2 | 146 | 0.431*** | 0.287 | 0.556 | - | - | 0.00 |
| Skin reactions | 4 | 858 | 0.290* | 0.003 | 0.534 | 20 | 4 | 87.913*** |

Note: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

k, number of studies; *N*, Number of participants; *r*, Pearson correlation coefficient; CI, Confidence Interval; I^2 , I^2 statistic; N_{fs} , Fail-Safe N; %, Percentage.

Table 2. Meta-analysis results for the relationships between expectations of side effects and actual experience of side effects in patients who had no previous treatment experience.

| Side effect | | | Effect size | 95% CI | | Rosenthal's | Orwin's | I^2 |
|--|----------|----------|-------------|--------|-------|-------------|----------|-----------|
| | <i>k</i> | <i>N</i> | <i>r</i> | Lower | Upper | N_{fs} | N_{fs} | % |
| Anticipatory nausea ^a | 1 | - | 0.036 | - | - | - | - | - |
| Post-treatment nausea | 17 | 3,553 | 0.200*** | 0.121 | 0.277 | 372 | 30 | 78.726*** |
| Post-treatment vomiting | 7 | 901 | 0.170*** | 0.104 | 0.234 | 42 | 17 | 0.00 |
| <i>Adjusted effect size^b</i> | | | 0.153 | 0.092 | 0.213 | | | |
| Fatigue | 6 | 526 | 0.337*** | 0.180 | 0.477 | 87 | 35 | 72.636** |
| Pain | 5 | 330 | 0.366** | 0.141 | 0.554 | 50 | 32 | 76.975** |
| Problems with concentration ^c | 2 | 146 | 0.431*** | 0.287 | 0.556 | - | - | 0.00 |
| Skin reactions | 3 | 802 | 0.278 | -0.065 | 0.562 | 12 | 3 | 91.243*** |

Note: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, ^a Only one study examined expectancies and experience of anticipatory nausea prior to any treatment, therefore there were not enough data to run the meta-analysis. ^b Egger's test confirmed a publication bias, therefore adjusted effect size calculated using Duval and Tweedie's trim-and-fill method. ^c Only two studies examined the relationship between response expectancies and problems with concentration, therefore publication bias analysis could not be conducted. Results should be interpreted with caution.

k, number of studies; *N*, Number of participants; *r*, Pearson correlation coefficient; CI, Confidence Interval; I^2 , I^2 statistic; N_{fs} , Fail-Safe N; %, Percentage.

Table 3. Meta-analysis results for the relationships between expectations of side effects and actual experience of side effects in patients who had some previous treatment experience.

| Side effect | | | Effect size | 95% CI | | Rosenthal's | Orwin's | I^2 |
|--|-----|-----|-------------|--------|-------|-------------|----------|----------|
| | k | N | r | Lower | Upper | N_{fs} | N_{fs} | % |
| Anticipatory nausea | 5 | 359 | 0.476*** | 0.237 | 0.660 | 90 | 46 | 81.44*** |
| Post-treatment nausea | 11 | 707 | 0.288*** | 0.184 | 0.386 | 142 | 49 | 46.38* |
| Post-treatment vomiting | 5 | 390 | 0.211*** | 0.096 | 0.320 | 13 | 17 | 12.99 |
| Fatigue | 4 | 271 | 0.266** | 0.090 | 0.427 | 11 | 18 | 36.20 |
| Pain ^a | 2 | 86 | 0.235 | -0.072 | 0.500 | - | - | 32.31 |
| Problems with concentration ^b | 0 | - | - | - | - | - | - | - |
| Skin reactions ^c | 1 | - | - | - | - | - | - | - |

Note: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. ^a Only two studies examined the relationship between response expectancies and pain, therefore publication bias analysis could not be conducted. Results should be interpreted with caution. ^b None of the included studies examined the relationship between response expectancies and problems with concentration in patients with previous treatment experience. ^c Only one study examined expectancies and experience of skin reactions in patients with previous treatment experience, therefore there was not enough data to run the meta-analysis.

k , number of studies; N , Number of participants; r , Pearson correlation coefficient; CI, Confidence Interval; I^2 , I^2 statistic; N_{fs} , Fail-Safe N; %, Percentage.

Table 4. Between groups analysis for the relationships between expectations of side effects and actual experience of side effects in patients with and without previous treatment experience

| Side effect | Heterogeneity | | |
|--|---------------|-----------|----------|
| | <i>Q</i> | <i>df</i> | <i>p</i> |
| Anticipatory nausea ^a | 6.353 | 1 | 0.012* |
| Post-treatment nausea | 1.817 | 1 | 0.178 |
| Post-treatment vomiting | 0.772 | 1 | 0.380 |
| Fatigue | 0.375 | 1 | 0.540 |
| Pain | 0.516 | 1 | 0.472 |
| Problems with concentration ^b | - | - | - |
| Skin reactions | 0.079 | 1 | 0.778 |

Note: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

^a Only one study examined response expectancies and experience of anticipatory nausea in patients with no previous treatment experience ($r = 0.036$ ¹²), therefore result should be interpreted with caution. ^b None of the included studies examined response expectancies and experience of problems with concentration in patients with previous treatment experience.

Supplementary Table 1: Study characteristics

| Study | n | Side effect type | Cancer type | Treatment type | Treatment experience | Expectancies measured before treatment no. | Side effect measured after treatment no. |
|---|-----|---|-------------|----------------|--|--|--|
| Andrykowski, 1988 ³² Qualsyst score: 0.82 | 77 | Anticipatory nausea | Breast | Chemotherapy | No previous treatment experience | First | First and subsequent |
| Andrykowski, 1992 ¹⁰ Qualsyst score: 0.89 | 65 | Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at subsequent treatments | First | First and subsequent |
| Booth, 2007 ²⁸ Qualsyst score: 0.91 | 143 | Post-treatment vomiting | Breast | Chemotherapy | Some previous treatment experience | Multiple treatments | Cessation of chemotherapy or maximum of 6 treatment cycles |
| Cassileth, 1985 ²⁴ Qualsyst score: 0.66 | 56 | Post-treatment nausea Post-treatment vomiting Fatigue Pain Skin reactions | Mixed | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at second treatment | First | First and second (analyses conducted using side effects reported following second treatment) |
| Cobeanu, 2013 ¹¹ Qualsyst score: 0.69 | 30 | Post-treatment nausea Post-treatment vomiting Fatigue Pain | Breast | Chemotherapy | Some previous treatment experience | Treatment other than the first | Treatment other than the first |
| Colagiuri, 2008 ³⁹ Qualsyst score: 0.82 | 671 | Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience | First | First |
| Colagiuri, 2013 ³³ Qualsyst score: 0.75 | 91 | Post-treatment nausea Fatigue | Mixed | Chemotherapy | No previous treatment experience | First | First |

| Study | n | Side effect type | Cancer type | Treatment type | Treatment experience | Expectancies measured before treatment no. | Side effect measured after treatment no. |
|---|--|--|--------------------|-----------------------|--|---|---|
| Haut, 1991 ³⁴ Qualsyst score: 0.84 | 36 | Post-treatment nausea Post-treatment vomiting | Mixed | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at subsequent treatments | First | First and subsequent |
| Hickok, 2001 ¹² Qualsyst score: 0.80 | 63 | Anticipatory nausea Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at third treatment | First | First and third |
| Higgins, 2007 ²² Qualsyst score: 0.91 | 56 | Post-treatment nausea | Breast | Chemotherapy | No previous treatment experience | First | First |
| Jacobsen, 1988 ³⁵ Qualsyst score: 0.83 | 45 | Post-treatment nausea | Breast | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at subsequent treatments | First | First six treatments |
| Molassiotis, 2002 ³⁶ Qualsyst score: 0.95 | 71 | Post-treatment nausea Post-treatment vomiting | Breast | Chemotherapy | No previous treatment experience | First | First |
| Molassiotis, 2013 ³¹ Qualsyst score: 0.96 | 286 | Post-treatment nausea Post-treatment vomiting | Mixed | Chemotherapy | No previous treatment experience | First | First, second, and third cycles |
| Molassiotis, 2014 ²⁹ Qualsyst score: 0.88 | Cycle 1: 911 Cycle 2: 888 Cycle 3: 769 | Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at second and third treatments | First | First, second, and third cycles (data only for first cycle) |
| Montgomery, 1998 ²⁶ Qualsyst score: 0.88 | 59 | Anticipatory nausea | Breast | Chemotherapy | No previous treatment experience | First | Sixth |

| Study | n | Side effect type | Cancer type | Treatment type | Treatment experience | Expectancies measured before treatment no. | Side effect measured after treatment no. |
|---|-----|---|---------------|----------------|--|--|--|
| Montgomery, 2000 ²⁵ Qualsyst score: 0.77 | 52 | Post-treatment nausea | Breast | Chemotherapy | No previous treatment at first treatment Some previous treatment experience at subsequent treatments | Multiple treatments | Multiple treatments |
| Montgomery, 2001 ¹³ Qualsyst score: 0.80 | 60 | Anticipatory nausea Fatigue | Breast | Chemotherapy | Some previous treatment experience | Third | Third |
| Montgomery, 2002 ¹⁶ Qualsyst score: 0.72 | 20 | Pain | Breast | Surgery | No previous treatment experience | Pre-surgery | Post-surgery |
| Montgomery, 2004 ¹⁴ Qualsyst score: 0.89 | 63 | Post-treatment nausea Fatigue Pain | Breast | Surgery | No previous treatment experience | Pre-surgery | Post-surgery |
| Montgomery, 2010 ¹⁵ Qualsyst score: 0.93 | 101 | Post-treatment nausea Fatigue Pain | Breast | Surgery | No previous treatment experience | Pre-surgery | Post-surgery |
| Olver, 2005 ³⁷ Qualsyst score: 0.89 | 87 | Post-treatment nausea Post-treatment vomiting Fatigue Pain Skin reactions Problems with concentration | Mixed | Chemotherapy | No previous treatment experience | First | First |
| Rhodes, 1995 ²⁷ Qualsyst score: 0.78 | 329 | Post-treatment nausea Post-treatment vomiting | Not specified | Chemotherapy | No previous treatment experience | First | First |
| Roscoe, 2000 (study 1) ²³ Qualsyst score: 0.77 | 29 | Post-treatment nausea Post-treatment vomiting | Ovarian | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at second | First | First and second |

| Study | n | Side effect type | Cancer type | Treatment type | Treatment experience | Expectancies measured before treatment no. | Side effect measured after treatment no. |
|--------------------------------------|-----|--|-------------|---------------------------------------|---|--|---|
| | | | | | treatment | | |
| Roscoe, 2000 (study 2) ²³ | 81 | Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience at first treatment | First | First and third (data only for third treatment) |
| Qualsyst score: 0.77 | | | | | Some previous treatment experience at third treatment | | |
| Roscoe, 2004 ¹⁷ | 201 | Post-treatment nausea Post-treatment vomiting | Breast | Chemotherapy | No previous treatment experience | First | First |
| Qualsyst score: 0.73 | | | | | | | |
| Roscoe, 2009 ²¹ | 88 | Post-treatment nausea | Mixed | Radiotherapy | Some previous treatment experience | Other than first | Fifth treatment since study commencement |
| Qualsyst score: 0.72 | | | | | | | |
| Ryan, 2007 ¹⁸ | 656 | Skin reactions | Mixed | Chemotherapy and/or radiation therapy | No previous treatment experience | First | First |
| Qualsyst score: 0.80 | | | | | | | |
| Shelke, 2008 ¹⁹ | 358 | Post-treatment nausea | Mixed | Chemotherapy | No previous treatment experience | First | First |
| Qualsyst score: 0.85 | | | | | | | |
| Watson, 1998 ³⁰ | 100 | Anticipatory nausea Post-treatment nausea | Breast | Chemotherapy | No previous treatment experience at first treatment | First | First and subsequent |
| Qualsyst score: 1.0 | | | | | Some previous treatment experience at subsequent treatments | | |
| Whitford, 2012 ³⁸ | 59 | Post-treatment nausea Post-treatment vomiting Fatigue Pain Skin reactions Problems with concentration | Mixed | Chemotherapy | No previous treatment experience | First | First |
| Qualsyst score: 0.82 | | | | | | | |

| Study | n | Side effect type | Cancer type | Treatment type | Treatment experience | Expectancies measured before treatment no. | Side effect measured after treatment no. |
|--|-----|---|-------------|----------------|---|--|--|
| Zachariae, 2007 ²⁰ Zachariae, 2007 ⁴⁸ | 125 | Post-treatment nausea Post-treatment vomiting Fatigue | Breast | Chemotherapy | No previous treatment experience at first treatment Some previous treatment experience at subsequent treatments | First | First, fourth, sixth, and last (seventh or ninth) |
| Qualsyst score: 0.91 | | | | | | | |

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