

Succinct Dynamic One-Dimensional Point Reporting

Hicham El-Zein

Cheriton School of Computer Science, University of Waterloo, Ontario, Canada N2L 3G1
helzein@uwaterloo.ca

J. Ian Munro¹

Cheriton School of Computer Science, University of Waterloo, Ontario, Canada N2L 3G1
imunro@uwaterloo.ca

Yakov Nekrich

Cheriton School of Computer Science, University of Waterloo, Ontario, Canada N2L 3G1
ynekrich@uwaterloo.ca

Abstract

In this paper we present a succinct data structure for the dynamic one-dimensional range reporting problem. Given an interval $[a, b]$ for some $a, b \in [m]$, the range reporting query on an integer set $S \subseteq [m]$ asks for all points in $S \cap [a, b]$. We describe a data structure that answers reporting queries in optimal $O(k + 1)$ time, where k is the number of points in the answer, and supports updates in $O(\lg^\epsilon m)$ expected time. Our data structure uses $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits where $\mathcal{B}(n, m)$ is the minimum number of bits required to represent a set of size n from a universe of m elements. This is the first dynamic data structure for this problem that uses succinct space and achieves optimal query time.

2012 ACM Subject Classification Theory of computation \rightarrow Data structures design and analysis

Keywords and phrases Succinct Data Structures, Range Searching, Computational Geometry

Digital Object Identifier 10.4230/LIPIcs.SWAT.2018.17

1 Introduction and Motivation

This paper studies the dynamic one-dimensional range reporting problem where the goal is to maintain (under insertion and deletion) a set of integers S from a universe of size m to answer range reporting queries efficiently: Given an interval $[a, b]$ for some $a, b \in [m]$, report all points in $S \cap [a, b]$. We note that the reporting query is equivalent to the query $\text{FindAny}(a, b)$ which asks for an arbitrary point c in $S \cap [a, b]$: if the interval $[a, b]$ is not empty, we can recurse on $[a, c - 1]$ and $[c + 1, b]$ after obtaining any $c \in S \cap [a, b]$.

We study this problem in the succinct scenario. In the succinct setting the emphasis is on the space efficiency of the data structure. The goal is to design data structures that occupy optimal or almost-optimal space and at the same time achieve an efficient query cost. This area of research is of interest in theory and practice and is motivated by the need to store a large amount of data using the smallest space possible. In recent years there has been a surge of interest in succinct data structures for computational geometry [4, 2, 5, 10]. We refer the reader to the survey by Munro and Rao [11] and the book of Navarro [17] for a more in-depth coverage of succinct data structures.

¹ This work was sponsored by the NSERC of Canada and the Canada Research Chairs Program.



© Hicham El-Zein, J. Ian Munro, and Yakov Nekrich;
licensed under Creative Commons License CC-BY

16th Scandinavian Symposium and Workshops on Algorithm Theory (SWAT 2018).

Editor: David Eppstein; Article No. 17; pp. 17:1–17:11

Leibniz International Proceedings in Informatics



LIPICs Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

Related Work. One-dimensional range reporting is a well studied problem. Miltersen et al. [13] presented a data structure for the static version of this problem that uses $O(n \lg m)$ words and answers queries in constant time per reported element. Alstrup et al. [1] later presented an improved data structure with the same query time that uses $O(n)$ words, i.e., $O(n \lg m)$ bits. Goswami et al. [7] presented a succinct data structure that further improved the space usage to $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits while preserving the query time where $\mathcal{B}(n, m) \approx n \lg(m/n)$ is the minimum number of bits required to represent a set of size n from a universe of m elements.

For the dynamic version of this problem Mortensen et al. [14] presented a data structure that uses a linear number of words and answers queries in $O(t_q)$ time and updates in expected $O(t_u)$ time where:

$$t_q \geq \lg \lg \lg m, \lg \lg m / \lg \lg \lg m \leq t_u \leq \lg \lg m : t_u = O(\lg_{t_q} \lg m) + t_{pred},$$

$$\text{or } t_q \leq \lg \lg \lg m, t_u \geq \lg \lg m : 2^{t_q} = O(\lg_{t_u} \lg m).$$

The most appealing point of this trade-off in the context of succinct data structures is when the query time is constant and the update time is $O(\lg^\varepsilon m)$ time for a fixed $\varepsilon > 0$.

Our Results. We start with some preliminaries in Section 2. In Section 3 we present a semi-dynamic succinct range reporting data structure that supports deletions in expected $O(\lg^\varepsilon m)$ time and queries in constant time. In Section 4 we present a fully-dynamic succinct range reporting data structure that supports updates in expected $O(\lg^\varepsilon m)$ time and queries in constant time. Our results depend on the ability to construct a static succinct one dimensional point reporting structure in $O(n \lg^\varepsilon m)$ time using $o(n)$ workspace. We defer the details of this construction to the end in Section 5 due to its technical nature.

2 Preliminaries

In this section we review some previous results that will be used in the rest of this paper.

2.1 One-Dimensional Point Reporting

First we review the data structure of Alstrup et al. [1] for static one-dimensional range reporting. We start by defining some notations. Let $x \oplus y$ denote the binary exclusive-or of x and y . Given a w -bit integer x let $x \downarrow i = x/2^i$ denote the rightmost w bits of the result of shifting x i bits to the right. Similarly let $x \uparrow i = x \cdot 2^i \bmod 2^w$ denote the rightmost w bits of the result of shifting x i bits to the left. Finally, denote by $\text{msb}(x)$ the position of the most significant bit (or leftmost one bit) of x .

Given a set of integers S the goal is to store S while supporting the query $\text{FindAny}(a, b)$ which returns an element in $S \cap [a, b]$. Denote by T the classic binary tree with 2^w leaves where all leaves have depth w . The leaves are numbered $0, \dots, 2^w - 1$ from left to right while the internal nodes are labeled in a manner similar to an implicit binary heap. The root is the first node, and the children of a node v are $2v$ and $2v + 1$. As noted in [1] the d^{th} ancestor of v is $v \downarrow d$ and the lowest common ancestor of two leaves a and b is the $(1 + \text{msb}(a \oplus b))^{\text{th}}$ ancestor of a or b . Thus the lowest common ancestor of two leaves can be computed in constant time.

Given a node $v \in T$ let $\text{left}(v)$ and $\text{right}(v)$ denote the left and right children of v , and let S_v denote the subset of S that is in the subtree rooted at v . A node v is branching if both $S_{\text{left}(v)}$ and $S_{\text{right}(v)}$ are not empty. To answer a query $\text{FindAny}(a, b)$ it is sufficient to compute the lowest common ancestor v of a and b ; when v is computed, either $\max S_{\text{left}(v)}$ or $\min S_{\text{right}(v)}$ is in $[a, b]$, or $[a, b]$ is empty. Thus by storing the values $\max S_{\text{left}(v)}$ and

$\min S_{\text{right}(v)}$ for all nodes v with non-empty S_v in $O(nw)$ words, range reporting queries can be answered in constant time.

To improve the space Alstrup et al. [1] observe the following. Let v be the nearest branching ancestor of the lowest common ancestor of a and b , and let $v_l(v_r)$ be the nearest branching node in v 's left(right) subtree if one exists, otherwise $v_l = v(v_r = v)$ if there is no branching node in v 's left(right) subtree. Then either $\max S_{\text{left}(v_l)}$, $\min S_{\text{right}(v_l)}$, $\max S_{\text{left}(v_r)}$, or $\min S_{\text{right}(v_r)}$ is in $[a, b]$, or $[a, b]$ is empty. Thus they store a $O(n)$ word data structure that consists of:

B, D : vectors of size $O(n\sqrt{w} \lg w)$ bits that return the nearest branching ancestor of the nodes in T with non empty-subtrees.

V : a vector storing for each branching node v the values $\max S_v$ and $\min S_v$, in addition to two pointers to the nearest branching nodes in the left and right subtrees of v .

For the full details we refer the reader to [1].

2.2 Tree Representation

In their paper Geary and Raman [6] present a succinct ordinal tree representation that answers level ancestor queries. In their tree representation the tree is partitioned into mini-trees of size $O(\lg^4 n)$, and then the mini-trees are partitioned into micro-trees of size $O(\lg n)$. Internally a node x is referred to by $\tau(x) = (\tau_1(x), \tau_2(x), \tau_3(x))$ where $\tau_1(x)$ is the id of x 's mini-tree, $\tau_2(x)$ is the id of x 's micro tree, and $\tau_3(x)$ is the id of x in its micro tree. If two nodes x and y are in the same micro tree μ then $\tau_1(x) = \tau_1(y) = p(\mu)$ where $p(\mu)$ is the id of the micro tree μ . Note that micro trees can intersect only at their roots, and if a node is in different micro trees (i.e. it is the root of several micro trees) it can have different τ names. That is, if a node x is a root of two different micro-trees μ_1 and μ_2 , it will have two different τ names where in the first one $\tau_2(x) = p(\mu_1)$ and in the second $\tau_2(x) = p(\mu_2)$. Both names are valid and we can select any one of them.

Geary and Raman show how to compute the preorder number of x given $\tau(x)$ in constant time using an index of size $o(n)$ bits. This index can be constructed in $O(n)$ time using a workspace of $O(n)$ words. Given a tree T partitioned using the above scheme and a node $x \in T$ we denote by $\text{root}(x)$ the root of the mini-tree that x belongs to.

2.3 Sparse Arrays

We will use the following Theorem from [12]:

► **Theorem 1** ([12]). *There is an $(m, n, O(n))$ -family of perfect hash functions \mathcal{H} such that any hash function $h \in \mathcal{H}$ can be represented in $\Theta(n \lg \lg n)$ bits and evaluated in constant time for $m \leq 2^w$. The perfect hash function can be constructed in expected $O(n)$ time.*

As noted in [1] a corollary of the previous theorem is the following.

► **Corollary 2.** *A sparse array of size $m \geq n$ with n initialized entries that contain $b = \Omega(\lg \lg n)$ bits each can be stored using $O(nb)$ bits, so that any initialized entry can be accessed in $O(1)$ time. The expected preprocessing time of this data structure is $O(n)$.*

3 Semi-Dynamic Succinct One-Dimensional Point Reporting

Although Goswami et al. [7] presented a succinct data structure for one-dimensional range reporting, it is not clear what is the construction time of their data structure. In Section 5 we utilize succinct data structure techniques to improve the data structure in [1] so that it

uses $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits and can be constructed in $O(n \lg^\epsilon m)$ time using $o(n)$ extra bits of space. The details are deferred to Section 5 due to their technical nature.

► **Theorem 3.** *There exists a succinct $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ -bit data structure that supports one-dimensional range reporting queries in $O(k + 1)$ time where k is the number of points within the query. Additionally given the point set in sorted order, this data structure can be constructed in expected $O(n \lg^\epsilon m)$ time using $o(n)$ -bits workspace.*

The data structure for one-dimensional range reporting can be dynamized so that queries are supported in deterministic $O(k)$ time and updates in expected $O(\lg^\epsilon m)$ time while the space usage is $O(n)$ words [14]. Our aim is to reduce the space to the information theoretic lower bound plus a lower order term. In this section we present a semi-dynamic succinct one-dimensional range reporting data structure that supports queries and deletions but does not support insertions.

Data Structure. We store the data structure from Theorem 3 and call it P . We divide the points into blocks of size $\lg^2 m$ and we store predecessor and successor data structures that can answer queries in each block independently using $o(\mathcal{B}(n, m))$ bits as described in [4]. We also store a dynamic data structure [14] D on the endpoints of each block. Furthermore, each block is divided into subblocks of size $\lg n/2$ and stores a dynamic data structure [14] D_i ($1 \leq i \leq n/\lg^2 m$) on the ranks (within the block) of the endpoints of each subblock. We also store a compressed bit vector ([8], Theorem 2) B of size n that indicates which points were deleted. Finally, we store a lookup table T that can report for any range the 0 bits in a bit vector of size $\lg n/2$.

Query. To report the points within an interval $[a, b]$ we query D on the interval. Then for each point reported with rank k we query the $(\lfloor k/2 \rfloor)^{\text{th}}$ and $(\lfloor k/2 \rfloor + 1)^{\text{st}}$ blocks.

To query the k^{th} block we first reduce the problem to the rank space by finding the rank of the successor of a and the predecessor of b within the block. Next, we query D_k for the non-empty subblocks within the block and use T to report the points in the subblock.

If the query to D does not return any point then either $[a, b]$ is empty or $[a, b]$ is contained fully within a block. To determine which block contains $[a, b]$ we query P to get the rank of a random point in $[a, b]$ from that we determine which block contains $[a, b]$. Afterwards we proceed within the block as described above.

Deletions. To delete a point p we first query to check that the interval $[p, p]$ is not empty. We obtain the rank k of p by querying P , and then we set the k^{th} bit in T to 1. Now we know that the point p is in the $s = (2(k \bmod \lg^2 m) / \lg n)^{\text{th}}$ subblock of the $b = (k / \lg^2 m)^{\text{th}}$ block. We check if the s^{th} subblock is empty. If that is so we remove its endpoints from $D_{(k/\lg^2 m)}$. Then we check if the b^{th} block is empty. In that case we remove its endpoints from D . The expected running time is $O(\lg^\epsilon m)$.

Space Analysis. P uses $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits and D contains $O(n/\lg^2 m)$ points thus uses $O(n/\lg m)$ bits. Each D_i ($1 \leq i \leq n/\lg^2 m$) contains $O(\lg^2 m/\lg n)$ points from a universe of size $\lg^2 m$ thus uses $O(\lg^2 m \lg \lg m / \lg n)$ bits. The D_i structures use $O(n \lg \lg m / \lg n)$ bits in total. If $\lg \lg m \notin o(\lg n)$ then $n < \lg^c m$ for some constant c . In that case we use a slightly different approach. We reduce the problem to the rank space from the beginning to make the universe size n , so D uses $O(n/\lg n)$ bits and the D_i structures use $O(n \lg \lg n / \lg n)$ bits in total. The table T uses $O(\sqrt{n} \lg^3 n \lg \lg n)$ bits and finally the compressed bit vector uses $o(n)$ as long as the number of deletions is $o(n)$. In total the space remains $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits.

Construction Time and Workspace. P can be constructed in expected $O(n \lg^\varepsilon m)$ time using $o(n)$ extra bits of space. D can be constructed in expected $O(n/\lg^{2-\varepsilon} m)$ time using $O(1)$ extra words of space. Each D_i can be constructed in expected $O((\lg^2 m/\lg n) \lg^\varepsilon \lg m)$ time using $O(1)$ extra words of space, so all the D_i 's can be constructed in expected $O((n/\lg n) \lg^\varepsilon \lg m)$ time using $O(1)$ extra words of space. T can be constructed in $o(n)$ time using $o(n)$ extra bits of space. In total the construction time and workspace are dominated by the cost of constructing P and remain the same as in Theorem 3.

► **Theorem 4.** *There exists a semi-dynamic succinct $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ -bit data structure that supports one-dimensional range reporting queries in $O(k+1)$ time where k is the number of points within the query, and point deletions in expected $O(\lg^\varepsilon m)$ time as long as the number of deletions is $o(n)$. Additionally given the point set in sorted order, this data structure can be constructed in expected $O(n \lg^\varepsilon m)$ time using $o(n)$ -bits workspace.*

4 Fully-Dynamic Succinct One-Dimensional Point Reporting

4.1 Fully-Dynamic Structure with Amortized Updates

We first present a fully dynamic solution that uses $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits of space and supports queries in $O(k)$ time and updates in amortized expected $O(\lg^\varepsilon m)$ time.

We divide the universe of size m into $n/\lg^2 m$ chunks of equal size and maintain a fully dynamic [14] data structure B to keep track of the nonempty chunks. B is maintained throughout the data structure updates. Whenever a point is inserted we insert both endpoints of its chunk into B . Moreover whenever a chunk becomes empty we remove its endpoints from B . For each chunk b_i ($1 \leq i \leq n/\lg^2 m$) we maintain two data structures: S_i and D_i . S_i is the compressed semi-dynamic range reporting structure described in Theorem 4 and D_i is the fully dynamic data structure described in [14]. We maintain the invariant that $\text{size}(D_i) < \text{size}(S_i)/\lg^\varepsilon n$ for all i where $n = \sum_i \text{size}(S_i)$. Once $\text{size}(D_i) = \text{size}(S_i)/\lg^\varepsilon n$ we rebuild S_i and merge D_i with it. The time needed to rebuild S_i will be $O(\text{size}(S_i) \lg^\varepsilon m)$ which we can charge to the elements inserted into D_i at a cost of $O(\lg^{2\varepsilon} m)$ per element. Moreover if the total number of elements increase by a constant factor or if $n/\lg^\varepsilon n$ elements were deleted from the collections S_i we rebuild the whole data structure. The time needed to rebuild the whole structure is $O(n \lg^\varepsilon m)$ and will be charged to the new elements inserted if the size doubles at a cost of $O(\lg^\varepsilon m)$ per element, or to the elements deleted at a cost of $O(\lg^{2\varepsilon} m)$ per element.

To report all the points within an interval $[a, b]$ we query B to get the non-empty chunks. Whenever a non-empty chunk i is reported we query both S_i and D_i . If $[a, b]$ is completely within one chunk we get its index $i = \lfloor b \lg^2 m/n \rfloor$, and then we query S_i and D_i .

The space used by B is at most $O(n/\lg m)$ bits. and the space used by all the D_i structures is:

$$\begin{aligned} O(n \lg(m \lg^2 m/n)/\lg^\varepsilon n) &= O((n \lg(m/n)/\lg^\varepsilon n) + (n \lg \lg n/\lg^\varepsilon n)) \\ &= o(\mathcal{B}(n, m)). \end{aligned}$$

The space used by all the structures S_i is $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits. In total the space used is $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits.

► **Theorem 5.** *There exist a dynamic succinct $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ -bit data structure that supports one-dimensional range reporting queries in $O(k+1)$ time where k is the number of points within the query, and updates in amortized expected $O(\lg^\varepsilon m)$ time.*

4.2 Fully-Dynamic Structure with Worst Case Updates

Next, we present a fully-dynamic succinct one-Dimensional range reporting structure that supports queries in $O(k)$ time and insertions and deletions in expected $O(\lg^\varepsilon m)$ time. Our data structure uses techniques similar to the ones presented in [9, 15, 16].

Data Structure. We define a parameter $n_f = \Theta(n)$; the value of n_f changes as n becomes too large or too small. We divide m into $(n_f/\lg^2 n_f)$ chunks each of size $((m \lg^2 n_f)/n_f)$ and we store a dynamic range reporting structure B with a universe of size $2(n_f/\lg^2 n_f)$ on the endpoints of the non-empty chunks. For each chunk b where $1 \leq b \leq (n_f/\lg^2 n_f)$ we store the following:

k_f^b an estimate of k the number of points in the chunk. $k_f^b = \Theta(k)$, the value of k_f^b changes as k becomes too large or too small.

Data Structures $\mathcal{C}_1^b, \dots, \mathcal{C}_{\lg^\varepsilon n_f}^b$. These structures are the succinct semi-dynamic structures described in the previous section. They partition the chunk into sub-chunks of possibly different sizes, each containing $\Theta(k_f^b/\lg^\varepsilon n_f)$ points.

Data Structures $\mathcal{D}_1^b, \dots, \mathcal{D}_{\lg^\varepsilon n_f}^b$. These structures are the fully dynamic structures described in [14].

\mathcal{F}^b a fusion tree on the endpoints of the \mathcal{C}_i^b data structures.

Queries are answered in a manner similar to the previous subsection. To report all the points within an interval $[a, b]$ we query B to get the non-empty chunks. Whenever a non-empty chunk (say the b^{th} chunk) is reported we query \mathcal{F}^b to get the sub-chunks it spans. For each sub-chunk (say the s^{th} sub-chunk) we query both \mathcal{C}_s^b and \mathcal{D}_s^b .

Insertions. To insert the new point p we compute the chunk $b = \lfloor (p \lg^2 n_f)/n_f \rfloor$ that p belongs to. If the b^{th} chunk is empty we insert its endpoints into B . Next, we check if any structure in the \mathcal{C}^b collection is being rebuilt. In that case we spend $\Theta(\lg^{3\varepsilon} n_f)$ time rebuilding it. Then we determine the s^{th} sub-chunk that p belongs to using \mathcal{F}^b . Finally, we insert p into \mathcal{D}_s^b .

In each chunk we run the following background process. After each series of $\delta = k_f^b/(\lg^{2\varepsilon} n_f \lg \lg n_f)$ insertions we identify the s^{th} sub-chunk with the largest number of inserted points and rebuild \mathcal{C}_s^b during the next δ updates in that chunk. The re-building works as follows. We construct a semi-dynamic data structure $\bar{\mathcal{C}}_s^b = \mathcal{C}_s^b \cup \mathcal{D}_s^b$. If a point is inserted into this sub-chunk, we store it in the additional data structure $\bar{\mathcal{D}}_s^b$. When $\bar{\mathcal{C}}_s^b$ is completed we set $\mathcal{C}_s^b := \bar{\mathcal{C}}_s^b$ and $\mathcal{D}_s^b := \bar{\mathcal{D}}_s^b$. Thus at any time only one sub-chunk of a chunk is re-built. This method guarantees that the number of inserted elements into \mathcal{D}^b does not exceed $k_f^b/\lg^\varepsilon n$ as follows from a Theorem of Dietz and Sleator:

► **Lemma 6** ([3], Theorem 5). *Suppose that x_1, \dots, x_g are variables that are initially zero. Suppose that the following two steps are iterated:*

- (i) *we add a non-negative real value a_i to each x_i such that $\sum a_i = 1$*
- (ii) *set the largest x_i to 0.*

Then at any time $x_i \leq 1 + h_{g-1}$ for all i , $1 \leq i \leq g$, where h_i denotes the i -th harmonic number.

Let m_s be the number of inserted elements into \mathcal{D}_s^b and $x_s = m_s/\delta$. Every iteration of the background process sets the largest x_s to 0 and during each iteration $\sum x_s$ increases by 1. Hence the value of x_s can be bounded from above by: $x_s \leq 1 + h_{\lg^\varepsilon n_f}$ for all s at all times.

Thus $m_s = O((k_f^b / \lg^{2\varepsilon} n_f \lg \lg n_f) \lg \lg n_f) = O(k_f^b / \lg^{2\varepsilon} n_f)$ for all i because $h_i = O(\lg i)$, and the total size of the \mathcal{D}^b collection is $O((k_f^b / \lg^{2\varepsilon} n_f) \lg^\varepsilon n_f) = O(k_f^b / \lg^\varepsilon n_f)$.

Once the value of k_f^b becomes too big or too small we rebuild the whole chunk during the next $k_f^b / \lg^{3\varepsilon} n_f$ updates (spending $O(\lg^{4\varepsilon} n_f)$ time per update). The old chunk is locked such that only deletions are allowed. We rebuild the chunk with an updated value of k_f^b and as points are inserted into the new chunk we delete them from the old one to preserve space. If the size of the sub-chunk becomes too big we split it into two and update \mathcal{F}^b accordingly.

Deletions. Deletions are similar to insertions. To delete a point p we compute the chunk $b = \lfloor (p \lg^2 n_f) / n_f \rfloor$ that p belongs to. Then we check if any structure in the \mathcal{C}^b collection is being rebuilt. In that case we spend $\Theta(\lg^{3\varepsilon} n_f)$ time rebuilding it. Next, we determine the sub-chunk s that p belongs to using \mathcal{F}^b . Finally, we delete p from \mathcal{C}_s^b and \mathcal{D}_s^b .

In each chunk we run a background process similar to the process run for insertions. After each series of δ deletions, we identify the s^{th} sub-chunk with the largest number of deletions and rebuild \mathcal{C}_s^b during the next δ updates in that chunk. This method guarantees that the number of deleted elements in the \mathcal{C}^b collection does not exceed $k_f^b / \lg^\varepsilon n$. If the size of a sub-chunk becomes too small we merge it with the neighboring sub-chunk and update \mathcal{F}^b accordingly. Moreover if a chunk becomes empty we delete its endpoints from B .

Space Analysis. The space used by B is $O(n / \lg n)$. The space used by all the \mathcal{C}_i structures in all chunks is $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits. The total size of all the \mathcal{D} structures is $O(n_f / \lg^\varepsilon n_f)$ so they use at most:

$$\begin{aligned} O(n \lg(m \lg^2 n / n) / \lg^\varepsilon n) &= O((n \lg(m/n) / \lg^\varepsilon n) + (n \lg \lg n / \lg^\varepsilon n)) \\ &= o(\mathcal{B}(n, m)). \end{aligned}$$

The space used by the fusion trees in all chunks is:

$$\begin{aligned} O(n \lg^\varepsilon n \lg(m \lg^2 n / n) / \lg^2 n) &= O((n \lg(m/n) / \lg^{2-\varepsilon} n) + (n \lg \lg n / \lg^{2-\varepsilon} n)) \\ &= o(\mathcal{B}(n, m)). \end{aligned}$$

Thus the total space is $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits.

Once the value of n_f becomes too big or too small, we rebuild the whole data structure in the background during the next $n_f / \lg^{3\varepsilon} n_f$ updates (spending $O(\lg^{4\varepsilon} n_f)$ time per update). We replace the chunks from left to right. The chunk being replaced is locked such that only deletions are allowed. We rebuild that chunk with an updated value and as points are inserted into the new chunk we delete them from the old one to preserve space.

► **Theorem 7.** *There exist a dynamic succinct $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ -bit data structure that supports one-dimensional range reporting queries in $O(k + 1)$ time where k is the number of points within the query, and updates in expected $O(\lg^\varepsilon m)$ time.*

5 Succinct Static One-Dimensional Point Reporting With Fast Construction Time

In this section we prove Theorem 3. Denote by T the classic binary tree with 2^w leaves where all leaves have depth w as described in subsection 2.1. Let P be the set of nodes in T with non-empty subtrees and V the set of branching nodes in T union the leaves of T and its root. Let T_V be the tree formed from T by deleting all vertices in $T - P$ then contracting

all vertices in $P - V$. Given a node $x \in T_V$ denote by $T(x)$ its corresponding node in T , conversely, given a node $x \in V$ denote by $T_V(x)$ its corresponding node in T_V . We fix a constant $\varepsilon = 1/k$, and let $H_i = \lg^{(k-i)/k} m$ where $1 \leq i < k$. Finally, given a node u in T we define $\pi_i(u)$ to be the nearest ancestor of u whose depth is a multiple of H_i .

Data Structure. We store the coordinates of the points in $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits. Also we store T_V using $4n + o(n)$ bits using the tree representation of Navarro and Sadakane [18] which allows the following operations in constant time:

lmost-leaf(i) / rmost-leaf(i): given the preorder number of a node return the preorder number of the leftmost(rightmost) leaf of node i .

leaf-rank(i): given the preorder number of a leaf i returns the number of leafs to the left of i . In addition we store in $o(n)$ bits the index described in [6] that enables conversion between τ -names of the nodes in T_V and their preorder numbers.

To maintain the mapping between the labels of the branching nodes in T with their preorder numbers in T_V we store the following tables using Corollary 2:

M_1 : for each node $x \in V$ with root $(T_V(x)) = T_V(x)$ we store the value $\tau_1(T_V(x))$ in a table M_1 . Since T_V is a binary tree, it is possible that $T_V(x)$ belongs to two different micro trees μ_0 and μ_1 . In that case we store both $p(M_0)$ and $p(M_1)$.

M_2 : for each node $x \in V$ we store in a table M_2 the values $\tau_2(T_V(x))$, $\tau_3(T_V(x))$, and a bit that indicates to which micro tree does $T_V(x)$ belongs to if root $(T_V(x))$ belongs to two different micro trees.

M_3 : for each node $x \in V$ we store the distance from x to $T(\text{root}(T_V(x)))$ in a table M_3 .

Finally, given a node in P we need to compute its nearest branching ancestor. To achieve this we use the same technique as in [1] but with bootstrapping. We store $k - 1$ tables $D_1, \dots, D_{(k-1)}$ using Corollary 2. D_1 contains the distances to the nearest branching ancestor for all nodes u in P satisfying $\pi_1(u) = u$. D_i ($2 \leq i < k - 1$) contains the distances to the nearest branching ancestor for all nodes u in P satisfying the conditions $\pi_{(i-1)}(u)$ is closer to u than the nearest branching ancestor of u and $\pi_i(u) = u$. Finally, $D_{(k-1)}$ contains the distances to the nearest branching ancestor for all nodes u in P satisfying the conditions: $\pi_{(k-2)}(u)$ is closer to u than the nearest branching ancestor of u and $\pi_{(k-1)}(u) = u$, or $\pi_{(k-1)}(u)$ and $\pi_{(k-2)}(u)$ are closer to u than the nearest branching ancestor of u . More formally we define:

B_1 : $B_1(z) = 1$ if $\pi_1(z) = z$ and $\exists u \in V$ such that $\pi_1(u) = z$, otherwise $B_1(z) = 0$.

B_i ($1 < i < k$): $B_i(z) = 1$ if $B_{(i-1)}(\pi_{(i-1)}(z)) = 1$, $\pi_i(z) = z$, and $\exists u \in V$ such that $\pi_i(u) = z$, otherwise $B_i(z) = 0$

and store the following tables using Corollary 2:

D_1 : which contain the distance to the nearest branching ancestor for all nodes u in P satisfying $\pi_1(u) = u$.

D_i ($2 \leq i < k - 1$): which contain the distance to the nearest branching ancestor for all nodes u in P satisfying: $B_{(i-1)}(\pi_{(i-1)}(u)) = 1$ and $\pi_i(u) = u$.

$D_{(k-1)}$: which contain the distance to the nearest branching ancestor for all nodes u in P satisfying: $B_{(k-2)}(\pi_{(k-2)}(u)) = 1$ and $(\pi_{(k-1)}(u) = u$ or $B_{(k-1)}(\pi_{(k-1)}(u)) = 1$).

Query. Given a query $\text{FindAny}(a, b)$ we first find the nearest common ancestor p of a and b . Then we get $k - 1$ candidate nearest branching ancestor $v_1, \dots, v_{(k-1)}$ of p using $D_1, \dots, D_{(k-1)}$. Afterwards for each v_i we need to compute the preorder number of v_i in T_V . To achieve this goal we get $\tau_2(T_V(v_i))$, $\tau_3(T_V(v_i))$, and the bit b indicating which micro tree v_i belongs to from M_2 . Next, we compute $u_i = T(\text{root}(T_V(v_i)))$ after obtaining its distance

from v_i using M_3 . Afterwards we query M_1 for $\tau_1(T_V(u_i)) = p(\mu_b)$. After obtaining the τ -name of $T_V(v_i)$ we get its preorder number, and then we check the ranks of the leftmost and rightmost leaves of v_i 's left and right child. If one of them is within $[a, b]$ we return its value. If for all v_i no element was found within $[a, b]$ we return that $S \cap [a, b]$ is empty.

Space Analysis. Storing the points coordinates uses $\mathcal{B}(n, m)$ bits. The tree T_V uses $4n + o(n)$ bits. The tables M_2, M_3 contain $O(n)$ entries each of size $O(\lg \lg m)$ so they use $O(n \lg \lg m)$ bits. The table M_1 contains $O(n/\lg n)$ entries each of size $O(\lg n)$ so it uses $O(n)$ bits. The table D_1 contains $O(n \lg m / \lg^{(k-1)/k} m) = O(n \lg^\varepsilon m)$ entries of size $O(\lg \lg m)$ bits each so it uses $O(n \lg^\varepsilon m \lg \lg m)$ bits. Moreover each table D_i ($1 < i < k-1$) contains $O(n(H_{(i-1)}/H_i)) = O(n \lg^\varepsilon m)$ entries each of size $O(\lg \lg m)$ bits so they use a total of $O(n \lg^\varepsilon m \lg \lg m)$ bits. Finally, we need to bound the size of D_{k-1} . The number of entries due to $\pi_{k-1}(u) = u$ is $O(n(H_{(k-1)}/H_k)) = O(n \lg^\varepsilon m)$. To bound the entries due to $B_{k-1}(\pi_{k-1}(u)) = 1$ notice that the subtree T_z of height $H_{(k-1)}$ rooted at $z = \pi_{k-1}(u)$ will contain $s > 1$ entries, and will have at most $s + 1 < 2s$ leaves that are nodes in P . Thus it will contribute at most $(2H_{(k-1)}s)$ entries. Since there are at most $n - 1$ branching nodes the total number of entries due to $B_{(k-1)}(\pi_{k-1}(u)) = 1$ is $2H_{(k-1)}n = O(n \lg^\varepsilon m)$. D_{k-1} uses $O(n \lg^\varepsilon m \lg \lg m)$ bits because each entry in $D_{(k-1)}$ is of size $O(\lg \lg m)$ bits. In total the space used is $\mathcal{B}(n, m) + O(n) + O(n \lg^\varepsilon m \lg \lg m)$ bits.

Construction Time. In a manner similar to [1] we can identify V in $O(n)$ time, and then construct T_V also in $O(n)$ time. The tables $M_1, M_2,$ and M_3 can be constructed in expected $O(n(\lg \lg m))$ time. Finally, the tables B_i where $1 \leq i < k$ can be constructed in expected $O(n \lg^\varepsilon m)$ time by identifying the $O(n \lg^\varepsilon m)$ entries and building the tables. The workspace is $O(n)$ words.

Reducing Space. To further reduce the space we use a well known trick and split the universe $[m]$ into n ranges r_1, \dots, r_n each of size m/n . We construct a bit vector B of size $2n$ bits with rank and select queries. B stores a zero for each range r_i followed by n_i ones where n_i is the number of points in the range r_i . To count the number of points before a range r_i we use a select query to get the position of the i^{th} zero in B , and then use a rank query to count the number of ones before that position. We store a separate data structure for each range. To locate the data structures for any range r_i within A we count the number of points in the ranges r_j for $j < i$, and then scale that number. Given a query $\text{FindAny}(a, b)$ we check if $[a, b]$ spans a non-empty range as follows. We use a rank query to get the number of ones k before the $\lfloor (an/m) \rfloor$ zero. Then we check if the $(k+1)^{\text{th}}$ element is within $[a, b]$ and return it in that case. Otherwise we query the data structure corresponding to the $(\lceil (an/m) \rceil)^{\text{th}}$ range. The total space used is $\mathcal{B}(n, m) + O(n) + O(n(\lg(m/n))^\varepsilon \lg \lg(m/n)) = \mathcal{B}(n, m) + o(\mathcal{B}(n, m)) + O(n)$ bits.

If $O(n)$ is not a lower order term then $n > m/c$ for some constant c . In that case we adopt a different approach and store the points in a compressed bit vector of size m . To answer a query $\text{FindAny}(a, b)$ we use a rank query to get the number of ones k before position a , and then we use a select query to get the position of the $(k+1)^{\text{th}}$ one. If that position is within $[a, b]$ we return it otherwise $S \cap [a, b]$ is empty. The space used is now $\mathcal{B}(n, m) + o(\mathcal{B}(n, m))$ bits.

Reducing Construction Workspace. To further improve the construction workspace we divide n into $\lg^2 m$ ranges each containing $n/\lg^2 m$ points and build a separate data structure for each of them. We note that the universe size in each range may vary. Additionally we

store a fusion tree F on the endpoints of each range. Given a query $\text{FindAny}(a, b)$, we check if the successor of a in F is within $[a, b]$ and return it in that case. Otherwise we query the range containing the successor of a .

References

- 1 Stephen Alstrup, Gerth Brodal, and Theis Rauhe. Optimal static range reporting in one dimension. In *Proceedings of the thirty-third annual ACM symposium on Theory of computing*, pages 476–482. ACM, 2001.
- 2 Prosenjit Bose, Eric Y. Chen, Meng He, Anil Maheshwari, and Pat Morin. Succinct geometric indexes supporting point location queries. In *Proceedings of the 20th Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2009*, pages 635–644, 2009.
- 3 Paul Dietz and Daniel Sleator. Two algorithms for maintaining order in a list. In *Proceedings of the nineteenth annual ACM symposium on Theory of computing*, pages 365–372. ACM, 1987.
- 4 Hicham El-Zein, J. Ian Munro, and Yakov Nekrich. Succinct color searching in one dimension. In *28th International Symposium on Algorithms and Computation, ISAAC 2017, December 9-12, 2017, Phuket, Thailand*, pages 30:1–30:11, 2017.
- 5 Arash Farzan, J. Ian Munro, and Rajeev Raman. Succinct indices for range queries with applications to orthogonal range maxima. In *Proceedings of the 39th International Colloquium on Automata, Languages, and Programming, Part I*, pages 327–338, 2012.
- 6 Richard F Geary, Rajeev Raman, and Venkatesh Raman. Succinct ordinal trees with level-ancestor queries. *ACM Transactions on Algorithms (TALG)*, 2(4):510–534, 2006.
- 7 Mayank Goswami, Allan Grønlund Jørgensen, Kasper Green Larsen, and Rasmus Pagh. Approximate range emptiness in constant time and optimal space. In *Proceedings of the 26th Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2015, San Diego, CA, USA, January 4-6, 2015*, pages 769–775, 2015.
- 8 Roberto Grossi, Rajeev Raman, Satti Srinivasa Rao, and Rossano Venturini. Dynamic compressed strings with random access. In *International Colloquium on Automata, Languages, and Programming*, pages 504–515. Springer, 2013.
- 9 Ankur Gupta, Wing-Kai Hon, Rahul Shah, and Jeffrey Scott Vitter. A framework for dynamizing succinct data structures. In *International Colloquium on Automata, Languages, and Programming*, pages 521–532. Springer, 2007.
- 10 Meng He. Succinct and implicit data structures for computational geometry. In *Space-Efficient Data Structures, Streams, and Algorithms - Papers in Honor of J. Ian Munro on the Occasion of His 66th Birthday*, pages 216–235, 2013.
- 11 J Ian Munro and S Srinivasa Rao. Succinct representation of data structures. In *Handbook of Data Structures and Applications*, chapter 37. Chapman and Hall/CRC, 2004.
- 12 Christiaan TM Jacobs and Peter Van Emde Boas. Two results on tables. *Information Processing Letters*, 22(1):43–48, 1986.
- 13 Peter Bro Miltersen, Noam Nisan, Shmuel Safra, and Avi Wigderson. On data structures and asymmetric communication complexity. In *Proceedings of the twenty-seventh annual ACM symposium on Theory of computing*, pages 103–111. ACM, 1995.
- 14 Christian Worm Mortensen, Rasmus Pagh, and Mihai Patrascu. On dynamic range reporting in one dimension. In *Proceedings of the thirty-seventh annual ACM symposium on Theory of computing*, pages 104–111. ACM, 2005.
- 15 Ian Munro, Yakov Nekrich, and Jeffrey Scott Vitter. Dynamic data structures for document collections and graphs. In *Proceedings of the 34th ACM SIGMOD-SIGACT-SIGAI Symposium on Principles of Database Systems*, pages 277–289. ACM, 2015.
- 16 J Ian Munro and Yakov Nekrich. Compressed data structures for dynamic sequences. In *Algorithms-ESA 2015*, pages 891–902. Springer, 2015.

- 17 Gonzalo Navarro. *Compact data structures: A practical approach*. Cambridge University Press, 2016.
- 18 Gonzalo Navarro and Kunihiro Sadakane. Fully functional static and dynamic succinct trees. *ACM Transactions on Algorithms (TALG)*, 10(3):16, 2014.