

## ACCEPTED MANUSCRIPT

1 Carbon nanotube multilayered nanocomposites as multifunctional  
2 substrates for actuating neuronal differentiation and functions of neural  
3 stem cells

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**35 Abstract**

36 Carbon nanotubes (CNTs) have shown potential applications in neuroscience as  
37 growth substrates owing to their numerous unique properties. However, a key concern  
38 in the fabrication of homogeneous composites is the serious aggregation of CNTs  
39 during incorporation into the biomaterial matrix. Moreover, the regulation mechanism  
40 of CNT-based substrates on neural differentiation remains unclear. Here, a novel  
41 strategy was introduced for the construction of CNT nanocomposites via  
42 layer-by-layer assembly of negatively charged multi-walled CNTs and positively  
43 charged poly(dimethyldiallylammonium chloride). Results demonstrated that the  
44 CNT-multilayered nanocomposites provided a potent regulatory signal over neural  
45 stem cells (NSCs), including cell adhesion, viability, differentiation, neurite  
46 outgrowth, and electrophysiological maturation of NSC-derived neurons. Importantly,  
47 the dynamic molecular mechanisms in the NSC differentiation involved the  
48 integrin-mediated interactions between NSCs and CNT multilayers, thereby activating  
49 focal adhesion kinase, subsequently triggering downstream signaling events to  
50 regulate neuronal differentiation and synapse formation. This study provided insights  
51 for future applications of CNT-multilayered nanomaterials in neural fields as potent  
52 modulators of stem cell behavior.

53 Key words: Carbon nanotube multilayers, neural stem cells, differentiation, functions,  
54 molecular mechanisms

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## 65 **Introduction**

66 Since first discovered by Ijima in 1991, carbon nanotubes (CNTs) have attracted  
67 tremendous attention in biomedical applications as the forefront of nanotechnology  
68 owing to their unique structural, thermal, electrical, and mechanical properties<sup>1,2</sup>.  
69 CNTs can be classified into single-walled CNTs (SWCNTs) and multi-walled CNTs  
70 (MWCNTs). During the last decade, numerous studies have documented the  
71 outstanding performances of CNTs in neuroscience fields. Two application strategies  
72 are widely utilized. The first is the direct interactions of soluble CNTs with neural  
73 cells, where CNTs mainly serve as a nano-delivery system via cell uptake<sup>3-6</sup>. The  
74 second is the surface modification of supporting matrix for neural cell functions,  
75 where CNT-involved nanomaterials act as electrical interfaces of electrodes<sup>7-9</sup>,  
76 substrates for neural stem cell (NSC) growth and differentiation<sup>10,11</sup>, and scaffolds for  
77 axon growth in vivo<sup>12-15</sup>.

78 The size and shape of CNTs are similar to neuronal processes, which, combined  
79 with a large specific surface area and electric conduction, are the qualities  
80 advantageous for creating substrates for neural growth. Intracellular uptake of CNTs  
81 seldom occurs if the CNT-layered substrate is stable. Possible toxicity, thus, is less of  
82 a concern<sup>16</sup>. Accumulating data have demonstrated that the employment of CNTs  
83 provides a perspective platform for neurological research as promising substrates.  
84 CNT-layered substrates are biocompatible and efficient in inducing stem cells to  
85 differentiate specifically to neurons<sup>17-19</sup>, promoting neurite outgrowth<sup>20</sup>, and  
86 enhancing synaptogenesis<sup>21-23</sup> and consequential development of neuronal  
87 network<sup>24,25</sup>.

88 Modification of pristine CNTs is a prerequisite for biomedical applications due to  
89 their insolubility in organic and aqueous solvents. Oxidative treatment using  
90 concentrated strong acid (e.g., nitric and sulfuric acids) is the most utilized method.  
91 The carboxyl groups created in CNT caps and walls can be further utilized to react  
92 with the compound of interest via acylation, amidation, esterification, and  
93 PEGylation<sup>26</sup>. Chemical functionalization can also effectively purify CNTs and  
94 simultaneously improve the biocompatibility. However, oxidation unavoidably causes

95 defects to the nanotubes and consequently damages CNT bulk properties.  
96 Non-covalent modification became increasingly attractive in recent years and is  
97 achieved by coating or wrapping CNTs with polymers<sup>27</sup>, peptides<sup>28</sup>, proteins<sup>29</sup>, or  
98 single-stranded DNA<sup>30</sup> via  $\pi$ - $\pi$  stacking interactions<sup>31</sup>. Such non-covalent  
99 modification technology enables the preservation of CNT aromatic structure without  
100 harming their bulk properties. In a previous study, we modified MWCNTs via  
101 dopamine (DA) self-polymerization on the outer surface, which significantly  
102 improved MWCNT dispersibility in water<sup>16</sup>. Furthermore, the  
103 polydopamine-modified CNTs (CNT@PDA) can be utilized to fabricate multilayered  
104 nanocomposites with polyelectrocytes by using layer-by-layer (LbL) assembly.  
105 CNT-polymer composites are generally prepared by blending. Complete dispersion of  
106 CNT in a polymer matrix of high content, however, is rarely achieved until now  
107 despite the CNT modifications. Our study, therefore provided a common yet effective  
108 platform for the fabrication of CNT-based nanocomposites of high structural  
109 homogeneity with high CNT loading<sup>32,33</sup>.

110 NSCs are promising candidate seeding cells for the cellular repair of lesions of the  
111 central nervous system as they can primarily differentiate into neurons, astrocytes, and  
112 oligodendrocytes to replace the dead neural cells induced by injuries. Although  
113 studies are performed on the influences of CNT-based substrates on the behaviors of  
114 NSCs, the underlying mechanism is still not clearly understood. In this study, we  
115 presented a multilayered nanocomposite by alternating the LbL assembly of positively  
116 charged poly(dimethyldiallylammonium chloride) (PDDA) and negatively charged  
117 MWCNT prepared using DA self-polymerization<sup>16</sup>. The differentiation of NSCs and  
118 the growth and electrophysiological functions of NSC-derived neurons on the  
119 multilayered nanocomposites were thoroughly investigated. A high-throughput  
120 sequencing analysis of the whole transcriptome of the cultured cells was employed,  
121 and results provided a comprehensive picture of the potential molecular mechanisms  
122 underlying the interactions between these CNT-based nanobiomaterials and NSCs.

123

124 **Materials and Methods**

## 125 **Materials**

126 Pristine MWCNTs (>95% purity; OD: 10-20 nm; length: 0.5-2  $\mu\text{m}$ ) and  
127 carboxyl-functionalized MWCNTs (named CNT-COOH herein) (>95% purity; OD:  
128 10-20 nm; length: 0.5-2  $\mu\text{m}$ ) were purchased from MK Impex Corp (Toronto,  
129 Canada). Dopamine (DA) and Tris-HCl from J&K Chemical (Shanghai, China),  
130 polyethyleneimine (PEI,  $M_n \sim 60,000$  Da) and poly(dimethyldiallylammonium  
131 chloride (PDDA, medium molecular weight) from Acros Organics (Geel, Belgium),  
132 and polylysine (PLL) from Sigma-Aldrich (St Louis, MO) were directly used without  
133 further treatment.

## 134 **Preparation of CNT-multilayered nanocomposites**

135 CNT@PDA solution was prepared as described in a previous study<sup>16</sup>. In brief, 250  
136 mg of pristine CNTs and 50 mg of dopamine hydrochloride were added into 500 mL  
137 of 10 mM Tris-HCl (pH = 8.5). The mixture was dispersed using ultrasonic treatment  
138 for 2 h followed by stirring for another 48 h at room temperature. A total of 100 mg of  
139 CNT-COOH was dissolved in 500 mL of ultrapure water by using sonication for 2 h  
140 to prepare the CNT-COOH solution. CNT@PDA and CNT-COOH collectively were  
141 called nanotubes hereafter unless specified.

142 Prior to the fabrication, quartz substrates were dipped into PEI solution for 30 min  
143 to obtain a positively charged surface. Then, the PEI-activated quartz substrates were  
144 immersed into the CNT@PDA suspension and PDDA solution (2.0 mg/mL in  
145 ultrapure water) for 20 min in turn. Each step was followed by washing three times  
146 with water. The cycle was repeated 10 times to obtain the CNT@PDA/PDDA  
147 multilayered films. The CNT-COOH/PDDA multilayered films were fabricated using  
148 the same methods.

## 149 **Characterization of CNT-multilayered nanocomposites**

150 The buildup of the CNT@PDA/PDDA multilayered films and the  
151 CNT-COOH/PDDA multilayered films were measured using a UV-2505  
152 spectrophotometer (Shimadzu, Kyoto, Japan). The morphology of the CNT  
153 multilayers was observed under a scanning electron microscope (SEM, JSM-TE300,  
154 JEOL, Japan) at an accelerating voltage of 20 kV after coating with gold by using a

155 sputter coater (JEOL JFC-1200 Fine Coater, JEOL, Tokyo, Japan). The topography of  
156 CNT multilayers was investigated by a Atomic force microscope (AFM, Bruker, USA)  
157 using Bioscope Catylyst Nanoscope-V operating in ScanAsyst mode. Height images  
158 were acquired using a silicon cantilever (Budget Sensors, Innovative Solutions  
159 Bulgaria Ltd.) with a nominal force constant of 5N/m and resonant frequency of 150  
160 kHz. Water contact angles of the multilayered films were monitored using a contact  
161 angle measuring device (DKA100, Kruse, Hamburg, Germany).

### 162 **Isolation and culture of mouse primary NSCs**

163 NSCs were isolated from the whole brain of embryonic day 14.5 (E14.5) female  
164 C57 mice (Guangdong Provincial Animal Center). In brief, pregnant mice were  
165 anesthetized with 1.25% tribromoethanol solution, and the whole brain was dissected  
166 and dissociated to single cells suspended in a DMDM/F12 (Gibco, USA) medium  
167 containing EGF (Gibco, USA), bFGF (Gibco, USA), penicillin-streptomycin (Gibco,  
168 USA), N2 supplement (Gibco, USA), GlutaMAX-I (Gibco, USA), heparin (MCE,  
169 HY-17567A), and B27 supplement. The cells were cultured, and after 2-3 days  
170 neurospheres formed, which were passaged approximately once per week. To confirm  
171 that the neurospheres were nestin-positive cells, the neurospheres were fixed with 4%  
172 formaldehyde in 0.1 M phosphate buffer solution (PBS, pH 7.4) for 30 min at room  
173 temperature. After rinsing in PBS, the neurospheres were labeled with monoclonal  
174 anti-nestin and incubated with fluorescent Alexa 568 donkey anti-mouse secondary  
175 antibody for 2 h at room temperature.

### 176 **Cell viability assay**

177 After incubating NSCs on CNT multilayers for 24h, the samples were briefly  
178 washed with phosphate-buffered saline (PBS), and then CCK-8 reagent (Beyotime,  
179 China) was added into the wells at a ratio of 1:10 (CCK-8 medium) and cells were  
180 maintained at 37 °C for further 2h. After that, 100  $\mu$ l aliquots were pipetted into a  
181 96-well plate, and the absorbance at 450 nm for each well was measured in a  
182 microplate reader (Multiskan MK3, Thermo Scientific, USA).

### 183 **Immunofluorescence assays**

184 NSCs of passage 2 (P2) were seeded onto four substrates at a concentration of

185  $3.5 \times 10^4$  cells/cm<sup>2</sup>: quartz slice, PLL-coated quartz slice, CNT-COOH/PDDA  
186 multilayer-coated quartz slice, and CNT@PDA/PDDA multilayer-coated quartz slice.  
187 Single NSCs were cultured in a differentiation medium, namely, DMDM/F12  
188 containing 2% B27 supplement (Gibco, USA), 1% penicillin-streptomycin, and 1%  
189 fetal calf serum (Gibco, USA). Fourteen days after cultivation, the NSCs were fixed  
190 with 4% formaldehyde in 0.1 M PBS (pH 7.4) for 30 min at room temperature. After  
191 incubation in 5% goat serum, 1% BSA, and 0.2% Triton X-100 in PBS for 2 h at 4 °C,  
192 the Neuronal Class III  $\beta$ -Tubulin ( $\beta$  III tubulin, Abcam, UK) and Glial Fibrillary  
193 Acidic Protein (GFAP, Abcam, UK), which are widely used to investigate the NSC  
194 differentiation, were utilized to specifically label neurons and astrocytes, respectively.  
195 The total numbers of neurons and glial cells quantified on each substrate after fixed  
196 have been counted, which were  $1.7 \pm 0.3 \times 10^4$  cells/cm<sup>2</sup>. Various immunofluorescent  
197 assays were performed to investigate the NSC growth and differentiation on the  
198 substrates, and the antibodies are presented in Table S1.

#### 199 **Western blot**

200 Total proteins were extracted from the cells by using BCA reagent (Beyotime,  
201 China) to measure the concentration of proteins. A total of 15  $\mu$ g of protein samples  
202 was separated on a 10% polyacrylamide gel and transferred onto polyvinylidene  
203 fluoride membranes (Millipore, USA). The membrane was blocked for 1 h with 5%  
204 defatted milk powder at room temperature and then incubated with synaptophysin  
205 (SY38, Abcam, UK),  $\beta$  III tubulin (Abcam, UK), GFAP (Millipore, USA), or GAPDH  
206 (Abcam, UK) primary antibody at 4 °C overnight. The blots were then washed three  
207 times with TBST and incubated with corresponding horseradish  
208 peroxidase-conjugated IgG secondary antibodies (Boster, USA) for 1 h at room  
209 temperature. The blots were developed in ECL chromogenic substrate (Millipore,  
210 USA) and the images were captured using a gel imager (UVITEC). The gray value of  
211 each band was measured by ImageJ (NIH, Bethesda, MD) software. The relative  
212 expression of each immunoreactive band was calculated by comparing the target  
213 protein band with GAPDH. There were 6 samples in each group for testing, and each  
214 sample was tested 3 times.

**215 Neuronal cytoskeleton 2D construction**

216 The neurons differentiated from the NSCs on the material were labeled with  $\beta$  III  
217 tubulin, and the cytoskeleton of the neurons was constructed in two dimensions by  
218 using the Neurolucida software(11.09, MBF Bioscience, USA). The average length of  
219 the axons and dendrites of the neurons was quantitatively analyzed. We selected  
220 NSC-derived neurons with the longest neurite larger than 10  $\mu$ m which were defined  
221 as typical neurons. Neurites of 20 neurons on each substrate were randomly measured.  
222 6 independent substrates were measured for each group.

**223 Electrophysiological investigation**

224 Electrophysiological recordings were performed in whole-cell mode by using a  
225 MultiClamp 700B amplifier (Axon, USA). The bath solution contained 126 mM NaCl,  
226 2.5 mM KCl, 2 mM MgCl<sub>2</sub>, 2 mM CaCl<sub>2</sub>, 1.25 mM NaH<sub>2</sub>PO<sub>4</sub>, and 10 mM D-glucose,  
227 at pH 7.4. The pipette solution for the whole-cell voltage-dependent current  
228 recordings and current-clamp experiments contained 125 mM KCl, 10 mM  
229 K-gluconate, 125 mM MgCl<sub>2</sub>, 0.2 mM EGTA, 10 mM HEPES, 2 mM Mg<sub>2</sub>ATP, and  
230 0.5 mM Na<sub>2</sub>GTP. The pH was adjusted to 7.25 with KOH, and osmolarity was at 270–  
231 290 mOsm/L. Membrane resting potentials were maintained in the range of -65 to -70  
232 mV; step currents were injected at 5 mV/step to elicit action potentials (APs). 10  
233 neurons on each substrate were randomly selected for electrophysiology  
234 measurements. 6 independent substrates were measured for each group.

**235 RNA sequencing (RNAseq) and analysis of differentially expressed genes (DEGs)**

236 After the NSCs were cultured in the differentiation medium for 7 days on different  
237 substrates, total RNA was isolated using Trizol. The integrity was evaluated using  
238 Agilent 2200 TapeStation (Agilent Technologies, Santa Clara, CA). The RINe of each  
239 sample was above 7.0. In brief, mRNAs were isolated from the total RNA and  
240 fragmented to approximately 200 bp. Subsequently, the mRNAs were subjected to  
241 cDNA synthesis, adaptor ligation, and enrichment with a low cycle by using TruSeq  
242 RNA LT/HT Sample Prep Kit (Illumina). The purified library products were  
243 evaluated using Agilent 2200 TapeStation and Qubit 2.0 (Life Technologies) and then  
244 diluted to 10 pM for cluster generation in situ on HiSeq 2500 Paired-End Flow Cell



245 and subsequent sequencing (2 ×100 bp) on HiSeq 2500 Platform (Illumina). Raw  
246 reads were filtered by removing those with adapters or contaminations or those with  
247 more than 10% N bases and more than 20% bases whose quality assessment was <20.  
248 The clean reads were then aligned to the reference genome using the TopHat software,  
249 where each alignment had no more than two mismatches or two gaps.

250 The quantification of mRNA was counted by using GFOLD, then the RPKM  
251 value was calculated according to the following equation:

$$252 \quad \text{RPKM} = \frac{\text{total exon reads}}{\text{mapped reads(millions)} \times \text{exon length(KB)}} \\ 253$$

254 The gene expression level was calculated using the baseMean method, and DEGs  
255 were identified using the standard of more than two absolute fold changes and  
256 adjusted P-value <0.05. The differential expression equation was calculated by Audics  
257 equation according to Audics et al<sup>34</sup>.

258 To understand the functions of the DGEs, gene ontology (GO) functional  
259 enrichment and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway  
260 analysis were performed in the online server of Princeton GO term finder with the  
261 functional annotation of biological process provided  
262 (<http://go.princeton.edu/cgi-bin/GOTermFinder>) and (<http://www.genome.jp/>).

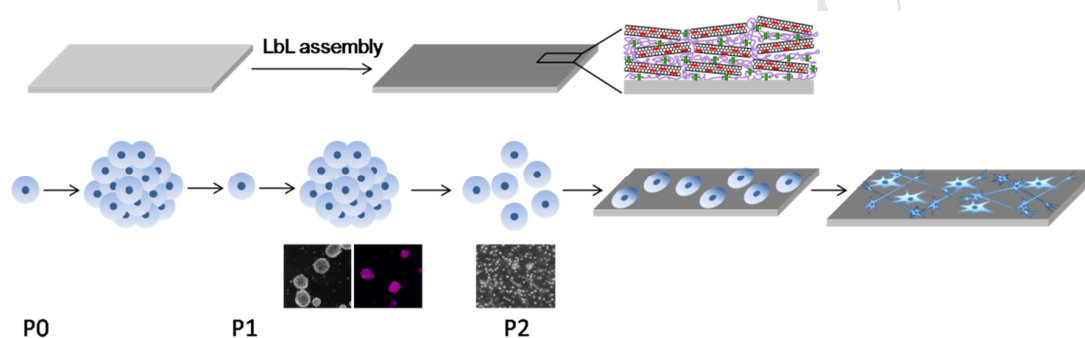
### 263 **Data analysis**

264 All data were analyzed using the Statview 5.0 software. All data were shown as  
265 mean ± standard error. Results of the multiple experiment groups were compared  
266 using one-way ANOVA and Fisher's PLSD test. Statistical significance was  
267 considered at p< 0.05.

### 268 **Results and discussion**

269 CNTs have recently attracted great interests as promising platforms for nerve  
270 regeneration as substrates due to their effectiveness in promoting neuronal  
271 differentiation, improving neurite growth, and modulating synaptic strength<sup>10,20,25,35</sup>.  
272 The mechanisms underlying the stimulation of neuronal cell behaviors thus are  
273 absolutely important and should be fully understood. In the current study,  
274 CNT-multilayered nanocomposites were fabricated on quartz substrates by using LbL

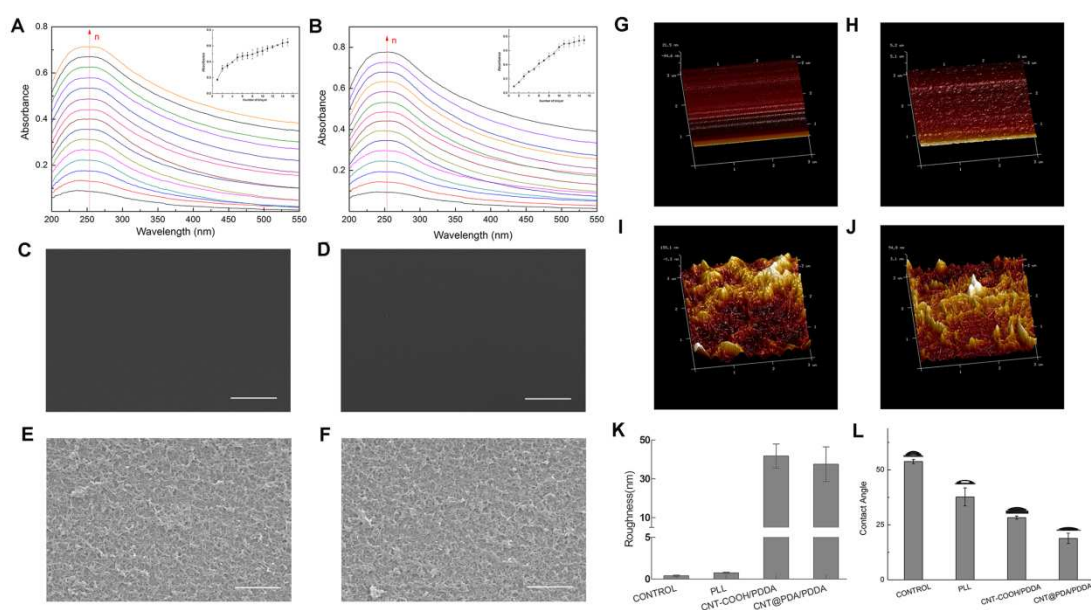
275 assembly of negatively charged MWCNTs and positively charged PDDA according to  
 276 previous studies<sup>16,32</sup>. Single cells (P2) were seeded on these substrates, and the cell  
 277 behaviors were thoroughly investigated aiming for a comprehensive picture of the  
 278 molecular mechanisms underlying the interactions between these CNT-based  
 279 nanobiomaterials and NSCs (Fig. 1). Given that the CNT-multilayered  
 280 nanocomposites were fabricated on the quartz substrates, the sterile quartz slices were  
 281 defined as the control group in the experiments. The NSCs were also cultured on  
 282 PLL-coated substrates, which provided a permissive environment for stem cell  
 283 growth.



284 P0  
 285 Fig. 1 Schematic diagram of the NSC differentiation on CNT-multilayered substrates. CNT  
 286 multilayers were fabricated using the LbL assembly of negatively charged CNTs and positively  
 287 charged PDDA according to previous studies<sup>16,32</sup>. After being passaged twice, E14.5 NSCs (P2)  
 288 were cultured on the CNT-multilayered substrates in the differentiation medium.  
 289

290 DA can spontaneously self-polymerize under alkaline conditions into PDA, which  
 291 strongly adheres onto virtually any type of solid surfaces<sup>36</sup>. In the current study,  
 292 results of the morphological observation using TEM and analysis of the chemical  
 293 structure using XPS jointly confirmed the successful PDA deposition on the surface  
 294 of pristine CNTs<sup>16</sup>. Meanwhile, PDA deposition resulted in a negatively charged  
 295 CNT@PDA surface, which was suitable for LbL electrostatic assembly with strong  
 296 PDDA polycations. CNT-COOH was employed as a control. As both CNT@PDA and  
 297 CNT-COOH showed strong UV absorbance at approximately 255 nm, the buildup  
 298 process of CNT-multilayered nanomaterials was monitored using UV-vis spectrometry.  
 299 The absorbance of the CNT@PDA/PDDA and CNT-COOH/PDDA multilayers both  
 300 constantly increased with the assembly process, as shown in Fig. 2A and 2B. The  
 301 absorbance intensity of these two CNT-based multilayers at approximately 255 nm

302 steadily increased in a nearly linear pattern with bilayer number, as indicated in the  
 303 inset figures. One of the advantages of the LbL assembly technique is that it enables  
 304 the preparation of films with any desirable thickness and architecture tailored to  
 305 different applications. Flat surfaces were observed on the control and PLL-coating  
 306 substrates (Fig. 2C and 2D). The final multilayers presented a mixture of individual  
 307 CNTs and their bundles intricately interwoven together in a fine fabric, which  
 308 uniformly covered the entire surface of the substrate without any evidence of phase  
 309 separation (Fig. 2E and 2F). AFM was utilized to investigate the topography of  
 310 CNT-COOH/PDDA (Fig. 2I) and CNT@PDA/PDDA (Fig. 2J) multilayers as  
 311 compared with the control (Fig. 2G) and PLL-coating (Fig. 2H) substrates. The  
 312 vertical properties were investigated by measuring the rootmean-square roughness  
 313 (Fig 4K). The CNT-COOH/PDDA and CNT@PDA/PDDA multilayers showed  
 314 similar roughness, which were significantly higher than those of control and  
 315 PLL-coating substrates. The CNT-COOH/PDDA and CNT@PDA/PDDA multilayer  
 316 coating decreased the water contact angles compared with the quartz substrate as  
 317 control (Fig. 2L). This result indicated of the good hydrophilicity of the CNT-based  
 318 multilayers. Moreover, the smaller water contact angle of CNT@PDA/PDDA  
 319 multilayers than that of CNT-COOH/PDDA multilayers was possibly attributed to the  
 320 good solubility of PDA in water and its excellent coating of pristine CNTs.



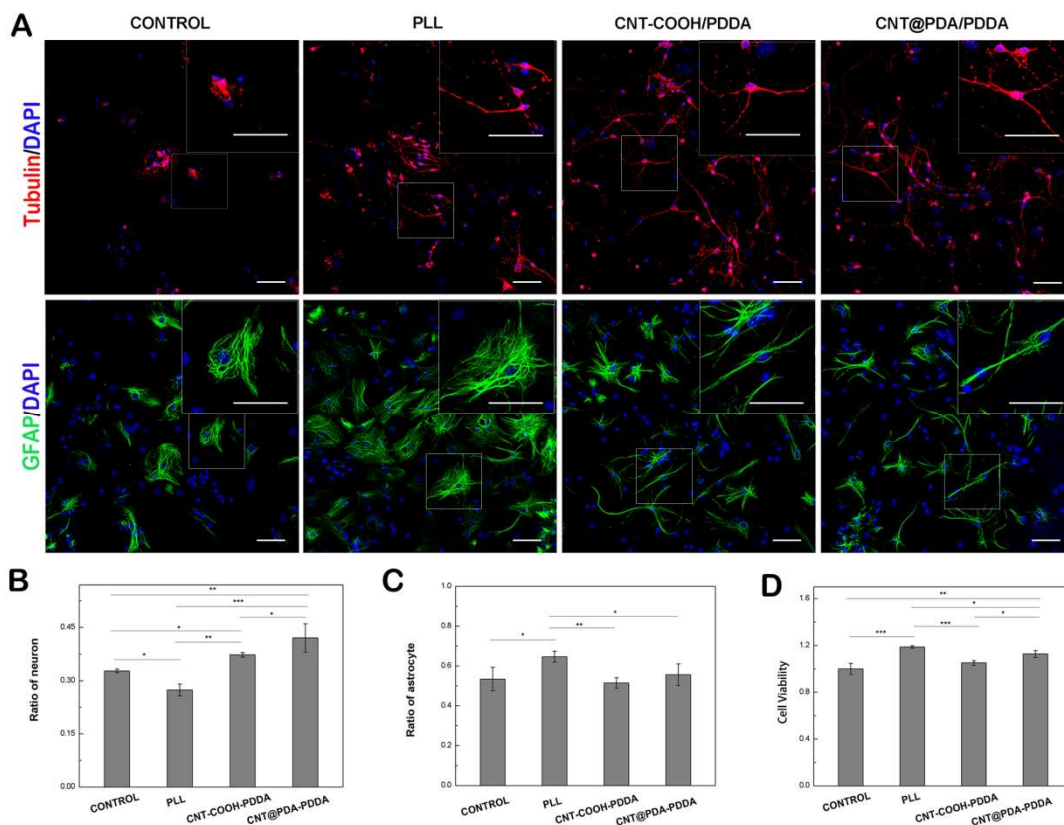
322 Fig. 2 LbL buildup of CNT multilayers with PDDA on a quartz slide. UV-vis absorption spectra  
323 and absorbance (inset) at 255 nm of the assembled (A) (CNT@PDA/PDDA)<sub>n</sub>-multilayered film  
324 and (B) (CNT-COOH/PDDA)<sub>n</sub>-multilayered film. SEM of (C) Control substrates, (D) PLL  
325 substrates, (E) (CNT@PDA/PDDA)<sub>15</sub> multilayers and (F) (CNT-COOH/PDDA)<sub>15</sub> multilayers.  
326 AFM of (G) Control substrates, (H) PLL substrates, (I) (CNT@PDA/PDDA)<sub>15</sub> multilayers and (J)  
327 (CNT-COOH/PDDA)<sub>15</sub> multilayers. The root-mean-squared roughness (K) and water contact  
328 angles (L) of various substrates. Scale bars: 2 μm in C-F.

### 330 Investigation of NSC differentiation on CNT multilayers

331 The neuron-specific cytoskeletal marker β III tubulin and GFAP were utilized to  
332 specifically label neurons and astrocytes 7 days after culturing NSCs under a  
333 differentiation condition. As shown in Fig. 3A, NSCs cultured on all substrates  
334 differentiated into neurons with long neurites and branches and into astrocytes with a  
335 multipolar glial morphology and long processes. Astrocytes with large multipolar and  
336 radially oriented morphologies dominated on the control substrate and PLL substrate  
337 However, astrocytes on the CNT-COOH/PDDA and CNT@PDA/PDDA multilayers  
338 exhibited elongated somata and increased extension of processes. The typical  
339 morphology of a higher magnification is inserted at the top right corner of the figures.  
340 Astrocytic stellation/maturation is associated with a cell morphology that is further  
341 away from round<sup>37</sup>. Parpura et al. reported that water-soluble SWCNTs produce large  
342 and stellate/mature astrocytes when added to the culturing medium<sup>37</sup>. Therefore, the  
343 elongated astrocytic somata and increased extension of processes on the two  
344 CNT-multilayered substrates, especially on the CNT@PDA/PDDA multilayers, were  
345 consistent with morphological maturation. Similar results were also documented by  
346 Vicario-Abejón et al. NSC-differentiated astrocytes showed elongated morphologies  
347 on coverslips treated with thermally reduced graphene<sup>38</sup>. The differences in astrocyte  
348 morphology can be attributed to the nanoscopically rough surface of the  
349 CNT-containing substrates. More investigations are currently in progress in another  
350 study to further assess the modulation of the morphofunctional characteristics of  
351 astrocytes by CNT-multilayered nanomaterials.

352 The number of neurons and astrocytes was counted 7 days after the NSC  
353 differentiation on different substrates using the ImageJ software for quantization and

354 comparison (Fig. 3B and 3C). A total of  $42.1\% \pm 0.4\%$ ,  $37.3\% \pm 0.1\%$ ,  $27.4\% \pm 0.2\%$ ,  
355 and  $32.8\% \pm 0.5\%$  tubulin+ cells were found on the CNT@PDA/PDDA multilayers,  
356 CNT-COOH/PDDA multilayers, control substrates, and PLL substrates, respectively.  
357 The percentage of GFAP+ cells was  $55.6\% \pm 0.05\%$  on the CNT@PDA/PDDA  
358 multilayers,  $51.5\% \pm 0.3\%$  on the CNT-COOH/PDDA multilayers,  $64.6\% \pm 0.3\%$  on  
359 the control substrate, and  $53.4\% \pm 0.6\%$  on the PLL substrate. These results showed  
360 that the CNT-multilayered substrates significantly enhanced the probability of NSC  
361 differentiation into neurons compared with smooth substrates without any exogenous  
362 differentiating factors. In addition, cell viability was measured using CCK8 assay due  
363 to its advantage in assessing the cytocompatibility of CNT-based materials<sup>39,40</sup>. As  
364 shown in Fig. 3D, the highest cell viability was achieved on the PLL substrate,  
365 followed by the CNT@PDA/PDDA multilayers, CNT-COOH/PDDA multilayers, and  
366 control substrate in a descending sequence. The differences between each substrate  
367 were statistically significant ( $n = 3$ ,  $p < 0.05$ ). Interestingly, cell viability showed a  
368 similar variation tendency on the substrates to astrocyte percentage (Fig. 3C). This  
369 finding indicated that the differentiated astrocytes mainly contributed to cell viability  
370 on the two smooth substrates. Our result was in good agreement with that of Kotov et  
371 al. when they studied the differentiation of mouse NSCs on LbL-assembled  
372 SWCNT-polyelectrolyte composites. In their study, a higher percentage of neuron  
373 differentiation and lower astrocyte differentiation were obtained on the  
374 SWCNT-multilayered films than those on poly-L-ornithine<sup>18</sup>. Therefore, CNT-based  
375 substrates provide a particularly more favorable environment for neuron growth than  
376 astrocytes.



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380 The differentiation proportion of neurons (B) and astrocytes (C) and viability of NSCs on different

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substrates (D) were statistically analyzed. n = 3 experiments, \* $p < 0.05$ . Scale bars: 50  $\mu\text{m}$ .

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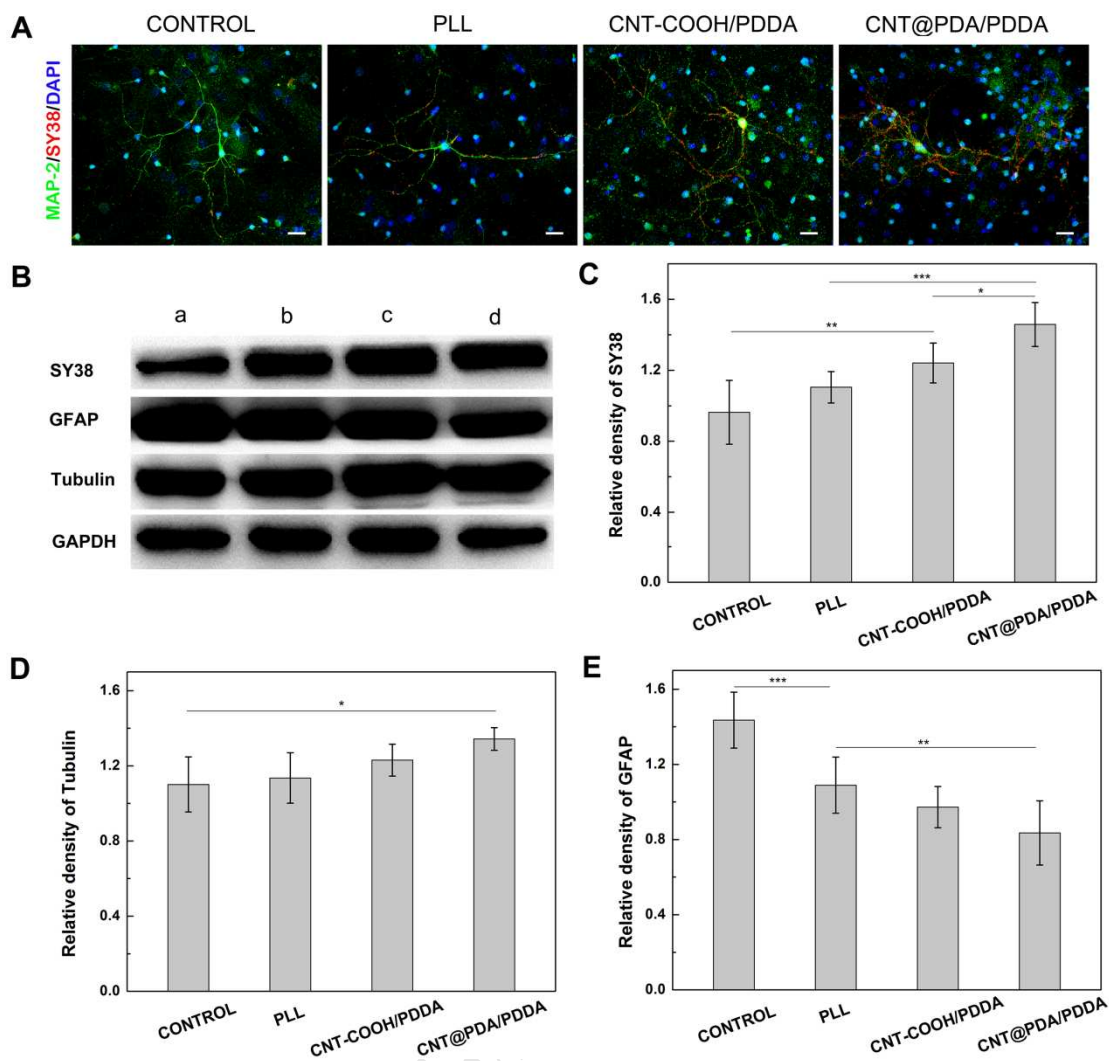
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To determine whether the neurons differentiated from NSCs on the substrates could develop synapses, double immunostaining for synaptophysin (SY38) and neurons (MAP2) was performed. As shown in Fig. 4A, a punctuate staining pattern distributed around the MAP2<sup>+</sup> neuronal somata and extended along the entire network of neuronal processes on all substrates; this finding suggested the synaptic development and maturity of NSC-derived neurons. More synaptic vesicles were detected throughout the neuronal network on the CNT-multilayered substrates, especially the CNT@PDA multilayers, than on the smooth substrates (control and PLL substrates). Western blot analysis was further performed to investigate the effects of the CNT-multilayered nanocomposites on the differentiation of NSCs in vitro by using GAPDH as the calibrator protein. The expression levels of SY38 on the CNT-COOH/PDDA and CNT@PDA/PDDA multilayers were higher than those on

395 the control and PLL substrates. The expression levels of  $\beta$  III tubulin protein  
396 increased in the sequence of control, PLL, CNT-COOH/PDDA, and  
397 CNT@PDA/PDDA. The expression levels of GFAP protein, however, showed an  
398 inverse tendency on these substrates and decreased in the sequence of control, PLL,  
399 CNT-COOH/PDDA, and CNT@PDA/PDDA. Therefore, the results of molecular  
400 biology investigation further indicated that the CNT-multilayered nanocomposites  
401 demonstrated a preference for neuronal differentiation. A higher level of  $\beta$  III tubulin  
402 protein was expressed on the CNT@PDA/PDDA multilayers than on the  
403 CNT-COOH/PDDA multilayers although the difference was not significant. Hence,  
404 CNT@PDA was more advantageous than CNT-COOH. In sum, the CNT-multilayered  
405 nanocomposites provided matrices that were effective for stimulating NSC  
406 differentiation into neurons and synapse formation. This result was consistent with the  
407 aforementioned statistical analysis on NSC differentiation. The findings in the current  
408 agreed well with the results of previous studies on the promotion of NSC neuronal  
409 differentiation of CNT-based nanomaterials<sup>25,41</sup>. Moreover, the significantly higher  
410 level of SY38 protein on the CNT@PDA/PDDA multilayers than that on the  
411 CNT-COOH/PDDA multilayers ( $p < 0.05$ ) again indicated the advantages of PDA  
412 modification to CNT over the oxidative treatment.



413

414 Fig. 4 Immunohistochemical staining of synaptic structures (red, SY38, co-staining with neurons  
 415 in green, anti-MAP2) (A) and Western blot analysis of NSC differentiation on different substrates.  
 416 (B) Agarose gel electrophoresis of  $\beta$  III tubulin, GFAP, and SY38. (C) Quantified protein levels of  
 417 SY38-GAPDH, (D) tubulin-GAPDH, and (E) GFAP-GAPDH by using ImageJ (n=6). \* $p < 0.05$   
 418 and \*\* $p < 0.01$ . Scale bars: 20  $\mu$ m.

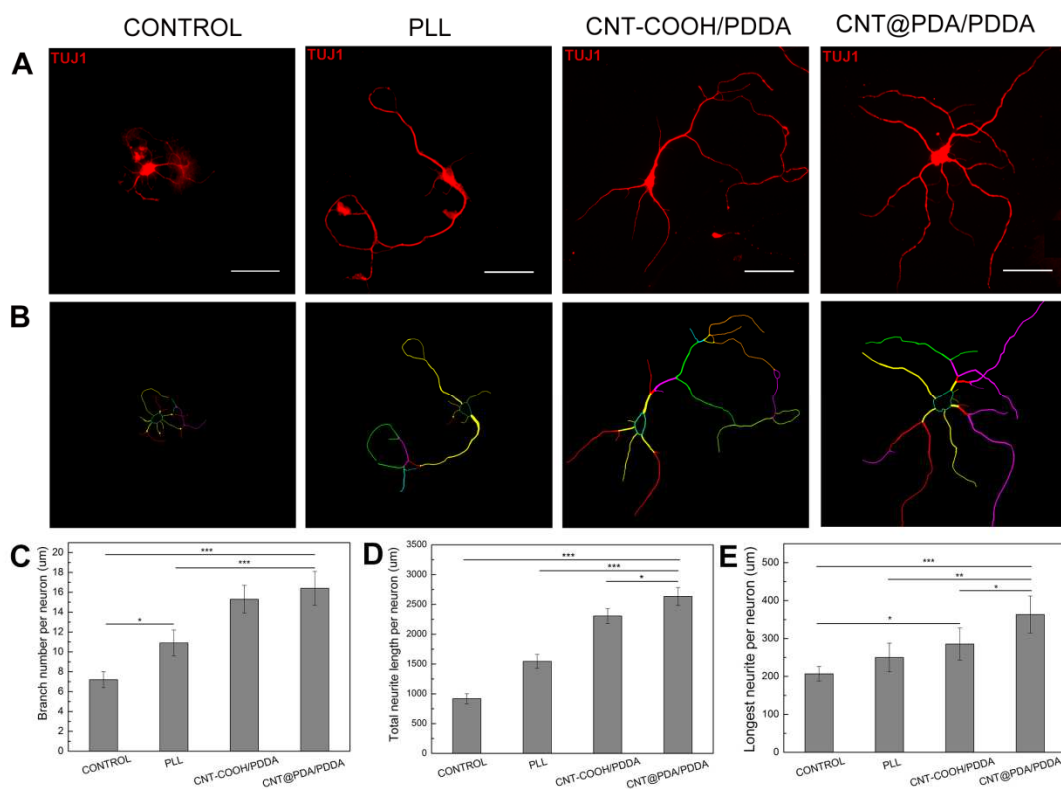
419

#### 420 Neurite outgrowth on CNT-multilayered nanocomposites

421 The morphological features of the differentiated neurons on the CNT-multilayered  
 422 nanocomposites were thoroughly studied by characterizing the neurite outgrowth,  
 423 including neurite length and branching. As shown in Fig. 5A, the neurons examined  
 424 on control (n = 30), PLL (n = 33), CNT-COOH/PDDA (n = 79), and  
 425 CNT@PDA/PDDA (n = 69) presented bipolar and multipolar characteristics with  
 426 long neurites after 7 days. More branches and longer neurites were generally  
 427 visualized on the CNT-multilayered substrates than those on the control and PLL



428 substrates. To quantify the neurite length and branching, a neuron cytoskeleton was  
429 reconstructed using the NeuroLucida software (Fig. 5B). CNT@PDA/PDDA presented  
430 the longest total neurite per neuron and single longest neurite per neuron among the  
431 substrates investigated, followed by CNT-COOH/PDDA, PLL, and control in a  
432 descending sequence. Notably, the promotion of neurite extension on the  
433 CNT-multilayered substrates was more significant than on the PLL substrate, a  
434 well-known permissive substrate widely used as positive control for neuronal growth.  
435 Significant differences were found when CNT@PDA/PDDA with  
436 CNT-COOH/PDDA were compared; hence, CNT@PDA was advantageous in  
437 promoting neurite growth. Next, the neurite branching of the NSC-differentiated  
438 neurons on the CNT-multilayered nanocomposites was investigated. Neurite  
439 branching is an important determinant of established intercellular contacts and is vital  
440 to synaptogenesis and signal transduction. Substrate qualities play a role in the  
441 process of neurite branching<sup>42,43</sup>. Previous studies indicated that chemical  
442 functionalization enhances the branch formation of neurites compared with  
443 unmodified CNTs as substrates<sup>23,43</sup>. In the current study, the numbers of neurite and  
444 branches per neuron on the CNT-multilayered substrates were both significantly  
445 higher than those on the flat substrates ( $p < 0.01$ ), in a descending order of  
446 CNT@PDA/PDDA > CNT-COOH/PDDA > PLL > control. The results of neurite  
447 branching exhibited a similar pattern to that of neurite length. Neurites function as  
448 antennae of neurons, and their arborization is required for proper neuronal circuitry.  
449 Therefore, the CNT-multilayered nanocomposites fabricated in this study provided a  
450 permissive microenvironment for neurite outgrowth and also promoted the formation  
451 of new processes that increased the complexity of neuronal cytoarchitectures.  
452 Moreover, the CNT-multilayered nanocomposites promoted neuronal maturation, as  
453 characterized by an increase in neurite elongation and numerous branches<sup>44</sup>.



454

455

Fig. 5 2D reconstruction of neuronal cytoskeleton and quantitative analysis of neurite outgrowth.

456

(A) NSC-derived neuron cultured on different substrates. (B) The contours of 2D structures of the corresponding neurons presented in (A).

457

The number of neurites per neuron (C), total neurite length (D), and maximal length of neurite per neuron (E) were measured and quantitatively

458

analyzed on various substrates. \* $p < 0.05$  and \*\* $p < 0.01$ . Scale bars: 50  $\mu\text{m}$ . (n=120)

459

460

461

GAP-43 and F-actin immunocytochemistry staining were performed together with  $\beta$  III

462

tubulin labeling on the NSC-derived neurons to investigate the interactions between

463

the neurites and substrates (Fig. 6). GAP-43 is a nervous-tissue-specific protein and is

464

expressed in neurites, lamellipodia, and filopodia, where it associates tightly with the

465

cortical membrane skeleton<sup>45</sup>. As shown in Fig. 6B1 and 6C1, GAP-43 was

466

distributed at high levels along the neurites and was also weak to moderately present

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at the leading edges of the growth cone (arrowheads) on the control substrate.

468

Immunoreactive lamellipodia and filopodia extending from the neurite were observed

469

but were relatively small and short (arrows). By contrast, abundant immunoreactive

470

lamellipodia and filopodia (arrows) along the long neurites and fan-like filopodia on

471

the growth cone (arrowheads) were significantly observed on the PLL substrates (Fig.

472

6B2 and 6C2). Similarly, strong GAP-43 immunoreactive filopodia and lamellipodia

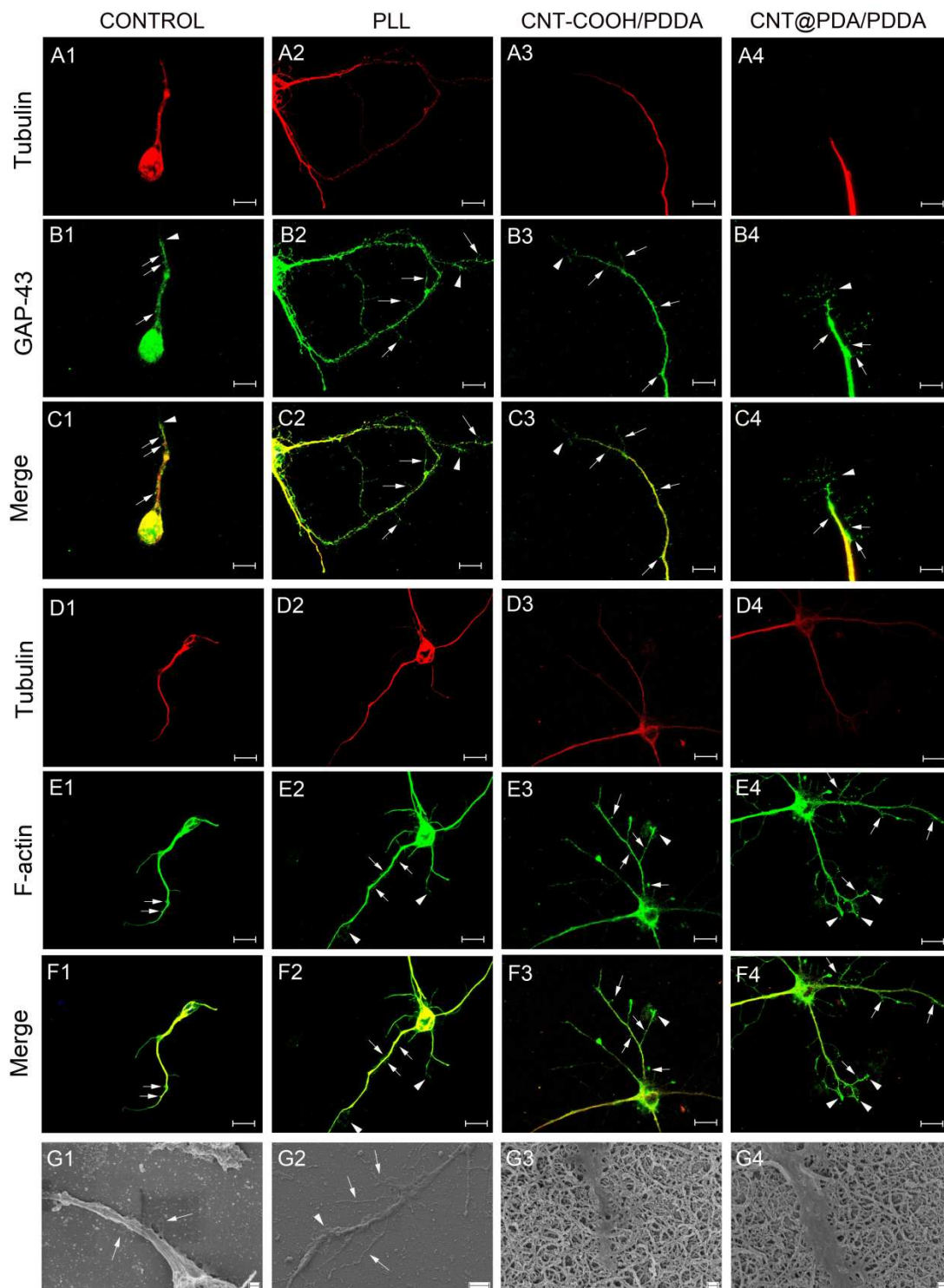
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were observed along the entire length and within the significant growth cones of the

474 neurites on the CNT-multilayered substrates (Fig. 6B3, 6C3, 6B4, and 6C4). F-actin  
475 was localized and distributed along the neurites and within the growth cones  
476 (arrowheads) as indicated by immunostaining for cytoskeleton with phalloidin, which  
477 was quite similar to GAP-43. The NSC-derived neurons on the CNT-multilayered  
478 substrates showed a similar expression within the growth cones to those on the PLL  
479 substrate. More F-actin immunoreactive filopodia and lamellipodia were even  
480 observed on the CNT-multilayered substrates than those on the PLL substrate. SEM  
481 was further utilized to visualize the interactions of the cytoskeletal processes of the  
482 NSC-derived neurons with the substrates. Short filopodia and lamellipodia were  
483 detected to extend from the neurites on the control substrate (Fig. 6G1), whereas  
484 abundant and long filopodia and lamellipodia were observed on the PLL substrate  
485 (Fig. 6G2). Moreover, a connection between cells at the neurite terminals was  
486 observed (arrowhead in Fig. 6G2). The neurites of the NSC-derived neurons on the  
487 CNT-multilayered substrates were well incorporated with the CNT-derived substrates.  
488 The growth fronts interacted intimately with the underlying CNTs with the filopodia  
489 and lamellipodia hardly identified (Fig. 6G3 and 6G4).

490 During development, neuronal growth cones play a major role in guiding the  
491 growing neurites to appropriate locations for the establishment of the correctly  
492 interconnected nervous system. Growth cones are composed of lamellipodia, from  
493 which thin filopodia with a submicron diameter emerge<sup>46</sup>. By gathering spatial,  
494 topographical, and chemical information with filopodia and lamellipodia, growth  
495 cones can sense nanotopographic features of the surrounding environment under the  
496 control of regulated actin polymerization<sup>44</sup>, which conversely affect integrin-mediated  
497 focal adhesion by reorganization of the cell cytoskeleton<sup>47</sup>. Abundant filopodia and  
498 lamellipodia structures within the growth cones and along the neurites during NSC  
499 differentiation on the CNT-multilayered substrates facilitated the growth of the  
500 growth cones and the development of focal adhesions. These results implied that  
501 NSC-derived neurons possibly possessed high affinity for the CNT-based  
502 nanomaterials. This behavior was understandable because the diameters of CNTs  
503 utilized in this study were tens of nanometers, similar to those of filopodia and

504 lamellipodia structures. These results agreed well with those by Gabay et al. and  
 505 Sorkin et al., in which cases neurons bound extremely well to CNT surfaces but not  
 506 adhere to the remaining spaces (free from nanotubes)<sup>48-50</sup>.



507  
 508 Fig. 6 Growth cone observation of NSC-derived neurons on different substrates. (A1-C4) GAP-43  
 509 and tubulin immunoreactive neurites. (D1-F4) F-actin and tubulin immunoreactive neurites.  
 510 (G1-G4) SEM micrographs of neurites of NSC-derived neurons on different substrates.  
 511 Lamellipodia and filopodia structures were present within the growth cones (arrowheads) and

512 along the neurites (arrows). Scale bars: 10  $\mu\text{m}$  in A1-F4; 200 nm in G1, G2, and G4; and 1  $\mu\text{m}$  in  
513 G2.

514

### 515 **Electrophysiological function of NSC-differentiated neurons**

516 Neurons are electrically excitable cells that normally process and transmit  
517 information through electrical signals. Numerous studies indicated that neuronal  
518 electrophysiological functions can be affected by neuron-surface interactions.  
519 Neurons grown on a conductive substrate always display efficient signal  
520 transmission<sup>12,25,51</sup>. Most of the previous studies focused on adult neurons in vitro.  
521 Investigations on neurons differentiated from NSCs, however, were seldom reported.  
522 Here, we investigated whether the NSC-derived neurons on the CNT-multilayered  
523 nanomaterials exhibited electrophysiological functions. Whole-cell patch-clamp  
524 recordings were employed to test the basic electrophysiological properties of these  
525 NSC-derived neurons, such as the ability to fire APs and the induction of membrane  
526 current. Electrical stimulation from the holding potential of -70 mV, the resting  
527 membrane potential (RMP) of a typical neuron, at a 10 mV hyperpolarizing step was  
528 applied to the patched neurons. Passive and active membrane properties were  
529 quantified to evaluate the electrophysiological maturity and the variability of the  
530 NSC-derived neurons on the different substrates. In neurons, APs play a central role  
531 in cell-to-cell communication by providing for the propagation of signals at synapses.  
532 The representative trace of repetitive APs of the NSC-derived neurons on the different  
533 substrates is presented in Fig. 7A-7D. Mature AP spikes in response to depolarizing  
534 current injections were observed on all substrates, as confirmed by reaching a  
535 membrane potential above 0 mV with a fast depolarization and rapid repolarization<sup>52</sup>.  
536 A total of 12.5% (5/40), 20% (8/40), and 25% (10/40), 27.5% (11/40) of the recorded  
537 neurons fired mature APs on the control, PLL, CNT-COOH/PDDA multilayers, and  
538 CNT@PDA/PDDA multilayers, respectively. Among these mature AP-recorded  
539 neurons on CNT@PDA/PDDA, 36.4% (4/11) exhibited repetitive firing of mature  
540 APs. The remaining 63.6% (7/11) of neurons fired an initial mature AP followed by a  
541 sequence of APs that exhibited rapid accommodation (broad peaks other than spikes)

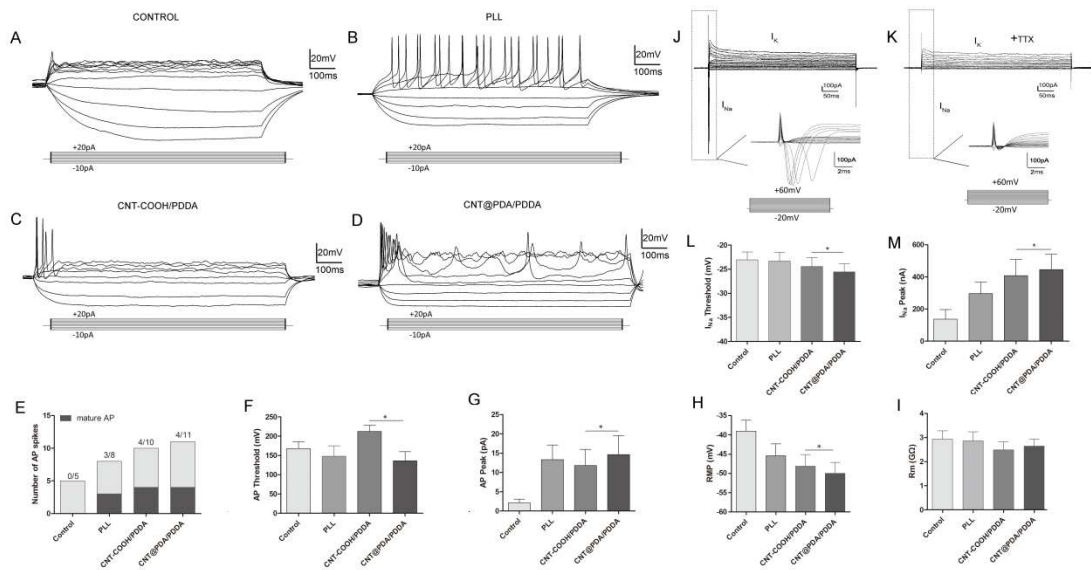
542 and no longer met the criteria for AP maturity. The percentages of recorded neurons  
543 that exhibited repetitive mature APs were 0% (0/5), 37.5% (3/8), and 40% (4/10) on  
544 the control, PLL, and CNT-COOH/PDDA multilayers, respectively. The number of  
545 mature AP spikes was counted on each depolarizing step and is presented in Fig. 7E.  
546 Mature AP-recorded neurons grown on the PLL substrate displayed the most spikes,  
547 followed by the neurons grown on the CNT@PDA/PDDA multilayers,  
548 CNT-COOH/PDDA multilayers, and control substrate. Neurons grown on the  
549 CNT@PDA/PDDA multilayers exhibited the lowest AP threshold (Fig. 7F), the  
550 minimum voltage needed for AP generation, and the highest AP peak among the  
551 neurons on the substrates in this study (Fig. 7G).

552 Neurons on the CNT@PDA/PDDA multilayers exhibited a RMP of  $-50 \pm 4$  mV,  
553 significantly lower than that on PLL substrate (Fig. 7H,  $p < 0.05$ ). Meanwhile, the  
554 RMP on the CNT-COOH/PDDA multilayers was also smaller than that on the PLL  
555 substrate despite no significant differences. The NSC-derived neurons possessed  
556 comparable membrane input resistances on all substrates of 2-3 G $\Omega$ , which was  
557 consistent with characteristic of second-trimester human neocortical neurons<sup>53</sup>. The  
558 input resistances of the NSC-derived neurons on the CNT-multilayered nanomaterials  
559 were lower than those on the smooth substrates (Fig. 7I) although not significantly  
560 different. Given that neuron electrophysiological maturity is characterized by a  
561 relatively hyperpolarized RMP and low input resistances<sup>52,54</sup>, the results in the current  
562 study indicated that the CNT-multilayered nanomaterials improved the  
563 electrophysiological maturation of the NSC-derived neurons.

564 More importantly, the electrophysiological neurons derived from the NSCs  
565 exhibited clear and fast sodium channel currents, a current component crucial for AP  
566 generation<sup>55</sup>, as evidenced from the rapid inward current in response to depolarized  
567 membrane potentials (Fig. 7J). Sodium currents and AP spikes disappeared upon  
568 treatment with TTX; hence, the currents and spikes in the neurons differentiated from  
569 the NSCs were mediated by voltage-gated sodium channels (Fig. 7K). The voltage  
570 thresholds for the generation of sodium currents definitely decreased in the sequence  
571 of control, PLL, CNT-COOH/PDDA, and CNT@PDA/PDDA although the

572 differences between these substrates were not significant (Fig. 7L). By contrast, but as  
573 expected, the peaks of sodium current significantly increased in the same sequence  
574 (Fig. 7M). As the expression of neuronal voltage-gated sodium channels is an  
575 essential hallmark of neuronal differentiation toward the mature, electrically active,  
576 neuronal phenotype<sup>55-57</sup>, the results in the current study accordingly showed the novel  
577 advantages of CNT@PDA/PDDA-multilayered substrates in promoting the functional  
578 neuronal maturation of the NSC-derived neurons.

579 Electrophysiological properties are an important aspect of neuronal maturation in  
580 the differentiation phase of early neuronal development<sup>58</sup>. Results herein showed that  
581 the CNT@PDA/PDDA-multilayered nanomaterials provided a supporting substrate  
582 for promoting the electrophysiological maturity of the NSC-derived neurons in the  
583 absence of chemical agents typically required for neurogenesis as evidenced by the  
584 capability of firing repetitive APs with various electrophysiological parameters  
585 specific to functional and mature neurons, including a RMP close to that of a typical  
586 neuron, low membrane resistance, decreased thresholds for the generation of AP and  
587 sodium channel current, and increased peaks of AP and sodium channel current.  
588 Ballerini *et al.* proposed the “electrotonic hypothesis” that CNTs improve neuronal  
589 performance by favoring electrical shortcuts between the proximal and distal  
590 compartments of the neuron<sup>59</sup>. Our previous study indicated that CNT  
591 nanocomposites fabricated using the LbL assembly of negatively charged CNTs with  
592 strong PDDA possessed good conductance<sup>32</sup>.



593

594 Fig. 7 Electrophysiological properties of NSC-differentiated neurons on different substrates. (A-D)  
 595 Representative trace of repetitive APs, (E) numbers of mature AP spikes, (F) AP threshold, (G)  
 596 AP peaks, (H) RMP, (I) input resistances, (J) sodium channel currents, (K) sodium current  
 597 blocking by TTX, (L) voltage thresholds for the generation of sodium currents, and (M) the peaks  
 598 of sodium current. (n=60)

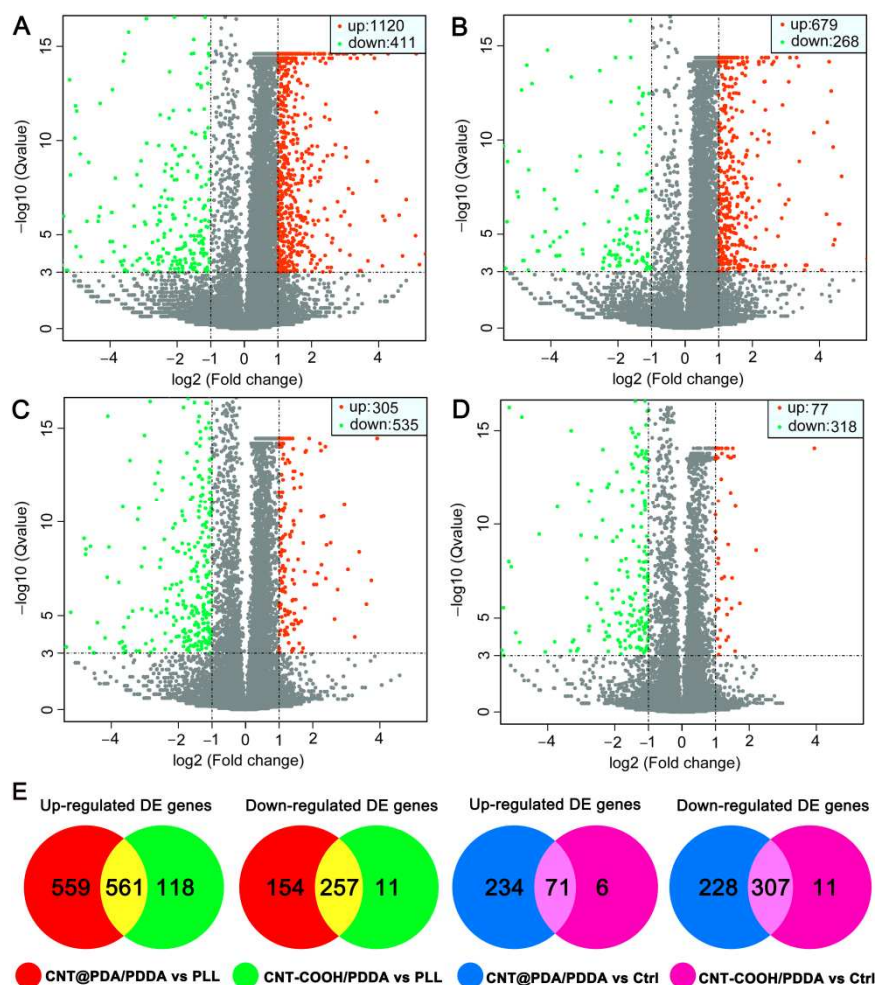
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### 600 Gene expression profiling investigation on NSC differentiation

601 The biophysical and biochemical signals in the environment play a critical role in  
 602 regulating NSC differentiation by inducing the changes in gene expression<sup>17,60,61</sup>.  
 603 Given that the transcriptome is linked with the genetic information of genome and  
 604 proteomic biology function, transcriptome sequencing has been increasingly  
 605 recognized as an efficient means of characterizing the molecular mechanism involved  
 606 in cell stimulus response<sup>61,62</sup>. In the current study, comprehensive analysis of gene  
 607 expression profiles after NSC differentiation on various substrates was performed  
 608 using RNAseq to explore the potential molecular mechanism mediating the observed  
 609 responses. The expression pattern of DEGs was presented in the heat map of  
 610 hierarchical clustering in Fig. S1. The expression levels of genes were assigned a  
 611 color based on the read count in the heat map. When the expression value moved from  
 612 high to low, the color changed from red to blue. The volcano plots of DEGs in Fig.  
 613 8A-8D indicated the degree of DEGs between the CNT-multilayered substrates and  
 614 the smooth substrates (control and PLL). A high degree of separation represented  
 615 greater differences of expression between the two groups. As a general observation,



616 NSCs cultured on the CNT-multilayered nanomaterials exhibited profiles with many  
617 DGEs compared with those cultured on the PLL and control substrates. A total of  
618 1531 (1120 up-regulated and 411 down-regulated genes, non-changed 20487 genes)  
619 and 840 (305 up-regulated and 535 down-regulated genes, non-changed 20738 genes)  
620 significant DEGs were identified in the CNT@PDA/PDDA versus PLL and  
621 CNT@PDA/PDDA versus control, respectively. The numbers of DEGs identified in  
622 the CNT-COOH/PDDA versus PLL and CNT-COOH/PDDA versus control were 947  
623 (679 up-regulated and 268 down-regulated genes, non-changed 21072 genes) and 395  
624 (77 up-regulated and 318 down-regulated genes, non-changed 21157 genes),  
625 respectively. Among the significant DEGs versus PLL, CNT@PDA/PDDA and  
626 CNT-COOH/PDDA shared 561 up-regulated and 256 down-regulated genes, whereas  
627 CNT@PDA/PDDA and CNT-COOH/PDDA shared 71 up-regulated and 306  
628 down-regulated genes compared with the control (Fig. 8E). Therefore, CNT@PDA  
629 exerted greater influences on NSCs compared with CNT-COOH as supporting  
630 substrates for NSC growth and differentiation.



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Fig. 8 Volcano plots (A-D) and Venn diagram of DEGs (E). (A) DEGs of NSC on the CNT@PDA/PDDA multilayers versus those on PLL, (B) DEGs of NSC on the CNT-COOH/PDDA multilayers versus those on PLL, (C) DEGs of NSC on CNT@PDA/PDDA multilayers versus those on control, (D) DEGs of NSC on the CNT-COOH/PDDA multilayers versus those on control. (E) The total numbers of up-regulated and down-regulated DEGs in NSCs on the CNT-multilayered substrates as well as the overlaps. (n=3)

GO enrichment analysis, which provided the ontology of defined terms representing gene product properties, was further performed to elucidate the functional properties of genes whose expression significantly changed when NSC differentiation was conducted on CNT multilayers compared with the control and PLL substrates. The threshold for the p-value for multiple testing was set at 0.01, in combination with a threshold of two fold changes in mRNA expression. DEGs were assigned to various functional terms according to the GO database, which were then classified into three categories: biological process (BP), cellular component (CC), and molecular function (MF) (Fig. 9). BP, a recognized series of events or molecular

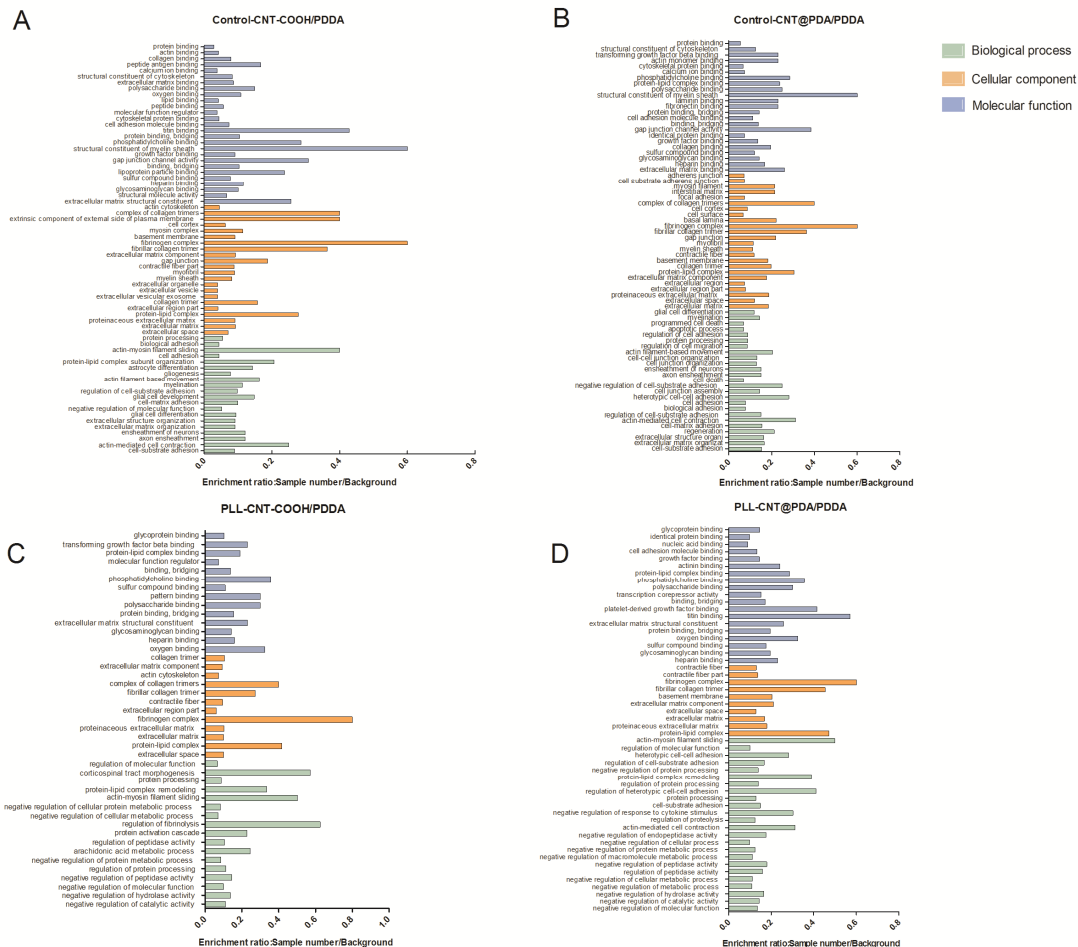
648 functions with a defined beginning and end, relevantly reflects the functioning of  
649 integrated genes<sup>63</sup>. In comparison with the control substrate, many of the significantly  
650 affected GO terms were found to be rooted to various adhesion-associated processes  
651 for CNT-multilayered substrates, such as cell adhesions, including cell-substrate  
652 adhesion (GO:0031589, 0010810, 0010811, 0010812), cell-matrix adhesion  
653 (GO:0007160, 0001953, 0001952), and cell-cell adhesion (GO:0034114, 0034113,  
654 0034116, 0034115); focal adhesion assembly (GO:0048041, 0051894); and cell  
655 junctions, including cell-substrate junctions (GO:0090109, 0007044), cell-cell  
656 junctions (GO:0045216, 0034329), and adherens junctions (GO:0034333, 0007045,  
657 1903391) (Fig. 8A). CNTs as substrates support cell adhesion, particularly focal  
658 adhesion<sup>64,65</sup>, due to the high affinity and thus strong cell-substrate interactions  
659 between CNTs and cells<sup>66</sup>. The underlying mechanism, however, has not been well  
660 understood until now. In the current study, these significantly involved terms  
661 suggested that NSC-derived neural cells intended to form a specialized region of  
662 connection between two or more cells or between a cell and the CNT-multilayered  
663 substrates. The reorganization of the actin cytoskeleton is a prerequisite for changes in  
664 cell shape and motility and gene expression. Therefore, the involved  
665 actin-filament-based processes, such as actin-filament-based movement  
666 (GO:0030048), regulation of actin-filament-based movement (GO:1903115), and  
667 actin-mediated cell contraction (GO:0070252), indicated that the cells anchored on  
668 neighboring cells or substrates and moved through the actin filaments. Most of these  
669 GO terms were coregulated on the two CNT-multilayered substrates, reflecting the  
670 common effects induced by CNTs. However, more significantly regulated genes in  
671 every involved GO term on the CNT@PDA multilayers were found than those on the  
672 CNT-COOH multilayers (Table S2). Thus, CNT@PDA exerted more extensive  
673 regulations to NSC-derived neural cells than CNT-COOH. The regulation on cell  
674 adhesion was beneficial to enhance not only cell-substrate interactions but also  
675 cell-cell interactions as various biological processes, such as the myelin sheath of  
676 axons and formation of BBB in the nervous system, require tight cell-cell interactions.  
677 GO terms associated with myelination processes were involved, including axon

678 ensheathment (GO:0008366), neuron ensheathment (GO:0007272), myelination  
679 (GO:0042552), myelin maintenance (GO:0043217), and axon ensheathment in the  
680 central nervous system (GO:0032291). The main purpose of a myelin sheath is to  
681 increase the speed at which impulses propagate along the myelinated axons. Thus, the  
682 CNT-multilayered substrates, especially the CNT@PDA multilayers, regulated the  
683 preservation of the structure and function of mature myelin due to the more  
684 significantly regulated genes than the control substrate.

685 For the CC category, the two CNT-multilayered substrates significantly coregulated  
686 several GO terms associated with extracellular components, including extracellular  
687 space (GO:0005615), extracellular matrix (ECM; GO:0031012), proteinaceous ECM  
688 (GO:0005578), extracellular region part (GO:0044421), extracellular region  
689 (GO:0005576), collagen trimer (GO:0005581), ECM component (GO:0044420),  
690 contractile fiber (GO:0043292), fibrillar collagen trimer (GO:0005583), fibrinogen  
691 complex (GO:0005577), and basement membrane (GO:0005604), compared with the  
692 control. These significantly regulated CC terms on the CNT-multilayered substrates  
693 indicated that the CNT multilayers as supporting substrates regulated the secretion of  
694 ECM components and space structures. Given that ECM is tightly connected to the  
695 intracellular environment in biology, intracellular signaling, cell-cell adhesion, and  
696 communication are common functions of the ECM<sup>67</sup>. In this study, GO enrichment  
697 analyses indicated that gap junction (GO:0005921) belonging to the CC classification  
698 was also significantly enriched. This finding indicated that the CNT multilayers  
699 significantly enhanced cell-cell interactions and communication of NSC-derived  
700 neural cells. Similar to the BP terms, more significantly regulated genes were found in  
701 every involved CC term on the CNT@PDA multilayers than those on the CNT-COOH  
702 multilayers. Thus, CNT@PDA exerted more extensive regulations to the  
703 NSC-derived neural cells than CNT-COOH. Generally, the putative functions of most  
704 genes are related to binding and transport in the MF category. In the current study, the  
705 significantly regulated MF-categorized GO terms on the CNT multilayers versus  
706 control were mainly related to binding activities. The MF classification genes were  
707 mainly involved in binding-associated terms on the CNT-COOH and CNT@PDA

708 multilayers, including ECM binding terms, such as ECM structural constituent  
709 (GO:0005201), glycosaminoglycan binding (GO:0005539), binding, bridging  
710 (GO:0060090), protein binding, and bridging (GO:0030674), and other terms,  
711 including heparin binding (GO:0008201), sulfur compound binding (GO:1901681),  
712 growth factor binding (GO:0019838), and cell adhesion molecule binding  
713 (GO:0050839). The importance of ECM has long been recognized as providing  
714 structural and biochemical supports to the surrounding cells. Therefore, these  
715 involved GO terms indicated that the CNT-multilayered nanomaterials regulated the  
716 selective and noncovalent interactions of the NSC-derived neural cells with ECM  
717 components, including structural molecules, growth factors, proteoglycans, and  
718 polysaccharides. More terms related to specific binding terms were involved on the  
719 CNT@PDA multilayers than on the CNT-COOH multilayers, such as collagen  
720 binding (GO:0005518), fibronectin binding (GO:0001968), laminin binding  
721 (GO:0043236), and platelet-derived growth factor binding (GO:0048407).

722 By contrast, the BP-categorized GO terms were mainly related to the metabolic  
723 process, catalytic activity, and catabolic process on the CNT-multilayered substrates  
724 compared with those on the PLL substrate. This finding indicated that the CNT  
725 multilayers exerted significant impacts on the metabolic process of the NSC-derived  
726 neural cells (Fig. 8B). The adhesion-related GO terms, however, were seldom  
727 observed on the two CNT-multilayered substrates compared with those on the PLL  
728 substrate. This finding indicated that the CNT multilayers provided a similar  
729 environment for cell adhesion to PLL to a certain extent. Similarly, only few  
730 ECM-associated GO terms for the CC category and binding terms for the MF  
731 category were identified to be significantly regulated on the two CNT multilayers  
732 compared with PLL. These findings indicated that the CNT multilayers provided a  
733 permissive environment for neural cell growth.



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## 741 Signaling pathways

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KEGG is a bioinformatics resource for the systematic analysis of gene functions and linking genomic information with higher-order functional information<sup>68</sup>. The pathway-based annotation and analysis can help to further understand high-level biological functions. Therefore, in the current study, these significantly enriched pathways were examined in detail. Similar to the aforementioned GO enrichment analyses, the DEGs identified between the CNT-multilayered substrates (CNT@PDA/PDDA and CNT-COOH/PDDA) and flat substrates (control and PLL) were mapped to the KEGG pathways. The DEGs involved in the cellular processes,

750 environmental information processing, and organismal systems were focused and  
751 further selected based on the following conditions: p-value < 0.05, fold change > 2, and  
752 RPKM > 1 (Fig. 10).

753 Some cell signaling occurs on a local level when cells interact with the surrounding  
754 ECM or with their immediate neighboring cells. This type of signaling plays  
755 important roles in tissue and organ morphogenesis and in the maintenance of cell and  
756 tissue structure and function. In our study, focal adhesion signaling pathways rooted  
757 to cellular processes were significantly involved on the two CNT multilayers in both  
758 comparisons with control and PLL. CNTs especially induce focal adhesions at the  
759 cell-substrate contact points. Bundles of actin filaments are anchored to  
760 transmembrane receptors of integrin through a multimolecular complex of junctional  
761 plaque proteins. Focal adhesions form the foci of signal transduction and feedback  
762 between the external microenvironment and cells, in which case the signaling  
763 mechanisms are crucial in determining cell fate, especially as it relates to  
764 differentiation or proliferation<sup>69-72</sup>. Therefore, this study speculated that the CNT  
765 multilayers provided a topological substrate with nanoscale features for focal  
766 adhesions, some constituents of which were signaling molecules, such as different  
767 protein kinases (focal adhesion kinase, FAK) and phosphatases (p-FAK), and various  
768 adaptor proteins. These signaling activities initiated downstream signaling events and  
769 culminated in the reorganization of the actin cytoskeleton of the NSC-derived neural  
770 cells<sup>73</sup>. In addition, negatively charged MWCNTs of nanoscale dimensions adsorb  
771 positively charged growth factors secreted by the cells compared with the smooth  
772 substrates<sup>17</sup>. Similar morphological alterations and modulation of gene expression are  
773 initiated by the binding of growth factors to their respective receptors; hence,  
774 considerable crosstalk occurs between adhesion- and growth-factor-mediated  
775 signaling. FAK is enriched in developing neuronal bodies and growth cones. This  
776 finding suggested that FAK possibly regulates the interactions between the growing  
777 neurites and ECM<sup>74</sup>. Reichardt et al. documented that FAK controls axonal dynamics,  
778 in part, by regulating the function of Rho family GTPases through the activation of  
779 p190RhoGEF. Thus, FAK is an important regulator of axonal development by

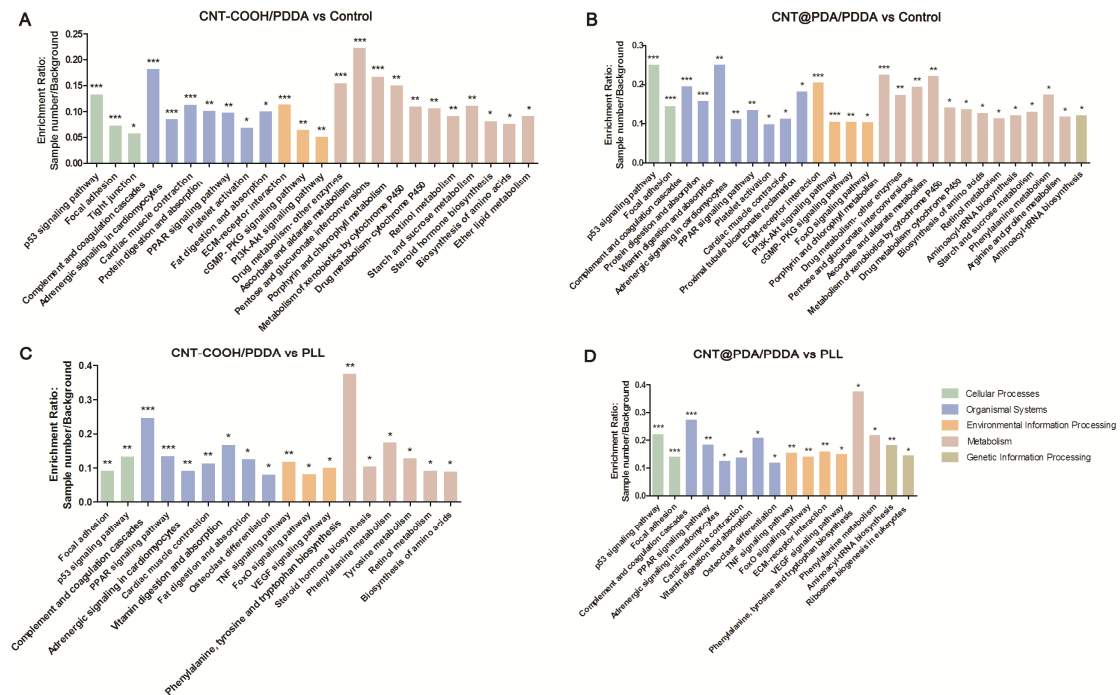
780 controlling the extension and pruning of axon and, consequently, synapse formation<sup>74</sup>.  
781 Meanwhile, several signaling pathways involved in environmental information  
782 processing were revealed on the CNT multilayers compared with the control. These  
783 pathways included the ECM-receptor interaction, PI3K-Akt signaling pathway, FoxO  
784 signaling pathway, and cGMP-PKG signaling pathway. These pathways were  
785 activated by many types of cellular stimuli and regulate fundamental cellular  
786 functions during nervous system development, such as transcription, translation,  
787 proliferation, growth, and survival. Specific interactions between cells and the ECM  
788 are mediated by transmembrane molecules, mainly integrins, which are a family of  
789 transmembrane adhesion receptors consisting of noncovalently bound  $\alpha$ - and  
790  $\beta$ -subunits. Usually, integrins function as mechanoreceptors and mediate  
791 cell-substrate signaling by activating intracellular FAK and p-FAK signaling to  
792 trigger downstream biochemical signals important for the regulation of gene  
793 expression and stem cell fate<sup>75</sup>. CNTs exhibit a strong ability to adsorb proteins  
794 through  $\pi$ - $\pi$  interaction<sup>76</sup>. Therefore, the CNT multilayers were assumed to provide a  
795 specific environment for ECM-receptor interactions by adsorbing more ECM proteins,  
796 e.g., collagen, fibronectin, and laminin, secreted by the NSC-derived cells than the  
797 smooth substrates. Interestingly, collagen was the main component of ECM  
798 significantly regulated on the CNT-COOH/PDDA multilayers compared with the  
799 control and PLL, whereas both collagen and laminin were significantly regulated on  
800 the CNT@PDA/PDDA multilayers (Fig. S2). The higher enrichment ratio of KEGG  
801 on the CNT@PDA/PDDA multilayers than that on the CNT-COOH/PDDA  
802 multilayers agreed with GO analysis presented earlier.

803 The PI3K-Akt pathway is widely expressed during central nervous system  
804 development. It governs embryonic and tissue stem cell self-renewal, maintenance,  
805 and regenerative responses<sup>77</sup>. In neurons, the PI3K-Akt pathway can deactivate  
806 proapoptotic mediators; activate antiapoptotic proteins; and thus mediate cell survival,  
807 differentiation, and metabolism, which participate in neurocyte nutrition and  
808 angiogenesis<sup>78</sup>. In addition, accumulating evidences indicated that PI3K-AKT  
809 signaling play a neuroprotective role against diverse stresses in the mature CNS, for



810 example, ethanol-induced neural apoptosis<sup>79</sup> and oxidative stress<sup>80</sup>. In the nervous  
811 system, the FoxO signaling pathway is a prominent regulator of adult NSC reserves  
812 and lifelong neurogenesis by cell-cycle regulation and oxidative stress suppression<sup>81</sup>.  
813 Moreover, FoxO signaling coordinately regulates diverse pathways to govern key  
814 aspects of NSC homeostasis. De Pinho et al. demonstrated that FoxO engage the Wnt  
815 pathway to ensure a tight regulation of NSCs<sup>82</sup>.

816 The tight junction signaling pathway was significantly involved on the  
817 CNT-COOH/PDDA multilayers compared with the control substrate. As tight  
818 junctions are essential for establishing a selectively permeable barrier to diffusion  
819 through the paracellular space between neighboring cells, the tight junction signaling  
820 pathway plays a pivotal role in regulating cell polarity and hold cells together and is  
821 involved in maintaining the blood-brain barrier<sup>83,84</sup>. Recent observations demonstrated  
822 that the tight junction signaling pathway is also involved in myelination in nerve  
823 systems<sup>83</sup>, through which communications between axon and glial cells are possibly  
824 activated and regulated. The VEGF signaling pathway, significantly involved on the  
825 CNT@PDA/PDDA multilayers compared with the control and PLL, exhibits a broad  
826 range of neurotrophic and neuroprotective effects in the central nervous system by  
827 directly stimulating the proliferation of neuronal progenitors. Given the close  
828 structural resemblance between the nervous and vascular networks, increasing  
829 evidence suggested that VEGF constitutes an important link between neurogenesis  
830 and angiogenesis by activating numerous signaling pathways<sup>85</sup>, which in principle  
831 improve neurovascular coupling<sup>86</sup>.



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833

834 Fig. 10 KEGG enrichment of DGEs on cellular processes, organismal systems, environmental

835 information processing, metabolism, and genetic information processing on the CNT-multilayered

836 substrates versus the smooth substrates. The ordinate gave the KEGG enrichment rate. \*  $p < 0.05$ ,

837

838

839 During the past decade, CNTs, either used singly or in combination with other

840 biomaterials, have been widely employed in the design of a supporting environment

841 that favor neural functions<sup>87</sup>. Given that CNTs possess a nanostructure similar to

842 neuronal processes (axons and dendrites), numerous studies have documented the

843 unexpected and exciting impacts of CNTs on neuronal signaling. The molecular

844 mechanisms driving these phenomena, however, remain elusive to date. In the current

845 study, CNT multilayers fabricated using the LbL assembly of negatively charged

846 MWCNTs and positively charged PDDA provided a permissive substrate for neuronal

847 differentiation, neurite outgrowth, and electrophysiological maturation of

848 NSC-derived neurons. This study postulated that signal transduction—the process by

849 which physicochemical stimuli of the CNT-multilayered substrate were transmitted

850 through a cell as a series of molecular events—most commonly involved protein

851 phosphorylation catalyzed by protein kinases, which were ultimately integrated into

852 the cellular responses<sup>88-90</sup>. We proposed a possible mechanism of action as illustrated

853 in Fig. 11, the integrin-mediated interactions between the NSCs and CNT multilayers

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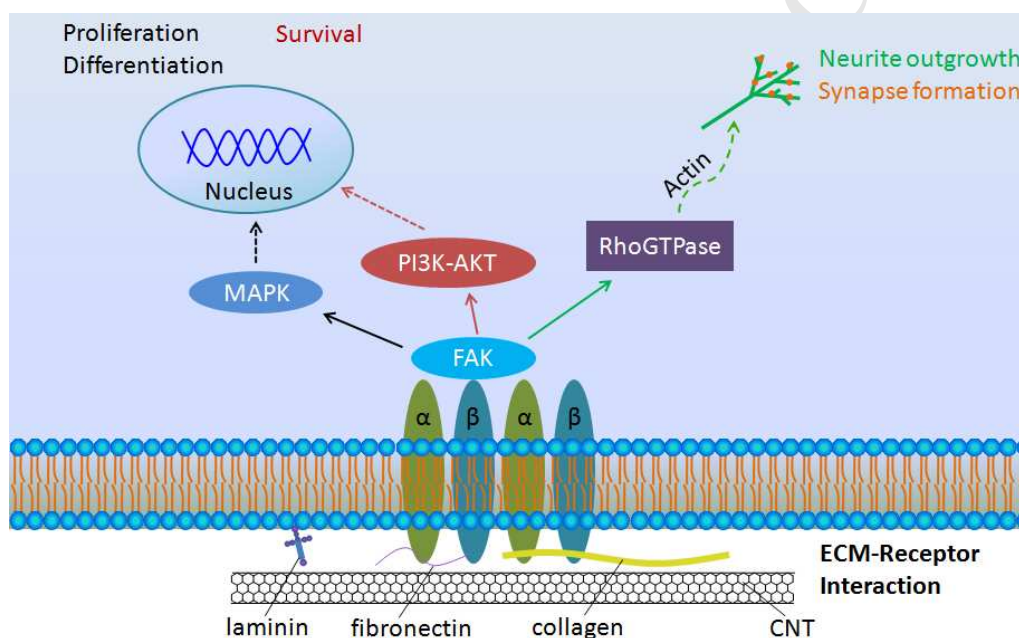
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853 mainly activated FAK. FAK, a key downstream target, subsequently initiated  
 854 signaling events, such as the MAPK signaling pathway and Wnt signaling pathway to  
 855 regulate neural cell proliferation and the PI3K-AKT signaling pathway to regulate  
 856 neural cell survival. The MAPK signaling pathway regulates neuronal differentiation  
 857 in NSCs<sup>91,92</sup>. The activated FAK also triggered the Rho family GTPases, which  
 858 controlled the neurite extension, branching, and consequently synapse formation via  
 859 the reorganization of the actin cytoskeleton<sup>74,93</sup>. Synaptophysin was formed and was  
 860 further promoted by tight contact or mechanical strength to increase neuronal  
 861 electrical signaling capability<sup>17</sup>.



862

863 Fig. 11 Schematic diagram of the signal transduction pathways significantly involved in the NSC  
 864 differentiation on the CNT-multilayered substrates compared with the control and PLL substrates.  
 865 The ECM proteins (e.g., collagen, fibronectin, and laminin secreted by cells) absorbed on the CNT  
 866 multilayers interacted with integrin and consequently activated FAK, which subsequently  
 867 triggered several downstream signaling events to regulate important biological processes, including  
 868 proliferation, differentiation, survival of NSC, neurite outgrowth, and synapse formation of the  
 869 NSC-derived neurons.

870

## 871 Conclusion

872 In this study, CNT multilayers were prepared using the LbL assembly of negatively  
 873 charged MWCNTs and positively charged PDDA. The CNT multilayers provided  
 874 growth substrates with a nanostructure similar to the finest processes of neural cells.  
 875 Such nanoscaled topographies allowed an unprecedented regulation in the interactions

876 between neural cells and the nanomaterials themselves, resulting in increased  
877 neuronal differentiation, promoted neurite outgrowth, and improved  
878 electrophysiological maturation of the NSC-derived neurons. Importantly, the  
879 bioinformatics findings indicated that integrins mediated the interactions between  
880 NSCs and CNT multilayers and activated endogenous FAK, which subsequently  
881 triggered downstream signaling events to regulate neural cell survival, proliferation,  
882 differentiation, and synapse formation. As outlined earlier, this study not only  
883 presented a construction strategy of CNT-based nanocomposites for neural  
884 applications but also facilitated understanding of the mechanism of molecular events  
885 involved in the NSC differentiation. With the advancement in nanotechnology and  
886 neurobiology, CNT-based nanomaterials would become more relevant in academic  
887 research and clinical applications as potent modulators of stem cell behavior.

888

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