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1 THE PERFORMANCE OF DELAY COMPENSATION IN REAL-TIME 2 DYNAMIC SUBSTRUCTURING

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9 **Abstract**

10 Real-time dynamic substructuring (RTDS) is a state-of-the-art experimental technique for
11 evaluating the dynamic performance of a structural system subjected to time-varying loads in
12 civil engineering. The accuracy and stability of RTDS is affected by the natural dynamics of the
13 constituent transfer system. Of various control strategies, and due to the merits of simple
14 implementation and low computational cost, delay-compensation methods have become most
15 pervasive. In this paper, the performance of delay compensation based methods for RTDS is
16 assessed in terms of accuracy and stability. Three commonly-used delay compensation schemes
17 are considered: two time variant and one time invariant. Stability is assessed analytically,
18 numerically and experimentally. Accuracy is assessed numerically and experimentally. To
19 provide a suitable test for the delay compensation control schemes, a shaking table is adopted
20 as the RTDS transfer system. It is demonstrated numerically, analytically and experimentally
21 that when applied to transfer systems such as these, delay compensation can work to the
22 detriment of test accuracy and test stability. Adequate performance of delay compensated,
23 shaking-table based RTDS is confined a narrow low frequency bandwidth which severely
24 restricts the range of potential application.

25 **Keywords:** Real-time dynamic substructuring, shaking table tests, Delay compensation,
26 Dynamic stability, Accuracy

1 **1. Introduction**

2 In Civil engineering, dynamic substructuring is an experimental method that fuses numerical
3 modelling techniques with laboratory testing to evaluate the response of complex structural
4 systems to dynamic loading (e.g. Guo et al. (2014) and Nakata (2011)). The unpredictable
5 component of the system – the substructure – is tested physically in the laboratory using a
6 transfer system. The remainder of the structural system is consigned to a numerical model. The
7 composite nature of dynamic substructuring systems allows critical structural components to be
8 tested at full scale since laboratory apparatus are laden with neither the full mass nor the
9 complete geometry of the emulated system. The dynamic substructuring method alleviates many
10 of the shortcomings associated with conventional test techniques (e.g. Williams et al. (2001a)
11 and Nakashima (2001)).

12 The block diagram displayed in Figure 1 indicates the relationships between the principle
13 components of the RTDS system shown in Figure 2. The more details can be seen in e.g. Tang
14 et al. (2017). (Ignore for the time being the ‘stop’ block.) Implementation generally involves
15 two control loops. The outer loop calculates the required displacement response (y_N) of the
16 numerical-physical interface by summing the effect of the reference excitation (d) on the
17 numerical model (G_{Nd}) and the effect of the reaction force (f) feeding back from the physical
18 substructure (G_P) on the interfacial constraint (G_{Nf}). In addition, an inner control loop is required
19 to counteract the inherent dynamics of the transfer system (G_{ts}) that can otherwise be ruinous to
20 RTDS performance (e.g. Nakashima et al. (1992)). The intention of any RTDS test is to
21 reproduce the response of a system as if it were being tested not as a collection of composite
22 parts but in its entirety.

23 Advancement of the RTDS method has predominantly been focused towards implementing and
24 improving inner-loop control so that dynamic loads are applied to the physical substructure
25 correctly (e.g. Christenson et al. (2008)). Two types of control methodology have been adopted:
26 one based on classical control theory (e.g. Yao et al. (2016) and Guo et al. (2016)), the other
27 based on delay-compensation (e.g. Wang et al. (2011) and Wallace et al. (2005)). These can be
28 looked upon as forming different species within the RTDS genus. The simple implementation
29 and low computational cost of delay compensation has garnered much favour and allowed it to
30 become prominent amongst the alternative RTDS control schemes. As such, the inner loop
31 controller of Figure 1 is given the subscript dc .

1 Delay compensation works to counteract the natural delay inherent in the RTDS system due to
2 the inability of a transfer system to respond instantaneously to a change in state as prescribed
3 by a numerical model. If not compensated, this delay adds negative damping to the RTDS system
4 causing poor accuracy and instability (Horiuchi et al. (1999)). At the core of delay compensation
5 is the conception that the following expression is sufficient to characterise the dynamics of the
6 transfer system:

$$y_P(t) = y_N(t - \tau) \quad (1)$$

7 The displacement achieved by the transfer system (y_P) is equivalent to the desired displacement
8 (y_N) delayed by τ seconds. Thus, by extrapolating y_N forward in time by an amount equivalent
9 to τ and using the predicted values to drive the transfer system, the adverse effect of transfer
10 system dynamics on RTDS performance are negated. On this basis, numerous formulations of
11 delay compensation have been proposed (e.g. Wang et al. (2011) and Wallace et al. (2005)). A
12 common feature of all these methodologies is that the dynamics of the included transfer system
13 have to satisfy the unit-gain, linear-phase assumptions inherent in delay compensation.

14 When using the standalone servo-hydraulic actuators as the reference transfer system (e.g.
15 Carrion et al. (2009) and Gawthrop et al. (2007)), the unit-gain, linear-phase assumptions can
16 be met in low frequency band. And in this frequency range, delay compensation based strategies
17 supplied a satisfactory performance for RTDS (e.g. Chen et al. (2009)). However, when the
18 testing capability is required in high frequency band, the performance of the delay compensated
19 RTDS is unknown. Moreover, while standalone-actuator RTDS certainly extends testing
20 capabilities, it also places restrictions on the form of the substructures that can be tested. To
21 allow the testing of substructures with distributed properties (mass or geometry), attempts have
22 been made to extend RTDS to incorporate transfer systems of increased sophistication such as
23 shaking tables (e.g. Shao et al. (2009)). In shaking table RTDS, the use of delay compensation
24 also has been met with mixed success (e.g. Lee et al. (2007) and Wang et al. (2016)). However,
25 the dynamic characteristics of such transfer systems differ from those of standalone actuators
26 due to their increased complexity. Shaking tables, for instance, have significant inherent mass
27 associated with the shaking table that will in-the-least work to increase the transfer system delay
28 and magnitude error, a potential jeopardy to reliable forward extrapolation and, consequently,
29 RTDS performance (Horiuchi *et al.*(1999)). The challenge posed to delay compensation by

1 shaking table transfer systems is severe.

2 Herein, a shaking-table transfer system is used to explore both analytically and experimentally
3 the performance envelopes of three alternative formulations of delay compensation. Time
4 variant and invariant methodologies are compared. Performance is assessed in terms of accuracy
5 and stability using the time- and frequency-domain based methods developed within.

6 **2. Alternative formulations of delay compensation**

7 In their pioneering study, Horiuchi *et al.*(1999,2001) compensated for delay by driving the
8 transfer system using predicted future values of y_N derived from current and past values. By
9 extrapolating a predefined number of recorded y_N points forward in time using a polynomial
10 with predefined coefficients and a predefined order, a prediction of y_N a single whole time step
11 ahead of time was obtained. Here, the time step refers to that of the RTDS outer loop integration
12 algorithm. The single time step compensation method will herein be referred to with the
13 abbreviation SDC.

14 With m and a_i the predefined order and coefficients of the polynomial, respectively, y_{N0} the
15 present position of the interface, $y_N(t-i\tau)$ former positions of the interface at prior time
16 increments $i\tau$, and τ the transfer system delay, the SDC command signal (y_u) becomes:

$$y_u = \sum_{i=0}^m a_i y_N(t-i\tau) \quad (2)$$

17 While the simplicity and speed of SDC are beneficial to RTDS implementation, its formulation
18 based on predefined terms is restrictive. Performance is strongly dependent on the time step of
19 the RTDS integration algorithm and is compromised when the time-step is close to or bigger
20 than the system delay. Darby *et al.* (2001) refined the method using interpolation schemes to
21 improve the accuracy of this scheme. Wallace *et al.* (2005b) removed some of the restrictions
22 of SDC to produce a more generalised scheme wherein the prediction can be multiples or
23 fractions of time steps and the constraint on the utilised number of previous y_N points is removed.
24 The Wallace *et al.* (2005b) multi-step prediction scheme will be referred to herein with the
25 abbreviation MDC.

26 MDC works as follows. At each RTDS time step, a vector of the n most recent historic values

1 of y_N is constructed. An M^{th} order polynomial fit to the y_N vector is constructed using the least
 2 squares method. The derived vector of polynomial coefficients (a) for the current time step
 3 provides the basis for forward extrapolation toward the predicted value used to drive the transfer
 4 system:

$$y_N(t + \tau) = \sum_{i=0}^M a_i \tau^i \quad (3)$$

5 where the coefficients (a_i) for each time step is derived from Equation (4) using a standard least-
 6 squares polynomial.

$$\begin{bmatrix} y_N(t) \\ y_N(t - \tau) \\ \mathbf{M} \\ y_N(\tau - n\tau) \end{bmatrix} = \begin{bmatrix} 1 & -\tau & (-\tau)^2 & \mathbf{L} & (-\tau)^M \\ 1 & -2\tau & (-2\tau)^2 & \mathbf{L} & (-2\tau)^M \\ \mathbf{M} & \mathbf{M} & \mathbf{O} & \mathbf{M} & \mathbf{M} \\ 1 & \tau - n\tau & (\tau - n\tau)^2 & \mathbf{L} & (\tau - n\tau)^M \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \mathbf{M} \\ a_{M-1} \end{bmatrix} \quad (4)$$

7 In practice, actuator delay is related to both the dynamics of actuator and the time-variant
 8 characteristics the substructure. This has led to the development of adaptive schemes intended
 9 to deal with time varying delays. Darby *et al.* (2002) proposed an online method for estimating
 10 the delay as a RTDS test progresses through the product of the actuator position error and its
 11 velocity. The delay estimate for the i th time-step τ_i is given by:

$$\tau_i = \tau_{i-1} + C_p \tanh \left[C_v \frac{(y_{Ni} - y_{Ni-1})}{\Delta t} \right] (y_{Ni-1} - y_{Pi-1}) \quad (5)$$

12 where Δt is the time step, y_{Ni} and y_{Pi} are the desired and achieved displacement of interface at
 13 i th time-step, C_p is a constant proportional gain, C_v is the velocity gain, which is approximately
 14 ten times the magnitude of the proportional gain C_p . With the delay estimated, polynomial
 15 extrapolation over the variable delay was used to generate the transfer system command signal
 16 (y_u). The Darby *et al.* (2002) scheme is herein referred to as ADC. One would hope and expect
 17 that the increased scope of ADC over MDC and MDC over SDC would result in significantly
 18 enhanced performance of the more advanced delay compensation methodologies.

19 Other more recent implementations of delay compensation include the method developed by
 20 Ahmadizadeh *et al.*(2008) to measure the delay directly from desired and measured

1 displacement histories during the progress of RTDS testing and the dual compensation schemes
 2 which combined delay compensation together with other control schemes (e.g. Chen *et al.*
 3 (2013)). However, the three aforementioned schemes (SDC, MDC and ADC) are herein taken
 4 as the benchmarks that represent the key advancements within the ever-expanding field of delay
 5 compensation methodologies.

6 **3. Analytical performance assessment**

7 Analytical methods can be employed to achieve a better understanding of the factors affecting
 8 RTDS performance. Below, the adopted analytical methods are introduced and their output is
 9 presented and discussed. Stability and accuracy are considered in turn.

10 *3.1. Delay compensated shaking table RTDS*

11 The shaking table RTDS system of Figure 2 is adopted to provide a preliminary assessment of
 12 delay-compensation performance. The uppermost degree-of-freedom is taken to be the critical
 13 part of the system to be tested physically. Under the action of a reference excitation $d(t)$, the
 14 shear force below the physical substructure is measured and fed back to the numerical model so
 15 that the translation of the physical-numerical interface can be derived. A shaking table is used
 16 to impart the interface translation to the physical substructure.

17 Given that m_N , c_N , and k_N represent the mass, damping and stiffness of the numerical model, m_P ,
 18 c_P and k_P represent the mass, damping and stiffness of the physical substructure, and referring
 19 back to Figure 1 the linear system transfer functions become:

$$G_{Nd} = \frac{c_N s + k_N}{m_N s^2 + c_N s + k_N} \quad (6)$$

$$G_{Nf} = \frac{1}{m_N s^2 + c_N s + k_N} \quad (7)$$

$$G_P = \frac{m_P s^2 (c_P s + k_P)}{m_P s^2 + c_P s + k_P} \quad (8)$$

20 *3.2 Stability*

1 While the stability of time-invariant schemes such as SDC can efficiently be evaluated
 2 analytically in the frequency domain, time-variant schemes like MDC and ADC require the use
 3 of numerical time-domain based methods. The adopted analytical methods will be introduced
 4 first.

5 Viewing the generalized RTDS system of Figure 1 as a closed loop feedback system provides a
 6 means for the stability assessment of linear (i.e. time-invariant) control schemes. The pertinent
 7 closed loop transfer function is:

$$y_P = \frac{G_{dc} G_{ts} G_{Nd}}{1 + G_{dc} G_{ts} G_{Nd} G_P} \quad (9)$$

8 The stability of this expression can be analysed using the root locus technique (Richard *et al.*
 9 (2008)). The standard form of the root locus technique can be expressed as:

$$1 + KG(s) = 0 \quad (10)$$

10 wherein, given the characteristic equation from Equation (9):

$$G(s) = G_{dc} G_{ts} G_{Nd} G_P \quad (11)$$

11 K is the parameter that affects the stability of the system in the way to be determined and $G(s)$
 12 is a polynomial with constant values for the remaining system parameters. The roots locus
 13 technique tracks the migration of the poles of Equation (10) about the s -plane as K is increased
 14 from zero to infinity. The critical stability point occurs at the value of K that renders pure
 15 imaginary roots.

16 To obtain an expression for G_{ts} , a system identification of the 6-axis shaking table was conducted
 17 using a 0.1 to 20Hz sine sweep. Experimental results are displayed in Figure 3(a) alongside the
 18 fourth order transfer function of Equation (12) that is seen to provide a reasonable fit to the
 19 experimental data. Also presented are the frequency characteristics of the pertinent pure delay
 20 ($\tau = 28.5\text{ms}$) model.

$$G_{ts}(s) = \frac{7.53 \times 10^7}{(s + 60.43)(s^2 + 41.3s + 62.9^2)(s + 305.2)} \quad (12)$$

1 As an aside, the experimentally derived frequency response function for the Carrion and Spencer
 2 (2009) standalone-actuator based RTDS system is plotted in Figure 3(b) alongside the
 3 analytically derived transfer function of Equation (13). Also presented are the frequency
 4 characteristics of the pertinent pure delay ($\tau = 9.4\text{ms}$) model.

$$G_{ts} = \frac{4.1 \times 10^9}{(s^2 + 238.44s + 160.09^2)(s^2 + 345.57s + 400^2)} \quad (13)$$

5 While the fourth order models provide a reasonable fit to both sets of experimental data across
 6 the 20Hz bandwidth, the quality of the fit associated with the time-delay model deteriorates from
 7 10Hz for the standalone actuator transfer system and from 2Hz for the shaking table transfer
 8 system. The reduced bandwidth of the time delay model of the shaking table transfer system is
 9 a result of the mass of the seismic platform lowering the resonant frequency of the transfer
 10 system (to about 10 Hz as shown in Equation (12)) compared to that of the standalone actuator
 11 (about 25 Hz as shown in Equation (13)).

12 To obtain an expression for G_{dc} for the time-invariant SDC control scheme the Padé
 13 approximation (Golub *et al.* (1996)) is used to rationalise the transfer function of Equation (2).
 14 Given:

$$\begin{cases} u(s) = G_{sdc} y_N(s) \\ G_{sdc} = \sum_{j=0}^m a_j e^{-j\tau s} \end{cases} \quad (14)$$

15 the rationalised SDC transfer function becomes:

$$G_{sdc} = \sum_{i=0}^m a_i \frac{\sum_{j=0}^N (-1)^j k_{ij} s^j}{\sum_{j=0}^N k_{ij} s^j} \quad (15)$$

16 where N is the order of the approximation and the k_j coefficients are functions of N .

17 With expressions for G_{sdc} and G_{ts} defined, and the transfer functions of the substructure and
 18 interfacial restraint available as Equations (7) and (8) respectively, Equation (10) becomes:

$$1 + \sigma \left[G_{sdc} G_{ts} \frac{(2\xi_P \omega_P s + \omega_P^2) s^2}{(s^2 + 2\xi_N \omega_N s + \omega_N^2)(s^2 + 2\xi_P \omega_P s + \omega_P^2)} - 1 \right] = 0 \quad (16)$$

1 Here, ω_N , ξ_N , ω_P , and ξ_P are the natural frequency and damping ratio of the numerical model
 2 and substructure respectively and the physical-to-numerical mass ratio (σ) is taken to be the
 3 criterion by which the stability of the RTDS system is assessed. For the sake of presentation, in
 4 order to limit the range of parametric variation, the mass ratio σ is defined as:

$$\sigma = \frac{m_p}{m_p + m_N} \quad (17)$$

5 The stability of the inherently non-linear RTDS systems featuring time-variant delay
 6 compensation cannot be assessed using the classical methods (i.e. root locus, Nyquist). Instead
 7 stability assessments for MDC and ADC were obtained via numerical simulations of the entire
 8 RTDS system using an appropriate SIMULINK/MATLAB model. MDC was used to
 9 compensate for a constant (28.5ms) delay while, within the implementation of ADC, the time-
 10 varying delay evaluated by Equation (5) was compensated for by MDC. Based on the research
 11 reported by Wallace *et al.* (2005b), a 25th order polynomial was used and C_v was set to 3. El
 12 Centro was used as a benchmark reference excitation.

13 The critical stability point of the MDC- and ADC-controlled RTDS system was derived
 14 numerically by conducting a series of successive simulations with incrementally increasing the
 15 mass ratio with the interval of 0.01. The onset of instability was defined as when the command
 16 signal(y_u) exceeded the desired displacement by a factor of ten, at which point the simulation
 17 was halted via a ‘stop’ block (the dashed lines in Figure 1). The mass ratio corresponding to the
 18 last successful simulation was designated as the critical stability point. To provide a comparison,
 19 the stability of the RTDS system without delay compensation (NDC) was also analysed (using
 20 both the root locus technique and the time domain methods which gave equivalent results).

21 Three case studies at different damping levels of 2%, 5% and 20% were chosen to represent a
 22 steel structure, a concrete structure and soil-structure-interaction system respectively. For each
 23 case study, the frequency response of the physical substructure and the numerical model were
 24 made congruent (i.e. $\omega_P = \omega_N = \omega$, and $\xi_P = \xi_N = \xi$), the damping was held constant and the
 25 frequency was increased (between 0.1Hz to 10Hz with 0.1Hz interval). The derived critical

1 stability lines which demark the stable (bottom left) from the unstable (top right) region are
2 presented in Figure 4.

3 For each control scheme, the general trend is for RTDS stability to increase with ξ and decrease
4 with ω . Compared to the NDC case, delay compensation generally enhances the stability and to
5 a degree that lessens as the damping increases. The exception is for the SDC-controller that
6 degrades the stability at high damping levels. MDC offers the most consistent performance and
7 amongst the highest stability boundaries. While delay compensation is clearly capable of
8 enhancing RTDS stability, the augmentation is generally not in the anticipated sequence (i.e.
9 from NDC, through SDC and MDC to ADC).

10 *3.3 Accuracy*

11 An unabridged representation of RTDS accuracy can be obtained by considering test errors. An
12 error measurement compounded by both magnitude and phase deviations can be generated by
13 dividing local maxima of the absolute error ($|y_P - y_N|$) by local maxima of the sine-sweep
14 reference excitation ($|d|$). The resulting ‘localised compound error’ (LCE) is presented for each
15 control scheme in Figure 5. Below 3Hz, SDC provides optimal accuracy but errors grow quickly
16 above this frequency. ADC and MDC work to keep errors lower across a wider bandwidth but
17 exhibit a rapid loss of accuracy from around 5Hz. Of the two, ADC provides better accuracy
18 within the 5Hz frequency band.

19 With records of the desired (y_N) and achieved (y_p) displacement time histories available,
20 magnitude and phase errors can be uncoupled using system identification tools to estimate the
21 frequency response of the RTDS inner control loop. Bode plots for the alternative delay
22 compensation strategies are presented in Figure 6. In such plots, an optimal frequency response
23 would be associated with a magnitude of unity and phase of zero. Contrasting Figure 6 with
24 Figure 5 it becomes apparent that LCE errors are minimised across the bandwidth in which delay
25 compensation rectifies the NDC phase lag. Outside of this bandwidth, both magnitude and phase
26 deviate from their optimal values. ADC offers the widest bandwidth yet is also characterized by
27 a rapidly varying and magnitude errors.

28 *3.4 Discussion*

29 Analytical results show that while delay compensation is capable of significantly enhancing

1 RTDS stability, increased level-of-advancement of the utilized delay compensation
 2 methodology does not necessarily bring increased performance. Furthermore, with accuracy
 3 deteriorating rapidly at either 3Hz or 5Hz, delay compensation is found to augment transfer
 4 system dynamics across a curtailed bandwidth, restricting the range of potential application.
 5 Factors contributing toward the loss of performance are discussed below.

6 The belief that transfer-system delay has a dominating influence on RTDS performance(e.g.
 7 Horiuchi *et al.*(1999,2001))is born on the understanding that the magnitude error is near to zero
 8 and that the phase lag is proportional to the frequency of excitation. While this may be
 9 reasonable (across typical testing bandwidths) for standalone actuators, the dynamics of shaking
 10 tables meet these conditions within only a narrow frequency band (Figure 3). Outside of this
 11 frequency band, the divergence between y_N and y_P is a combination of both magnitude and phase
 12 deviations. Delay compensation schemes unable to distinguish between these different sources
 13 of error malfunction and impose additional phase and magnitude errors. Delay compensation
 14 works only when its underlying assumptions are met. To emphasise this point, the phase
 15 relationship for the shaking-table transfer system (obtained thorough Equation (12)) is converted
 16 to a delay (τ_{ts}) for comparison with the ADC delay estimate (τ_{ADC}) in Figure 7. In the figure, $|G_{ts}|$
 17 has been superimposed using a secondary axis. As $|G_{ts}|$ grows, so does the discrepancy between
 18 τ_{ADC} and τ_{ts} . ADC provides a poor estimate of the delay in the presence of transfer system
 19 magnitude deviation.

20 In previous studies, RTDS performance assessment has typically been conducted using single
 21 degree of freedom (SDOF) systems wherein the physical substructure constitutes a single system
 22 parameter (e.g. a spring or a damper). Herein, the RTDS system is a multi-degree of freedom
 23 (MDOF) system. The difference of the delay compensated SDOF- and MDO-RTDS stability
 24 can be explored using the Nyquist stability criterion (Golub *et al.* (1996)).

25 With reference to the characteristic equation of Equation (9), the critical frequency ω_c and phase
 26 margin in terms of phase angle can be defined, respectively, as:

$$\left| G_{sdc} G_{ts} G_{Nf} G_P \right|_{s=j\omega} = 1 \quad (18)$$

$$\phi_m = \pi \pm \angle(G_{sdc} G_{ts} G_{Nf} G_P)_{s=j\omega} \quad (19)$$

1 The phase margin provides a measure of how near to instability the RTDS system is in terms of
 2 how much additional phase lag is permissible before stability is lost. Control theory asserts that
 3 the terms contained within these expressions can be grouped into convenient couplets:

$$|G_{dc} G_{ts} G_{Nf} G_P| = |G_{dc} G_{ts}| |G_{Nf} G_P| \quad (20)$$

$$\angle G_{dc} G_{ts} G_{Nf} G_P = \angle G_{dc} G_{ts} + \angle G_{Nf} G_P \quad (21)$$

4 The magnitude and phase characteristics of the $G_{dc}G_{ts}$ and the $G_{Nf}G_P$ couplets are displayed in
 5 Figure 6 and Figure 8 respectively. Unlike the SDOF systems that have been used to assess the
 6 stability of standalone-actuator based RTDS and having a single critical point (ω_c), Figure 8
 7 indicates that the RTDS system considered herein has two critical points (ω_1, ω_2). Each of these
 8 has the potential to cause instability. When the phase lead of $G_{dc}G_{ts}$ couplet at ω_1 exceeds ϕ_1 or
 9 when its phase lag at ω_2 exceeds ϕ_2 , instability occurs. In the NDC-controlled RTDS system, the
 10 $G_{dc}G_{ts}$ couplet provides only phase lag. As a result, it adds to ϕ_1 and subtracts from ϕ_2 . Hence,
 11 it is the magnitude of ϕ_2 that determines the system stability. In SDC- and MDC-controlled
 12 systems, the phase lag of the $G_{dc}G_{ts}$ couplet is smaller and a phase lead is also apparent. Hence,
 13 the magnitude of ϕ_1 may be the determinant of system stability.

14 **4. Experimental performance assessment**

15 The substructure, pictured in Figure 9, consisted of a lumped mass a system of springs. The
 16 guide rails were supported on a rigid frame secured to a force plate which, in turn, was secured
 17 to the platform of the shaking table. The six axis force plate was configured to feedback the
 18 horizontal shear force between the substructure and transfer system. The substructure was
 19 configured to provide $\omega_p = 1.94\text{Hz}$, $\xi_p = 2.5\%$ and $m_p = 55.76\text{kg}$.

20 The damping ratio of the numerical model (ξ_N) was held constant at either 2% or 10%. The
 21 frequency of the numerical model (ω_N) was taken as an experimental variable with magnitude
 22 to be incremented at 2Hz intervals between 1Hz and 9Hz. El Centro was adopted as the reference
 23 excitation.

1 Figure 10 presents the experimentally measured stable and unstable points together with the
2 analytical stability boundaries. While the experimental-analytical correlation is not as satisfying
3 as that for the ancillary system, presumably a result of the presence of experimental uncertainties,
4 the data points correspond reasonably well with the analytical prediction.

5 To assess the relative accuracy of the alternative delay compensation methodologies, an identical
6 RTDS test ($\omega_p = 1.94\text{Hz}$, $\xi_p = 2.5\%$, $\sigma = 0.2$) was conducted using each of SDC, MDC and
7 ADC. Results are presented in Figure 11. Figure 11(a) presents the pertinent subspace
8 synchronisation plots in which an equivalent level of accuracy is seen for each delay
9 compensation scheme. To distinguish between the schemes, bode and integral square error (ISE)
10 plots are presented in Figure 11(b) and Figure 11(c), respectively. ISE is defined by:

$$\text{ISE} = \int_0^t (y_N - y_P)^2 d\tau \quad (22)$$

11 With the lowest rate of increase and magnitude of ISE error, SDC provides the optimal accuracy.
12 ISE errors of MDC and ADC are significantly higher due to a worse performance in the 2-3Hz
13 band, consistent with the analytical results presented in Figure 5. Finally, the effect on accuracy
14 of stability boundary proximity is assessed by conducting three equivalent tests ($\omega_N=1\text{ Hz}$;
15 $\xi_N=2.5\%$) using the SDC controlled RTDS system at different mass ratios ($\sigma = 0.17, 0.55$ and
16 0.75). The utilised test points are plotted (as crosses) in Figure 10 illustrating the wide range of
17 testing and the close proximity of the uppermost test point to the stability boundary. Results are
18 presented in Figure 12. Increasing mass ratio perceivably foreshortens the RTDS bandwidth and
19 increases the measured ISE error. However, in synchronisation subspace performance is seen to
20 be little affected by mass ratio; the effect of stability margin on RTDS accuracy is small.

21 **5. Conclusions**

22 Herein, delay compensation is applied to shaking table based RTDS. Unlike standalone actuators,
23 and due to the appreciable mass of the seismic platform, shaking tables have significant variation
24 in their magnitude and phase characteristics across the test bandwidth.

25 RTDS stability is shown as being determined by the combined dynamical attributes of the
26 system subcomponents in terms of both magnitude and phase: the substructure, the model, the
27 delay compensator and the transfer system. Performance assessment should be attempted after

1 properly accounting for the comprehensive dynamics integral to the RTDS system. Only then
2 will the analytical and numerical methods presented within provide a reliable prediction of
3 experimental response.

4 The performance enhancement associated with delay compensation is confined to a narrow, low-
5 frequency band within which transfer system magnitude deviations are small. Accuracy and
6 stability deteriorate rapidly as frequencies increase. The restricted bandwidth limits the range of
7 potential applications of shaking table based RTDS.

8 It should be noted that while shaking-table based RTDS systems formed the basis of this study
9 the presented conclusions are equally valid for other RTDS systems in which the dynamics of
10 the included transfer system do not satisfy the unit-gain, linear-phase assumptions inherent in
11 delay compensation.

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17 **Conflict of interest**

18 The authors declare no conflict of interest in preparing this article.

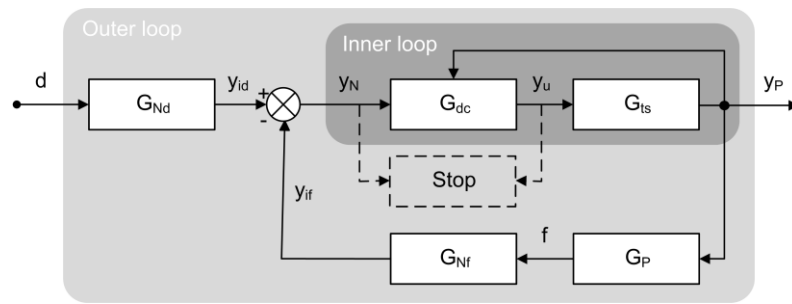
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1 **Figures**

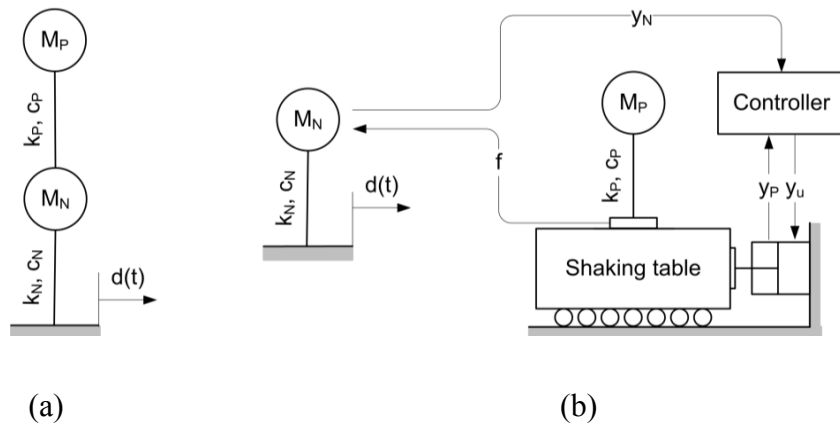


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Figure 1. Generalised RTDS system.

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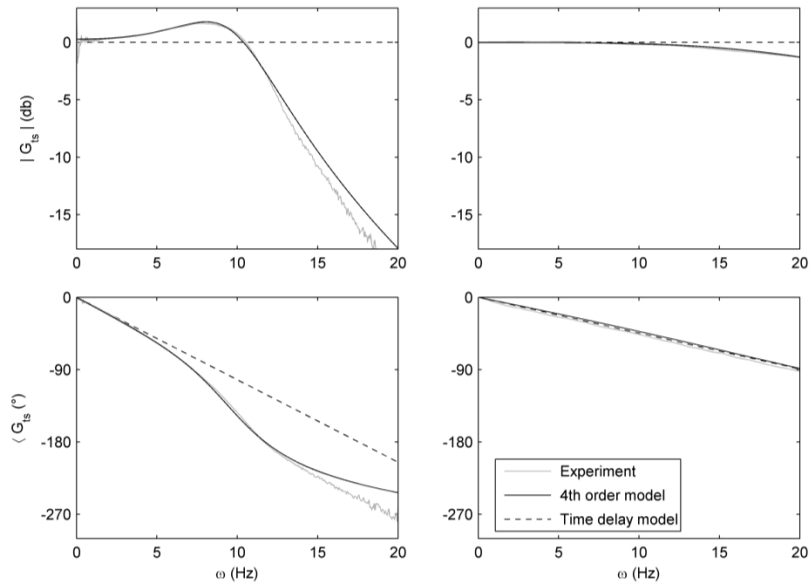


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Figure 2. Shaking table RTDS: (a) emulated system, (b) RTDS system.

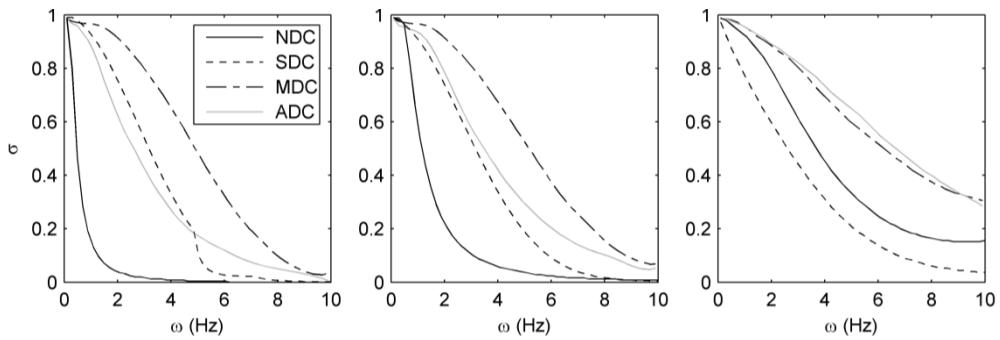
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(a)

(b)

Figure 3. Transfer system models: (a) shaking table, (b) standalone actuator.

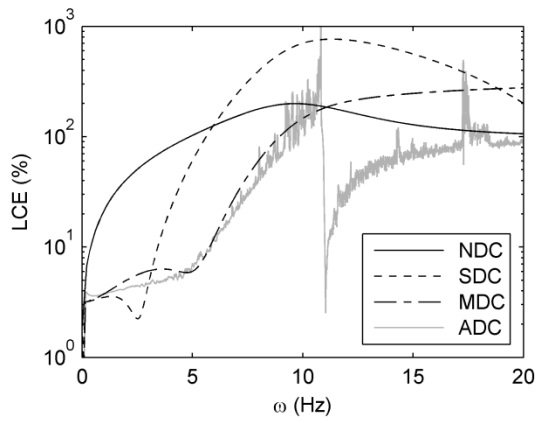


(a)

(b)

(c)

Figure 4. Stability boundaries of delay compensated RTDS: (a) $\xi=2\%$; (b) $\xi=5\%$ and (c) $\xi=20\%$.

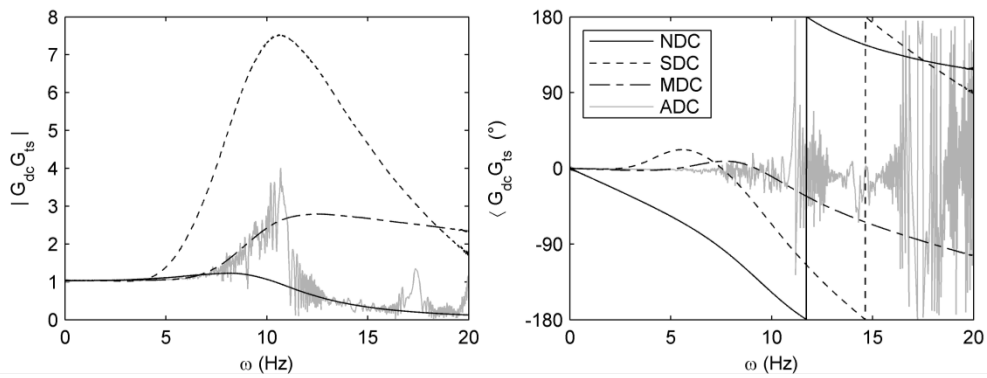


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Figure 5. The localised compound error of delay compensation.

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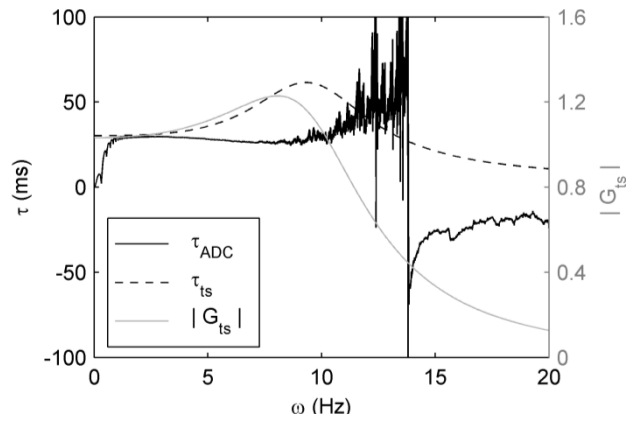


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Figure 6. Comparison of delay compensated shaking table accuracy in frequency domain.

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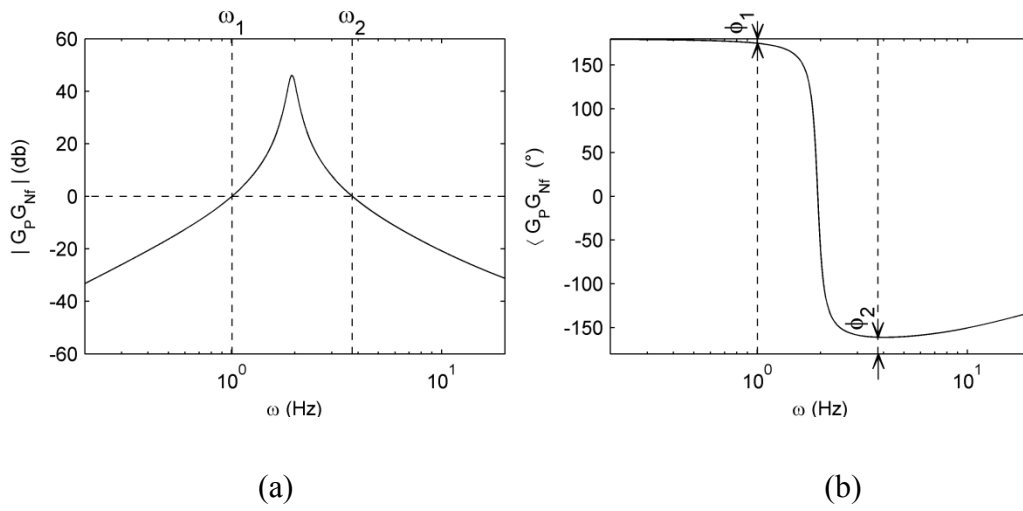


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Figure 7. The ADC delay estimate.

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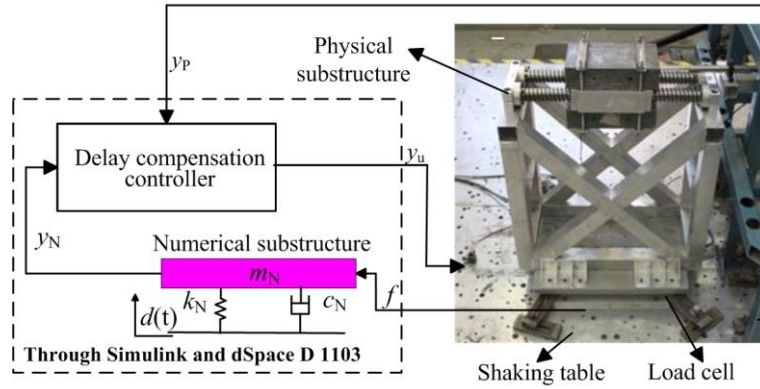
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Figure 8. Frequency response of the $G_{NF}G_P$ couplet: (a) magnitude, (b) phase.

7

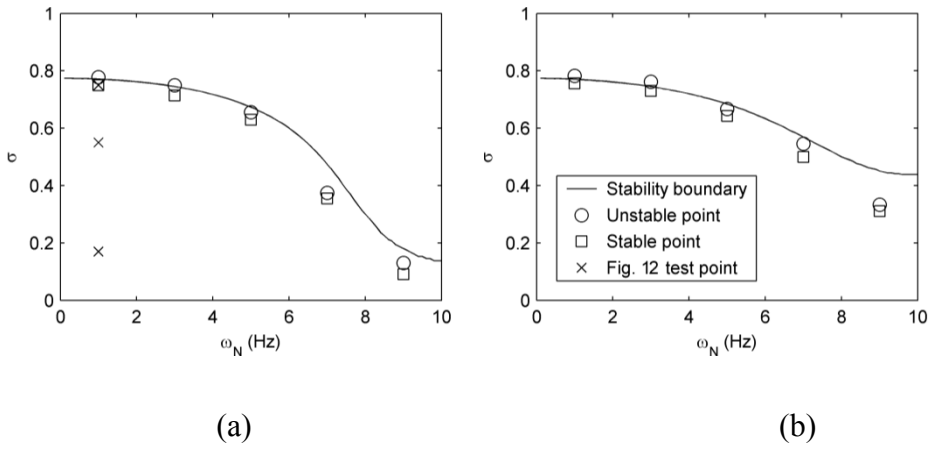


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Figure 9. The authentic RTDS substructure.

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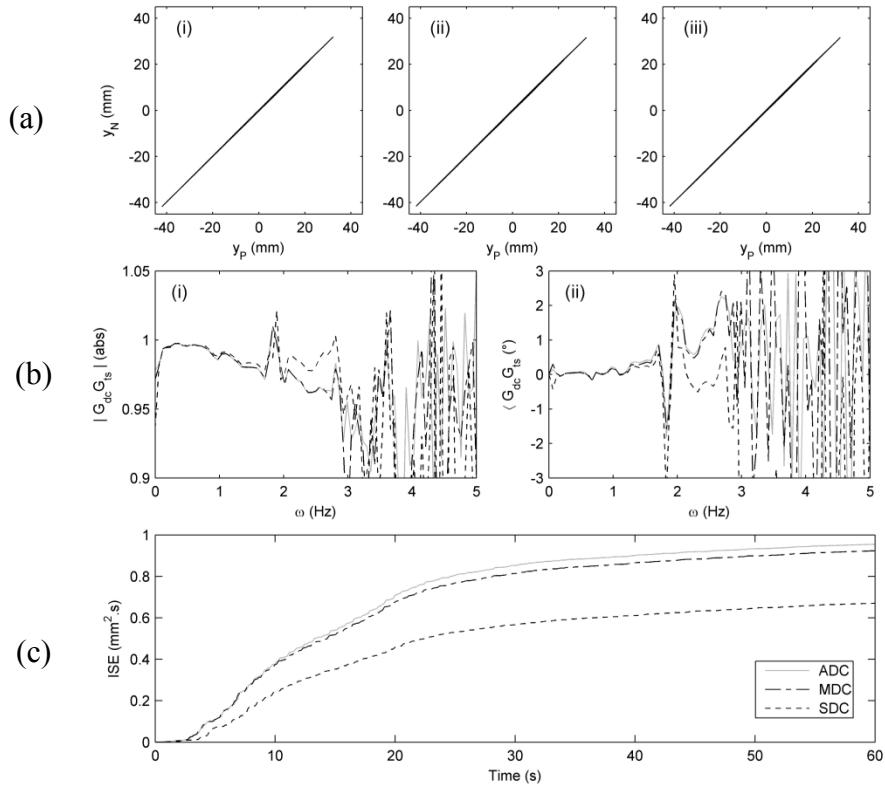
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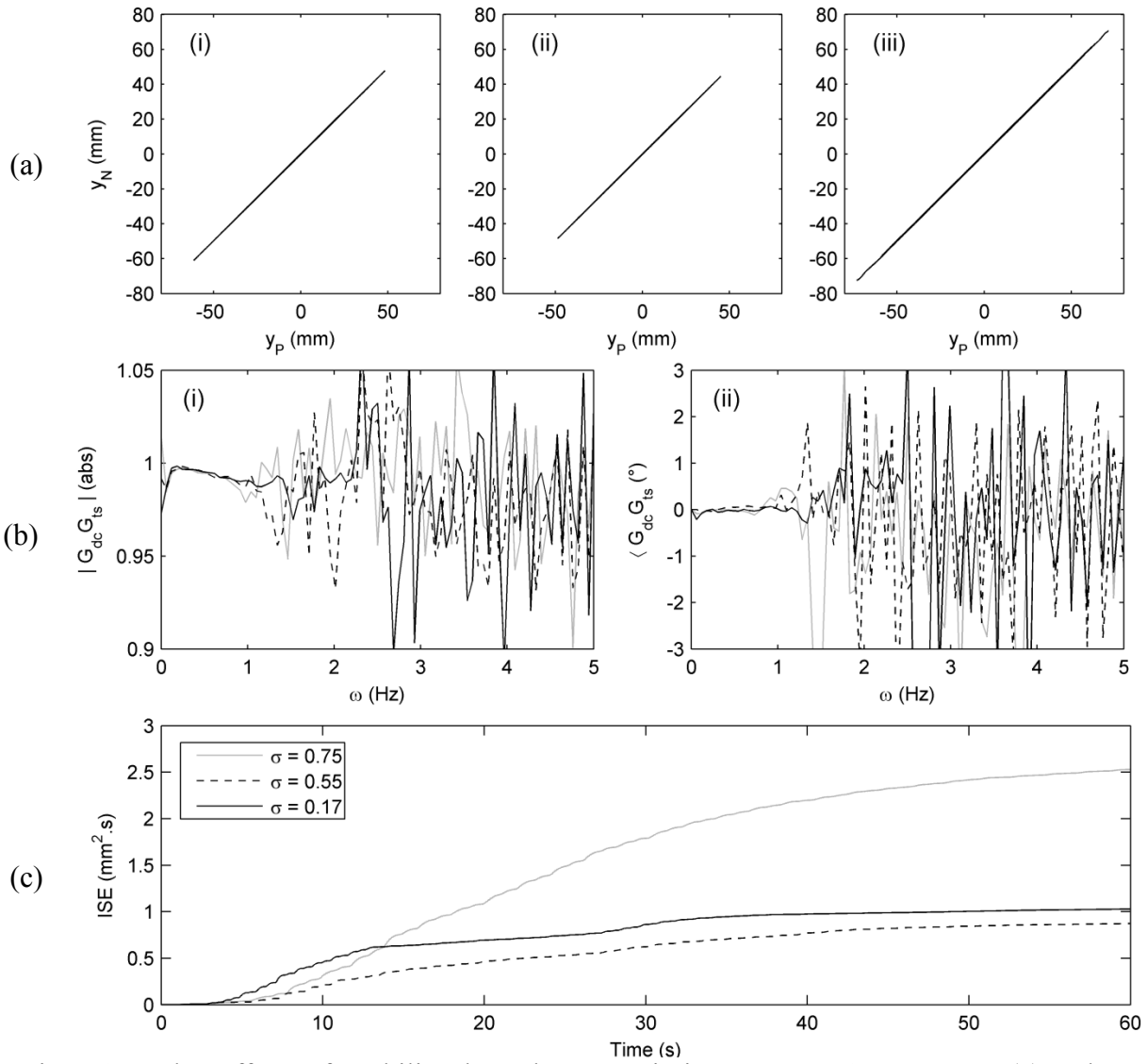
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Figure 10. Stability of the authentic RTDS system: (a) $\zeta_N=2\%$, (b) $\zeta_N=10\%$.

7



1 Figure 11. Representations of accuracy of the authentic RTDS system: (a) subspace
 2 synchronisation, (i) SDC, (ii) MDC, (iii) ADC; (b) bode, (i) magnitude, (ii) phase; (c) ISE.
 3



1 Figure12. The effect of stability boundary proximity on RTDS accuracy: (a) subspace
 2 synchronisation, (i) $\sigma = 0.17$, (ii) $\sigma = 0.55$, (iii) $\sigma = 0.75$; (b) Bode-plot, (i) magnitude, (ii) phase;
 3 (c) ISE.

4