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### Stimulating effect of thyroid hormones in peripheral nerve regeneration: research history and future direction toward clinical therapy

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#### Abstract

Injury to peripheral nerves is often observed in the clinic and severe injuries may cause loss of motor and sensory functions. Despite extensive investigation, testing various surgical repair techniques and neurotrophic molecules, at present, a satisfactory method to ensuring successful recovery does not exist. For successful molecular therapy in nerve regeneration, it is essential to improve the intrinsic ability of neurons to survive and to increase the speed of axonal outgrowth. Also to induce Schwann cell phenotypical changes to prepare the local environment favorable for axonal regeneration and myelination. Therefore, any molecule that regulates gene expression of both neurons and Schwann cells could play a crucial role in peripheral nerve regeneration. Clinical and experimental studies have reported that thyroid hormones are essential for the normal development and function of the nervous system, so they could be candidates for nervous system regeneration. This review provides an overview of studies devoted to testing the effect of thyroid hormones on peripheral nerve regeneration. Also it emphasizes the importance of combining biodegradable tubes with local administration of triiodothyronine for future clinical therapy of human severe injured nerves. We highlight that the local and single administration of triiodothyronine within biodegradable nerve guide improves significantly the regeneration of severed peripheral nerves, and accelerates functional recovering. This technique provides a serious step towards future clinical application of triiodothyronine in human severe injured nerves. The possible regulatory mechanism by which triiodothyronine stimulates peripheral nerve regeneration is a rapid action on both axotomized neurons and Schwann cells.

**Key Words:** peripheral nerve regeneration; thyroid hormones; thyroid hormone nuclear receptors; biodegradable nerve growth guides; axotomized neuron survival; microsurgery; reinnervation of denervated muscles; compound muscle action potential

### The Need to Stimulate Regeneration of Severed Peripheral Nerves

Peripheral nerve lesions are common and serious injuries affecting about 3% of trauma patients annually and generally lead to considerable motor and sensory disability (Noble et al., 1998). Despite the capacity for endogenous axonal regeneration in the peripheral nervous system, it is commonly found that functional recovery is poor. It is clinically recognized that functional recovery of hand muscles after brachial plexus injury is negligible, poor at best or rather absent (Gordon et al., 2003). Therefore, several techniques of surgical repair have been used to improve regeneration after severe peripheral nerve lesion. The classical method involves mobilization of the nerve to overcome the gap created between the sectioned nerve stumps. However, in some traumatic nerve injuries, nerve loss leaves a significant gap between the cut ends of the nerve that cannot be closed by end-to-end repair. Then, the standard clinical technique for repairing a peripheral nerve when both ends cannot be sutured without tension is to bridge the gap with autologous nerve grafts taken from another nerve of lesser functional importance. Although autografting provides optimal regeneration (Lundborg, 2004), it has several disadvantages, such as the need for a second surgical step, loss of donor nerve function and the most important the limited supply of donor nerves and mismatch between nerve and graft dimensions (Mackinnon and Dellon, 1988). All these factors pushed researchers to find new conduits that could lead regrowing axons from the proximal to the distal stump of severed nerves. Various repair alternatives to the autograft procedure \*Correspondence to: I. Barakat-Walter, Ph.D., Ibtissam.Walter@unil.ch.

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were investigated and the tubulization technique was quickly accepted by many research groups. Tubulization is the introduction of the proximal and distal ends of the inured nerve into a tube to guide the outgrowing nerve fibers towards the distal nerve end. Among experimental models of tubular structures, silicon and other synthetic conduits have been frequently used (Lundborg, 1987; Chen et al., 1989; Fields et al., 1989). Such conduits have the potential to constitute an external guidance channel whereby factors to promote healing could be introduced (Danielsen et al., 1988; Aebischer et al., 1989). Several investigators have reported success using impermeable silicon tubes to promote regeneration across a gap. In fact, studies showed that when the gap is bridged, the degree of recovery is higher and the beginning of reinnervation occurs sooner compared to unrepaired nerve (Buti et al., 1996; Doolabh et al., 1996).

### The Role of Thyroid Hormones (THs) in the Nervous System

The TH, 3,3',5-triiodothyronine (T<sub>3</sub>) and its prohormone, thyroxine (T<sub>4</sub>), are secreted into the blood stream by the thyroid gland in response to thyroid-stimulating hormone (TSH). T<sub>4</sub>, the least active form of TH is more abundant in the blood plasma than T<sub>3</sub> which is the active form (Hulbert, 2000). Within the target cell, the transcriptionally active metabolite T<sub>3</sub> is generated from T<sub>4</sub> by deiodination (stepwise enzymatic removal of iodine) by the iodothyronine deiodinase enzyme D<sub>2</sub>. Generally neuronal T<sub>3</sub> is largely derived from D<sub>2</sub>-dependent metabolism of T<sub>4</sub> in glial cells. In adult

peripheral nerve  $D_2$  is not expressed, however nerve lesions induce  $D_2$  in peripheral nerve sheath compartments containing Schwann cells (Courtin et al., 2005). Therefore, the expression of deiodinases changes according to TH availability, in a kind of feedback system (Li et al., 2001; Bianco and Kim, 2006).

Physiologically, THs are primarily responsible for regulation of metabolism in the human body. Over the last two centuries, clinical observations have revealed that the lack of adequate levels of THs during critical periods of nervous system (NS) development results in cretinism, a syndrome of severe mental deficiency that may be accompanied by retarded growth and neurological deficiency (Kilby, 2003; Glinoer, 2007; Moleti et al., 2016; van Gucht et al., 2016). Moreover, several clinical and experimental data reported that the lack of adequate levels of THs in a critical periods of development leads to histologicals, biochemicals and behavioural abnormalities in the CNS (Porterfield and Hendrich, 1993; Bernal and Nunez, 1995; Ahmed et al., 2008; Mayerl et al., 2012)

Although numerous studies have been devoted to investigate the role of THs in the central nervous system (CNS), only a handful of studies investigated the role of THs in peripheral nervous system (PNS) development. In 1970, interesting studies revealed that in rat, the major consequence of thyroid deficiency in hypothyroid neonatal rats is a reduction in the number of myelinated fibers and a delay in the increase of axon diameter of sciatic nerves (Clos and Legrand, 1970). Furthermore, neonatal hypothyroidism in mice affects the growth of myelinated and non-myelinated axons and their associated Schwann cells (Reier and Hughes, 1972). The extent of remyelination carried out by Schwann cells was decreased in hypothyroid animals compared to normal controls (Franklin et al., 1996). Further evidence accumulated over the last decades supports that developmental myelination is a TH dependent process. In particular, studies in genetically modified animals provided abundant evidence that TH plays an important part in regulating oligodendrocyte or Schwann cell lineages and maturation in vivo (Bernal, 2002). In rodent models of inflammatory-demyelinating diseases, TH administration has a positive effect on clinical outcome and demyelination/remyelination balance (D'Intino et al., 2011). Collectively these finding provide evidence demonstrating the important role of THs in the normal development of peripheral nerves.

#### Olds Studies Reported Contradictory Results on the Role of THs in the Regeneration of Peripheral Nerves

Between 1910 and 1932, some investigators noticed that regeneration following peripheral nerves injury was retarded in thyroidectomized dogs, cats, rabbit and rats (Marinesco and Minea, 1910; Isenschmid, 1932). Accordingly, between 1970-1980, several studies were carried out to investigate the effect of treatment with exogenous triiodothyronine (T<sub>3</sub>) on peripheral nerve regeneration. Experimentally the sciatic nerve was crushed/or transected in adult animals, then animals were treated with daily intraperitoneal or subcutaneous injection with an active form of TH (3,3',5-triiodo-L-thyronine dissolved in 0.01 N NaOH solution). The results of most studies demonstrated that treatment of adult rats with T<sub>3</sub> accelerated the elongation of axons regenerating within peripheral nerves and produced an immediate increase in the synthesis of protein in the soma of injured neurons (Cockett and Kiernan, 1973; Stelmack and Kiernan, 1977) The increase in the rate of regeneration in animals receiving T<sub>3</sub> is associated with a more rapid reinnervation of muscles end-plate and skin (McIsaac and Kiernan, 1975) and with acceleration of the recovery of motor and sensory functions (Berenberg et al., 1977). Idem, the injection of the less active form of THs (T<sub>4</sub>) also significantly increased the regeneration of sciatic nerves in adult rats (Danielsen et al., 1986) and stimulated the growth of regenerating hypoglossal nerve and its rate of muscle reinnervation in adult rats (Yu and Srinivasan, 1981). Moreover, positive clinical effects of TH were reported, such as accelerated outgrowth of new T<sub>3</sub> axons and recovery of sensory conduction in a patient with a transected ulnar nerve (McQuarrie, 1975). Nevertheless, at about the same times conflicting results are began to emerge indicating that the exogenous TH injection did not produce detectable changes in either the rate of growth of regenerating sensory and motor axons (Allpress and Pollock, 1986; Papakostas et al., 2009), or in muscle reinnervation (Cotrufo et al., 1979) and axonal transport (Forman et al., 1977; Frizell and McLean, 1979). Also adding T<sub>3</sub> to the drinking water to produce hyperthyroidism in old rats, did not show that T<sub>3</sub> treatment has a beneficial effect on muscle reinnervation (Finkelstein et al., 1993). The interpretation of these contradictory results is very likely due to the different techniques and methods used at the time. In fact, the daily subcutaneous or intraperitoneal injections of a variable amount of TH (5-30  $\mu$ g/kg per day) probably induces a high concentration of T<sub>3</sub> in the whole body, which may induce physiological complications in the animal (Yu and Srinivasan, 1981). This was supported by a study that indicated that excess THs in mice induced a decrease in the mean number of end-plates and in cholinesterase concentrations. There is also a possibility that excess TH may interfere with axonal transport or neuromuscular interaction (Kazakov, 1992). Granted addition of T<sub>3</sub> to the drinking water cannot ensure a defined and controlled concentration of the hormone in the animals. As a result the question of whether or not THs improves peripheral nerve regeneration remains open.

To avoid increases in the amount of TH throughout the body of an animal due to repetitive daily injection of  $T_3$ , we need to find a technique that allows local administration of hormones at the level of the injured nerve. The tubulization technique which began to be used in the 1980s provided a good tool to study the effect of local administration of THs at the level of transected peripheral nerves.

### Nuclear Receptors of THs are Expressed by Neurons and Glial Cells

From 1970 to 1980, a large amount of information emerged demonstrating that the actions of THs are necessarily mediated through a signal to nuclear TH receptor (TR). The interaction complex of TH-TR stimulates formation of heterodimers that modulates binding to specific DNA sequence to effect then the expression of specific genes in target cells (Oppenheimer et al., 1972; Ruel et al., 1985; Yokota et al., 1986).However, apart from nuclear TR, THs can exert non-genomic effects through transmembrane integrin receptor,  $\alpha V\beta_3$  as well as cytoplasmic TR variants (Bhumika and Darras, 2014). In 1988, the production of monoclonal antibodies (mAb 2B3, 4B II) raised against the nuclear TRs of the rat liver, made it possible to localize the presence of TR using immunocytochemistry (Luo et al., 1988; Tagami et al., 1990).

Anatomically sciatic nerves contain motor and sensory fibers derived respectively of the motor neurons in the spinal cord of the CNS and sensory neurons in the dorsal root ganglia (DRG) of the PNS at vertebral levels  $L_{4-5}$ . Using the mAb 2B3, immunocytochemistry demonstrate that motor and sensory neurons permanently express TR. Receptor expression starts in the third week of embryonic life and continue throughout the whole life of the animal (Barakat-Walter et al., 1992, 1993). While motor and sensory neurons express TR in a similar way, a clear difference in the expression of TR was detected between glial cells of the CNS and Schwann and satellite glial cells of the PNS. Like neurons, astrocytes and oligodendrocytes permanently express TR during

embryonic and adult life, whereas Schwann and satellite glial cells transiently express TR only for limited periods of development and regeneration. TR expression by Schwann and satellite glial cells extends from the third weeks of embryonic life up to the end of the second postnatal week, a period characterized firstly by a high rate of Schwann cell proliferation and then by the formation of myelination sheaths (Peters and Muir, 1959; Asbury, 1967). After two postnatal weeks, in young and adult rats, the nuclei of Schwann cells no longer express TR immunoreactivity (Barakat-Walter et al., 1992, 1993) unless the sciatic nerve is injured, whereby Schwann cells and fibrocytes re-express TRs first in the proximal and distal stumps adjacent to the lesion, and then extending along the entire distal segment. However, when regenerating axons growing from the proximal stump invade the distal segments the re-expressed TRs again disappear from the Schwann cells. The disappearance of TR expression is detected first in the proximal nerve segment and then in the distal branches of the sciatic nerve; hence, the loss of TR immunoreactivity in these cells seems to spread proximo-distally along the sciatic nerve as the centrifugal process of myelination observed along growing peripheral nerves (Speidel, 1964).

Initially in the seventies, it was believed that TH function occurred through a single high affinity nuclear receptor. However, thereafter two isoforms of TRs (TRa and TR $\beta$ ) encode by two separated genes were isolated from different tissues. At least three distinct mRNA species were generated by differential processing of the TRα transcript and two distinct mRNA species of TR β (Benbrook and Pfahl, 1987; Thompson et al., 1987; Mitsuhashi et al., 1988). TRa-1 is the only one of the TRa isoforms binds  $T_3$ , while isoforms TRa-2 and TRa-2v fail to activate transcription in  $T_3$ responsive cells (Koenig et al., 1989; Nakai et al., 1990; Kalyanaraman et al., 2014). On the other side, both TR $\beta$  isoforms ( $\beta$ 1 and  $\beta$ 2) bind  $T_3$ , in addition, several reports indicated that TRa-1, TR $\beta$ 1 and TR $\beta$ 2 bind T<sub>3</sub> with similar affinity. Using a panel of antibodies specific for each isoform of TRs, differential expression of TR isoforms was observed between neurons and glial cells. In PNS of adult rats, sensory neurons expressed essentially TR  $\beta\text{-}1$  and little of TRa-common isoforms (TRa-1 and a-2) while Schwann cells exhibited primarily TRa-1 isoform (Glauser and Barakat Walter, 1997). Equally in CNS, TR $\beta$ 2 immunorectivity was distributed throughout the gray matter in the rat forebrain, in the brainstem in regions of the telencephalon and diencephalon, but no immunostaining was present in white matter fiber tracts or choroid plexus (Lechan et al., 1993). The differential expression of TR $\alpha$  and  $\beta$  by neurons and glial cells indicates that the feedback regulation of circulating TH could occur by binding to either  $\alpha$  or  $\beta$  TR isoforms. The TRs detected in neurons and Schwann cells by immunocytochemistry are functional receptors able to bind T<sub>3</sub> and which function as ligand-activated transcription factors. This was demonstrated by autoradiographic technique based on the incubation of tissue sections with  $^{125}$ I-labeled T<sub>3</sub>, to visualize the T<sub>3</sub> binding sites (Barakat-Walter and Droz, 1995). The ability of sensory neurons and Schwann cells to express TRs is also preserved in tissue culture (Barakat-Walter et al., 1992).

Since the ontogeny of TRs can provide information as to the timing of nervous system sensitivity to THs at the genomic level, the results mentioned above suggest that THs may have a role in Schwann cell proliferation and also in the regulation of Schwann cell gene expression during nerve fiber regeneration and myelination (Barakat-Walter et al., 1992, 1993; Walter, 1993; Thi et al., 1998).

#### THs Exert a Trophic Action on Sensory Neurons in vitro

Before the examination of the effect of THs on peripheral nerve regeneration, it made sense to investigate the effect of THs *in vitro*  using neuron cell cultures first is to detect whether THs have a trophic effect on neurons and to establish if THs act directly/or indirectly on neurons. The addition of T<sub>3</sub>, at physiological concentrations in culture medium promoted the survival of sensory neurons in mixed DRG cell cultures, where neurons are intermingled with non-neuronal cells as well as in neuron-enriched cultures, where non-neuronal cells are eliminated at the outset (Barakat-Walter, 1996). Since T<sub>3</sub> stimulates sensory neuron survival in neuron-enriched cultures to the same extent as in mixed DRG cell cultures, that suggest that the stimulating effect of T<sub>3</sub> on neuronal survival is mediated directly through neurons (Barakat-Walter, 1996). In explant DRG cultures, in which the architecture of the ganglion is intact and the interaction of axons with Schwann cells is preserved, the adding of exogenous T<sub>3</sub> stimulated the outgrowth of neurites from sensory neurons. Moreover, if exogenous T<sub>3</sub> and nerve growth factor (NGF) were added together to explant DRG, they induced a synergic rather than additive effect on neurite outgrowth (Barakat-Walter, 1996). Another important effect observed in DRG cultures is that as well as the presence of NGF in the medium culture the presence of T<sub>3</sub> is also essential to induce the expression of microtubule-associated proteins (MAPs) (Barakat-Walter and Riederer, 1996). These findings suggest that the interaction between THs and trophic factors is required for MAP expression, thought to be involved in the regulation of microtubule assembly and retrograde transport mechanisms. In the CNS the interaction between TH and NGF regulates the ontogeny of a number of neuronal structures in the brain, (Clos and Legrand, 1970; Legrand and Clos, 1991), and plays a specific role in the growth and maintenance of cholinergic neurons in the basal forebrain of neonatal rats (Hayashi and Patel, 1987; Patel et al., 1988).

#### Local Administration of THs In Silicon Tubes is Sufficient to Set Off Several Mechanisms to Improve the regeneration of injured sciatic nerve

Because daily exogenous subcutaneous or intraperitoneal injections of T<sub>3</sub> in adult animals produced conflicting results, the silicone nerve guides permit investigating the effect of local administration of THs on the regeneration of injured peripheral nerves. With this in mind, our group performed studies in model whereby the right sciatic nerve in adult rats was first surgically transected and then silicone tubes implanted filled with either T<sub>3</sub> solution or vehicle as control. The combination of morphological and morphometric analysis of nerve regeneration revealed that animals treated with T<sub>3</sub> had more complete nerve regeneration, demonstrated by a significant increase in the number and diameter of axons that grew into the mid-and distal end of the silicone tube, and also by the thicker myelin sheaths (Voinesco et al., 1998). The stimulatory effect of T<sub>3</sub> on nerve regeneration was not only acceleration, but also a real improvement in regeneration, with increased number of regenerated axons and improvement of myelination sustained over time after the operation (Voinesco et al., 1998). A beneficial effect of T<sub>3</sub> administration within silicone tubes was also observed on the regeneration of injured rat facial nerve (Oble et al., 2004) and cavernous nerves (Bessede et al., 2010).

The fact that  $T_3$  has a short half-life (Garcia et al., 1976) and therefore the concentration of  $T_3$  within the silicone tube decreases with time, this suggests that  $T_3$  acts rapidly soon after administration. Therefore, it is probable that the administration of  $T_3$  is sufficient to set off several mechanisms which in turn enhance neuronal survival and axonal regeneration in the long term. This could explain the greater effect of  $T_3$  on nerve regeneration compared to the effect of only one growth factor or matrix molecules (Dubuisson et al., 1993; Laird et al., 1995; Seckel et al., 1995; Newman et al., 1996; Utley et al., 1996; Walter et al., 1996; Chen et al., 2000; Moir et al.,

2000; Xu et al., 2003).

#### THs Rescue Axotomized Sensory and Motor Neurons from Death

Generally after a peripheral nerve lesion in adult rats, a percentage (30-60%) of sensory neurons in DRG undergo a series of reactive changes leading to cell death, while other neurons survive the injury and are able to regenerate (Himes and Tessler, 1989; Melville et al., 1989; Vestergaard et al., 1997). However, survival depends on several factors including the type of neuron, age of the animal, and the degree and proximity of the injury to the cell body. Unlike the vulnerability of sensory neurons, spinal motor neurons are less susceptible to injury-induced cell death, far fewer spinal motor neurons die after peripheral nerve transection in adult mammals (Himes and Tessler, 1989; Melville et al., 1989; Liuzzi and Tedeschi, 1991). Although the number of sensory and motor neurons that die after axotomy is greater in young neonatal animals (Schmalbruch, 1987; Himes and Tessler, 1989; Snider and Thanedar, 1989; Sendtner et al., 1990) there is still a loss of neurons following peripheral nerve injury in adults.

As we already found that T<sub>3</sub> treatment increased significantly the number of regenerated axons it is necessary to investigate whether this increase is due to a real increase in the number of surviving axotomised neurons allowing them to grow new axons, or to an artificial arborisation of regenerated axons. It is well demonstrated that the total neuron number is a superior parameter to evaluate neuron loss. Since the physical dissector method provides an accurate estimation of cell number (Popken and Farel, 1997; Delaloye et al., 2009) this method was used to estimate the total number of surviving sensory neurons in adult rat lumbar DRG following sciatic nerve injury. The statistical analysis of estimated neuron numbers in DRG revealed that the transection of right sciatic nerves in control rats in which the silicone nerve guide filled with phosphate buffer saline (PBS), leads to a significant loss (34%) in the number of surviving sensory neurons in ipsilateral DRG (Schenker et al., 2003), this result corroborates the finding of other studies mentioned above. However, administration of T<sub>3</sub> in the silicone nerve guide immediately after sciatic nerve transection significantly reduces the loss of sensory neurons in L<sub>4</sub> or L<sub>5</sub> ganglia; only 10% of sensory neurons die in T<sub>3</sub> treated rats (Schenker et al., 2003). This result suggests that T<sub>3</sub> administrated at the site of the transected nerve was retrogradely transported in the axotomized neuron's soma that protected them from death. This suggestion is supported by the fact that when we transected the right sciatic nerve in adult rats and <sup>125</sup>I-labeled T<sub>3</sub> administrated in nerve guide, the <sup>125</sup>I labeling was observed only in the ipsilateral sensory neurons located in DRG at the level of T<sub>4</sub> and T<sub>5</sub>, indicating that T<sub>3</sub> was retrogradely transported in the soma of axotomized sensory neurons and this likely saves them from death.

Further evidence obtained in vitro showed that the addition of T<sub>3</sub> at physiological concentrations to DRG neuron-enriched cultures significantly increased the number of surviving sensory neurons as compared to control cultures (Barakat-Walter, 1996). The local administration of T<sub>3</sub> in nerve guides following sciatic nerve transection does not only save sensory neurons from death but also motor neurons. The counting of regenerated myelinated motor axons double immunostained with anti-choline-acetyl-transferase and anti-neurofilament on semi-thin sections taken from the midpoint of regenerated nerves showes that the percentage of regenerated myelinated motor axons is significantly higher in T<sub>3</sub> treated rats than in control rats (Panaite and Barakat-Walter, 2010). The protective effect of THs against neuronal loss was also demonstrated in the CNS by interesting studies. A reduction of hippocampal neuronal damage after ischemia by repeated daily T<sub>4</sub> administration was shown by Rami and Krieglstein (Rami and Krieglstein, 1992),

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with an approximately 50% increase in neuronal density attributable to hormone treatment. In experimental traumatic brain injury in mice,  $T_3$  treatment 1 hour after injury results in a significant improvement in motor and cognitive recovery revealed by control cortical impact injury, as well as a marked reduction of lesion volume (Crupi et al., 2013). Together these results indicate that  $T_3$  has a neuroprotective effect and promote the growth of new axons.

## For Clinical Human Nerve Repair the Use of Biodegradable Nerve Guides is Essential

Because of its inert and elastic properties, silicon tubes were one of the first and most frequently used to bridge transected nerves (Wang-Bennett and Coker, 1990; Lundborg et al., 1997; Chamberlain et al., 1998). However, the many experiments done in animals shows that all non-biodegradable nerve conduits present various problems and cannot be used in humans (Bardou et al., 2013). The main disadvantage of non-biodegradable conduits is that they remain in situ as foreign bodies and therefore cause a chronic inflammatory response that may be deleterious for the function of the regenerated nerve (Merle et al., 1989). Silicone or other non-degradable tubes cannot be used for clinical application and it was necessary to overcome having permanent guides. In the search for novel materials that satisfy nerve regeneration and the best functional recovery, large attention was paid to biodegradable guides (Mackinnon and Dellon, 1988; Den Dunnen et al., 1993; Keelev et al., 1993; Bini et al., 2004; Nakamura et al., 2004; Yang et al., 2004; Ciardelli and Chiono, 2006; Mohammadi et al., 2013). It is generally accepted that an ideal nerve guide for clinical application should: 1) have flexibility allowing the implantation of the tube and surgical manipulation (Robinson et al., 1989); 2) allow the axons to regenerate in favourable natural environment (Brunelli et al., 1994) 3) be no immunogenic, no allergenic, no cytotoxic and no carcinogenic, and; 4) prevent neuroma formation and the growth of fibrous tissue, 5) degrade at a defined rate in accordance with the axonal growth rates and therefore maintain mechanical continuity until the gap has been bridged.

#### Combination of Biodegradable Nerve Guide and Local Administration of THs is a Potential Therapeutic Approach for Human Peripheral Nerve Repair

Amongst the most promising synthetic biocompatible biodegradable nerve guides are tubes composed of an amorphous copolymer of 50% DL-lactide and 50% ε caprolactone [poly (DLLA-ε CL); developed by Polyganics (B.V., Groningen, the Netherlands). The Polyganics biodegradable tubes have been shown to be useful functional nerve guides, allowing fast axonal regeneration with good recovery and, generally, they degrade in approximately 3 months and induce less inflammation during degradation (den Dunnen et al., 1995; Petersen et al., 1996; Meek et al., 2001; Varejao et al., 2003; Ijkema-Paassen et al., 2004). However, it is not known whether these guides facilitate the administration of a factor capable of stimulating neuronal regeneration and whether the products of the degradation of the guides do not prevent the stimulating effect of the molecules administered in them. To develop clinical trials using TH in human peripheral nerve injuries, it is necessary to test whether the biodegradable nerve guides allow a single and local administration of T<sub>3</sub> to improve the regeneration of severed peripheral nerves, as silicone tubes did. The comparative study between the nerves regenerated within Polyganics biodegradable P(DLLA-e-CL) nerve guides with those that are regenerated within silicon guides showed that the regeneration of transected nerve

within biodegradable nerve guides is just as good as that obtained using silicon tubes. In addition, the morphological and statistical analyses of nerve regeneration within these guides revealed that the biodegradable nerve guides allow the T<sub>3</sub> to stimulate the regeneration of transected nerves as well as the silicon guides, as demonstrated by a significant increase in the number of myelinated regenerated axons and the skewed diameter of myelinated axons towards larger values compared to controls (Barakat-Walter et al., 2007). Generally, following nerve injury, recovery is estimated by the sum of the number of regenerated axons and reinnervation of denervated peripheral targets and eventually by the return of motor and sensory function. The analysis of neuromuscular junctions of gastrocnemius and plantar muscle clearly showed that T<sub>3</sub> enhanced the reinnervation of denervated end-plates (EPs) as demonstrated by significantly higher recovery of the size and shape complexity of reinnervated EPs and by increased acetylcholine receptor (AChR) density on post synaptic membranes compared to controls (Panaite and Barakat-Walter, 2010). In addition, the enhancement of muscle reinnervation by T<sub>3</sub> is accompanied by an increase in the compound muscle action potential (CMAP) of the flexor and extensor muscles seen by electrophysiological recordings (Barakat-Walter et al., 2007; Panaite and Barakat-Walter, 2010). The behavior monitoring of operated rats confirmed the stimulation effect of T<sub>3</sub>, as treated rats recovered faster their motor and sensory functions compared to control rats. All these data confirmed that the T<sub>3</sub> stimulation in biodegradable guides was equal to that obtained using silicone guides. It is worth mentioning that the use of P(DL-LA-ε-CL) biodegradable guides revealed positive aspects such as the degradation of the tubes does not induce acidification of the intra-luminal environment which could prevent the stimulating action of T<sub>3</sub>, and tube- degradation products are not associated with significant inflammation as there is no a significant presence of monocytes or macrophages on histological sections of regenerated nerves with biodegradable guides (Barakat-Walter et al., 2007). However, two minor handicaps were observed: 1) approximately 15% of the biodegradable P(DLLA-ε-CL) tubes collapsed and prevented regeneration of the sciatic nerves, 2) the nerve guides are not completely degraded even 4 months post-surgery which in our opinion is a little bit long compared to the duration of nerve regeneration in rats. Therefore, further improvement in biodegradable tube production is necessary to prevent the small level of collapse observed and to adapt their degradation to the speed of axonal regeneration.

#### Mechanisms by which T<sub>3</sub> Might Stimulate Nerve Regeneration

The ability of the PNS to regenerate is due to the capacity of injured neurons to survive and grow new axons and also to the ability of non-neuronal cells in peripheral nerves to support axonal growth. As exogenous administration of TH clearly accelerates and improves nerve regeneration and functional recovery it is essential to understand how  $T_3$  could stimulate regeneration of severed nerves. Generally the biological activity of THs circulating in the blood is initiated by TH transporters across the plasma membrane, then mediate by the nuclear binding of  $T_3$  to a class of high-affinity, low-capacity binding sites which modulating transcription factors (Cheng et al., 2010; Bhumika and Darras, 2014).

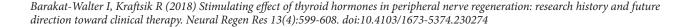
Since both axotomized sensory and motor neurons as well as non-neuronal cells possess  $T_3$  receptors (Barakat-Walter et al., 1992; Barakat-Walter, 1999), the  $T_3$  administrated in nerve guide can act on both axotomized neurons and Schwann cells to set off several mechanisms which enhance neuronal survival and axonal regeneration (**Figure 1**).

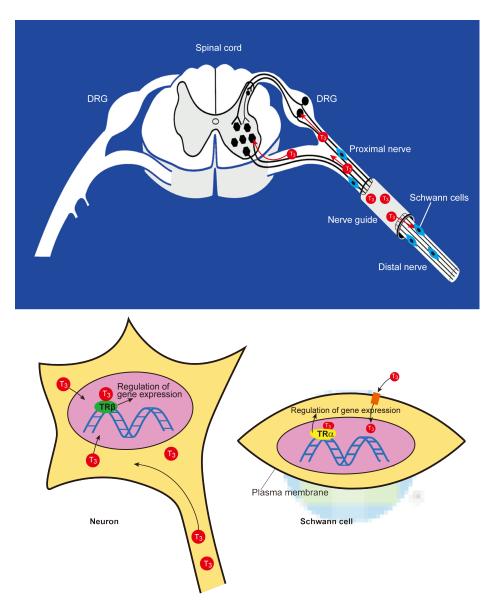
To understand the cellular and molecular mechanisms by which

THs enhance peripheral nerve regeneration, several efforts have focused on the changes in the growth factor or protein expression rescuing neurons from death and allowing them to grow new axons. Direct or indirect data revealed that neurotrophins such brain derived neurotrophic factor (BDNF), neurotrophic factor-3 ( $NT_3$ ) or NGF are crucial for neuron survival and axon outgrowth (Barde, 1989; Kaselis et al., 2014). Both BDNF and NT<sub>3</sub> are TH-dependent, they are reduced in hypothyroid and increased in hyperthyroid developing nervous system (Camboni et al., 2003; Shulga et al., 2009; Gilbert and Lasley, 2013)) Interesting studies reported that in adult rat, the prenatal exposure to drugs and chemicals that interfere with thyroid function significantly reduced hippocampal BDNF levels (Chakraborty et al., 2012). In humans during the first few days after traumatic injury of the adult nervous system, BDNF mRNA levels are reduced by 50% in CNS as well as in PNS, indicating that BDNF plays a role in survival of neurons (Lipska et al., 2001; Gonzalez et al., 2005). In adult male mice, treatment with T<sub>3</sub> 1 hour after traumatic brain injury resulted in a significant improvement in motor and cognitive recovery as well as a marked reduction in lesion volumes. Also T<sub>3</sub> significantly enhanced the post traumatic brain injury expression of neuroprotective neurotrophins (BDNF, and GDNF) compared to vehicle (Crupi et al., 2013). T<sub>3</sub> not only regulates the expression of the neurotrophic factors, but also the expression of their receptors. Two studies have shown that hypothyroidism strongly reduces NGF receptor immunoreactivity in the rat cerebellar cortex (Legrand and Clos, 1991) and TrkA mRNA levels in the rat striatum (Alvarez-Dolado et al., 1994)

Another mechanism by which  $T_3$  prevents neuronal cell death may be the regulation of expression of cytoskeletal proteins which provide the structural framework of neurons and are essential for the transport of organelles. Neurofilament (NF) proteins provide structural support for axons and regulate axon diameter. while tubulin and microtubules are involved in axonal growth and supply the basis for fast axonal transport (Nixon and Casey, 2004). Different studies reported that the expression of these proteins is regulated by T<sub>3</sub> in both the PNS and CNS. A Western blot analysis of the levels of cytoskeletal proteins in the different segments of operated sciatic nerves of rats revealed that TH up regulated synthesis, axonal transport and post-translational modification of NF and tubulin proteins during nerve regeneration (Schenker et al., 2002). TH also stimulates the expression of neurofilaments genes during brain development in the CNS and hypothyroidism markedly reduced their expression, both at mRNA and protein levels (Ghosh et al., 1999). The administration of  $T_3$  per os in adult rabbit increased the levels of tubulins  $\alpha 6$ ,  $\beta 3$  and  $\beta$ -actin (Tentes et al., 2003). Other results indicated that THs play a major role in the cytoskeletal transport of tubulin and actin from their site of synthesis to that of assembly, thus facilitating axo-dendritic outgrowth and morphological differentiation (De et al., 1991). Likewise, T<sub>3</sub> regulates the expression of growth cone protein (SCG10) whose expression is essential for growth cone advance that closely correlates with neurite outgrowth during development and regeneration (Grenningloh et al., 2004). Transection of adult rat sciatic nerve induces an upregulation of SCG10 mRNA in lumbar sensory and motor neurons and a strong increase in SCG10 protein levels in the proximal nerve segment (Mason et al., 2002; Voria et al., 2006). The administration of T<sub>3</sub> in silicone tubes increased the upregulation of SCG10 mRNA in axotomized sensory neurons in lumbar dorsal root ganglia and also caused a significantly higher increase in SCG10 protein levels, detected along different segments of the regenerating nerve (Voria et al., 2006).

On intrinsic aspects of neuronal response to injury interesting recent study reported that following peripheral nerve injury, transcriptional responses are orchestrated to regulate the expression of numerous genes in the lesioned nerve thereby activating the in-





# Figure 1 The diagram illustrates how the 3,3',5-triiodothyronine (T<sub>3</sub>) administrated in nerve guide acts on both axotomized neurons and Schwann cells.

T<sub>3</sub> is retrogradely transported in the axotomized motor and sensory neuron's soma, and then it binds to specific nuclear receptors (TR $\beta$  isoform). T<sub>3</sub>-receptors complex regulates the gene expression of neurotrophine factors and cytoskeletal proteins. At the same time, T<sub>3</sub> acts on Schwann cells in proximal and distal nerve stumps adjacent to the section. T<sub>3</sub> is transported to Schwann cells across the plasma membrane by TH transporters, and then it binds to specific nuclear receptors (TRa) isoform to regulate Schwann cell proliferation and gene expression of molecules which promote outgrowth of axotomized axons, and myelin-related proteins. TH4: Thyroid hormone; TR: thyroid hormone receptor.

trinsic regeneration program (Li et al., 2015). Three transcriptional phases of peripheral nerve regeneration were identified within the period of 14 days of post nerve injury. During the first phase following injury a few number of genes involved with axon growth was up-regulated. During the second phase an increase in the number of the up-regulated genes associated with axon growth is detected, such as activating transcription factor 3 (ATF3), angiotensin II receptor type 2 (AGTR2), arginine 1 (ARG1), cyclin dependent kinase inhibitor 1A (CDKN1A), fibroblast growth factor 2 (FGF2), interleukin 6 (IL-6), insulin-like growth factor 1 (IGF-1), fibroblast growth factor receptor 3 (FGFR3), Runt-related transcription factor 3 (RUNX3), JUN, and suppressor of cytokine signaling 3 (SOCS3). During the third regeneration phase, other up-regulated genes associated with axon growth further were identified, like growth-associated protein 43 kDa (GAP-43), neuropeptide Y (NPY), phospholipase D<sub>2</sub> (PLD<sub>2</sub>), gene associated with neurite/axon growth (GNA15), colony stimulating factor 1 (CSF1), Rho-related GTP-binding protein (RHOQ) and proline-rich attachment domain (PRAD), etc. (Li et al., 2015). Other study reported that axonal signaling response to nerve injury induces alterations in cellular signaling, transcription, translation and post -translation modifications. Numerous kinases (MAP kinases ErK1 and ErK2 and JnK) are activated in cell bodies in response to an injury event (Obata et al., 2004). Since T<sub>3</sub> improves

nerve regeneration, it is possible that  $\rm T_3$  regulates up/down the activity of these transcriptional factors and genes.

The other mechanism by which T<sub>3</sub> stimulates peripheral nerve regeneration is a direct action on Schwann cells (Figure 1), which play a critical role first in the growth of axotomized axons (Bryan et al., 1996), then in myelination of new regenerated axons. Two distinct phenotypes of Schwann cells were identified, myelinating Schwann cells and non-myelinating Schwann cells which are distinguished by their molecules expression and by their morphologic relationships with axons. In intact normal nerve, a high number of Schwann cells are myelinating cells which express high levels of the components of myelin sheaths, including protein zero (P0), myelin basic protein (MBP), peripheral myelin protein 2 (P2, PMP2; FABP8; M-FABP) and myelin-associated glycoprotein (MAG). Following peripheral nerve injury, Schwann cells switch their function from myelinating to non-myelinating cells which express high levels of L-NCAM, L1 and modest levels of low affinity NGF receptors (NGFR) and the GAP-43 (Martini, 1994; Stettner et al., 2018), then they proliferate in response to nerve trauma (Williams, 1988). When cell proliferation has slowed and differentiation ensues, the Schwann cells provide the new regenerating axons with trophic factors, without which the axons are unable to grow beyond a certain distance (Politis et al., 1982; Keynes, 1987; Bryan et al., 1996). Results from experiments in vitro demonstrated that the addition of  $T_3$  of physiological concentrations to dissociated sciatic nerve Schwann cell cultures, significantly increased the number of cells incorporating <sup>3</sup>H-thymindine, indicating that  $T_3$ increases the cell proliferation (Walter, 1993). Likewise, in DRG explant cultures, the addition of  $T_3$  promotes neurite outgrowth, if the proliferation of Schwann cells is inhibited by the antimitotic agent cytosine arabinoside, the stimulatory effect of  $T_3$  on neurite outgrowth is considerably reduced, what provides evidence that the stimulatory effect of  $T_3$  on neurite outgrowth is mediated through Schwann cells (Barakat-Walter, 1996).

After having facilitated the outgrowth of new axons, Schwann cells again switch their function to myelination in order to remyelinate the newly regenerated axons. It is worth noting that the most important stimulating effect of local T<sub>3</sub> administration in nerve guides, is the acceleration and improvement of the myelination of regenerating axons and subsequent reinnervating the dennervated muscles (Barakat-Walter, 1996; Voinesco et al., 1998; Panaite and Barakat-Walter, 2010). The stimulatory effect of T<sub>3</sub> on myelination in the peripheral and central nervous systems was demonstrated by old and recent studies. In PNS, thyroid deficiency in neonatal rats induce a reduction in the number of myelinated fibers and a delay in the increase in axon diameter in sciatic nerves (Clos and Legrand 1970), also affects the growth of non-myelinated axons and their associated Schwann cells (Reier and Hughes 1972). Contrariwise, THs accelerate recovery of motor function after crushing the sciatic nerve in adult hyperthyroid rats (Berenberg et al., 1977). In CNS, several findings indicate that myelin genes are direct targets for THs action, and the expression of some genes such as myelin basic protein, myelin associated glycoproteine, proteolipid protein and 2',3'-cyclic nucleotide 3'-phosphodiesterase (CNP), is controlled by TH (Munoz et al., 1991; Tosic et al., 1992; Ibarrola and Rodriguez-Pena, 1997; Strait et al., 1997). In adult male rabbits, the subcellular fractionation and electrophoretic analysis of proteins from the regenerating nerve, seven days after nerve injury revealed that T<sub>3</sub> treatment increases P0, MBP, myelin P2 (PMP-2) and histones H3 and H2A in proximal and distal nerve surgery segments (Tentes et al., 2003). A recent study revealed that PMP-2 may play a role in remyelination of the injured peripheral nervous system, by affecting the nodal and internodal configuration (Stettner et al., 2018). Since T<sub>3</sub> regulates the expression of PMP-2, suggests that probably THs act on nodes and internodes length.

Still the molecular component by which  $T_3$  promotes myelination in Schwann cells or oligodendrocytes was poorly characterized. However, recently, Dugas and his colleagues used the genomic expression analyses to identify the genes specifically regulated by  $T_3$  in purified oligodendrocyte precursor cells. Among the genes identified were four transcription factors, Kruppel-like factor 9 (KLF9), basic helix-loop-helix family member e22 (BHLHe22), Hairless (Hr), and albumin D box-binding protein (DBP), all of which were induced in oligodendrocyte precursor by both brief and long term exposure to  $T_3$  (Dugas et al., 2012). More analyses and identification of other  $T_3$ -induced genes involved in myelination and remyelination may lead to novel insights into how to enhance the regeneration of myelin.

Generally functional recovery is a sum of the number of regenerated axons and reinnervation of denervated peripheral targets. Interesting old and recent studies reported that the increased number of regenerated new axons and the enhancement of axonal myelination in animals receiving  $T_3$  is linked with an improvement and more rapid reinnervation of muscle end-plates and skin, synaptic transmission and motor functional recovery (McIsaac and Kiernan, 1975; Panaite and Barakat-Walter, 2010). The enhancement of muscle reinnervation by  $T_3$  probably involves a general regulation of contractile protein synthesis as well as all members of the myosin heavy chain (MyHC) multigene family. Both the absolute and relative amounts of all four major histocompatibility complexs (MHCs) expressed in the adult plantaris muscle are significantly affected by the separate and combined interventions of functional overload and exogenous  $T_3$  treatment (Gambke et al., 1983; Kirschbaum et al., 1990; Diffee et al., 1991; Swoap et al., 1994; Yu et al., 2000). On the other hand,  $T_3$  increased the levels of acetylcholinesterase messenger RNA in the slow soleus muscles close to the levels in the fast extensor digitorum longus (Pregelj et al., 2003) and influence the stabilization of motor innervation of reinnervated muscle (Cuppini et al., 1996).

#### Conclusion

The injury of human peripheral nerves either in upper or lower limbs has a negative impact socially and economically as functional recovery is often poor and needs a long time, particularly for severe nerves injuries. Therefore, the repair of injured nerves remains a challenging problem for several research groups. THs which are the most important factors for nervous system development and function could improve peripheral nerve regeneration. Recent experimental data showed that a single and local administration of T<sub>3</sub> within Polyganics biodegradable P(DLLA-E-CL) tubes, immediately after sciatic nerve transection in adult rats is sufficient to rapidly trigger several mechanisms that in turn enhance neuronal survival, axonal regeneration, muscle reinnervation, improve and accelerate recovery of motor and sensory functions. These data show that this technique provides a serious step towards future clinical application of T<sub>3</sub> in peripheral nerve damage. The advantage of this model is that  $T_3$  is administered locally and in single dose which does not cause neither an increase in TH in the animal body nor physiological complications. Moreover, the importance of administration of  $T_3$  in biodegradable tubes to repair damaged human nerves comes from the fact that the biodegradable tubes resorb in situ after promoting nerve regeneration by  $T_3$ . In addition, the degradation of these tubes do not produce side effects in the operated animal.

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#### Open peer review reports:

**Reviewer 1:** Tetsuro Tamaki, Tokai University School of Medicine, Japan. **Comments to authors:** This is the review article described the role of thyroid hormone in the peripheral nerve injury. Basically, this is well and strictly written, particularly about the research history of thyroid hormone and nervous system using enough number of references.

Reviewer 2: Mark A. Yorek, University of Iowa, USA.

**Comments to authors:** The effects of thyroid hormone as a main intervention for nerve regeneration is probably not a mainstream idea for most but the authors present interesting data in support of this question.

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