

1 Evolutionary mechanisms shaping the maintenance of antibiotic resistance

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7
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9 10 **Abstract**

11 Antibiotics target essential cellular functions but bacteria can become resistant by acquiring
12 either exogenous resistance genes or chromosomal mutations. Resistance mutations
13 typically occur in genes encoding essential functions, which causes resistance mutations to
14 be generally detrimental in the absence of drugs. However, bacteria can reduce this
15 handicap by acquiring additional mutations, known as compensatory mutations. Genetic
16 interactions (epistasis) either with the background or between resistances (in multi-resistant
17 bacteria) dramatically affect the fitness cost of antibiotic resistance and its compensation,
18 therefore shaping dissemination of **antibiotic resistance mutations**. This review summarizes
19 current knowledge on the evolutionary mechanisms influencing maintenance of resistance
20 mediated by chromosomal mutations, focusing on their fitness cost, compensatory evolution
21 and epistasis and the effect of the environment on these processes.

22

23 **The threat of bacterial antibiotic resistance**

24 The introduction of antibiotics represented one of the most important medical interventions
25 in the history of global health resulting in a dramatic reduction in human morbidity and
26 mortality caused by bacterial infections. However, the intensive use of antibiotics has
27 accelerated the dissemination of bacteria that evolved to endure these drugs through the
28 acquisition of genes or chromosomal mutations that confer resistance [1,2]. Antibiotic
29 resistance (AR) is widespread in clinical [3,4] and environmental settings [5,6], providing a
30 reservoir that can further spread by horizontal gene transfer. AR is a serious and growing
31 challenge in the treatment of infectious disease
32 ([http://www.who.int/medicines/publications/global-priority-list-antibiotic-resistant-](http://www.who.int/medicines/publications/global-priority-list-antibiotic-resistant-bacteria/en/)
33 [bacteria/en/](http://www.who.int/medicines/publications/global-priority-list-antibiotic-resistant-bacteria/en/)), with single and multidrug resistant clones of major pathogens circulating at
34 frequencies above those expected by a balance between the stochastic emergence of
35 resistance by mutation and a purge of deleterious mutations (in the absence of antibiotic) by
36 natural selection [7]. The dissemination of multidrug resistant bacteria is unfortunate
37 because these clones are harder to treat and those harbouring mobile resistance elements
38 (MREs) are able to spread resistance more quickly. Antibiotic resistant infections world-wide
39 are estimated to potentially cause millions of deaths by 2050 (<https://amr-review.org/>) and
40 already inflict a major economic toll [8]. Beyond complex demographic processes, the
41 emergence and dissemination of AR in bacterial populations depends on key evolutionary
42 parameters, such as (i) the rate at which bacteria acquire resistance, (ii) the selective
43 pressures for and against resistant bacteria (see Glossary), and (iii) the rate and effects of
44 mutations compensating for potential costs of resistance (see Glossary) [9]. These factors
45 have been shown to be influenced by both the genetic background and the environment in
46 which resistant bacteria grow [10], underlining the necessity of experimental studies and
47 quantitative analysis of these rates and processes *in vivo*, e.g. in ecological contexts related
48 to infections, and in nature, e.g. in microcosms mimicking natural habitats. Such studies are
49 vital to preserve the effectiveness of antibiotics and reduce the frequency of resistance in
50 bacterial populations. Here we summarize up-to-date knowledge on the evolutionary
51 mechanisms influencing maintenance of resistance mediated by chromosomal mutations. In
52 particular, we will focus on fitness cost of AR, compensatory evolution, epistasis and
53 environmental effects on these evolutionary mechanisms.

54

55 **Emergence of bacterial antibiotic resistance**

56 The rate of appearance of antibiotic-resistant bacteria is determined by the combined rates
57 of *de novo* mutation (U) and horizontal gene transfer (HGT) of mobile genetic elements
58 carrying resistance (MRE, see **Box 1**). While acquisition of new DNA requires specific
59 ecological contexts (i.e.: the presence of donor bacteria), adaptive mutations (potentially
60 including resistance mutations) are continuously generated at rates that can be as high as
61 $\sim 10^{-5}$ per cell per generation [11–13]. Furthermore, mutations leading to genomic
62 rearrangements (insertions, deletions, duplications, inversions) occur at an even higher rate
63 (10^{-3} - 10^{-5} per cell per generation) which can accelerate the rate of acquiring AR [14,15]. The
64 rate of the emergence of AR mutants is affected by physiology, genetics, **antibiotic-**
65 **bacterium interactions (e.g.: antibiotic itself can affect mutation rate [16] or different**
66 **resistance mutations can be selected at different antibiotic doses [17]), and the current and**
67 **past environment to which bacteria have been exposed (e.g.: bacteria grown at high**
68 **temperature can acquire *rpoB* mutations conferring rifampicin resistance [18]), together**
69 with the physical structure of the selective medium [12].

70 It is important to note that antibiotic stress itself can impact the general value of U. Indeed,
71 a growing body of evidence suggests that sub lethal concentrations of several antibiotics can
72 boost resistance emergence via increasing the rate and frequency of HGT, recombination,
73 and mutagenesis [19]. Furthermore, bacteria can acquire mutations that increase their
74 genome-wide U **typically 10 to 1000-fold** – known as “mutators”. In fact, it has been long
75 known that recurrent pressure of antibiotics selects for mutator clones due to their
76 increased ability to produce the rare mutations that can rescue bacterial populations from
77 such high selective pressures [20]. Mutators are also known to exhibit increased ability for
78 recombination [21]. In samples of natural isolates of *Escherichia coli*, clones with
79 intermediate mutator phenotypes have been found to carry significantly more AR mutations
80 [22].

81 Hence, bacteria have an enormous potential for adaptation with access to a large supply of
82 mutations and exogenous genetic material that could explain why AR evolves remarkably
83 quickly both in laboratory and clinical environments [23,24].

84 However, in the context of infection, bacterial population sizes within hosts are high enough
85 (above 10^{10} in certain contexts) to already include pre-existing resistant mutants [9]. **In such**
86 **a large bacterial population, and considering a base-substitution mutation rate of around 10^{-5}**

87 $9-10^{-10}$ per nucleotide site per generation [25], it is possible that all viable mutations,
88 including resistance mutations, would already exist in the population. Thus, the current
89 estimates of mutation rates and the large population sizes suggest that U may have a limited
90 influence on the emergence of resistances. On the other hand, population genetic theory
91 has shown that i) if a population is facing antibiotic pressure once a resistance mutation
92 arises, its chances of not getting lost and spreading depend on its beneficial fitness effect (its
93 selection coefficient); ii) if a population does not experience antibiotic pressure, the
94 resistance mutation is expected to attain a frequency reflecting the balance between the
95 rate of production of the mutants (proportional to U) and the rate of elimination by natural
96 selection (the deleterious fitness effect resulting from a cost of resistance) or by genetic drift
97 (random changes in the frequency of resistant mutants in a population). In light of the
98 unavoidable escape of recurrent mutations associated with cell division, restriction of
99 resistance relies on the power of purifying selection acting on the costs that resistance
100 mutations might cause (Figure 1) [26,27].

101

102 **Fitness costs associated with antibiotic resistance**

103 From *in vitro* studies, the acquisition of resistance is often associated with fitness costs in the
104 absence of antibiotics [1,4,28]. Deleterious effects are thought to originate either from the
105 cost of maintaining resistance carrying plasmids (see Box 1) [29–36] or from the pleiotropic
106 effect of chromosomal resistance mutations [1,4,37]. In the latter case, costs are often
107 associated with the fact that resistance mutations map onto genes encoding essential
108 cellular functions (targeted by antibiotics), such as transcription, translation or cell wall
109 biogenesis.

110 The existence of a fitness cost caused by AR predicts that the fitter susceptible strain should
111 outcompete the resistant strains over time (Figure 1) [28]. This is in agreement with the
112 observed decrease of antimicrobial resistance in clinical settings when the use of certain
113 antimicrobials is halted [38–41]. However, costs are not always found to occur [28]. For
114 example mutations causing streptomycin and/or rifampicin resistance could confer survival
115 benefits to bacteria engulfed by macrophages [42,43]; mutations conferring rifampicin
116 resistance could spread to high frequencies in bacterial populations growing under limited
117 resources [18,44]; mutations conferring carbapenem resistance were found to confer a
118 competitive fitness advantage to *Pseudomonas aeruginosa* colonizing the mouse intestine

119 and disseminating to the spleen [45]; carbapenem and fosfomycin resistance mutants can
120 have increased virulence in a murine pneumonia model [46]; and mutations conferring
121 vancomycin resistance can be selected for as a result of competition between diversified
122 genotypes of *Staphylococcus aureus* spontaneously generated from a common ancestral
123 strain [47].

124 The examples above also underline the strong influence of the environmental conditions on
125 the fitness cost of resistances [37,48,49]. However, based on the studies that have been
126 performed, fitness measurements made in the laboratory settings appear to have clinical
127 relevance since they agree with epidemiological studies of the prevalence of resistance
128 alleles in clinical isolates [50–52].

129

130 **Benefits and costs in the presence of antibiotics**

131 While fitness effects of resistance mutations show a strong genotype-by-environment
132 interaction [49] in the absence of antibiotics, their benefits are less dependent on the
133 environment complexity if high antibiotic pressure is applied. This permits the use of
134 experimental evolution in the lab to anticipate the spectrum of beneficial mutations causing
135 resistance to high antibiotic doses. Indeed many of the resistance mutations in clinical
136 isolates can be evolved in the lab, under the appropriate selective pressure [53].

137 The acquired level of resistance to the antibiotic is experimentally measured through the
138 minimal inhibitory concentration (MIC). The level of resistance can vary extensively
139 depending on the resistance mechanism and the conditions under which resistance is
140 measured. For instance, if the resistance mechanism affects monotonically the growth with
141 the drug concentration, then the relative fitness of an antibiotic-resistant bacterium might
142 vary extensively depending on antibiotic concentration [9,54]. While it is clear that the high
143 concentrations of antibiotics used therapeutically can select for resistant mutants, it has
144 been shown both in *E. coli* and *Salmonella enterica* that concentrations of tetracyclines,
145 quinolones, and aminoglycosides hundreds-fold below the MIC of susceptible bacteria can
146 select for resistant bacteria [3,55]. Importantly, it is not currently known if measurements of
147 resistance levels in the laboratory, typically performed in conditions far from natural, can be
148 extrapolated to resistance levels of bacteria in a host. In a host, inter-species ecological
149 interactions are likely to occur that are inexistent in most *in vitro* studies, some of which
150 could buffer the antibiotic pressure experienced by a particular bacteria [56,57].

151 An interesting environmental effect mediated by the presence of antibiotics on the fitness of
152 resistant strains relates to the potential biological activities in degrading antibiotics. For
153 instance, certain products of the physicochemical degradation of tetracycline are more
154 harmful for resistant than for sensitive *E. coli*, causing the competitive advantage conferred
155 by the resistance to eventually reverse and become disadvantageous [58].
156 Troublingly, certain mechanisms confer resistance to antibiotics at unknown fitness costs.
157 For instance, a set of mutations in genes encoding ribosomal components in *Mycobacterium*
158 *smegmatis* confer resistance to diverse antibiotics not related structurally or mechanistically,
159 by causing extensive transcriptomic and proteomic changes, affecting proteins known to
160 impact AR [59]. Furthermore, bacterial populations can collectively survive antibiotic
161 treatments lethal to individual cells via diverse mechanisms, such as production of resistance
162 enzymes, bistable growth inhibition mediated by antibiotic titration, swarming or
163 interactions between different bacterial subpopulations. These strategies allow bacterial
164 populations to survive upon antibiotic treatment and provide a time window for the
165 acquisition of genetic resistance [60].

166

167 **Compensation of the fitness costs**

168 Despite the importance of the fitness costs in predicting the dissemination of AR mutations,
169 there are additional factors that significantly affect the evolutionary path of AR. The rapid
170 acquisition of compensatory mutations by resistant clones is key to prevent them from being
171 outcompeted by sensitive bacteria, as widely described for the cost of single resistance both
172 in clinical [61,62] and laboratory conditions [63–67]. Compensation can occur either by
173 losing the original resistance mutation which is causing the fitness decrease – a process
174 known as reversion (**Box 2**, recently discussed in [68]) - or by acquiring additional mutations
175 which counteract the cost. Compensatory mutations generally affect genes encoding
176 proteins involved in cellular machinery functionally related to those affected by the original
177 mutation [69].

178 The dynamics of compensatory adaptation depends on population size, bottlenecks [63],
179 mutation rate [70], and the distribution of fitness effects of compensatory mutations, which
180 depends on the genetic background due to genetic interactions [71,72]. For instance, in the
181 simplest case of a single resistance mutation, it has been shown that compensation is
182 typically faster when the fitness cost of the resistance mutation is higher, leading to the

183 prediction that clones carrying more costly resistance mutations have higher adaptive
184 potential. This faster adaptation is likely driven by the acquisition of compensatory
185 mutations with larger effects on these backgrounds [73]. In the more complex case, where a
186 population carries genetic variation for resistance mutations, the different clones will have
187 different distributions of compensatory mutations and high competition between clones
188 with different fitness - clonal interference – may result in the maintenance of costly
189 resistance alleles over long periods of time. For example, a study using experimental
190 evolution with resistant *E. coli* clones observed coexistence between costly rifampicin and
191 less costly streptomycin resistance mutations during hundreds of generations [71]. This type
192 of study exposes the complexity of the fitness landscape and the evolutionary dynamics,
193 which impacts predictions about extinction of high cost resistances.

194 Even though compensation of resistant bacteria is often studied in the absence of antibiotic
195 [65–67,70], it can also occur in the presence of antibiotics. For instance, mutations that
196 decreased both the cost of resistance to fluoroquinolone and the susceptibility to the
197 antibiotic have been described [74,75]. The few studies that have compared bacterial
198 compensation in the absence versus presence of antimicrobial selection pressure [64,76]
199 indicate that both the targets of compensation in the presence of antibiotic and their fitness
200 effects can be different from the ones in the absence of the drug. For instance, mupirocin
201 resistant mutants, carrying compensatory mutations acquired in absence of the drug, have
202 increased fitness only in this environment and not when the antibiotic is present [76].

203 The effects of the presence or absence of antibiotics on compensatory evolution of resistant
204 bacteria become particularly relevant in light of the current discussion on the appropriate
205 duration of antibiotic treatments [77]. Although for certain infections there is strong
206 evidence on what is the optimal duration of an antibiotic course, this is unknown for many
207 other infections [78]. In case of long treatments (Fig. 1B top panel), resistant mutants are
208 able to reach large population sizes, which favours compensation during the antibiotic
209 treatment. Thus, compensation in presence of antibiotic becomes more significant, as the
210 effects of compensatory mutations acquired during the antibiotic treatment on bacterial
211 fitness in an antibiotic-free environment will likely determine whether compensated
212 resistant bacteria can be outcompeted or not. Conversely, in case of short treatments (Fig.
213 1B bottom panel), resistant mutants are unlikely to take over the entire population, making
214 compensation during treatment much more difficult. In these cases, compensatory evolution

215 in absence of antibiotics constitutes a better framework for predicting the evolutionary fate
216 of resistant bacteria. Efforts to elucidate the optimal duration of antibiotic treatments for
217 each infection are therefore essential to determine the most relevant environment to study
218 compensatory evolution and, subsequently, elaborate predictions on the evolutionary
219 trajectories of resistant pathogens [9].

220 Another example of environmental effects on compensation with relevant clinical
221 implications was the observed selection of different compensatory mutations depending on
222 whether the resistant bacteria evolved in mice or in laboratory conditions, indicating that
223 compensatory evolution can take different trajectories within and outside a host [67].
224 Indeed, the clinical and epidemiological importance of compensation remains poorly
225 understood [4,79–81].

226

227 **Epistatic effects on antibiotic resistance**

228 Epistasis occurs when the effect of a mutation depends on the genetic background where it
229 arises. It has been shown that the same AR mutation can have different effects if it occurs
230 in different genomes [82–84]. For example, strains harbouring identical rifampicin resistance
231 mutations but belonging to different lineages of *Mycobacterium tuberculosis* showed
232 different levels of fitness cost [79]. Likewise, the available data suggests that the bacterial
233 genetic background can also influence the fitness of bacteria with MRE [36,85,86]. Epistasis
234 can have profound implications for the spread of bacterial AR [48,87–89]. In the simplest
235 case, epistasis can be quantified between two loci - pair-wise epistasis- and it can be *positive*
236 or *negative* (see **Figure 2A** for details).

237 Positive (negative) epistasis occurs when the fitness of a clone carrying mutations at the two
238 loci is higher (lower) than expected given the effects in fitness of each of the single mutants.
239 Furthermore, an important form of interaction – *sign epistasis* - can occur if the sign of the
240 effect changes from deleterious to beneficial (or vice-versa) in the double mutant [90]. Non-
241 reciprocal sign epistasis occurs when the double mutant fitness is higher (or lower) than one
242 of the single mutants, whereas reciprocal sign epistasis occurs when the double mutant
243 fitness is higher (or lower) than both single mutants (**Figure 2A**). The strength and type of
244 epistasis is also known to depend on the environmental context, as expected given that the
245 fitness effects of resistance differ with the growth media [49].

246 Epistasis strongly affects the dissemination of AR because it can greatly influence the
247 dynamics and repeatability of evolution at numerous stages [88,91–95]. For instance, during
248 a constant antibiotic treatment a phenomenon called *diminishing returns epistasis* can
249 occur, where the beneficial effect of the resistance mutations decreases as they sequentially
250 accumulate, limiting the subsequent evolution [84].

251 Epistasis has a decisive role during compensation of costly AR mutations in the absence of
252 drugs. Most compensatory mutations are deleterious or neutral in the sensitive background,
253 but advantageous in the resistant background [72]. As a consequence, the persistence of
254 resistance mutations upon compensation is promoted because reversions will strongly be
255 selected against. A bacterial population enriched with resistant mutants carrying
256 compensatory mutations can readily acquire a second resistance, either by accumulating
257 chromosomal mutations selected for in the presence of a new antibiotic, and/or by acquiring
258 plasmid-borne resistant elements (**Box 1**), leading to multidrug resistant strains [80,96].

259 Importantly in the context of multiple-resistance, different resistance mutations can also
260 interact epistatically. Studies in *E. coli*, *P. aeruginosa*, *M. tuberculosis*, *Salmonella enterica*
261 and *Streptococcus pneumoniae* found many instances of positive epistasis, with the
262 observed cumulative fitness cost of carrying multiple drug resistance-conferring mutations
263 below the expected sum of the fitness costs associated with each individual mutation
264 [48,87,97–99]. Positive epistasis between chromosomal resistance mutations and MRE or
265 between different MRE has also been observed (**Box 1**). Pervasive positive epistasis was
266 found not only between costly resistance mutations but also when combining costless
267 rifampicin resistance alleles with costly streptomycin resistance alleles [48]. Moreover,
268 double resistant clones were also shown to exhibit sign epistasis [87,90], with the
269 implication that in the absence of antibiotics the acquisition of further resistance mutations
270 (or eventually plasmids) can increase the fitness of an initially single resistant strain,
271 resulting in reduced probability of reverting resistance by halting drug use.

272 Fortunately, although not as commonly as desired, examples of pairs of resistance mutations
273 which interact negatively have also been found [87]. Knowledge of these negative epistatic
274 interactions between resistance mutations is important and can be clinically explored to
275 slow down the evolution of multi-resistance by using specific combinations of antibiotics. If,
276 for a given pair of drugs, negative epistasis is expected to dominate the landscape of
277 potential emerging resistance mutations, then the few double resistant genotypes that

278 would survive the treatment would have highly reduced fitness, and be outcompeted by
279 single resistant and/or susceptible genotypes once the antibiotic treatment is completed.
280 Importantly, resistance to one drug might also increase susceptibility to another drug – a
281 phenomenon called collateral sensitivity [100] – which constitutes another relevant
282 interaction that can be used to combat resistant strains.

283 Notably, compensatory evolution of multi-resistant strains can also be affected by epistasis.
284 Although this topic remains poorly explored, the common observation of epistasis between
285 resistance mutations implies that compensation of multiple-resistance bacteria can
286 significantly differ from that of single resistant strains (Figure 2B). In the case of positive
287 epistasis one could expect that the process of compensation would entail less compensatory
288 targets than those involved in the compensation for costs of each single resistance. This
289 should be especially strong under sign epistasis, where multi-resistant clones have higher
290 fitness than some of the single resistant clones. On the contrary, negative epistasis should
291 result in a higher number of compensatory targets, as mutations specifically compensating
292 for the negative epistasis could be expected. A recent study [72] showed that this can indeed
293 occur. By following the compensatory process of a streptomycin and rifampicin double-
294 resistant *E. coli* and comparing it with that of single-resistant clones, the study unveiled
295 mutations in gene targets that only compensate for double resistance, e.g a specific amino
296 acid change in *rpoC* and a mutation causing increased expression of *nusG*. These mutations
297 were neutral or deleterious in sensitive or single resistant backgrounds, demonstrating their
298 compensatory nature solely under double-resistance. The study also showed that the
299 compensatory effect of the mutations disappeared in an environment where the epistatic
300 interaction between resistance alleles was absent, consistent with the hypothesis that these
301 mutations were specifically compensating for the epistatic interaction between the ARs [72].
302 The detection of compensatory targets for epistasis can lead to the identification of proteins
303 involved in multiple essential processes. These proteins are potential targets for the
304 development of new antimicrobials, since their functional inhibition could strongly affect
305 bacterial fitness, furthermore limiting the rise of resistance mutations because these would
306 be particularly deleterious in these conditions.

307 Epistasis can also occur at the intragenic level. There is plentiful evidence for sign epistasis
308 during the evolution in β -lactamases towards high levels of AR [88,91,101,102]. Remarkably,
309 sign epistasis was shown to limit the number of evolutionary paths available to evolve

310 increased resistance. For instance, during the evolution of classical β -lactamases into
311 extended-spectrum β -lactamases (ESBL), pervasive sign epistasis between mutations was
312 observed, where many mutations, individually leading to increased ability to degrade
313 cephalosporins, showed decreased MIC when combined [88]. In the system studied only 18
314 out of the 120 possible evolutionary pathways continuously increased the MIC.

315 A likely reason for such frequent epistasis is that mutations are often pleiotropic,
316 simultaneously affecting multiple phenotypes [91]. Pleiotropy is a key assumption in classical
317 models of adaptation to novel environments such as Fisher's geometric model (FGM, see
318 **Box 3**), which describes the relationship between multiple phenotypic traits and fitness, and
319 predicts complex patterns of epistasis [103–105].

320 A common form of pleiotropy within proteins is the simultaneous effects of mutations on
321 enzyme activity and stability [101,106,107]. For instance, on the β -lactamase TEM-1,
322 mutations which increased activity against cephalosporin antibiotics lost thermodynamic
323 stability. However, a second mutation which is neutral or deleterious by itself stabilizes the
324 proteins carrying an activity-increasing mutation, another example of sign epistasis [106].
325 Interestingly, it has also been shown that the deleterious effect of a fraction of the
326 destabilizing mutations can be buffered by interacting with bacterial chaperones [108,109],
327 yet another source of epistasis with unexplored consequences for AR.

328 There are very few studies investigating if epistasis occurs frequently during the evolution of
329 multidrug resistant strains in clinical settings. Nevertheless, in clinical isolates of multidrug
330 resistant *M. tuberculosis*, resistant to both rifampicin and ofloxacin, many carried a
331 particular mutation known to confer ofloxacin resistance in the *gyrA* gene. This mutation has
332 been shown in laboratory settings to have positive epistasis with several *rpoB* mutations
333 (which confer rifampicin resistance) [99]. Clearly, further epidemiological studies are
334 required to understand to which extent epistasis is relevant in clinical contexts.

335

336 **Concluding remarks**

337 Due to the high evolutionary potential of bacteria, the initial golden age of antibiotics to
338 treat bacterial infections is quickly turning to a bronze age. *In vitro* and *in vivo* experimental
339 evolution studies are fundamental to anticipate the evolutionary paths likely to be taken by
340 potential pathogens upon exposure to drugs and to educate the society to the reality of
341 microbial rapid evolutionary change. Currently, most studies of epistasis on AR rely on

342 observations between two resistance alleles or in between an AR mutation and the genetic
343 background where it appears. The unfortunate reality of high frequency of multiple-
344 resistance (e.g. clones carrying three and more resistances are becoming common),
345 however, demands an understanding of higher order epistasis. This is a challenging task, but
346 one that is urgently necessary. Profiting from the rapid evolution of bacteria in the lab, both
347 to acquire multiple resistance and to compensate for resistance costs on fitness,
348 experimental evolution studies focusing on key ecological and evolutionary factors (such as
349 treatment duration, specific combinations of antibiotics and epistasis) may allow to more
350 effectively manipulate and reduce the danger of multiple resistance.

351 It is also important to remember that fitness costs, compensation and epistatic effects are
352 strongly environmental-dependent. Thus, further studies of competition, colonization,
353 compensation and transmission using animal models are required (see **Outstanding**
354 **Questions**). Such *in vivo* studies are likely essential to identify antibiotic targets that can
355 hardly be compensated. Furthermore new surveys are required to quantify how pervasive
356 epistasis is in clinical populations of pathogens. This knowledge would provide a theoretical
357 framework for the development of novel antimicrobial strategies and therapeutic agents
358 aiming at minimizing the evolution of multidrug resistance.

359

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367

368 **BOX 1 – Antibiotic resistance conferred by mobile genetic elements**

369 Mobile genetic elements carrying resistances (MRE) play a key role in the spread of AR, since
370 they can disseminate in bacterial populations by horizontal gene transfer (HGT) [27]. MRE
371 typically carry genes encoding functions that counteract the action of antibiotics by either
372 enzymatic inactivation [110], efflux [111], synthesis of alternative enzymes to native targets
373 [112] or target protection [113,114]. Three principal evolutionary mechanisms of HGT are

374 conjugation [115], transduction [116], and natural transformation [117], although alternative
375 mechanisms have also been described [118].

376 Conjugation and transduction frequencies can be much higher *in vivo* than *in vitro* [29,119–
377 122]. For example, in the context of the gut microbiota it was found that inflammation could
378 greatly increase the rates of plasmid and bacteriophage transfer between *Salmonella* strains.
379 Regarding natural transformation its prevalence is still not well quantified. Since the gut
380 microbiota is a reservoir of AR genes [123,124], the HGT of MRE is likely frequent in the gut
381 [125]. Among MRE, plasmids are probably the most clinically relevant [27]. The mechanisms
382 underlying the effects of plasmid carriage on bacterial fitness in the absence of antibiotics
383 remain poorly understood [52,126–128]. Interestingly, different plasmids cause diverse
384 effects on bacterial fitness, ranging from large deleterious effects to no cost or even fitness
385 advantage [52,126,127]. This heterogeneity can originate from plasmid features (size,
386 resistance range, number of resistances, etc.), interference with the host physiology or
387 interactions with the environment [129–131]. The fitness cost associated with plasmid
388 carriage can be counterbalanced by acquiring compensatory mutations, either in the
389 plasmid, in the bacterial chromosome, or in both [32,132–134]. These mutations often
390 influence replication and transmission rates, impacting plasmid dissemination in bacterial
391 populations [34,135]. Importantly, epistatic interactions between plasmids and
392 chromosomal loci or other MRE [89,133,136–138] have been observed. Remarkably, these
393 interactions include epistasis between plasmids and chromosomal resistance mutations
394 [139], indicating that the acquisition of one resistance can favour or prevent the emergence
395 of further resistance. Understanding the mechanisms underlying maintenance and
396 dissemination of MRE in bacterial populations is thus essential to face the challenge of
397 spreading ARs.

398

399 **BOX 2 – Compensation through reversions**

400 A particular case of compensation is reversion, when the adaptive mutation completely
401 reverts the fitness costs by returning to the original genetic sequence. **When reversion**
402 **occurs in the presence of antibiotic, revertants are likely lost due to strong selection against**
403 **them (alternatively, revertants can also be lost by genetic drift). Thus, reversion is**
404 **considered to occur only** in the absence of antibiotics and is clinically relevant since the
405 bacteria re-gain sensitivity. However, compensation by acquiring additional mutations is far

406 more likely to occur than genetic reversion, since the range of targets for compensation is
407 much broader [1].

408 Interestingly, phenotypic reversion (phenotypic sensitivity caused by the acquisition of an
409 additional mutation, but maintaining the original resistance mutation) can also occur. For
410 instance, mutations in the *rpsL* gene – encoding a ribosomal protein - confer resistance to
411 streptomycin but several compensatory mutations occurring in other ribosomal proteins
412 [140] or in translation elongation factors [141] can phenotypically revert resistance.

413 More recently, **three** studies have developed promising strategies to **convert** resistant
414 bacteria **into** phenotypically sensitive to the original antibiotics [142–144]. The first study has
415 re-sensitized resistant bacteria by treating it with a specifically designed oligonucleotide
416 which acts as an antisense mRNA translation inhibitor and can be designed to target the
417 mRNAs encoding resistance genes such as a constituent of the major drug efflux pump [142].
418 In the second study, a spiroisoxazoline family of Small Molecules Aborting Resistance
419 (SMART) was developed to phenotypically revert acquired resistance of *M. tuberculosis* to
420 the prodrug ethionamide by inducing the expression of an alternative bioactivation pathway
421 [143]. The SMART molecule fully reversed ethionamide-acquired resistance and efficiently
422 cleared an ethionamide-resistant infection in mice. **In the third study, the assembly of**
423 **functional membrane microdomains (structurally and functionally similar to lipid rafts of**
424 **eukaryotic cells) of methicillin-resistant *S. aureus* (MRSA) was targeted and as a result**
425 **resistance to penicillin was reverted both *in vitro* and *in vivo* [144].**

426

427 **Box 3 - Antibiotic resistance in light of Fisher's model of adaptation**

428 Taking into account that the fitness effects of AR mutations are strongly dependent on the
429 environment, it is of paramount importance to be able to anticipate the effect of resistance
430 mutations across environments. Fisher's geometric model (FGM), which assumes a fitness
431 landscape with a single peak, is a theoretical framework that allows predictions on the
432 distribution of fitness effects (DFE) of mutations [145]. Under FGM an environmental change
433 can be theoretically thought of as a change in the distance to the optimum of a given
434 population or a change in the position of the optimum itself. FGM assumes that mutations
435 affect pleiotropically a number of quantitative traits under stabilizing selection and many
436 antibiotic targets are known to have pleiotropic effects. Maybe that is why this model has

437 been effective in describing the fitness effects of antibiotic resistance in the absence [49]
438 and presence of antibiotic [17].

439 For instance, a study [49] using mutations in *E. coli* conferring resistance to streptomycin,
440 rifampicin or D-cycloserine found that antibiotic mutation effects in the absence of antibiotic
441 were well described by a shifted gamma distribution as predicted by FGM, with a shift
442 parameter (reflecting the distance to the fitness peak) varying across environments. A
443 somewhat extended FGM was also robust enough to accurately describe the mutational
444 pattern of AR in *E. coli* across a gradient of nalidixic acid, a quinolone [17]. The implemented
445 extensions took into account that: i) only a minor subset of mutations from specific regions
446 of the genome will affect the ability to resist antibiotics (modularity). This proportion of
447 resistance mutations seems to sharply decrease with the increase of the antibiotic
448 concentration, a result with clinical relevance; ii) the effect of a mutation is dependent of the
449 environmental selective constraints and thus, the same mutation may confer a fitness
450 increase in one environment and not in others; and iii) different antibiotic concentrations
451 may either constrain the optimal fitness that populations can reach (changing the height of
452 the fitness peak) or change the rate of fitness increase with each mutation (changing the
453 width of the peak). In the future, it will be important to distinguish in between these two
454 latter processes.

455 Lastly, FGM also provides a reasonable theoretical framework to predict the dynamics of
456 compensatory evolution of AR [146]. For instance, FGM predicts that compensatory
457 mutations should occur at higher rates and cause higher fitness increases in strains where
458 the costs of AR are larger.

459

460 **Glossary**

461 **antibiotic resistance** - an inheritable ability of microorganisms to grow at high
462 concentrations of antibiotic (independently of whether it is bacteriostatic or
463 bactericidal) and irrespective of the duration of treatment.

464 **natural selection** - evolutionary process by which the genotypes best phenotypically
465 adapted to a particular environment in a population, increase in relative frequency
466 with respect to less adapted organisms over generations.

467 **fitness** - a term that refers to the survival and reproductive success of an organism in
468 an environment. In bacteria relative fitness is measured by competing two genotypes

469 (i.e.: resistant versus sensitive) and accounting for the change in frequency over time
470 (competitive fitness). Fitness of bacteria can also be estimated by measuring
471 reproductive related traits such as growth rate, carrying capacity or length of lag
472 phase.

473 **selective pressure** – an evolutionary effect exerted by any cause or agent (i.e.: an
474 antibiotic) that increases or reduces the reproductive success (fitness) of a genotype,
475 changing its frequency in a population.

476 **cost of resistance** – deleterious effect to an organism fitness caused by the presence
477 of either a chromosomal mutations conferring resistance or mobile genetic elements
478 carrying resistance.

479 **reversion** – genetic reversion occurs when a mutation returns to the original genetic
480 sequence. Phenotypic reversion of an AR mutation occurs when the resistance
481 mutation is maintained but the sensitive phenotype is restored.

482 **compensatory mutations** – adaptive mutations which reduce the fitness costs
483 caused by a pre-existing condition, such as the presence of antibiotic resistance
484 mutations or MRE.

485 **epistasis** - phenomenon where the effect of one mutation is dependent on the
486 presence of other pre-existing mutations, e.g. the genetic background.

487

488

489 **FIGURE LEGENDS**

490

491 **Figure 1 – Emergence and maintenance of bacterial antibiotic resistance.**

492 **(A) Multidrug resistance under natural selection.** *E. coli* can acquire rifampicin (Rif) and
493 streptomycin (Str) resistance through mutations in the *rpoB* or *rpsL* genes, respectively (blue
494 and purple circles), which allow the bacteria to survive during an antibiotic treatment
495 (represented by the capsules). After antibiotic treatment, acquisition of resistance is often
496 associated with fitness costs (red arrows) which can be alleviated (brown arrows) by
497 compensatory mutations in known gene targets (orange circles). Bacterial population after
498 rifampicin treatment will be enriched in resistance with compensated costs and, if submitted
499 to subsequent treatments with other antibiotics (i.e.: streptomycin), may lead to the
500 development of multiple resistances by the acquisition of mutations. **(B) Compensation**
501 **under short-term and long-term antibiotic treatments.** Use of antibiotics can strongly select
502 for resistant mutants **(a)**, favouring multiplication of the resistant strain **(b)**. On a long-term
503 antibiotic treatment (*upper panel*), competition between resistant strains will increase over
504 time and compensation to the fitness costs is likely to occur during the treatment **(c)**. In a
505 short-term antibiotic treatment (*bottom panel*), compensation during treatment is unlikely
506 because the advantage of resistance over the susceptible bacteria outweighs the fitness
507 costs. In both scenarios, once the antibiotic treatment finishes, resistant strains will often
508 have a fitness costs when competing against the susceptible strain and compensation will
509 occur **(c)**. Time course of antibiotic treatment results in bacteria with different genetic
510 backgrounds since they compensate differently for the costs of resistance. Whether this
511 compensation occurred in presence or absence of antibiotics may strongly affect the fate of
512 these mutants in competition with the sensitive strain.

513

514 **Figure 2 – Genotype-by-genotype-by-environment (GxGxE) interactions.**

515 **(A) Epistasis between costly resistances.** Epistasis can be *negative*, whereby the fitness of
516 the double resistance is lower than expected, or *positive*, whereby the fitness of the double
517 resistance is higher than expected. *Sign epistasis* represents a particular interaction,
518 whereby the sign of the fitness of a double mutant changes depending on genetic
519 background – a single mutation may be deleterious on the susceptible background, but may
520 be beneficial or have no effect on a single resistance background. **(B) Epistasis between**

521 **resistances changes compensation.** When double resistance is not epistatic, the prediction
522 is that the same compensation targets as the sum of the ones found in the single resistances
523 will be found. When double resistance interacts negatively, increasing the fitness cost, a new
524 set of compensatory mutations targeting the negative epistasis can occur [72]. When double
525 resistance interacts positively, reducing the fitness cost, less compensatory mutations are
526 expected to be available than the sum of targets found in the single resistances. Thickness of
527 orange arrows represents compensatory mutations of higher effect and the numbers
528 represent an example of expected compensatory genes for each resistance. **(C) Epistasis**
529 **depends on the environment.** Fitness of double resistance ($Ant_1^R + Ant_2^R$) depends on the
530 environment. Not only the same single resistances to either antibiotic (Ant_1^R , in green or
531 Ant_2^R , in red) may have a different fitness depending on the environment but also the
532 interactions in between Ant_1^R and Ant_2^R mutations might change depending on the
533 environment, leading to negative epistasis in the environment I (*left panel*) and *positive*
534 *epistasis* in environment II (*right panel*).

535

536

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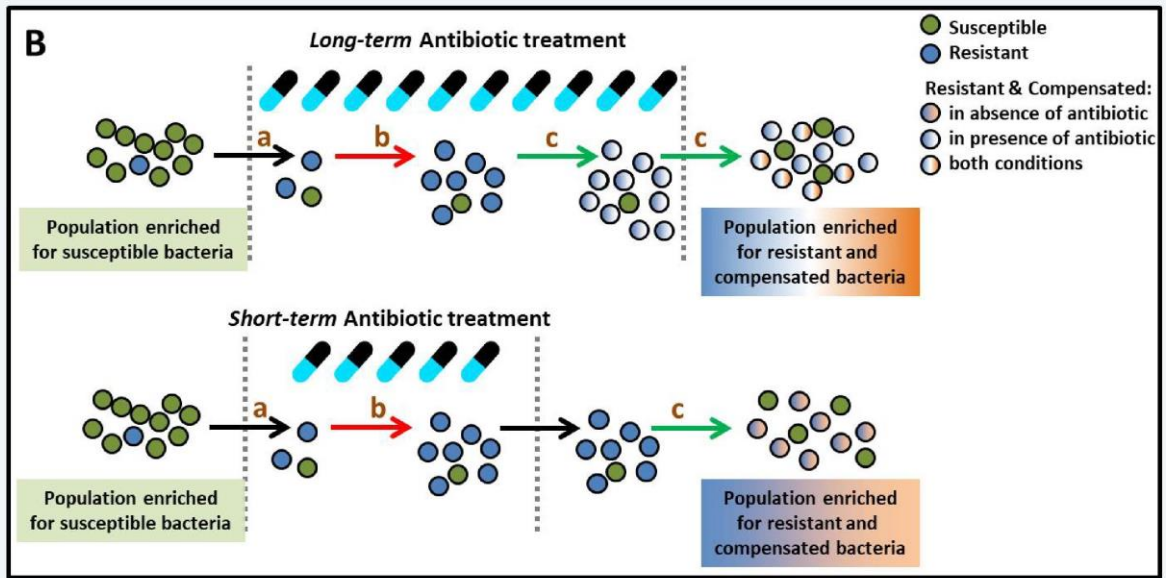
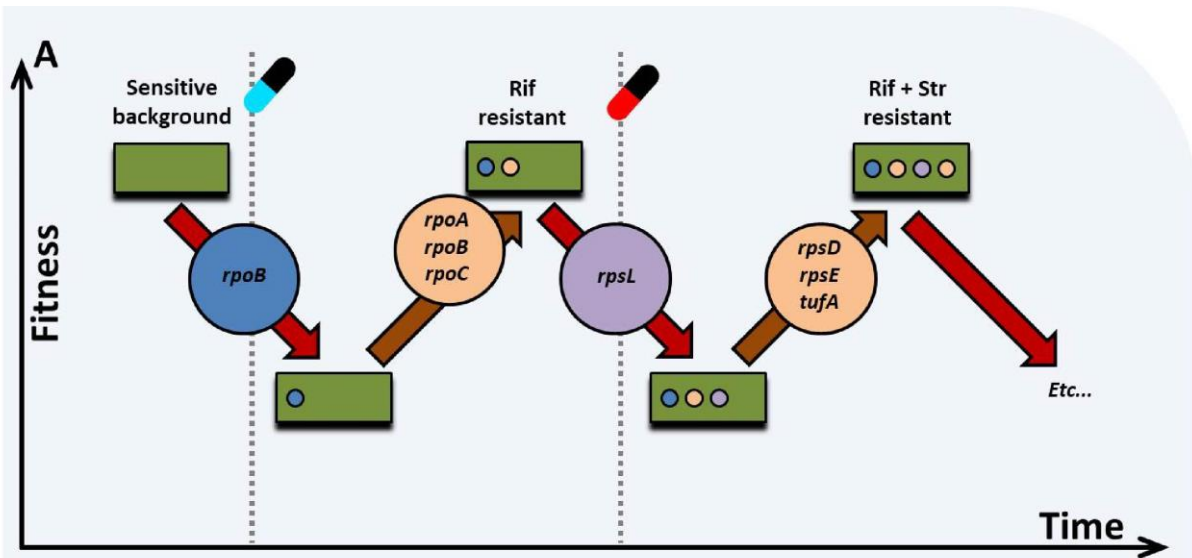
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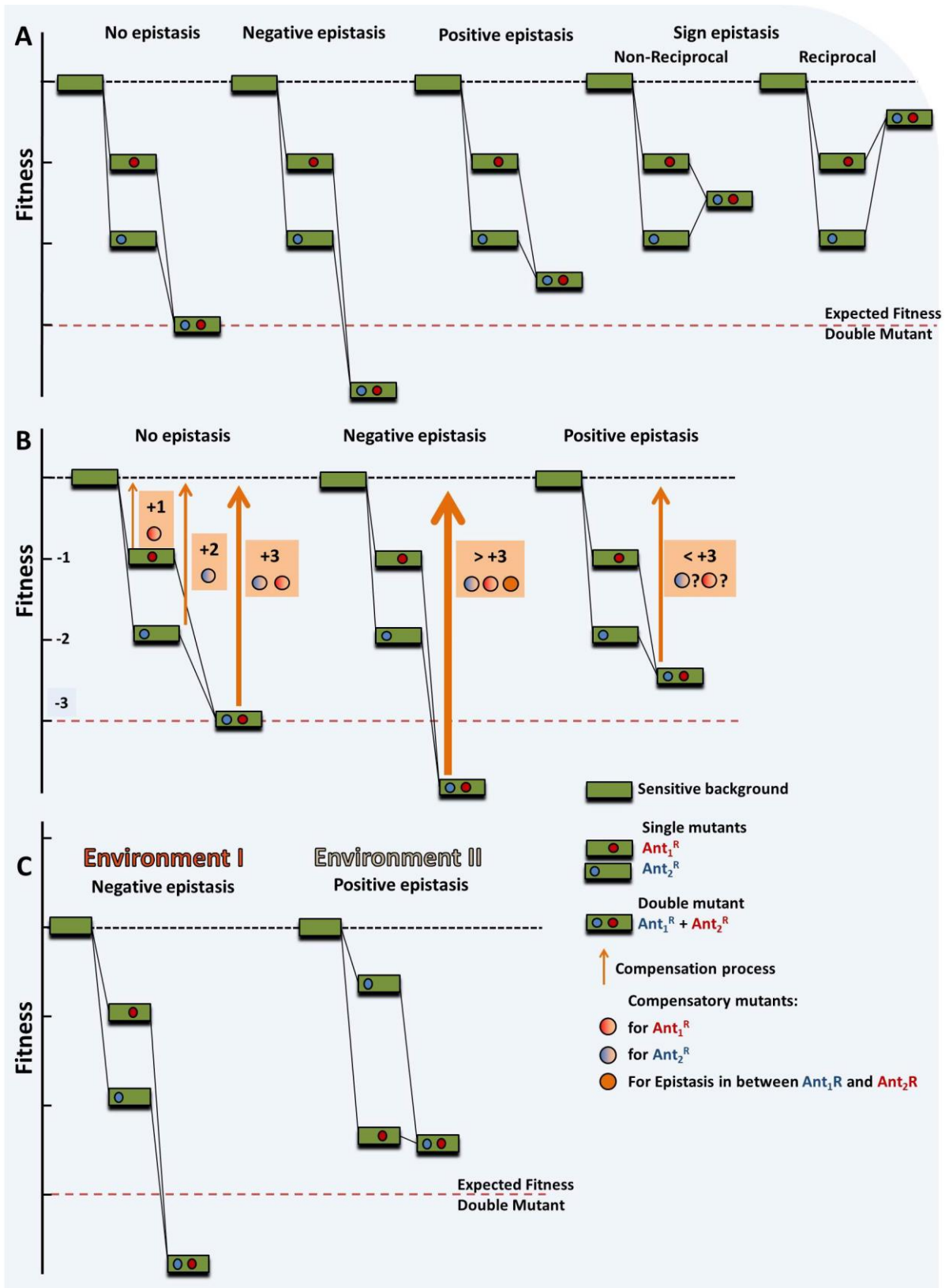
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846 **Highlights**

- 847 • Most antibiotic resistance mutations reduce bacterial fitness in the absence of the
848 antibiotic, but some are not costly, or can even be advantageous in certain
849 environments, including infection-related conditions.
- 850 • Acquiring a new resistance can alleviate the cost of a pre-existing one, thus favouring
851 the emergence of multidrug resistant bacteria.
- 852 • The compensatory evolution of multidrug resistant bacteria is distinct from that of
853 single-resistant bacteria, since the proteins mediating functional interactions
854 between those affected by resistance mutations become new targets for their
855 compensation.

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858 **Outstanding Questions**

- 859 • Fitness effects of AR are environmental dependent. How to identify the key
860 characteristics of the environment to be able to predict resistance effects *in vivo*?
- 861 • Compensation of costs of multiple resistances can occur in a few days in the lab.
862 What is the rate at which compensation occurs in the human host?
- 863 • How many mutations are adaptive to pathogens depending on the presence or
864 absence of antibiotics in the environment?
- 865 • To what extent is epistasis relevant *in vivo* and how to measure epistasis between
866 many resistances?
- 867
- 868