# ON A REPRESENTATION OF THE DIRAC MATRICES 

N. D. SEN GUPTA<br>Tata Institute of fundamental Research, Colaba, Bombay-5.

(Received October 3, 1906)


#### Abstract

The objoct of the paper is to construct a representation of the Dirac matrices adaptable to the froe particlo spinor.


## INTRODUCTION

In order to obtain explicitly the solutions of the Dirac equation and to study their properties, it is the usual practice to start with suitable representation of the Dirac matrices, satisfying the anti-commutation relations. Conversely, one can ask the question whether one can build up a representation of the Dirac matrices adapted to the solution of the Dirac equation for a particular problem. The object of this short paper is to construct a representation of the matrices, in the spinor space of free particle. The construction of the matrices, in this way, is very instructive as it gives better insight to their characteristic properties related to the splitting up and mixing of the positive and negative encrgy states, as well as those of the spin states. The process is also interesting as it shows clearly where is the liberty of the choice and how the elements are determined. Finally, it also exhibits the natural role of the Pauli matrices in the representation and their invariance properties, which have been recently studied by the author (1965).

In the next section, the representation of the matrices in the space of free particle spinor has been developed. In the last section some of their propertios, e.g. transposition operation, are discussed.

We will need frequently the Pauli matrices which we take as

$$
\sigma_{1}=\left|\begin{array}{ll}
0, & 1 \\
1, & 0
\end{array}\right|, \quad \sigma_{2}=\left|\begin{array}{cc}
0, & -i \\
i, & 0
\end{array}\right|, \quad \sigma_{3}=\left|\begin{array}{cc}
1, & 0 \\
0, & -1
\end{array}\right|
$$

The very nature of the problem proposed will lead us to hermitian matrices. However, we do not loose any generality, as it is well-known that any nonhermitian representation may be made equivalent to a hermitian one by suitable similarity transformation (Pauli (1936)).

For any space vector $\vec{q}$ we will use the notation $\alpha_{q}$ to denote $(\vec{\alpha} \cdot \vec{q}) /|\vec{q}|$; so that $\alpha_{Q}^{2}=1 . \sim$ over a matrix denotes its hermitian conjugate.

## REPRESENTATION OF THE MATRICES

Let the free particle spinors be $U_{+}(\vec{p})$ and $U_{-}(\vec{p})$ corresponding to the positive and negative energies respectively. Hence

$$
\left.\begin{array}{l}
\{\vec{\alpha} \cdot \vec{p})+\beta m c\} U_{+}(\vec{p})=-p_{0} U_{+} \overrightarrow{(p)} \\
\{(\vec{\alpha} \cdot \vec{p})+\beta m c\} U_{-}(\vec{p})=p_{0} U_{-}(\vec{p}) \\
\quad \rightarrow \rightarrow
\end{array}\right\}
$$

where $p_{0}=+\{(\alpha \cdot p)+\beta m c\}^{1 / 2}$. Let us introduce the matrices $\alpha_{+}$and $\alpha_{-}$defined by

$$
\begin{equation*}
\alpha_{+}=-\frac{1}{p_{0}}\{(\vec{\alpha} \cdot \vec{p})+\beta m c\} \tag{2}
\end{equation*}
$$

and

$$
\begin{equation*}
\alpha_{-}=\frac{1}{p_{0}}\left\{m \alpha_{p}-\beta|\vec{p}|\right\} \tag{3}
\end{equation*}
$$

such that
and

$$
\begin{align*}
& \alpha_{+}^{2}=1, \quad \alpha_{-}^{2}=1  \tag{4}\\
& \alpha_{+} \alpha_{-}+\alpha_{-} \alpha_{+}=0
\end{align*}
$$

Let $\vec{j}$ and $\vec{k}$ be two mutually orthogonal unit vectors in the plane perpendicular to $\vec{p}$, i.e.

$$
\begin{equation*}
\overrightarrow{(p} \cdot \vec{j})=0, \quad \overrightarrow{(p} \cdot \vec{k})=0 \quad \text { and } \quad(\vec{j} \cdot \vec{k})=0 . \tag{6}
\end{equation*}
$$

It is clear that $\vec{j}, \vec{k}$ are arbitrary up to a rotation in their plane.
Since $\alpha_{+}$is an involution and traceless one, it has two distinct pairs of eigenvectors with eigen-values $+1,-1$. Let $U_{+}{ }^{1}$ and $U_{-}{ }^{2}$ be two mutually orthogonal eigen-vectors of $\alpha_{+}$with eigen-values +1 and similarly $U_{-}{ }^{1}$ and $U_{-}{ }^{2}$ be those with cigen-values -1 . These four vectors are mutually orthogonal and form the basis of our representation. Clearly in this basis $\alpha_{+}$is a diagonal matrix with elements ( $+1,+1,-1,-1$ ).

The matrices which commute with $\alpha_{+}$leave the subspaces of positive and negative energy states invariant. We can take any of the matrices which anticommutes with $\alpha_{+}$to connect the positive energy states with those of negative onergy and vice versa. In order that the representation is symmetric, with respect to positive and negative energy states, we take $\alpha_{-}$as the matrix which just connects positive and negative energy states (without changing spin states) and conversely, as it is an involution. So that,
and

$$
\left.\begin{array}{l}
\alpha_{-} U_{+}^{b}=U_{-}^{b}  \tag{7}\\
\alpha_{-} U_{+}^{s}=U_{+}^{b}
\end{array}\right\} \quad(s=1,2)
$$

Thus $\alpha_{+}$and $\alpha_{-}$are given by

$$
\alpha_{+}=\left|\begin{array}{rr}
e, & 0  \tag{8}\\
0, & -e
\end{array}\right| \quad \text { and } \quad \alpha_{-}=\left|\begin{array}{cc}
0, & e \\
e, & 0
\end{array}\right|
$$

where $e$ is the $2 \times 2$ unit matrix.
Next let us consider the operator which interchanges only the spin states with same encrgy. The matrix $\Sigma$, for such an operator, is

$$
\Sigma=\left|\begin{array}{cc}
\sigma_{1}, & 0  \tag{9}\\
0, & \sigma_{1}
\end{array}\right|
$$

where $\sigma_{1}$ is the Pauli matrix given above. It clearly commutes with $\alpha_{+}$and $\alpha_{-}$. The most general matrix which commutes with both $\alpha_{+}$and $\alpha_{-}$is a linear combination of

$$
\alpha_{j} \alpha_{k}, \quad \alpha_{+} \alpha_{-} \alpha_{k}, \quad \alpha_{+} \alpha_{-} \alpha_{j} .
$$

Again for symmetry, let us take $\Sigma$ to be proportional to $\alpha_{j} \alpha_{k}$ so that

$$
\begin{equation*}
\Sigma=i \alpha_{j} \alpha_{k} \tag{10}
\end{equation*}
$$

In order to find $\alpha_{j}, \alpha_{k}$ separately we note that

$$
\alpha_{+} \alpha_{j} U_{+}{ }^{8}=-\alpha_{j} U_{+}^{8} \quad \text { and } \quad \alpha_{+} \alpha_{j} U_{-}^{8}=+\alpha_{j} U_{-}^{s}
$$

Thus the matrix $\alpha_{j}$ is of the form

$$
\alpha_{j}=\left|\begin{array}{ll}
0, & \sigma  \tag{11}\\
\tilde{\sigma}, & 0
\end{array}\right|
$$

where $\sigma$ is any $2 \times 2$ matrix. It is easy to see that $\sigma$ should satisfy the following conditions
and

$$
\left.\begin{array}{l}
\sigma \bar{\sigma}=e, \quad \sigma+\bar{\sigma}=0  \tag{12}\\
\sigma \sigma_{\mathbf{1}}+\sigma_{\mathbf{1}} \sigma=0
\end{array}\right\}
$$

such that $\alpha_{j}{ }^{2}=1$, and $\alpha_{j}$ anti-commutes with $\alpha_{-}$and $\alpha_{+}$. Hence

$$
\begin{equation*}
\sigma=i\left(\cos \theta \sigma_{2}+\sin \theta \sigma_{3}\right) \tag{13}
\end{equation*}
$$

where $\theta$ is any real parameter. Hence from Eq. (10), it follows that

$$
\alpha_{k}=\left\lvert\, \begin{array}{cc}
0, & \sigma^{\prime}  \tag{14}\\
\because \prime, & 0
\end{array}\right.
$$

10
with
so that

$$
\left.\begin{array}{c}
\sigma^{\prime}=i\left(\sin \theta \sigma_{2}-\cos \theta \sigma_{3}\right)  \tag{15}\\
\sigma \sigma^{\prime}+\sigma^{\prime} \sigma=0
\end{array}\right\}
$$

As mentioned above $j$ and $\vec{k}$ are indeterminate but for a rotation in the $(\vec{j}-\vec{k})$ plane, thus we can choose $\theta$, in Eqs. (13) and (15), to be zero so that

$$
\alpha_{j}=i\left|\begin{array}{ll}
0, & \sigma_{2}  \tag{16}\\
-\sigma_{2} & 0
\end{array}\right| ; \quad \alpha_{k}=i\left|\begin{array}{cr}
0, & -\sigma_{3} \\
\sigma_{3}, & 0
\end{array}\right| .
$$

Finally the fifth mutually anti-commuting matrix

$$
\alpha_{+} \alpha_{-} \alpha_{j} \alpha_{k}=-i \alpha_{+} \alpha_{-} \Sigma=i\left|\begin{array}{cc}
0, & -\sigma_{1}  \tag{17}\\
\sigma_{1}, & 0
\end{array}\right|
$$

which is a matrix with only non-vanishing antidiagonal elements.

## DISCUSSIONS

The construction of the matrices shows how the Pauli matrices enter in the expression of the Dirac matrices in an obvious manner. The typical block character of all the four $\alpha_{-}, \alpha_{j}, \alpha_{k}, \alpha_{+} \alpha_{-} \alpha_{j} \alpha_{k}$ is evident from their anti-commuting properties with $\alpha_{+}$, which is diagonal. All these four matrices connect positive energy states to the negative energy and vice versa. It is interesting to note that
and

$$
\begin{align*}
& i \alpha_{j} \alpha_{k}=\left|\begin{array}{cc}
\sigma_{1}, & 0 \\
0, & \sigma_{1}
\end{array}\right|, \quad \quad i \alpha_{+} \alpha_{-} \alpha_{j}=\left|\begin{array}{cc}
\sigma_{2}, & 0 \\
0 & \sigma_{2}
\end{array}\right| \\
&-i \alpha_{+} \alpha_{-} \alpha_{k}=\left|\begin{array}{cc}
\sigma_{3}, & 0 \\
0, & \sigma_{3}
\end{array}\right| . \tag{18}
\end{align*}
$$

They are isomorphic to the Pauli matrices. It may be pointed out that these are also the only three lincarly independent matrices which commute with $\alpha_{+}$and $\alpha_{-}$. Thus our choice of $\Sigma=i \alpha_{j} \alpha_{k}$, in Eq. (10), is nothing but a choice of a particular representation of Pauli matrices. Any other choice would amount to a 3-dimensional real orthogonal rotation among these three matrices.

The representation constructed here is slightly different from those usually referred (Dirac (1928), Kronig (1938)). In this representation, $\alpha_{p}, \beta$ (obtained from $\alpha_{+}$and $\alpha_{-}$) and $\alpha_{j}$ are real; $\alpha_{k}$ and $\alpha_{p} \beta \alpha_{j} \alpha_{k}$ are purely imaginary. Thus $\alpha_{k}$ and $\alpha_{p} \beta \alpha_{j} \alpha_{k}$ are anti-symmetric and $\alpha_{p}, \beta$, and $\alpha_{j}$ are symmetric. The matrix $B$ (Pauli (1936), which induces the transposition, is $\alpha_{+} \alpha_{-} \alpha_{j}$. This representation is one of the second category of reprosentation as noted by the author (1965).

## ACKNOWLEDGMENT

The author acknowledges the support of the Atomic Energy Commission, Government of India.

## REFERENCES

Dirac, P. A. M., 1928, Proc. Roy Soc. 117, 610.
Kramers, H. A., 1937, Proc. Acad. Amst. 40, 814.
Pauli, W., 1036, Ann. de l'Inst. H. Poinoare 6, 109.
Sen Gupta, N. D., 1965, Il. Nuo. Cim. 86, 1181.

