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## Influence of bottleneck lengths and position on simulated pedestrian egress

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In this paper, the problem of pedestrian egress under different geometries is studied by means of two numerical models. The length of the bottleneck after the exit and the distance of the exit to the lateral wall of a squared room are investigated. Both models show that an increase in the bottleneck length increases the evacuation time by more than 20%, for any exit position. Hence, a bottleneck length tending to zero is the best choice. On the contrary, the results of moving the exit closer to the lateral wall are different in both models and, thus, its convenience cannot be stated. To unveil whether this layout modification is favorable, experimental data are required. Moreover, the discrepancy between models indicates that they should be validated considering several scenarios.

### I. Introduction

The characterization of pedestrian flow through doors and bottlenecks is a key feature for designing and dimensioning pedestrian facilities. These observables were extensively studied with field observations, experiments and simulations. However, a systematic study of the influence of bottleneck length and position is missing. Besides, the terms “door” and “bottleneck” are used sometimes as synonyms and should be better specified.

As a starting point, we will define a bottleneck. Considering the flow of pedestrians with a preferred direction of motion (for instance, in an open space,

a room or a corridor), we will call “bottleneck” to any geometrical reduction that impedes the pedestrians’ flow and that it is orientated in the perpendicular direction of this flow, as shown in Fig.1.

The geometry of a simple bottleneck can be characterized by its width ( $b$ ) and its length ( $L_B$ ). From this definition, it is natural to see that a door (or an opening) is a particular case of a bottleneck whose length tends to zero ( $L_B \rightarrow 0$ ).

The pedestrian flow rate,  $J$ , is defined as the number of persons  $\Delta N$  going out during a certain time lapse  $\Delta t$ . For the egress from a facility where  $N$  pedestrians are initially inside, the time lapse considered could be the evacuation time ( $T_E$ ). A derived quantity, the specific flow rate  $J_s$ , is defined as the flow per unit length of the perpendicular cross section of the way out,  $J_s = J/b = \frac{\Delta N}{b \cdot \Delta t}$ .

Legal codes and engineering tables provide values for the specific flow rate, usually ranging between  $J_s = 1.1 \text{ (m} \cdot \text{s)}^{-1}$  and  $J_s = 1.8 \text{ (m} \cdot \text{s)}^{-1}$  (see, for instance, [1–5]). Usually, these specifications only consider the width of the exit, but do not specify  $L_B$ , which is assumed to be as small as possible.

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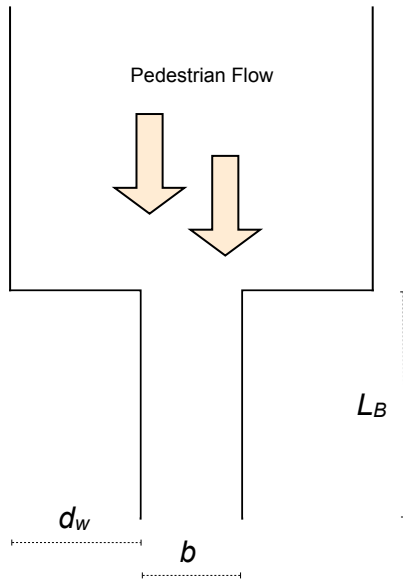


Figure 1: Schematic representation of a bottleneck for a pedestrian flow. The main geometrical quantities are shown: the bottleneck length ( $L_B$ ); the bottleneck narrow width ( $b$ ); and the distance to the nearest lateral wall ( $d_w$ ).

In contrast, some experimental papers specify the value of the bottleneck length for which the flow rate is obtained. Hoogendoorn and Daamen [6] studied the influence of the bottleneck width ( $b$ ) on the pedestrian flow and found values ranging from  $J_s = 0.8 \text{ (m} \cdot \text{s)}^{-1}$  for  $b = 1 \text{ m}$  to  $J_s = 1.4 \text{ (m} \cdot \text{s)}^{-1}$  for  $b = 2.25 \text{ m}$ . In all cases, the bottleneck length was kept constant at some value  $L_B \geq 5 \text{ m}$ .

Kretz *et al.* [7] reported specific flows ranging between  $1.5$  and  $2.2 \text{ (m} \cdot \text{s)}^{-1}$  for  $N = 100$  persons exiting through doors of widths between  $0.40$  and  $1.40 \text{ m}$ , keeping the value of the bottleneck length at  $L_B = 0.4 \text{ m}$ .

Seyfried and collaborators [8] found  $J_s = 1.6 \text{ (m} \cdot \text{s)}^{-1}$  for  $b = 0.8 \text{ m}$  and  $J_s = 1.97 \text{ (m} \cdot \text{s)}^{-1}$  for  $b = 1.2 \text{ m}$ , both of them for  $N = 60$  pedestrians with  $L_B = 2.8 \text{ m}$ .

In the work of Liao *et al.* [9], the flow for different bottleneck widths ( $b$ ) was also studied. They remarked that the flow rate grows linearly with  $b$  (thus,  $J_s$  is constant). In that paper, the specific

flow  $J_s = 2.3 \text{ (m} \cdot \text{s)}^{-1}$  was computed considering the total number of pedestrians and the total evacuation time. Alternatively, the steady-state specific flow was calculated only during an intermediate period of time in which the flow was nearly steady, finding  $J_s = 2.5 \text{ (m} \cdot \text{s)}^{-1}$ . Furthermore, the bottleneck length was kept constant at  $L_B = 1 \text{ m}$ .

In the above-referenced papers, the experiments were done by varying the width  $b$  and reporting the length ( $L_B$ ), which was kept constant. However, there are few papers where the influence of the bottleneck length is studied.

Liao *et al.* [10] presented experimental results for  $L_B = 0.1; 1.0; \text{ and } 4.0 \text{ m}$ , obtaining  $J_s = 2.0; 2.5; \text{ and } 1.8 \text{ (m} \cdot \text{s)}^{-1}$ , respectively, and for steady-state conditions, *i.e.*, measured only for the stationary part of the evacuation.

The first experiments that considered different bottleneck lengths keeping the other parameters constant were the ones presented in Refs. [11] and [12]. Here, the results of three evacuation drills with  $N = 180$  soldiers,  $b = 1.2 \text{ m}$  and  $L_B = 0.06; 2.00; 4.00 \text{ m}$  were reported. The corresponding values of the pedestrian flow rate  $J$  were  $J = 3.05; 2.54; 2.56 \text{ s}^{-1}$ . The flow rate, in this case, was calculated from the pedestrian 1 to 120 (non-stationary state). From these data, it can be seen that a small bottleneck length leads to an improvement of about 20% with respect to large bottlenecks and that, moreover, it seems to be the same for  $L_B = 2 \text{ m}$  and  $L_B = 4 \text{ m}$ .

The improvement of the flow for a short bottleneck length is expected because doors do not impose any restriction on the movement once the door is passed, generating a reduction of the density and thus gaining speed. On the other hand, bottlenecks reduce the available space, increasing the density and reducing the velocity, which could result in some limitations to the motion upstream the constriction.

In order to confirm these results and get a deeper understanding of how  $L_B$  influences the evacuation time, in the present paper we propose studying this variation systematically by means of numerical simulations. Moreover, we point out that another geometrical parameter can influence the evacuation time, that is, the distance of the bottleneck from its closest wall ( $d_w$ ), as shown in Fig. 1.

In this regard, we can refer to the work of Nagai *et al.* [13] who performed evacuation drills in a con-

figuration where the door was located in the corner of a classroom. In this experiment, the boundaries were built with tables and thus, the shoulders of the people were above these boundaries (walls and bottleneck). However, this study does not compare the evacuation performance for different positions of the door.

As first empirical evidence, preliminary experiments of mice egress under stressed conditions suggest that proximity of the door to the wall improves the evacuation process [14].

Moreover, two papers have compared the pedestrian flow at two different positions of the door: at the center and at the corner of the room, by means of simulations with cellular automata (C.A.) models, in particular the floor field model [15,16]. They found that the exits at the corner produce a larger flow than the ones at the center because, in this C.A. model, conflicts are reduced.

On the contrary, Wu *et al.* [17] presented results of evacuations that were simulated with a C.A.: model based on game theory by using preferential direction, and found that the best location for the door is far from the walls.

Considering that how the door position influences the evacuation time is an open question, we are also going to study the evacuation performance as a function of the distance between the bottleneck and the wall ( $d_w$ ).

## II. Models of pedestrian dynamics

### i. Social force model with a respect area

The physical model implemented is the one described in Ref. [18], which is a modification of the social force model (SFM) [19]. This modification allows a better approximation to the fundamental diagram of Ref. [1], commonly used in the design of pedestrian facilities.

The SFM is a continuous-space and force-based model that describes the dynamics considering the forces exerted over each particle ( $p_i$ ). The Newton equation for each particle reads

$$m_i \mathbf{a}_i = \mathbf{F}_{Di} + \mathbf{F}_{Si} + \mathbf{F}_{Ci}, \quad (1)$$

where  $\mathbf{a}_i$  is the acceleration of particle  $p_i$ . The equations are solved using standard molecular dynamics techniques. The three forces are: ‘Driving Force’ ( $\mathbf{F}_{Di}$ ), ‘Social Force’ ( $\mathbf{F}_{Si}$ ) and ‘Contact

Force’ ( $\mathbf{F}_{Ci}$ ). The corresponding expressions are as follows:

$$\mathbf{F}_{Di} = m_i \frac{(v_{di} \mathbf{e}_i - \mathbf{v}_i)}{\tau}, \quad (2)$$

where  $m_i$  is the particle mass,  $\mathbf{v}_i$  and  $v_{di}$  are the actual velocity and the desired velocity magnitude, respectively,  $\mathbf{e}_i$  is the unit vector pointing to the desired target (particles inside the corridors or rooms have their targets located at the closest position over the line of the exit door),  $\tau$  is a constant related to the time needed for the particle to achieve  $v_d$ .

$$\mathbf{F}_{Si} = \sum_{j=1, j \neq i}^N A \exp\left(\frac{-\epsilon_{ij}}{B}\right) \mathbf{e}_{ij}^n, \quad (3)$$

where  $N$  is the total number of pedestrians in the system,  $A$  and  $B$  are constants that determine the strength and range of the social interaction,  $\mathbf{e}_{ij}^n$  is the unit vector pointing from particle  $p_j$  to  $p_i$ ; this direction is the ‘normal’ direction between two particles, and  $\epsilon_{ij}$  is defined as

$$\epsilon_{ij} = d_{ij} - (r_i + r_j), \quad (4)$$

where  $d_{ij}$  is the distance between the centers of  $p_i$  and  $p_j$ , and  $r$  is their corresponding particle radius.

$$\mathbf{F}_{Ci} = \quad (5)$$

$$\sum_{j=1, j \neq i}^N [(-\epsilon_{ij} k_n) \mathbf{e}_{ij}^n + (v_{ij}^t \epsilon_{ij} k_t) \mathbf{e}_{ij}^t] g(\epsilon_{ij}),$$

where the tangential unit vector ( $\mathbf{e}_{ij}^t$ ) indicates the perpendicular direction of  $\mathbf{e}_{ij}^n$ ,  $k_n$  and  $k_t$  are the normal and tangential elastic restorative constants,  $v_{ij}^t$  is the tangential projection of the relative velocity seen from  $p_j$  ( $\mathbf{v}_{ij} = \mathbf{v}_i - \mathbf{v}_j$ ), and the function  $g(\epsilon_{ij})$  is:  $g = 1$  if  $\epsilon_{ij} < 0$  (if particles overlap) or  $g = 0$  otherwise.

Because this version of the SFM does not provide any self-stopping mechanism for the particles, it cannot reproduce the fundamental diagram of pedestrian traffic as shown in Ref. [18]. Consequently, the modification consists on providing virtual pedestrians with a way to stop pushing other pedestrians. This is achieved by incorporating a circular respect area close to and ahead of the particle ( $p_i$ ). The center of this circular area is located

at the *respect distance* ( $D_{Ri}$ ) from the center of the particle  $p_i$ , also having  $D_{Ri}$  as its radius, and in the direction of the desired velocity. The respect distance is parametrized for each particle depending on its own radius,  $D_{Ri} = R_F \times r_i$ ,  $R_F$  being the *respect factor*. While any other pedestrian is inside this respect area, the desired velocity of pedestrians ( $p_i$ ) is set equal to zero ( $v_{di} = 0$ ). For further details and benefits of this modification to the SFM, we refer the reader to Ref. [18].

## ii. Contractile particle model

This model was proposed by Baglietto and Parisi [20] and it is useful for modeling pedestrian flows in normal conditions. It consists of a set of rules defining automata particles that can move on the real plane. It has the benefit of being computationally faster than force-based models and it can reproduce very well specific flow rates and fundamental diagrams of different experiments reported in the bibliography by only changing the parameters related to the particle radii.

The model consists of particles of variable radii. Each radius can range between  $r_{min}$  and  $r_{max}$  in a continuous way. The minimum radii are associated with the maximum physical compressibility of the pedestrian and the maximum radii are related to the necessary personal space needed for taking normal steps under low density conditions. The particle has a desired velocity ( $\mathbf{v}_{di}$ ) pointing at the desired target location and its magnitude ( $v_{di}$ ) is a function of the particle radius ( $r_i$ ). When a particle ( $p_i$ ) enters in contact with a boundary, obstacle or another particle, an escape velocity ( $\mathbf{v}_{ei}$ ) appears, having a fixed magnitude ( $v_e$ ) and opposite direction to the interaction. Then, the particle radius instantaneously collapses to  $r_{min}$ .

The relation between the radius and the desired speed must fulfill  $v_d(r_{min}) = 0$  and  $v_d(r_{max}) = v_{dmax}$  with a functional form given by Eq. (6):

$$v_d = v_{dmax} \left[ \frac{(r - r_{min})}{(r_{max} - r_{min})} \right]^\beta, \quad (6)$$

where  $v_{dmax}$  is the desired speed at which a pedestrian would walk in an open and free space and  $\beta$  is a constant.

When a particle is free of contact with any other particle or boundary, its radius increases in each

time step according to

$$\Delta r = \frac{r_{max}}{\left(\frac{\tau}{\Delta t}\right)}, \quad (7)$$

where the constant  $\tau$  is set to  $\tau = 0.5$  s and  $\Delta t$  is the time step for computing the evolution of the system. Once  $r$  reaches  $r_{max}$ , it stops increasing.

Finally, in each iteration, the positions of particles are updated by the equation

$$\mathbf{x}^i(t + \Delta t) = \mathbf{x}^i(t) + \mathbf{v}^i \Delta t. \quad (8)$$

More details of this model can be found in Ref. [20]

## III. Simulation Results

We present the results of simulations with two different models analyzing variations of the geometrical parameters  $L_B$  and  $d_w$  (see Fig. 1) and looking at the total evacuation time and the specific flow patterns inside the room.

In the subsections i., ii. and iii., the exit width ( $b$ ) is fixed at  $b = 1.2$  m, the initial number of pedestrians is  $N = 200$ , which are uniformly distributed inside a squared room of  $20 \times 20$  m<sup>2</sup>; this configuration generates an initial density  $\rho = 0.5$  particles/m<sup>2</sup>.

The pedestrians' behavior corresponds to normal conditions (competitive behavior with pushing and shoving are excluded in the present paper). The simulated pedestrian's plan simply consists of moving toward the nearest point of a segment placed centered and parallel to the exit line (with a size 0.2 m shorter than the exit width), and then toward a second target placed at a long distance location beyond the end of the bottleneck.

In Fig. 2, the geometry and typical configurations of simulated pedestrians are shown for the two models used.

### i. Bottleneck length

First, we consider simulations with the social force model with a respect area. The parameters used are uniformly distributed in the following ranges: pedestrian mass  $m \in [70$  kg, 90 kg]; shoulder width  $2 \times r \in [48$  cm, 56 cm]; desired velocity  $v_d \in [1.15$  m/s, 1.45 m/s]. The constant parameters are:  $\tau = 0.5$  s,  $A = 2000$  N,  $B = 0.08$  m,

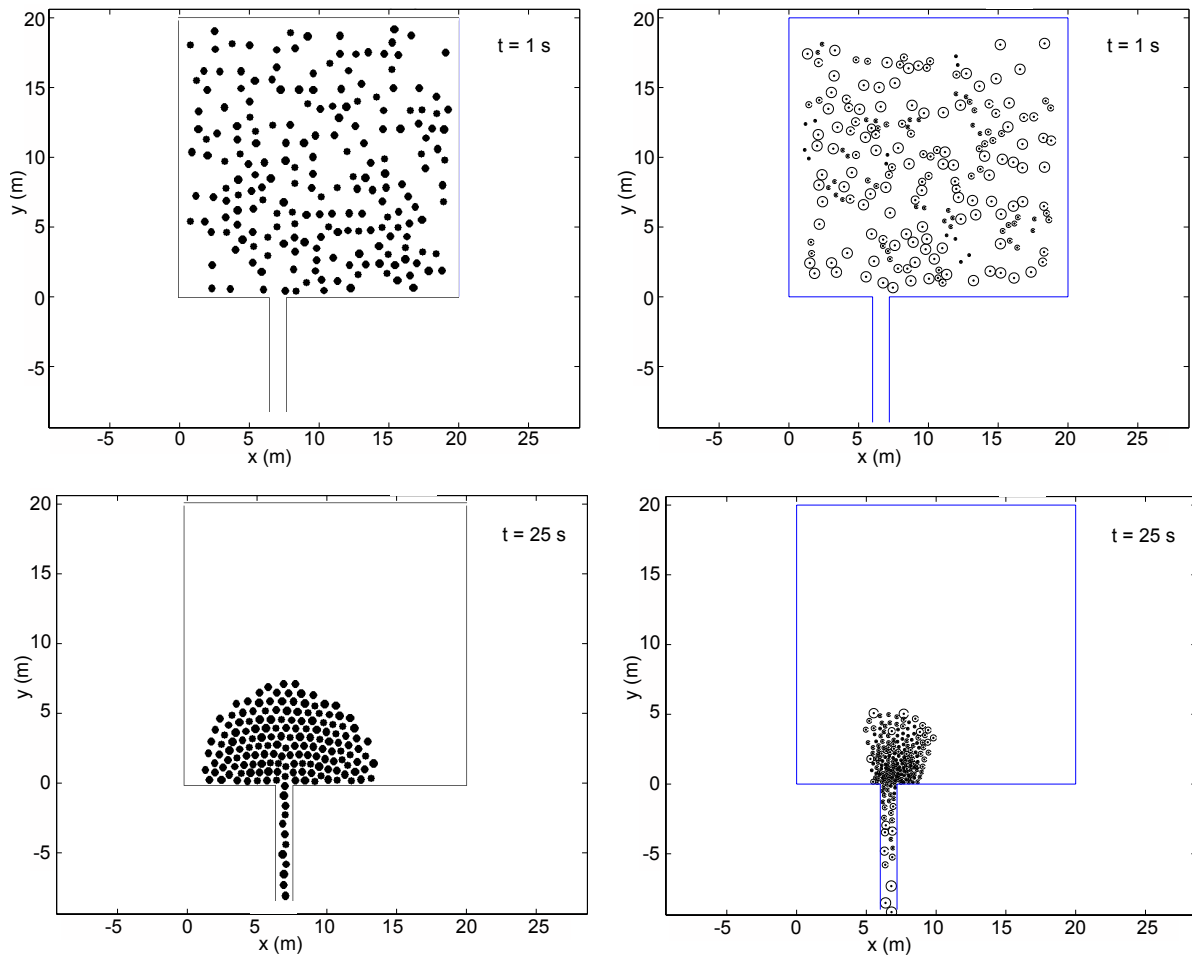


Figure 2: Snapshots of simulations. The left column displays two configurations of the system at different times for the simulations performed with the social force model with respect area. For the same times, the corresponding configurations of the system simulated with the contractile particle model are shown on the right column.

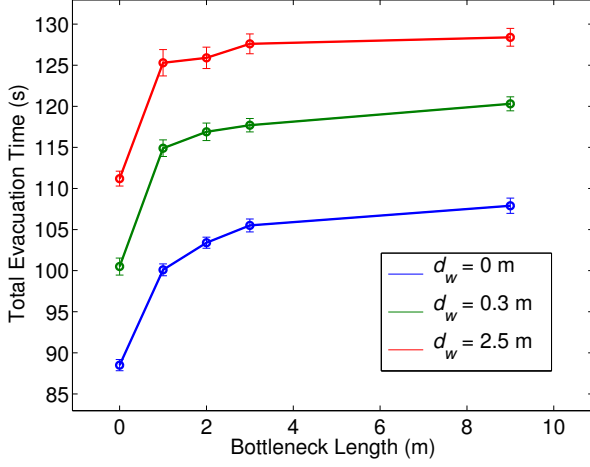


Figure 3: Mean total evacuation times as a function of bottleneck length for the social force model with a respect area. Error bars indicate one standard deviation.

$k_n = 1.2 \cdot 10^5$  N/m,  $k_t = 2.4 \cdot 10^5$  kg/m/s, and  $R_F = 0.7$ .

It is worth noting that because we are simulating normal conditions, the low values of the desired velocity (and the respect mechanism) lead to the absence of contact interaction [Eq. (5)] as can be seen in Fig. 2, bottom-left panel. This may suggest that under the present conditions the contact term of the SFM-respect has no influence on the results.

The total evacuation times as a function of bottleneck length are displayed in Fig. 3 for three different values of  $d_w$ . Each condition (each point in the figure) was simulated ten times with initial positions uniformly distributed inside the room ( $\rho = 0.5$  particles/m<sup>2</sup>).

As expected, the evacuation time increases with increasing values of  $L_B$ , confirming that imposing long boundaries after the exit has a negative influence on the evacuation performance. This influence grows rapidly over the first 1 or 2 meters and reaches an asymptotic value of about 20% higher evacuation time with respect to the one of a clean exit. Both facts are in agreement with experimental studies [11,12] with a similar number of pedestrians ( $N = 180$ ) and the same exit width ( $b = 1.2$  m). In particular for this model, the results for different

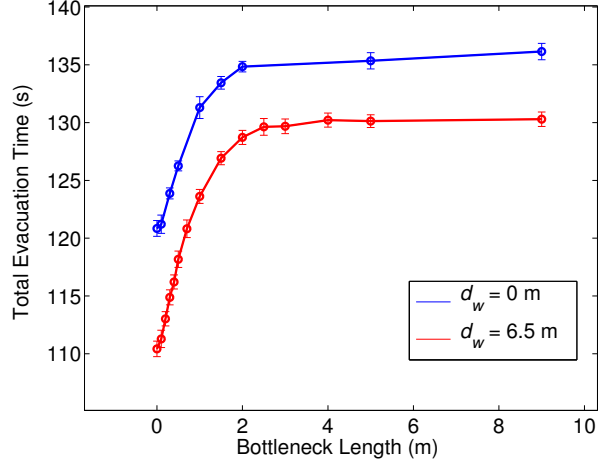


Figure 4: Mean total evacuation times as a function of bottleneck length for the contractile particle model. Error bars indicate one standard deviation.

$d_w$  reveal that the proximity of the exit to the wall is favorable.

Now, we consider the simulation results of the contractile particle model (CPM). The model parameters used are:  $r_{min} = 0.10$  m;  $r_{max} = 0.37$  m;  $\Delta t = 0.05$  s;  $v_{dmax} = 0.95$  m/s; and  $\beta = 0.9$ . Figure 4 shows the total evacuation time as a function of the bottleneck length. The same tendency as in the previous model can be observed. It presents two main facts: (a) increasing evacuation time for increasing  $L_B$ , and (b) the asymptotic upper bound is reached at similar values of  $L_B$ . Furthermore, for the reference scenario of the exit far from the lateral wall, a similar percentage increase of the evacuation times is observed. However, the contractile particle model shows a reversed trend when the exit is near the lateral wall, increasing the evacuation time. This issue will be further discussed in next sections.

## ii. Distance of the exit from the lateral wall

In this section, we present the results of mean evacuation times as a function of the distance of the exit from the nearest wall ( $d_w$ ). Figure 5 shows the related information for three different values of  $L_B$ . In all cases, it can be observed that it is favorable to bring the exit near the sidewall. Also for this

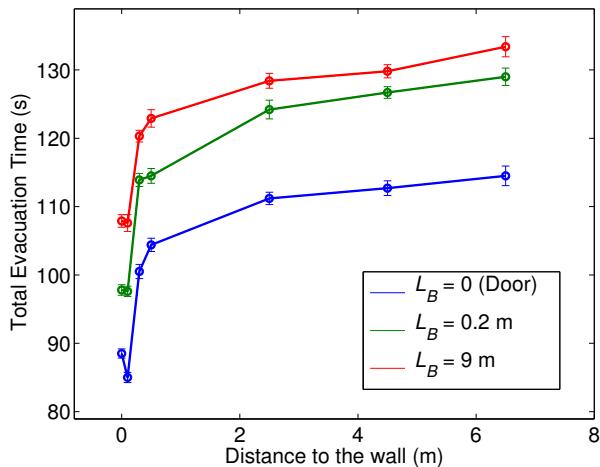


Figure 5: Mean total evacuation times as a function of the distance of the exit from the closest lateral wall for the social force model with a respect area. Error bars indicate one standard deviation.

geometrical modification, the maximum reduction of the evacuation time is roughly 20%.

It must be noted that the maximum evacuation performance does not occur at  $d_w = 0$  m but at a slightly greater value, in the case of the door ( $L_B = 0$  m), at  $d_w \sim 0.1$  m. This improvement in evacuation time for a location of the exit near the sidewalls is in accordance with mice experiments under competitive conditions [14].

On the contrary, the contractile particle model displays a slightly higher value of the evacuation time for the exit located near the wall, as indicated by the two curves in Fig. 4, which in the worst case (the door:  $d_w = 0$ ) is only 10%. The tendency to worsening the evacuation performance for lower  $d_w$  is in accordance with another cellular automaton model [17]; however, in this case, the value is much higher, i.e., an increase of about 70% of the evacuation time.

It is worth emphasizing that, although both models studied here (SFM-respect and CPM) were validated by reproducing experimental flow rates at the exit for a geometry with a central door, when the geometry is changed the responses of the models are different. Thus, this standard way of validating pedestrian models may not be enough.

### iii. Specific Flow Maps

In the present section, we will get some insight into the different evacuation times when different distances of the exit from the lateral wall are considered. To this end, specific flow maps will be computed and, again, we compare results using the two models described above.

Given a velocity field  $v(x, y)$  and a density field  $\rho(x, y)$ , the specific flow field can be calculated as  $J_s(x, y) = v(x, y) \rho(x, y)$  at any point  $(x, y)$  inside the area of interest. This can be done at any time step, and then the average specific flow map  $\overline{J_s}(x, y)$  can be obtained.

This calculation was performed using the tool *JPSreport*, which is the analysis module of *JuPedSim* developed at the Forschungszentrum Jülich in Germany [21, 22]. This tool implements an established protocol for calculating the density using Voronoi cells as described in Ref. [23].

We considered data with a frequency of one frame per second. Averages were computed between 30% and 70% of the total evacuation time for each run. Furthermore, the spatial grid  $(x_m, y_n)$ , over which the different fields were computed, was a square grid of side 0.2 m.

In Fig. 6, the specific flow maps for both models are presented for the case of doors ( $L_B = 0$ ).

The specific flow patterns reveal important differences between the examined models.

The upper panels, corresponding to the social force model with a respect area, show that the specific flow is greater along the walls. Thus, a wall orientated in the normal direction of the door will increase the output flow and hence, the evacuation time will be shorter.

On the other hand, the three lower panels show that the walls do not lead to any improvement in the flow. Instead, the higher values of  $J_s$  occur away from the walls, at the middle of the cluster of simulated pedestrians. So, having a wall perpendicular to and near the exit reduces the effective flow and increases the evacuation time.

### iv. Size of the System

In order to check whether the improvement of the flow rate for shorter bottleneck length holds for larger systems, we also study the egress of  $N = 400$  pedestrian from a room of  $28.3 \times 28.3$  m<sup>2</sup> and

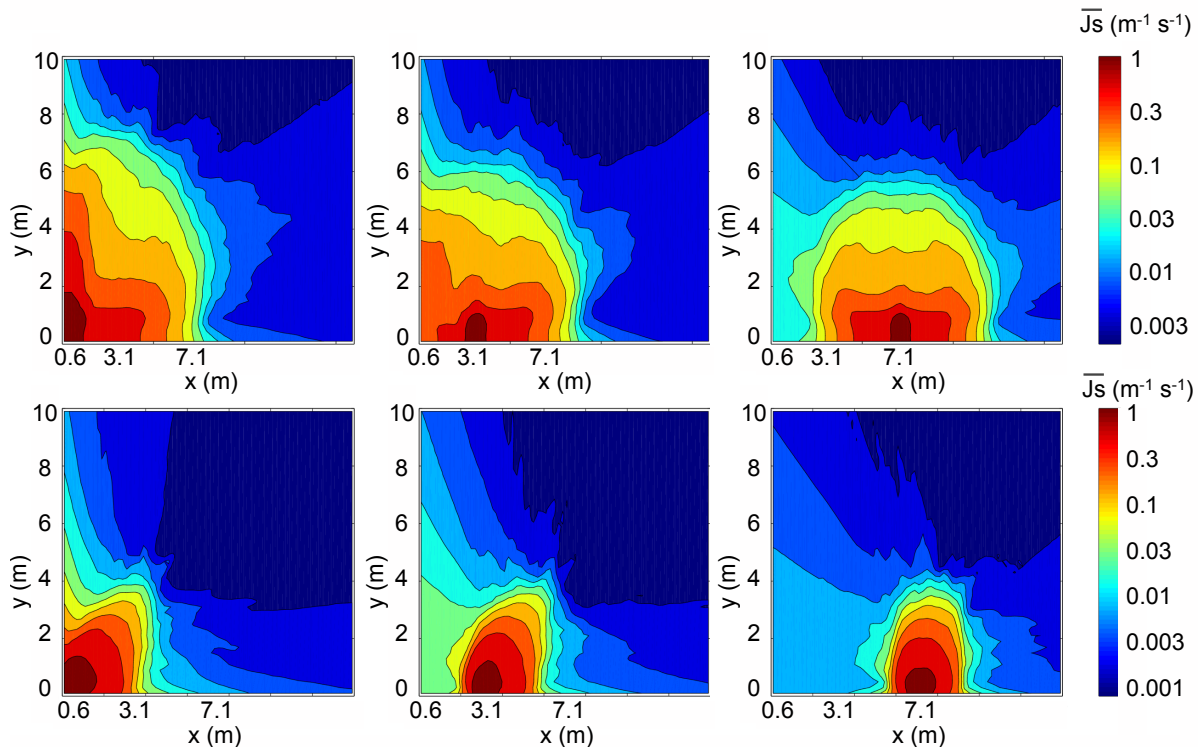


Figure 6: Specific flow maps for both models investigated. The three upper panels (first row) correspond to the social force model with a respect area [18], the three lower panels (second row) correspond to the contractile particle model [20]. Each column corresponds, from left to right, to the door center at 0.6; 3.1; and 7.1 m ( $d_w = 0$ ; 2.5; and 6.5 m). In all cases,  $L_B = 0$ .

with the same door width ( $b = 1.2$  m) centered at  $x = 14$  m. The new dimension of the room allows us to keep the same initial density ( $\rho = 0.5$  particles/m<sup>2</sup>) as in the smaller system studied above. We simulate this larger system by means of the contractile particle model.

Because we want to compare systems with different  $N$ , it is more suitable to look at the specific flow that is shown in Fig. 7.

Both curves of specific flow ( $J_s$ ) as a function of  $L_B$  look similar, showing a decrease of  $J_s$  as  $L_B$  increases, thus confirming the original result presented in section i. For the model used (CPM), the specific flow is a little bit higher in the case of  $N = 400$  particles, and the enhancement due to a shorter bottleneck length is more pronounced. In

the system of  $N = 200$  particles, the percentage difference is about 18% when comparing the maximum and minimum specific flows, but for the larger system ( $N = 400$ ) this difference is 28%.

These results suggest that the door (or very short bottleneck) is the best option for any size of the system. We think this can be explained as it was already outlined in the introduction: a bottleneck reduces the available space after the exit, which produces a higher density that can decrease the flow (exceeding the capacity).

#### IV. Conclusions

In this paper, we studied the influence of two geometrical factors on the evacuation time: the bot-



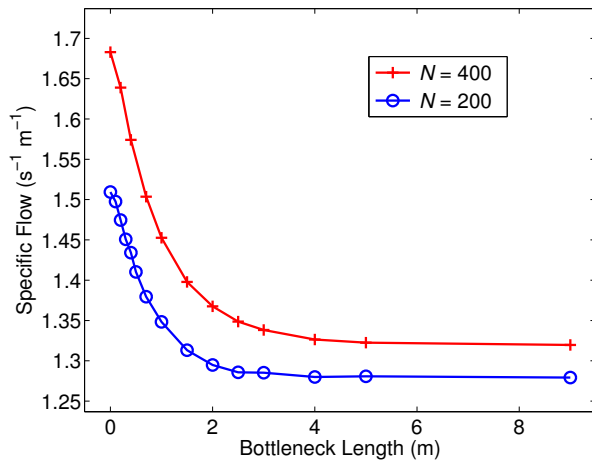


Figure 7: Specific flow as a function of bottleneck length for two system sizes of  $N = 200$  and  $N = 400$ . In both cases, the initial density is the same ( $\rho = 0.5$  particles/m<sup>2</sup>). Results correspond to simulations with the contractile particle model.

tleneck length and the distance of the exit to the lateral wall in a squared room. Two pedestrian dynamics models were considered: the social force model with a respect area [18] and the contractile particle model [20].

For evacuation at normal desired velocities (1 – 1.3 m/s), both models agree in that the longer the bottleneck length after the exit, the longer the evacuation time and, in particular, a door (which is a special case of bottleneck of length  $L_B \rightarrow 0$  m) is the best option. These results also agree with experiments in very similar conditions [11, 12]. Furthermore, the convenience of using short bottlenecks seems to be independent of the number of simulated particles.

Regarding the convenience of moving the exit closer to the lateral wall, the two models used in this work do not agree, and pedestrian experiments are not reported in the literature. Furthermore, other models [15–17] provide contradictory results and thus, the optimal door position is an open question. Considering the possibility that placing the door near a sidewall could improve the evacuation performance, it would be worth exploring this geometrical modification experimentally in the future.

It is important to remark that both models used

in the present study were validated considering the flow rate and the fundamental diagram in simple geometries. The global flow rate values measured in a configuration with a centered door are comparable with experimental ones. However, the models respond in different ways under the geometrical change investigated, presenting very different specific flow rate patterns inside the room. This can be a warning for the pedestrian dynamics community, and several geometries should be used for validating output flow rates, instead of just using a single door far away from lateral walls. This is another reason for expecting new experimental data considering different geometries.

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