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1 **Title: Improvement of separation performance by fluid motion in the membrane module with**
2 **a helical baffle**

3
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26 **Abstract**

27 Pressure-driven membrane filtration processes such as microfiltration and ultrafiltration are still
28 hindered by concentration polarization and membrane fouling. Generally in these filtration processes,
29 concentration polarization causes decline of permeate flux and rejection, and fouling leads to permeate
30 flux decline with the increase of rejection. The use of high shear stress for cross flow filtrations has
31 long been considered one of the most efficient methods for overcoming these problems. However,
32 circumferential fluid motion of the hollow fiber membrane surface is also important to avoid formation
33 of a high concentration layer on the surface. In this study, ultrafiltration of humic acid aqueous solution
34 using a polyethersulfone hollow fiber membrane was selected as a model case, and a membrane
35 module with a helical baffle installed around the membrane was used. With the insertion of the baffle,
36 normalized permeate flux and rejection became higher than those without the baffle at the wide range
37 of the feed flow rate. In order to identify the cause of the improvement, CFD simulation was conducted
38 for different baffle geometries. Swirling flow motion generated by the helical baffle around the
39 membrane became more dominant with the lower aperture ratio of the cross sectional area, and there
40 existed the optimum value for the swirling flow generation in terms of the variation of the helical
41 baffle pitch length. The intensity of this fluid motion was characterized by Swirl number and it was
42 found out that high separation performance was obtained at the high Swirl number.

43

44 **Keywords:** ultrafiltration; water purification; swirling flow, helical coil; process intensification

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51 **1. Introduction**

52 Membrane filtration is applied to a wide variety of industrial fields, such as wastewater, food,
53 pharmaceutical and petrochemical processing and so on. Among these, pressure-driven processes such
54 as microfiltration and ultrafiltration have been still seriously hindered by concentration polarization
55 and membrane fouling. Some of the components in the solution are rejected by the membrane, and the
56 rejected components are concentrated at the upstream membrane surface. This is called concentration
57 polarization which is often the reason for the serious limitation due to its negative influence on the
58 transmembrane flux in microfiltration and ultrafiltration. Furthermore, the emergence of concentration
59 gradient at the membrane and solution interface promotes the transfer of the rejected components
60 through the membrane and results in the decrease in the separation performance. Fouling is often the
61 result of concentration polarization and could be described as adsorption on pore walls or
62 accumulation of foulant to form a second layer on the membrane surface which causes the decline of
63 permeate flux [1]. However, with the increase of the resistance to the flux, the fouling also leads to the
64 increase of the ability to reject the foulant due to the pore capacity decline or cake layer formation.

65 Hollow fiber membrane filtration is mostly carried out in a cross-flow manner because the axial
66 fluid flow along the membrane surface increases mass transfer from the membrane surface to the bulk
67 solution. This mass transfer improvement reduces the concentration of the concentration polarization
68 layer at the membrane surface and thus inhibits the fouling formation as a result of the concentration
69 polarization [1]. In addition, the axial flow generates shear stress at the vicinity of the membrane
70 surface and is capable of removing the membrane fouling. Generally the flow rate of the processing
71 fluid is quite high and the flow regime is turbulent to enhance the mass transfer and shear stress. This
72 simple method is widely employed in industry but contains a problem that the high flow rate causes
73 the pressure drop increment leading to the high power consumption. However, there are also reports
74 about inhibition of declines in performance by utilizing fluid motion such as vortex and periodic
75 unsteady flow; applications of Taylor vortices [2], Dean vortices [3] and oscillating motions [4, 5].

76 The use of baffles in a tube is a simple way to induce specific flow fields [6-12]. Wide variety of
77 baffle configurations has been tested such as central baffles [10], rod baffles [11] and helical baffles
78 [6-9]. With regard to the helical baffle, the flow visualization [13] and the effect of heat transfer by
79 changing baffle geometry were carried out experimentally [14]. It was also shown that the performance
80 for membrane filtration was improved and greatly affected by the baffle geometry inserted into the
81 membrane tube [6, 7]. The effects of feed concentration, net flow rate and transmembrane pressure
82 were investigated by flow analysis using CFD in the membrane module with a helical baffle [8, 9],
83 but the investigations in terms of the systematic changes of the baffle geometries were not carried out,
84 or in other words they focused on the comparison of the cases with and without baffles. In addition,
85 these studies were conducted under turbulent flow regime based on the standard operational condition
86 [7-12]. Therefore, the flow patterns are so complex that a specific flow motion affecting on the
87 improvement the filtration performance haven't been sufficiently described.

88 In order to realize more efficient filtration processes, operation at a low flow rate is required from
89 the aspects of low pressure drop or power consumption. By utilizing a specific fluid motion induced
90 by a helical baffle high permeate flux and rejection can be achieved under low flow rate condition. In
91 this study a cross-flow-type membrane module with a helical baffle around a hollow fiber membrane
92 was employed and the relationship between fluid motion and filtration performance was investigated
93 experimentally and numerically to identify the cause of the performance improvement.

94

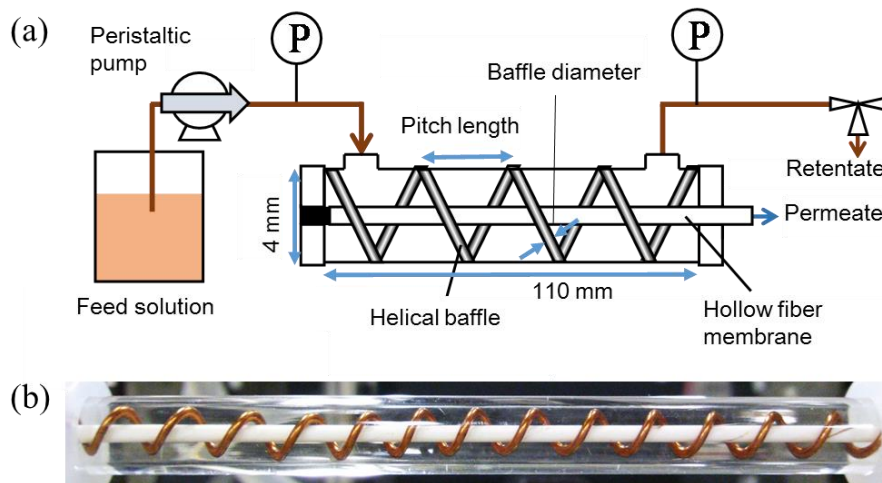
95 **2. Materials and methods**

96 **2.1. Experimental setup and filtration medium**

97 Fig. 1 shows the experimental setup for ultrafiltration. A polyethersulfone (PES) hollow fiber
98 membrane (molecular weight cut off (MWCO) = 150 kDa; inner diameter = 0.80 mm; outer diameter
99 = 0.95 mm; length = 110 mm; effective surface area $3.3 \times 10^{-4} \text{ m}^2$) purchased from Daicel Membrane-
100 Systems LTD. (Product No. FUS1582) was installed concentrically in a clear acrylic resin pipe with a

101 4 mm inner diameter. The membrane is asymmetric but has skin layers for filtration on the inner and
 102 outer sides [15]. A helical baffle made of a bronze wire was inserted into the gap between the
 103 membrane and the resin pipe. As for the water purification experiment, humic acid, a major
 104 contaminant of drinking water, was selected as a model filtrated component in this study. The
 105 concentration of humic acid in the aqueous solution was fixed at $50 \text{ mg}\cdot\text{L}^{-1}$, and pH was adjusted to
 106 8.4 by adding sodium hydrogen carbonate to be 1 mM. Each filtration experiment was carried out by
 107 forcing a feed solution to permeate from the outside to the inside of the hollow fiber membrane by 0.5
 108 bar transmembrane pressure.

109



110

111 Fig. 1 (a) Schematic of the external-pressure-type cross flow filtration module with a hollow fiber
 112 membrane and a helical baffle. (b) Picture of the membrane module with a helical baffle

113

114 2.2. Evaluated experimental conditions

115 An aperture ratio of the open cross-sectional area of the module, Φ [%], and a baffle pitch length,
 116 b [m], were varied at 27, 34 and 53 %, and 2 – 12 mm, respectively. The aperture ratio can be varied
 117 by changing the diameter of the baffle wires and calculated by subtracting the projected areas of the
 118 membrane and helical baffle from the cross sectional area of the module. The baffle pitch length is a
 119 distance between the coils. The inner diameter of the module, d_m [m], was fixed at 4 mm, and the

120 baffle pitch was normalized by being divided by d_m . The effect of Reynolds number, Re , was also
121 examined by changing the flow rate of the feed solution. All of the examined experimental conditions
122 are listed in Table 1.

123

124 Table 1 Experimental conditions and geometric dimensions of helical baffles

Reynolds number Re [-]	Pitch length b [mm]	Tube diameter d_m [mm]	Baffle diameter d_b [mm]	Aperture ratio Φ [%]	Trans membrane pressure [bar]
68 – 615	2 – 12	4	0.5, 0.85, 1.0	53, 34, 27	0.5

125

126 2.3 Methods to determine permeate flux and humic acid concentration

127 A permeability was evaluated by a normalized permeate flux, J/J_0 to eliminate the individual
128 membrane variability. J and J_0 were permeate fluxes when the humic acid solution and distilled water
129 were processed, respectively. Samples permeated through the membrane and dripping out from the
130 one side of the membrane were collected and weighed to determine a permeate flux. A separation
131 performance was represented by rejection, $R = 1 - (C/C_0)$ where C and C_0 are the concentrations of
132 humic acid in the permeated samples and the feed solution, respectively. This is not an intrinsic
133 rejection but an observed rejection. The concentration was determined by measuring absorbance at
134 254 nm light [16] using a UV-vis spectrophotometer, SHIMADZU MPS-2400.

135 2.4. Numerical simulation of fluid motion in the membrane module

136 The numerical simulation was conducted using commercial CFD software, (R-flow, RFLOW Co.
137 Ltd.) in order to observe the flow field in the module. The permeation through the membrane and
138 transmembrane pressure were not considered in the calculation because the permeate flux was much
139 lower than that of the feed flow. The geometry of the module used for the simulation was the same as
140 the experiment shown in Fig. 1 and Table 1, and the properties of the fluid were assumed to be the
141 same as water. The walls of the membrane surface, the inner surface of the cylinder and the outer

142 surface of the helical baffle were considered to be non-slip condition. The pressure-velocity-coupling
 143 scheme was resolved with SIMPLE algorithm. Mesh was 24 in the radial direction, 1700 in the axial
 144 direction and 100 in the circumference direction. The governing equations used for the simulation are
 145 the conservation equations of momentum and mass are given as

$$146 \quad \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = -\frac{\nabla p}{\rho} + \frac{1}{\rho} \nabla \cdot (\eta \nabla \mathbf{u}) + \mathbf{g} \quad (1)$$

$$147 \quad \nabla \cdot \mathbf{u} = 0 \quad (2)$$

148 where \mathbf{u} is the fluid velocity, t is the time, p is the pressure, ρ is the density, η is the viscosity and \mathbf{g} is
 149 the gravitational acceleration. In this simulation, the steady state was assumed. The validation of the
 150 simulation and mesh sizes were conducted by comparing the pressure drops of the simulation results
 151 and experimental results.

152

153 **3. Results and discussion**

154 **3.1 Determination of fouling mechanism**

155 In order to examine the effect of fluid motion on fouling removal, it is necessary to comprehend
 156 the mechanism of the fouling deposition on the membrane surface in advance. Table 2 shows the list
 157 of the filtration rate equations expressing the 4 different blocking filtration models under constant
 158 pressure condition [17]. In the equations, $(dv/d\theta)_0$ is the initial filtration rate and K_{cb} , K_{sb} , K_{ib} and K_c
 159 are constants for each model. These equations are originally from the equation (3)

$$160 \quad \frac{d^2 \theta}{dv^2} = k_p \left(\frac{d\theta}{dv} \right)^n \quad (3)$$

161 where θ is a filtration time, v is a cumulative filtrate volume per unit effective membrane area, k_p and
 162 n are constants. n can be determined corresponding to each model as shown in Table 2. The schematic
 163 diagrams of these models were presented in the papers [17-19]. (a) is a complete-blocking model:
 164 Particles larger than the membrane pores uniformly block pores on the membrane surface. (b) is a

165 standard-blocking model: Particles smaller than the membrane pores deposit on the wall of the pores
 166 and the pore capacity gradually decreases. (c) is an intermediate-blocking model: This is similar in
 167 that particles larger than the membrane pores uniformly block. Most of the pores are blocked at the
 168 initial stage while a lot of open pores exist, but as the blocking proceeds it becomes more difficult for
 169 this to occur due to the reduced number of pores. In order to describe the process, the model equation
 170 was constructed by Hermia (1982) based on a stochastic model [20]. (d) is a cake filtration. It is not
 171 pore blocking but cake formation on the membrane surface, and the filtration resistance increases
 172 gradually by the cake formation and its growth on the membrane surface. Although these 4 processes
 173 may occur simultaneously, the most dominant blocking mechanism can be determined by conducting
 174 the linear plotting of experimental data in accordance with the model equations.

175

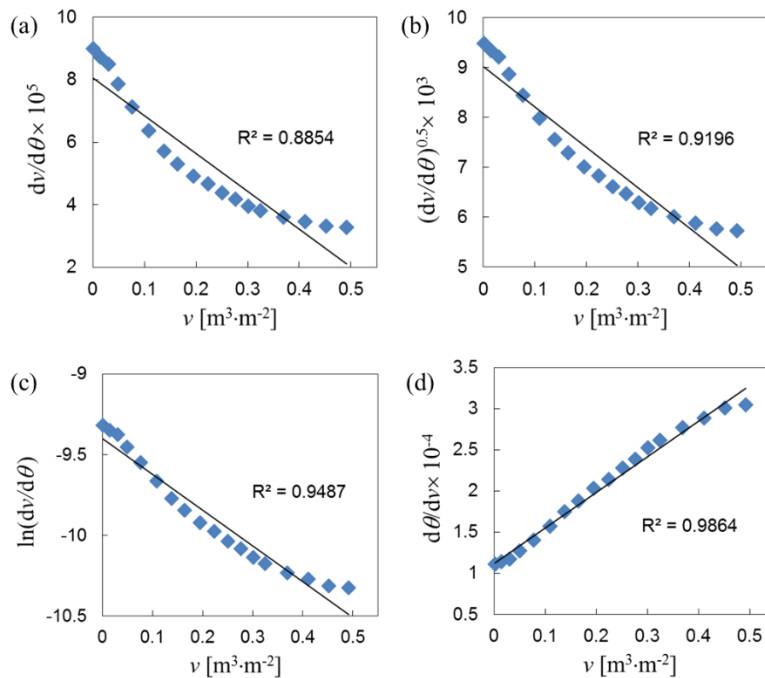
176 Table 2 Filtration rate equations under constant pressure condition for various blocking filtration
 177 models [17]

Blocking filtration law	n	Filtration rate equation
(a) Complete blocking	2	$\frac{dv}{d\theta} = \left(\frac{dv}{d\theta}\right)_0 - K_{cb}v$
(b) Standard blocking	1.5	$\frac{dv}{d\theta} = \left(\frac{dv}{d\theta}\right)_0 \left(1 - \frac{K_{sb}v}{2} \left(\frac{dv}{d\theta}\right)_0^{-1/2}\right)^2$
(c) Intermediate blocking	1	$\frac{dv}{d\theta} = \left(\frac{dv}{d\theta}\right)_0 \exp(-K_{ib}v)$
(d) Cake filtration	0	$\frac{d\theta}{dv} = \left(\frac{d\theta}{dv}\right)_0 + K_c v$

178

179 Fig. 2 shows the experimental results modified with each of the model equations when the humic
 180 acid solution and polyethersulfone membrane were used without baffles. Each coefficient of
 181 determination was compared in the wide range of the cumulative filtrate volume per unit effective
 182 membrane area, v [$\text{m}^3 \cdot \text{m}^{-2}$]. The value for the cake filtration was the closest to 1 compared with the

183 other 3 cases, and thus the cake filtration turned out to be a dominant model. This indicates that most
 184 of the foulant particles accumulated on the membrane surface. There are two possibilities to inhibit
 185 concentration polarization and fouling thus maintaining high filtration performance. The first is the
 186 removal of deposited foulant particles by a high shear stress due to a high flow rate. The other is to
 187 generate a flow which keeps foulant particles away from the membrane surface by replacing the fluid
 188 at the surface.
 189



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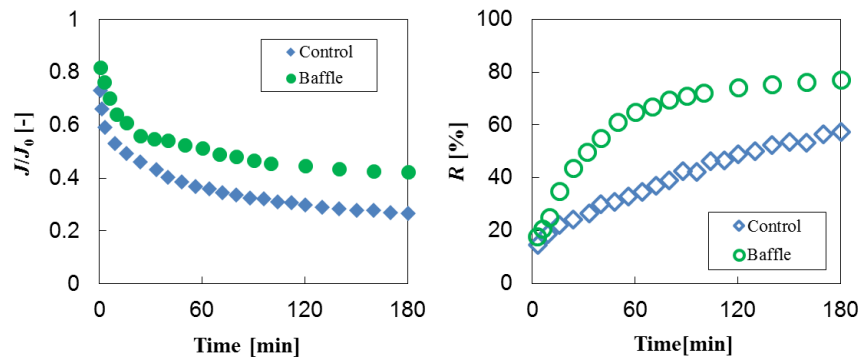
191 Fig. 2 Linear fitting results with the 4 different filtration blocking models listed in Table 2 when the
 192 humic acid and polyethersulfone membrane were used.

193

194 3.2 Time variation of permeate flux and rejection

195 Fig. 3 shows that time variation of permeate flux and rejection for the cases without and with a
 196 baffle ($Re = 68$, $b/d_m = 1.5$, $\Phi = 34\%$). Only by inserting the helical baffle, the permeate flux and
 197 rejection were improved from the initial filtration time. As for the cake filtration mechanism, foulant

198 is considered to be concentrated quickly on the membrane surface because the permeate flux is higher
 199 at the initial stage. The concentrated foulant passes through the membrane with the driving force of
 200 the concentration gradient at the membrane and solution interface (i.e. concentration polarization) and
 201 causes the rejection decrease as a result. The rejection for the case with the helical baffle was much
 202 higher at the initial stage and kept higher value than that without the baffle. It indicates that the specific
 203 fluid motion induced by the helical baffle promoted mixing at the vicinity of the membrane surface
 204 and kept the foulant away from this region. In addition, the permeate flux also kept at higher value
 205 than that without the baffle. Since it is assumed that the cake layer leading to the permeate flux
 206 reduction might be formed after the concentrated foulant was adsorbed and accumulated on the
 207 membrane surface, the mixing of the high concentrated region inhibited the formation of the cake layer
 208 as well and led to the preservation of higher permeate flux.
 209



210
 211 Fig. 3 Time variation of the normalized permeate flux J/J_0 and rejection R for the membrane module
 212 with the helical baffle (Baffle) and without the helical baffle (Control) under the condition Re
 213 $= 68$, $b/d_m = 1.5$ and $\Phi = 34\%$.
 214

215 3.3 Effect of Reynolds number on filtration performance

216 Fig. 4 shows the permeate flux and rejection against Reynolds number for the cases without and
 217 with the helical baffle ($b/d_m = 1.5$, $\Phi = 34\%$). Each of the plots was obtained at 180 min when the time

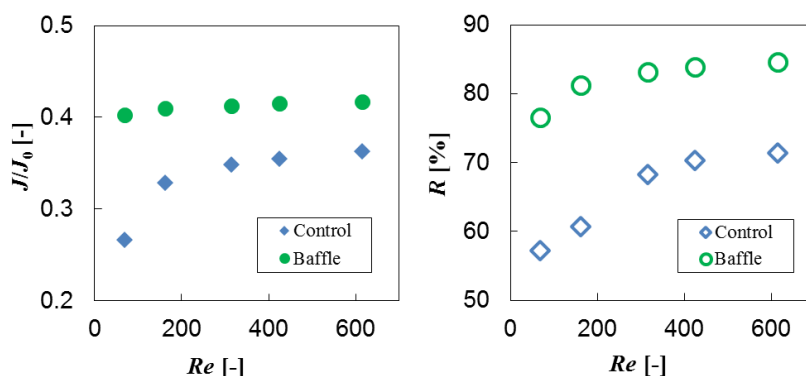
218 variations of the permeate flux and rejection were considered to be stable, but it should be note that it
219 didn't reach the inherent steady state. As for the case without the baffle, both of the permeate flux and
220 rejection increased with Reynolds number increase. When the flow rate becomes higher, the mass
221 transfer from the membrane surface of the feeding side can be promoted and leads to the reduction of
222 the concentration polarization layer. This reduction of the concentration gradient between the feeding
223 and permeation sides of the membrane resulted in the rejection increment with the mechanism
224 explained in the previous section. Besides, in the case of pressure driven processes, concentration
225 gradient between the feeding and permeation sides of the membrane causes an increase of the osmotic
226 pressure gradient in the membrane, which reduces the net driving pressure gradient [21]. The reduction
227 of the layer thickness also increased the permeate flux by reducing this osmotic pressure. Another
228 cause of the permeate flux increment was the high shear stress removing the accumulated foulant on
229 the membrane surface and maintaining the cake layer thinner. However, the flux and rejection
230 improvement became lower at the higher flow because the streamlines were uniform along the axial
231 direction of the membrane fiber, and even when the flow rate became higher, concentration
232 polarization layer still existed to some extent which caused fouling development.

233 On the other hand, for the case with the helical baffle, higher filtration performance was obtained
234 at the low Reynolds number. Even when the case with the baffle at $Re = 68$ and the case without the
235 baffle at $Re = 615$ were compared, the former showed higher filtration performance. This is because
236 the specific fluid motion induced by the helical baffle gave a disturbance on the concentration
237 polarization layer, and led to the reduced concentration. Additionally, since the highly concentrated
238 region was difficult to be formed on the surface, the less amount of foulant could be adsorbed and
239 accumulated. Thus the thick cake layer was not formed and the high permeate flux could be achieved.
240 It is inferred that the specific fluid motion generated by the guide of the helical baffle was more
241 effective on maintaining the high filtration performance than the high flow rate.

242 However, in the case with the helical baffle, the degree of the improvement obtained by the flow

243 rate (Re) increase was less than the case without the baffle. Although the major cause of the high
 244 performance at the low flow rate was the fluid motion induced by the helical baffle, the proportion of
 245 the by-pass flow passing through the gap between the baffle and membrane would be increased when
 246 the flow rate was higher. In other words, the fluid motion induced by the baffle became less dominant
 247 at the higher flow rate.

248



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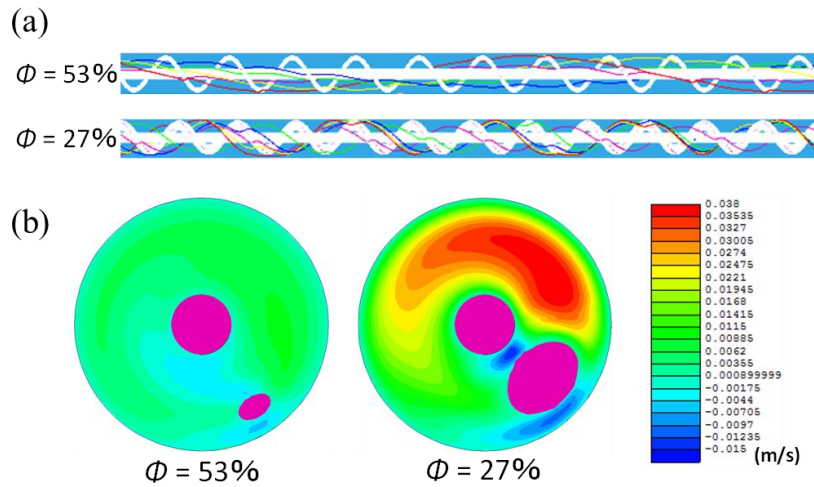
250 Fig. 4 Dependency of Reynolds number on the normalized permeate flux J/J_0 and rejection R for the
 251 membrane module with the helical baffle (B) and without the helical baffle (C) under the
 252 condition $b/d_m = 1.5$ and $\Phi = 34\%$ after the 180 min filtration operation.

253

254 3.4 Numerical analysis of fluid motions with different baffle geometries

255 In order to identify the specific fluid motion induced by the helical baffle and enhancing the
 256 filtration performance, the numerical investigation was carried out. Fig. 5 shows (a) the streamlines
 257 starting from the randomly selected initial positions and (b) the contour of the circumferential flow
 258 velocity to the counterclockwise direction in the cross section at the aperture ratios, $\Phi = 27\%$ and 53%
 259 with the fixed pitch length of the helical baffle ($b/d_m = 1.5$). It should be noted that (a) the dots on the
 260 baffle have no meaning and (b) the violet areas at the center and at the lower right are the membrane
 261 without considering the hollow space and the cross-section of helical baffle, respectively. At the lower
 262 aperture ratio, it was clearly seen that the streamlines had the similar trajectory as the geometry of the

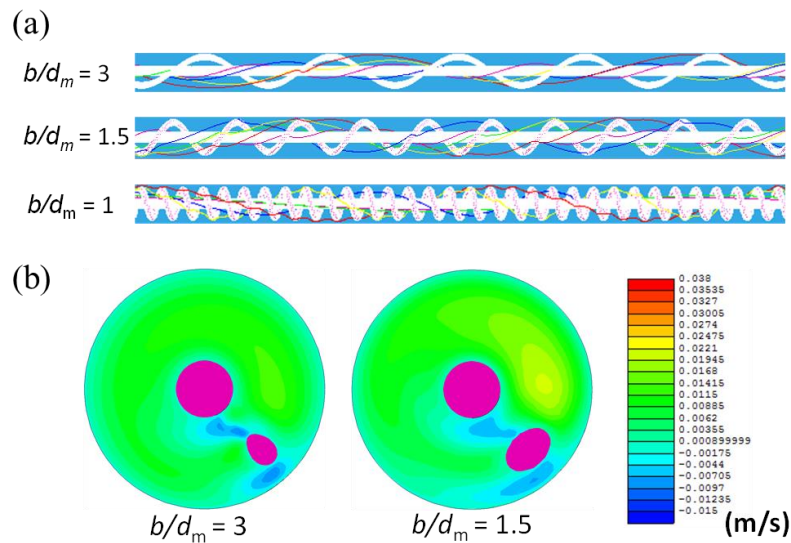
263 helical baffle. Additionally, high velocity region above the baffle in the circumferential velocity field
 264 could be observed. This specific fluid motion induced by the helical baffle is called as “swirling flow”
 265 in the following. When the higher aperture ratio of 53%, the swirling flow became less dominant
 266 compared with the axial component because the flow passed through the gap more easily.
 267



268
 269 Fig. 5 (a) Streamlines starting from the randomly selected initial positions and (b) circumferential
 270 velocity fields in the cross section at the aperture ratios, $\Phi = 27\%$ and 53% under the condition
 271 $Re = 68$ and $b/d_m = 1.5$.

272
 273
 274 Fig. 6 also shows the streamline and the circumferential flow velocity in the cross section when
 275 the normalized pitch, b/d_m , were 1.0, 1.5 and 3.0 and the aperture ratio was constant at 38%. The
 276 number of wire coils around the membrane was adjusted by changing the pitch length. At the lower
 277 b/d_m , (i.e. more coils around the membrane), the streamline was predominantly axial, and swirling or
 278 rotational movement was weak. Conversely, at a higher b/d_m , the number of the rotations of the
 279 streamline was nearly equal to the coils of the helical baffle. In comparison of 1.5 b/d_m , and 3 b/d_m ,
 280 coil rates, the streamline completed more rotations at 1.5 b/d_m . Also, when examining the

281 circumferential velocity, a higher velocity region above the baffle was observed at $1.5 b/d_m$, although
 282 there was not a significant difference. This shows that in order to maximize the number of rotations
 283 and rotation velocity of the fluid, there exists an optimum b/d_m .
 284



285
 286 Fig. 6 (a) Streamlines starting from the randomly selected initial positions and (b) circumferential
 287 velocity fields when the normalized pitch b/d_m were 1.0, 1.5 and 3.0 under the condition $Re =$
 288 68 and $\Phi = 38\%$.

289

290 3.5 Evaluation of performance using Swirl number

291 Figs. 7 and 8 show the permeate flux and rejection when the aperture ratio was changed at the
 292 constant b/d_m and when the b/d_m was changed at the constant aperture ratio, Φ , respectively. In Fig. 7,
 293 the lower the aperture ratio became, the higher permeate flux and rejection were achieved. The lower
 294 aperture ratio corresponds to the narrower gap between the baffle and the other surfaces and made the
 295 swirling flow stronger due to the reduction of the by-pass flow as shown in the previous section. The
 296 swirling flow generated the flow which was not parallel to the membrane surface, and this non-parallel
 297 flow might cause the mixing effect on the concentration polarization layer to decrease the

298 concentration at the membrane surface even under the laminar flow condition. Additionally, since the
 299 fouling was formed as a result of the concentration polarization layer, the fouling was also suppressed
 300 and the permeate flux showed a better performance at the low aperture ratio. In Fig. 8, the lower b/d_m
 301 caused the by-pass flow because it made more difficult to flow along the helical baffle, and thus led
 302 to the less number of the rotations of the streamline. Additionally, at the higher b/d_m , the number of
 303 the helical baffle coils per unit length of the membrane was less, and the number of the rotations of
 304 the streamline necessarily became less even though the by-pass flow was suppressed. Therefore, the
 305 permeate flux showed the optimum value around $b/d_m = 1.5$. On the other hand, the decreasing
 306 tendencies in the rejection at the lower b/d_m and at the higher b/d_m were less obvious than the case in
 307 Fig. 7. It might be said that the circumferential velocity was lower than the case in Fig. 7 and could
 308 not suppress the cake layer formation on the membrane surface, and this thicker cake layer also
 309 rejected the foulant to increase the rejection. However, the mechanisms of the disturbance for the
 310 concentration layer and cake layer were not elucidated sufficiently and further investigation would be
 311 required.

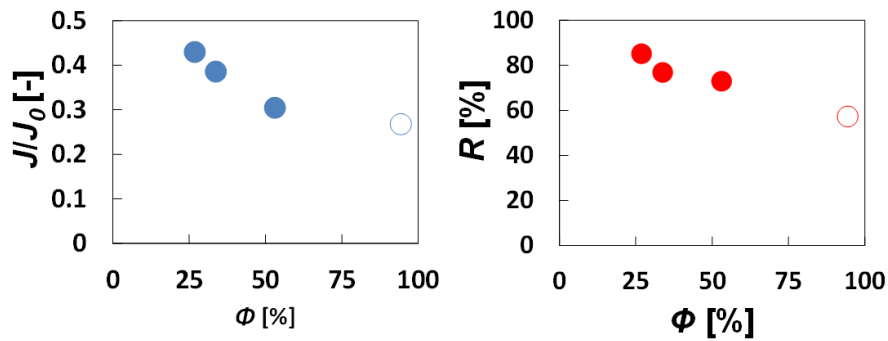
312 The swirling flow induced by the helical baffle could reduce the formation of the concentration
 313 polarization and cake layer rather than the high shear stress. Swirl number, m [-], was employed in
 314 order to evaluate both of the effects of flow rate and the baffle geometry. This dimensionless number
 315 is defined as the ratio of the axial flux of angular momentum to the axial flux of axial momentum [22].
 316 It was originally proposed by Chigier and Beer [23] and simplified by Sheen et al. [24]. This represents
 317 the intensity of the swirling flow.

$$318 \quad m = \frac{\int_0^{R_i} \rho UVr 2\pi r dr}{R_i \int_0^{R_i} \rho U^2 2\pi r dr} = \frac{\int_0^{R_i} UVr^2 dr}{R_i \int_0^{R_i} U^2 r dr} \quad (4)$$

319 where r [m] is a radial position, R [m] is a tube radius, U [$\text{m}\cdot\text{s}^{-1}$] is a axial fluid velocity, V [$\text{m}\cdot\text{s}^{-1}$] is a
 320 circumferential fluid velocity and ρ [$\text{kg}\cdot\text{m}^{-3}$] is a fluid density. The velocity components were obtained
 321 from the results of the CFD simulation in the section 3.4. Fig. 9 shows the relationship between Swirl

322 number and the filtration performance. The permeate flux and rejection was raised with Swirl number
 323 increase. It is assumed that the high mixing intensity could be obtained due to the swirling motion of
 324 fluid at high Swirl number and inhibited the formation of high concentrated layer at the membrane
 325 surface. It is concluded that the filtration performance was improved by enhancing the intensity of
 326 swirling motion in the membrane module.

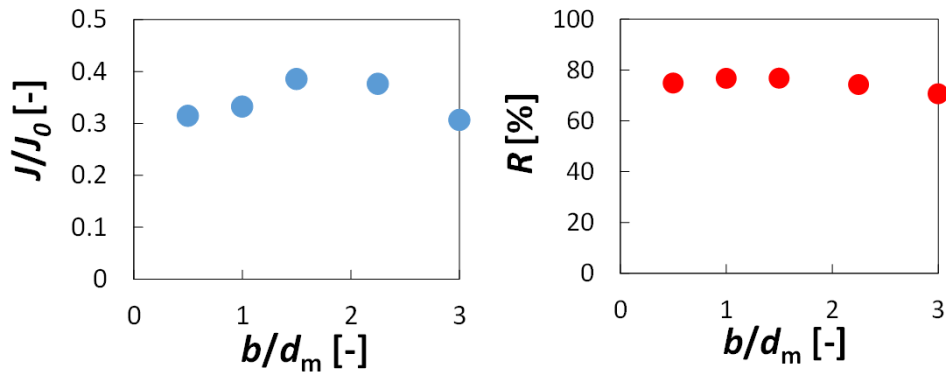
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328

329 Fig. 7 Effect of the aperture ratio on the normalized permeate flux J/J_0 and rejection R after the 180
 330 min operation under the condition $Re = 68$ and $b/d_m = 1.5$ and the hollow marks indicates the
 331 results without the baffle.

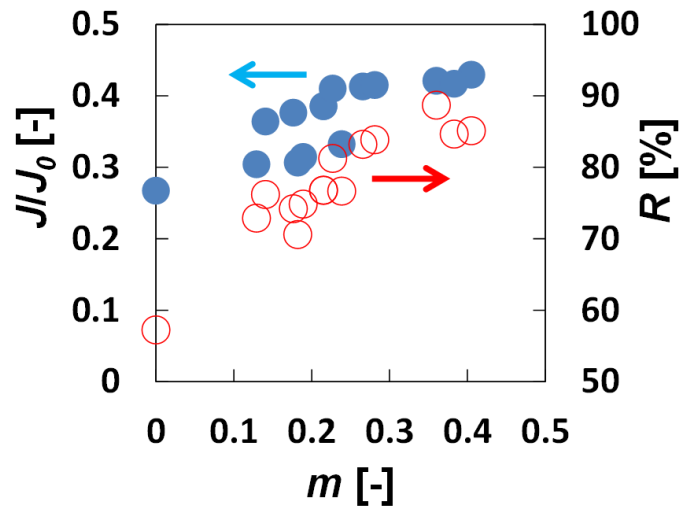
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333

334 Fig. 8 Effect of the aperture ratio on the normalized permeate flux J/J_0 and rejection R after the 180
 335 min operation under the condition $Re = 68$ and $\Phi = 34\%$ and the hollow marks indicates the
 336 results without the baffle.

337



338

339 Fig. 9 Dependency of Swirl number on the filtration performance after 180 min operation: Filled and
 340 hollow marks represent the normalized permeate flux J/J_0 and rejection R respectively.

341

342 4. Conclusion

343 The effect of fluid motion on the filtration performance was investigated for the membrane module
 344 with the helical baffle. Humic acid solution and polyethersulfone membrane were used for the model
 345 filtration process, and the dominant fouling mechanism model was determined to be cake filtration.
 346 With the helical baffle, the filtration performance became higher even at the low flow rate of the
 347 processing fluid than that without the baffle. Swirling flow induced by the helical baffle was more
 348 effective for suppressing concentration polarization and fouling than high flow rate such as turbulent
 349 flow. The swirling flow has a characteristic flow pattern to increase the mixing effect around the
 350 membrane surface and reduce the deposition of the foulant. As the intensity of the swirling flow was
 351 characterized by the dimensionless number, Swirl number, the concentration and deposition of the
 352 foulant were reduced and the filtration performance was improved with the Swirl number increase.

353

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357

358 **Nomenclature**

359 b pitch of helical baffles (m)

360 C concentrations of humic acid in the permeate (kg m^{-3})

361 C_0 concentrations of humic acid in the feed solution (kg m^{-3})

362 d_m inner diameter of the module cylinder (m)

363 g gravitational acceleration (m s^{-2})

364 J permeate flux ($\text{m}^3 \text{m}^{-2} \text{s}^{-1}$)

365 J_0 pure water permeate flux ($\text{m}^3 \text{m}^{-2} \text{s}^{-1}$)

366 k_p constant in Eq.(3) ($\text{m}^{n-2} \text{s}^{1-n}$)

367 K_{cb} constant in Table 2 (s^{-1})

368 K_{sb} constant in Table 2 ($\text{m}^{-1/2} \text{s}^{-1/2}$)

369 K_{ib} constant in Table 2 (m^{-1})

370 K_c constant in Table 2 (s m^{-2})

371 m Swirl number (-)

372 n constant in Eq.(3) (-)

373 p pressure (Pa)

374 r radial position (m)

375 R rejection (%)

376 R_i inner radius of the membrane module cylinder (m)

377 t time (s)

378 u fluid velocity (m s^{-1})

379 U axial fluid velocity (m s^{-1})

380 v cumulative filtrate volume per unit effective membrane area ($\text{m}^3 \text{m}^{-2}$)

381 V circumferential fluid velocity (m s^{-1})

382

383 Greek letters

384 Φ aperture ratio of the open cross-sectional area (%)

385 ρ fluid density (kg m^{-3})

386 μ viscosity (Pa s)

387 θ filtration time (s)

388

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